



# Ethnic Disparities in Endothelial Function and Its Cardiometabolic Correlates: The Pathobiology of Prediabetes in A Biracial Cohort Study

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**Background:** Endothelial function (EF) reflects the balance between vasodilatory and vasoconstrictive factors produced by (or acting on) the innermost lining of blood vessels. Endothelial dysfunction, an imbalance between these factors that favors vasoconstriction, has been associated with increased risk for cardiovascular disease. However, the influence of race/ethnicity and glycemic status on association between EF and cardiovascular risk factors remain to be clarified.

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# Edited by:

Jan Polák, Charles University, Czechia

#### Reviewed by:

Anne E. Sumner, National Institutes of Health (NIH), United States Gautham Yepuri, University of Fribourg, Switzerland

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#### Specialty section:

This article was submitted to Diabetes, a section of the journal Frontiers in Endocrinology

Received: 06 June 2017 Accepted: 27 February 2018 Published: 13 March 2018

#### Citation:

Owei I, Umekwe N, Mohamed H, Ebenibo S, Wan J and Dagogo-Jack S (2018) Ethnic Disparities in Endothelial Function and Its Cardiometabolic Correlates: The Pathobiology of Prediabetes in A Biracial Cohort Study. Front. Endocrinol. 9:94. doi: 10.3389/fendo.2018.00094 **Subjects and methods:** We assessed EF in relation to glycemia and cardiometabolic profile in African-American (AA) and European-American (EA) offspring of parents with type 2 diabetes (T2D), who are participants in the prospective pathobiology and reversibility of prediabetes in a biracial cohort (PROP-ABC) study. Assessments at enrollment included a 75 g oral glucose tolerance test (OGTT), blood pressure, anthropometry, body composition (DEXA), and lipid profile. Other assessments were insulin sensitivity and resting energy expenditure. EF was measured using flow-mediated vasodilation (EndoPAT 2000) and expressed as reactive hyperemia index (RHI).

**Results:** We studied 190 subjects (100 AA, 90 C), mean age (±SD) 53.1 ± 9.1 years, and body mass index 30.6 ± 6.8 kg/m<sup>2</sup>. Based on OGTT data, 96 subjects (52 AA, 44 EA) had prediabetes and 94 subjects were normoglycemic (48 AA and 46 EA). The RHI was lower in AA than EA (2.17 ± 0.55 vs. 2.36 ± 0.72, P = 0.05) and in prediabetic than normoglycemic subjects (2.14 ± 0.62 vs. 2.38 ± 0.65, P = 0.013). Using RHI ≤ 1.68 as diagnostic cut-off, 19% of participants with prediabetes and 10% of normoglycemic participants had endothelial dysfunction (P = 0.04). In univariate models, RHI was positively associated with age and HDL cholesterol levels, and inversely associated with adiposity, diastolic blood pressure, and 2hr plasma glucose. The association between RHI and adiposity was stronger in men than women. The association between RHI and age, glucose and HDL cholesterol displayed marked ethnic disparities.

**Conclusion:** In our biracial cohort comprising offspring of parents with T2D, prediabetes increased the risk of endothelial dysfunction. However, the association between EF and

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cardiometabolic risk factors was significantly modified by ethnicity and gender. Our findings support current understanding of endothelial dysfunction as an early sensitive indicator of cardiometabolic risk.

Keywords: prediabetes, endothelial dysfunction, ethnic disparities, cardiometabolic risk, obesity, dyslipidemia

# INTRODUCTION

Endothelium, the largest end organ in the body by surface area, is the target of numerous noxious stimuli, including proinflammatory cytokines, oxidative stress, shear stress from blood pressure, glycemia, and lipidemia, among others (1). Endothelial function (EF) reflects the balance between vasodilatory and vasoconstrictive factors produced by (or acting on) the innermost lining of blood vessels, and is traditionally assessed by methodologies based on measurement of flow-mediated vasodilation (2). Endothelial dysfunction, thus, is a pathological state that shifts the balance among the various vasoactive factors toward increased vasoconstrictive tone (2). Several reports have demonstrated that endothelial dysfunction is an early marker of cardiovascular disease in patients with diabetes (1-6). Indeed, endothelial dysfunction precedes fatty streaking, the well-known initiator of atherosclerosis and macrovascular disease (2, 4). Endothelial dysfunction also is increasingly being recognized as a complication of prediabetes (7-10).

