

Application of the Reflectionless Discrete Perfectly Matched Layer for Acoustic Wave Simulation

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The perfectly matched layer (PML) is one of the most popular absorbing boundary conditions for simulating seismic waves. In theory, the PML can absorb incident waves at any incident angle and any frequency in a medium. However, numerical reflections will be generated after the PML has been discretized. Therefore, how to reduce the reflections of discrete PML has been a research topic for more than 2 decades. In this paper, we adopt the reflectionless discrete PML (RD-PML) for seismic wave and implement the RD-PML based on the acoustic wave equation, and then compare its absorbing performance with that of the conventional discrete PML. Our numerical experiments show that the RD-PML has advantages over the conventional discrete PML. In homogeneous model, a thick enough RD-PML can effectively eliminate reflections. In heterogeneous model, a thin-layer RD-PML can obtain better absorbing performance even than the thick-layer conventional discrete PML. The absorbing performance of the RD-PML can be improved by using the periodic boundary without increasing the amount of computation and memory. RD-PML provides a new perspective to understand the discretization of PML, and may play an important role in promoting the development of PML technology.

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INTRODUCTION

Perfectly matched layer (PML) is one of the most widely used artificial absorbing boundaries that are used to deal with the artificial boundary truncation in the numerical simulation of seismic wave propagation. It was proposed by Bérenger (1994) for electromagnetic wave simulations, and was applied to the wave equation using complex coordinate stretching through the modification of spatial partial derivatives, which introduces an imaginary part of the coordinate that is associated with an attenuation factor (Chew and Weedon, 1994). After its introduction, the PML found widespread use in various fields of numerical simulation due to its good applicability to different types of equations. For example, it is commonly implemented for seismic wave simulation (Chew and Liu, 1996), which includes both the acoustic wave simulation (Liu and Tao, 1997; Yuan et al., 1997; Qi and Geers, 1998; Katsibas and Antonopoulos, 2002; Diaz and Joly, 2006; Bermúdez et al., 2007; Ma et al., 2014) and the elastic wave simulation (Chew and Liu, 1996; Hastings et al., 1996; Collino and Tsogka, 2001; Komatitsch and Tromp, 2003; Pled and Desceliers, 2021).

In theory, the PML can absorb the incident waves of any incident angle and any frequency under continuous medium. However, numerical reflections will still be generated after the PML has been

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discretized. In order to improve the absorbing performance of discrete PML, several methods have been proposed, which are briefly reviewed in the following paragraphs.

Collino and Monk (1998) optimized the discrete PML by suitable design of the layer, which includes the selection for the number of layers and attenuation coefficients. After that, people carried out further optimization work to choose the layer parameters of PML (Fang and Wu, 1996; Winton and Rappaport, 2000; Travassos et al., 2006; Bermúdez et al., 2007; Nissen and Kreiss, 2011).

The absorbing performance of the discrete PML is proven to vary with the angle of the incident wave, and will continue to decrease as the angle of the incident wave gradually increases (Gao et al., 2017); thus its absorbing performance on grazing incident waves is not satisfactory (Roden and Gedney, 2000; Winton and Rappaport, 2000). Furthermore, the grazing incident waves can be converted into evanescent waves, which cannot be absorbed by the PML and will generate spurious reflections (Drossaert and Giannopoulos, 2007b; Komatitsch and Martin, 2007). Kuzuoglu and Mittra (1996) modified the PML by introducing two new parameters to the complex coordinate stretching operator of PML, which can shift the pole of the complex coordinate stretched operator to a non-zero value. The modified PML is called as complex frequency-shifted PML (CFS-PML), and can improve the absorbing performance of the PML for grazing incident waves (Festa and Vilotte, 2005, Komatitsch and Martin, 2007, Drossaert and Giannopoulos, 2007a, b).

The PML and CFS-PML were both originally implemented based on split-field formulations, which adopts a nonphysical splitting of the variables in the wave equations and lead to two different sets of equations for the inner wavefield simulation area and the outer PML area. Furthermore, the split-field formulation is mathematically weakly well-posed (Abarbanel and Gottlieb, 1997), and will be unstable for long time simulations (Festa et al., 2005). Different unsplit-field implementations of the CFS-PML were developed by using convolutional algorithms (Roden and Gedney, 2000; Wang and Tang, 2003; Wang et al., 2005; Drossaert and Giannopoulos, 2007a, b; Komatitsch and Martin, 2007; Li and Matar, 2010; Pasalic and McGarry, 2010; Matzen, 2011), integral terms (Zeng and Liu, 2004; Drossaert and Giannopoulos, 2007b), matched Z-transform (Shi et al., 2012), and auxiliary differential-equation (ADE) algorithm (Ramadan, 2003; Rejiba et al., 2003; Wang and Liang, 2006; Kristek et al., 2009; Gedney and Zhao, 2010; Martin et al., 2010; Zhang and Shen, 2010; Xie et al., 2014; Deng et al., 2018; He et al., 2019). Among the above methods, the convolutional algorithm and the ADE algorithm are the most widely used in seismic numerical simulations. The ADE algorithm is implemented by introducing auxiliary differential equations, which are a series of first-order partial derivative equations; in contrast, the convolutional algorithm is implemented by convolutional operations, which are solved by recursive convolution technique (Luebbers and Hunsberger, 1992).

