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# Neanderthal exploitation of birds in north-western Europe: Avian remains from Scladina Cave (Belgium)

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For a long time, Neanderthals were considered hunters of large mammals, whereas the diversification of the exploited faunal spectrum to include smaller taxa, including birds, was assumed to be specific to anatomically modern humans. In recent decades, archeozoological analyses of faunal remains from layers associated with Middle Paleolithic lithic industries have revealed traces of human manipulation of small taxa, indicating the exploitation of a wider range of animals than previously thought. These new data have challenged the view that Neanderthals did not exploit small animals, thereby narrowing the behavioral gap with anatomically modern humans. Nevertheless, the information currently available comes almost exclusively from southern Europe and the nature of Neanderthal small fauna exploitation in northern Europe remains largely unknown. The present study aims to fill this gap by applying archeozoological methods, including detailed taphonomic and traceological analyses, to 119 bird remains recovered from layers containing Middle Paleolithic industries at Scladina Cave, Belgium. Analyses of proteomics were applied to clarify the taxonomic identity of two morphologically non-diagnostic elements. Modifications made by non-human predators or scavengers, suggest that mammalian carnivores are responsible for accumulating a considerable portion of the avian assemblage. In total, seven bird bones exhibit anthropogenic marks, and one element presents questionable marks. Various Galliformes taxa and a great cormorant were exploited likely for their meat. The talon of a likely lesser spotted eagle displays intense polishing possibly linked to human manipulation of this element, although this remains hypothetical. On the radius of a Western capercaillie, two deep incisions may indicate bone working, and intense use-wear indicates that the bone has been utilized, potentially on soft organic material. This study provides the first evidence of the exploitation of birds by Neanderthal in Belgium and constitutes the only detailed zooarchaeological analysis of Middle Paleolithic bird material in northwestern Europe. The likely modification and subsequent utilization of a bird bone is only the second example known from Neanderthal occupations in Eurasia. The novel taxa identified as Neanderthal prey highlight the plasticity of Neanderthal ecological behavior, adapting to different landscapes and climates and exploiting a large spectrum of locally available prey.

KEYWORDS

archaeozoology, middle paleolithic, neanderthal-bird relationships, taphonomy, tool marks, traceology, use-wear analysis

# **1** Introduction

# 1.1 Historical background and archaeological perspective

Traditionally, Neanderthals were considered hunters of large mammals (Rabinovich and Tchernov, 1995; Hoffecker and Cleghorn, 2000; Speth and Tchernov, 2007; Gaudzinski-Windheuser and Niven, 2009; Delagnes and Rendu, 2011), whereas the diversification of the exploited faunal spectrum to include smaller, faster taxa was assumed to be specific to anatomically modern humans. Building on Flannery (1969)'s post-Paleolithic broad spectrum revolution (BSR), researchers suggested that the true diversification of dietary exploitation took place during the transition between the Middle to Upper Paleolithic (Stiner, 2001; Hockett and Haws, 2002; Stiner and Munro, 2002) driven by the alleged greater cognitive capacities of the anatomically modern humans moving out of Africa and into the Eurasian Neanderthal homelands.

However, in recent decades, multiple lines of evidence indicating complex cultural behavior in Neanderthals have emerged, demonstrating that they manufactured bone tools (Soressi et al., 2013; Abrams et al., 2014; Hutson et al., 2018), practiced hafting and hunted with stone projectiles (Rots, 2013, 2015), collected marine shells, stones, and pigment for aesthetic purposes (Soressi and d'Errico, 2007; Zilhão et al., 2010; Radovčić et al., 2016), produced abstract signs (Rodríguez-Vidal et al., 2014; Hoffmann et al., 2018), and engaged in mortuary practices (Maureille and Van Peer, 1998; Rendu et al., 2014; Maureille et al., 2016) at several sites across Europe predating the arrival of anatomically modern humans. Collectively, these studies have led to a shift in our perception of Neanderthals from archaic distant relatives to cognitively equal contemporaries of anatomically modern humans in the Pleistocene landscapes (Villa and Roebroeks, 2014; Romagnoli et al., 2022).

Archeozoological analyses of faunal assemblages from Middle Paleolithic sites, especially those focusing on the small mammal and avian components, have shed further light on the necessity for sophisticated hunting, and on dietary practices of Neanderthals. At several sites in southern Europe, traces of Neanderthal manipulation of small taxa are now relatively frequent, indicating the exploitation of a wider range of animals than previously thought, including small or fast-moving animals such as mollusks, leporids, marine mammals, and birds, some of which present challenges in their collection (Stiner et al., 2000; Speth and Tchernov, 2002; Stringer et al., 2008; Bonjean et al., 2012; Blasco et al., 2014, 2016, 2022; Morin et al., 2019; Zilhão et al., 2020).

