



## **Responses of Winter Wheat Yields to Warming-Mediated Vernalization Variations Across Temperate Europe**

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Rapid climate warming, with much higher warming rates in winter and spring, could affect the vernalization fulfillment, a critical process for induction of crop reproductive growth and consequent grain filling in temperate winter crops. However, regional observational evidence of the effects of historical warming-mediated vernalization variations on temperate winter crop yields is lacking. Here, we statistically quantified the interannual sensitivity of winter wheat yields to vernalization degree days (VDD) during 1975–2009 and its spatial relationship with multi-year mean VDD over temperate Europe (TE), using EUROSTAT crop yield statistics, observed and simulated crop phenology data and gridded daily climate data. Our results revealed a pervasively positive interannual sensitivity of winter wheat yields to variations in VDD ( $\gamma_{VDD}$ ) over TE, with a mean  $\gamma_{VDD}$  of 2.8 ± 1.5 kg ha<sup>-1</sup> VDD<sup>-1</sup>. We revealed a significant (p < 0.05) negative exponential relationship between  $\gamma_{VDD}$  and multi-year mean VDD for winter wheat across TE, with higher  $\gamma_{VDD}$  in winter wheat planting areas with lower multi-year mean VDD. Our findings shed light on potential vulnerability of winter wheat yields to warming a likely future warmer climate.

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## INTRODUCTION

Increasing evidence from both historical observations and agro-land surface models generally shows that recent and future climate warming (IPCC, 2013) threatens crop growth and yield production for several major staple crops across most parts of the world despite large uncertainties (Lobell and Field, 2007; Lobell et al., 2008, 2011b, 2012; Schlenker and Roberts, 2009; Peltonen-Sainio et al., 2010; Ciscar et al., 2011; Deryng et al., 2011; Asseng et al., 2013; Lobell and Tebaldi, 2014; Rosenzweig et al., 2014; Trnka et al., 2014). Summer crops (e.g., grain maize) are reportedly vulnerable to increasing summer temperatures, particularly increased frequency of extremely high temperatures, heat waves and droughts (Ciais et al., 2012), which has led to marked crop yield reductions (e.g., ~20–36% decrease in the yields of grains and fruits in the 2003 heat wave over Europe) (Ciais et al., 2005; Lobell et al., 2011a; Butler and Huybers, 2013). Negative impacts of climate warming have also been observed for many kinds of temperate winter/spring crops globally (Lobell and Field, 2007). Historical climate warming as well as warming-induced increases in heat and drought stress, particularly those occurring within specific crop development stages

(e.g., flowering), affect winter crop growth and consequent grain filling (e.g., high temperatures induce shortening of reproductive phases and reduced light interception over the shortened life cycle) (Butterfield and Morison, 1992; Asseng et al., 2011). Notably, climate warming during recent decades has shown great seasonal asymmetry, with much higher warming rates in winter and spring (IPCC, 2013). Winter and spring warming could potentially change the process of vernalization fulfillment (i.e., effective chilling accumulation before anthesis), an important prerequisite for induction of reproductive growth for many winter crops (e.g., winter wheat).

Vernalization is a physiological process with critical impacts on triggering reproductive growth for many winter crops (Díaz et al., 2012; Langer et al., 2014; Wang et al., 2015). Variations in vernalization fulfillment affect the number of leaves and tillers, floral initiation time, flowering phenology and spikelet formation, and thus contribute indirectly to winter crop yields (Brooking, 1996; Kim et al., 2009; Semenov and Shewry, 2011). Genetic controls of vernalization fulfillment are relatively well understood. The genetic mechanisms controlling vernalization are categorized according to their interactions with the environment (Griffiths et al., 2009). Effectiveness of crop vernalization is proposed to be closely linked to the regulation of the group of Vrn genes (Allard et al., 2011; Díaz et al., 2012), which is triggered by specific climate conditions (e.g., low temperature).