For an isotropic medium, the unsplit CFS-PML will be a sufficient choice for long time simulation because of its weak

reflections and excellent stability (Komatitsch and Martin, 2007), but in an anisotropic viscoelastic medium, the unsplit CFS-PML suffers from instabilities for long-time simulation. The multi-axial PML (M-PML) was developed to guarantee the long-time stability of PML in an anisotropic medium, which is efficient and stable without dependences on frequencies and directions of wave propagation (Meza-Fajardo and Papageorgiou, 2008, 2010, 2012; Ping et al., 2014, 2016; Gao and Huang, 2017). But it was soon proven that the M-PML is not perfectly matched and thus is not a PML (Dmitriev and Lisitsa, 2011, 2012). Rather, it can be seen as an improved sponge boundary (Xie et al., 2014).

The numerical implementations of the traditional PML and CFS-PML are based on the first-order system of wave equations, and they cannot be directly applied to the second-order wave equation. The second-order wave equation is usually transformed into the first-order form to just to be able to use the PML or CFS-PML, which significantly increases both the memory requirement and computational cost (Liu and Tao, 1997; Yuan et al., 1997; Qi and Geers, 1998; Yuan et al., 1999). Komatitsch and Tromp (2003) were the first to try and construct the PML for the second-order elastic wave equation, and a series of studies were followed (Pasalic and McGarry, 2010; Duru and Kreiss, 2012; Ma et al., 2014; Xie et al., 2014; Gao et al., 2015; Ma et al., 2018, 2019a, 2019b).

It was shown that the CFS-PML could be understood as a low-pass Butterworth filter, which can absorb waves with frequency higher than the cut-off frequency, but cannot efficiently absorb low-frequency waves below the cut-off frequency (Festa and Vilotte, 2005). To absorb both the low-frequency propagating waves and evanescent waves, high-order CFS-PML was proposed (Correia and Jin, 2005, 2006). Unlike the conventional CFS-PML (or called the firstorder CFS-PML) that only has a single pole in the coordinate stretching operator, the higher-order CFS-PML has multiple poles that consist of two or more stretching operators. The higher-order CFS-PML has the advantages of both the conventional PML and the CFS-PML in terms of absorbing performance, since the conventional PML is great at the low frequencies but poor at grazing incidences, while the CFS-PML is poor at low frequencies but great at grazing incidences (Martin et al., 2010; Feng and Li, 2013). Feng et al. (2015) proved that the second-order PML is an optimal choice, since it provides almost the same absorbing performance as the thirdorder PML, while requiring less computational time and memory. Feng et al. (2017) analyzed the different roles of the second-order CFS-PML parameters and proposed optimal selections of these parameters to get satisfactory results for broad-band seismic wave simulations.

The above-mentioned research works have continuously promoted the development of PML technology, both in terms of absorbing performance and realization form. However, none of them has achieved "mechanical zero" absorbing performance after discretization. Chern (2019) presented a new approach to deriving the discrete PML equations using Discrete Complex Analysis (Duffin, 1956; Lovász, 2004; Bobenko et al., 2005; Bobenko and Günther, 2016). Instead of seeking a high-order discretization of the continuous PML equations, Chern (2019) took the discrete wave equation and found its associated PML equations by mimicking the continuous theory but solely in the discrete setting. The resulted discrete PML for the first time "perfectly matches" the discrete wave equation, and it is called reflectionless discrete PML (RD-PML). Furthermore, Chern (2019) proposed to use a constant attenuation coefficient to replace the conventional gradually increasing attenuation coefficients. The RD-PML gained good absorbing performance, but it was originally proposed based on a homogeneous model with the velocity $\nu = 1$ m/s (Chern, 2019), and the cases of arbitrary velocity and heterogenous model have not been considered and researched yet.

In this paper, we adopt the RD-PML to solve the boundary truncation problem for acoustic equation modelling. Firstly, we briefly introduce the RD-PML algorithm and give the attenuation coefficient with arbitrary velocity *v*. The model design for periodic boundary is also discussed. Then, we compare the absorbing performance of RD-PML with that of the conventional discrete PML, and verify the improvement effect of the periodic boundary on the absorbing performance. The case of heterogenous model is also considered. Numerical experiments demonstrate the superiority of RD-PML method over conventional methods.

METHODOLOGY

We start with the 2-dimensional acoustic wave equation

$$\frac{1}{v^2}\frac{\partial^2 u}{\partial t^2} = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial z^2} + s,$$
(1)

where u(x, z, t) is the wavefield, v(x, z) is the velocity, and s(x, z, t) is the source term. Research on the implementation for the PML algorithm mainly focuses on taking operation for the spatial partial derivatives in the wave equation. For simplicity, here we only discuss the PML algorithm along the *x*-direction as an example in the text, and the operation along the *z*-direction can be similarly obtained.

