



# Harmonic Analysis and Neutral-Point Potential Control of Interleaved Parallel Three-Level Inverters for Flywheel Energy Storage System

Zhongrui Li, Ziling Nie, Jie Xu, Huayu Li and Sheng Ai\*

National Key Laboratory of Science and Technology on Vessel Integrated Power System, Naval University of Engineering, Wuhan, China

Flywheel energy storage system is a popular energy storage technology, in which inverters are the center of electrical energy conversion, directly affecting the power capacity. Parallel operation of three-level inverters is an effective approach to achieve larger motor drive power and the interleaved operation can improve the harmonic characteristics. However, harmonic analysis models of the interleaved parallel three-level inverters are rare in the literature and how the neutral-point potential imbalance affects the harmonic characteristics has not been discussed. This article establishes the harmonic calculation for balanced and unbalanced neutral-point potential through the five-level voltage capability of the interleaved parallel three-level inverters. Moreover, a neutral-point potential control method based on zero-sequence voltage injection is proposed. The implement process of the method is proposed, and how the operating frequency affect the ability of the neutral-point potential balance is studied. Finally, the simulation and experiment results verify the feasibility and practicability of the established harmonic analysis models and the neutral-point potential control method.

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#### \*Correspondence:

Sheng Ai ai\_\_sheng@163.com

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# INTRODUCTION

Flywheel energy storage system (FESS) is a sustainable and environmentally friendly energy storage system for the efficient and safe utilization of intermittent renewable energy (Mir and Senroy, 2018; Rafi and Bauman, 2021). FESS completes the mutual conversion of electrical energy into mechanical energy, stores energy as kinetic energy and generates no pollution, which mainly has the advantages of high power density, short charging and discharging time, high energy conversion efficiency, low maintenance cost, long service life (Zhang and Yang, 2017; Zhang and Yang, 2018; Ghanaatian and Lotfifard, 2019; Ho et al., 2019). Benefiting from these advantages, FESS is currently an indispensable energy storage method in modern power systems.

In the flywheel energy storage system, the power converters are the center of electrical energy conversion. The electrical machine used in the system has large rotational mass, high speed, and low loss (Gengji and Ping, 2016). However, traditional two-level inverters cannot meet the requirement of voltage level and harmonic content. Compared with two-level inverters, three-level inverters (TLIs) have the merits of low voltage stress, low harmonic content and high power rating (Nabae et al., 1981; Gao et al., 2021; Zhang et al., 2021). Moreover, parallel operation of TLIs can achieve

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larger current capacity (Jiang et al., 2021). The parallel operation can be classified into synchronous operation and interleaved operation. The two inverters receive synchronous switching signals in the synchronous operation while asynchronous switching signals in the interleaved operation.

Pulse width modulation (PWM) inevitably produces undesired harmonics. Industrial applications such as motor drive require total harmonic distortion (THD) within the specified range (generally less than 5%). When the synchronous operation is used, the current capacity of parallel TLIs can increase but the harmonic characteristics cannot be improved. The interleaved operation can improve the current distortion and increase the waveform quality. Identification of harmonics for parallel TLIs in the interleaved operation is essential to improve the harmonic characteristics of the motor speed regulation system. Double Fourier integral can establish an accurate analytical model of harmonics through strict mathematical derivation, which is an intuitive and effective method for harmonic analysis. The harmonics of TLIs for carrier-based PWM have been calculated (Mazzucchelli et al., 1981; Holmes and Lipo, 2003; Dolguntseva et al., 2015). Besides, the harmonic analysis model of TLIs with popular space vectorbased PWM is discussed (Chen et al., 2020). For interleaved parallel two-level inverters, the analysis of harmonics is illustrated (Zhang et al., 2011). However, little literature is found on the interleaved parallel three-level inverter harmonic analysis model.