Rock doves, corvids and Galliformes are the most commonly documented food bird species exploited by Neanderthals. Cutmarks and thermal modifications identified on bones from Middle Paleolithic contexts across Iberia and southern Europe, demonstrate butchery and cooking practices (Cova Negra IIIb, Spain-Martínez Valle et al., 2016; Gorham's, Vanguard, and Ibex Cave, Gibraltar, UK-Finlayson et al., 2012; Blasco et al., 2014, 2016; Arbreda Cave, Spain-Lloveras et al., 2018; Gruta da Oliveira and Gruta da Figueira Brava, Portugal-Nabais et al., 2023; La Crouzade, France-Garcia-Fermet et al., 2023; Pié Lombard, France-Romero et al., 2017; Fumane A9, Italy-Fiore et al., 2016). Ducks were a regular part of the Neanderthal diet during successive occupations at Bolomor Cave (Spain, 350-120 ka; Blasco and Fernández Peris, 2009; Blasco et al., 2013), while one swan bone has been recovered at the same location (Bolomor Cave XII, Spain-Blasco et al., 2008). Other species appear to also bear evidence of dietary exploitation, including Charadriiformes and diurnal raptors (Axlor, Spain-Gómez-Olivencia et al., 2018; La Crouzade Cave, France-Garcia-Fermet et al., 2023; Riparo del Broion, Italy-Romandini et al., 2023) while feather barbules reported on lithic tools at Payre and Abri du Maras in southern France suggest bird exploitation without direct evidence visible on any recovered bird remains (Hardy and Moncel, 2011; Hardy et al., 2013; Rufà et al., 2016). One feather barbule has also been recovered on a stone tool from the Middle Paleolithic layer A11 at Fumane (Cnuts et al., 2022).

A growing number of sites also report marks related to the harvest of feathers and talons, sometimes interpreted as having been used for cultural purposes such as personal decoration and pendants. A collection of cut-marked and polished white-tailed eagle pedal phalanxes from Krapina, Croatia (130 ka) have been interpreted as a part of a necklace (Radovčić et al., 2015), while cutmarks on the ulnae of raptors and dark-feathered species and/or cutmarks on talons were observed in Gibraltar (Finlayson et al., 2012), southern France (Combe Grenal and Les Fieux-Morin and Laroulandie, 2012; Laroulandie et al., 2016; Pech de L'Azé I and IV and Mandrin-Soressi et al., 2008; Dibble et al., 2009), and Italy (Fumane-Peresani et al., 2011; Romandini et al., 2016; Rio Secco-Romandini et al., 2014). Consequently, multiple lines of evidence now show that Neanderthals in southern Europe hunted a range of bird species for both utilitarian and non-utilitarian purposes. However, other than cutmarks on two Anseriformes elements from Salzgitter-Lebenstedt, Germany (Gaudzinski-Windheuser and Niven, 2009), cutmarks on a hare pelvis from Unit 5 at Scladina Cave, Belgium (Bonjean et al., 2012), and possible evidence of fishing at Walou Cave, Belgium (Van Neer and Wouters, 2011), the purpose of Neanderthal small fauna exploitation in northern Europe remains largely unknown.

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Belgium is a particularly favorable ground for the study of the Paleolithic due to its ideal location in the plains of northwestern Europe and favorable geological parameters. In southern Belgium, the presence of deep valleys cutting through the limestone substrate creates conditions conducive to the formation of rock shelters or underground cavities, from small passages to complex cave networks. While most of these caves are of geological and ecological interest only, they regularly offer archaeological significance where, most of the time, excellent preservation of artifacts and osseous material provides information about past human societies (Di Modica et al., 2016). As part of the faunal record, bird bones also frequently preserve well, despite their fragile nature (Goffette et al., 2020, 2023; López-García et al., 2024). Over more than 150 years, thousands of bird bones have been recovered from rich archaeological sites in Belgium, including Middle Paleolithic occupations, but these have not yet been studied from a zooarchaeological point of view. As a result, the exploitation of birds by Neanderthals in Belgium is hitherto unknown.

The present study aims to fill in this gap by applying archeozoological and taphonomic methods to bird remains recovered from the archaeological site of Scladina Cave in southern Belgium. Scladina is an exceptional site because it is one of only two archaeological excavations from the end of the 20<sup>th</sup> century in Belgium that have yielded an extensive stratigraphic sequence covering at least the end of the Middle Pleistocene up to the Holocene, delivering Middle and Upper Paleolithic industries as well as Neanderthal remains (Pirson, 2007, 2011; Pirson et al., 2008; Toussaint et al., 2017). Scladina also contains several Neanderthal occupations (Di Modica and Bonjean, 2004), allowing us to investigate Neanderthal behavior at the same geographical location at two different intervals during the Middle Paleolithic (MIS 6 and MIS 3).

#### 1.2 Scladina Cave

Scladina Cave was discovered by amateur archaeologists in 1971 and has been the subject of scientific excavations since 1978, initially under the leadership of the University of Liège and now under the direction of the Espace muséal d'Andenne with the support of various institutions such as the Walloon Heritage Agency, the Wallonia-Brussels Federation, and the City of Andenne. Since the mid-1980s, the excavations have become permanent and are now conducted in an interdisciplinary manner.

The cave is located between Andenne and Namur, on the right bank of the Meuse, in a secondary valley where the Ri de Pontainne, a small water stream, intermittently flows. Scladina is part of a complex network of caves that was partially excavated starting from the late 1940s (Dewez, 1981). These excavations have notably uncovered numerous artifacts and abundant fauna in the adjacent Saint-Paul Cave, as well as in the underlying Sous-Saint-Paul cave.

Initially, Scladina Cave was recognized for yielding archaeological remains from two major Middle Paleolithic occupations (Units 1A and 5), then later also from many smaller occupations (Loodts, 1998; Moncel, 1998; Otte, 1998a; Di Modica and Bonjean, 2004; Di Modica, 2010). Unit 5 yielded abundant and well-preserved fauna bearing anthropogenic modifications (Patou-Mathis, 1998) and bones used as retouchers, including cave bear remains (Abrams et al., 2014; Abrams, 2018). Unit 1A, although more recent, does not display the same state of preservation. The faunal remains are heavily altered, making it difficult to observe potential anthropogenic modifications (Bourdillat, 2008). However, nearly 200 burned bone fragments attest to their use as fuel (Abrams et al., 2010). Fragments of black coloring rocks, interpreted as pigment, have also been unearthed within this assemblage (Bonjean et al., 2015).

