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# Stratospheric dynamics modulates ozone layer response to molecular oxygen variations

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Photolysis of molecular oxygen (O2) sustains the stratospheric ozone layer and is thereby protecting living organisms on Earth by absorbing harmful ultraviolet radiation. In the past, atmospheric  $O_2$  levels were not constant, and their variations are thought to be responsible for the extinction of some species due to the thinning of the ozone layer. Over the Phanerozoic Eon (last ~500 Mio years), the  $\mathrm{O}_2$  volume mixing ratio ranged between 10% and 35% depending on the level of photosynthetic activity of plants and oceans. Previous estimates, mostly performed by simplified 1-D models, showed different ozone (O<sub>3</sub>) responses to atmospheric O2 changes within this range, such as monotonically positive or negative correlations, or displaying a maximum in the O<sub>3</sub> column around a certain  $O_2$  level. Here, we assess the ozone layer sensitivity to atmospheric  $O_2$  varying between 5% and 40% with a state-of-the-art 3-D chemistry-climate model (CCM). Our findings show that the O<sub>3</sub> layer thickness maximizes around the current mixing ratio of  $O_2$ , 21%  $\pm$  5%, while lower or higher levels of  $O_2$  result globally in a reduction of total column  $O_3$ . At low latitudes, the total column  $O_3$  is less sensitive to  $O_2$  variations, because of the "self-healing" effect, namely, a vertical dipole in the tropical ozone response. Mid- and high-latitude O<sub>3</sub> columns that are largely affected by transport of  $O_3$  from the tropics, however, are much more sensitive to  $O_2$  with changes up to 20 DU even for small (+5%)  $O_2$  perturbations. We show that these variations are largely driven by the radiative impact of O<sub>3</sub> on stratospheric temperatures and on the strength of the Brewer-Dobson circulation (BDC), indicating chemistry-radiation-transport feedback. High O2 cases result in an acceleration of the BDC and vice versa, which always works in favor of the negative part of the O<sub>3</sub> anomaly dipole in the tropics being more effectively transported to the mid- and high-latitudes than the positive one. Although there are other factors strongly influencing  $O_3/O_2$  relationship on the Phanerozoic Eon timescales that have not been considered here, our results and the presented mechanism bring useful insights for other studies focusing on the long-term  $O_3$ / O2 relationship.

#### KEYWORDS

stratospheric dynamics, ozone layer,  $O_3/O_2$  relationship, chemistry-dynamics feedback, Phanerozoic Eon

#### 1 Introduction

Ozone  $(O_3)$  is an allotrope of oxygen  $(O_2)$ , which exists throughout the atmosphere. It is harmful to biological tissues and considered a criteria pollutant when present near the Earth's surface (Lefohn et al., 2018). However, about 90% of the current atmospheric  $O_3$  resides in the stratosphere, forming the ozone layer, which protects life on Earth by absorbing harmful ultraviolet radiation (UV) (Herndon et al., 2018). Even small losses in stratospheric  $O_3$  can enhance surface UV levels and thus cause skin burns, the development of skin cancer, and eye diseases such as cataracts (Leaf, 1993).

In 1930, Chapman (1930) was the first to describe the basic reactions responsible for O<sub>3</sub> production in a pure-oxygen atmosphere:

$$(R1) O_2 + hv \rightarrow O + O (\lambda < 242 \text{ nm})$$

$$(R2) O + O_2 + M \rightarrow O_3 + M$$

$$(R3) O_3 + hv \rightarrow O_2 + O (\lambda < 1180 \text{ nm})$$

$$(R4) O_3 + O \rightarrow O_2 + O_2$$

where R2 and R3 establish a fast cycle, leading to continuous absorption of light - mainly UV - and conversion of the absorbed energy into heat, whereas R1 and R4 are both slow, representing the source and sink of odd oxygen,  $[O_x] = [O] + [O_3]$ . While stratospheric chemistry has subsequently been shown to be much more complex than oxygen-only chemistry, including interactions with nitrogen, hydrogen, chlorine, and bromine compounds (Brasseur and Solomon, 2005), R1-R4 do provide a good basis for the present study because R1 and R2 are the only  $O_3$  forming reactions in the stratosphere.

While the pre-biological atmosphere is thought to have been oxygen-free, today this molecule makes up ~21% of the Earth's atmosphere (Kump, 2008). During the Great Oxidation Event (Lyons et al., 2014) 2.4 billion years ago, as a result of rapid O<sub>2</sub> accumulation, the Earth's atmosphere had changed from a weakly reducing to a mildly oxidizing environment, which caused numerous existing species to vanish and marked itself as the beginning of oxygen-based life (Yano et al., 2015). In atmospheric models, the O2 mixing ratio is usually kept at ~21%, since it is well mixed and did not undergo significant changes throughout the Holocene (which is a current geological epoch that began ~11,700 years ago). However, over the Phanerozoic Eon (spanning the last 541 million years), O2 ranged from 10% to 35% (Berner et al., 2003). Recent research identified eight socalled "oxygen cycles" (Large et al., 2019), during which the O2 concentration fluctuated over the past. Generally speaking, O2 levels above 25% contributed to biological evolution, whereas O2 levels below 10% coincided with known mass extinction events (Large et al., 2019), though other mechanisms have also been inferred (Marshall et al., 2020). Photosynthesis by plants has been suggested as the principal driver for rising O<sub>2</sub> levels over the Phanerozoic Eon (Lenton et al., 2016; Catling and Kasting, 2017; Adiatma et al., 2019), along with the oceans leading to the formation of black-shale and subsequent excess O2 production due to the burial of organic matter in the deep basins (Duursma and Boission, 1994).