In addition, the interleaved parallel three-level inverters have both inherent problems of neutral-point potential control and circulating current suppression. Undesirable characteristics of capacitors and loads, as well as inherent defects of the control algorithm, result in unbalanced capacitor voltages (Stala, 2013; Liu et al., 2021; Dargahi et al., 2022). The imbalance increases the harmonic contents, reduces the device life and affects the system operation. Based on space vector PWM, the control of neutralpoint potential is realized by adjusting the vector action time, but complex sector division and duty ratio calculation are inevitable (Yamanaka et al., 2002; Jiang et al., 2020). Zero-sequence voltage injection (ZSVI) is a commonly used neutral-point potential control method for TLIs with carrier-based PWM, which maintains the balance by injecting specific zero sequence components into three-phase modulation waves and has the merits of simplicity, utility and easy implement (Tallam et al., 2005; Song et al., 2013; Xing et al., 2020). The neutral-point potential control algorithms based on ZSVI for TLIs have been proposed (Wang and Li, 2010; Chaturvedi et al., 2014; Chen et al., 2018; Wan et al., 2021), but the interleaved operation of inverters is not considered.

Moreover, the interleaved operation can improve current distortion, but high-frequency circulating current unavoidably occurs due to inconsistencies of two parallel inverters. Therefore, different circulating current suppression methods for parallel three-level inverters have been proposed in literature. From the perspective of control (Liu et al., 2021; Xing and Chen, 2021) and modulation (Zhang et al., 2019; Tcai et al., 2021), the circulating current is suppressed. In the flywheel energy storage system, the parallel circuit series filter inductors, which can effectively suppress circulating current but also decrease the

system power factor, especially when the system operates at a higher frequency. The way of the ZSVI for high operating frequency and low operating frequency is different.

This article is organized as follows. Parallel Three-Level Inverters Model develops the basic model of parallel TLIs and the mechanism of neutral-point potential imbalance. A novel perspective of harmonic analysis for interleaved parallel TLIs under balanced and unbalanced neutral-point potential is discussed in Harmonic Analysis of the Interleaved Operation, which considers the five-level capability of the output voltage. A neutral-point potential control algorithm is analyzed in Neutral-Point Potential Control. The model of average neutral current is derived by using the equivalent duty ratio calculation thus the calculation method of zero sequence voltage is introduced. The implementation of the ZSVI method at low operating frequency is analyzed, while the problem and improvement for high operating frequency is proposed. Simulation and Experimentation shows and compares the simulation and experimental results, verified the validity of theoretical analysis. Finally, the conclusion is summarized in Conclusion.

# PARALLEL THREE-LEVEL INVERTERS MODEL

#### **Topology and Modulation Strategy**

The topology of the parallel TLI system is shown in **Figure 1A**. Two TLIs called TLI-1 and TLI-2, share the common neutral point (O) of the capacitors ( $C_1$  and  $C_2$ ) and the DC bus ( $U_{dc} = 2E$ ). Each phase leg of two parallel TLIs is connected through a filter inductance  $L_{xk}$  (x = a, b, c; k = 1, 2), while the output is connected to a flywheel motor. The principle of interleaved parallel PWM is shown in **Figure 1B**. Both TLI-1 and TLI-2 are modulated by carrier phase disposition PWM. The modulation waves of two TLIs are the same while the carriers are interleaved, and phase shifted by  $\pi$ . The carriers of TLI-1 are  $v_{c1-1}$  and  $v_{c1-2}$ , while the carriers of TLI-2 are  $v_{c2-1}$  and  $v_{c2-2}$ . The modulation wave  $v_r$  is compared with the four carriers to generate the switching signal of the parallel TLI system.

Considering O as the reference point, *x* phase voltage of TLI-*k* can be expressed as

$$\boldsymbol{U}_{\boldsymbol{x}\boldsymbol{k}\boldsymbol{O}} = \boldsymbol{S}_{\boldsymbol{x}\boldsymbol{k}} \cdot \boldsymbol{E} \tag{1}$$

where  $S_{xk}$  (x = a,b,c; k = 1,2) is x phase switching signal of TIL-k inverter, and E is the voltage level. While  $S_{xk}$  has three possible values ( $S_{xk} = -1, 0, 1$ ),  $U_{xkO}$  can have three voltage levels from (2), and it is possible for  $U_{xO}$ , the x phase voltage of the system, to obtain five voltage levels. The waveforms of  $U_{xkO}$  and  $U_{xO}$  is shown in **Figure 1B**.

Although parallel legs of the system use the same modulation wave, the switching pulses is asynchronous because of the different carriers, leading to unequal instantaneous values of leg output voltages. Then, according to Kirchhoff's law, the voltage equation of the parallel circuit can be expressed as

$$U_{xk0} = L \frac{di_{xk}}{dt} + U_{x0}$$
(2)



where *L* is the inductance value of  $L_{xk}$ , and  $i_{xk}$  is the *x* phase current of TIL-*k*.

