



The U.S. Energy System and the Production of Sustainable Aviation Fuel From Clean Electricity

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Jet fuel is relatively small in terms of energy consumption and carbon dioxide emissions (10% of U.S. transportation sector in 2021, expected to increase to 14% by 2050). Still airlines have ambitious goals to reduce their greenhouse footprints from carbon-neutral growth beginning this year to reducing greenhouse gas emission for international flights by 50% by 2050 compared to 2005 levels. The challenge is heightened by the longevity of the current fleet (30-50 years) and by the difficulty in electrifying the future fleet because only 5% of the commercial aviation greenhouse gas footprint is from regional flights that might, conceivably be electrified using foreseeable technology. Therefore, large amounts of sustainable aviation fuel will be needed to reach the aggressive targets set by airlines. Only 3 million gallons (11.4 ML) of sustainable aviation fuel (SAF) (with a heat of combustion totaling about 400 TJ = 0.0004 EJ) was produced in the U.S. in 2019 for a 26 billion gallon per year market (3.6 EJ/year). Fischer-Tropsch and ethanol oligomerization (alcohol-to-jet) are considered for producing SAF, including the use of renewable electricity and carbon dioxide. In sequencing the energy transition, cleaning the U.S. grid is an important first step to have the largest greenhouse gas emissions reduction. While carbon dioxide and clean electricity can potentially provide the SAF in the future, an ethanol oligomerization option will require less energy.

Keywords: jet fuel, fischer-tropsch, ethanol oligomerization, electrofuel, energy storage

1 INTRODUCTION

Commercial aircraft rely on the combustion of hydrocarbon fuels because they offer high specific energy (energy per unit mass) and high energy density (energy per unit volume). Neither of those flight-critical characteristics can yet be matched by rechargeable power trains consisting of modern batteries or fuel cells and electrical motors in multi-aisle long-haul aircraft. The global aviation sector seeks to reduce greenhouse gas emission for international flights by 50% by 2050 compared to 2005 levels (IATA, 2009). That ambitious goal will require both the continued development of electrical power trains (primarily for regional travel) and drop-in renewable fuels (for long-haul travel). U.S. airlines have committed to net-zero carbon emissions by 2050 and carbon-neutral growth relative to a 2019 baseline for domestic and international flights (Airlines for America, 2021). In March 2021, the member carriers of Airlines for America (A4A) collectively committed to net-zero carbon emissions by 2050. U.S. airlines improved their fuel efficiency by more than 135 percent between 1978 and year-end 2019, saving over five billion metric tons of carbon dioxide (CO_2). However, fuel efficiency improvements with petroleum-based fuels cannot move the industry to net-zero emissions

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Sustainable Aviation Fuel in the U.S

of CO_2 . Sustainable aviation fuel (SAF) is needed. Moreover, about 93% of Global aircraft emissions are from medium- and long-haul flights (International Council on Clean Transportation., 2019). Therefore, addressing the bulk of the emissions requires a long -haul solution, which, from now through 2050, will mean the introduction and use of SAFs.

This paper considers the problem from an energy perspective and does not consider all routes that might contribute to the practical solution of GHG reduction in the transportation sector. The routes that are considered produce fuels that already have ASTM approval for aviation use. The energy analysis provides insights for implementation. The analysis is novel in that it considers the aviation sector in the context of a deliberate pathway to overall reduction in greenhouse gases. In particular, we have included a discussion entitled "Positioning SAF in a sequence of options for making the transportation sector more sustainable."

Here we will consider routes to renewable fuels, starting with renewable or waste sources of carbon and noncarbogenic sources of energy. Noncarbogenic sources include both renewable energy (e.g., biomass, solar, wind), hydropower, and nuclear energy. To compare different sources of energy more easily, it is useful to express supply and demand in a common unit. Here we have chosen to use the SI unit of exajoule (10^{18} J) , which is approximately 1 Quad (= 1 quadrillion BTU). As a reference, consider that the U.S. uses about 100 EJ per year, about 3.5% of which serves the airline industry as fuel and 25% serves other modes of transportation (Holladay et al., 2020).

We will express power (energy per time) in Watts (1 W = 1 J/s). Therefore, the roughly 3.6 EJ/year employed by the aviation sector, $P_{aviation}$, averaged across a year, is equivalent to the continuous consumption of more than 100 GW of power:

$$P_{aviation} = \frac{3.6 \text{ EJ}}{\text{year}} \times \frac{1 \text{ year}}{31.5 \times 10^6 \text{s}} = 114 \text{ GW}$$
(1)

To further exemplify the units, consider that 1 barrel (159 L) of oil or jet fuel has an enthalpy of combustion of about 6 GJ. Finally, in this litany of conversions, note that the usual unit for expressing electrical energy, the Watt-hour, is equal to 3.6 kJ, so 1 TW-hour (1 trillion Wh) = 0.0036 EJ.

Many countries are considering the use of renewable electricity, coupled with low carbon intensity hydrogen to produce synthetic fuels from CO_2 and other carbon waste streams. Such an approach requires a tremendous amount of renewable electricity that is not yet available. For example, in 2020 the United States generated about 14.5 EJ (3,884 TWh) of electricity of which only 5.4 EJ (1,620 TWh = 0.17 GW) was from renewables or noncarbogenic sources (U.S. Energy Information Administration, 2021a). Waste carbon that contains energy is an important carbon resource. Waste carbon containing energy includes industrial waste gas, municipal solid waste, agricultural and forestry residues, unrecyclable plastic, manures, and municipal wastewater sludge. For this paper, we will focus mainly on the energy requirements for converting CO_2 to jet fuel.

