



# Primary Frequency Stability Support of a DFIG in Association With Pitch Angle Control

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For an electric power grid that has large penetration levels of variable renewable energy including wind generation and photovoltaics, the system frequency stability is jeopardized, which is manifest in lowering frequency nadir and settling frequency. This paper suggests an enhanced primary frequency response strategy of a doubly-fed induction generator (DFIG) in association with pitch angle control. The DFIG works in de-loaded operation with a certain reserve power via pitch angle control prior to disturbances for frequency regulation. To address this, a function of the pitch angle is employed that decreases the pitch angle with time to slowly feed the active power to the power gird. The simulation results demonstrate the effectiveness and feasibility of the proposed primary frequency response strategy including the settling frequency and frequency nadir.

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# INTRODUCTION

When disturbances occur in a power system, the conventional synchronous generators (CSGs) intrinsically release kinetic energy in the rotor to compensate for the power imbalance as an inertial response; as a result, the system frequency declines (Kundur, 1994; Yang et al., 2018). Once the system frequency decreases beyond the deadband, the primary frequency response (PFR) is activated to arrest the frequency decline and stabilize the system frequency (which calls the settling frequency) (Bevrani, 2009; North American Electric R, 2015). To restore the system frequency to the nominal value, secondary frequency responses (SFRs) of CSGs are activated (Eto et al., 2010). During the frequency regulation process, if the maximum frequency nadir exceeds the threshold, low frequency relays are activated to avoid the system frequency decline; in addition, if the settling frequency is not located in the acceptable range, the SFRs would not be activated (Li et al., 2018; Sarasúa et al., 2021).

As the increasing problems of environmental pollution, renewable energy power generation has developed rapidly (Li et al., 2021; Zhang et al., 2021). As one of the most popular variable renewable energies, wind generation with power electronics has developed rapidly in recent years (Nasirpour et al., 2021; Wang et al., 2021). As a result, doubly-fed induction generators (DFIGs) replace the CSGs in power systems for generating active power (Hansen et al., 2016). However, since the DFIG is connected to the electric power grid *via* back-to-back power electronic devices with DC-link, it is unable to participate in frequency responses including inertia response and primary frequency response (Aziz et al., 2018; Yang

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et al., 2022). As a result, the high wind power penetration integrated in a power system might result in a severe challenge on frequency stability (Hydro Québec TransÉnergie, 2009; Kim et al., 2019). This means that the high wind power penetration increases the possibility for activation the relays and inactivation of SFR. To solve this, additional defense plans should be deployed, e.g., interruptible loads, energy storage systems, and quickstarting generators (Eto et al., 2010; Shi et al., 2021); nevertheless, these plans require additional investments.

The DFIG can temporarily increase its output power to emulate inertia response by releasing the kinetic energy to the gird (Vidyanandan and Senroy, 2014; Zhong et al., 2021), thereby reducing the possibility for activation the relays. In (Attya et al., 2018; Ye et al., 2019), the schemes increase the output power based on the frequency deviation, rate of change of frequency, and both of them. The schemes in (Yang et al., 2018; Kheshti et al., 2019; Yang et al., 2022) increase the output power based on the predefined reliable function, temporary function, and adaptive function. However, the maximum power point tracking operation is implemented prior to disturbances, even though the DFIG has a large potential for frequency regulation; in the case of a low wind speed condition or decreasing wind speed condition, the available kinetic energy is insufficient (Vidyanandan and Senroy, 2013).

This study suggests an enhanced PFR strategy of a DFIG based on pitch angle control to boost the settling frequency and maximum frequency deviation. To address this, a function of the pitch angle is employed that decreases the pitch angle with time to generate the additional active power. The DFIG works in de-loaded operation with a certain reserve power for frequency regulation prior to disturbances. The enhanced PFR strategy performance is indicated using EMTP-RV simulator under various scenarios with different wind speed conditions and wind penetrations.

# MODELING AND CONTROL OF A DOUBLY-FED INDUCTION GENERATOR

**Figure 1** illustrates the typical configuration of the DFIG including wind turbine model, induction generator model, and back-to-back power electronic devices (Lee et al., 2016; Zhu et al., 2021).