From (1) (2), owing to the asynchronous switching pulses, the circulating current  $i_{hx}$  of x phase inevitably emerges, which can be expressed as

$$\frac{di_{hx}}{dt} = \frac{(S_{x1} - S_{x2})E}{2L}$$
(3)

In order to suppress circulating current, improving the stability of the parallel TLI system, the parallel circuit series filter inductors. Ignoring the magnetic saturation of the filter inductors, the inductive resistance in the AC circuit is proportional to the angular frequency of the current passing through the inductors. Therefore, although filter inductors can effectively suppress circulating current, the system inductive resistance increases, reducing the power factor when operating at high frequency.

# Mechanism of Neutral-Point Potential Imbalance

The essential reason for the neutral-point potential imbalance is that the flow of the neutral current causes the neutral voltage to fluctuate. Assume that the reference direction of the neutral current is positive when it flows out of the neutral point, as shown in **Figure 1A**. According to Kirchhoff's law, the relationship between neutral current and capacitor currents and the relationship between neutral voltage and capacitor voltages can be expressed as

$$i_{0}(t) = i_{C1}(t) - i_{C2}(t)$$
(4)

$$u_0(t) = \frac{u_{C2}(t) - u_{C1}(t)}{2}$$
(5)

From (4) (5), the relationship between the neutral voltage and the neutral current can be expressed as

$$u_0(t) = -\frac{1}{2C} \int_0^t i_0(t) d\tau + U_0 \tag{6}$$

where C is the capacitor value and  $U_0$  is the steady-state error of the neutral-point potential.

Then, during a switching period  $T_s$ , (6) can be expressed as

$$u_{0_{average}} = -\frac{1}{2C} i_{0_{average}} T_s + U_0 \tag{7}$$

where  $u_{0_{average}}$  and  $i_{0_{average}}$  are the averages of  $u_0(t)$  and  $i_0(t)$  during a switching period, respectively.

From (7), the neutral-point potential can be balanced by eliminating the DC offset of the capacitor voltages and controlling the average neutral current  $i_{0_{average}}$  to 0.

### HARMONIC ANALYSIS OF THE INTERLEAVED OPERATION

#### Harmonic Characteristic

In the flywheel energy storage system, the output harmonics of the inverter generate the motor stator harmonics, which directly affect the motor harmonic losses, and then affect the stable operation of the system. Therefore, this paper regards the parallel TLIs as an integrated system to analyze its harmonic characteristic.

From Double Fourier Transform theory, the spectrum of the system switched phase output voltage can be expressed as (Holmes and Lipo, 2003)

$$f(t) = \frac{A_{00}}{2} + \sum_{n=1}^{\infty} A_{0n} \cos(ny) + \sum_{m=1}^{\infty} A_{m0} \cos(mx) + \sum_{m=1}^{\infty} \sum_{m=-\infty}^{\infty} A_{mn} \cos(mx + ny)$$
(8)

$$A_{mn} = \frac{1}{2\pi^2} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} f(x, y) \cos(mx + ny) dx dy, x = \omega_c t, y = \omega_o t$$
(9)

where *m* and *n* are the index variables of carrier and baseband,  $\omega_r$  and  $\omega_o$  are the carrier angular frequency and the fundamental angular frequency, respectively.

The harmonic characteristic of the parallel TLI system in the synchronous operation is the same as a single TLI, so it is unnecessary to repeat it. Moreover, for harmonic analysis of the interleaved operation, the per unit of the carrier frequency is used to simplify the analysis. The carrier waves of TLI-1 and TLI-2 in a switching period are shown in **Figure 2A**, while the



modulation wave expression in the switching period is  $f(y) = M\cos y$ , where *M* is the modulation ratio. A direct comparison between the amplitudes of the carrier waves and the modulation wave is used to determine the distribution region of the switching function f(t), which is shown in the unit cell **Figure 2B**.