As will be shown below, thermodynamics combined with inefficiencies in the electrochemical conversions mean that every Joule of jet fuel produced electrolytically from CO_2 will require the input of 2–3 J of noncarbogenic electricity. Using

waste inputs with negative heats of combustion (e.g., CO, digester methane, manure) would decrease the input of electrical energy but those materials are not available in amounts commensurate with the production of jet fuel. Therefore, on the order of 10 EJ/ year (= 317 GW) of new clean electricity generation will be needed to accommodate the generation of current and future levels of demand for aviation fuel.

The mismatch between available, carbon-free electricity and the amount needed for providing clean synthetic fuels reinforces the importance of improving the efficiency of all phases of fuel production, including production of hydrogen. As synthetic fuel technologies scale, in addition to the need for new electric generation, there is a need for additional electric energy storage to buffer momentary, diurnal, and seasonal fluctuations in supply. Finally, if we focus solely on the transportation system, we may miss impacts on reducing CO_2 from the entire system that would be gained by a sequencing of energy transitions.

Because we will be considering the possibility of substituting fossil fuels with fuels produced from environmentally cleaner sources, it is interesting to compare that amount of power with the total installed capacity in the U.S. electricity generating sector, which is about 1100 GW (U.S. Energy Information Administration, 2021a), of which a total of 376 GW (= 12 EJ/ year) is produced noncarbogenically from nuclear (92 GW of capacity) plus renewables (284 GW of capacity).

Because we will be considering chemical conversions of different feedstocks into aviation fuel, it is convenient to specify a simplified surrogate for the multicomponent mixture that is actual jet fuel. We have selected to use dodecane, n-C₁₂H₂₆, which has molecular weight of 170 g/mol. Its heat of combustion, about 8 MJ/mol = 46.5 MJ/kg, is about 8% higher than that of Jet-A1 (43 MJ/kg). Therefore, the aviation sector's typical consumption of 3.6 EJ/year of primary energy in the U.S. would correspond to the use of 0.46 Tmol/y of a dodecane-like molecule = 26.8 billion gal/year versus 26.7 billion gal/year of actual jet fuel (U.S. Energy Information Administration, 2020). Recall that 1 Teramol = 10¹² mol; 1 Mt = 1 megaton = 109 kg, and the density of both dodecane and Jet-A1 are about 0.8 kg/L).

Here, we provide estimates for three aspects of producing sustainable aviation fuels: 1) size of the problem, 2) synthetic routes and their material and energy inputs, and 3) a sequence of options that affords significant greenhouse gas savings for the entire economy, including the aviation sector. We discuss the issues from a U.S.-centric perspective, but we note that the underlying science and technology required to address those issues should be generally applicable.

2 SIZE OF THE PROBLEM

Even though the amount of fuel used by U.S. air traffic each year is only 12.5% (3.6 EJ/year) of that consumed by the entire transportation sector in the U.S. (Figure 1), replacing the fossil-source energy with renewable resources would impose significant additional demands on the national electric infrastructure, of at least 1,000 TWh/year (= 3.6 EJ/year), which is about 62% of the current





noncarbogenic generation. The fraction of carbon dioxide emitted by the sector is proportional to its use of fuel (~12.8%, **Figure 2**), which is not surprising, given the similarity in heating values, compositions, and energy efficiencies of the conversion of transportation fuels. The inference is that aviation is neither an especially large nor unduly onerous part of the overall problem of reducing carbon emissions from transportation. So, without detracting from the goal of the aviation sector to reduce its emissions of carbon dioxide by 50% over the next 29 years, a rational, global approach to reducing emissions of carbon dioxide should sequence the steps towards ameliorating CO_2 emissions in an order that takes the biggest, cheapest steps as early as possible and that prepares the energy infrastructure for the subsequent changes. We will discuss those points further at the end of this article.

3 SYNTHETIC ROUTES

The thermodynamic constraint on producing renewable fuels—conservation of energy—plus the stoichiometry of a process set lower limits on the amount of renewable energy and renewable material that must be input into the production process to meet the decarbonization goals of the aviation sector. The actual amount of input energy and material will depend on the efficiency and selectivity of the selected process. Here we consider three illustrative routes to Sustainable Aviation Fuel (SAF): 1) Fischer-Tropsch chemistry employing gasification of biomass (de Klerk, 2016); 2) Fischer-Tropsch chemistry employing electrochemically produced synthesis gas, for example (Albert et al., 2016); and 3) oligomerization of ethanol (Brooks et al., 2016). The source of the ethanol in the third case could be either the standard fermentation of sugars (McAloon et al., 2000) or the newer LanzaTech process that ferments CO, CO₂ and H₂ found in industrial waste gas (Handler et al., 2015). Other approaches have been discussed (Brooks et al., 2016; Hannula et al., 2020), but those three serve to illustrate the magnitude of the challenges of accessing sufficient lower carbon intensity energy and renewable carbon. Renewable carbon is defined here as biomass and waste streams, be they solid, liquid, or gas, that are recycled at a molecular level.