To implement the PML, the spatial partial derivative in the wave equation can be extended to complex coordinate by the stretching operator (Johnson, 2008):

$$s_x(x) = 1 + \frac{id_x(x)}{\omega}, \qquad (2)$$

where $s_x(x)$ is the complex stretching function, $d_x(x)$ is the attenuation coefficient of the PML, and $i = \sqrt{-1}$ (Collino and Tsogka, 2001); thus, we have

$$\frac{\partial}{\partial x} \to \frac{\partial}{\partial \tilde{x}} = \frac{1}{s_x} \frac{\partial}{\partial x},$$
(3)

The expression for the complex coordinate is

$$\tilde{x} = x + \frac{i}{\omega} \int_{0}^{x} d_{x}(x) dx.$$
(4)

The plane wave solution can be expressed as

$$U = u_0 \exp[i(k_x x - \omega t)], \qquad (5)$$

where u_0 is the amplitude of the wave and k_x is the wavenumber along the *x*-direction. The plane wave solution would be modified by complex stretching in the complex coordinate as

$$\hat{U} = u_0 \exp[i(k_x \tilde{x} - \omega t)]$$

$$= u_0 \exp\left\{i\left[k_x\left(x + \frac{i}{\omega} \int_0^x d_x(x)dx\right) - \omega t\right]\right\}.$$
(6)

After further sorting, Eq. 6 can be written as

$$\hat{U} = u_0 \exp[i(k_x x - \omega t)] \exp\left(-\frac{k_x}{\omega} \int_0^x d_x(x) dx\right)$$

$$= U \exp\left(-\frac{k_x}{\omega} \int_0^x d_x(x) dx\right).$$
(7)

Compared with the original expression of the plane wave solution in **Eq. 5**, **Eq. 7** has an extra item $\exp(-k_x/\omega \int_0^x d_x(x)dx)$, which is the attenuation term of the PML. If we don't consider the time term and only consider the space term in **Eq. 6**, the expression can be simplified as

$$\exp(ik_x\tilde{x}) = \exp\left[ik_x\left(x + \frac{i}{\omega}\int_0^x d_x(x)dx\right)\right]$$

=
$$\exp\{ik_x[\operatorname{Re}(\tilde{x}) + i\operatorname{Im}(\tilde{x})]\}$$

=
$$\exp[ik_x\operatorname{Re}(\tilde{x})]\exp\left[-k_x\operatorname{Im}(\tilde{x})\right],$$
(8)

where $\operatorname{Re}(\tilde{x})$ and $\operatorname{Im}(\tilde{x})$ represent the real and imaginary parts of \tilde{x} , respectively. According to Euler's formula $\exp[ik_x\operatorname{Re}(\tilde{x})] = \cos[k_x\operatorname{Re}(\tilde{x})] + i\sin[k_x\operatorname{Re}(\tilde{x})]$, the values of $\exp[ik_x\operatorname{Re}(\tilde{x})]$ distribute along a unit circle in the complex coordinate. The real part of $\exp[ik_x\operatorname{Re}(\tilde{x})]$ is a cosine function $\cos[k_x\operatorname{Re}(\tilde{x})]$, and the real part of $\exp(ik_x\tilde{x})$ in **Eq. 8** can be expressed as:

$$\operatorname{Re}\left[\exp\left(ik_{x}\tilde{x}\right)\right] = \cos\left[k_{x}\operatorname{Re}\left(\tilde{x}\right)\right]\exp\left[-k_{x}\operatorname{Im}\left(\tilde{x}\right)\right],\qquad(9)$$

With a positive wavenumber k_x , the values of $\exp[-k_x \operatorname{Im}(\tilde{x})]$ range from 1 to 0 when $\operatorname{Im}(\tilde{x}) > 0$, and this will lead to attenuation for the wave amplitude. The values of **Eq. 9** are shown in **Figure 1A**, which shows the principle of the attenuation for the PML. The negative real coordinate of \tilde{x} represents the normal wavefield propagation area, and the positive real coordinate of \tilde{x} represents the PML attenuation area. The amplitude of the waveform does not attenuate when the wave propagating along the real axis of \tilde{x} (the blue dashed line in **Figures 1B,C**), while the amplitude of the waveform would decrease as the wave propagating along the stretched coordinate (the red dashed line in **Figures 1D,E**).

In theory, the PML can absorb the incident waves of any angle and any frequency before discretization (Bérenger, 1994; Komatitsch and Martin, 2007). However, after the discretization, the numerical reflections will arise at the interface of PML due to the discretization error (Bérenger, 2002; Gao et al., 2017). Here, we analyse why the conventional discrete PML will produce reflected waves. The corresponding



wave propagation region and no attenuation principle for the plane waves in the PML region. The coordinate that corresponds to Re(\tilde{x}) > 0 represents the PML region. (A) The attenuation process of the plane waves along different stretching directions in the PML region. (B) The direction along the real axis in the complex coordinate (the dashed blue line in (A). (C) Real part of oscillating solution exp($ik_x\tilde{x}$) that corresponds to the stretching direction in (B). (D) The stretching direction along a deformed contour in the complex coordinate (the dashed red line in (A)). (E) Real part of oscillating solution exp($ik_x\tilde{x}$) that corresponds to the stretching direction in (D).