The wind turbine model is used to capture the mechanical power from the wind. The captured power  $(P_m)$  is depicted by:

$$P_m = 0.5\rho\pi R^2 v_w^3 c_P(\lambda, \beta) \tag{1}$$

where  $\rho$  and A respectively indicate the air density and swept area;  $\beta$  and  $\lambda$  respectively are pitch angle and the tip-speed ratio;  $c_p$  and  $v_w$  respectively indicate the power coefficient and wind speed.

In this paper, the expression of the power coefficient  $c_p$  is given as:

$$c_P(\lambda,\beta) = 0.645 \left\{ 0.00912\lambda + \frac{-5 - 0.4(2.5 + \beta) + 116\lambda_i}{e^{21\lambda_i}} \right\}$$
(2)

where

$$\lambda_i = \frac{1}{\lambda + 0.08 \left(2.5 + \beta\right)} - \frac{0.035}{1 + \left(2.5 + \beta\right)^3}$$
(3)

$$\lambda = \frac{\omega_r R}{\nu_w}.$$
 (4)

During MPPT control,  $c_p$  is at the maximum value ( $c_{P,max}$ ) when  $\lambda$  is regulated at the optimal  $\lambda$  ( $\lambda_{opt}$ ). Hence, the reference for MPPT control is derived *via* substituting (4) in (**Eq. 1**), as in (**Eq. 5**).

$$P_{\rm MPT} = \frac{1}{2} \rho \pi R^2 \left( \frac{\omega_r R}{\lambda_{\rm opt}} \right)^3 c_{p,\,\rm max} = k_g \omega_r^3 \tag{5}$$



$$k_g = \frac{1}{2\lambda_{\text{opt}}^3} C_{p,\text{max}} (\lambda_{\text{opt}}, 0) \rho \pi R^5$$
(6)

where  $k_g$  is constant coefficient of MPPT control calculated by (Eq. 6).

**Figures 2A–C** display the controllers of rotor-side converter, gird-side converter, and pitch angle, respectively. The aims of RSC controller are to regulate active power (torque) of the DFIG injected to the power grid and the voltage of the DFIG, respectively, as displayed in **Figure 2A**. The objective of the GSC controller is to keep DC-link voltage at reference values, as displayed in **Figure 2B**. The aim of the pitch angle controller is to

achieve the de-loaded operation of the DFIG in the study, as shown in **Figure 2C** (Muljadi and Butterfield, 2001).

# PROPOSED ENHANCED PRIMARY FREQUENCY RESPONSE STRATEGY OF A DOUBLY-FED INDUCTION GENERATOR

Figure 3 and Figure 4 illustrate the control concepts of the overspeed and pitch angle control strategies for de-loaded operation, respectively. The red solid line and blue solid line indicate the electrical output power of MPPT operation and

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mechanical power curves, respectively. The mechanical power retains an optimal value ( $P_{opt}$ ) achieved by the MPPT operation at the optimal rotor speed ( $\omega_{opt}$ ). Generally, the DFIG can implement pitch angle control and over-speed control to ensure the de-loaded operation (Kheshti et al., 2019), as represented by the red dotted lines in **Figures 3**, **4**. The green dotted line means the moving trajectory for de-loaded operation.

As in **Figure 3**, de-loaded operation of the DFIG is able to be achieved by either acceleration or deceleration of the rotor speed, as indicated the left and right hand operating points of  $D_1$  and  $D_2$  in **Figure 3**. In the case of decreasing wind speed conditions, the operating point of  $D_1$  trends to decrease to the minimum rotor speed so as to result in stalling of the rotor speed. On the other hand, the operating point of  $D_2$  trends to increase to the maximum rotor speed so as to store more kinetic energy for frequency regulation. As a result, to ensure the safe and stable operation of the DFIG, overspeed control should be chosen for the WTG rather than low-speed control (Hu et al., 2019).