3.1 Fischer-Tropsch Process Using Renewable Carbon

The Fischer-Tropsch (FT) process combines synthesis gas, H_2 plus CO, to make mostly straight chain hydrocarbons (Dry,

2004). The oxygen from the CO converts mainly into water but some oxygenated hydrocarbons can be produced as well. The process has been practiced since the Second World War, primarily using fossil fuels (coal, natural gas) as the source of input carbon, and process heat. The synthesis gas is fed to the Fischer-Tropsch reactor at high pressure and high temperature (\sim 500 K, \sim 25 bar). Production of intermediate synthesis gas decouples the downstream fuel-synthesis process from the feedstock. Therefore, the Fischer-Tropsch reaction can meet ASTM D7566 specification for aviation fuel (ASTM International, 2021) from any source of synthesis gas, including renewable feedstocks (de Klerk, 2016).

The process makes steam and a broad distribution of hydrocarbons that must be separated and upgraded (e.g., hydrocracked) to make jet-range fuel, i.e., our nominal fuel surrogate:

$$\begin{array}{rl} 12\,CO\,+\,25\,H_{2}\,+\,\,\rightarrow\,C_{12}H_{26}\,+\,12H_{2}O\\ \Delta G^{0}\,=\,\,-\,1,684.9\,kJ/mol \end{array} \hspace{1.5cm} Reaction\,\,1 \end{array}$$

The FT process does make fuel molecules heavier than jet fuel, which might be hydrocracked into the jet range, however, we have ignored them in this first order analysis because their conversion into jet fuel will require additional hydrogen (Ostadi et al., 2019), which will only add to their cost. Selling those products as ultralow sulfur diesel fuel could lower the selling price of the jet fuel but, obviously, would then not directly increase the supply of jet fuel.

The process is approximately 50% carbon efficient (jet-fuel carbon produced/carbon input) (de Klerk, 2016; Gruber et al., 2019) and about 50% energy efficient (heating value of jet-fuel/ heating value of biomass input) when the synthesis gas is produced by autothermal gasification of a biomass feedstock (Zhang et al., 2011; Ostadi et al., 2019). The gasification is illustrated simplistically by **Reaction 2**. The feedstock in **Reaction 2** was assumed to have the elemental composition and heat of combustion of a soft wood such as pine; agricultural wastes contain more oxygen and have an enthalpy of combustion value closer to 15 MJ/kg (Hazel and Bardon, 2008)).

$$\begin{array}{ll} CH_{4.8}O_{2.1} + 0.55\,O_2 \rightarrow CO + 2.4\,H_2 \\ \Delta H_{reaction} = -153\,kJ/mol \end{array} \hspace{1.5cm} Reaction \ 2 \end{array}$$

The carbon that is not converted to fuel or fuel precursors (e.g., tars that form) can be burned elsewhere in the process to generate heat. In **Reaction 2** as written, the heating value of the "wood" -1,017 kJ/mol is converted into synthesis gas whose heat of combustion is about -860 kJ/mol, so a loss of about 15% of the input energy before consideration of any other sinks for the energy of the feedstock (e.g., compression, reaction selectivity). We note that steam reforming of the wood would produce the CO endothermically and autothermal reforming can be configured to be thermoneutral, but those conversions do not produce synthesis gas with the correct stoichiometry for Fischer Tropsch synthesis.

The process requires about twice the amount of input energy than reports to the fuel. Autothermal gasification of biomass is about 65-75% carbon efficient (Zhang et al., 2011). So, even if the FT process were 70% carbon efficient to making jet range fuels (it is actually closer to 50% carbon efficient (Gruber et al., 2019)), starting with biomass yields no more than a 50% overall carbon efficiency. Heavier (diesel-range, wax products) will require additional processing that will cost money. Selling those products might help offset the price of the SAF but won't directly increase its supply. Because the heating value of lignocellulosic biomass is about 15-20 MJ/kg (Hazel and Bardon, 2008), making a year's supply of jet fuel, 3.6 EJ, would require the input of about 480 Mt of biomass (= $2 \times 3.6 \text{ EJ} \div$ 15 MJ/kg). The long-term base-case of the updated Billion Ton Study (U.S. Department of Energy, 2016) comprises 826 Mt/year of biomass. So, more than half of the potentially available biomass-derived fuel feedstock would need to be devoted to jet fuel if the latter were produced by a process that involved production of the synthesis gas from the biomass.

In one estimate for a plant fed with coal (Reed et al., 2007), the production of 50,000 bbl/day of liquid fuel, was accompanied by an export of 125 MW of electricity. In that case, the net exportable electrical energy amounts to more than 10-times the energy resident in the liquid fuel:

$$\frac{E_{export}}{E_{fuel}} = \frac{125 \text{ MW}}{50000 \text{ bbl/day}}$$
$$= \frac{125 \text{ MJ/s}}{50000 \text{ bbl/day} \times 6.1 \text{ GJ/bbl}} \times \frac{31.5 \times 10^6 \text{ s}}{1 \text{ day}} = 12.9 \quad (2)$$

That large ratio reflects the exothermicity of **Reaction 1** plus recovery of process heat generated from the partial oxidation of about half the feedstock to produce the synthesis gas. The estimate is germane also to thermal gasification of biomass (Shahabuddin et al., 2020), where it represents both an opportunity (generation of renewable electricity) and a problem (low carbon yield of fuel) that could be balanced against each other according to higher-level optimization criteria (Tock et al., 2010).

3.2 Fischer-Tropsch Process Using

Renewable Carbon and Renewable Energy If, instead, the energy for producing the synthesis gas could be added directly from renewable sources to renewable materials (Samavati et al., 2018; Gruber et al., 2019; Hannula et al., 2020; Korberg et al., 2021), then the overall process could, in principle, be much more carbon and energy efficient.

