



mTOR Pathway in Gastroenteropancreatic Neuroendocrine Tumor (GEP-NETs)

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Gastroenteropancreatic neuroendocrine neoplasms (GEP-NENs) originate from neuroendocrine cells in the gastrointestinal tract. They are heterogeneous, and though initially considered rare tumors, the incidence of GEP-NENs has increased in the last few decades. Therapeutic approaches for the metastatic disease include surgery, radiological intervention by chemoembolisation, radiofrequency ablation, biological therapy in addition to somatostatin analogs, and PRRT therapy (177Lu-DOTATATE). The PI3K-AKT-mTOR pathway is essential in the regulation of protein translation, cell growth, and metabolism. Evidence suggests that the mTOR pathway is involved in malignant progression and resistance to treatment through over-activation of several mechanisms. PI3K, one of the main downstream of the Akt-mTOR axis, is mainly involved in the neoplastic process. This pathway is frequently deregulated in human tumors, making it a central target in the development of new anti-cancer treatments. Recent molecular studies identify potential targets within the PI3K/Akt/mTOR pathway in GEP-NENs. However, the use of target therapy has been known to lead to resistance due to several mechanisms such as feedback activation of alternative pathways, inactivation of protein kinases, and deregulation of the downstream mTOR components. Therefore, the specific role of targeted drugs for the management of GEP-NENs is yet to be well-defined. The variable clinical presentation of advanced neuroendocrine tumors is a significant challenge for designing studies. This review aims to highlight the role of the PI3K/Akt/mTOR pathway in the development of neuroendocrine tumors and further specify its potential as a therapeutic target in advanced stages.

Keywords: neuroendocrine tumor, mTOR, cancer treatment, target therapy, GEP-NENs, GEP-NETs

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INTRODUCTION

Gastroenteropancreatic neuroendocrine neoplasms (GEP-NENs) are defined as a heterogeneous group of neoplasia that originates from neuroendocrine cells widely dispersed throughout the gastrointestinal tract forming the largest group of hormone-producing cells in the body (1, 2). Although initially considered rare tumors, in the last decade, the incidence has significantly increased. Different factors may explain this increase such as a better classification with the introduction in 2010 of the World Health Organization (WHO) criteria and the ever-increasing use of screening and diagnostic methods such as the gastrointestinal endoscopy and radiological

techniques (3, 4). On the contrary, during the same period, progress in diagnosis has only been matched by a modest improvement in outcomes due to (5) GEP-NENs often being unpredictable and unusual in terms of symptoms, disease progression, and overall survival (6).

Functioning GEP-NENs release peptides and neuroamines that are implicated in specific clinical syndromes, such as carcinoid syndrome, which is relatively uncommon (10–15%) and non-specific symptoms such as irritable bowel syndrome, asthma, or food allergy response. The consequence of late diagnosis (5–7 years on average) is that 75% of tumors exhibit synchronous liver metastases at the time of diagnosis (7, 8). Moreover, 50% of the tumors are asymptomatic until late presentation with symptoms of mass effects or distant metastases, frequently hepatic, or both or tumor-induced fibrosis (9).

Most GEP-NENs are sporadic with a minor group related to inheritable genetic conditions such as multiple endocrine neoplasia type 1 (MEN1), tuberous sclerosis (TSC) and Von-Hippel Lindau (VHL) syndrome (10). Management treatment includes surgery, which at present, is the only therapeutic option in localized and locally advanced disease. Other therapeutic approaches for the metastatic disease include radiological intervention by chemoembolisation, radiofrequency ablation, biological therapy, somatostatin analogs (SSAs), and Peptide Receptor Radionuclide Therapy (PRRT) with 177Lu-DOTATATE (11). Therefore, the need to develop novel therapeutic approaches is paramount in the absence of several treatment strategies.

Frequently, an mTOR abnormal activation has been observed, likely due to inactivating mutations occurring on genes coding for negative regulators of the pathway or through indirect mechanisms. Clinically, the overexpression of mTOR and the downstream targets has been associated with the worst prognosis in different NETs (12–14). Molecularly targeted drugs are emerging as a new and promising treatment for patients affected by GEP-NENs (15).

This review aims to highlight the role of the PI3K/Akt/mTOR pathway in the development of a neuroendocrine tumor and its potential as a therapeutic target providing a biomolecular overview and reporting results from clinical trials.

OVERVIEW OF AKT-MTOR SIGNALING

The phosphatidylinositol 3-kinase (PI3K)-Akt-mTOR pathway supports the modulation of cell growth, proliferation, metabolism, survival, and angiogenesis (16). Evidence suggests that PI3K, one of the significant upstream of the Akt-mTOR axis, is involved in the neoplastic process through the receptor tyrosine kinases (RTKs) and the G protein-coupled receptors (GPCRs). Oncogenic factors such as epidermal growth factor receptor (EGFR), platelet-derived growth factor receptor (PDGFR), and mesenchymal-epithelial transition factor can activate PI3K by binding RTKs and GPCRs (17–19). PI3K is anchored to the plasmatic membrane through a lipid tail. It transduces the signals into intracellular messages by phosphorylating the 3'-OH position of the inositol ring of the lipid second messenger

phosphatidylinositol (4, 5) bisphosphate (PIP₂). Subsequently, phosphatidylinositol (3–5) triphosphate (PIP₃) recruits and activates the phosphatidylinositol-dependent kinase 1 (PDK1) that phosphorylates the serine-threonine protein kinase AKT [also known as protein kinase B (PKB)] (20).

AKTs are serine-threonine kinases and comprise three different protein isoforms (AKT1, AKT2, and AKT3) acting on cellular survival, proliferation, growth, and metabolism. To be fully activated AKT needs the phosphorylation on T308 by PKD1 and S473 by mTORC2. AKT downstream effectors are implicated in the control of apoptosis (FOXO family of transcription factors, BAD or NF-κB), cell cycle regulation (GSK3β, p27kip1), and growth (TSC2) (21). AKT downstream is mTOR that plays a vital role in the regulation of cell growth and proliferation. The control is achieved by controlling cellular energy levels, nutrient availability, oxygen levels, and mitogenic signals. The protein is a serine-threonine protein kinase of the PI3K superfamily, referred to as class IV PI3Ks, frequently overactivated in cancer (22). mTOR is comprised of two complexes, mTOR complex 1 (mTORC1) and mTOR complex 2 (mTORC2), different in chemical structures and substrate specificity. mTORC1 consists of mTOR protein, the regulatory-associated protein of mTOR (raptor), deptor, mlST8, and Pras40 (16). After the stimulation with growth factors as IGF-1 and 2, PDGF and VEGF, the mTORC1 translation is increased via the ribosomal protein S6 kinase (p70S6K) and the eukaryotic initiation factor 4E binding protein (4E-BP1) (23). The function of mTORC1 is modulated within the PI3K/Akt pathway via phosphorylation alongside inactivation of the tuberous sclerosis complex (TSC1/TSC2) by inhibition of the guanosine triphosphatase activity, which controls the activity of the mTOR activator Rheb (22). Tumor suppressor genes, such as phosphatase and tensin homolog (PTEN), that antagonize the PI3K action on PIP₃ (24), NF1, the kinase LKB1 and oncogenes such as Ras and Raf, all converge on the TSC1/TSC2 complex (25). The activity of HIF1 α and VEGF (26) is enhanced through the activation of the mTOR pathway. In contrast, mTORC2 complex is associated with Protor, SIN1, the rapamycin-insensitive companion of TOR protein (Rictor), LST8, Deptor which reacts to growth factor receptor binding, thus initiating full activation of Akt kinase by phosphorylation at the Ser473 (16). This pathway is frequently deregulated in human tumors, making it a central target in the development of new anti-cancer treatments (21) (Figure 1).