Based on (Eq. 1), the DFIG can increase the pitch angle to achieve de-loaded operation, as shown in **Figure 4**. Since  $\beta_2$  is larger than  $\beta_1$ , the power coefficient decreases so as to reduce the captured mechanical power and accomplish the spinning reserve power (Fu et al., 2017). Even though the overspeed control strategy has more rapid response and results less mechanical wear, but is suitable for part of wind speed conditions, the pitch angle control is able to implement for full wind speed conditions.

The spinning reserve power (d%) of the DFIG can be calculated by

$$d\% = \left(P_{opt} - P_{de}\right) / P_{opt} \times 100\% \tag{7}$$

Based on (Eq. 7), the power coefficient for de-loaded operation  $(C_{p, deload})$  can be derived as in:

$$C_{p,deload} = (1 - d\%)C_{p,max} \tag{8}$$





Thus, the power reference during de-loaded operation mode  $(P_{de})$  is represented as

$$P_{de} = 0.5\rho\pi R^2 C_{p,deload} \tag{9}$$

As in (Fu et al., 2017), based on the low-order system frequency response model, the expressions of the maximum frequency deviation and settling frequency are given as:

$$\Delta f_{nadir} = \frac{K\Delta P}{DK+1} \left(1 + \sqrt{1+\varsigma^2}\right) \alpha^{-\varsigma \omega_n t_{nadir}}$$
(10)

$$f_{settling} = f_{nom} - \frac{K - \Delta P}{D} \tag{11}$$

where  $\Delta f_{\text{nadir}}$  and  $f_{\text{settling}}$  are the maximum frequency deviation and settling frequency, respectively. *K* and *D* are the setting of PFR and damping of the CSGs, respectively.  $\alpha$  and  $\varsigma$  are the coefficient during the derived process and damping ratio, respectively.  $t_{\text{nadir}}$  is the instant of the maximum frequency deviation.  $\Delta P$  is the power imbalance calculated by the difference between the power variation of the DFIG and size of disturbance.

Based on (**Eqs. 10, 11**), if the DFIG increases the output power following a disturbance, the equivalent power imbalance becomes small so that the maximum frequency deviation and settling frequency become better. This means that the DFIG can provide the capability of PFR.

**Figure 5** illustrates the control diagram of the enhanced PFR strategy of the DFIG. If the DFIG decreases the pitch angle to capture more wind power by the wind turbine. The output power

of the DFIG can be increased to compensate for the power imbalance. Thus, after the system frequency exceeds the deadband (detecting a disturbance), this study suggests a function related to pitch angle and time to participate in PFR, as in (**Eq. 12**). As time goes on, the pitch angle decreases, the DFIG captures more mechanical power from the wind so as to generate more active power to the grid for frequency support.

$$\beta_{ref}(t) = \beta(t_0) \times \left[1 - \frac{1}{\Delta t} \left(t - t_0\right)\right]$$
(12)

where  $\beta_{ref}$  is the reference of pitch angle,  $\beta(t_0)$  is the pitch angle at the instant when a disturbance is detected,  $\Delta t$  means the duration for decreasing pitch angle.

As in (Eq. 12), the setting of  $\Delta t$  decides on the performances in terms of improvement of the frequency nadir and settling frequency. With a large settling of  $\Delta t$ , the pitch angle gradually decreases so that the output power of the DFIG gradually increases to  $P_{opb}$  meanwhile, even though the large  $\Delta t$  is better for causing less mechanical stress on the pitch angle, the benefit for improving the maximum frequency deviation becomes less. On the other hand, the small  $\Delta t$  is better for improving the PFR capability, however, it might cause mechanical stresses on the pitch angle control system. Normally, the setting should be in second-scale so as to be unable to result in mechanical fatigue of the pitch angle control system.

As shown in **Figure 5**, after detecting a disturbance, the output power of the DFIG increases toward to  $P_{opt}$  with the decreasing pitch angle from operating point 2 to operating point 1; thereafter, the DFIG converges at  $\omega_{opt}$  while the pitch angle decreases to zero. Since the output power increases in comparison with MPPT operation, the maximum frequency deviation and settling frequency are able to be enhanced.