discrete format complex coordinate path for \tilde{x} in Eq. 4 can be expressed as

$$\tilde{x}(j) = x(j) + \frac{i}{\omega} \sum_{j=1}^{N} d_x(j) \Delta x, \qquad (10)$$

which is shown by the blue line in **Figure 2A**. The finitedifference (FD) operators for the spatial partial derivative $\partial^2 u/\partial \tilde{x}^2$ along the blue path that in the PML area cannot "perfectly matched" with the original finite-difference operators for $\partial^2 u/\partial x^2$ that along the real x axis in the nonattenuation area. In addition, the conventional discrete PML uses gradual increasing attenuation coefficients (Collino and Tsogka, 2001; Komatitsch and Martin, 2007; Zhang and Shen, 2010; Gao et al., 2015), which will lead adjacent grid-spacing difference in complex coordinates and the unequal-spacing finite-difference operator would introduce new calculation errors.



Chern (2019) presented the reflectionless discrete PML (abbreviated as RD-PML) using Discrete Complex Analysis (Duffin, 1956; Lovász, 2004; Bobenko et al., 2005; Bobenko and Günther, 2016), and this new form discrete PML for the first time "perfectly matches" the discrete wave equation. For example, the FD operator at $\hat{U}(3)$ along the blue line in **Figure 2A** is transformed to an operator that parallel to the real x axis by the equivalent projection method (i.e., Discrete Complex Analysis). In this way, the direction of the projected FD operators in the PML region can be kept parallel with the original FD operators in the normal wave propagation region. Ordinarily the second-order discretization for the FD operator of $\partial^2 u / \partial \tilde{x}^2$ at $\hat{U}(3)$ involves $\hat{U}(2)$, $\hat{U}(3)$, and $\hat{U}(4)$. Instead if we project $\hat{U}(2)$ and $\hat{U}(4)$ to the red points $\hat{U}'(2)$ and $\hat{U}'(4)$ on the horizontal line that passing $\hat{U}(3)$ using Discrete Complex Analysis, respectively (shown by the red quadrilateral in Figure 2B). In this way, the original diagonal FD operator composed of $\hat{U}(2)$, $\hat{U}(3)$, and $\hat{U}(4)$ is transformed into a horizontal FD operator composed of $\hat{U}'(2)$, $\hat{U}(3)$, and $\hat{U}'(4)$. After transforming this horizontal FD operator from the frequency domain back to the time domain, it can well match with the original FD operator.

Furthermore, Chern (2019) proposed to use a constant attenuation coefficient to replace the conventional gradually increasing attenuation coefficients. In the example given by Chern (2019), the constant attenuation coefficient is $d_x = 2/\Delta x$ for a homogeneous model with the velocity v = 1 m/s. To obtain the attenuation coefficient for the model with the velocity v, we begin with the geometric decay rate ρ , which can be expressed as (Chern, 2019):

$$\rho = \frac{2 + i\frac{d_x}{\omega}\left(1 - e^{-ik_x\Delta x}\right)}{2 + i\frac{d_x}{\omega}\left(1 - e^{ik_x\Delta x}\right)}.$$
(11)

The ρ represents the decay rate for a single grid of PML. When $k_x \Delta x \sim O(\Delta x)$ (symbol ~ represents smooth asymptotics), we can obtain (Chern, 2019):



$$\rho \sim \frac{2 - d_x \Delta x \frac{k_x}{\omega}}{2 + d_x \Delta x \frac{k_x}{\omega}}.$$
(12)

Smooth waves with 0 incident angle can be eliminated within one grid when $\rho \sim 0$. Combined with $\omega = k_x v$, we obtain the attenuation coefficient for the model with the velocity v as

$$d_x = \frac{2\nu}{\Delta x} \tag{13}$$

With the constant attenuation coefficient, the projection method is shown as **Figure 2C**, the quadrangles with different colours mean different projection unit for each 2nd-order FD operator. **Figure 2D**, which refers to Chern (2019), shows the projection method for the quadrangle of $\hat{U}(i)$. By introducing the auxiliary variables \hat{f} , $\hat{\phi}_x$, and $\hat{\psi}_x$, $\tau_x^{-1}\hat{U}$ and $\tau_x\hat{U}$ can be projected to $\tau_x^{-1}\hat{f}$ and $\tau_x\hat{f}$ using Discrete Complex Analysis, where τ_x and τ_x^{-1} are the positive and negative shift operators along *x*-direction (i. e., $\tau_x\hat{U}(j) = \hat{U}(j+1)$ and $\tau_x^{-1}\hat{U}(j) = \hat{U}(j-1)$), respectively. Chern (2019) gave the detailed process derive the RD-PML expression. Here, we briefly introduce the algorithm and extend this algorithm to the numerical simulation of seismic wavefield. The expressions for $\hat{\phi}_x$ and $\hat{\psi}_x$ can be obtained as following (Chern, 2019)