ROLE OF AKT-MTOR SIGNALING PATHWAY IN GEP-NENS

In the last decade, molecular studies (12, 27, 28) pointed to several targets of the PI3K/Akt/mTOR pathway in GEP-NENs. Shah et al. found that, respectively 76 and 96% of 98 NENs tissues analyzed by IHC display constitutive AKT phosphorylation and activated ERK, a downstream target (29). Missiaglia et al. (30) demonstrated that the expression of two endogenous inhibitors of the mTOR pathway, PTEN and TSC2, were downregulated in a large proportion of tumors, respectively 35 and 60% of cases. Further, low expression was significantly

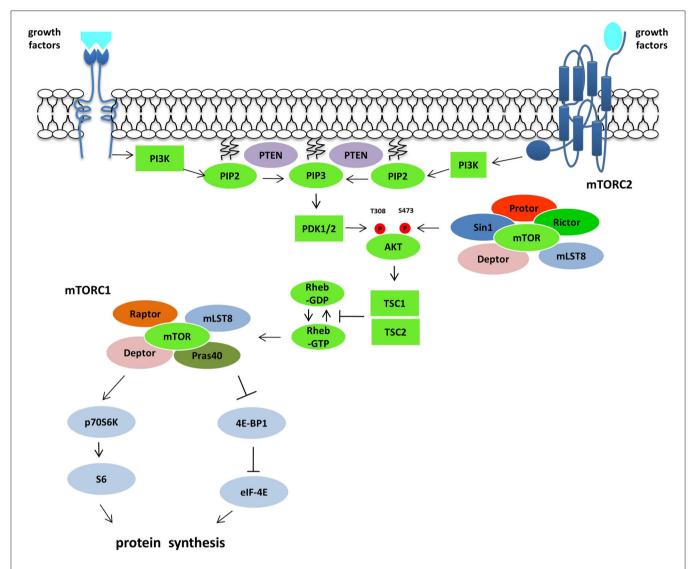


FIGURE 1 | The PI3K/Akt/mTOR pathway. Following growth factors stimulation and subsequent activation of RTKs and GPCRs, PI3K is recruited to the plasma membrane directly or through adaptor protein and phosphorylated PIP2 producing PIP3, which recruits and activates PDK1. Akt activation is mediated by PDK1 on T308 and by mTORC2 complex on S473. Akt controls the activity of mTORC1 inactivating the GTPase activity of the TSC1/TSC2 complex toward the mTORC1 activator Rheb. mTORC1 activation induces protein synthesis via p70S6K and 4EBP1. The tumor suppressor gene PTEN acts on this pathway antagonizing the PI3K action on PIP3.

related to both diminished disease-free and overall survival. Overexpression of mTOR has been demonstrated in poorly differentiated NENs, but the expression rate decreased in well-differentiated neuroendocrine tumors and carcinomas (67 vs. 27% of analyzed tissues by IHC) (13). In another study, Catena L. et al. showed that mTOR was expressed in 80% of patients who had poorly differentiated neuroendocrine carcinoma. They also found no relationship with tumor origin (pancreas, colon, lung, small bowel and others) or the rate of proliferation as determined by MIB-1 (>20% in all samples) (31). Molecular studies in SI-NEN cell lines (KRJ-I, H-STS) showed increased activation of AKT respective to normal Enterochromaffin (EC) cells that exhibited inferior expression of transcripts for AKT and

mTORC1 as well as a lower level of Akt activation suggesting a neoplasia-related involvement of this pathway (32).

Jiao et al. analyzed the exomic sequences of 10 sporadic panNENs and screened the most frequently mutated genes in 58 pancreatic NENs. The mutations on MEN1 (44%), DAXX/ATRX (43%), TP53 (3%) were found. Notably, 15% of the tumors showed mutations in mTOR pathway-related genes (the onco-suppressor PTEN, the negative regulators TSC2, and PIK3CA, and the catalytic subunit of phosphatidylinositol 3-kinase) (33). In a clinical study, the patients with MEN-1, DAXX, and ATRX mutations had a median overall survival of 10 years in contrast with 60% of patients without mutation that died within 5 years of diagnosis. Based on the results of the study, the authors advanced

the stratification of patients for treatment with mTOR inhibitors (34). In 2017 Scarpa et al. published a study on the whole genome sequencing of 98 pancreatic NETs in which they confirm the mTOR pathway activation in 15% of the analyzed samples. They identified mTOR pathway inhibitors alterations such as PTEN mutations (7.1%), TSC1 or TSC2 (2%). The study also proposed DEPDC5 inactivating mutations (2%) and EWSR1 fusion event as a novel mechanism of mTOR activation (14).

INHIBITORS OF AKT-MTOR SIGNALING PATHWAY AS NEUROENDOCRINE TUMOR THERAPY

mTOR has been the first node of the pathway to be targeted with a drug in tumors exhibiting phosphoinositide 3-kinase (PI3K) pathway mutation or activation (35, 36). First-generation of mTOR inhibitors includes Rapamycin (Sirolimus), an immunosuppressant agent identified as a fungicide isolated from the soil bacterium Streptomyces hygroscopicus (37). Derivatives

of Rapamycin, referred to as rapalogs, (Temsirolimus, Everolimus, and ridaforolimus), function similarly to inhibit mTOR, although they have better efficacy and activity which optimizes clinical use. The Food and Drug Administration (FDA) firstly approved (**Figure 2**).

