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## Short-term day-ahead photovoltaic output forecasting using PCA-SFLA-GRNN algorithm

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The work of forecasting solar power is becoming more crucial with directives to regulate the guality of the power and increase the system's reliability as photovoltaic (PV) sites are being integrated into the architecture of power systems at an increasing rate. This study proposes a metaheuristic model for short-term photovoltaic power forecasting that includes shuffled frog leaping algorithm (SFLA), principal component analysis (PCA), and generalized regression neural network (GRNN). In this model, GRNN is implemented to analyze the input parameters after the dimension reduction process, and its parameters get optimized with the help of the SFLA, which has the advantage of fast convergence speed as well as searching ability, whereas PCA techniques are implemented to diminish the dimension of meteorological conditions. This hybrid model achieves day-ahead short-term forecasting, as shown in an experimental case of a Bhadla Solar Park installed in Gujarat, India. The accuracy of the proposed model obtained a mean absolute error (nMAE) of 2.3325, and a root mean square error (RMSE) of 129.425. Similarly, the error in forecasting obtained by the proposed method results in nMAE = 2.977 and RMSE = 160.92. The output results obtained surpassed all other hybrid models used for comparison in this study.

#### KEYWORDS

photovoltaic output forecasting, solar power prediction, generalized regression neural network (GRNN), shuffled frog leaping algorithm (SFLA), performance indexes

#### **1** Introduction

Electricity, among various sources of energy, has a significant part in today's world. Energy consumption is predicted to rise as the world becomes more globalized and modernized. Fossil fuels such as coal, gas, and diesel were various options for generating electricity in the past. Despite the fact that these energy sources are capable of meeting the electrical demand, their widespread use has resulted in the catastrophic depletion of fossil fuels and environmental issues (Lara Fanego et al., 2012). Crude oil, coal, and oil and gas, are expected to last 35, 107, and 37 years, respectively (Raza et al., 2016). The use of coal-fired power plants to generate power has led to enormous pollution in terms of CO<sub>2</sub>



emissions, which leads to global warming. In light of these realities, various alternative sources of energy used to meet energy needs have been intensively investigated. Renewable energy sources (RESs) have piqued global attention as such alternatives. Renewable power generation grew by 7% in 2020, of which 60% of the contribution was made by wind and solar PV technologies. Renewable sources accounted for about 29% of global electricity generation for 2020. Figure 1 shows the current scenario of the contribution made by various renewable energy sources to power generation in the world. The decline in energy demands induced by COVID-19 halted business growth and transit; on the other hand, it also proved to be a major contributor to this record. To achieve net zero emissions by 2050, more than 60% of generation by 2030 should be done by renewable power, which will require a dramatic increase in their installations. Though the net zero emission levels are to be achieved by 2050, there is a development in the yearly generation that averages 24% points throughout 2020 and 2030, culminating in 645 GW of net capacity additions in 2030 (IEA, 2018).

PV technologies are gaining popularity nowadays due to their various features such as zero emission, zero fuel consumption, low operating and maintenance costs, no moving systems, silence, and ease of integrating with a grid. The solar photovoltaic output has increased by 157 TWh (24.2%), reaching 928 TWh in 2021 (IEA, 2018). It grew at the second-fastest rate among other green technologies in 2021, after wind, although it is ahead of hydropower. Photovoltaic electricity production attained an all-time high of 140 GW due to impending regulatory constraints in China, the United States, and Vietnam. In most regions around the world, rooftop solar has now become the best option for power generation, which is projected to spur expansion in the coming years. Figure 2 shows the installed solar capacity in countries like China, India, Spain, Brazil, Mexico, and Chile during the years 1996–2020. Figure 2 shows the PV installed capacity of different countries year-wise. PV power generation is influenced by certain factors which include weather conditions, pressure and velocity of the wind, ambient temperature, humidity, and solar irradiance (Kroposki, 2017). The power generated through PV systems is affected by climatological changes.