The emergence of terrestrial land life has been linked with the protection against UV radiation by the ozone layer (Levine et al.,

1979; Kasting and Donahue, 1980). This sparked modeling efforts to investigate the relationship between O<sub>3</sub> and O<sub>2</sub> using 1-D, 2-D and 3-D models. However, previous results are contradictory and disagree on the overall O<sub>3</sub>/O<sub>2</sub> relationship (Berkner and Marshall, 1965; Ratner and Walker, 1972; Levine et al., 1979; Kasting and Donahue, 1980; Harfoot et al., 2007). Some research suggested a monotonically positive correlation between O2 mixing ratios and O<sub>3</sub> columns (Berkner and Marshall, 1965; Levine et al., 1979; Kasting and Donahue, 1980). Other studies reported a monotonically negative correlation (Ratner and Walker, 1972), a maximum in O<sub>3</sub> column around 50% (Kasting et al., 1985) or between 25% and 55% of the present atmospheric O2 (Kozakis et al., 2022) level and subsequent decrease with increasing O2. Most of the past research was performed for much lower-than-present oxygen levels organized around habitability questions, while the ozone responses to near-present or higher-than-present oxygen level has only briefly been touched. Moreover, previous studies were largely performed using 1-D models, which are unable to realistically treat even the vertical transport processes. Harfoot et al. (2007) was the first one to have used two reconstructed O2 histories and applied them in a 2-D model, suggesting a positive correlation between O<sub>2</sub> mixing ratios and O<sub>3</sub> columns throughout the Phanerozoic Eon. Recently, 3-D modeling studies have shed new light on this topic (Way et al., 2017; Cooke et al., 2022). However, the existing contradictions regarding the linearity of the relationship between O<sub>3</sub>/O<sub>2</sub> mixing ratios under Phanerozoic O<sub>2</sub> range remained largely unresolved.

These contradictory results question our understanding of the relationship between  $O_3$  and  $O_2$ , given that stratospheric  $O_3$  formation requires only  $O_2$  and sunlight. Lately, there has been a lot of progress in investigating the broad dynamics-ozone feedback (Nowack et al., 2015; Oehrlein et al., 2020). However, the importance of this factor in the context of the  $O_3/O_2$  relationship has not been explored yet. The latest overview of the  $O_3/O_2$  relationship, presented by Ji et al. (2023), indicates the atmospheric transport of ozone as one of the most important sources of uncertainty that impact the ozone/oxygen dependencies. Our efforts, therefore, aim to reconcile the previous findings regarding the  $O_3/O_2$  relationship under Phanerozoic  $O_2$  conditions with a state-of-the-art 3-D model and to investigate the potential role of an ozone-dynamics feedback in it.

## 2 Methods

In this study, we used the aerosol-chemistry-climate model (CCM) SOCOL-AERv2, which is a state-of-the-art global model described in detail by previous studies (Feinberg et al., 2019). In brief, SOCOL-AERv2 is a combination of the middle atmosphere version of the general circulation model (GCM) ECHAM5 (Roeckner et al., 2003), the chemical model (CTM) MEZON (Model for the Evaluation of oZONe trends, Egorova et al., 2003), and the interactive sulfate aerosol model AER (Sheng et al., 2015). Dynamical and chemical processes in the model are coupled through the exchange of three-dimensional fields of wind, temperature, radiatively active gasses and aerosols, and calculation of radiation fluxes and heating rates, which makes it a perfect tool to study feedbacks between the stratospheric processes. Sea surface temperatures are prescribed in the current model configuration.

The model has 39 vertical levels from the surface till 0.01 hPa, and the horizontal resolution is set to  $2.8^{\circ} \times 2.8^{\circ}$ . In this study, the setting for all boundary conditions was unchanged with respect to present-day, except the  $O_2$  concentration. We carried out seven simulations with 5%, 10%, 15%, 21%, 25%, 30%, and 40% of atmospheric  $O_2$  to cover the range of  $O_2$  levels in the Phanerozoic Eon. The model was spun up till the ozone layer reached equilibrium, after which the following 10 years were analyzed. The 5% experiment seems extreme, as such an extremely low level of  $O_2$  would kill many living organisms simply due to insufficient amounts of this element (Rom, 1992). We nevertheless conducted this simulation to investigate potential non-linearities.

To illustrate the pure chemical effects with no horizontal transport of O<sub>3</sub>, we additionally used a 1-D radiative convective-photochemistry model (Egorova et al., 1997). It consists of radiation, chemistry, convective adjustment, and vertical diffusion modules and has 40 layers from 0 km to 100 km. The photochemical part of the model is not exactly the same as in SOCOL-AERv2, but it also calculates the distribution of chemical species of the O<sub>2</sub>, nitrogen, hydrogen, carbon, chlorine, and bromine groups that are important for the stratospheric O<sub>3</sub>, and we expect that the main differences between the CCM and the 1-D model are mostly due to missing transport. To reach the equilibrium state, we ran the model in each set-up for 50 years with a 2 h time step.

To test the sensitivity of our results to the background atmospheric state, we have conducted simulations with a slightly different SOCOL model version and different boundary conditions setup. We used SOCOL-MPIOM, which has no interactive aerosol module AER but is additionally coupled to the ocean-sea-ice model MPIOM (Stenke et al., 2013; Muthers et al., 2014). This model version has the same vertical resolution as SOCOL-AERv2, but a lower horizontal resolution of  $3.75^{\circ} \times 3.75^{\circ}$ . Background atmospheric state for this model version runs was set to the preindustrial conditions (Meinshausen et al., 2011), among which the most important for our study are no anthropogenic halogens in the atmosphere and lower greenhouse gas levels, compared to the SOCOL-AERv2 setup. Simulations were conducted for the same seven  $O_2$  levels as above for 40 years, among which the last 30 were used for the analysis. Discussion of the sensitivity runs is presented in Section 3.2.