For example, concentrated CO_2 , perhaps from an ethanol refinery or from the recycle stream in a CO_2 -fed Fischer-Tropsch process (Hannula et al., 2020), could be converted into carbon monoxide, CO, using renewable electricity (**Reaction 3**, potentials referenced to the reversible hydrogen electrode (Kortlever et al., 2015)):

$$CO_2 \rightarrow CO + 1/2O_2 \quad E^0 = -1.33 \text{ V}, \ \Delta G^0 = 257.1 \text{ kJ/mol}$$

Reaction 3

Similarly, H_2 could be produced by electrolysis of water (**Reaction 4**):

$$\label{eq:H2O} H_2O \rightarrow H_2 \,+\, 1/2O_2 \quad E^0 \,=\, -\, 1.23 \, V, \ \Delta G^0 \,=\, 237.1 \, kJ/mol$$
 Reaction 4

Just making sufficient CO and H_2 from CO₂ and H_2O to synthesize dodecane would require a minimum input energy, ΔG_{min} , that can be calculated from the stoichiometry of **Reaction 1**:

$$\Delta G_{min} = 12 \times 257.1 \text{ kJ/mol} + 25 \times 237.1 \text{ kJ/mol}$$
$$= 9.0 \text{ MJ/mol}_{\text{dodecane}}$$
(3)

To perform the reaction practically, however, that energy must be increased, slightly, by the work required to compress the gas to process conditions (about 20 kJ/mol = RT ln (25 bar/1 bar)) and, significantly, to overcome activation barriers of the constituent reactions. The practical electrochemical overpotentials for Reactions 3, 4 are each about 0.6 V (Rakowski-Dubois and Dubois, 2009), so the practical input energies must be increased by about 50% to ~2 V and 1.8 V respectively. The process would still be only about 50% efficient towards the production of jet-range fuel (because of the broad distribution of products in the Fischer Tropsch process. Multiplying ΔG_{\min} by 1.5 and doubling M_{\min} , the mass of carbon incorporated in the fuel, represent reasonable lower bounds on the renewable energy and renewable carbon required to generate aviation fuel by this route. The inefficient utilization of the feedstock, however, means that the practical energy input, 1.5×9.0 MJ/mol = 13.5 MJ/mol, must also be doubled to adjust for the extra feedstock. Therefore, the adjusted, practical energy input, $\Delta G_{practical}$ will be 2 × 13.5 MJ/ mol = 27 MJ/mol, which is the reason that we stated above that \geq 2 J of input energy is needed for every 1 J of SAF.

The enthalpy of combustion of dodecane (and jet fuel) is about 8 MJ/mol. Therefore, this route would use approximately 27 MJ/mol of energy (from the biomass, electrical power, and other inputs) to make 8 MJ/mol worth of jet fuel. Given that this "electrofuel" would be intended for use in a jet engine whose efficiency would be around 40% (National Academies of Sciences E and Medicine., 2016), the 27 MJ/mol of input energy would result in ~3 MJ/mol of work, a significant degradation that argues for the direct use, where possible of the input electrical energy. As discussed above, however, direct electrification of the propulsion of aircraft cannot yet achieve the desired range of travel. Therefore, we next discuss another route to sustainable aviation fuel that promises to be more energy- and mass-frugal.

3.3 Oligomerization of Ethanol ex Cellulose

Both methanol and ethanol can be oligomerized to make fuel range hydrocarbons. The methanol-to-gasoline process invented by ExxonMobil in the 1970s (Chang, 2007; Gogate, 2019) produces, using a small pore zeolite as the conversion catalyst, an unsaturated liquid (olefins, aromatics). The unsaturated intermediate can be hydrogenated to make a liquid fuel fungible with petroleum-derived gasoline. Similarly, ethanol can be converted into gasoline-range molecules through a homologous intermediate. However, ethanol also offers other chemistries (e.g., dehydration to the olefin, Guerbet reaction), that provide effective routes to the heavier molecules that comprise aviation fuel (Brooks et al., 2016).

The source of the ethanol is nearly irrelevant to its downstream conversion into jet fuel (Handler et al., 2015). There are, however, life-cycle differences among the different feedstocks (ethanol from fermentation of sugars derived from biomass, ethanol from waste industrial gas, ethanol from landfill gas). Roughly, the savings in greenhouse gases for each feedstock vary inversely with the cost of the feedstock (**Table 1**).

Those feedstock costs should be compared to the wholesale price of jet fuel, which recently (U.S. Energy Information Administration, 2020) has averaged close to 1.80 USD/gal (= 13.8 USD/GJ). The difference between the feedstock cost and the wholesale price is the amount available for operating costs and amortized capital costs and profit. Despite the low feedstock price of corn stover, it has not played a large role in the production of fuel ethanol, because of the still challenging conversion of cellulose into ethanol (Lamers et al., 2021).

The currently unused amount of potentially available, lignocellulosic feedstocks presented in **Table 1** has been estimated to be 826 Mt/year in the long term (2040), base case scenario of the Billion Ton Study (U.S. Department of Energy, 2016). That material is composed primarily of sugars, e.g., $C_6H_{12}O_6$, which have a molecular weight of 180 g/mol, and lignin (roughly $^2/_3$ of the waste cellulosic feedstock is polysaccharides). The sugar provides the carbon that goes into the growing cells and the product fuel. Usually, the lignin is just burned for process heat (e.g., for distillation). That amount of material would be sufficient to make 0.40 Tmol/year of our surrogate, paraffinic fuel, $C_{12}H_{26}$, if it could be made by fermenting the sugars, $C_6H_{12}O_6$, into ethanol followed by oligomerization of the ethanol (3 sugar molecules per fuel molecule):