Starting from 1990, the discovery of dental and facial remains belonging to a Neanderthal juvenile individual bestowed Scladina with additional significance (Toussaint and Pirson, 2006; Toussaint et al., 2014). In Belgium, apart from a tooth unearthed at the Trou de l'Abîme in Couvin a few years earlier (Toussaint et al., 2010) and another tooth from Walou Cave (Toussaint et al., 2017), no Neanderthal remains had been found in stratigraphic context since the late 19<sup>th</sup> Century (Toussaint and Pirson, 2006; Toussaint et al., 2011).

Although the site is primarily known for its occupations by Neanderthal populations, more anecdotal evidence related to the Upper Paleolithic complements the cultural sequence (Otte, 1998b). Modified bones associated with an Upper Paleolithic end scraper on a blade have contributed to dating the emergence of the Upper Paleolithic in Belgium (Abrams et al., 2024).

# 1.3 Stratigraphy, sedimentary dynamics, paleoenvironment and chronostratigraphic framework

In the last 20 years, major interdisciplinary studies focusing on stratigraphy, sedimentary dynamics, paleoenvironmental reconstructions, and chronostratigraphy were conducted in Scladina. These recent studies complement and refine the numerous datasets already available for the site (Otte, 1992; Otte et al., 1998). With its sedimentary infill covering a long period from the Middle Pleistocene to the Holocene, Scladina appears as one of the most complete cave entrance sequences in Belgium (Pirson, 2007, 2014; Pirson et al., 2018, 2014). It is a reference site for cave entrance sedimentology and a key sequence for the study of paleoenvironments in northwestern Europe.

Since Scladina Cave is still under excavation, the stratigraphy meets the quality criteria of a modern excavation. Dozens of sedimentary profiles were studied in detail throughout the whole site (e.g., Gullentops and Deblaere, 1992; Haesaerts, 1992; Otte, 1992; Otte et al., 1998; Pirson, 2007, 2014), illustrating the complexity of the stratigraphy. Important lateral sedimentary variations were highlighted as well as complex geometries and varied lithologies. The 2007 stratigraphic reappraisal eventually led to the definition of the actual 15-m-thick Scladina stratigraphic sequence encompassing ca. 120 layers grouped in 30 major units (Pirson, 2007) (Figure 1). These field studies revealed the diversity of the sedimentary sources and a large variety of sedimentary dynamics dominated by slope processes, including debris flow, runoff, rock fall, solifluction, settling, torrential flow, and speleothem formation (Pirson, 2007, 2014). The stratigraphy of sediments accumulated under an aven, or sinkhole, opening in the cave ceiling



#### FIGURE 1

Scladina Cave stratigraphic sequence and chronostratigraphic interpretation, showing the stratigraphic position of bird remains with anthropogenic marks. (?) = uncertain anthropogenic marks. Black braces indicate the stratigraphic attribution. The blue bar indicates the potential stratigraphic origin of the bones discovered in sedimentary Complex 4, taking into account possible attribution errors and rearrangements (see text). Dates: synthesis of all reliable dates available for the Scladina Cave sequence (see Bonjean, 1998; Pirson, 2007; Bonjean et al., 2012; Pirson et al., 2014; Abrams et al., 2024). All the <sup>14</sup>C dates on this figure are uncalibrated. When an age interval is given, the number of dates concerned is indicated in a circle. Black = <sup>14</sup>C date on bone; *italic black* = <sup>14</sup>C date on taclite (*in situ* and reworked speleothems); **bold black** = <sup>14</sup>C date on teeth accurately positioned in the new stratigraphic system; **+ bold black** = <sup>14</sup>C date (hydroxyproline) on bone retoucher accurately positioned in the new stratigraphic system; *italic blue* = U/Th date on *in situ* speleothem; *italic blue* = U/Th date on *in situ* speleothem; *italic blue* = U/Th date on *in situ* speleothem; *italic blue* = thermoluminescence date on sediment; *italic red* = thermoluminescence date on *in situ* speleothem; *bold* red = luminescence date on burned flint (TL) and on sediment (IRSL); green = coupled U/Th–ESR dates on bone; circled numbers = number of dates for CC4 interval. () = problematic date.

was recorded separately. Complex diagenetic processes have also been recognized.

Scladina yielded one of the best interdisciplinary-studied cave entrance sequences in Belgium, together with Walou Cave, east of Liège (Draily et al., 2011; Pirson et al., 2011). The paleoenvironments have been documented through large and small mammals, amphibians, reptiles, palynological, anthracological, sedimentological, magnetic susceptibility, and pedological studies (e.g., Otte, 1992; Otte et al., 1998; Ellwood et al., 2004; Pirson, 2007; Pirson et al., 2008, 2014; Blain et al., 2014). More than twenty alternating climatic phases have been documented, including cold stadials, short interstadials, and longer temperate phases, either interglacial or early glacial. Scladina is also the most densely dated prehistoric site in Belgium, with more than 90 available dates (TL, IRSL, combined U/Th-ESR, U/Th, and radiocarbon). Recently obtained U/Th dates (Vonhof et al., 2024) led to refine the existing chronostratigraphic framework (see Pirson et al., 2014, 2008). The current chronostratigraphic interpretation of this complex sequence is based on climatostratigraphic, biostratigraphic, and mineralogical data, numerical dates, and comparison with the reference loess sequence from Central Belgium (Figure 1).