It is important to note that our modeling setup is not realistically representative for Phanerozoic timescales, because it would require adaptations of many other boundary conditions besides  $O_2$  levels, such as orography, atmospheric pressure changes, orbital parameters, concentrations of greenhouse gasses and ozone-destroying substances, and an interactive ocean model to represent completely different climate states, under which those  $O_2$  variations took place. In our case, we are changing only one parameter at a time (the  $O_2$  concentration for chemistry calculations) to focus solely on the  $O_3/O_2$  relationship effects and the role of atmospheric dynamics in them.

## 3 Results

## 3.1 Processes dominating $O_3/O_2$ relationship

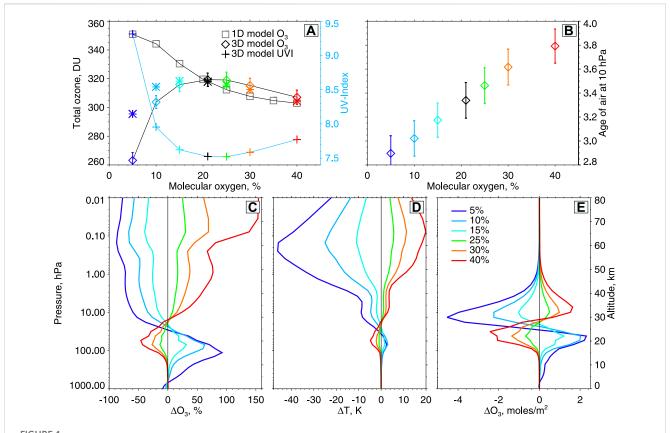
To explore the  $O_3/O_2$  relationship we have performed multiple 1-D and 3-D model experiments, varying the  $O_2$  levels from 5% to 40%, while keeping all other boundary conditions unchanged.

Figure 1A presents global mean total column O<sub>3</sub> for these experiments. Our 1-D results demonstrate a negative relationship between atmospheric O<sub>2</sub> and total O<sub>3</sub> for the presented 5%-40% O<sub>2</sub> cases. In contrast, the obtained 3-D results show a different shape, with the  $O_2$  levels close to the present day one (21%-25%) maximizing the global mean O<sub>3</sub> column. In the 3-D results, there is a clear non-linearity in the O<sub>3</sub>/O<sub>2</sub> relationship, suggesting the existence of compensating feedbacks in the atmosphere. Another interesting result is the asymmetry between O<sub>3</sub> response in the high and low O2 cases, namely, for the O2 concentration of 5%, the O3 content is much lower than for 40% O2. This asymmetry is also clear from the UV index line, which shows that much more UV reaches the ground in the 5% case than in the 40% case. The obtained  $O_3/O_2$ inverted U-shape is not only in contrast to our 1-D results but also the results of previous studies, which either presented a continuous positive correlation between O<sub>3</sub> and O<sub>2</sub> (Berkner and Marshall, 1965; Levine et al., 1979; Kasting and Donahue, 1980; Harfoot et al., 2007), or on the contrary found a negative correlation (Ratner and Walker, 1972), or suggested a similar shape to Figure 1A, however, with a maximum  $\text{O}_3$  concentration reached at ~10.5%  $\text{O}_2$  (Kasting et al., 1985) or ~5.25-11.55% O<sub>2</sub> (Kozakis et al., 2022). Other 3-D modeling studies showed a similar shape to us up to the present O<sub>2</sub> level but were either not performed for higher than present O2 cases (Way et al., 2017) or still showed a slightly higher than present globally averaged O<sub>3</sub> column at the ~30% O<sub>2</sub> level (Cooke et al., 2022). Nevertheless, all models that simulate continuous increase of O<sub>3</sub> with increasing O<sub>2</sub> up till the present level also show the decrease of O<sub>3</sub> sensitivity to O<sub>2</sub> with increasing O<sub>2</sub> levels (Ji et al., 2023).

To explain our results, we further highlight three mechanisms that dominate the global O<sub>3</sub>/O<sub>2</sub> relationship in the considered 5%–40% O<sub>2</sub> variation range: first, the "self-healing effect" of the ozone layer introducing a vertical dipole in the O<sub>3</sub> response; second, an O<sub>2</sub>-dependent altitude and efficiency of maximum chemical O<sub>3</sub> production via R2; and third, the subsequent changes in heating by O<sub>3</sub> via R2 and R3 and, therefore, the speed of the Brewer-Dobson Circulation (BDC), which in turn determines O<sub>3</sub> transport pathways (Dobson et al., 1929; Butchart, 2014) and distribution to mid- and high latitudes. These effects are separately discussed in detail below.

Although the total column  $O_3$  does not change dramatically with changing O<sub>2</sub>, the vertical O<sub>3</sub> profile is strongly redistributed in the tropics, the main O<sub>3</sub> production region (Figure 1E). This effect is known as "self-healing" and has been widely discussed in previous studies (Haigh and Pyle, 1982; Dutsch et al., 1991; Harfoot et al., 2007). For the low  $O_2$  cases, the lower levels of  $O_3$  in the upper parts of the stratosphere are compensated by the higher levels of O<sub>3</sub> at lower altitudes, because lower O2 at high altitudes allows more O3producing UV (<242 nm) to reach the lower layers. The situation is mirrored for the high O2 cases. The amplitude of this vertical redistribution is partly lowered by the reversed effects of the O<sub>3</sub>destroying UV (>242 nm), so that the higher O<sub>3</sub> production in the lower layers for the low O2 cases is also compensated by increased destruction through R3. These chemical-radiative effects of O2 on O<sub>3</sub> can be well captured by both 1-D and 3-D models, but they do not explain the shape of the total O<sub>3</sub> changes in Figure 1A, since the tropics still self-maintain a roughly constant amount of columnintegrated O<sub>3</sub>.