However, the association between endothelial dysfunction and prediabetes has not been as well documented as that between endothelial dysfunction and established diabetes. Moreover, the influence of race/ethnicity on any association between endothelial dysfunction and prediabetes merits investigation. Indeed, the few studies on EF in prediabetic individuals are limited by lack of demographic diversity among participants (7, 8). The reported higher prevalence of diagnosed type 2 diabetes (T2D) and associated complications in African-Americans (AA) compared to European-Americans (EA) provide a rationale for investigating ethnic patterns in endothelial dysfunction in prediabetes. Furthermore, hyperglycemia is known to adversely affect EF (5, 6), which makes glycemic control a confounding variable when comparing EF across individuals with diabetes.

The pathobiology and reversibility of prediabetes in a biracial cohort (PROP-ABC) study is a prospective study of non-diabetic AA and EA offspring of parents with T2D. The cohort comprises participants with normal glucose values and those with recently recognized prediabetes. Data from our biracial PROP-ABC participants has enabled us to investigate the influence of ethnicity on EF before the development of diabetes and to document evidence of endothelial dysfunction in prediabetes. Studies have shown that lifestyle intervention (and certain medications) could prevent or delay the development of T2D among people with prediabetes (11–14). Thus, our study provides a framework for longitudinal assessment of the impact of interventions on the reversibility of prediabetes and early endothelial dysfunction.

# MATERIALS AND METHODS

#### **Study Subjects**

We studied AA and EA male and female offspring of parents with T2D, who are participants in the prospective PROP-ABC study. The latter is a continuation of the pathobiology of prediabetes in a biracial cohort (POP-ABC) (15, 16).

Briefly, the POP-ABC study was a longitudinal study in which normoglycemic AA and EA offspring of individuals with T2DM were followed for the occurrence of incident prediabetes, defined as impaired fasting glucose (IFG) and/or impaired glucose tolerance (IGT) using American Diabetes Association criteria (17). The pre-specified age range for inclusion was 18-65 years. Subjects with a history of diabetes, major illnesses, recent hospitalization, and those using medications known to alter body weight or glucose metabolism were excluded from the POP-ABC study (15, 16). During 5.5 years of follow-up, 101 of 343 participants developed incident prediabetes and were notified without any interventions. The aim of the PROP-ABC study includes providing intensive lifestyle intervention to subjects with incident prediabetes, while continuing to follow those who remain normoglycemic. The present report compares EF and other parameters in 96 of the participants with incident prediabetes (Progressors) and a group of 94 participants matched with age, gender, and ethnicity who remained normoglycemic (Non-progressors). EF was measured during year 1 of PROP-ABC as part of the baseline assessment in participants who completed the parent POP-ABC study (duration 5.5 years, mean follow-up 2.62 years) and agreed to re-enroll for the continuation PROP-ABC study (Figure 1). Thus, the present report is a cross-sectional comparison of Progressors vs. Nonprogressors at the end of POP-ABC study. The study protocol was approved by the University of Tennessee Institutional Review Board and written informed consent was obtained from all participants before initiation of the study, which was conducted in accordance with ethical principles established by the Declaration of Helsinki.

#### **Procedures and Measurements**

The procedures at enrollment included a 75-g oral glucose tolerance test (OGTT), anthropometric and blood pressure measurements, lipid profile, and blood biochemistries. Blood pressure was recorded in the seated position, using an automated

Abbreviations: ABI, ankle-to-brachial blood pressure index; AA, African-Americans; BMI, body mass index; DEXA, dual energy X-ray absorptiometry; EA, European-Americans; EF, endothelial function; FFM, fat-free mass; FPG, fasting plasma glucose; IFG, impaired fasting glucose; IGT, impaired glucose tolerance; OGTT, oral glucose tolerance test; POP-ABC, pathobiology of prediabetes in a biracial cohort; PROP-ABC, pathobiology and reversibility of prediabetes in a biracial cohort; REE, resting energy expenditure; RHI, reactive hyperemia index; Si-Clamp, insulin sensitivity measured by hyperinsulinemic euglycemic clamp; 2hrPG, two hour post-load plasma glucose during 75-g standard oral glucose tolerance test; T2D, type 2 diabetes.