The power system's reliability, stability, and planning are all disrupted by a sudden change in solar power output. To avoid such situations, precise and exact photovoltaic output forecasting is needed, which also assures the power performance of the model, stability, and quality. It has the potential to lessen the grid's impact on power uncertainty (Paulescu et al., 2013). Figure 3 shows the percentage contribution of PV systems in electrical power generation in different countries in a particular year ranging from 2017 to 2021. In a study by Yang et al. (2014), an artificial neural network (ANN) was implemented for PV forecasting for a one-day-ahead term. Another approach for PV power forecasting using back propagation ANN was constructed on the basis of seasonal weather classification considering 24 h (Eseye et al., 2018), where AI data are considered to be an input parameter. Similarly, weather forecasting reports are employed in PV power forecasting using ANN as was done by Asrari et al.





(2017). The control of local supply and demand is shown by a confidence interval result. The results showed a good match between the target and the neighboring area using real-time correlation data. To improve the prediction accuracy as well as computational efficiency, a dendritic neuron network-based model for forecasting PV power was developed by Kushwaha

and Pindoriya (2019). A wavelet transformation is introduced to extract frequencies of input data results to achieve diversity and accuracy in PV power forecasted results; a neural network ensemble scheme is proposed by Raza et al. (2019). In this scheme, five different feed-forward neural networks (FNNs) are trained using the particle swarm optimization (PSO)





technique. The results are combined using Trim aggregation. An innovative prediction technique using an RNN model with echo state networks was introduced by Rosato et al. (2019) for forecasting the amount of generated PV power.

Although solar irradiance is tightly correlated with PV output, several complicated meteorological conditions, such as temperature, humidity, precipitation, and others, also have an impact (Ramakrishna et al., 2019). Artificial intelligence techniques work on the principle of the central intelligence nervous system, which can be used to identify the patterns of recognition as well as machine learning. Prediction of PV power output on an hourly basis done using hybrid models based on similarly customized different algorithms are proposed by Zhang et al. (2020). A short-term forecast of power obtained from the residential PV system using SVM based on a genetic algorithm (GA) is introduced in Theocharides et al. (2020), where historical data are classified using an SVM classifier and further optimized using GA. An improvement in the regression coefficient of the PV model used for ultra-short-term PV power forecasting is seen in the study by Pan et al. (2020), where SVM is developed by preprocessing the data and optimizing its parameters using ant colony optimization. The wavelet transformation (WT), SVM, and PSO are combined for PV power forecasting for a one-day-ahead term in a real microgrid PV system. SVM maps meteorological variables from numerical weather prediction (NWP), and ill-behaved data which are affected by wavelets. An advanced statistical method self-organized map (SOM) proposed by Chen et al. (2011) is trained for classifying the data obtained by online meteorological services for a 24-hourahead local weather type. Similarly, a hybrid model is proposed by Zhu et al. (2016) where historically collected data are classified using SOM with learning vector quantization (LVQ); SVR also trained the



#### TABLE 1 Eigenvalue of covariance matrix of five variables.

Main component	Eigenvalue	Contribution rate	Cumulative rate
$X_1$	1.97	42.52	43.48
$X_2$	1.38	30.15	71.28
$X_3$	1.15	26.98	87.36
$X_4$	0.98	19.74	99.42
$X_5$	0.12	2.32	100.00

datasets, and finally, the accurate model for PV power 1-day ahead hourly forecasting is selected with the help of fuzzy inference.

A hybrid model was proposed for short-term day-ahead PV power forecasting by Ge et al. (2020). The model achieves a high precision in forecasting under strong uncertainties. The hybrid model used seasonal auto-regressive integrated moving average (SARIMA) and ANN model for short-term forecasting and computation of PV power using the least squares method. Researchers in a study by Lin et al. (2018) constructed a framework for PV forecasting which has several stages. They are data quality stage, data-driven ANN model development, assessment of weather clustering (k-means clustering), linear regressive correction technique for optimizing output, and evaluation of the accuracy of the final performance. Similarly, for short-term forecasting for generated PV power, a hybrid model is developed using the improved technique of Grey relational analysis (GRA), K-means clustering, and Elman neural network (ENN) by Zhou et al. (2020). A novel hybrid method based on maximum overlap discrete wavelet transform is introduced by Haque et al. (2013), where SARIMA and random vector functional link (RVFL) neural network was hybridized for PV power forecasting. A fuzzy clustering of atmospheric turbidity, solar irradiance, and relative humidity using improved fuzzy c-means clustering (IFCM) technique was done by Jinpeng et al. (2022). Radial basis function (RBF) and genetic algorithm programming system (GAPS) were proposed for improving prediction accuracy.