$$C_{6}H_{12}O_{6} \rightarrow 2 C_{2}H_{5}OH + 2 CO_{2} \qquad \text{Reaction 5}$$

$$6 CH_{3}CH_{2}OH + H_{2} \rightarrow C_{12}H_{26} + 6 H_{2}O \qquad \text{Reaction 6}$$

$$826 \text{ MT}_{\text{biomass}}/\text{year} \times \frac{2 t_{\text{sugar}}}{3 t_{\text{biomass}}} \times \frac{10^{9}\text{kg}}{\text{Mt}} \times \frac{1 \text{ mol}_{\text{sugar}}}{0.18 \text{ kg}} \frac{1 \text{ mol}_{\text{fuel}}}{3 \text{ mol}_{\text{sugar}}}$$

$$= 1T mol_{\text{fuel}}/\text{year} \qquad (4)$$

Recall from the introduction that the U.S. uses "only" 0.46 Tmol of jet fuel, therefore, in principle there could be enough feedstock to satisfy this route. However, there are mass inefficiencies in both the fermentation process (72% in one study of making ethanol from wood (Zhu et al., 2010)) and the oligomerization process (~75% carbon efficient to jet fuel and ~90% to jet fuel plus diesel-range fuel in one patent (Lilga et al., 2017). The concatenation of those inefficiencies implies that more than the projected, currently unused supply of lignocellulosic feedstocks would be needed to satisfy the U.S. consumption of jet fuel.

3.4 Oligomerization of Ethanol ex Waste Gas

A similar calculation can be made for a route that starts with CO that is produced by the steel industry. In steel making, the U.S. uses about 0.39 EJ worth of metallurgical coke as a reagent (i.e., not as a fuel) (U.S. Energy Information Administration, 2021e). The heating value

TABLE 1	Comparison	of lifecycle anal	lyses and feedstock	costs for e	thanol-derived SAF.

Source of ethanol	Lifecycle decrease in greenhouse gas emissions (%)	Feedstock cost/ USD GJ ⁻¹	Comment and References
CO (steelmaking: $FeO_x + xC \rightarrow$ Fe + xCO)	67	2.5	Cost of CO assumed to be \$25/ton which makes its energy cost approximately equal to that of natural gas at 2.5\$/MMBTU Markets Insider (2021)
Lignocellulosic farm waste (e.g., corn stover)	92	2.3	Graham et al. (2007)
Forestry Lignocellulosics	98	8.5	Averaged ranges (Martinkus et al., 2017) supplied at a rate sufficient to for a biorefinery
Lignocellulosic product/energy crop (e.g., switchgrass)	88	7.5	Agricultural Marketing Resource Center (2018)

of that coke is approximately that of pure carbon, 394 kJ/mol so, in the U.S., the manufacture of steel (110 Mt/year, (U.S. Geological Survey, 2020)) could produce approximately 1 Tmol of CO (**Eq. 5**). A small fraction of the carbon is incorporated into the metal, <0.5 wt% (MIT Department of Civil and Environmental Engineering, 1999) (**Eq. 6**):

$$n_{\rm CO} = \frac{0.39 \,\text{EJ}}{394 \text{kJ/mol}_{\rm C}} = 1 \,\text{Tmol}_{\rm C} \times \frac{1 \,\text{mol}_{\rm CO}}{\text{mol}_{\rm C}} \tag{5}$$

$$n_{incorporated} = 0.5 \text{wt}\% \times 110 \text{Mt/year} \div 0.012 \text{kg/mol} = 46 \text{ Gmol}$$
(6)

In the LanzaTech process the microbes use CO for energy and as a carbon source in a metabolic process that, formally, is equivalent to water-gas shift. Without suggesting the actual biochemical mechanisms, the overall stoichiometry for converting CO into $C_{12}H_{26}$, our surrogate for jet fuel is, minimally:

$$6 \text{ CO} + 3 \text{ H}_2\text{O} \rightarrow \text{CH}_3\text{CH}_2\text{OH} + 4 \text{CO}_2$$

 $\Delta G_{\text{reaction}} = -216 \text{ kJ/mol}$
Reaction 7

Combining **Reaction 7** with **Reaction 6** (ethanol oligomerization which, again, is about 75% efficient towards jet range products) implies that the 1 Tmol of CO possibly available from the U.S. steel industry could make, 1 $\text{Tmol}_{CO} \times$ 1 mol_{dodecane}/36 mol_{CO} × 0.75 = 21 Gmol of jet fuel and thus satisfy only about 5% of the U.S. demand (0.45 Tmol/year). Gas from a partial combustion fluidized catalytic cracking units in refineries could be a significant source of additional CO (U.S. Environmental Protection Agency, 2010) but we do not have a ready estimate of the available annual flow rates nor of the amenability of refineries to alter their operations to divert such streams away from their usual utility as fuel gas (Babcock and Wilcox Company, 2015).

3.5 Oligomerization of Ethanol *ex* Waste CO₂

Other, carbon-containing waste gases (e.g., from ethanol fermentation, refining, landfill, wastewater treatment) might also be considered as an input to this process. For example, CO and H_2 could be sourced electrochemically as discussed above in **Section 3.2**. In that case, the energy balance and carbon balance will depend on the specific stoichiometry of the inlet synthesis gas (**Table 2**).