Warmer temperatures during the vernalization period could slow the chilling accumulation, and thus delay onset of the reproductive stage (Rosenzweig and Tubiello, 1996), potentially increasing the risk of high temperature exposure for winter crops (e.g., winter wheat) during anthesis and consequent grain filling. A further future warming with a fast pace of roughly 0.2  $^{\circ}$ C decade<sup>-1</sup> is predicted over the next 2–3 decades, with substantially higher warming rates in agricultural areas (IPCC, 2013). The frequency of high temperatures occurring before and around anthesis of winter wheat is predicted to increase in Europe (Semenov and Shewry, 2011). Altogether, these raise concerns regarding the effects of warming-mediated vernalization variations on regional crop yields of vernalizationsensitive winter wheat, a crucial staple crop for humans (FAO, 2006), and the consequent impacts on regional food production. Remarkably, previous studies revealed increasing interannual variations in winter wheat yields in recent decades over central and southern Europe and showed that winter wheat yields in such regions are particularly susceptible to changing climate (Lavalle et al., 2009; Ciscar et al., 2011; Challinor et al., 2014; Wu et al., 2014). However, the effects of warmingmediated vernalization variation on winter wheat yields are poorly quantified and understood observationally at the regional scale. We hypothesize that winter wheat yields over temperate Europe (TE) are more sensitive to variations in vernalization fulfillment in warmer regions (i.e., with less/slow chilling accumulation).

Therefore, in this study, we statistically quantify the spatial pattern in interannual sensitivity of winter wheat yields to vernalization variations in TE for the period 1975–2009 and investigate its spatial relationship to multi-year mean

vernalization degree days (VDD), using regional EUROSTAT [at Nomenclature of Territorial Units for Statistics (NUTS) 2 level] crop yield statistics, observed and simulated crop phenology data, and gridded daily climate data. Comprehensive understanding of the interannual sensitivity of winter wheat yields to warming-mediated vernalization variations could provide crucial insights for assessing the possible vulnerability of current winter wheat cropping systems in response to future climate warming in TE and similar regions worldwide.

#### MATERIALS AND METHODS

# EUROSTAT Crop Yield Statistics and Climate Data

The annual EUROSTAT crop yield statistics at the NUTS 2 level (i.e., basic regions for the application of regional policies) for the period 1975-2009 were obtained from the European Commission (http://appsso.eurostat.ec.europa.eu/nui/show.do? dataset=agrracsh&lang=en, downloaded September 2014). This dataset documents all primary crop types, including wheat and grain maize. The wheat yield data documented in EUROSTAT are mixed with both winter and spring wheat yield observations. Planting areas of winter wheat exceed 83% of the total planting area of wheat crops (both spring and winter wheat) over TE (Sacks et al., 2010). In this study we focus on wheat, which has relatively continuous spatiotemporal coverage (Wu et al., 2014). In the EUROSTAT database, crop yield is reported as the amount of dry matter suitable for consumption (Moors et al., 2010). We first examined the length of available wheat yield records for each NUTS 2 region, and discarded crop yield time series <10 years in length. We then rasterized the crop yield data from the regions at NUTS 2 level into gridded data with a spatial resolution of 0.5° matching the spatial resolution of the gridded climate and land cover data. The rasterizing process followed that of Wu et al. (2014). We calculated the gridded winter wheat yield,  $\overline{Y}$ , using Equation (1):

$$\overline{Y} = \left(\sum_{k=1}^{n} A_k Y_k\right) \left/ \sum_{k=1}^{n} A_k \right. \tag{1}$$

where  $A_k$  is the area fraction of the  $k^{th}$  NUTS 2 region meeting in one grid,  $Y_k$  is the wheat yield of the  $k^{th}$ region recorded in EUROSTAT and n is the number of regions meeting within one grid. In cases where extreme values were present in  $Y_k$  (i.e., above or below three standard deviations of the crop yield series for kthregion during 1975–2009), we assigned the corresponding  $A_k$  as zero to minimize possible bias introduced by unrealistic yield records prior to the rasterizing process (Wu et al., 2014).

The gridded dataset (E-OBS V11.0) of daily maximum and minimum temperature and precipitation, with a spatial resolution of  $0.5^{\circ}$ , during 1950–2014 was obtained from the European Climate Assessment project (http://www.ecad.eu/ download/ensembles/download.php) (Hofstra et al., 2009). The WFDEI climate forcing for driving the ORCHIDEE\_crop model (for detail see section Calculation of Growing Degree Days (GDD) and VDD) was obtained from the ISIMIP project (https://www.pik-potsdam.de/research/climate-impacts-and-vulnerabilities/research/rd2-cross-cutting-activities/isi-mip/ about/isi-mip2).