sphygmomanometer (Welch Allyn monitor, Skaneateles Falls, NY, USA); the average of two readings was used for calculations. The ankle-to-brachial blood pressure index (ABI) was calculated as the ratio of the mean systolic blood pressure measured over the posterior tibial artery in both lower extremities to the systolic blood pressure measured in the brachial vessel of the right arm. The average of two measurements taken from each location, 10 min apart, was used for calculating the ratio. Body weight (in light outdoor clothing) was measured in duplicate on a calibrated balance beam scale (Tanita scale, Arlington height, IL, USA). Standing height (without shoes) was determined in duplicate with a standard stadiometer. The body mass index (BMI) was calculated as weight in kilogram divided by the square of the height in meters. Waist circumference was determined to the nearest 0.1 cm at the midpoint between the highest point of the iliac crest and the lowest costal margin in the mid-axillary line, using a Gulick II tape measure. Total and trunk fat mass was measured using DEXA. Insulin sensitivity (Si-clamp) was measured using the hyperinsulinemic euglycemic clamp, as previously described (18). In brief, steady-state glucose infusion rate was expressed in molar units per kilogram of fat-free mass to derive metabolic clearance of glucose (M). The latter was then corrected for steadystate plasma insulin levels (M/I) to obtain insulin sensitivity values. Resting energy expenditure (REE) was measured using indirect calorimetry, as previously described (18).

#### **Endothelial Function**

Endothelial function was assessed using the well-validated EndoPAT 2000 device (ITAMAR Medical, Caesarea, Israel) and expressed as reactive hyperemia index (RHI) (19, 20). Participants underwent the procedure following a 12-h overnight fast, and were instructed to maintain usual diet, avoid tobacco or alcohol consumption, or unusual exercise prior to the test day. Briefly, overnight fasted subjects remained supine for 20 min in a quiet room before the test. A single-use sleeve is placed on the index finger of each hand to continuously measure peripheral arterial tone. The brachial artery in the upper arm of the non-dominant arm (test arm) was occluded with a blood pressure cuff for 5 min, followed by rapid release. The dominant arm without any manipulation served as the control. The EndoPAT 2000 software integrates inputs from the finger sleeves of the control and the test arms (at baseline, during occlusion, and after release) and generates data for flow-mediated vasodilatation (measured as RHI) (19–21).

## **Statistical Analyses**

All statistical analyses were conducted using SAS statistical software, version 9.3 (SAS Institute Inc., Cary, NC, USA). Data were reported as means  $\pm$  SD unless otherwise specified. Differences between groups were analyzed using unpaired *t*-tests for discrete variables and  $\chi^2$  test for ordinal variables. General linear regression models were used to compare anthropometric and cardiometabolic data in AAs and EAs and between prediabetic and normoglycemic subjects. The relationship between EF (RHI) and cardiometabolic markers (weight, BMI, waist circumference, total fat mass, trunk fat mass, cholesterol, and insulin sensitivity) was analyzed using linear regression and Pearson correlation coefficients. The level of significance was set at *P* < 0.05.

# RESULTS

# **Cohort Description**

We studied 190 subjects (100 AA, 90 EA) who had complete results for EF. Of the 190 subjects, 96 (52 AA and 44 EA) were participants who developed incident prediabetes during follow-up (Progressors) and 94 (48 AA and 46 EA) were age-, gender-, and ethnicity-matched participants who maintained normoglycemia (Non-progressors). The mean age ( $\pm$ SD) was 53.1  $\pm$  8.64 years in Progressors and 52.5  $\pm$  9.56 years in Non-progressors (P = 0.36); the mean BMI 31.6  $\pm$  7.00 kg/m<sup>2</sup> in Progressors and 29.1  $\pm$  6.33 kg/m<sup>2</sup> in Non-progressors (P = 0.003).

The BMI was higher  $(32.5 \pm 6.9 \text{ vs}.28.5 \pm 6.1 \text{ kg}, P < 0.0001)$ and insulin sensitivity lower  $(0.12 \pm 0.07 \text{ vs}.0.15 \pm 0.07, P = 0.02)$  in AA than EA participants, but there was no difference in fasting plasma glucose (FPG), 2-hour plasma glucose (2hrPG), or REE between the two groups (**Table 1**). Total body fat mass, trunk fat mass, waist circumference, and blood pressure values were higher, but ABI and triglycerides were lower in AA compared to EA (**Table 1**).