$$\begin{cases} -i\omega\hat{\phi}_{x} = -\frac{1}{2} \left[\left(\tau_{x}^{-1}d_{x}\right) \left(\tau_{x}^{-1}\hat{\phi}_{x}\right) + d_{x}\hat{\phi}_{x} \right] - \frac{1}{2\Delta x} \left(\tau_{x}\hat{U} - \tau_{x}^{-1}\hat{U}\right), \\ -i\omega\hat{\psi}_{x} = -\frac{1}{2} \left[\left(\tau_{x}^{-1}d_{x}\right)\hat{\psi}_{x} + d_{x}\left(\tau_{x}\hat{\psi}_{x}\right) \right] - \frac{1}{2\Delta x} \left(\tau_{x}\hat{U} - \tau_{x}^{-1}\hat{U}\right). \end{cases}$$

$$(14)$$

Further, the expression of $\tau_x^{-1}\hat{f}$ and $\tau_x\hat{f}$ can be written as (Chern, 2019)

$$\begin{cases} \tau_x^{-1} \hat{f} = \tau_x^{-1} \hat{U} - \Delta x \left(\tau_x^{-1} d_x\right) \left(\tau_x^{-1} \hat{\phi}_x\right), \\ \tau_x \hat{f} = \tau_x \hat{U} + \Delta x d_x \left(\tau_x \hat{\psi}_x\right). \end{cases}$$
(15)

Now, we can convert the 2nd-order FD operator for the spatial partial derivative $\partial^2 \hat{U} / \partial \tilde{x}^2$ as

$$\frac{\partial^2 \hat{U}}{\partial \tilde{x}^2} = \frac{\tau_x \hat{U} - 2\hat{U} + \tau_x^{-1} \hat{U}}{\Delta \tilde{x}^2} \to \frac{\partial^2 \hat{f}}{\partial x^2} = \frac{\tau_x \hat{f} - 2\hat{f} + \tau_x^{-1} \hat{f}}{\Delta x^2}.$$
 (16)

Substituting **Eq. 15** into the latter expression of **Eq. 16**, we can obtain

$$\frac{\partial^{2} \hat{f}}{\partial x^{2}} = \frac{\tau_{x} \hat{f} - 2\hat{f} + \tau_{x}^{-1} \hat{f}}{\Delta x^{2}}$$

$$= \frac{\tau_{x} \hat{U} - 2\hat{U} + \tau_{x}^{-1} \hat{U}}{\Delta x^{2}} + \frac{d_{x} (\tau_{x} \hat{\psi}_{x}) - (\tau_{x}^{-1} d_{x}) (\tau_{x}^{-1} \hat{\phi}_{x})}{\Delta x},$$
(17)

where the attenuation term of the RD-PML is simply added to the original FD operator. This form does not require special treatment of the original wave equation to implement the RD-PML, and we only need to add the corresponding attenuation term in the PML attenuation area during programming, which is very convenient for the realization of numerical simulation.

After transforming **Eqs 14**, 17 back to the time domain using the inverse Fourier transform, and introducing the derivation along the z-direction, we can obtain the whole expressions of the RD-PML for **Eq. 1**:











$$\begin{cases} \frac{1}{v^{2}} \frac{\partial^{2} u}{\partial t^{2}} = \frac{1}{\Delta x^{2}} \left(\tau_{x} u - 2u + \tau_{x}^{-1} u \right) + \frac{1}{\Delta z^{2}} \left(\tau_{z} u - 2u + \tau_{z}^{-1} u \right) \\ + \frac{1}{\Delta x} \left[d_{x} \left(\tau_{x} \psi_{x} \right) - \left(\tau_{x}^{-1} d_{x} \right) \left(\tau_{x}^{-1} \phi_{x} \right) \right] + \frac{1}{\Delta z} \left[d_{z} \left(\tau_{z} \psi_{z} \right) - \left(\tau_{z}^{-1} d_{z} \right) \left(\tau_{z}^{-1} \phi_{z} \right) \right], \\ \frac{\partial \phi_{x}}{\partial t} = -\frac{1}{2} \left[\left(\tau_{x}^{-1} d_{x} \right) \left(\tau_{x}^{-1} \phi_{x} \right) + d_{x} \phi_{x} \right] - \frac{1}{2\Delta x} \left(\tau_{x} u - \tau_{x}^{-1} u \right), \\ \frac{\partial \psi_{x}}{\partial t} = -\frac{1}{2} \left[\left(\tau_{z}^{-1} d_{x} \right) \psi_{x} + d_{x} \left(\tau_{x} \psi_{x} \right) \right] - \frac{1}{2\Delta x} \left(\tau_{x} u - \tau_{x}^{-1} u \right), \\ \frac{\partial \phi_{z}}{\partial t} = -\frac{1}{2} \left[\left(\tau_{z}^{-1} d_{z} \right) \left(\tau_{z}^{-1} \phi_{z} \right) + d_{z} \phi_{z} \right] - \frac{1}{2\Delta z} \left(\tau_{z} u - \tau_{z}^{-1} u \right), \\ \frac{\partial \psi_{z}}{\partial t} = -\frac{1}{2} \left[\left(\tau_{z}^{-1} d_{z} \right) \psi_{z} + d_{z} \left(\tau_{z} \psi_{z} \right) \right] - \frac{1}{2\Delta z} \left(\tau_{z} u - \tau_{z}^{-1} u \right), \end{cases}$$