## Calculation of Growing Degree Days (GDD) and VDD

GDD for each grid was calculated using the daily minimum  $(T_{min})$  and maximum  $(T_{max})$  temperatures, as shown in Equation (2):

$$GDD = \sum_{t=1}^{n} DD \tag{2}$$

where, t and n are days from planting and the total number of days from planting to harvest for winter wheat, respectively. Degree days (DD) was calculated by Equation (3):

$$DD = max(T_t, 0) \tag{3}$$

where  $T_t$  is the mean daily temperature, calculated as  $(T_{min} + T_{max})/2$ . In this study, we set a base temperature of 0 °C for winter wheat (McMaster and Wilhelm, 1997). We determined the growing season (from planting to harvest) of winter wheat for each grid over TE, based on an observational gridded crop calendar dataset (the SAGE dataset, http://nelson.wisc.edu/ sage/data-and-models/crop-calendar-dataset/index.php) (Sacks et al., 2010). This globally available crop calendar dataset has been widely used in global/regional crop yield simulations and statistical analyses of crop yield–climate interactions (Lizumi et al., 2013; Ray et al., 2015). However, this static crop calendar dataset did not allow us to consider the possibly changing planting and harvesting dates for winter wheat over TE.

Two different kinds of vernalization effectiveness functions of daily temperature (VF) were used in this study to calculate the VDD during the vernalization period (from planting to start of anthesis) and to validate each other. One VF followed a quadratic function of daily temperature used in the ORCHIDEE\_crop model (Supplementary Figure S1) the same function used in the STICS model, in detail see Brisson et al. (2008) and Wu et al. (2016)—as shown in Equation (4):

$$VF = max(1 - \left[\frac{T_{opt} - T_t}{T_{amp}}\right]^2; 0)$$
(4)

where  $T_t$ ,  $T_{opt}$ , and  $T_{amp}$  are the mean daily temperature, optimal vernalization temperature and thermal semi-amplitude of vernalizing effect, respectively.  $T_{opt}$  and  $T_{amp}$  for winter wheat are parameterized in this study as 6.5 and 10.0 °C, respectively, according to Brisson et al. (2008). Another VF was derived from the SIMTAG model (Stapper, 1984), which is a piece-wise linear function of mean daily temperature and similar to that used in the CERES-Wheat model and that of Reinink et al. (1986). The response of VF to  $T_t$  in the SIMTAG model is illustrated in Equation (5) (Supplementary Figure S1):

$$VF = \begin{cases} 0, \ if \ T_t < -1 \ or \ T_t > 9 \\ T_t, \ if \ -1 \le T_t < 0 \\ 1, \ if \ 0 \le T_t \le 3 \\ (7 - T_t) \ /16 + 0.75, \ if \ 3 < T_t \le 7 \\ 3 \ (9 - T_t) \ /8, \ if \ 7 < T_t \le 9 \end{cases}$$
(5)

We then calculated the VDD for winter wheat in each grid by multiplying  $T_t$  and the corresponding VF factors during the vernalization period. Unfortunately, spatially detailed observations of the start of anthesis for winter wheat over TE are lacking. We alternatively used a recently developed process-based agro-land surface model ORCHIDEE\_crop (Wu et al., 2016) to simulate the spatial patterns in the anthesis date for winter wheat over TE during 1979–2009, driven by WFDEI climate forcing and the SAGE gridded planting dates for winter wheat.