### **Endothelial Function**

Endothelial function assessed by flow-mediated vasodilation (RHI) was similar in men and women, and tended to be lower in AA than EA subjects, although the difference was of marginal statistical significance (2.17  $\pm$  0.55 vs. 2.36  $\pm$  0.72, *P* = 0.05). In contrast, progressors to prediabetes had significantly lower RHI than Non-progressors who maintained normoglycemic during longitudinal follow-up (RHI: 2.14  $\pm$  0.62 vs. 2.38  $\pm$  0.65, *P* = 0.013) (**Table 2**). In a previous report, endothelial dysfunction was defined as the mean (2.34  $\pm$  0.33) minus 2 standard

	African-American (AA)	European- American (EA)	P-value
Number	100	90	
Glycemic status			
Prediabetes	52	44	
Normoglycemia	48	46	
Age (years)	$51 \pm 9.3$	55 ± 8.5	0.003
BMI (kg/m²)	32.5 ± 6.9	28.5 ± 6.1	<0.0001
Waist (cm)	98.9 ± 15.9	91.7 ± 16.1	0.003
Total fat mass (kg)	38.3 ± 17.9	32.5 ± 12.7	0.02
Trunk fat mass (kg)	20.2 ± 8.8	$16.9 \pm 7.5$	0.01
SBP (mmHg)	124 ± 14.1	119 ± 11.1	0.008
DBP (mmHg)	77 ± 8.9	75 ± 7.7	0.03
ABI	$1.00 \pm 0.1$	$1.10 \pm 0.09$	0.0002
FPG (mg/dl)	90.7 ± 8.2	92.1 ± 6.8	0.21
2 hrPG, mg/dl	139 ± 31.3	133 ± 30.2	0.18
LDL (mg/dl)	$108 \pm 30$	107 ± 29.2	0.92
HDL (mg/dl)	53.8 ± 14.3	53.5 ± 13.1	0.89
Triglycerides (mg/dl)	81 ± 38.6	104 ± 45	0.0003
REE (kcal/kg FFM)	$14.5 \pm 0.4$	$14.2 \pm 0.3$	0.63
Si-clamp			
(µmol/kg FFM·min⁻¹/ pmol/L)	$0.12 \pm 0.07$	0.15 ± 0.07	0.02

ABI, ankle-to-brachial index; DBP, diastolic BP; FFM, fat-free mass; FPG, fasting plasma glucose; REE, resting energy expenditure; SBP, systolic BP; Si-clamp, insulin sensitivity measured by hyperinsulinemic euglycemic clamp; 2hrPG, two hour post-load plasma glucose during 75 g standard oral glucose tolerance test.

**TABLE 2** | Endothelial function [reactive hyperemia index (RHI)] in relation to glycemic status, gender, and ethnicity among offspring of parents with type 2 diabetes.

Category	<b>RHI</b> 2.14 ± 0.62	P-value
Progressors (prediabetes)		
Non-progressors (normoglycemia)	$2.38 \pm 0.65$	0.01
Male	$2.19 \pm 0.64$	
Female	2.17 ± 0.55	0.37
African-American	$2.29 \pm 0.65$	
European-American	$2.36 \pm 0.72$	0.05

deviations of RHI values in 20 healthy asymptomatic individuals without a history of cardiovascular disease or major risk factors, corresponding to RHI  $\leq$  1.68 (22). Using that cut-off RHI value of 1.68, we found that 19% of our participants with incident prediabetes (Progressors) had endothelial dysfunction as compared to 10% among Non-progressors (Chi squared *P* = 0.04).

# **Correlates of EF**

In linear regression models, RHI was positively associated with age (r = 0.21, P = 0.005) and inversely associated with weight (r = -0.16, P = 0.03), BMI (r = -0.12, P = 0.08), diastolic blood pressure (r = -0.14, P = 0.06), and 2hrPG (r = -0.22, P = 0.04) in the entire study population. The association between RHI and body weight was stronger in men (r = -0.29, P = 0.003) than women (r = 0.08, P = 0.42) (**Figure 2**). Other adiposity measures displayed a similar gender pattern in their association with RHI: waist circumference (r = -0.31, P = 0.03 in men vs. r = -0.07, P = 0.46 in women); total fat mass (r = -0.23, P = 0.05 in men vs. r = -0.08, P = 0.37 in women); and trunk fat mass (r = -0.19, P = 0.06 in men vs. r = -0.07, P = 0.42 in women).