The distribution of the switching function is related to the modulation ratio M, for instance, the value of M determines that the regions of voltage level + E and -E exist or not in the unit cell. In fact, the output voltage is able to obtain five levels when M > 0.5, and the harmonic content is less because the non-zero levels of TLI-1 and TLI-2 tend to be synchronous. In the switching period, the moments when the leg output non-zero voltages of TLI-1 and TLI-2 is the same increase with M, as well as the number of synchronous switching pulses. However, when  $M \le 0.5$ , the output voltage can only obtain three levels and the non-zero levels of TLI-1 and TLI-2 are asynchronous, hence, the harmonic content is larger. Actually, the number of synchronous switching pulses is zero in the switching period, thus there is no moments when the leg output non-zero voltages of TLI-1 and TLI-2 are of synchronous switching pulses.

When the integral limits are determined, the harmonic characteristic of different modulation ratios in the interleaved operation is calculated as follows

$$U_o = EM \cos \omega_o t$$
  
+  $\frac{2E}{\pi} \sum_{m=1}^{\infty} \frac{1}{2m} \sum_{n=-\infty}^{\infty} J_{2n+1} (2m\pi M) \cos(n\pi) \cos[2m\omega_c t + (2n+1)\omega_o t]$   
(10)

where Jn (.) is the n-order Bessel function. A significant feature of the phase voltage  $U_o$  in the interleaved operation is only composed of the fundamental component and even carrier frequency with odd fundamental frequency sideband harmonic components. The fundamental component is identical to the synchronous operation, while the harmonic components is different. Also, the amplitude of the fundamental waveform is related to the modulation ratio M and DC voltage, while the amplitudes of harmonics are determined by Bessel functions when certain modulation ratio M and DC voltage are chosen. Further, the harmonics of the phase voltage  $U_0$  in interleaved operation have following characteristics:

- 1) When *m* is an odd number, partial harmonic components of TLI-1 and TLI-2 are offset, and there is no odd carrier harmonic components or odd carrier frequency with even fundamental frequency sideband harmonic components in the parallel system output voltage, compared with the synchronous operation.
- 2) When m is 0, the fundamental component of the parallel system output voltage is the same as the synchronous operation, and there is no DC component or baseband harmonic components.
- 3) When m is a non-zero even number, the harmonic components of the parallel system output voltage are in common with synchronous operation, containing even carrier frequency with odd fundamental frequency sideband harmonics.

The interleaved operation does not affect the fundamental waveform of the parallel system but can eliminate odd carrier harmonics and odd carrier frequency with even fundamental frequency sideband harmonics, compared with the synchronous operation, therefore, the system output voltage harmonic content is lower.

# Harmonic Characteristic Under Unbalanced Neutral-Point Potential.

For harmonic analysis of the interleaved parallel TLI system when DC offset inevitably emerges in neutral-point potential, the distribution regions of the switching function are unchanged, but the values in the regions, also called the leg output levels are different in the unit cell.

In the interleaved operation, the possible values of the parallel TLI system output levels are  $+ E + E_0 (+E + E_0)/2$ , 0,  $(-E + E_0)/2$ ,  $-E + E_0$ , where  $E_0$  is the DC offset. Hence, the Fourier series





expression of the output voltage for the parallel TLI system is calculated as follows

In the interleaved operation, the imbalance of neutral-point potential leads to DC bias and harmonics of even baseband component and even carrier frequency with even fundamental frequency sideband component. Furthermore, the amplitude of the DC bias is related to M and  $E_0$ , as well as the amplitudes of additional harmonics which is also related to Bessel functions. An obvious merit of the interleaved operation is odd carrier harmonics and odd carrier frequency sideband harmonics are eliminated whether the neutral-point potential is balanced or not.

# **NEUTRAL-POINT POTENTIAL CONTROL**

### Zero-Sequence Voltage Injection Method

Zero-sequence voltage injection method is an effective approach to achieve the neutral-point potential balance of multilevel inverters using carrier-based PWM. The method has been widely used in TLIs, achieving excellent results. However, applications on parallel TLIs, especially interleaving, have not been discussed in the literature.