The correlation between uncertainty in PV power and its consumption by thermostatically loading is described by Ramakrishna et al. (2019), where the first model developed uses a regime-switching process to incorporate the variations in PV power during cloudy, sunny, and partly cloudy conditions. A Volterra model of second order shows the relationship between temperature and solar power. The combination of these two models leverages the joint probability of PV power forecasting. A probabilistic ensemble method (PEM) where solar irradiation forecast is used to cluster the data based on solar irradiance is proposed for PV power forecasting by Pretto et al. (2022), where PEM is validated by a real-case study that uses data for 3 years. The RMSE metric was improved in this case compared to the mean value ensemble. The proposed model was executed for ultra-short-term prediction (Mei et al., 2018), where the model was divided into two sub-models which deal with offline forecasting and online

TABLE 2 Proportion coefficient of the principal component.

Principal component	$X_1$	$X_2$	$X_3$	$X_4$	$X_5$
1	0.74	-0.78	-0.04	0.20	0.17
2	-0.22	-0.26	0.83	-0.41	0.53
3	-0.26	0.13	-0.10	0.82	0.73

forecasting. A regression sub-model of PV output and a weather classification model are established using an offline module, whereas real-time data are used by the online module to identify weather types. In this study, a short-term day-ahead PV power forecasting model is proposed using PCA-SFLA-GRNN. GRNN, a part of ANN techniques, has strong non-linear mapping capability, error tolerance, and robustness. Consequently, in this research, the Shuffled Frog Leaping Algorithm (SFLA) technique automatically determines the different parameter values of the GRNN model. This work suggests a new hybrid model to forecast PV output using a twostep method of SFLA and GRNN, as shown in Figure 4. This proposed model is based on the aforementioned literature analysis.

The *key aims* of this research, with an emphasis on short-term PV output predictions for the day ahead, are:

- To mitigate the dimension of the input data and avoid overfitting, minimizing the co-linearity of input data. Therefore, the PCA techniques are implemented to diminish the dimension of meteorological conditions.
- 2) The proposed GRNN-SFLA model for short-term PV forecasting over the next day. Where GRNN is implemented to fit the complex non-linear relationship between the PV input and output features, the GRNN technique is optimized using SFLA. For validation, the case study has been proposed for accuracy and robustness.

# 2 Input features for meteorological factors

The energy used for PV output is entirely derived from solar irradiance. Thus, it can be said that the PV output is directly impacted by solar irradiance. The output of a PV plant may also be affected by meteorological factors like ambient temperature, wind speed, humidity, and atmospheric pressure. This may lead to a burden during the training of datasets, and it also affects the learning speed. However, it will minimize the sensitivity of forecasting models. So, we have to assume that there are x number of samples and each sample has y variables so that we can create an x \* y data matrix. The dataset of Bhadla Solar Park is considered here for research purposes. It is located in the Jodhpur district of Rajasthan, India, on the coordinates of 27.5396685°N and 71.9152528°E with an area of 5,700°ha. The solar park consists of flat mounted PV panels. The temperature of

this area is between 46°C and 48°C. The total installed capacity of the plant is 2,245 MW. A satellite view of Bhadla Solar Park is shown in Figure 5. The flowchart of PCA used for diminishing the dimensions of meteorological conditions is shown in Figure 6.