In the ORCHIDEE\_crop model, the vernalization requirement for winter wheat growth is determined by the genotype-dependent number of vernalizing days (*JVC*) and the vernalizing value of a given day (*JVI*), which are counted since germination (IGER). A minimum number of vernalizing days (*JVC<sub>min</sub>*) is required for winter wheat—in our study it was parameterized as 7 according to Brisson et al. (2008). Then, the progress in winter wheat vernalization, RFVI, increases gradually after IGER until it reaches the value 1, following Equation (6):

$$RFVI = \frac{\sum_{i=IGER}^{I} (JVI_i - JVC_{\min})}{JVC - JVC_{\min}}$$
(6)

where  $JVI_i$  is the vernalizing value of a given day *i* since IGER, *I* is the day when RFVI reaches the value 1—for detail see Brisson et al. (2008). The ORCHIDEE\_crop model has been validated for both phenology (e.g., harvest date) and yields of winter wheat across seven sites covering a variety of climate conditions over Europe (Wu et al., 2016). In this study, we compared the simulated anthesis dates with the limited field observations in Europe (Supplementary Table 1). Our comparison showed that the ORCHIDEE\_crop model can capture the spatial pattern in anthesis dates of winter wheat across different climate conditions in Europe (y = 1.11x - 15.4,  $R^2 = 0.69$ , p < 0.05) (Supplementary Figure S2). Thus, we used the simulated spatial distribution in anthesis dates from the ORCHIDEE\_crop model during 1975–2009 to calculate the VDD over TE.

#### Interannual Sensitivity of Winter Wheat Yields to Climate Variations

Multivariate linear regression (MLR) was applied to each grid with available winter wheat yields and corresponding climate data to calculate the interannual sensitivity of winter wheat yields to variations of different climate factors over TE for the period 1975–2009, as shown in Equation (7):

$$Y = \sum_{i=1}^{n} \gamma_i X_i + \epsilon \tag{7}$$

where Y is the detrended time series of annual crop yields,  $\gamma_i$ is the regression coefficient for the  $i^{th}$  predictable detrended climate variable  $X_i$ ; n and  $\epsilon$  is the number of independent variables and residual error, respectively. GDD, VDD and total growing-season precipitation (PRE) were included in the MLR analyses. A quadratic polynomial function of time was fitted to the wheat-yield series to account for possible non-steady changes in technical improvement, agricultural investment and human management (e.g., fertilization and pesticide use) (Wu et al., 2014). Then, Y was calculated by subtracting the fitted values from the raw yield series. Note that the non-linear effects of technical improvement and human management on winter wheat yields could not be completely eliminated even though we filtered the annual yield series (Lobell and Field, 2007; Wu et al., 2014). The GDD, VDD, and PRE were also detrended using the same method as for crop yields. The detrended time series allowed us to address the effects of interannual variations in climate factors on the interannual variations of winter wheat yields (Wu et al., 2014). We did not constrain a positive contribution of GDD to wheat yields as was done by Butler and Huybers (2013). Their study introduced another variable considering the effects of extremely high temperature on crop development and grain yields, whereas we calculated GDD as the total thermal accumulations during the whole growing season.

Solar radiation, especially during grain filling, is reported to be a very important climate factor regulating winter wheat vields (Murchie et al., 2009; Xiong et al., 2012). However, an inclusion of detrended solar radiation (derived from the ISIMIP project, see section Calculation of Growing Degree Days (GDD) and VDD) summed from the start of anthesis to harvest did not markedly improve the regression model performance, with mean  $R^2 = 0.41$  (range 0.28–0.88), indicating that the yields of winter wheat over TE were primarily regulated by variations in thermal and water conditions. To simplify our model structure we did not include solar radiation in our final analyses. To further verify the results of MLR we also performed independent ridge regression analyses to investigate the interannual sensitivity of winter wheat yields to climate variations. Ridge regression has been demonstrated to be effective for addressing collinearity among independent variables in multivariate regression (Hoerl and Kennard, 1970).

In this study, the effects of some other factors, such as photoperiod (Brooking and Jamieson, 2002), carbon dioxide fertilization (Lobell and Field, 2008) and fertilizer application (Godden et al., 1998) on winter wheat yields were not considered. The sensitivity of winter wheat yields to climate factors in six major Köppen–Geiger climate zones was also investigated. The six major climate zones, including arid (grouping BWk, BWh, BSk, and BSh), warm temperate humid (grouping Cfa, Cfb, and Cfc), warm temperate arid (grouping Dfa, Dfb, Dfc, and Dfd), cold summer dry (grouping Dsa, Dsb, Dsc, and Dsd) and tundra, were reclassified based on Köppen–Geiger climate classification (Kottek et al., 2006) over TE (for detail see Supplementary Figure S3).