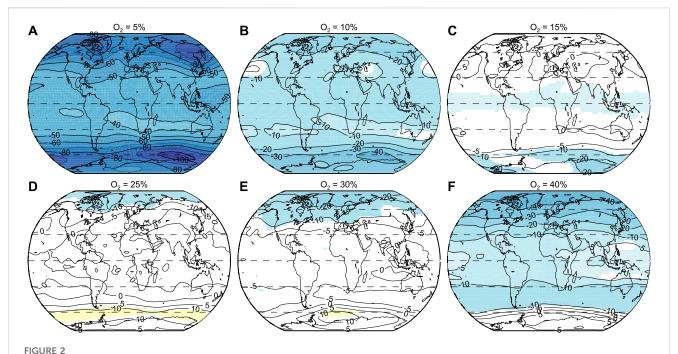
The second important process influencing the  $O_3/O_2$  relationships in the tropics is related to the air density's impact



(A) Global mean total column  $O_3$  (in DU) for seven experiments differing by  $O_2$  levels (5%; 10%; 15%; 21%; 25%; 30%; 40%) obtained from a 1D model (grey squares) and 3D model (coloured diamonds) and the UV-index (UVI, coloured crosses with a black line) calculated from the 3D model  $O_3$  fields at local noon using an approximation from a previous study (Madronich, 2007). (B) Global mean age of air (in years) at 10 hPa for the seven experiments. (C) Difference between the sensitivity and the reference  $O_2 = 21\%$  experiments in the tropics (20°S-20°N) for  $O_3$  mixing ratio (in %) (D) Same difference for temperature (in K). (E) Same difference for  $O_3$  partial column (in molecules/m²). All results are averaged over the last 10 years of model integration. The error bars in panels a and b indicate  $1\sigma$  uncertainty derived from the mean values for each of the 10 years.

on the effectiveness of O<sub>3</sub> production, which we explain using Figures 1C, E. At present, the level of the most efficient O<sub>3</sub> production is situated at an altitude of around 40 km (Seinfeld and Pandis, 2016). Manipulating atmospheric oxygen's proportion moves the altitude of maximum O<sub>3</sub> production up (in case of increased O2) or down (in case of decreased O2) (Ratner and Walker, 1972; Levine et al., 1979; Kasting and Donahue, 1980). In the case of a high  $O_2$  experiment ( $O_2 = 40\%$ ) the level of production in the tropics goes up and then UV is absorbed at lower densities, which makes the process of O<sub>3</sub> production less effective and results in the decrease in total O<sub>3</sub> (Figure 1A). However, shifting the production region upwards does not affect R1; in all modeled cases, all photons with wavelengths shorter than 242 nm will be absorbed, and they will still produce the same amount of atomic oxygen in the total column. In contrast, R2 will be affected, because the higher the O2 photolysis region, the less "M" there is, so the larger the chance for O to get chemically paired up with other species (e.g., Cl or NO). Accordingly, in the low O<sub>2</sub> case, it would be expected that more O<sub>3</sub> would be produced, since the level of maximum production is shifted to lower altitudes, as it was presented by some previous studies based on 1-D models (Ratner and Walker, 1972; Levine et al., 1979; Kasting and Donahue, 1980). However, in our 3-D model case, the low O<sub>2</sub> cases show less  $O_3$  in the total column in the  $O_2$  range where all ozone-producing photons are still fully absorbed in the atmosphere and not lost at the ground. This clearly differs from our 1-D results, as shown in Figure 1A, and undermines the explanation based on the air density's influence. Therefore, the process responsible for the descending shape of the curve in Figure 1A for low  $O_2$  cases is most likely related to the third factor, namely, atmospheric transport.

The vertical dipole in O<sub>3</sub> responses to atmospheric O<sub>2</sub> changes has a direct impact on temperatures (Figure 1D). In the upper parts of the atmosphere, smaller O2 levels result in lower temperatures, and vice versa. Following the relative O<sub>3</sub> changes in Figure 1C, the most pronounced temperature differences are found near the stratopause (1 hPa), with a warming of up to 20 K for the highest O<sub>2</sub> case (red line) and a very strong cooling of around 45 K for the lowest O<sub>2</sub> case (dark blue line). Around 20-30 hPa, there is a reversal of this pattern, but the temperature modulations in the lower stratosphere are less pronounced than those in the upper Temperature differences in the low-latitude meridional stratosphere affect the global circulation, overcompensating the air density's effect and contributing to the shape of the curve in Figure 1A. The Brewer-Dobson circulation, induced by wave activity, introduces the meridional transport in the stratosphere (Plumb, 2002). Air is transported either through the



Total ozone column differences (in Dobson units) relative to the reference ( $O_2 = 21\%$ ) experiment averaged over the last 10 years of integration. Each of the maps corresponds to the individual experiments with different molecular oxygen levels, specified above each panel (A–F). Horizontal dashed lines mark the -60, -30, 0, 30, and 60 deg latitudes. White areas indicate non-significance (at the 95% statistical significance level according to the Student t-test).