## SYSTEM LAYOUT

**Figure 6** displays a model system with two areas and four CSGs to investigate the performance of the enhanced PFR strategy. CSGs are: two 200-MVA with the inertia time constant of 5.0 s, and two 150-MVA with the inertia time constant of 4.3 s. They are assumed as steam turbine generators for modeling low ramping capability (5% of PFR). **Figure 7** illustrates the configuration of the type B steam governor model, as modeled



К	<b>K</b> 1	K <sub>2</sub>	K <sub>3</sub>	<b>T</b> 1	<b>T</b> 2	<b>T</b> 3
20	0.3	0.4	0.3	0.1	1.0	0.25
Τ <sub>4</sub>	$T_5$	$T_6$	Uo	$U_c$	$P_{\max}$	$P_{\min}$
0.3	10	0.4	0.1	-0.2	1	0.4

in (Byerly et al., 1973). The specific parameters of the IEEEG1 steam governor model are shown in Table 1. In addition, a DFIG based wind farm is integrated into the model system though a transformer. The detailed parameters are referred in (Lee et al., 2016) (Table 2).

To explore the effectiveness and feasible of the proposed enhanced PFR strategy, three cases with different wind speed

#### TABLE 2 | Parameters of the DFIG.

Item	Values	Units
Nominal stator voltage	2.3	kV
Nominal apparent power	5.5	MVA
Nominal active power	5.0	MW
Magnetizing reactance	2.9	p.u
Stator leakage reactance	0.18	p.u
Rotor resistance	0.016	p.u
Rotor leakage reactance	0.16	p.u
Stator resistance	0.023	p.u
Inertia constant	5.0	S
Stable operating range of $\omega_r$	0.70-1.25	p.u
Rated, cut-in, and cut-out speeds	11, 4, and 25	m/s
Based value of rotor speed	235.62	rad/s
Based value of torque	21.78	kNm





conditions and wind power penetration levels are carried out. Wind power penetration levels are respectively 15% for Case 1 and Case 2, and 30% for Case 3.

# Case 1: Wind Speed of 8.0 m/s With 10% De-Loaded Operation, Wind Power Penetration Level of 15%

**Figure 8** illustrates the simulation results for Case 1. To achieve spinning reserve power of 10%, the pitch angle control increases the pitch angle to 1.54°. When CSG<sub>4</sub> generates 65 MW is tripped

out from the electric power gird, the maximum frequency deviation for the DFIG without PFR is 0.539 Hz; in this case, there is no change on the pitch angle and the output of the DFIG. When the DFIG implements the proposed PFR strategies with a small  $\Delta t$  and with a large  $\Delta t$ , the maximum frequency deviations are 0.537 Hz and 0.538 Hz, respectively, as shown in **Figure 8A**. The improvements of the maximum frequency nadir for the proposed enhanced PFR strategies with different  $\Delta t$  are higher by 0.002 and 0.001 Hz in comparison with no PFR strategy, respectively, since the output power of the DFIG gradually increases and the rate of output power of the DFIG for the



proposed PFR strategy with a small  $\Delta t$  is more than in the proposed PFR strategy with a large  $\Delta t$  (**Figure 8C**). The settling frequency for the proposed PFR strategy is 59.808 Hz; this is more than that of no PFR strategy by 0.013 Hz due to the more power generation of 3.7 MW.

As shown in **Figure 8B**, the DFIG decreases the pitch angle from 1.53 to 0° during 3.0 and 6.0 s for the proposed enhanced PFR strategy, respectively. Thus, the DFIG increases it output power gradually from 34.7 to 38.4 MW for compensating the

power deficit. On the other hand, there is no change for the pitch angle and output power of the DFIG based wind farm in no PFR.

## Case 2: Wind Speed of 10.0 m/s With 10% De-Loaded Operation, Wind Power Penetration Level of 15%

Figure 9 illustrates the simulation results for Case 2. Similar to previous case, to achieve 10% spinning reserve power, the pitch

angle control almost increases the pitch angle to 1.53°. The maximum frequency deviation for the DFIG without PFR is 0.539 Hz, which is almost the same as in Case 1 due to the same size of disturbance and no response from the DFIG.