The steady-state expression of the three-phase positive sequence modulation signal  $v_{rx}$  in the parallel TLI system can be written as

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$$\begin{bmatrix} \boldsymbol{v}_{ra} \\ \boldsymbol{v}_{rb} \\ \boldsymbol{v}_{rc} \end{bmatrix} = \begin{bmatrix} \boldsymbol{M} \cdot \cos \omega_o t \\ \boldsymbol{M} \cdot \cos (\omega_o t - 2\pi/3) \\ \boldsymbol{M} \cdot \cos (\omega_o t + 2\pi/3) \end{bmatrix}$$
(12)

Define the *x* phase duty ratio of TIL-*k* inverter as  $d_{xk}$ . Based on the model analysis in Section 2.1, it can be seen that carriers of the parallel legs for TLI-1 and TLI-2 in the interleaved operation are different, therefore, the duty ratios  $d_{x1}$  and  $d_{x2}$  are not equal, which causes the threephase positive sequence modulation signal  $v_{rx}$  cannot be directly equivalent to the duty ratio  $d_{x1}$  and  $d_{x2}$  using the impulse equivalent principle. To this end, this paper proposes an equivalent duty ratio calculation method. Based on the carrier and modulation wave of TLI-1, TLI-2 is equivalent to be modulated by carriers the same as the TLI-1 carriers and two modulation waves phase shift by a certain angle, as shown in Figure 3. The modulation wave  $v_{rx21}$  phase shifts forward  $\omega_0 T_s/2$  while the modulation wave  $v_{rx22}$  phase shifts backward  $\omega_0 T_s/2$ , compared with  $v_{rx}$ . Consequently, the impulse equivalent principle can be applied to calculate  $d_{x1}$  and  $d_{x2}$ .

Hence, the duty ratio  $d_{x1}$  of TLI-1 is equivalent to  $v_{rx}$ , while the duty ratio  $d_{x2}$  of TLI-2 can be approximately calculated in the following way

$$d_{x2} = \frac{v_{\rm rx21} + v_{\rm rx22}}{2} = \cos\left(\frac{\omega_o T_s}{2}\right) \cdot v_{\rm rx}$$
(13)

When a certain phase leg is clamped at the neutral point, this phase current flows through its corresponding clamp diode into the neutral point. Considering the existence of circulating current, the instantaneous neutral current  $i_0(t)$  can be expressed as



$$i_{0}(t) = -\sum_{x=a,b,c} (abs(S_{x1}) + abs(S_{x2}))\frac{i_{x}}{2} - \sum_{x=a,b,c} (abs(S_{x1}) - abs(S_{x2}))i_{hx}$$
(14)

where abs (.) is the absolute value function, and  $i_x$  is *x*-phase load current of the parallel TLI system.

From (13) (14), the mathematical model of the average neutral current during a switching period is given by

$$i_{0\_average} = -\sum_{x=a,b,c} \operatorname{abs}(v_x) \left(\frac{i_x}{2} \left(1 + \cos\left(\frac{\omega_o T_s}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_o T_s}{2}\right)\right)\right)$$
(15)

where  $v_x$  is the actual three-phase modulation signal. From section 1.2, the balance control of the neutral-point potential is to keep the average neutral current at 0 while eliminate the DC offset of capacitor voltages. Based on the mathematical model of the average neutral current, a control degree of freedom must be introduced to ensure the average neutral current is 0. The control degree of freedom is the zero-sequence voltage  $v_0$ .

From (15), the mathematical expression of the zero-sequence voltage  $v_0$  is calculated as

$$\boldsymbol{v}_{0} = -\frac{\sum_{x=a,b,c} \operatorname{sign}\left(\boldsymbol{v}_{x}\right) \boldsymbol{v}_{rx} \left(\frac{i_{x}}{2} \left(1 + \cos\left(\frac{\omega_{o}T_{x}}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_{o}T_{x}}{2}\right)\right)}{\sum_{x=a,b,c} \operatorname{sign}\left(\boldsymbol{v}_{x}\right) \left(\frac{i_{x}}{2} \left(1 + \cos\left(\frac{\omega_{o}T_{x}}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_{o}T_{x}}{2}\right)\right)\right)}$$
(16)

where sign (.) is the symbol function.

Since it is not possible to determine the symbol of the three-phase modulation signal  $v_{xx}$  the three-phase positive sequence modulation





signal  $v_{rx}$  is generally used to estimate zero sequence voltage. The estimated value of zero sequence voltage is calculated as (17), which is used to control the neutral-point potential. (17) indicates that  $v_{0st}$  can be decoupled into two control objectives: voltage feedback control  $v_{0st-1}$  and current feedback control  $v_{0st-2}$ . Firstly, the elimination of the DC offset between capacitor voltages is realized by voltage feedback control  $v_{0st-1}$ . Then, the variation of the average neutral current is controlled to 0 by current feedback control  $v_{0st-2}$ , maintaining the neutral-point potential balanced.