Temsirolimus

Temsirolimus inhibits mTOR activity by binding the intracellular protein peptidyl-prolyl cis-trans isomerase FKBP1A (FKBP-12) (38). The inhibition results in a G1 growth arrest and in a blockade of the mTOR ability to phosphorylate S6K1 and the ribosomal protein S6, and in reduced levels of HIF-1 α , HIF-2 α , and VEGF expression. In a phase II trial, Duran et al. (39) evaluated the efficacy, safety, and pharmacodynamics of Temsirolimus amongst 37 patients with advanced neuroendocrine carcinoma (21 carcinoids and 15 islet cell carcinomas). Patients were treated with weekly intravenous doses of 25 mg of Temsirolimus and then evaluated on several outcomes, including tumor response rate, time to progression, adverse events, and overall survival. Data were analyzed with

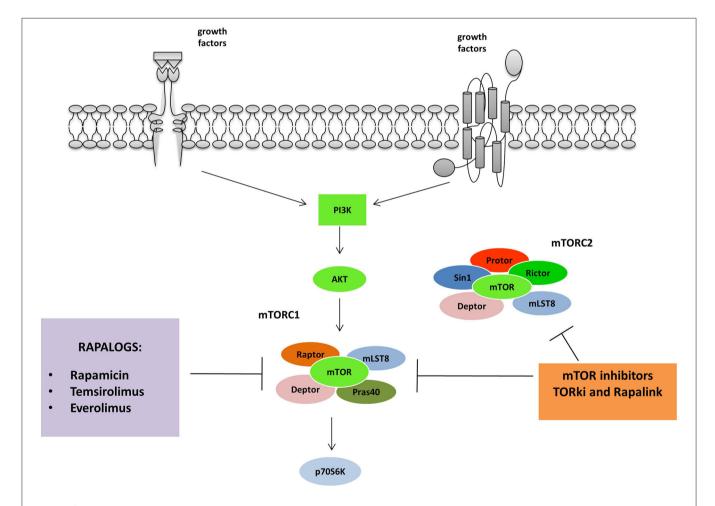


FIGURE 2 | mTORC 1 and 2 are inhibited by different classes of mTOR inhibitors: Rapalogs are the first generation mTORC inhibitors able to induce a partial inhibition of mTORC1. The second and third generation act on both mTORC1 and 2. TORki blocks the ATP binding sites of the complexes, while Rapalinks act through blocking the ATP binding site and by the inhibition of the mTORC1.

intention-to-treat modeling and revealed a response rate of 5.6% [95% confidence interval (CI), 0.6–18.7], respectively 4.8 and 6.7% in carcinoids and islet cell carcinomas. The median time to progression was 6 months. The 1-year survival rate was 71.5%. The study confirmed the inhibition of the phosphorylation of the ribosomal protein S6 in paired baseline and post-treatment biopsies (p=0.02). With higher baseline levels of pS6, there was a non-significant trend toward a better response (P=0.097). Higher baseline levels of phosphorylated mTOR were significantly correlated with a better response (p=0.01).

On the contrary, after 2 weeks of treatment, an increase in the expression of pAKT and a decreased expression of phosphorylated mTOR were observed, both associated with increasing time to progression (p = 0.04 and p = 0.05, respectively). Given the low response rate, the authors concluded that Temsirolimus appears to have limited clinical utility as a single agent for patients with GEP-NENs. The study proposed evaluating Temsirolimus in combination with other targeted agents, for example, a multi-kinase inhibitor or an anti-angiogenic compound (39). A phase II trial involving 58 patients (56 eligible) was performed to investigate the efficacy of temsirolimus and bevacizumab association. Results showed an increased response rate (RR) of 41% exceeding the singleagent RRs measured by RECIST criteria and a PFS at 6 months of 79%. The therapy administrated to moderate-welldifferentiated metastatic P-NETs showed substantial activity and no high toxicity since the most common adverse events were hypertension, fatigue, hyperglycemia and lymphopenia (40).

EVEROLIMUS

Single Therapy

Everolimus is a first-generation oral mTOR inhibitor approved by the US FDA and EMEA for the treatment of P-NENs. Everolimus similarly acts as Temsirolimus inhibiting mTOR kinase binding to FKBP-12 and reducing the activity of mTOR downstream effectors S6K1 and 4E-BP1 (41, 42). A phase I study involving 55 patients with advanced solid tumors, including NETs, evaluated Everolimus safety and pharmacodynamics. The trial aimed to establish an evidence-based dose and effective schedule for cancer treatment. A key criterion was the achievement of complete inhibition of mTOR dependent-signaling pathways on tumor and skin biopsies. Patients unresponsive to standard therapy were enrolled and treated with Everolimus with either 20, 50, or 70 mg weekly or 5 and 10 mg daily. Data suggested that Everolimus brought about both a dose- and schedule-dependent inhibition of the mTOR pathway. There was almost complete inhibition seen of the phosphorylated ribosomal protein S6 (p < 0.001) and further eIF4G (p < 0.001) expression at 10 mg/day and ≥ 50 mg/week. Although non-significant, there was a trend toward the reduction of phosphorylated 4E-BP1 expression (p = 0.058). Also, an overall increase in Akt phosphorylation, occurring in about 50% of patients, was observed (p = 0.006). This finding raises the question as to whether the upper regulation of pAKT may reduce the clinical effectiveness of the drug. The authors proposed a dose of 10 mg/day or 50 mg/week to be evaluated in further researches (43).

RADIANT-3 (44) was a phase III study aimed at evaluating Everolimus at 10 mg/day as monotherapy (n = 207) or placebo (n = 207) = 203), with a total sample size of 410 patients with progressive P-NENs, both in conjunction with best supportive care including the use of somatostatin analogs. This trial demonstrated 2.4 odds of improvement in median PFS (11.0 vs. 4.6 months; HR = 0.35; 95% CI: 0.27–0.45; P < 0.001) in the arm treated with Everolimus. The trial concluded that although the exact sequencing of therapies to treat of panNENs remains unclear, Everolimus can be advanced as effective in patients with prior chemotherapy or therapy-naïve prolonging PFS (44). RADIANT-4 involved 302 patients with advanced GI and Lung NET's. The Everolimus showed an increase in PFS of 7.1 months in respect to the placebo comparable along with disease stabilization similarly to the results obtained in the RADIANT 3 (45). In a prospective, randomized, pharmacokinetic, crossover trial comparing everolimus 10 mg once daily with 5 mg twice daily Verheijen et al. showed that switching everolimus from once daily to twice daily could reduce the toxicity and maintain treatment efficacy (46).