$$(18)$$

where ψ_x , ϕ_x , ψ_z and ϕ_z are the variables in the time domain after inverse Fourier transform applied to $\hat{\psi}_x$, $\hat{\phi}_x$, $\hat{\psi}_z$ and $\hat{\phi}_z$, respectively. Compared with the expressions for RD-PML in Chern (2019), **Eq. 18** directly introduces the velocity ν in the first expression, and there is no change in the other expressions. To implement RD-PML, our target is to handle the discretization and calculation for the spatial partial derivatives of the wave equation in PML region. The introduced auxiliary variables $\hat{\psi}_x$, $\hat{\phi}_x$, $\hat{\psi}_z$ and $\hat{\phi}_z$ actually serve spatial partial derivatives and velocity ν is not required to participate in this progress. This form can refer to the previous approach in introducing auxiliary variables for PML (Komatitsch and Martin, 2007; Pasalic and McGarry, 2010; Zhang and Shen, 2010).

In a homogeneous medium, since the PML attenuation coefficient of each layer is the same, Chern (2019) adopted the periodic boundary, which greatly improved the absorbing performance of the RD-PML. **Figure 3** shows the sketch map of the periodic boundary. **Figure 3A1** shows the conventional Dirichlet boundary for 2nd-order FD scheme in 1-dimansional situation, while **Figure 3A2** shows the corresponding periodic boundary processing method, which connects the outmost FD operators on the two sides of discrete grid points. **Figure 3B** shows the sketch maps of the periodic boundary condition in the

two-dimensional model, in which **Figures 3B1, 3B2** with the boundaries set in the four directions of up, down, left, and right and **Figure 3B3, 3B4** with the free boundary condition for the up boundary and the PML for the other three boundaries. Neither the top boundary nor the bottom boundary has been specially processed, and the periodic boundaries are only used for the left and right boundaries.

NUMERICAL EXPERIMENTS

Homogenous Model

We perform numerical experiments on a homogeneous square model using different types of PML. The wave velocity is v =3,000 m/s. The spatial grid interval is $\Delta x = \Delta z = 10$ m, and the grid number is 301×301 . The source is a Ricker wavelet with a dominant frequency of 15 Hz, which is located at the center of the square model. We use the 2nd-order FD method for the spatial discretization and 4-stage Runge Kutta method for the temporal discretization, and the time step is $\Delta t = 1$ ms. We compare the boundary reflections using various types of PML: the AED CFS-PML using collocated grid (abbreviated as CFS-PML-1, Gao et al., 2015) with 20 layers; the convolutional CFS-PML using staggered grid (abbreviated as CFS-PML-2, Pasalic and McGarry, 2010) with 20 layers; and the RD-PML with 10 layers and 20 layers, respectively. We use a very large model to simulate the theoretical wavefield to avoid boundary reflections, which can be regarded as a reference to check the performance of the above-mentioned artificial absorbing boundaries.

Figure 4 shows the snapshots obtained by different types of PML. At 550 ms, the wavefield has not reached the attenuation area of PML, and the reflected waves have not yet arisen, so the value of the reflections in **Figure 4B1–4B4** are all zero. At 750 ms, conspicuous reflections appear in 20-layer CFS-PML-1, and weak reflections appear in 20 CFS-PML-2. This is because the latter one is implemented based on the staggered grid method, and its



and (D), respectively. (E), (F



absorbing performance is better than that of the former one, which is implemented based on the collocated grid method. Neither 10-layer RD-PML nor 20-layer RD-PML shows any reflections in the current color scale. At 1,000 ms, there are slight reflections in 10-layer RD-PML, but there are still no visible reflections in 20-layer RD-PML. At the same time, by



comparing the reflections of 10-layer RD-PML with that of 20layer CFS-PML-1 and 20-layer CFS-PML-2, we find that the reflected waves of the conventional discrete PML (20-layer CFS-PML-1 and 20-layer CFS-PML-2) mainly consist of two parts: one part is the reflected wave from the inner boundary of PML, and the other is the reflected wave from the outer boundary of PML.

The reflections of 10-layer RD-PML mainly consist of the reflected waves from the outer boundary, which is caused by the

outer boundary of PML due to insufficient thickness. Considering that the parameter settings of each layer of 10-layer RD-PML and 20-layer RD-PML are all the same, which also explains why the latter performs better than the former. At the same time, this conclusion leads to experiments using periodic boundaries.

Figure 5 shows the snapshots obtained by different types of PML after the periodic boundaries used. After adopting the periodic boundaries refer to Figure 3B1, the reflections from outer boundary of the conventional discrete PML (20-layer CFS-



and (D), respectively.

PML-1 and 20-layer CFS-PML-2) have been significantly reduced; while the reflections from inner boundary of the conventional discrete PML have not changed (as shown in **Figure 5F**). This is because the periodic boundary can be regarded as a thickening of the original boundary, which cannot improve the absorbing performance of the reflections from the inner boundary and can only improve the absorbing performance of the reflections from the outer boundary. As analyzed above, the reflections of the 10-layer RD-PML mainly consist of the reflections from the outer boundary. Therefore, its absorbing performance has been significantly improved after adopting the periodic boundary. The reflected waves of 10-layer RD-PML are no longer visible in the color scale of **Figure 5F**.