#### 3 Generalized regression neural network based on shuffled frog leaping algorithm

# 3.1 Shuffled frog leaping algorithm technique

SFLA is a conceptually based algorithm that mimics the onomatopoeic progression of a community of hungry frogs. This algorithm's design is conceptualized as a group of frogs that resides in a morass comprising several stone blocks. The primary goal of all the amphibians is to search for a rock having more quantity of food as compared to the other rocks. To discover and reach that stage, the frogs converse with one another while looking for ways to improve one another's positions (memes) in the swamp. SFLA is built on the strategic planning of these frogs. To replicate this communications strategy and enforce SFLA, two different logics are blended. These logics are "deterministic" strategies and "random" strategies. The deterministic method is associated with the frogs' local improved performance in the swamp. As a consequence, they can only interact with frogs from the same meiotic division. This model comprises three primary operators which are described below:

- 1) **Classification stage:** The primary objective is to acquire new categories of frogs. These frog categories are sequences of parallel frog cultures going to be used in the next step for local communication. The frogs are arranged first on the basis of their fitness for this intent. The frog with the best fitness must be placed in first class. This frog is termed the best frog in the swamp and the first member of the sorted set. Similarly, the second frog will be included in the second class. The *n*th frog will be included in the first class, and a further  $(n+1)^{th}$  frog will be introduced in the first class, and so on.
- 2) Local search stage: During this phase, the operator in each class allows the worst frog to interact with the best frog in an attempt to transform its leaping move and guidance in terms of improving its position. This procedure will be repeated several times for every SFLA simulation iterative process (epochs). As a result, the current worst frog of the class at the related epoch communicates with the recent best frog in that epoch to get nearer to the best rock in the swamp. (1) and (2) are the formulae that clarify the principle of local search:

$$X = rand(X) * (X_m - X_{n,old value}), \tag{1}$$

$$X_{n,new value} = X_{n,old value} + X,$$
(2)

$$-X_{max} \le X \le X_{max}.$$
 (3)



Here, we consider indicate the position/locations of the fittest frog, the current default frog, and the generated frog, respectively. X and  $X_{max}$  indicate the leaping size step and the maximum value step, respectively. The value rand (X) lies between 0 and 1, representing a positive value.

The  $X_{n,new value}$  will be accepted if it has a better value in comparison to  $X_{n,old value}$ , and through the optimization process, the old default frog will be rejected. If the old default frog is not rejected then the local search phenomenon is to be repeated for the same frog, but in this case, the global best frog (i.e., Xg) (Eq. 3) must be used in place of the local best frog (Eq. 1). If this strategy fails to produce Xw new with a higher fitness value, Xw, old will be eliminated, and an arbitrary frog must be engendered to precede the local information exchange in the correlating class. The optimum values of frogs in the same class or local frogs must be determined after each era to identify the local best frog and worst frog in that class.

3) **Shuffling process stage:** Each iteration ends after the epochs of local search have been completed for different classes. In this phase, all the frogs are combined, forming a unified population having zero bias. In the SFLA iterative process, these three agents are reiterated again and again until the stopping condition is reached (Ge et al., 2020). This stage must go into considerable detail about the SFLA idea as well as its execution.

#### 3.2 Generalized regression neural network

GRNN constitutes four layers. The layers are the input layer, the pattern layer, the summation layer, and the output layer. Y

represents the corresponding incoming and outgoing vectors, that is,  $Y = [Y_1, Y_2, Y_3, \dots, Y_n]$  and  $Z = [Z_1, Z_2, Z_3, \dots, Z_n]$  respectively.

The count of neurons in each layer is equal to the input dimension of the training samples, and each neuron sends input data directly to the pattern layer. The count of neurons in the pattern layer is proportional to the number of training samples. The transfer function termed as RBF is given by:

$$C_{transfer Function} = \exp\left(\frac{\left(Y - Y_t\right)^T \left(Y - Y_t\right)}{2\sigma^2}\right),\tag{4}$$

where  $C_{transfer Function}$  is the assumed transfer function and  $\sigma$  is the spread parameters. The summation layers can be carried out in two ways: 1) To determine the summation of the weighted output of each neuron present in pattern layer mode, and 2) to







determine the arithmetic sum of the output of neurons present in the pattern layer.

#### 3.3 Principal component analysis

It is a dimensionality reduction and feature extraction method that follows the linear transformation principle. In this technique, the correlated variables get converted into

TABLE 3 Comparison of accuracy.

mutually uncorrelated variables with the help of orthogonal transformation. The primary constituents are calculated using the covariance matrix's eigenvector. The values obtained are less than or equal to the original variables. A high correlation is reflected among the input variables by the initial main constituents, which accounts for the majority of heterogeneity [98].