COMBINATION THERAPY

Somatostatin Analog (SSAs) Octreotide and Pasireotide

Octreotide is a first-generation SSA that is used to control the symptoms in NET's and exhibited tumor growth inhibitory function in metastatic well-differentiated midgut NET's (47). The first trial included 60 patients diagnosed with advanced low- to intermediate-grade GEP-NETs. Of these, 30 patients had carcinoid tumors, and 30 had islet cell carcinomas. All were treated with intramuscular octreotide LAR 30 mg every 28 days and oral Everolimus, 5 mg/day (patients 1 to 30) or 10 mg/day (patients 31 to 60) every 28 days. Overall response (OR) rate was 20%. In details, 70% of the patients showed stabilization of the disease, and 22% confirmed partial responses. The overall median progression-free survival (PFS) of patients treated with octreotide LAR and RAD001 was 60 weeks (95% CI, 54-66 weeks). Therefore, the trial showed that Everolimus, in combination with octreotide LAR, presented promising antitumour activity in patients with advanced NETs (48). The second phase II trial (RADIANT-1) assessed the antitumour activity of oral Everolimus at 10 mg daily in 115 patients with advanced pancreatic NETs who had disease progression during or after cytotoxic chemotherapy. The study confirmed the antitumour activity of Everolimus in panNENs in both groups, those receiving Everolimus alone (PFS was 9.7 months and ORR = 9.6%,) and Everolimus with Octreotide (PFS was 16.7 months and ORR = 4.4%) (49). Following the results of the two randomized phase II clinical trials, RADIANT-2 was planned. RADIANT-2 was a landmark and the most extensive study to have been conducted. RADIANT-2 involved 429 patients with progressive functional carcinoid tumors. The study was conducted to compare Everolimus, at a dose of 10 mg per day, plus octreotide LAR, 30 mg every 28 days, vs. placebo plus octreotide LAR at the same doses. In this trial, the primary

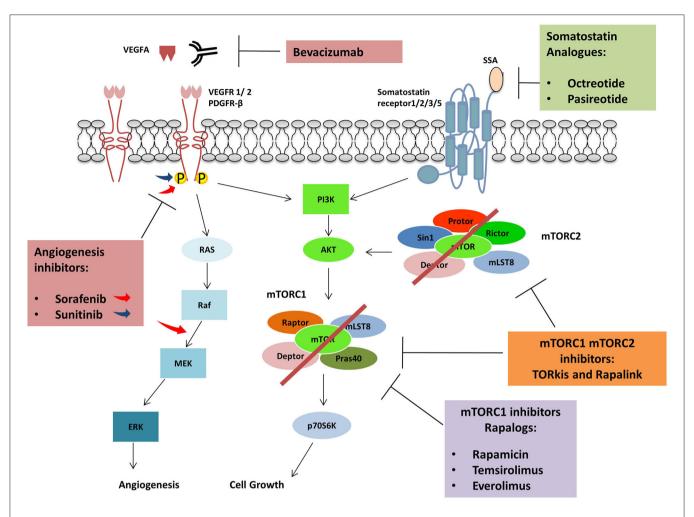


FIGURE 3 | Agents used in combination with mTORC1 inhibitors: Protein kinase inhibitors, Sorafenib and Sunitinib are active against several tyrosine kinases receptors (RTKs) including VEGFR and PDGFR. Sorafenib can also inhibit RAF kinases. The monoclonal antibody Bevacizumab inhibits angiogenic pathway binding VEGFA and avoiding VEGFRs activation. Somatostatin analogs bind somatostatin receptors impairing cell growth while Rapalogues can inhibit mTORC1.

endpoint was to evaluate PFS. PFS was 16.4 months on the Everolimus plus octreotide LAR arm vs. 11.3 months on the placebo plus octreotide LAR arm (hazard ratio = 0.77; 95% CI, 0.59-1.00; p = 0.026) (50). The results support the efficacy of Everolimus as an effective intervention for a broad spectrum of advanced neuroendocrine tumors. In a final analysis of the overall survival (OS) data from the RADIANT-II study, Pavel et al. showed that the median OS (95%CI) after 271 events was 29.2 months (23.8-35.9) for the everolimus arm and 35.2 months (30.0-44.7) for the placebo arm (HR, 1.17; 95% CI, 0.92-1.49) with no significant differences in OS between the two group (51). The ITMO group study was set up on 50 patients with different NETs. The results showed an objective response rate (ORR) of 18%; complete response in 4% of the patients and a partial response in 16% while 74% showed disease stabilization. Similarly to the RADIANT-2, the study suggests antitumour benefit in the use of Everolimus plus octreotide as a treatment in NETs, even if, the small number of patients included in the study must be considered in the data interpretation (52).

Pasireotide is a second-generation SSA, targeting the somatostatin receptor subtype 1,2,3 and 5 (53). In a randomized phase 2 study, Everolimus was administrated with Paoirreotide or in monotherapy to 160 NETs patients. However, no improvement of PFS was observed between the two groups, and no benefit was found in the use of drugs combination (54). Contrarily, another study made on 21 NETs patients treated with increasing doses of Pasireotide (until 60 mg monthly) and Everolimus (5-10 mg daily) confirmed the antitumour activity (81% of patient experienced a grade of tumor regression) and the tolerability in term of side effects of this therapy (55). The combination of selective internal radioembolisation (SIRT), Everolimus, and Pasireotide showed encouraging results in a study involving 13 NETs patients (median progression-free survival 18.6 months and overall survival 46.3 months) at a low level of toxicity (53) (Figure 3).

EVEROLIMUS AND ANTI-ANGIOGENETIC

NETs are high vascularised tumors, and this observation laid the groundwork/basis for the investigation of a synergistic effect through combined targeting of mTOR pathway and VEGF (56). A potent anti-angiogenic and antivascular effect were observed after the treatment with Everolimus of various solid tumors. The mechanism was different from those found with VEGFR targeting agents. Everolimus inhibited the proliferation of human endothelial cells and impaired VEGF release from cancer cells while VEGFR inhibitor PTK/ZK inhibited endothelial cell migration and vascular permeability. The results suggested the use of rapalog in combination with VEGF inhibitors as an effective therapeutic strategy to obtain a stronger diminishing of tumor vascularisation (57). Sorafenib is a drug inhibiting PDGFRB, and VEGFR2 also found to have modest activity in phase II study on NET's patients (58). In a phase I trial, 21 patients were treated with 10 mg daily Everolimus and two different doses of sorafenib (400 and 600 mg daily), the maximum tolerated dose (MTD) was established in 400 mg per day. A partial response was observed in one patient while a limited tumor regression in 13 out of 21 patients (62%) (56). Furthermore, the combination of Everolimus and Sunitinib to target both the PI3K/Akt/mTOR and VEGF signaling was evaluated as a therapy for different cancers. However, the treatment showed significant acute toxicity (59). Sunitinib is a multitarget tyrosine kinase inhibitor directed against different receptors such as VEGF-R1/2/3, PDGF-R α/β , Stem cell factor receptor (c-KIT-R). Also, colony-stimulating factor 1 receptor (CSF1-R), FML like tyrosine kinase three receptor (FLT3-R) and glial cell line-derived neurotrophic factor receptor (RET) (60). The drug showed comparable efficacy for Everolimus as first-line therapy in phase II study (61). Sequential administration was studied in 31 patients as high toxicity when the two drugs were simultaneously administrated. The results showed good tolerability with no differences in median PFS between the two groups (Everolimus followed by sunitinib, 36.5 months vs. Sunitinib followed by Everolimus, 31.6 months) (62). Another drug investigated to find a synergistic effect with Everolimus and to impair vascularisation in NETs was Bevacizumab in a randomized phase 2 study on 150 patients. The combination of the two drugs showed an increase of PFS (16.7 vs. 14 months), but also the adverse events were more frequent in patients receiving both drugs (63).