Figure 6 shows the waveforms along the horizontal dashed lines in Figures 4, 5. Figure 6A shows the waveforms for different types of PML before reaching the boundaries, and all the unattenuated waveforms are all the same. Figures 6D,F are the logarithmic displays for the reflected waves of Figures

6C,E, respectively. At 1,000 ms, the reflected waves of the 10-layer RD-PML are the smallest among all the reflections (shown in **Figure 6F**). At the same time, we find that the reflected waves of the 20-layer RD-PML, periodic 10-layer RD-PML, and periodic 20-layer RD-PML all disappear. This is because their reflections are zero and the corresponding logarithmic values don't exist, which demonstrate that the absorbing performance of these three boundaries indeed reach "mechanical zero" (Chern, 2019).

For the convenience of comparing the absorbing performances of different boundary conditions, we further perform numerical experiments using a long model, which can be seen as a narrow strip model (shown in **Figure 7A**). The farther the distance between the receiver and the seismic source, the greater the incident angle of the wave field, and the harder it is to absorb the incident waves for the absorbing boundary. Grazing incident wave would appear in the long model when the wavefield is far from the source (Komatitsch and Martin, 2007; Gao et al., 2017), so we can compare the absorbing performance for the



grazing incident wave of different PML. The wave velocity is v = 3,000 m/s. The spatial grid interval is $\Delta x = \Delta z = 10$ m, and the grid number is 601×81 . The source is a Ricker wavelet with a dominant frequency of 10 Hz. Seismic source is located at 1,000 m along *x*-direction and 100 m in depth. We obtain the wavefield records along the line composed of blue inverted triangles in **Figure 7A**. We use a very large model to simulate the theoretical wavefield records to avoid boundary reflections, which can be regarded as a reference (shown in **Figure 7B**).

Figure 8 shows the wavefield difference between the shot records of different types of PML with the theoretical shot records that shown in **Figure 7B**. For absorbing the grazing incident waves, 10-layer RD-PML performs better than 20-layer CFS-PML-1 and 20-layer CFS-PML-2 (shown in **Figures 8A,B**), but performs not as good as Periodic 20-layer CFS-PML-1 and Periodic 20-layer CFS-PML-2 (shown in **Figures 8E,F**). Weak reflected waves appear in 20-layer RD-PML (shown in **Figure 8D**), while no obvious reflected waves appear in Periodic 10-layer RD-PML and Periodic 20-layer RD-PML (shown in **Figures 8D,H**).

Because RD-PML still uses the conventional PML coordinate stretching operator expression (shown as Eq. 2), broom-like evanescent waves still appear (shown in Figures 8C,D,G,H), which are caused by grazing incident waves. Due to the advantages of the coordinate stretching operator expression of CFS-PML type boundary for grazing waves (Komatitsch and Martin, 2007), no broom-like reflected wave appears (shown in **Figures 8A,B,E,F**). Compared with the main reflected waves, the evanescent waves are very weak and their amplitudes are negligible. The RD-PML here, especially after adopting the periodic absorbing boundary, has obvious advantages over conventional methods.

In order to further compare the absorbing performances, we calculated the numerical reflection coefficients for different types of PML. We calculate the numerical reflection coefficient by

$$R_p = \frac{\max(u_{ref}) - \max(u_{mod})}{\max(u_{ref})},$$
(19)

where u_{ref} are the theoretical wavefield records at the outer boundary without artificial reflections, and u_{mod} are the wavefield records at the upper boundary with different artificial boundary conditions. For a given spatial position, the maximum value of the wavefields along the whole temporal duration are taken to compute the numerical reflection coefficient. **Figure 9B** shows the decibel (dB) values of numerical reflection coefficient at different incident angles, where. $dB(R_p) = 20 \log_{10} R_p$.



methods. The stability regions for the 2nd-order FD scheme and the 4-stage Runge Kutta scheme are the regions inside the red curve and the blue curve, respectively; while the instability regions for the 2nd-order FD scheme and the 4-stage Runge Kutta scheme are the regions outside the red curve and the blue curve, respectively. λ represents the eigenvalue of the discrete wave equation.

Obviously, the periodic boundary performs better than the corresponding non-periodic boundary. Periodic-10-layer RD-PML performs even better than 20-layer RD-PML, and periodic 20-layer RD-PML performs the best among all the absorbing boundaries. The jitters in the reflection curve of periodic 20-layer RD-PML is caused by the broom-like evanescent waves in **Figure 8H**, whose amplitudes are negligible.

Heterogenous Model

To illustrate the numerical performance of the proposed method for heterogeneous media, we test on the modified Marmousi model, as shown in **Figure 10A**. The grid spacing is 10 m and the grid number is 737 × 751. The upper boundary of the model is a free surface, and the other three edges are absorbing boundaries. The source is a Ricker wavelet and the dominant frequency is of 8 Hz. The source is added on the free surface and middle of the model. A group of receivers are located along the upper boundary. Eight kinds of boundary conditions are compared. There is no theoretical wavefield available as a reference for the Marmousi model; thus, we take the wavefield generated by 50-layer RD-PML as a reference instead (shown in **Figure 10B**). The periodic boundaries for different types of PML are settled refer to **Figure 3B3**.