In this paper, we will focus on stratigraphic Unit 1A (MIS 3), the Sedimentary Complexes 3 and 4A (MIS 5), Unit 4B (MIS 6), and Unit 5 (MIS 6) encompassing in total more than 30 layers (Pirson, 2007, 2014). Unit 1A was mainly deposited by two sedimentary processes (debris flow and run-off). Complex of Units 3 is dominated by debris flow and run-off (locally torrential flow), with also rock fall and speleothem formation. Unit 4B mainly results from settling and run-off, while Unit 5 consists of a diamicton affected by solifluxion. The situation of 4A is special, as the stratigraphic reappraisal revealed a much more important complexity in this part of the stratigraphy than previously thought. Four distinct Units, each encompassing several layers, have been described in this sedimentary complex. Unit 4A-AP results from debris flow and wash processes. Palynological data suggests it records the first stage of a strong climatic improvement. Unit 4A-IP mainly consists of a thick stalagmitic floor (CC4) pointing to temperate conditions. Unit 4A-CHE is the result of an important erosional phase with cut-and-filled layers developed in a deep gully structure, resulting from a cold episode. Finally, Unit 4A-POC consists of fine-grained sediments mainly deposited by run-off and covering both underlying Units 4A-IP and 4A-CHE. This complexity was not fully understood before the stratigraphic reappraisal, therefore sometimes implying some uncertainty in the stratigraphic attribution of the material unearthed before 2007. This is especially the case for Unit 4B, which was supposed to lie below the stalagmitic floor CC4 in the original stratigraphy. Following the reappraisal, the recognition of the 4A-CHE gully, opening downwards from the surface of CC4, implies that in some situations Unit 4B corresponds in fact to 4A-CHE. It is also worth mentioning here that the gully erodes underlying Units 4A-IP, 4A-AP, and 4B, and locally also part of Unit 5.

The new U/Th dates recently obtained on speleothems led to reinterpret Sedimentary Complex 4 (Vonhof et al., 2024), as they indicate that the thick CC4 speleothem records the Eemian. As a result, underlying units 4B and 5 are now placed at the end of the Middle Pleistocene, in MIS 6. Several modified bird bones have been recovered from the upper part of the Scladina sequence, but as they were unearthed before the stratigraphic reappraisal (Pirson, 2007), some uncertainties remain about their detailed stratigraphic position. This is mainly the case for Unit T, where early Upper Paleolithic material (Abrams et al., 2024) has been found alongside artifacts reworked from Middle Palaeolithic layer 1A. In this context, anthropologically modified bones excavated from Unit T before the stratigraphic reappraisal cannot be precisely attributed, therefore requiring radiocarbon dating. These are in progress and will be presented in another paper.

# 2 Materials and methods

Our study consisted of several steps. First, we selected the stratigraphic layers that had yielded testimonies of human activities, targeting the assemblages with the highest potential for traces of bird exploitation. We thus targeted 22 sedimentary assemblages from the Upper Paleolithic and Middle Paleolithic, from which we sorted all the bone remains to isolate the bird bones. The only exception was the avian remains from the layers of Unit 1A, that had been isolated by researchers from the Institut de Paléontologie Humaine (Paris) following the sorting of the faunal material from this assemblage. A new sorting of this assemblage was therefore not undertaken. Some bird bones had been isolated by the excavation team. This explains the presence of bones from units 3-SUP, 3-INF, and 6A to 6C, which we did not sort in the course of this work. This selection means that we have not studied certain units (2A, 2B, 6D, and 7A to 7C), while others are only partially studied (3-SUP, 3-INF, and 6A to 6C). Further bird bones may still be identified among the faunal remains of these layers, but the potential for finding remains with anthropogenic modifications is low given the low frequency of other archaeological material. All sediment was sieved to a maximum mesh size of 5 mm, except in the case of some specific research efforts (e.g., small mammals) when a 2.5 mm mesh was used.

For all the specimens, the attribution to a stratigraphic unit determined at the time of discovery has been retained in this manuscript, unless a stratigraphic reattribution in relation with the 2007 stratigraphic reappraisal could be carried out with certainty (e.g., based on the examination of existing neighboring stratigraphic sections). Then, the new stratigraphic attribution was used. This point is particularly important for unit 4B, to which bones were attributed before the stratigraphic reappraisal, although their attribution to unit 4B is questionable (see Discussion). However, due to the lack of possible reattribution, we retained the original attribution.

The sorting led to the recovery of 159 bird remains (Middle Paleolithic, n = 119; Upper Paleolithic, n = 40). All were subjected to an archeozoological study but here, the focus is on material recovered from Middle Paleolithic-related sedimentary units. Elements with uncertain stratigraphic attribution that potentially come from layers including Middle Paleolithic material were also retained. The stratigraphic details are given in Figure 1 and Supplementary Tables 1, 2. Bones associated with the Upper

Paleolithic have been analyzed but will not be presented in this article.