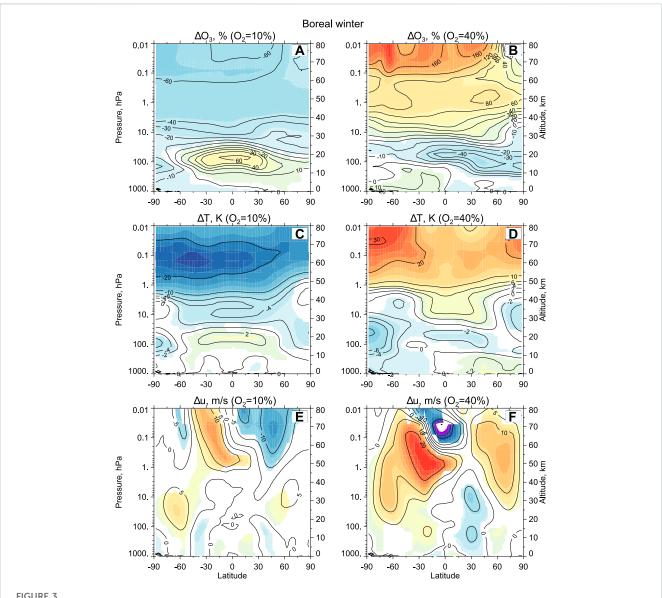
deep branch, displacing tropical stratospheric air to the midlatitudes and polar regions following the long route in the high stratosphere and mesosphere, or through the shallow branch, transporting air from the tropical lowermost stratosphere directly to mid-latitudes. To identify potential impacts of the temperature changes on stratospheric dynamics, we analyzed the simulated stratospheric mean age of air (AOA), implemented as an inert tracer with linearly increasing lower boundary conditions ("clocktracer") (Hall and Plumb, 1994). Figure 1B shows that the transport accelerates for the low O2 cases, which is proven by the relatively young AOA, and vice versa. This intensified transport out of the tropics seems to be the dominating process responsible for the inverted U-shaped curve in Figure 1A. An explanation of this nonlinear redistribution of O<sub>3</sub> requires a closer inspection of regional differences in ozone and stratospheric dynamical changes, which is discussed further in Sections 3.2, 3.3, respectively.

## 3.2 Regional differences

Due to the "self-healing" effect explained earlier, the total  $O_3$  in the tropics is less sensitive to  $O_2$  changes than in high latitudes where larger differences are generally observed. This has been shown for the first time in the 2-D model study (Harfoot et al., 2007). In their study the tropics were less sensitive to  $O_2$  variations while high latitudes changes were more pronounced than in our simulations. For the 5%  $O_2$  case, however, there are still strong >40% changes even in the tropics, which is also seen in Figure 1E as a negative anomaly in the middle stratosphere being

much larger than its lower counterpart. This could be related to either the ozone production profile being shifted to the troposphere, where the dynamical lifetime of ozone is shorter, or to the much faster BDC in the stratosphere that intensifies the transport of ozone from the tropics.

Both polar regions are significantly affected, however it is clear from Figure 2 that northern and southern high latitudes do not mirror each other but react differently to O2 changes. For example, the global mean total O<sub>3</sub> for the 25% O<sub>2</sub> case is identical to the reference case, however, the interhemispheric distribution differs: the 25% O2 case shows a decrease in the Northern Hemisphere (NH) and an increase in the Southern Hemisphere (SH). In contrast to the 2-D study (Harfoot et al., 2007), which observed a positive O<sub>3</sub> change in high latitudes for the high O<sub>2</sub> cases, all our experiments show negative O<sub>3</sub> changes over northern high latitudes, with pronounced effects visible over Canada and Northern Europe even for the moderate O<sub>2</sub> changes. The SH shows a different behavior, with high-latitude changes being negative for lower-than-present O2 levels, but positive for higher-than-present O2 experiments. Such interhemispheric asymmetry has also been shown by another 3-D study by Ji et al. (2023) using the WACCM6 model. These geographical redistributions can be predominantly explained by transport processes, which are particularly important in regions where the chemical lifetime is larger than dynamical timescales, i.e., in the lower stratosphere, where chemical species live long enough to be transported before being destroyed by catalytic cycles or photolysis (Dessler, 2000). Polar regions have very little O<sub>3</sub> production in situ, therefore the amount of O<sub>3</sub> highly depends



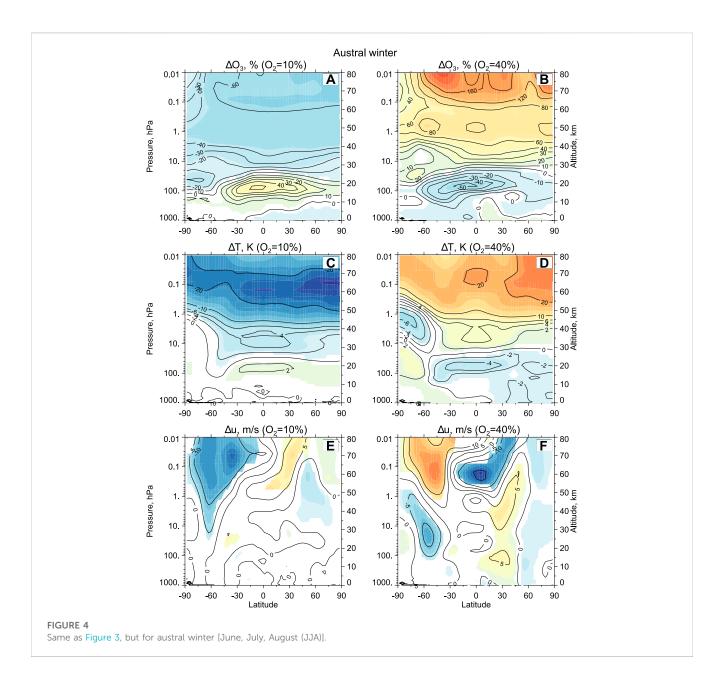
Zonal mean differences relative to the reference experiment ( $O_2$ =21%) of:  $O_3$  volume mixing ratio [%] (A,B), temperature [K] (C,D), and zonal winds [m/s] (E,F). All averaged over the last 10 years of integration for boreal winter (December, January, February (DJF)). White areas indicate non-significance (at the 95% statistical significance level according to Student's t-test). Panels a, c, e refer to our 10%  $O_2$  experiment, whereas b, d, f to our 40%  $O_2$  experiment. Coloured contours are discretized every 10% (for  $O_3$  volume mixing ratio in the panels a and b), every 2K (for temperature in the panels c and d), and every 5 m/s (for winds in the panels e and f). 10% was chosen as the low case, because it has a similar amplitude to 40% (judging from Figure 2C) and because it was the lowest  $O_2$  concentration over Phanerozoic Eon.