Figure 11 shows the wavefield difference, which can be regarded as the reflected waves from the boundaries, between the shot records of different types of PML with the reference shot records that shown in **Figure 10B**. **Figures 12A**, **B** show the waveforms along the horizontal dashed line and the vertical

dashed line in Figure 11, respectively. The performances of 10layer RD-PML are better than that of 20-layer conventional CFS-PML, which demonstrates that RD-PML still has a good absorbing effect and applicability for heterogeneous media. Here we focus on the internal comparison of different layers of RD-PML. There are two points that require special analysis: 1) periodic 10-layer RD-PML performs better than 10-layer RD-PML, but not better than 20-layer RD-PML, which is different from the homogeneous medium. Taking the left and right boundaries as an example, the speed setting of the PML region is a one-dimensional extension of the speed along the outermost boundary of the model. Though we set a constant attenuation coefficient $d_x = 2v_{\text{max}}/\Delta x$, the velocity fields in the left and right boundaries are different, which would lead to reflection at the interface of the left and right boundaries when the periodic boundary is adopted. 2) The reflected waves at the left and right boundaries of the 10-layer periodic RD-PML seem to have reversed positions, compared with that of the 10-layer RD-PML. This is due to the periodic boundary that makes part of the reflected wave propagate to the opposite side.

In summary, the improvement of the absorbing performance in heterogeneous media by the periodic boundary is not as obvious as in a homogeneous medium both for the conventional discrete PML and the RD-PML, but RD-PML is still superior to the conventional discrete PML. Considering that there is almost no increase in the amount of calculation, we recommend the use of RD-PML with periodic boundary.

DISCUSSION

The expression of the coordinate stretching operator used in this article (shown as **Eq. 2**) has a sign difference compared with the regular expression (Collino and Tsogka, 2001; Komatitsch and Martin, 2007; Zhang and Shen, 2010; Gao et al., 2017):

$$s_x(x) = 1 + \frac{d_x(x)}{i\omega}.$$
 (19a)

This is because that **Eq. 2** is proposed based on the plane wave expression: $U = u_0 \exp[i(k_x x - \omega t)]$, while **Eq. 19** is proposed based on the plane wave expression: $U = u_0 \exp[-i(k_x x - \omega t)]$ (Johnson, 2008; Chern, 2019). Therefore, **Eq. 2** is actually equal to **Eq. 19**. We adopt **Eq. 2** in this article to maintain continuity with Chern's method.

The RD-PML is implemented by directly adding the decay terms to the original wave equation and the original spatial partial derivatives have not been modified (shown as the first expression in **Eq. 18**), which is very easy for programming. In addition, since RD-PML is suitable for periodic boundary, the scheme in **Figures 3B2, 3B4** can be used in programming, which is very easy to load RD-PML outside the normal simulation area. These two advantages make RD-PML have good application prospects in both reverse time migration (RTM) and full waveform inversion (FWI). To implement the RD-PML for an existing RTM or FWI program, we don't have to rewrite the original program; instead,

we only need to add a corresponding RD-PML calculation module and load it in the main program.

For the temporal discretization, we tried to implement the RD-PML using the conventional 2nd-order FD method for the temporal discretization. However, a very small time-step size is required to ensure the stability of the wave field iteration, otherwise the wavefield iteration would fail. Instead, we adopt the 4-stage Runge Kutta method for the temporal discretization of the seismic wave equation according to Chern (2019). We give a rough analysis for the reason here. The stability area of the 4-stage Runge Kutta method is larger than that of the second-order FD method (shown in Figure 13), which means that the CFL stability condition of the former are more relaxed (Karim, 1966; Frank, 2008). In the case of the same spatial model parameters, the former can use a larger time step, and we can use the time step as we routinely use for simulation. This shows that the stability conditions of the RD-PML method are relatively harsh, although it has been proven to be stable by Chern (2019). The research on the stability conditions of RD-PML can also be a future research work.

CONCLUSION

We introduce the RD-PML to the seismic wave numerical simulation. Firstly, we introduce the principle of PML attenuation in detail and analyze the cause of reflections that produced by conventional discrete PML. Then, we compare the absorbing performance of the RD-PML with that of the conventional discrete PML. Numerical experiments demonstrate the superiority of the RD-PML. In homogenous model, RD-PML with sufficient thickness (e.g., 20 layer) can make the reflected waves reach the effect of mechanical zero; in heterogenous model, 10-layer RD-PML performs better than the 20-layer conventional discrete PML. Furthermore, we adopt periodic boundary to the RD-PML, which can improve the absorbing performance of RD-PML

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without increasing the amount of memory and calculation. Although in the inhomogeneous medium, the periodic boundary has a very limited improvement in the absorbing performance, it doesn't increase the amount of calculation. Another point is that RD-PML is directly implemented based on the 2^{nd} -order equation, and the attenuation term is directly added to the original wave equation. This kind of system does not need to be rewritten as a first-order system, which is very convenient for programming. The method in this paper provides a new idea to realize discrete PML, and has an important role in promoting the development of PML technology.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

YG derives the equations, writes the program, and does the numerical experiments. MZ checks the formula derivation and takes analysis for the numerical experiments.

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