The zooarchaeological study includes detailed taphonomic and traceological analyses. First, we determined the anatomical part and the taxon present using reference collections held at the Institute of Natural Sciences (Brussels, Belgium), as well as reference manuals dedicated to the identification of certain taxonomic groups (Woolfenden, 1961; Bacher, 1967; Woelfle, 1967; Erbersdobler, 1968; Kraft, 1972; Langer, 1980; Bocheński et al., 2022; Wertz et al., 2022). The taxonomy used follows that of Gill et al. (2022). The nomenclature used to describe anatomic features of bones and soft tissues follows Baumel (1993). The side (left or right) of the bone was recorded. Where possible, measurements were taken according to von den Driesch (1976) or dedicated manuals. The calculation of the minimum number of individuals (MNI) was based on the minimum number of elements (MNE) completed by the laterality and the age of the individuals. Age estimation was made by examining the ossification stage to attribute each bone to one of the four age classes summarized in Serjeantson (2009). The sex of certain taxa was assessed based on measurements. The completeness or fragmentation of each element was recorded, as were the parts preserved and the morphology of the fracture edges (Fernández-Jalvo and Andrews, 2016; Romero et al., 2016). In addition, each bone was examined using a binocular microscope (magnification  $6.5-50\times$ ) with oblique cold light, to record surface alterations, including color, root etching, erosion, thermal alterations, weathering, and gnawing or beak marks (Behrensmeyer, 1978; Lyman, 1994; Behrensmeyer et al., 2003; López-González et al., 2006; Bocheński et al., 2009, 2018; Fernández-Jalvo and Andrews, 2016). To create a workable dataset, while still preserving the integrity of the stratigraphy, we grouped the Middle Paleolithic remains into five samples according to sedimentary units and MIS (Supplementary Table 3).

To observe and illustrate some of the modifications in detail, two scanning electron microscopes were used and operated under low vacuum conditions to eliminate the need for a conductive coating, a FEI Quanta 200 (23 kV; Mineralogical Laboratory of the Geological Survey of Belgium) and a JEOL-IT300 (20 kV; TraceoLab of ULiège). In addition, a digital microscope Hirox HRX-01 equipped with an H-2500E attachment and an LED ring light was used (acquisition mode multi-focus or 3D; TraceoLab of ULiège). The works of Barisic (2006) and Domínguez-Rodrigo et al. (2009) have been used to help distinguish between cut and trampling marks, bearing in mind that it can be very difficult to differentiate between intentional cutmarks and trampling marks, particularly when bones are mixed with lithic pieces in the sediment (Barisic, 2006).

Analyses of proteomics (MALDI) were applied to clarify the taxonomic identity of two bird bones with anthropogenic modifications that did not preserve sufficient morphological characteristics to allow any taxonomic identification beyond the class level. The digestion of bones was described in Bray et al. (2023). Briefly, 1–5 mg of bones were deposited in 96 wells plate MultiScreenHTS-IP, 0.45  $\mu$ m (MSIPS4510, Millipore, Billerica, MA, USA). The bone powder was demineralized, washed, gelatinized and digested in the 96 wells plate MultiScreenHTS-IP. The demineralization solution was digested in classical 96 well plates. The peptides form bones and demineralized solution were purified on C18 96 well plates (Affinisep, Petit-Couronne, France). Purification of peptides was performed by washing the plates once with 500 µL of acetonitrile (ACN) followed by a washing step with 80% ACN, H<sub>2</sub>O 0.5% acetic acid repeated 3 times and a second washing repeated 3 times with H<sub>2</sub>O alone 0.5% acetic acid. Tryptic peptides from bone powder were resuspended in 200 µL of a H<sub>2</sub>O, 0.5% acetic acid solution. Tryptic peptides form both solutions were transferred to C18 96-well plate and eluted with a vacuum manifold. The plate was washed 3 times with 200  $\mu$ L of H<sub>2</sub>O, 0.5% acetic acid. Peptides were recovered in a V-bottom well collecting plate using 100  $\mu L$  of a 80% ACN, 0.1% acetic acid solution followed by 100  $\mu$ L of ACN. The plate was evaporated on TurboVap 96 Evaporator (Caliper LifeScience, Hopkinton, USA). For mass spectrometry analysis, the sample was dissolved again in 10 µl of H<sub>2</sub>O 0.1% formic acid. Mass spectrometry analysis was performed by depositing desalted peptides (1 µL) on 384 massive MALDI plates (Bruker Daltonics, Bremen, Germany), then 1  $\mu L$  of HCCA matrix at 10 mg/mL in ACN/H2O 70:30 v/v 0.1% TFA was added for each sample. The spots were dried at room temperature. MALDI FTICR experiments were carried out on a Bruker 9.4 Tesla SolariX XR FTICR mass spectrometer (Bruker Daltonics, Bremen, Germany). The frequency of the laser was set to 1,000 Hz using the "Minimum" predefined shot pattern. MALDI FTICR spectra were generated in the m/z range from 693.01 to 5,000 by accumulating 10 scans. Two M data points were used per spectrum which corresponds to a transient duration of 5.0332 s. The transfer time to the ICR cell was set to 1.2 ms and the quadrupole mass filter set at m/z 600 was operated in RFonly mode. MS raw data from MALDI FTICR were processed using DataAnalysis 5.0. The identification is supported by all peptide markers presented in previous reports (Eda et al., 2020; Codlin et al., 2022). The mass spectrometry proteomics data have been deposited on the ProteomeXchange Consortium (https:// proteomecentral.proteomexchange.org/) via the PRIDE partner repository with the data set identifier PXD051309.