on the transport from the tropics. The air transported through the deep and shallow branches of the BDC can mix on the way to the poles. However, whether this air gets to the poles or stays in the surf-zone depends on the strength of the polar vortex, which constitutes a transport barrier. If the vortex is strong and isolated, little mixing occurs between older air transported by the deep branch and younger air transported by the shallow branch, which is the case for the SH. However, in the NH, the polar vortex tends to be weaker due to the surface heterogeneity characterized by more pronounced waves, breaking the transport barrier of the vortex (Strahan et al., 2015). Such asymmetry between the strength of the polar vortices, making the NH more affected

by the mixing with mid-latitudes and the SH more affected by the deep branch of the BDC in our model, is one of the dynamical effects, which explains some redistributions presented in Figure 2. To better understand such transport effects, it is necessary to closely analyze the vertical cross-sections of stratospheric changes, which is performed in the next section.

#### 3.3 Ozone-dynamics feedback

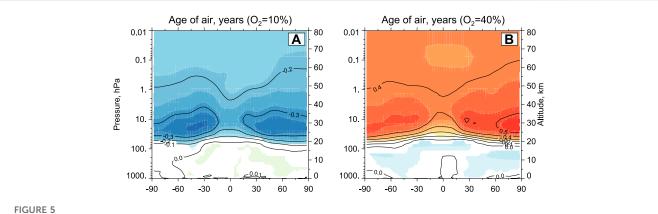
To understand varying responses of NH and SH to modeled O<sub>2</sub> cases, the vertical cross-sections explaining the O<sub>3</sub> transport



pathways need to be discussed. Figures 3, 4 show seasonal mean changes in  $O_3$ , temperature and zonal wind for the 10% and 40%  $O_2$  cases compared to the reference case for winter in the NH (DJF) (Figure 3) and in the SH (JJA) (Figure 4). To better understand the differences between the hemispheres, we discuss additional effects, which are based on the interaction and interdependency of  $O_3$ , stratospheric transport, temperature and winds. All being linked to each other and coupled with previously discussed effects.

Figure 1B presents a deceleration of the BDC for the high  $\rm O_2$  case, manifested through the older AOA, which implies a strongly isolated vortex. Conversely, for the low  $\rm O_2$  case an intensification of the meridional circulation is observed, resulting in younger air, and the vortices become weaker which allows enhanced mixing. AOA changes range between around -10% and +10% for the low and high  $\rm O_2$  cases, respectively. The change occurs all over the stratosphere but is more pronounced in its middle and lower parts.

Zonal wind changes as shown in Figures 3E, F are consistent with these results. Looking at the 40% O2 case in Figure 3B, it can be seen that the low O<sub>3</sub> anomaly propagates from the tropics to the mid-latitudes and further to the poles, due to the deceleration of the BDC. In that case, the shallow branch dominates, and the contribution of the high O<sub>3</sub> subsidence in the mid-latitudes and in the vortex is largely decreased. In contrast, there is a clear dynamical non-linearity between high and low O2 cases. Looking at the 10% O2 case in Figure 3A, it is evident that the positive anomaly of the lower tropical stratosphere cannot propagate into middle and high latitudes as much as in the high O2 case. This can be explained by the decreased strength of the vortex (Figure 3E) and accelerated BDC. In that case, the shallow branch would still transport some part (about 20%-30%) of the anomaly to mid-latitudes, but there would be a much more pronounced effect of the negative anomaly, subsiding from above



Annual and zonal mean differences of the age of air (years) in two oxygen experiments ( $O_2$ =10% in (**A**) and  $O_2$ =40% in (**B**)) relative to the reference experiment ( $O_2$ =21%). Both are averaged over the last 10 years of integration. White areas indicate non-significance (at the 95% statistical significance level according to Student's *t*-test).

in the mid-latitudes and over the pole. Dynamics modify the importance of mixing in the middle stratosphere, which is clearly visible by the difference between the isolines of the  $O_3$  changes. Skewness of the isotherms in the lower-middle stratosphere suggests that the meridional temperature gradient is altered, which induces acceleration (40%  $O_2$  case) or deceleration (10%  $O_2$  case) of the polar vortices, based on the thermal wind formulation. This is also reflected in the panels a and b of Figures 3, 4, presenting  $O_3$  mixing ratio. The isolines for  $O_3$  anomalies in the middle stratosphere are much smoother (more horizontally linear) for the low  $O_2$  experiment, presenting the weak vortex and domination of subsidence, and much more skewed for the high  $O_2$  case, so the high latitudes are dominated by the lower part of the dipole.

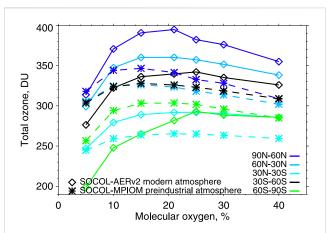
In the SH, the same processes are operating, but the difference occurs due to the fact that the southern polar vortex is two times stronger in comparison to the northern one (Harvey et al., 2002). Looking at Figure 4, it is evident that the dynamical effect of the SH is different, which was also visible on the maps in Figure 2. For the 10% O<sub>2</sub> case, the BDC accelerates, hence the AOA becomes younger. There occurs also a weakening of the polar vortex and a clear competition between the transport by the shallow branch and subsidence by the deep branch, with a pronounced domination of the latter one. This is also supported by the horizontally straight isolines of O<sub>3</sub> in the middle stratosphere, which suggest the enhanced effect of the air coming from above. In contrast, looking at the 40% O2 case, it can be observed that the BDC is decelerated and there is a decrease of subsidence and clear domination of the negative anomaly coming through the shallow branch and mixing into the pole. In that case the polar vortex is generally stronger, however its strength in Figure 4 is not so pronounced and uniform, possibly due to the prescribed quasi-biennial oscillation in the model. It is worth noting that the acceleration of the BDC in the 10% O<sub>2</sub> case indeed results in less O<sub>3</sub> staying in the source region due to the fast transport, however for the same reason it also results in less O<sub>3</sub> being destroyed. Conversely, in the 40% O2 case, a slower BDC can contribute to more O<sub>3</sub> being destroyed, since there is more time for the destruction processes to take place.