The association between EF (RHI) and age seemed stronger in AA than EA subjects (r = 0.26, P = 0.012 in AA vs. r = 0.13, P = 0.1 in EA). Similarly, we found significant Black–White differences in the strength of association between EF and 2hrPG (r = 0.01, P = 0.92 in AA vs. r = -0.23, P = 0.02 in EA) and HDL cholesterol levels (r = 0.25, P = 0.014 in AA vs. r = 0.09, P = 0.42 in EA) (**Figure 3**). No associations were observed between RHI and fasting plasma glucose, HbA1c, systolic blood pressure, total cholesterol, triglycerides, ABI, Si-clamp, or REE in our biracial cohort of healthy offspring of parents with T2D. Specifically, the correlation coefficient between RHI and Si-clamp was 0.01 (P = 0.97) in AA and 0.1 (P = 0.40) in EA (**Figure 4**). Similarly, the correlation coefficient between RHI and REE was 0.08 (P = 0.45) in AA and 0.09 (P = 0.43) in EA.

# DISCUSSION

Endothelial dysfunction is an early marker of cardiovascular disease that precedes fatty streaking during the development of atherosclerosis (23, 24). Our findings of significantly lower EF in prediabetic individuals compared to normoglycemic control is consistent with the report by Gupta et al. on the relationship between dysglycemia and EF (7). Compared with lean normoglycemic subjects, age-matched obese individuals with prediabetes (FPG 106 mg/dl) showed evidence of endothelial dysfunction (as indicated by impaired flow-mediated dilatation) (7). Consistent with our data, an inverse relationship between EF and adiposity was also noted in prediabetic individuals (7). In a previous report, endothelial dysfunction was defined as the mean RHI (2.34) minus 2 SDs (0.33) obtained from 20 healthy asymptomatic individuals without a history of cardiovascular disease or major risk factors, yielding a cut-off values of  $\leq 1.68$ (23). Based on that criterion, 19% of our subjects with prediabetes, as compared to 10% of normoglycemic participants, had endothelial dysfunction. These findings support the notion that the prediabetes milieu is toxic environment for the initiation of vascular complications (9).



(open circles).



Measures of adiposity were inversely related to EF, as measured by RHI in this study. Obesity is associated with the activation of proinflammatory cytokines, lower adiponectin levels, insulin resistance, and dysglycemia, factors that bode ill for EF (1-8). In this study, we observed that the association between EF and adiposity measures was much stronger in men than women. Our findings are consistent with a recent report by Dass et al. that brachial artery flow-mediated dilation was



significantly, inversely associated with total and central adiposity measures in men but not in women, among 108 healthy AA nonsmokers aged 18–45 years (22). As we found no difference in mean RHI between men and women in this study, the modifying effect of gender was expressed as a relative flattening of the effect of increasing adiposity on RHI in women (vs. declining RHI in men, **Figure 1**). Together, our findings and the related report by Dass et al. indicate a modifying effect of gender on the interaction between EF and adiposity. The plausible mechanisms likely involve a protective effect of estrogen that somehow blunts the deleterious effect of obesity on the endothelium (25–27), although a deleterious effect of androgen may also be argued.

The mean RHI was numerically lower in AA participants compared to their white counterparts, with a borderline statistical significance (P = 0.05). Other workers have reported significant ethnic disparities in EF, driven in part by greater adiposity and cardiometabolic risk factors in AAs (28). In addition to greater adiposity, other plausible mechanisms for the lower EF in AAs may relate to a higher burden of proinflammatory cytokines and lower adiponectin levels (29-32). Interestingly, we observed a positive association between RHI and age, especially among AA participants, which would seem paradoxical, as older age is known to be associated with greater cardiovascular disease burden. However, the association between age and EF (measured by flowmediated dilation) is rather complex and subject to modification by lifestyle factors (7, 33, 34). In one report, BMI-adjusted RHI was not correlated with age (7). We also observed marked ethnic differences in the associations between EF (RHI) and 2-hrPG and HDL cholesterol levels. The significant association between RHI and 2-hrPG noted in EA participants was not evident among AA participants. In contrast, the robust association between RHI and HDL cholesterol levels observed in AA subjects was absent among EA participants.