# **3** Results

### 3.1 Taxonomic composition

The bird bone assemblage from Scladina Cave recovered from Middle Paleolithic stratigraphic units comprises a total of 119 remains representing at least 32 individuals from 16 taxa (Table 1; Supplementary Tables 1, 2). The assemblage does not appear highly taxonomically diverse. Most of the remains have been identified to genus or specific level, so taxonomic diversity is probably not underestimated due to identification issues. The bones present come mainly from medium- (such as ducks and ptarmigans), to large-sized taxa (such as goose and capercaillie). It is likely that conservation conditions within the sediment favored the preservation of the largest, most resistant bones, to the detriment of the smaller elements. If we consider the proportions of the different orders present, Galliformes largely dominate the assemblage both in terms of NISP and MNI, ahead of Anseriformes, while the other orders are less abundant.

Area	Cave							Aven	Total	
Units	1A	1B	3	4/4A	4B	5	5 + 6	6	Z1-4	
Anseriformes										
Goose (Anser cf. albifrons)	-	-	-	-	-	1	1	-	1	3
Anatinae size mallard	5	-	-	1	-	1	-	-	-	7
Anatinae size wigeon	-	-	-	-	-	1	-	-	-	1
Galliformes										
Gray partridge (Perdix perdix)	-	-	-	-	-	-	-	1	-	1
Ptarmigan ( <i>Lagopus</i> sp.)	-	1	-	1	-	-	-		-	2
Black grouse (Lyrurus tetrix)	2	-	-	3	6	2	-	1	-	14
Western capercaillie (Tetrao urogallus)	-	2	8	6	17	-	2		-	35
Galliformes size ptarmigan	1	-	2	1	2	3	-	1	-	10
Galliformes size capercaillie	1	-	-	-	-	-	-	-	-	1
Columbiformes										
Wood pigeon? (Columba cf. palumbus)	1	-	-	-	-	-	-	-	-	1
Strigiformes										
Eurasian eagle/snowy owl (Bubo sp.)	-	-	-	2	1	-	-	-	-	3
Tawny owl (Strix aluco)	-	-	-	-	1	-	-	-	-	1
Short/long-eared owl (Asio otus/flammeus)	-	-	-	-	-	1	-	-	-	1
Suliformes										
Great cormorant (Phalacrocorax carbo)	-	-	-	1	-	-	-	-	-	1
Accipitriformes										
Eurasian sparrowhawk (Accipiter nisus)	1	-	-	-	-	-	-	-	-	1
Lesser spotted eagle? (Clanga cf. pomarina)	-	-	-	-	1	-	-	-	-	1
Eagle size golden eagle	1	-	-	-	-	-	-	-	-	1
Passeriformes										
Passeriformes size yellowhammer	3	-	-	-	-	-	-	-	-	3
Total identified bird remains	15	3	10	15	28	9	3	3	1	87
Unidentified bird remains	7	-	1	2	11	8	-	-	1	30
Unidentified bird size goose	-	-	-	-	-	2	-	-	-	2
Total unidentified bird remains	7	0	1	2	11	10	0	0	1	32
Total	22	3	11	17	39	19	3	3	1	119

#### TABLE 1 Inventory of the number of identified specimens (NISP) of bird remains from Scladina Cave, grouped by Units.

Detailed inventories can be found in Supplementary Tables 1, 2.

Within the order Anseriformes, remains of geese were present alongside duck bones. The order Galliformes included almost exclusively remains belonging to the subfamily Tetraoninae and was chiefly dominated by the Western capercaillie. The black grouse and willow/rock ptarmigan were also present. Furthermore, one bone of gray partridge was identified. The order Strigiformes was represented by the tawny owl (Figure 2A), the longeared/short-eared owl, and a large owl, possibly the Eurasian eagle owl in the case of a premaxillary of very large size (Figure 2C). In the order Accipitriformes, we noted the presence of a small species of raptor, the European sparrowhawk, and two species of eagle; one the size of a golden eagle, the other likely a lesser spotted eagle. In the order Passeriformes, three remains were from a passerine the size of the yellowhammer. Additionally, one bone of a great cormorant (Suliformes) and one of a pigeon, probably the wood pigeon (Columbiformes) were identified in the assemblage.

One radius fragment from a large bird with no taxonomically diagnostic morphological features (Sc1985-910-309) was identified through proteomics as Tetraoninae (Supplementary Figure 1 and Supplementary Table 4). The only Tetraoninae known to have occurred during the Middle Paleolithic in Western Europe large enough to match the size of this element is the Western capercaillie. This taxonomic identification has been retained on this basis. No morphometric criteria contradict the identification obtained through proteomic analyses. Proteomic analyses were also applied to another unidentified bone fragment



carnivore (Sc1997-52-258-1; Unit 4B). (C) Eurasian eagle or snowy owl, premaxilla (Sc1993-192-194-6 and Sc1993-192-194-7; Unit 4A). (D) Western capercaillie, partial skull (Sc1995-397-94-1; Unit 4B).

(Sc1985-783-196), but taxonomic identification was not successful (Supplementary Figure 2). Results showed that the specimen has been affected by unknown contaminants.

# 3.2 Age and sex

All bird bones collected are of adult individuals, with the exception of the European sparrowhawk which was a sub-adult.

The sparrowhawk bone came from a female individual. The sex ratio in the black grouse (NISP = 3 male; 1 female; 3 likely female) is balanced but in the capercaillie, practically all the bones identified come from males since only 3 bones out of 30 which could be sexed come from female birds. However, this is not significant because a detailed examination of the remains of capercaillie from layer 4B, which delivered most of the bones from this species, revealed the presence of two female individuals to one male individual.