To confirm further our conclusions about the interdependence of ozone, temperature, and transport, in Figure 5 we present the anomalies of the age of air, like other variables in Figures 3, 4, but annual mean. The Figure shows intensification of the transport related to deep branch of the BDC under the 10% experiment and the opposite under the 40% experiment, represented as AOA change of up-to  $\pm$  half a year in the middle and the upper stratosphere. Both cases also illustrate that the transport change related to the shallow branch has an opposite sign, which is reflected as very low and statistically insignificant values in the lower and the lowermost stratosphere, indicating the competition between the transport changes through the shallow and deep branches.

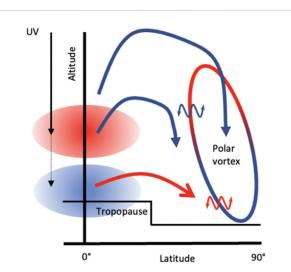
Our analysis indicates that large low latitude changes in O<sub>3</sub> alter the temperature regime of the stratosphere, inducing additional changes to dynamics and O3 transport that explains the nonlinearity in the total O<sub>3</sub> response at mid and high latitudes. Although this mechanism explains the majority of the observed signal, there are many additional feedback loops involved, which contribute to the overall picture. The strengthening and isolation of the vortex due to the temperature gradient would further contribute to the colder temperatures over the pole, affecting the formation of polar stratospheric clouds and related heterogeneous chemistry (Prather, 1992) and denitrification processes. Moreover, changes of temperature in other stratospheric regions would also influence the chemical reaction rates, while the change of available UV and oxidants at different heights would influence CH<sub>4</sub> and N<sub>2</sub>O oxidation rates, both of which will modulate the speed of the O<sub>3</sub> destruction by catalytic cycles. Temperature variations at the tropical tropopause might also affect the stratospheric water vapor content. A separate analysis reveals that H<sub>2</sub>O influx from the troposphere would increase by 30% in the low O<sub>2</sub> case (10%) and decrease by 30% for the high O<sub>2</sub> case (40%). This could contribute to the results of our study as water vapor provides a source for hydroxyl catalytic cycles, which dominate the O3 destruction above the stratopause (Stenke and Grewe, 2005).

#### 3.4 Sensitivity analysis

To test the sensitivity of our findings to the underlying atmospheric state and potential biases in the model dynamics



**FIGURE 6** Total column  $O_3$  (in DU) for seven experiments differing by  $O_2$  levels (5%; 10%; 15%; 21%; 25%; 30%; 40%) obtained from a SOCOL-AERv2 model with modern atmospheric setting (solid line with a diamond sign) and from a SOCOL-MPIOM model with preindustrial atmospheric conditions (dashed line with an asterisk sign). Different latitudinal bands are marked by colors.



#### FIGURE 7

Schematic summary of the processes in the winter hemisphere illustrating the results of the study for the higher-than-present  $\mathrm{O}_2$  levels. Black vertical lateral line represents the change in the UV incident flux, because of the ozone mixing ratio changes in the tropics, represented as reddish and bluish oval areas corresponding to the positive and negative ozone anomalies respectively due to the oxygen change. These variations cause the local temperature changes of the same sign, which further influences the speed of the polar vortex and triggers the change in the speed of the BDC, represented as blue lines for deceleration of the deep branch and red line for some acceleration of the shallow branch. The speed of the polar vortex modulates the mixing of the mid-latitude regions with the polar regions, represented by wavy arrows. For the lower-than-present  $\mathrm{O}_2$  levels, colors would be inverted.

representation, we have rerun our experiments using another SOCOL model version, SOCOL-MPIOM (Muthers et al., 2014). This model employs an interactive ocean and has quite different behavior in terms of polar vortex biases and ozone-dynamics

feedback compared to SOCOL-AERv2 (Friedel et al., 2023). In addition, it was used under the pre-industrial setup (year 1850) meaning lower greenhouse gas and ozone precursor levels and no anthropogenic halogens in the atmosphere. Comparison of the O<sub>3</sub> column dependence on the O2 levels under two model setups is presented in Figure 6 for different latitude bands. SOCOL-MPIOM simulations mostly show the ozone column maximization around 15%-21% of O<sub>2</sub>, compared to the 21%-25% in the SOCOL-AERv2 case, with the largest differences appearing in the polar regions. Nevertheless, both modeling setups qualitatively still agree on the shape of the O<sub>3</sub> dependence on O<sub>2</sub>, namely, increasing O<sub>3</sub> column for lower than near-present O2 levels and decreasing O3 column for the higher than near-present O2 levels, as well as the higher amplitude of changes in the polar regions. This analysis illustrates that indeed some of the features discussed in the previous sections could be potentially affected by the model differences in the climatology of the stratospheric dynamics and composition, especially for small variations around the near-present level. However, the ozone-temperature-transport feedback would still act as an underlying modulating mechanism, favoring negative ozone column anomalies in the middle and higher latitudes even for the higher-than-present O2 levels.