#### 3.4 Process of proposed model

This study presents an improved model for PV output forecasting based on PCA-GWO-GRNN, which can be explained in five steps.

- 1) During Stage I: Preprocessing of data
- 2) **During Stage II:** Reduction in size. The PCA is used to transform the meteorological input data into a comprehensive method.
- 3) **During Stage III:** Sample selection. To distinguish between similar days for GRNN training, the historic weather type and temperature are being used as indicators.
- During Stage IV: Optimization of all parameters such as solar irradiance, wind speed, temperature, humidity, and pressure.
- 5) **During Stage V:** Training and validation offline. Figure 5 depicts a step-by-step process of short-term PV output predicting using PCA-SFLA-GRNN.

#### 4 Case study

The validity and feasibility of the suggested model for dayahead short-term PV output forecasting are verified by this case study [34], [35]. To that end, actual solar irradiance information from the PV plant in Bhadla Solar Park, Gujarat, India, was retrieved from 1<sup>st</sup> January 2021 to 31<sup>st</sup> December 2021 with a 15min interval from 7:30 to 17:30. To validate the validity and primacy of the developed framework based on the PCA-SFLA-GRNN, three forecasting models are used for PV output

Condition	SFLA-GRNN		PCA + LSTM		PCA + PSO + BP		Proposed model PCA-SFLA-GRNN	
	nMAE	RMSE	nMAE	RMSE	nMAE	RMSE	nMAE	RMSE
Sunny	2.42	110.54	2.56	94.28	1.54	85.48	1.02	34.45
Cloudy	4.08	205.12	4.22	184.64	3.88	248.40	2.98	179.25
Overcast	6.98	329.74	7.00	458.98	11.08	548.84	3.45	204.68
Rainy	5.01	216.96	2.46	101.54	2.98	148.24	1.88	99.32
Average	4.6225	215.59	4.06	209.86	4.87	257.74	2.3325	129.425

The bold values shows the average accuracy of various approaches named SFLA-GRNN, PCA + LSTM, PCA + PSO + BP used in literature and the average accuracy of the present method that is PCA+GRNN+SFLA used in this article.



predicting SFLA-GRNN, PCA-LSTM, and PCA-PSO-BP. The four forecasting models' results are compared and validated.

#### 4.1 Forecasting accuracy evaluation index

In this article, upon obtaining the desired PV output forecasting value, the forecasting accuracy is analyzed by using nominal mean absolute error (nMAE) and root mean square

error (RMSE) (Mei et al., 2018), (Alblawi et al., 2022), as shown in Eqs 5 and 6, respectively.

$$RMSE = \frac{1}{n} \sum_{i=1}^{n} \left[ \left( x_i - \dot{x}_i \right)^2 \right]^{\frac{1}{2}},$$
(5)

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |x_i - \dot{x}_i|.$$
 (6)

Here, n denotes the number of observations, the actual measured data are given by  $x_i$ , and  $\dot{x}_i$  denotes predicted value at that instant.



#### 4.2 Data preprocessing

Table 1 shows the Eigen values of the covariance matrix formed from these five input variables. In this section, solar irradiance, ambient temperature, atmospheric pressure, wind direction, wind velocity, relative humidity, and precipitation are being used as input features while considering PV attributes. The predicting model's input factors are  $X_1 - X_5$  and output is y. Let us assume  $X_1$ : °c at the time offForecast,  $X_2$ : estimating atmospheric pressure,  $X_3$ : represents humidity, and  $X_4$ : represents precipitation at the period of forecasting. The total overall effective rate of the very first three main components is 85.75 percent, and the first three principal components are used as input data in this study's prediction models. Table 2 shows the proportion coefficient of principal components based on the PCA model described in Section 2.

The sample values of the first three principal component analyses can be obtained as follows:

The weighted summation is calculated on the basic contribution rate of each attribute to produce a complete and accurate meteorological factor (A.M.F):

$$\mathbf{A}.\mathbf{M}.\mathbf{F} = 0.4226z_1 + 0.3506z_2 + 0.2468z_3 \tag{8}$$

The comprehensive meteorological factor and solar irradiance at predicting moment, as well as 15 min during forecasting time, are the input components for the proposed system.