$$\begin{aligned} \boldsymbol{v}_{0st} &= \frac{-i'_{0}}{\sum_{x=a,b,c} sgn(v_{rx}) \left(\frac{i_{x}}{2} \left(1 + \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right)\right) / v_{0st-1}} \\ &- \frac{\sum_{x=a,b,c} sgn(v_{rx}) v_{rx} \left(\frac{i_{x}}{2} \left(1 + \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right)\right)}{\sum_{x=a,b,c} sgn(v_{rx}) v_{rx} \left(\frac{i_{x}}{2} \left(1 + \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right) + i_{hx} \left(1 - \cos\left(\frac{\omega_{o}T_{s}}{2}\right)\right)\right) / v_{0st-2}} \end{aligned}$$

$$(17)$$

where  $i_0'$  is the capacitor voltage feedback controller output value.

### Implementation and Problem

**Figure 4A** is the block diagram of the interleaved parallel TLI system, the center of which is the Neutral Point Potential Regulator (NPPR) based on the zero-sequence voltage

injection method. The flowchart of the NPPR algorithm is shown in **Figure 4B**  $v_{\text{max}}$ ,  $v_{\text{mid}}$  and  $v_{\text{min}}$  are the maximum value, the middle value and the minimum value of the three-phase positive sequence modulation signal  $v_{\text{rxo}}$  respectively.

The voltage feedback controller is a PI controller. Obviously,  $v_{0st-1}$  is obtained by the voltage feedback controller, while  $v_{0st-2}$  is obtained by the current feedback controller.

In fact,  $v_{0st}$  is not necessarily the final injected zero sequence voltage and needs to be verified. The  $v_{0st}$  that cannot satisfy the verification condition should be revised. There are three possible correction values for  $v_{0st}$ , calculated as follows:

1)  $v_{\rm mid} = v_{\rm ra}$ 

2)  $v_{\rm mid} = v_{\rm rb}$ 

$$\boldsymbol{v}_{0\text{st}'} = -\frac{\boldsymbol{i}_{0}^{*} - sgn(\boldsymbol{v}_{\text{ra}}) \cdot \boldsymbol{v}_{\text{ra}} \cdot \boldsymbol{i}_{a} + sgn(\boldsymbol{v}_{\text{rb}}) \cdot \boldsymbol{v}_{\text{rb}} \cdot \boldsymbol{i}_{b} + sgn(\boldsymbol{v}_{\text{rc}}) \cdot \boldsymbol{v}_{\text{rc}} \cdot \boldsymbol{i}_{c}}{- sgn(\boldsymbol{v}_{\text{ra}}) \cdot \boldsymbol{i}_{a} + sgn(\boldsymbol{v}_{\text{rb}}) \cdot \boldsymbol{i}_{b} + sgn(\boldsymbol{v}_{\text{rc}}) \cdot \boldsymbol{i}_{c}}$$
(18)

$$\mathbf{v}_{0\mathrm{st}''} = -\frac{\mathbf{i}_{0}^{*} + sgn(\mathbf{v}_{\mathrm{ra}}) \cdot \mathbf{v}_{\mathrm{ra}} \cdot \mathbf{i}_{a} - sgn(\mathbf{v}_{\mathrm{rb}}) \cdot \mathbf{v}_{rb} \cdot \mathbf{i}_{b} + sgn(\mathbf{v}_{\mathrm{rc}}) \cdot \mathbf{v}_{\mathrm{rc}} \cdot \mathbf{i}_{c}}{sgn(\mathbf{v}_{\mathrm{ra}}) \cdot \mathbf{i}_{a} - sgn(\mathbf{v}_{\mathrm{rb}}) \cdot \mathbf{i}_{b} + sgn(\mathbf{v}_{\mathrm{rc}}) \cdot \mathbf{i}_{c}}$$
(19)



3) 
$$v_{\text{mid}} = v_{\text{rc}}$$
  
 $v_{0\text{st}'''} = -\frac{i_0^* + sgn(v_{\text{ra}}) \cdot v_{ra} \cdot i_a + sgn(v_{\text{rb}}) \cdot v_{\text{rb}} \cdot i_b - sgn(v_{\text{rc}}) \cdot v_{\text{rc}} \cdot i_c}{sgn(v_{\text{ra}}) \cdot i_a + sgn(v_{\text{rb}}) \cdot i_b - sgn(v_{\text{rc}}) \cdot i_c}$ 
(20)