# 3.3 Taphonomic analysis

The taphonomic sample totaled 99 specimens from 15 layers; 16 from Unit 1A, 10 from complex of Units 3, 18 from complex of Units 4A, 36 from Unit 4B, and 19 from Unit 5. Remains from other Middle Paleolithic contexts were also examined, but are excluded from this study due to uncertain provenance.

#### 3.3.1 Post-depositional processes

Concreted sediments were observed on 75% of the specimens in Unit 1A (Figure 3; Supplementary Table 5), 60% of specimens in the complex of Units 3, and 52.7% of specimens in Unit 5. In the Sedimentary Complex 4A and in Unit 4B concretions were observed on 17.7% and 22.3% respectively. The modifications appeared as localized attached sediments covering between 0–75% of the bone surfaces. Modifications related to weathering (cracks, flaking, etc.) affected 100% of the bones in complex of Units 3, 75% of elements in Unit 1A, ca. 70% of remains in Unit 5 and complex of Units 4A, and 54.3% in Unit 4B. In line with observations made by Bocheński and Tomek (1997), only three stages of weathering were apparent on the material, not including stage 0 (no weathering).

Manganese dioxide deposits were observed at high frequencies in all five samples (Unit 1A = 87.5%, complex of Units 3 = 100%, complex of Units 4A = 88.3%, Unit 4B = 91.5%, Unit 5 = 89.5%), which may indicate high bacterial activities and/or high humidity levels within the cave. These processes are not mutually exclusive. Modifications ranged from small dark stains on the bone surface (grade 1) to covering the entire bone surface (grade 4). Irregular ushaped striations from rooting were absent in Unit 4B and appeared infrequently in complex of Units 3 (10%) and Unit 1A (18.8%). Rooting marks were of a similar frequency in complex of Units 4A (35.2%) and Unit 5 (31.5%). Striations related to trampling were infrequent throughout the five samples, but most prominent in the occupation sediments of Unit 5 where 31.5% of the sample was affected. However, sample sizes were too small to interpret trampling frequencies beyond presence and absence.

#### 3.3.2 Fragmentation

Fragmentation is significant throughout the entire Middle Paleolithic sequence: only 9 out of 100 long bones are complete (9%). The proportion of complete bones per taxon reflects the abundance of their bones within the site. The Western capercaillie, a species with large and robust bones, provides only one complete element. There does not seem to be any preferential conservation



of a particular skeletal element. Strikingly, Unit 4B and Unit 5 yield no complete long bone despite being the richest in bird bones. This testifies to the intense fragmentation in these layers. On the contrary, complex of Units 4 delivered parts of two bird skulls, one of an owl of the genus *Bubo* (Figure 2C) and another of a Western capercaillie (Figure 2D). Although incomplete and fragmented, these fragile elements are quite well preserved, highlighting the complexity of the sedimentary dynamics within Scladina Cave.

# 3.3.3 Corrosive and mechanical predator modifications

Marks concurrent with digestion were identified in all five samples (Figure 3; Supplementary Table 5). In Unit 1A (37.5%), complex of Units 3 (20%), and complex of Units 4A (11.8%) all corrosion was of low level (grade 1). Two levels of corrosion were identified in Unit 5 (grade 1 = 16.7%, grade 2 = 5.6%), and a single

element in Unit 4B exhibited grade 2 corrosion (2.8%). Along with low occurrences of polish (Unit 1A = 6.3%, complex of Units 3 = 10%, Unit 5 = 10.5%), these modifications could indicate bird of prey agency and mammalian carnivore agency.

Mechanical marks in the form of pitting, punctures, and crenulated edges add further information to the predator profile. 57.6% of the mechanical marks were concentrated on the articular ends of the bones. In Unit 1A, 37.5% of the remains bore modifications caused by mammalian carnivores (Figure 4; Supplementary Table 5). These included remains of Galliformes, ducks, and a rock dove-sized bird. A further 12.5% displayed marks that were likely produced by carnivores. Seven of 10 Galliformes bird remains from complex of Units 3 were predated by mammalian carnivores as attested by punctures, crenulated edges and tooth grooves. All but one of these remains were concentrated at the junction between Scladina and Saint-Paul caves, and may indicate carnivore denning activity. In the complex of Units 4A,



carnivore agency (29.4%) was observed in the remains of two species of Galliformes (black grouse and Western capercaillie) and one large owl of the genus *Bubo*. Two further specimens (11.8%), one great cormorant and one Western capercaillie, displayed pitting and puncture patterns that could be of human origin. Carnivore modifications affected 33.3% of the remains in Unit 4B (33.3%), all of which present on Galliformes. Unit 5 contained the highest frequency of mechanical modifications, with pitting and punctures from small carnivores visible on 47.4% of the remains of waterfowl and Galliformes. Rodent gnawing was observed on a single element (5.3%). No conclusive evidence of mechanical bird of prey modifications was observed in the samples.

#### 3.3.4 Anthropogenic modifications

Marks interpreted as anthropogenic were recorded on 7 remains (6.7% of the Middle Paleolithic bird material from Scladina Cave) recovered from the complex of Units 4 (MIS 5 or 6; n = 6; 8.9%) and in Unit 5 (MIS 5 or 6; n = 6; 10.5%) while another specimen from the complex of Units 4 displays uncertain anthropogenic marks (Table 2). The stratigraphic position of these bones appears on the stratigraphic log (Figure 1).