The maximum frequency deviation of the proposed PFR strategy with a small  $\Delta t$  and with a large  $\Delta t$ , the maximum frequency deviations are 0.533 and 0.536 Hz, respectively, as shown in **Figure 8A**. The improvements of the maximum frequency nadir for the proposed enhanced PFR strategies with different  $\Delta t$  are higher by 0.006 and 0.003 Hz in comparison with no PFR strategy, respectively, since the rate of output power of the DFIG for the proposed PFR strategy with a small  $\Delta t$  is more than in the proposed PFR strategy with a large  $\Delta t$  (**Figure 8C**). The settling frequency for the proposed PFR strategy is 59.820 Hz; this is more than that of no frequency regulation strategy by 0.025 Hz due to the more power generation of 7.5 MW.

Compared with Case 1, as in Case 1, the DFIG decreases the pitch angle from 1.53° to 0° during 3.0 and 6.0 s for the proposed enhanced PFR strategy, respectively. However, the amount of increase power of the DFIG is 7.5 MW, which is more than that of Case 1 so that the settling frequency is 0.012 Hz higher and the maximum frequency deviations are less. Thus, as the wind speed increases, the proposed enhanced PFR strategy can improve the performance in terms of reducing the maximum frequency deviation and increasing the settling frequency.

# Case 3: Wind Speed of 10.0 m/s With 10% De-Loaded Operation, Wind Power Penetration Level of 30%

Figure 10 illustrates the simulation results for Case 3 with a high wind power penetration compared to Case 2. The maximum frequency deviation for the DFIG without PFR is 0.594 Hz and is less than Case 1 and Case 2 due to the reduced frequency support capability. The DFIG with the proposed enhanced PFR strategy increases it output power from 133.6 to 148.4 MW with various rates by decreasing the pitch angle from 1.53° to 0° during 3.0 and 6.0 s, respectively. As a result, the maximum frequency deviation of the proposed PFR strategy with a small  $\Delta t$  is 0.581 Hz, which is 0.006 Hz more than that of the proposed PFR strategy with a large  $\Delta t$ . Furthermore, the improvement of the maximum frequency deviation is more than that of Case 2 because of the higher wind power penetration level. In addition, the settling frequencies for the proposed PFR strategy are the same due to the same amount of increase output power of the DFIG; moreover, it is 0.054 Hz more than in no frequency regulation strategy and 0.003 Hz more than that of Case 2, even though the PFR of the CSGs becomes worse.

As the growing wind power penetration levels, the proposed PFR strategy can improve the frequency maximum frequency

deviation and settling frequency in comparison with low wind penetration levels.

# CONCLUSION

This paper suggests an improved PFR strategy of the DFIG based on the pitch angle control to reduce the maximum frequency deviation and improve the settling frequency. To address this, a function of the pitch angle is employed that decreases the pitch angle with time to feed the active power to the power gird. The DFIG works in de-loaded operation with a certain reserve power for frequency regulation *via* pitch angle control prior to disturbances. The contributions of the proposed PFR are as follows:

- 1) The function of the pitch angle is defined in the time domain so as to regulate the participating time of the PFR.
- 2) The proposed PFR scheme can smoothly increase the output power to improve the PFR capability.

Simulation results on various wind speed conditions and penetration levels successfully illustrated that the proposed PFR strategy can reduce the maximum frequency deviation and improve the settling frequency. As the increasing wind speed conditions and wind power penetration level, the improvement of the maximum frequency deviation and settling frequency become better.

In future, the authors would focus on designing the optimal primary frequency response of the DFIG with the maximum rotor kinetic energy (Shi et al., 2018).

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

# **AUTHOR CONTRIBUTIONS**

XS and XW conducted the back ground research of the project. FY, HY, and DJ proposed the methodology of the project. XS, XW, YX, and DJ completed the main theory and simulation content. XS and XW completed the writing of the paper. The work was supported by the fund of XS, FY, and HY.

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The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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