However, in order to suppress circulating current, parallel circuit series filter inductors which causes the emergence of zerocrossing and positive-negative jumping of  $v_{0st}$  denominator. **Figure 5A** shows the function surface of the variables f and t, and the function curve when f is 400 Hz for  $v_{0st}$  denominator. As the operating frequency increases, the  $v_{0st}$  denominator is going to be 0 or even negative, and the function curves gradually change from flat curves to sawtooth curves. Hence, the phenomenon of zero-crossing and positive-negative jumping is further analyzed by taking the function curve when f is 400 Hz as an example.

For voltage feedback control,  $i_0$  is only related to the capacitor voltage difference and the controller internal parameters. The polarity of  $i_0$  is constant while zero-crossing

and positive-negative jumping of  $v_{0st}$  denominator inevitably emerge, leading to a sudden change of the  $v_{0st-1}$  polarity. Consequently, the charging and discharging ability of the parallel TLI system to the neutral-point is unbalanced, and the neutral-point potential can be completely out of control. For current feedback control, theoretically, the polarity of  $v_{0st-2}$  is determined by its numerator. However, zero-crossing and positive-negative jumping of the denominator cause the polarity of  $v_{0st-2}$  to be uncontrolled by its numerator, resulting in two extreme cases of  $v_{0st-2}$ , as shown in **Figure 5B.** It can be seen from the function surface of  $v_{0st-2}$  that when the operating frequency is high,  $v_{0st-2}$  reaches extreme values. Furthermore, the function curve of  $v_{0st-2}$  when f is 400 Hz shows that extreme case 1 occurs when the denominator has a zero-crossing, thus the value of  $v_{0st-2}$  is uncertain. Extreme case 2 occurs when the denominator has a positive-negative jumping, the numerator polarity remains unchanged while the  $v_{0st-2}$  polarity mutates.

For the existence of filter inductors, the inductance of the parallel TLI system increases with the operating frequency, while the power factor decreases. Hence, the phase difference between the phase current and the modulation signal changes to  $\pm \pi/2$ , which causes zero-crossing and positive-negative jumping of  $v_{0st-2}$  denominator. The function surfaces of  $v_{0st-2}$  denominator,  $v_{0st-2}$  numerator, and  $v_{0st-2}$  at different power factors are shown as **Figure 6**.

Although the aforementioned method can verify and revise  $v_{0st}$ , it is not possible to correct case 1 and case 2 because of the existence of filter inductors. In order to effectively control the neutral-point potential balance of the interleaved parallel TLI system, the aforementioned method must be improved.

#### Improvement

**Figure 7A** shows the voltage feedback controller of the improved method, consisting of hysteresis controller, PI controller, etc. Based on the DC offset between capacitor voltages caused by interference or other factors, hysteresis controller chooses whether to use the PI controller or not.

In order to solve the problem mentioned above, a variable called Symbol Factor (SF) is introduced to the NPPR. SF is calculated as

$$SF = \begin{cases} 1 & \sum_{x=a,b,c} sgn(v_x)i_x > 0 \\ 0 & \sum_{x=a,b,c} sgn(v_x)i_x \le 0 \end{cases}$$
(21)

According to SF,  $v_{0st}$  is verified and revised, or just revised. The improved NPPR algorithm is shown as **Figure 7B**.









# SIMULATION AND EXPERIMENTATION

In order to verify the feasibility of the developed harmonic models and the proposed neutral-point potential control

method, a MATLAB/Simulink model of 200kW parallel TLI system is constructed. Figure 8 shows the experimental platform. The consistent parameters are used for the simulation and the experiment, as listed in Table 1. In



addition, TMS320F28346 (DSP) and EP3C80F484I7 (FPGA) are used to implement the experiment.

## Harmonic Characteristic Comparison

**Figure 9** and **Figure 10** show the simulation and experimental waveforms of phase voltage for synchronous and interleaved operation under balanced and unbalanced neutral-point potential when the modulation ratio is 0.8 and the operating frequency is 400 Hz. The phase voltage waveforms for various operation modes and conditions have three and five clearly separated levels, respectively. But, there is an obvious asymmetry for the positive half wave and the negative half wave of the phase voltage when the neutral-point potential is unbalanced.