It is important to note that the highlighted mechanism could act differently in the much lower pre-Phanerozoic O<sub>2</sub> levels, as more ozone-producing UV will reach the troposphere. In addition, several studies have reported substantial climate effects due to O<sub>2</sub> variations impacts on atmospheric pressure, such as global surface temperatures, water vapor concentrations, precipitation, and the hydrological cycle (Poulsen et al., 2015; Wade et al., 2019; Edkins and Davies, 2021). Such changes would impact the tropopause height and shape, tropospheric wave forcing, and states of the polar and subtropical jets, introducing additional modulation to the discussed stratospheric processes, which is not considered in our study as well as in other previous chemistry-focused studies. Thus, more research is needed to combine both factors and to test transport processes' importance in other models under Phanerozoic and pre-Phanerozoic O<sub>2</sub> variations.

## 4 Conclusions and discussion

Our findings show that total O<sub>3</sub> peaks around the near-present O<sub>2</sub> levels and alterations in the atmospheric O2 result in less total O3 being sustained globally. The study shows that a variety of complex processes influences the O<sub>3</sub>/O<sub>2</sub> relationship. In the considered 5%-40% O<sub>2</sub> range, the O<sub>3</sub> changes for high and low O<sub>2</sub> cases can be to a large extent explained by the combination of three processes, all interdependent and linked to each other: dynamical changes resulting from the acceleration/ deceleration of the BDC, "self-healing" effect, and the air density's influence on the O<sub>3</sub> production in the total column. While the "selfhealing" and air density effects dominate in the tropics, the changes in the dynamics are responsible for the more pronounced changes in the high latitudes. "Self-healing" introduces the vertical dipole in the low-latitude O<sub>3</sub> and temperature changes and therefore modifies the dynamics, which always work in favor of the negative part of the tropical O<sub>3</sub> anomaly that is effectively transported to the mid- and high-latitudes. These processes are summarized in Figure 7. Moreover, there exists an interhemispheric asymmetry, which is explained by the effect of enhanced mixing of the lowermost stratosphere in the NH and the dominating role of large-scale

advective transport in the SH, which, however, could be different under different past geographical positioning of continents.

Our results are contrary to previous 1-D or 2-D studies covering the Phanerozoic Eon range, which either showed a positive monotonic correlation of global annual mean O<sub>3</sub> and atmospheric O<sub>2</sub> (Harfoot et al., 2007), reported an O<sub>3</sub> decrease with atmospheric O<sub>2</sub> (Ratner and Walker, 1972), or discovered a peak in O<sub>3</sub> column around 0.5 of the present atmospheric level (Kasting et al., 1985), however have similarities with the recent 3-D modeling papers (Way et al., 2017; Ji et al., 2023). We argue that changes in the composition can affect the dynamics, e.g., via O<sub>3</sub>-induced changes in the BDC. Meridional ozone redistribution due to O2 changes has been shown for the first time in the 2D model study (Harfoot et al., 2007), however in their model it was predominantly defined by the transport of O<sub>3</sub> by the deep branch of the BDC (middle and higher stratosphere) without any competing effects in the lower stratosphere and no interhemispheric asymmetries. This could be related to the simplifications of the 2-D transport and wave drag schemes. Three other recent studies (Way et al., 2017; Cooke et al., 2022; Ji et al., 2023) also exploited 3-D chemistryclimate models like in our case, however the main focuses of these studies have been different to ours and the linearity of the O<sub>3</sub>/O<sub>2</sub> relationship has only briefly been touched. One of these studies showed a continuously linear O<sub>3</sub>/O<sub>2</sub> relationship up to the present atmospheric level and to the one experiment that they performed with higher-thanpresent O<sub>2</sub> (31.5%) (Cooke et al., 2022), whereas the other one showed a clear saturation of the O<sub>3</sub>/O<sub>2</sub> relationship around the present level (Way et al., 2017), which is consistent with us, however with no experiments beyond that. Unfortunately, both studies did not present and discuss the details of their ozone and temperature changes in the stratosphere, which complicated the identification of potential reasons for this disagreement. Finally, our results generally agree with the latest paper by Ji et al. (2023), which further analyzed the Cooke et al. (2022) simulations and showed non-linearities in the high-latitude ozone column response and highlighted the potential importance of transport processes.

It should be emphasized that the intention of our model study is not to present new estimates of atmospheric O<sub>3</sub> concentrations during the Phanerozoic Eon, but to investigate the details of the O<sub>3</sub> response to atmospheric O2 concentration changes taking dynamical feedback effect into account. Therefore, our model's set-up contains simplifications, such as the lack of an interactive ocean for the main model setup, as well as fixed air density, present-day composition (ODS, O<sub>3</sub> precursors) and orography, explained in the methods section. However, under the realistic transient setup we would expect a stronger or weaker sensitivity of the system to the O2 changes, but not a qualitatively different result. This is because, for whatever climatic background, the O2 change would always result in a tropical O3 and temperature vertical dipole, which is known from the Chapman cycle and basic radiative transfer (Brassuer and Solomon, 2005). And similarly, the large temperature changes in the sun-lit stratosphere would also have to induce the rearrangement of the stratospheric circulation, despite the climatic setting used. In a realistic transient setup, there would indeed be many other strong and important factors, but the dynamics would still be acting behind as a modulator. This has been tested using another model as explained in the methods and in the last section of the results concerning sensitivity analysis. Therefore, our paper brings useful insights for other studies focusing on the long-term O<sub>3</sub>/O<sub>2</sub> relationship, namely, the importance of the chemistry radiative transport feedback that can strongly shape the regional and global  $\rm O_3$  response to the  $\rm O_2$  changes.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: https://doi.org/10.5281/zenodo. 5733121.

#### **Author contributions**

IJ drafted most of the paper, analyzed the data, and visualized some of the results. TS supervised IJ, assisted in data analysis, and visualized the final results. TE and ER designed model experiments and performed main simulations. JS performed simulations with the SOCOL-MPIOM model. TP, HR, AS, and GC contributed with their expertise in atmospheric chemistry and dynamics and drafted parts of the text. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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