# Spatial Relationships between Interannual Sensitivity of Winter Wheat Yields to VDD ( $\gamma_{VDD}$ ) and Multi-Year Mean VDD

Exponential quantile regressions at 25th, 50th, and 75th percentiles were applied to investigate the spatial relationships between  $\gamma_{VDD}$  and the multi-year mean VDD using the R package of quantreg (V5.21, https://cran.r-project.org/web/ packages/quantreg/index.html) (Ruppert et al., 2012). Analyses were performed for data derived from different categories of regions, including all cropland of TE and cropland with significant (p < 0.05) fitted MLR models. A global land cover type data product MCD12Q1 (http://glcf.umd.edu/data/lc/) at a spatial resolution of 0.5° was used to identify cropland over TE. This dataset identifies 17 land cover classes based on the International Geosphere Biosphere Programme vegetation classification scheme (Loveland et al., 2000), which includes 11 natural vegetation classes, three developed and mosaicked land classes, and three non-vegetated land classes. We only retained grids with cropland fraction  $\geq$ 5% for our final analyses.

## RESULTS

### Spatial Patterns in Interannual Sensitivity of Winter Wheat Yields to Climate Variations

The MLRs between winter wheat yields and GDD during growing season (from planting to harvest), VDD (based on VF function in the ORCHIDEE crop model) from planting to start of anthesis, and total growing-season PRE for the period 1975–2009 were significant (p < 0.05) in ~61% of grids with sufficiently long records for crop yields over TE. The goodness-of-fit of MLRs had mean  $R^2 = 0.39$  (range 0.27–0.88) (Supplementary Figure S4A). The goodness-of-fit of MLR was much smaller (mean  $R^2 = 0.28$ , range 0.15–0.67) when VDD was excluded from the MLR (Supplementary Figure S4B).

Generally negative interannual sensitivity of winter wheat yields to GDD ( $\gamma_{GDD}$ ) was observed in most of TE, with a mean of  $-2.30 \pm 1.31$  kg ha<sup>-1</sup> GDD<sup>-1</sup> (**Figure 1A**). This result indicates that higher GDD accumulation during the growing season can lead to lower winter wheat yields over TE. There was more negative  $\gamma_{GDD}$  in parts of temperate-humid regions and coldhumid regions (e.g., eastern France and eastern Europe) of TE (**Figure 1A**, Supplementary Figure S3). The spatial pattern in the interannual sensitivity of winter wheat yields to total growingseason precipitation ( $\gamma_{PRE}$ ) showed great variation across TE (**Figure 1C**). In general, there was negative  $\gamma_{PRE}$  in western TE (mainly France) and positive  $\gamma_{PRE}$  in parts of eastern TE (**Figure 1C**).

In addition to these findings, we focused on  $\gamma_{VDD}$  during 1975–2009. Our results showed a generally positive  $\gamma_{VDD}$  (based on VF function in the ORCHIDEE\_crop model) in most of TE, with a mean of  $2.8 \pm 1.5$  kg ha<sup>-1</sup> VDD<sup>-1</sup> (Figure 1B, Table 1). Higher  $\gamma_{VDD}$  was observed in continental TE (Figure 1B). To verify the spatial patterns in  $\gamma_{GDD}$ ,  $\gamma_{VDD}$  and  $\gamma_{PRE}$  derived from MLR, we performed an independent ridge regression analysis to



**FIGURE 1** | Spatial patterns in the interannual sensitivity of winter wheat yields to climate variations over temperate Europe during 1975–2009. The interannual sensitivity of winter wheat yields to growing-season (from planting to harvest) growing degree days (GDD), vernalization degree days (VDD, based on the quadratic function in ORCHIDEE\_crop model) during vernalization period (from planting to start of anthesis, in detail see Methods) and total growing-season precipitation for each grid is estimated by adjustable multivariate linear regressions and shown in (A–C), respectively. White grids indicate that there are no/few yield statistic data available or there is no winter wheat planted. Regions shown in this figure are NUTS 2 regions for crop yield statistics (http://epp.eurostat.ec.europa.eu).

get a more "accurate" estimation for regression coefficients of predictor variables (Hoerl and Kennard, 1970). The independent ridge regression analysis showed consistent spatial patterns in the interannual sensitivity of winter wheat yields to variations of climate factors (Supplementary Figure S5). Notably, ridge regression analyses produced consistent spatial patterns in the interannual sensitivity of winter wheat yields with two **TABLE 1** | Statistics of the regression coefficients between winter wheat yields and climate variables in major climate zones.