The mechanisms for these disparate associations between EF and glucose or HDL cholesterol levels are unclear. Hyperglycemia impairs EF, in part, by activating reactive oxygen species that can negate the beneficial vasodilatory effects of endothelium-derived nitric oxide (35). In contrast, HDL and its associated paraoxonase exert anti-inflammatory and anti-oxidant properties that are vasoprotective (36, 37). Thus, the observed ethnic differences in the associations between EF and glycemia or HDL cholesterol might indicate differences in HDL functionality or sensitivity to glucotoxicity (38, 39). In terms of integrated physiology, flow-mediated vasodilation (expressed as RHI) is a surrogate measure of endothelial nitric oxide synthase (eNOS) activation and endothelial nitric oxide release, leading to vasodilation (40). Although eNOS plays a central role in the control of endothelial homeostasis, acetylcholine released by endothelial cells is an important component of the flow-mediated vascular response (41). Once released, acetylcholine mobilizes calcium from intracellular compartment within endothelial cells and stimulates nitric oxide production, leading to vascular relaxation (41). Recently, telomerase activity has been shown to also modulate microvascular flow-mediated dilation through an ability to switch vascular signal from nitric oxide to toxic-free radicals, such as mitochondrial hydrogen peroxide in the setting of atherosclerotic cardiovascular disease (42).

We observed no significant correlation between EF (RHI) and insulin sensitivity in our EA or AA participants. As insulininduced nitric oxide production from the endothelium increases blood flow and glucose uptake in skeletal muscle, a direct correlation between insulin sensitivity and EF can be expected (43). However, several studies have examined the relationship between insulin action and EF in humans, with variable results (44-48). In those studies, EF was assessed by measuring blood flow, and insulin action was assessed by using various methods (including hyperinsulinemic euglycemic clamp, minimal modeling, and homeostasis model of insulin resistance). Muniyappa and Sowers pooled the correlation coefficients between insulin sensitivity and EF for 12 such studies and reported a value of 0.14 (P = 0.001) (49). Thus, the correlation between insulin sensitivity and EF seems rather weak. Furthermore, components of the insulin resistance syndrome (including obesity, dyslipidemia, hypertension, dysglycemia, adipocytokine secretome, etc.) often are associated with alteration of EF. Consequently, multivariate analyses that controlled for potential confounders, usually report no significant relationship between insulin sensitivity and endothelial dysfunction (48-51). Also, the lack of a significant correlation between EF and insulin sensitivity in our study might be explained in part by the overall healthy blood pressure, lipid, and glycemic profile of the POP-ABC participants. It is plausible that a less healthy cohort could have generated a different pattern of relationship between EF and insulin sensitivity.

The strengths of our study include the large sample size, the largely operator-independent procedure for assessing EF, and utilization of a biracial cohort. Also, the study design of the parent POP-ABC study allowed us to study subjects with incident prediabetes detected during longitudinal follow-up. The limitations of our study include the lack of serial data on temporal changes in EF and our inability to account for behavioral and environmental factors that could affect EF. Despite these limitations, our findings are concordant with previous observations regarding correlates of endothelial dysfunction. Moreover, our study extends previous reports by demonstrating, in a biracial cohort comprising AA and EA offspring of parents with T2D, that prediabetes increased the risk of endothelial dysfunction. In conclusion, we have shown that endothelial dysfunction is a sensitive indicator of recent transition from normoglycemia to prediabetes status, thus reinforcing current understanding of the cardiovascular risk associated with prediabetes.

# **AUTHOR CONTRIBUTIONS**

The authors' individual contributions included the following: SD-J as the principal investigator, developed the study concept and design, and wrote the manuscript. IO collected the data, conducted the statistical analysis, drafted, reviewed, and revised the manuscript. NU collected the data, reviewed, and revised the

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manuscript. HM collected the data, reviewed, and revised the manuscript. SE reviewed and revised the manuscript. JW conducted statistical analysis, reviewed, and revised the manuscript.

# ACKNOWLEDGMENTS

We are indebted to the participants who volunteered for this study and to the research nurses and staff of the University of Tennessee Clinical Research Center.

# **FUNDING**

This work was supported by Grant R01 DK067269 from the National Institutes of Health. The funding source (National Institutes of Health) had no role in the design and execution of the study, the analysis and presentation of the data obtained from the study, or the decision to submit manuscript for publication.

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**Conflict of Interest Statement:** The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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