Everolimus Plus Target and Radionuclide-Therapy

Everolimus was also tested in phase I/II study, in combination with temozolomide in 43 pancreatic NETs, 40% of the patients (40 evaluable patients) had a partial response with median progression-free survival (PFS) of 15.4 months (64). In a phase I study (NETTLE) involving 16 NETs patients the toxicity of Everolimus in combination with PRRT (Lutetium-177-octreotate) was investigated and Everolimus 7.5 mg per day appeared to be well-tolerated (65).

Second and Third-Generation mTOR Inhibitors

To overcome the resistance phenomenon and to have a complete inhibition of the mTOR pathway, second-generation inhibitors were synthesized. These compounds are called TORkis and act binding the ATP binding site "of mTOR kinase pocket." Differently from the rapalogs, these molecules ensure a complete block of both MTORC1 and 2 preventing the Akt phosphorylation due to MTORC2 and avoiding the resistance observed in rapalogs. Different TORkis were synthesized and showed promising results in pre-clinical studies. PP242 and the derived compound MLN0128, the quinolone-derived torin1 and 2, QSI-027, ku0063792 and Ku-0068650 showed a high antiproliferative power. From the latter derived AZD8055 and AZD2014, which was primarily tested in clinical trials even in combination with other therapeutic agents in different solid tumors (66).

The mTORC1/2 kinase inhibitor named CC-223 was tested in a phase 1/2 study involving metastatic non-pancreatic GI-NETs patients treated with SSA who had failed treatment. The drug showed efficacy in induce tumor regression and carcinoid syndrome symptoms controls and led to an Improvement of median PFS (19.5 months) superior to Everolimus alone (PFS 11.0 months) (44, 67). The CC-223 safety profile was found to be comparable to currently approved mTOR inhibitors, and toxicity was well-managed by dose adjustments or treatments (67).

Third generation mTOR inhibitors were studied to address the treatment resistance issues found in the use of the rapalogs and TORkis (68). The new compounds are called RAPAlink since they are made by the conjugation of TORkis, having high affinity for ATP binding site of both MTORC1/2 and Rapamycin having the FKB12-dependent mechanism to block MTORC1. These compounds sowed increased and durable inhibitory action compared to the first and second-generation inhibitors and ability in crossing BBB in glioblastoma in vitro and in vivo (69). An in vitro study on the resistance to first and second-generation mTOR inhibitors showed the development of mutations in FKBP-12 (FRB domain) in Rapalogs resistant cells and mutations increasing intrinsic kinase activity of mTOR in TORkis resistance. These mechanisms have been overcome by the use of Rapalink able to establish a bivalent interaction of the two-binding site (68). Sapanisertib is an inhibitor of raptormTOR, and rictor-mTOR tested in several solid tumors (70). In a patient-derived xenograft model of PNET (PDX-PNET) the majority if everolimus-resistant PDX-PNETs responded to sapanisertib (71).

GEP-NENS TREATMENT RESISTANCE AND FUTURE APPROACHES (RAPALOGS RESISTANCE, MOLECULAR MECHANISMS)

GEP-NENs develop resistance to treatment, not only to standard target therapy and SSA but also to novel agents. After long-term exposition to prolonged targeted inhibition of a single pathway, cancer cells acquire therapeutic resistance activating

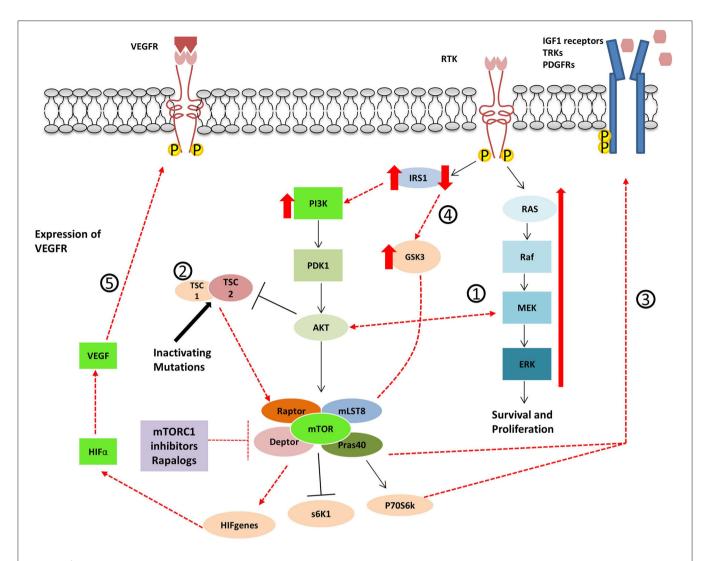


FIGURE 4 | Proposed mechanisms for resistance to Rapalogues in NET. 1- Inhibition of mTOR results in PI3k-Akt-mTOR pathway reactivation and MAPK pathway activation. 2- Inactivating mutations in TSC1/2 cause the inactivation of the TSC1/TSC2 protein complex leading to mTOR hyperactivation. 3- mTOR inhibitor treatment cause an increase in tyrosine kinase receptors and growth factor secretion. 4- GSK3 over-expression accompanied by the decrease of IRS-1 protein leads to decreased autophagy and cell resistance to Everolimus. 5- The up-regulation of angiogenic factors mTOR-independent or the re-expression of HIFα.

alternative or compensatory pathways. The PI3K-Akt-mTOR and Ras/MAPK pathway are connected at multiple levels, and both can be mutuality activated or inhibited (72, 73). In other words, activation of the mTORC1 leads to PI3K and MAPK inhibition via a negative feedback loop system, and inhibition of mTOR, inversely, results in reactivation of PI3k-Akt-mTOR pathway and MAPK pathway. The resistance of antitumour effects of mTOR inhibitors is explored in most the studies investigating the PI3K/Akt pathway. However, several studies showed that mTOR inhibition resulted in an activation of the MEK/ERK cascade through a PI3K-dependent feedback loop (32, 74–76). The phenomenon may contribute to explain the escape of drug efficacy. Thus, combination therapies of mTOR inhibitors with MEK inhibitors have been proposed as an alternative mechanism

to inhibit both pathways and overcome tumor resistance (77) (Figure 4).