Ethanol production, ~16 billion gal/year in the U.S. (U.S. Energy Information Administration, 2021c), produces about 46 Mt/year of CO₂ (\approx 1.04 Tmol_{CO₂}). Consider converting all the carbon dioxide produced by the fermentation of ethanol into a sustainable aviation fuel by a three-step process. In the envisioned process, first, make the synthesis gas electrochemically (Reaction 3), then employ fermentation to convert that synthesis gas into ethanol (Reaction 5), and finally oligomerize the waste gas-derived ethanol to make jet fuel (Reaction 6). The energy input per mol of fuel would be derived from **Reaction 3** (electrolysis of CO_2 to make CO) and the stoichiometries (and carbon efficiencies) of Reactions 5, 6. This route might satisfy 4.7% of the U.S. demand for jet fuel but would require inputting 19 EJ/year of renewable electricity or 3.6 times the amount of noncarbogenic electricity currently produced in the U.S. (see the Excel worksheet in the Supplemental Information for the detailed calculation).

The quantity of fuel produced can be increased and the electrical input can be decreased by adding H_2 to the feed to the CO fermenter. The addition of external H_2 provides a new energy source for the organism, allowing nearly all the carbon to be shunted into ethanol (**Reaction 8**). A minor portion of carbon will go to producing biomass:

Combining **Reaction 8** with **Reaction 6** (ethanol oligomerization) yields an overall stoichiometric ratio of 12 CO and 24 H₂ per nominal dodecane instead of 12 and 25 respectively. Therefore, the minimum electrical energy required for the electrolysis will be nearly the same as before (**Eq. 3**), which still must be multiplied by 1.5 owing to the overpotentials for the two electrolyses. There will also still be a penalty owing to the selectivity of the oligomerization

TABLE 2	Summary of in	outs for making jet	fuel from	renewable or	waste CO ₂ .
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Feedstock	Notional stoichiometry	Carbon yield	Energy yield (LHV)	J (%)et fuel/U.S. demand (%)	Practical electricity input
CO fermentation from 16 billion gal _{Ethanol} /year ⁻¹ =	CO ₂ → CO + ½O ₂	100%	67	4.4	19 EJ/year
fermenter CO ₂ (46 Mt/year) ^a	$6CO + 3H_2O \rightarrow C_2H_5OH + 4CO_2$	33%	73		
	6 C ₂ H ₅ OH →C ₁₂ H ₂₆ + 6H ₂ O	75%			
Fermentation of CO + H_2 from 16 billion gal _{Ethanol} /	3CO ₂ → 3CO + 1.5O ₂	100%	67	13	17 EJ/year
year ⁻¹ = (46 Mt _{COz} /year) ^a	3H ₂ O → 3H ₂ + 1.5O ₂	_	67		
	$2CO + 4H_2 \rightarrow C_2H_5OH + H_2O$	100%	81		
	$\begin{array}{l} 6\mathrm{C}_{2}\mathrm{H}_{5}\mathrm{OH}+\mathrm{H}_{2} \boldsymbol{\rightarrow} \mathrm{C}_{12}\mathrm{H}_{26}+\\ 6\mathrm{H}_{2}\mathrm{O} \end{array}$	75%			
Fermentation of $CO_2 + CO + H_2$ fermentation from	CO ₂ → CO + ½O ₂	100%	67	55	53 EJ/year
180 Mt/year refinery CO2 ^b	$H_2O \rightarrow H_2 + \frac{1}{2}O_2$	100%	67		
	$CO_2 + CO + 5H_2 \rightarrow C_2H_5OH + 2H_2O$	100%	83		
	$\begin{array}{l} 6C_{2}H_{5}OH + H_{2} \bigstar C_{12}H_{26} + \\ 6H_{2}O \end{array}$	75%			

^aCalculated from the U.S., production of 16 billion gallons/year of ethanol; CO, fermentation efficiencies from (Kopke and Simpson, 2020; Green Car Congress, 2021). ^bAmount of CO₂ from (U.S., Environmental Protection Agency, 2020); CO, fermentation efficiencies from (Kopke and Simpson, 2020).

process (Lilga et al., 2017). However we can now expect a nearly stoichiometric utilization of the carbon in the fermentation process (Kopke and Simpson, 2020). Obviating the reverse water gas shift reaction could also accelerate the kinetics of the overall conversion.

$$\Delta G_{min} = 12 \times 257.1 \text{ kJ/mol} + 24 \times 237.1 \text{ kJ/mol}$$
$$= 8.8 \text{MJ/mol}_{\text{dodecane}}$$
(7)

Therefore, the practical energy input will be roughly 17 MJ/ mol_{dodecane} = $1.5 \div 0.75 \times 8.8$ MJ/mol_{dodecane} instead of 28 MJ/ mol that was employed in less efficient Fischer-Tropsch conversion. Moreover, the process now benefits from reaction conditions that involve near ambient pressures and temperatures instead of the high pressures and temperatures and low carbon utilization of a process that relies on Fischer-Tropsch chemistry. Because of the enhanced utilization of the carbon, this route applied to the CO₂ produced by ethanol fermentation could produce almost 13% of the U.S. demand for jet fuel but would require inputting 17 EJ/year in renewable electricity, which is about 3.2 times the ~5.4 EJ/year of noncarbogenic energy produced in the U.S.