Climate Zones*	Regression co-efficients of MLR <sup>†</sup>		
	γ <b>gdd</b>	ννοο	γ <b>PRE</b>
Warm temperate humid	-2.30 (± 1.24)	3.26 (± 2.02)	-0.23 (± 1.01)
Cold humid	-1.97 (± 1.42)	3.48 (± 2.05)	-0.75 (± 1.23)

\*Climate zones are reclassified based on the Köppen-Geiger climate classification (Kottek et al., 2006) (in detail see Methods). There are few grids available in the other four major climate zones, including arid, warm temperate arid, arctic summer dry, and tundra climate zones.

<sup>†</sup>  $\gamma_{GDD}$ ,  $\gamma_{VDD}$ , and  $\gamma_{PRE}$  are the regression coefficients of the multivariate linear regression (MLR) between the detrended winter wheat yields and growing-season (from planting to harvest date) growing degree days (GDD), vernalization degree days (VDD) during vernalization period (from planting to start of anthesis date) and total growing-season precipitation (PRE), with units of kg ha<sup>-1</sup> GDD<sup>-1</sup>, kg ha<sup>-1</sup> VDD<sup>-1</sup> and kg ha<sup>-1</sup> mm<sup>-1</sup>, respectively. Data are shown in mean ( $\pm$  standard deviation).

different VDD calculations based on different response functions between vernalization effectiveness and daily temperature (for detail see section Materials and Methods) (Supplementary Figures S5, S6).

# Spatial Relationship between $\gamma_{VDD}$ and Multi-Year Mean VDD

The fitted 25th, 50th, and 75th non-linear quantile regressions consistently revealed significant (p < 0.05) negative exponential relationships between  $\gamma_{VDD}$  of winter wheat yields and the multi-year mean VDD for two different calculations of VDD during 1975–2009 in cropland grids with a significant fitted MLR model ( $y = 0.19e^{-0.005x}$  and  $y = 0.07e^{-0.0083x}$  for 50th quantile exponential fits for VDD calculated based on VF from the SIMTAG and ORCHIDEE crop models, respectively) (**Figure 2**). There was a higher  $\gamma_{VDD}$  of winter wheat yields in cropland grids with lower multi-year mean VDD (**Figure 2**, Supplementary Figure S7).

Consistent patterns were also found for data derived from all cropland grids (including grids with and without a significant MLR model) over TE for two different VDD calculations (**Figure 3**). This verified that robustness of the increasing  $\gamma_{VDD}$  along with a decreasing VDD was neither susceptible to analysis regions (i.e., grids with significant MLR model vs. all grids within croplands of TE) nor to the different calculations of VDD (**Figures 2, 3**).

There was great spatial difference in the linear trends in VDD calculated by VF from the ORCHIDEE\_crop model during 1975–2009 in TE (Supplementary Figure S8). Our analyses revealed decreasing VDD in parts of southern and continental TE during 1975–2009. Investigation of the relationships between the linear trends of VDD and multi-year mean VDD (based on VF function in the ORCHIDEE\_crop model) during 1975–2009 revealed a more rapid decrease in VDD in regions with higher VDD over TE (**Figure 4**).



#### DISCUSSION

#### Interannual Sensitivity of Winter Wheat Yields to Variations in Climate Factors

The observed negative  $\gamma_{GDD}$  over TE is consistent with previous findings that winter wheat yields in this region are susceptible to climate warming (Porter and Semenov, 2005; Wu et al., 2014) and warmer temperatures during the growing season lead to a stagnation, or worse, decreases in crop yields (Brisson et al., 2010; Semenov and Shewry, 2011; Wu et al., 2014). We identified a much more negative  $\gamma_{GDD}$  in parts of temperate-humid and coldhumid regions (e.g., eastern France and eastern Europe) of TE, where crops are suffering more severe high temperature stress (Ciais et al., 2005; Wu et al., 2014). Such discernible negative effects of climate warming on the widely observed stagnation or collapse in yields of wheat have also been observed in many



other regions worldwide (Calderini and Slafer, 1998; Lobell and Field, 2007; Brisson et al., 2010; Ray et al., 2012), despite the confounding effects of socio-economic and agricultural policy (Lobell, 2012). It was recently reported that the risk of high temperatures to winter wheat yields will increase further in the future, particularly around sensitive development stages, such as flowering (Semenov and Shewry, 2011).