Carracedo et al. showed that tumor samples were taken from patients with biopsy-accessible solid tumors of advanced disease and treated with RAD001. The study demonstrated robust activation of the MAPK pathway at specific doses and related to the administration schedule. The researchers also described a rapamycin-induced ERK/MAPK activation in both normal cells and cancer cells lines based on an S6K/PI3K/Ras pathway (74). Mi et al. evaluated the combinatorial inhibition of mTOR and MAPK pathway in mouse Tsc2 knockout cells by administrating both Rapamycin and MEK1/2 inhibitor, PD98059. The mutations in TSC1 or TSC2 result in the inactivation of the TSC1/TSC2 protein complex that leads to hyperactivation of mTOR, causing uncontrolled cell growth and proliferation. The inhibitory

effects on proliferation in Tsc2 deficient cells were higher using the combinatorial approach (75). Ziztmann et al. studied the complex interplay between PI3K/Akt/mTOR pathway and MAPK pathway using different drugs combination in different human NET cell lines. The study showed that cells develop a mechanism of escape when using a single agent target pathway also through compensatory induction of AKT. They noted that the dual inhibition of mTOR (Everolimus) and PI3K (NVP-BEZ235) had a more significant effect than the single inhibition of mTOR in cell lines (78). However, two trials on NVP-BEZ235 were early stopped due to unmet statistical endpoint or intolerable toxicity (79).

The resistance to mTOR inhibitors has also been proposed through other potential mechanisms. O'Reilly et al. (80) reported that mTOR inhibition induces insulin receptor substrate-1 (IRS-1) expression resulting in AKT activation both in cancer cell lines and in tumor tissues treated with RAD001. AKT activation after mTORC1 inhibition has also been demonstrated depending on upregulation of RTKs such as PDGFRs (81, 82). It has been shown that SI-NEN cell lines escape from mTOR inhibitor treatment through dual feedback activation of Akt and ERK1/2 *via* an increase in tyrosine kinase receptors and growth factor secretion. Concurrent therapy with octreotide failed to overcome the escape phenomenon suggesting dual targeting of PI3K/Akt/mTOR pathway and MAPK pathway as an alternative method to reverse feedback cross-activation (32).

From an *in vitro* study on everolimus resistant panNET cell lines (BON1 RR1, BON1 RR2) Gsk3 was found to be dysregulated. In these models, the GSK3 hyperactivation was associated with reduced IRS-1 protein levels, decreased autophagy and cell cycle arrest in G1 phase due to CDK1 (cdc2) reduced expression. Interestingly, A PI3K α -inhibitor (BLY719) used in combination with everolimus was able to re-establish the everolimus sensitivity (83).

Pro-angiogenic factors upregulation can also be involved in rapalogs resistance since mTOR inhibition has been proven to have a direct and indirect anti-angiogenetic effect (57).

NETs, especially those well-differentiated, are high vascularised tumors due to the significant HIF α up-regulation which may arise by genetic alteration of the VHL protein and to the tumor microenvironment (84, 85) PI3K/AKT/mTOR pathway regulates the angiogenesis in NETs modulating (84–87) The Aurora Kinase A (AKURA) overexpression has been observed in everolimus resistant GI adenocarcinoma cell lines. This protein can mediate elF4E phosphorylation and increase c-Myc levels. The AURKA-EIF4E-c-MYC axis can be an alternative target for everolimus resistant tumors (86, 88, 89).

Several studies have been performed to found predictive biomarkers allowing the stratification of patients that may benefit from therapy with mTOR inhibitors. Recently, mRNA-based evaluation (NETest) performed on the tumor has proven to be a useful biomarker for NETs. NETest is a gene panel analyzed from a liquid biopsy and represents an innovative non-invasive approach to disease progression evaluation that better performs in respect to conventional biomarkers such as CgA (90).

CgA and neuron-specific enolase (NSE) have been proposed as markers of (91) From the RADIANT1 trial CgA > x2

ULN, two times higher than normal levels (36,4 ng/mL) is linked to the worst prognosis and shorter PFS in panNET's. Similarly, NSE >2xULN were associated with a shorter PFS. Although baseline CgA and NSE levels failed to predict mTOR therapy responsiveness, prospective analysis on a large number of patients showed a correlation between an early decreasing in CgA or NSE levels in response to Everolimus treatment (>30% decrease from baseline or normalization after 4 weeks) and a significant improvement of PFS (48). Furthermore, 5-hydroxyinoleacetic acid (5-HIAA) was found to be related to an increase in PFS in patients receiving Everolimus (92, 93).

Correlations between rapalogs sensitivity and the levels or activation status of the mTOR pathway signaling components were found (94). Baseline Phosphorylation of mTOR signaling molecules has been related to the worst outcome in NET's patients but also with a better response to Everolimus (95). For this reason, the increase of phosphorylated Akt was proposed as a biomarker in case of reduced PTEN expression to individuate tumors responding to mTOR inhibitors. Akt phosphorylation (S473 and T308) was more likely found in patients responding to rapamycin than non-responders (96). However, as discussed in the previous chapter, Akt was also found to be phosphorylated (ser473) in case of rapalogs resistance due to MTORC2 activation (97).

PTEN mutations were investigated as a possible predictive biomarker, and several studies pointed out that PTEN null cells, as well as xenograft models with reduced PTEN activity, were more sensitive to rapalogs (prostate cancer) (94). PTEN mutations which are related to the increase of the mTOR pathway activation has been found in different diseases as well as in NET's (14).