Given a renewable and frugal source of H_2 plus highly competent microorganisms maintained in a well-engineered reactor, one could even imagine using a combination of CO_2 and CO as the source of carbon instead of CO:

In that case the minimum input energy would be smaller because it would, again, be the organisms that would undertake the equivalent of the reverse water gas shift reaction to generate the carbon that reports to the product ethanol The stoichiometric coefficients are derived from **Reaction 9**.

$$\Delta G_{min} = 6 \times 257.1 \text{ kJ/mol} + 30 \times 237.1 \text{ kJ/mol}$$
$$= 8.7 \text{ MJ/mol}_{\text{dodecane}}$$
(8)

However, the practical energy would still be about 2 times larger, $\Delta G_{practical} = 16$ MJ/mol from the electrochemical overpotential and the penalty arising from the selectivity of the oligomerization process. The process would benefit again from near ambient reaction conditions (temperature, pressure), very effective utilization of the input carbon, and further from the elimination of the electrochemical production of some of the CO. If this process were applied to the roughly 180 Mt/year of CO₂ produced in the refining of petroleum (U.S. Environmental Protection Agency, 2020) then it could satisfy about half the demand for jet fuel but would consume about 10 times the present amount of renewable electricity produced in the U.S. each year (53 EJ/year ÷ 5.4 EJ/year).

4 COMPARISONS WITH AVAILABLE AMOUNTS OF RENEWABLE MATERIAL AND ENERGY

We compare the energy and mass requirements for producing the total U.S. jet fuel consumption in 2020 using the pathways discussed above. Some of the processes just discussed can benefit from direct application of renewable energy and all the processes require direct inputs of either renewable or waste carbon (**Table 3**). The second column of **Table 3** presents the noncarbogenic energy input required to make a year's supply of sustainable aviation fuel for the U.S., either as the heat of combustion of the indicated feedstock or as the amount of renewable electricity needed to make the indicated the starting material from CO_2 . The fourth column of the table compares the mass of the indicated, noncarbogenic input required to make the

Process	Basis: Required, renewable ∆G _{min} ; energy needed to make SAF Available noncarbogenic energy	Electric generation and capacity requirements to meet electricity needs	Basis: Required, renewable M _{min} , Mass needed to make SAF Available noncarbogenic mass	Comment
Fischer-Tropsch, based on autothermal gasification of sustainably produced biomass	8 MJ _{th} /mol _{dodecane} 14.4 EJ/year as biomass 16.5 EJ/year as biomass (=826 Mt/year × 20 MJ/kg)		12 mol _{CH40} /mol _{dodecane} 960 Mt _{biomass} /year 826 Mt _{biomass} /year	Assumes 50% energy and C efficiency for production of $C_{12}H_{26}$, biomass = $(-CH_2O-)_n$ available mass from long term, base case of the Billion Ton Study
Fischer-Tropsch, based on electrochemically sourced synthesis gas ex CO ₂	9 MJ _e /mol _{dodecane} 12.2 EJ/year as electricity 5.4 EJ/year (U.S. supply of noncarbogenic electricity)	3,400 TWh of generation 390 GW of firm capacity or 1200 GW of wind (assuming a capacity factor of 33%)	12 mol _{CO/} /mol _{dodecane} 475 Mt _{CO/} /year 43 Mt _{CO} /year	CO_2 from production of 16 billion gal/year of ethanol. Assumes 67% energy efficiency and 50% C efficiency for production of $C_{12}H_{26}$
"wood"+ $0.55O_2$ →CO + 2.4 H ₂ 2CO + 4 H ₂ → C ₂ H ₅ OH + H ₂ O 6C ₂ H ₅ OH → jet fuel	8 MJ _{th} /mol _{dodecane} 15 EJ/year as lignocellulosics 16.5 EJ/year as biomass (=826 Mt/year × 20 MJ/kg)		16 mol _{CO} /mol _{dodecane} 770 Mt _{biomass} /year 826 Mt _{biomass} /year	Assumes 100% C efficiency in the gasification and fermentation and 75% C efficiency in the oligomerization
Oligomerization of ethanol, <i>ex</i> fermentation of starches and sugars	8 MJ _{th} /mol _{dodecane} 7.2 EJ/year as cellulose 12.4 EJ/year as lignocellulose		3 mol _{glucose} /mol _{dodecane} 360 Mt _{cellulose} /year 550 Mt _{toellulose} /year	Assumes all sugar in lignocellulosic feedstock (2/3 cellulose) is available and does not account for process energy
Oligomerization of ethanol, <i>ex</i> waste gas from steel production	8 MJ _{th} /mol _{dodecane} 7.3 EJ as CO <i>0.4 EJ a</i> s CO		36 mol _{CO} /mol _{dodecane} 650 Mt _{CO} /year <i>28 Mt_{CO}/year</i>	CO from use of metallurgical coke; amount from US Energy Information Administration
Oligomerization of ethanol, ex electrochemically sourced synthesis gas, ex CO ₂ and H ₂ O	8.8 MJ _e /mol _{dodecane} 8.5 EJ as electricity 5.4 EJ/year	2,400 TWh of generation 270 GW of firm capacity or 810 GW of wind (assuming a capacity factor of 33%)	12 mol _{CO₂} /mol _{dodecane} 340 Mt _{CO₂} <i>43 Mt_{CO}₂/year</i>	Amount from 16 billion gal/year of ethanol and fermentation stoichiometry of CO_2/C_2H_5OH = 1

TABLE 3 Comparison of minimum and available input energies and masses required by processes that might make 0.45 Tmol/year of sustainable aviation fuel for the U.S. market.

sustainable aviation fuel with its availability. The basis for those inputs derives from the stoichiometries and assumed energy efficiencies described above.