**Figure 11** shows the calculated harmonic spectrum, the simulated harmonic spectrum and the experimental harmonic spectrum of phase voltage with the developed model. The main components among calculation, simulation and experimentation are compared clearly. Obviously, the simulated and experimental results match well with the calculated result. In fact, dead time will impact the harmonics amplitude, but the dead time of the developed system is small (3.5% of the switching period), thus its impact on harmonics is very small and can be neglected.

**Figure 12** shows the line-to-line voltage THD with modulation ratios from 0.1 to 0.9 stepped by 0.1, when various parallel operations and neutral-point conditions are applied. It can be seen from the results that the harmonic contents of different operations and conditions are smaller when the modulation ratio is larger. The interleaved operation has remarkably reduced THD compared with the synchronous operation at every modulation ratio, regardless of whether the neutral-point potential is balanced. However, when the neutral-point potential is unbalanced, the line-to-line voltage quality is decreased while the harmonic content is increased at modulation ratios in the range of 0.1–0.9. Therefore, it is necessary to control the neutral-point potential to improve the harmonic characteristics. The experimental results are consistent with the simulation analysis.

# **Neutral Point Balancing Process**

The neutral-point potential balancing algorithm is developed to the experiment platform to verify its feasibility and practicability. **Figures 13A,B** show the simulation and experimental waveforms of the capacitor voltage dynamic control, respectively. At the beginning, the voltage offset of the upper capacitor and the lower capacitor is 100V, and the neutral-point potential is uncontrolled thus unbalanced. The initial operating frequency of the system is 100 Hz. **Figure 13A** shows that at t = 30 ms, the proposed ZSVI method is enabled, the capacitor voltages are balanced quickly

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TABLE 1 | Simulation and Experiments Parameters.

Symbol	Quantity	Value
U <sub>dc</sub>	DC link voltage	300
C	DC link capacitor	9.12mF
L	Inverter inductor	90 µH
f <sub>c</sub>	Switching frequency	10 kHz
f	Fundamental frequency	400 Hz

and remain at 150 V. However, at t = 70 ms, the operating frequency is suddenly changed to 400 Hz, the balance is disrupted, and the voltage offset increases rapidly. To remain the balance when the operating frequency is high, the improved ZSVI method is used shortly after the frequency change, thus the capacitor voltages are controlled effectively.

Figure 13B shows the same control process, and the dynamic performance of capacitor voltages is consistent with the

simulation result. The slightly different is that at about 5.4s, the capacitor voltages are out of control and the lower capacitor is 100 V higher than the upper for approximately 3s, while the frequency changes from 100 to 400 Hz. But the capacitor voltages can quickly eliminate the offset and maintain the balance because of the enablement of the improved ZSVI. The results prove the ZVI method has outstanding dynamic performance when the operating frequency is low while the improved ZVI method works more evidently when the operating frequency is high.

The simulation and experimental waveforms for a phase currents of TLI-1  $(i_{a1})$ , TLI-2  $(i_{a2})$ , and the output current  $(i_a)$  before and after the balancing algorithm is enabled with the corresponding process of **Figure 13** are shown in **Figure 14**. When the neutral-point potential is unbalanced, the phase currents are distorted severely. The ZVI method can restore balanced capacitor voltages and subsequently reduce the phase current distortion when the frequency is 100 Hz, while the improved ZVI method can achieve the same effect when the frequency is 400 Hz.

## CONCLUSION

This article proposes the harmonic analytical model and neutralpoint potential control method for interleaved parallel TLIs. First, the harmonic characteristic and calculation of interleaved parallel TLIs under balanced and unbalanced neutral-point potential are developed. The harmonic characteristics of interleaved operation is significantly better than the synchronous operation. Besides, the unbalance of the neutral-point potential can increase the harmonic content.

Second, the ZSVI method is proposed, which can be directly applied to balance the neutral-point potential at a lower operating frequency. However, when the system operates at a higher operating frequency, the ZSVI method is useless. The problem that the ZSVI method cannot be directly applied when the operating frequency is high is studied, and an improved neutral-point potential control algorithm is proposed. Simulation and experimental results demonstrate the validity of proposed models and algorithms.

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## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

ZL contributed for analysis of the work and wrote the first draft of the manuscript. SA is the corresponding author and takes primary responsibility. All authors contributed to manuscript revision, read and approved the submitted version.

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