High sensitivity to rapalogs was observed in in vitro and in vivo NET's models with mutated PIK3CA/PTEN and high p-Akt levels (96). Interestingly, response to everolimus was lost when PIK3CA mutation occurred together with KRAS mutation. However, everolimus sensitivity was re-established in HCT116 cells in which the KRAS D13 mutant allele had been genetically deleted by homologous recombination (98). Single nucleotide polymorphism is investigated in cancer and in particular, the SNP GFR4-G388R was observed in panNET patients. The fibroblast growth factor receptor 4 (FGFR4) plays a role in mitogenesis and angiogenesis and the presence of an arginine instead glycine in the codon 388 was related with shorter PFS especially in heterozygous patients compared to homozygous for the SNP (PFS 4.8 vs. 16.6 months, respectively; OS of 9.3 vs. 40 months, respectively). Also, the SNP was found to be related to a higher risk of liver metastasis and was present in patients not responding to everolimus (99). Contrarily, Cros et al. who studied the FGFR4 polymorphism (G388R) on 41 patients with NET's did not found a correlation between PFS and the presence of SNP (100). The inactivating PHLPP2-L1016S SNP was investigated as a possible predictive marker and was found to be associated with a reduced PFS in extra-pancreatic NET's patients treated with Everolimus. PFS was 16.8 months in wild type PHLPP2 patients vs. 7.7 months in those harboring SNP. Overall survival and response rate were not affected by the SNP presence. The results suggested that wild type PHLPP2 patients

 TABLE 1 | Clinical trials on mTOR inhibitors in neuroendocrine tumor.

| Study | Patients | Type of tumor | Progressive metastic disease | Drug | Combination therapy | Median OS (months) | Response rate | Median Progression free survival (PFS, months) | Molecular markers analyzed | References |
|-------------------------|-----------------------------|---|------------------------------------|--|--|-----------------------|------------------|---|---|--------------------------|
| Phase-II | 37 | Carcinoid 21 islet cell carcinoma 15 | yes | Temsirolimus 25 mg/w | no | Not reached | 5.6% | 6 (TTP) | PTEN, p53, pAKT, pS6, pmTOR. | Duran et al. (45) |
| Phase-II | 56 | Well or moderately differentiated pancreatic neuroendocrine tumors | yes | Temsirolimus 25 mg/week | bevacizumab 25 mg/kg (once every 2 weeks) | 34.0 | 41% | 13.2 | CgA Circulating hormones level | Hobday et al. (46) |
| Phase-I | 55 | Neuroendocrine neoplasms | Yes | Everolimus 20, 50, 70mg/w or 5,10 mg/d | No | - | - | - | pAKT and AKT, p4E-BP1 and 4EBP1, pS6, and S6 | Tabernero et al. (48) |
| Phase-II | 30 30 | Low-to intermediate grade neuroendocrine neoplasms | Ns | Everolimus 5 mg/d everolimus 10 mg/d | octLAR 30 mg every 28 d | Not reached | 20% | 12.5 18 | Ki-67 | Yao et al. (52) |
| Phase-II | 50 | Advanced well-differentiated NETs | Yes | Everolimus 10 mg/d | OctLAR 30 mg every 28 d | Not reached | 18% | - | CgA | Bajetta et al. (55) |
| RADIANT-1, Phase-II | 115 | Low-to intermediate grade pancreatic | Yes No | Everolimus 10 mg/d | No | 24.9 | 9.6% | 9.7 | CgA NSE | Yao et al. (53) |
| | 45 | neuroendocrine neoplasms | | everolimus 10 mg/d | octLAR 30 mg every 28 d | not reached | 4.4% | 16.7 | | |
| RADIANT-2, phase-III | 216 | Low-to intermediate grade neuroendocrine | Yes | Everolimus 10 mg/d | octLAR 30 mg every 28 d | Not reached | - | 16.4 | CgA | Pavel et al. (54) |
| | 213 | neoplasms | | placebo | octLAR 30 mg every 28 d | | | 11.3 | | |
| RADIANT-3, phase-III | 207 | Low-to intermediate grade pancreatic | yes | Everolimus 10 mg/d | Best supportive care | Not reached | 5% | 11.0 | - | Yao et al. (49) |
| | 203 | neuroendocrine tumors | | placebo | | | 2% | 4.6 | | |
| RADIANT-4 phase-III | 205 | Advanced, progressive, well-differentiated, non-functional lung or | yes | Everolimus 10 mg/d | Best supportive care | 23.7 | 64% | 11.0 | - | Yao et al. (50) |
| | 97 | gastrointestinal neuroendocrine tumors | | Placebo | | 16.5 | 26% | 3.9 | | |
| Phase-I | 21 | Advanced neuroendocrine tumors | ns | Everolimus 5, 10 mg/d | Pasireotide s.c. 600, 900, 1,200 μg Pasireotide LAR 40,60,80 mg monthly | - | 81% | - | Aminotransferase alanine-aminotransferase serum creatinine neutrophil count CgA. | Chan et al. (58) |
| Phase-II | 160 | Well-differentiated neuroendocrine tumors | yes | Everolimus 10 mg/d | PasireotideLAR 60 mg every 28 d | 22.6 | 20% | 16.8 | CgA NSE IGF-1/2, IGFBP-2/3 | Kulke et al. (57) |
| Phase I-II | 7 phase I 36 phase II | Advanced pancreatic neuroendocrine tumors | ns | Everolimus 5 mg/d Everolimus 10 mg/d | Temozolomide 150 mg/m ² Temozolomide 150 mg/m ² (days 1 to 7 and days 15 to 21 of a 28-days cycle). | Not reached | 40% | 15.4 | CgA | Chan et al. (66) |

TABLE 1 | Continued

| Study | Patients | Type of tumor | Progressive metastic disease | Drug | Combination therapy | Median OS (months) | Response rate | Median Progression free survival (PFS, months) | Molecular markers analyzed | References |
|-------------------|----------|---|------------------------------------|---|---|-----------------------|------------------|---|---|----------------------------|
| Phase I | 13 | Moderately or well-differentiated neuroendocrine tumors | Yes | Everolimus 2.5, 5, 10 mg/d | Pasireotide s.c. 600 μg twice daily Along with SIRT yttrium-90 on days 9 and 37 | 46.3 | 46% | 18.6 | Angiopoietin 1/2, bfgf, collagen V, IGF1/2, IGFBP, IL8, PGF, VEGFR2, CgA, prolactin, HGF. | Kim et al. (56) |
| Phase I | 21 | Locally unresectable metastatic carcinoid and pancreatic neuroendocrine tumors | Yes | Everolimus 10 mg/d | Sorafenib 400 mg/d Sorafenib 600 mg/d | - | 62% | Pf-6 months 79% | CgA | Chan et al. (59) |
| NETTLE Phase I | 16 | Advanced unresectable progressive well-differentiated GEP-NETs | No | Everolimus 5, 7.5, 10 mg/d | PRRT ¹⁷⁷ Lu-octreotate 240 mg every 8 weeks | 57 | 44% | - | CgA. urinary 5-HIAA | Claringbold et al. (67) |
| Phase-II | 150 | Advanced pancreatic neuroendocrine tumors | | Everolimus 10 mg/d and octreotide acetate 20 mg once | Bevacizumab 10 mg/kg every 15 days | 36.7 | 31% | 16.7 | - | Kulke et al. (65) |

w, weekly; d, daily; ns, not specified; oct, octreotide; CgA, chromogranin A; NSE, neuron-specific enolase; bfgf, basic fibroblast growth factor; IGF, insulin like growth factor; pgf, placental growth factor; VEGFR, endothelial growth factor receptor; HGF, hepatocyte growth factor; 5-HIAA, 5-hydroxyindoleacetic acid.

may benefit more from everolimus therapy. Interestingly, PLPP2 is known as a regulator of AKT that in turn, activate the mTOR pathway (101).