#### 4.3 Result and discussion

The accuracy and supremacy of the PCA-GWO-GRNN model are validated by forecasting the outcome of the PV plant using GWO-GRNN, PCA-LSTM, PCA-PSO-BP, and the proposed method from July 4 to 31 July 2021. Among them, the four models use the same similar day selection method as discussed in the following sections, and both input data point and output data point are nearly the same. The neural network and its proposed algorithm are developed with MATLAB 2020(b), and PCA is built with Statistical package. Figure 7 shows the solar irradiation per hour basis.

Figure 8 depicts the forecasting and actual PV output curves on a sunny day. The projection plots of the different models are all close to the original graph, and the suggested framework produces the nearest outcome to the actual PV output.

On a hazy day, production cloud surface area and mobility seem to be hard to predict in cloud cover in comparison to a sunny day. The PV output dramatically changes between 12:00 (afternoon) and 17:00 (evening), and the four forecasting curves and the actual curve have a huge variance. For periods with large forecasting errors, the proposed model has forecasting curves closer to the original graph, which can substantially reduce forecasting errors.

Figure 9 depicts the PV production predicting and actual curves on a wet day. During rainy days, PV production seems to be more unreliable and erratic. All four methods' predicting findings diverge considerably from the actual curve. However, the recommended model's slope is closer to the real curve.

Table 3 demonstrates a comparison of precision for Figures 10, 11. According to Table 3, the recommended model's average nMAE is 2.33%, which is 2.02%, 1.38%, and 2.30% lower than the

Condition	Durations	SFLA-GRNN		PCA + LSTM		PCA + PSO + BP		Proposed model PCA-SFLA-GRNN	
		nMAE	RMSE	nMAE	RMSE	nMAE	RMSE	nMAE	RMSE
Sunny	7	2.98	157.32	3.99	178.85	4.00	185.65	2.55	152.32
Cloudy	14	4.54	300.14	4.22	159.58	2.45	139.54	2.21	148.24
Overcast	2	7.00	372.28	8.08	497.21	11.89	600.35	3.94	202.94
Rainy	7	4.99	214.68	4.04	228.54	9.00	424.36	3.21	140.21
Average	30	4.87	261.10	5.08	266.04	7.08	337.47	2.977	160.92

TABLE 4 Error in forecasting at different weather conditions.

The bold values shows the average of nMAE and RMSE error for this methods to show the superiority of the proposed method.

GWO-GRNN, PCA-LSTM, and PCA-PSO-BP models, respectively. The average RMSE is 129.425 kW, considerably lower than that of the other proposed theories. The findings demonstrate that the proposed model outperforms others in day-ahead short-term photovoltaic output predicting.

Because of the large dispersion of a daily error in forecasting, the error of different weather conditions for 1 month, that is, 30 days, is shown in Table 4 to further analyze the performance.

According to the aforementioned analysis, the PCA-GWO-GRNN model outperforms than the other three models in terms of accuracy with respect to the different types of weather conditions. Even though the forecasting error of PV output on an overcast day is 3.94%, which is significantly worse than the forecasting accuracy of the other weather types, in general, the PCA-GWO-GRNN model has lower nMAE and RMSE than the other three models. As a result, the proposed model is more accurate.

The error obtained during PV power forecasting using different algorithms is shown in a box chart form shown in Figure 12.

## **5** Conclusion

The PCA-SFLA-GRNN model developed during this research tackles the issue of a high number of input variables and high variability in day-ahead short-term PV output prediction. The relevant advancements are made through this article:

- i) The minimization of the size of meteorological input features is done here by PCA. It also extracted variables that comprise over 85% of the source data.
- ii) It can also reduce the dimensionality of the model input data while maintaining quality and accuracy.
- iii) The proposed hybrid algorithm BP-SFLA-GRNN is used to forecast PV output power in this work. The simulation outcomes show that the suggested technique outperforms the previously presented framework and the traditional way of developing GRNN.

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iv) The analysis shows that the predicting model proposed in this study fully exhumes the accurate data in the input attributes with high stability and predicting precision that can provide efficient strategies to day-ahead short-term forecasting of PV output power and serve as a foundation for the optimal operation of the energy management systems.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

### Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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