The  $\gamma_{PRE}$  of winter wheat yields showed a clear spatial pattern—predominately positive and negative in regions characterized with temperate continental and oceanic climate, respectively (**Figure 1C**). Winter wheat grown in temperate continental regions with drier spring and summer suffers water stress during the growing season (Ciais et al., 2005; Maracchi et al., 2005; Wu et al., 2014). Thus, winter wheat yields exhibit a positive response to variations in water supply, and this conclusion was confirmed by the positive relationship between



winter wheat yields and total growing-season precipitation in temperate continental regions (**Figure 1C**). In contrast, the positive  $\gamma_{PRE}$  disappeared in humid regions of TE, probably because of higher precipitation and the great spatial variations in soil water conditions among climate zones. Given the low irrigation intensity over TE, particularly for winter wheat, changes in precipitation amounts and seasonality accompanying climate warming will influence the magnitude of drought stress on winter wheat yields (Olesen and Bindi, 2002). Current trends and future scenarios depict an increase in drought stress for TE (Spinoni et al., 2015), which will potentially increase competition for water between agriculture and other sectors and uses (Lavalle et al., 2009).

Despite the great spatial variations in  $\gamma_{PRE}$ , it exhibited an increasing interannual sensitivity of winter wheat yields to water availability over TE during recent decades (Lavalle et al., 2009; Wu et al., 2014), illustrating an increasing effect of drought stress on winter wheat yields. Increase in water use efficiency caused by increasing carbon dioxide concentration could partly compensate for some of the negative effects of increasing water limitation. However, lower harvestable yields, higher yield variability and reduction in suitable areas for winter wheat are expected in these areas (Maracchi et al., 2005; Lavalle et al., 2009).

#### **Responses of Winter Wheat Yields to Warming-Mediated Vernalization Variations**

Positive  $\gamma_{VDD}$  of winter wheat yields in most of TE illustrates a crucial effect of vernalization fulfillment on winter wheat yields. We identified higher positive  $\gamma_{VDD}$  in regions with lower multi-year mean VDD in croplands of TE, as revealed by robust analyses of non-linear quantile regressions for two kinds of calculations of VDD. These statistical findings qualitatively point out that winter wheat yields are sensitive to variations in VDD, particularly in regions with low VDD. It can be reasonably expected that winter wheat yields will dramatically decline in response to even a subtle decrease in VDD (**Figure 2**). Our results highlight the possible vulnerability of winter wheat yields to warming-mediated interannual variations in vernalization fulfillment in regions with low VDD, in particular accompanying much higher warming rates in winter and spring (Cohen et al., 2012; IPCC, 2013). Warmer temperatures during the vernalization period can reshape the crop vernalization fulfillment process across diverse bioclimatic regions. Vernalization variations affect the floral initiation time, tiller and spikelet formation, onset of reproductive growth, grain numbers and consequently crop yields (Brooking, 1996; Fischer, 2011). Sufficient vernalization fulfillment before anthesis could partially compensate for the adverse effect of global warming on winter wheat heat stress (Rezaei et al., 2015; Stratonovitch and Semenov, 2015).