Falletta et al. successfully used patients derived primary cultures as a tool to predict the sensitivity to everolimus treatment. The study showed that IGF1 is related to everolimus antiproliferative effect only in patients with higher phosphorylated IGF1R levels, p-Akt, p-mTOR, p-4EBP1 and higher Ki67 index (responders) compared to non-responders to mTOR inhibitors (102).

Another possible predictive biomarker for rapalogs sensitivity is the presence of mTOR activating mutations. A study showed the presence of missense mutations in 400 oncologic patients' samples with different cancer subtypes. The mutations were present in 6 various sites but most frequently in the C-terminal region of the protein. In a subgroup of these samples, the hyperactivation of mTOR was due to the impairment of mTOR-DEPTOR inhibitor binding. The activating mutations observed in cell culture and xenografts were linked to an increased sensitivity to Rapamicin (103). Contrarily, activating mutations of mTOR has been observed in cell resistant to TORkis targeting the ATP binding pocket (68).

Meta-Analysis

In a meta-analysis including studies performed on 1908 NET's patients, target therapies were found to be effective and improve PFS (hazard ratio = 0.59, 95% CI:0.42–0.84; P=0.003) in particular in pancreatic NET's patients (HR = 0.49 95% CI: 0.29–0.83) than in non-pancreatic NET's (HR = 0.71 95% CI: 0.49–1.02). Target therapies with Everolimus and with sunitinib (monotherapies) or Everolimus and octreotide were found effective in pan NETs (104).

Recently, a meta-analysis comprising 3,895 cases of NETs evaluated the most promising therapies in panNET's. Everolimus as single therapy (0,82 P score)/(hazard ratio [HR], 0.35 [95% CI, 0.28–0.45]) appeared to be the most effective treatment followed by SSA combined with Everolimus (0,73 P score)/ (HR, 0.35 [95% CI, 0.25–0.51]). The combination therapy with interferon and SSA (P score 0.71) was also found to be effective followed by the monotherapies interferon (P score 0.62), SSA (0.54 P score)/(HR, 0.46 [95% CI, 0.33–0.66]), sunitinib (0.39 P score), Dactolisib (0.6 P score), and placebo (0.13 P score).

In 8 studies assessing the PFS after nine different therapies, Everolimus showed high effectiveness in panNETs, especially in combination with SSA (0.72 P score) as well as in monotherapy (0,72 P score). The combination of the mTOR inhibitor with bevacizumab and SSA showed lower efficacy (0.44 P score)/ (HR, 0.44 [95% CI, 0.26–0.75]). The best performing therapy improving PFS was the combination of SSA with interferon (0.77 P score)/(HR, 0.31 [95% CI, 0.13-0.71]. Differently, in GI-NET's the most efficient therapy in disease control was the combination of bevacizumab with SSA (0.93 P score)/ (HR, 0.22 [95% CI, 0.05–0.99]) followed by ¹⁷⁷Lu-dototate and SSA (0.92 P score)/(HR, 0.08 [95% CI, 0.03–0.26] and

interferon plus SSA (0.66 P score)/(HR, 0.27 [95% CI, 0.07–0.96]). Everolimus with SSA (0.52 P score)/ (HR, 0.31 [95% CI, 0.11–0.90]) was found to be less effective in GI-NETs than in panNETs. Furthermore, Everolimus as monotherapy resulted to be effective comparably to SSA alone (0.39/ (HR 0.48 [95% CI, 0.20–1.13]) vs. 0.4/(HR, 0.40 [95% CI, 0.21–0.78]) P scores, respectively) (105).

Studies on the quality of life and adverse events (AE) showed that the combination SSA with Everolimus reported one of the highest numbers of AE (82.7% of the patient), with 68% of these being grade 3-4. Similarly, Everolimus used in monotherapy caused AE in 92.1% of the patients, but 59.3% of grade 3-4. Among the therapies showing good efficacy, SSA in combination with 177Lu-dototate showed a better profile in term of toxicity in respect to Everolimus (94% AE, 41% grade 3-4). Interferon and SSA caused AE in 21% of the patient and a small percentage of a grade 3-4 (3%) while SSA alone caused AE in 69.8% of the cases and 20.9% of AE of grades 3-4 (105). A metaanalysis of individual patient data showed that a 2-fold increase in Everolimus Cmin delayed NET disease progression with improved tumor size reduction. However, the protocol increased the risk of high-grade toxicity, mainly with a high number of pulmonary, metabolic and stomatitis events (106). Mujica-Mota et al. evaluated the clinical effectiveness of three interventions (everolimus, lutetium-177 DOTATATE, and Sunitibib). The primary limitation was that there was no RCT comparing lutetium-¹⁷⁷ DOTATATE with the other treatments. The authors concluded that based on NICE guidelines, only sunitinib could be considered cost-effectiveness in England and Wales (107).

CONCLUSIONS

Treatment of advanced neuroendocrine neoplasms is an ongoing clinical challenge. RCTs are mainly focused on treatments with Everolimus and SSAs (Table 1). Everolimus administration in advanced NET's demonstrates its efficacy and high tolerability both as monotherapy and in combination with other drugs. However, the use of Everolimus has been known to lead to resistance due to several mechanisms such as feedback activation of alternative pathways, inactivation of protein kinases, and deregulation of the downstream mTOR components (108, 109) Next-generation mTOR inhibitors have been studied to avoid the mechanisms of resistance and reduce the drug toxicity (85). Levels of CgA and NSE can predict outcomes in patients with advanced pNETs treated with everolimus, and other circulating biomarkers have been studied. There are several limitations with treatment outcomes (e.g., lack of benefit in OS from mTOR inhibitors) and biomarkers clinical application (e.g., small study sample size). However, the present review suggests that a range of combination therapies associated with the use of predictive biomarker is available for NET patients. Therefore, new emerging compounds such as second and third-generation mTOR inhibitors and anti-angiogenetic drugs should be tested in RCTs.

AUTHOR CONTRIBUTIONS

SZ, FG and GB conceptualized the study. SZ, FG and SR identified relevant literature. SZ, FG, GB and SR wrote the manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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