Both types of inputs would require almost all, or more than, the projected availability of those inputs to satisfy the growing demand for renewably-sourced jet fuel in the U.S. For example, the Fischer-Tropsch process based on electrochemically sourced synthesis gas from CO_2 would require on the order of 87% of today's total electricity generation or the addition of 1170 GW of on-shore wind capacity. In 2020, the U.S. has 126 GW installed wind capacity. These are enormous electricity requirements which also require appropriate transmission infrastructure to deliver the electricity from the remote wind-sites to the load centers. While there may be enough production of lignocellulosic feedstock to source a year's consumption of aviation fuel in the U.S., its heating value is not high enough to feed a conventional Fischer-Tropsch process.

Some combination of renewably sourced hydrogen plus carbon dioxide captured from a source less concentrated than the ethanol production of ethanol could supply the requisite material (C and H_2), albeit at a higher capital cost for the equipment needed to capture the CO₂.

To further illustrate the mismatch between the needs of the aviation industry and the availability of noncarbogenic energy and waste or renewable carbon, consider the process discussed in Section 3.5 at the level of an individual facility (Figure 3). An ethanol fermentation plant that makes 100 million gallons per year is near the average size of the facilities in the U.S. (16 billion gallons/year \div 200 plants = 80 Mgal/year/plant. By the stoichiometry of Reaction 5, such a plant would make 310 kt/year of carbon dioxide. Electrochemically converting that CO₂ and water into a synthesis gas will require a practical input of electrical energy of about 5 PJe/year (=160 MWe) and could make something like 10 million gallons per year of jet fuel. To provide a perspective on the size of existing non-carbon generation capacity it would vary from 14% of a typical nuclear plant or large hydro power plant to about 44% of a large wind farm, to 83% of a large solar farm (Figure 3).

Several options described in **Table 3** do not require significant amounts of additional renewable electricity and do match the available resources of renewable carbon: Fischer-Tropsch Synthesis, oligomerization of ethanol produced either from fermenting cellulosic sugar or from synthesis gas made by gasification of biomass. However,





each raises a difficulty. We have already mentioned that fermenting cellulosic sugar is problematic. Gasification of biomass can, in principle, produce a synthesis gas whose H₂/CO ratio permits carbon-efficient fermentation (Kopke and Simpson, 2020). In line with our previous assumptions, oligomerizing that ethanol into jet fuel would be 75% carbon efficient. In that case there may be sufficient renewable biomass to produce the 26.8 billion gallons of jet fuel currently employed in the U.S. However, meeting the projected growth in demand for jet fuel would strain the supply of biomass. Moreover, even though gasification is an old, well studied technology, it appears to be difficult to implement robustly at the scale that would be required here. The same issue arises when considering Fischer-Tropsch synthesis starting with biomass-derived synthesis gas. Thus, there is no clear path forward: either there needs to be significant progress in gasifying biomass at scale or the introduction of significant amounts of renewable clean power.



FIGURE 5 Example of the variability of a renewable resource for generating power that might be used to produce SAF (U.S. Energy Information Administration, 2021b).

5 POSITIONING SAF IN A SEQUENCE OF OPTIONS FOR MAKING THE TRANSPORTATION SECTOR MORE SUSTAINABLE

The energy inputs listed in the second column of **Table 3** are all near 10 EJ/year. If that delivery rate of fuel or energy were converted to, or employed as, zero-carbon emission grid-supplied power then it could substantially displace the use of coal to generate electrical power and thus remove nearly a Gt/year of CO_2 (**Figure 4**). Therefore, employing renewable or waste resources to first "clean the grid" by eliminating the combustion of fossil fuels for the generation of electricity would offer a larger, more immediate environmental benefit than would employing the resources to produce a noncarbogenic fuel for aviation



(removal of only 255 MT/year (see **Figure 2**). Indeed, given that electricity is nearly fungible, first cleaning the grid is a prerequisite to using grid power for any of the electrochemical step in the production of SAF. Concurrent with dedicating renewable resources to powering the grid, there is a need to implement energy storage to buffer the variability of the resource (e.g., clouds, calm winds) or periodic (diurnal and seasonal) variability (**Figure 5**).

Again, from the perspective of overall efficiency, the first priority should be cleaning the grid by replacing fossil-fueled thermal plants with noncarbogenic generating capacity, followed by a succession of improvements in the utilization of the clean energy (**Figure 6**). The illustrated sequence displaces the dirtiest options as early as possible.

6 CONCLUSION

In the future, feedstocks that are the end products of combustion (e.g., CO_2 , H_2O) may be needed as a source of materials to make fuels. First, however, the renewable energy that would be required to upgrade those molecules would be better employed for upgrading carbon-containing feedstocks that do afford enthalpy of combustion (e.g., CO). In comparing systems, we appreciate that examining the energy use, the source of carbon, carbon conversion yields to desired products, and use of hydrogen are important in arriving at an optimal solution. Still, for the systems examined here, very large amounts of energy (more than twice what we have available

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today) plus energy storage will be needed to generate quantities of fuel that will assist the aviation sector in meeting its environmental targets. Moreover, cleaning and stabilizing the grid must come first. Those improvements will require massive investments in renewable electric generation capacity such as off-shore and on-shore wind and solar, as well as massive amounts of energy storage to balance the daily and seasonal variability of wind and solar resources. We recognize that all the discussed options merit additional analysis and research to order them and to implement them for successful energy transitions and eventual deployment of solutions in the aviation sector that maximize carbon intensity reduction and sustainability. If they are to be deployed, they should be derisked in tandem with building the electrical power energy infrastructure. We believe that a sequencing, like that shown in Figure 6, will be critical and represents a novel contribution to this important discussion.

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All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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SUPPLEMENTARY MATERIAL

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