The predicted future warming over TE with increases in climate variability (Schär et al., 2004; Seneviratne et al., 2006; Wu et al., 2014) and in the frequency of high temperature and heat waves (Ciais et al., 2005; Perkins et al., 2012; Reichstein et al., 2013) could affect vernalization variation, especially in regions with low VDD (e.g., southern and continental TE). This in turn would reshape the sensitivity of winter wheat yields to variations of VDD in such regions. However, whether and when projected further climate warming will trigger a failure of vernalization (i.e., being unable to meet the minimum vernalization requirement) for current winter wheat cropping systems, particularly in the southern limit of winter wheat planting areas of TE, remains poorly understood. From gene perspective, vernalization process is dominantly regulated by the interactions between genes Vrn 1 and Vrn 2 (Yan et al., 2004). Previous study reported that loss of function of Vrn 2, whether by natural mutations or domestication, could result in reduction in vernalization requirement for winter wheat (Yan et al., 2004). Nevertheless, there still remains a big challenge for building an adaptive support system for sustainable agriculture, given that the breeding and adopting of new varieties is not likely to keep pace with rapid climate warming (Challinor et al., 2016). A northward shift of planting areas for vernalization-sensitive crops (e.g., winter wheat) is reasonably expected in response to the predicted future climate warming in TE (Olesen et al., 2007; IPCC, 2013). However, such shifts may not be sufficiently rapid to compensate for the negative effects of climate change on wheat yields, since virgin land for agriculture expansion is limited. Instead, the increasing  $\gamma_{VDD}$  in such regions could inevitably affect current food production. Note that the effects of VDD changes on winter wheat yields may have already been countered by local adaptation measures of individual farmers, such as changing sowing dates or introducing new cultivars. Any such gradual changes would not be captured by the statistical models using detrended data (for detail see section Materials and Methods). Thus, the interannual sensitivity of winter wheat yields to VDD variations reported here can be viewed as a "baseline" of the impacts of warming-mediated VDD variations on winter wheat yields.

Undoubtedly, the responses and adaptation of winter wheat systems to historical and future warming-induced changes in VDD also depend on human management practices, particularly the availability and levels of applied water and adaptive planting schemes (Wang et al., 2015). Although irrigation is seldom

applied to winter wheat in Europe, it is locally used to avoid drought stress throughout the whole growing season (Rodríguez Díaz et al., 2007; Zhao et al., 2015), particularly in water-limited regions (see Figure 3A in Zhao et al., 2015). In addition, the possible difference in cultivars planted in rain-fed and irrigated croplands of TE could also bias the sensitivity of winter wheat yields to changes in VDD. However, the lack of sufficient observations of planted cultivars during recent decades across TE does not allow us to estimate the sensitivity of yields for different winter wheat cultivars to warming-induced VDD variations. Thus, to what extent reactions in such human management can modify the sensitivity of winter wheat yields to VDD changes remains unclear. Caution should be also exercised on potential biases in our conclusions that may originate from error propagation from different datasets and data processing (e.g., rasterizing of NUTS 2 crop yields). More accurate and detailed datasets for both crop yields and human management are urgently needed to constrain such uncertainty.

#### CONCLUSIONS

Our statistical analyses showed that past climate warming significantly modified the responses of winter wheat yields to vernalization variations over TE. The observed higher positive  $\gamma_{VDD}$  in response to lower VDD reveals that winter wheat yields in warmer regions of TE are particularly susceptible to warming-induced changes in VDD. Our results shed light on the potentially crucial impacts of future winter and spring warming on the vernalization fulfillment and consequent winter wheat yields across TE, one of the world's largest and most productive suppliers of food (FAO, 2006). Notably, the possible non-linear responses of winter wheat growth and yield production to warming-mediated vernalization variations and its interactions with increased occurrence of extreme high temperatures and heat waves (Meehl and Tebaldi, 2004; Stott et al., 2004; Giannakopoulos et al., 2009; Trnka et al., 2014)

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could interactively undermine winter wheat yields and food security across TE. However, the expected vulnerability of winter crops of TE to future climate change (Lavalle et al., 2009) will also be affected by any changes in cropping systems and human management. An adapting framework is urgently required to sustain future food security from both public and private sectors (Reidsma et al., 2010; Moore and Lobell, 2014), with a precondition of further comprehensive understanding of the responses of winter wheat yields to a warmer climate, in particular combining the regional/global observational networks, remote sensing observations and process-based agro-land surface models.

#### **AUTHOR CONTRIBUTIONS**

XW design the study and lead the data analyses and manuscript writing. MM provides parts of data. HL, XL, and YT aids data analyses. All authors contribute to manuscript writing.

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#### SUPPLEMENTARY MATERIAL

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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