#### 3.3.4.1 Cutmarks

#### 3.3.4.1.1 Complex of units 4 (MIS 5 or 6)

In Unit 4 *sensu lato*, the left humerus of a great cormorant displayed two double incisions on the anterior face as well as an area of scraping on the posterior face; these marks were located on the body of the bone, near the distal end (Figure 5A). The two double incisions were located at the level of the brachialis muscle insertion fossa, and penetrate into it (Figure 5A, arrow). This location is consistent with butchering activities (Laroulandie, 2001) and does

not support interpretation as trampling. The scraping area on the posterior surface was likely linked to the removal of meat. Furthermore, a group of fairly superficial transverse striations was located near the fracture, on the proximal part of the body of the bone. These could be marks linked to the removal of meat but trampling cannot be excluded.

A right carpometacarpus of a Western capercaillie bears two incisions on the ventral side of the articular surface of the proximal condyle (Figure 5B), probably left during the disarticulation of the wrist (Laroulandie, 2001). Imprints of teeth with a bilobed appearance on the processus extensorius suggest the action of a small carnivore (Figure 5B, arrow).

#### 3.3.4.1.2 Unit 4A-CHE (MIS 5 or 6)

The complete right radius of a black grouse found in Unit 4A-CHE exhibited several deep, oblique incisions on the posterior side at the level of the proximal joint, as well as a scraped area on the ventral side (Figure 5C). These tool marks were concentrated near the facies articularis ulnaris and were probably produced during the disarticulation of the radius and ulna during meat recovery (Laroulandie, 2001).

#### 3.3.4.1.3 Unit 4B (MIS 5 or 6)

Unit 4B provided two Galliformes bones with anthropogenic marks. The first was a thoracic vertebra of a Western capercaillie bearing a deep incision on one of the lateral faces accompanied by a second, more superficial incision, both aligned and most likely resulting from the same movement (Figure 6A). A left distal tibiotarsus of a ptarmigan-sized taxon showed longitudinal and oblique scraping marks on the posterior and medial surfaces of the body of the bone (Figure 6B). They are partly under the varnish applied to accommodate the archaeological marking. Their purpose

						Human modification					
Unit	Inventory number	Figure number	MIS	Taxon	Element	N	Proposed use				
4, 4A-CHE, 4B											
4	Sc1986-72-3368	Figure 5A	5 or 6	Great cormorant ( <i>Phalacrocorax carbo</i> ) Humerus		1	Meat				
4	Sc1985-30-1	Figure 5B	5 or 6	Western capercaillie (Tetrao urogallus)	Carpometacarpus	1	Meat				
4A-CHE	Sc2008-21-1	Figure 5C	5 or 6	Black grouse ( <i>Lyrurus tetrix</i> )	Radius	1	Meat				
4B	Sc2001-269	Figure 6A	5 or 6	Western capercaillie (Tetrao urogallus)	Thoracic vertebra	1	Meat				
4B	Sc1999-55-47	Figure 6B	5 or 6	Galliformes size of ptarmigan	Tibiotarsus	1	Meat?				
4B	Sc1997-135-10-6	Figure 6C	5 or 6	Lesser spotted eagle? (Clanga cf. pomarina)	Terminal posterior phalanx	1?	Claw use?				
5											
5	Sc1985-910-309	Figure 7A	6	Western capercaillie (Tetrao urogallus) - P Radius		1	Meat, bone industry?				
5	Sc1985-783-116	Figure 7B	6	Unidentified bird size of capercaillie	Long bone	1 Meat					
Total						7+1?					

#### TABLE 2 Inventory of bird remains showing marks interpreted as anthropogenic in origin.

Questionable specimens are marked with a "?". "P" next to a taxon indicates that it has been identified by proteomic analyses.

is unclear, and may have been produced during the harvesting of the meat.

#### 3.3.4.1.2 Unit 5 (MIS 6)

In Unit 5, anthropogenic marks were identified on two bones of large birds (10.5% of the bones from Unit 5). The first was analyzed by proteomics and identified as a Western capercaillie (see above). It is a fragment of the body of a radius fractured at both ends (Figure 7A). One of these fractures displays a modern aspect and is associated with residues left by a metal tool. This fracture was likely produced during the excavation. The fracture on the other side of this element is strongly polished and associated with two deep incisions. The absence of metal particles close to the incisions and the presence of black manganese deposits on the inside exclude that they were produced recently, during the excavation or later. Particular attention was paid to this bone, especially to reconstruct the sequence of actions responsible for the marks observed.

First, the two deep incisions were produced. These marks could bear witness to butchery practices, but their location, far from a joint, is inconsistent with the disarticulation of the carcass, and their depth is unusual in the context of meat removal. Instead, they could be associated with a form of bone work and may have been intended to facilitate the fracture of this radius. The depth and breadth of the largest incision, in particular, suggests a repeated "sawing" type movement, which supports the hypothesis that these incisions were produced to help fracture the bone. Second, the bone has been broken transversally by flexion, which produced a flaking of the bone surface. The fracture progression was stopped by the incisions. Third, the bone has been used for a prolonged time, which resulted in the development of a strong polish on the broken edge (see Section 3.3.4.2.2.).

The second specimen is a small fragment of the body of a long bone from an unidentified large bird the size of a Western capercaillie. The specimen displays fine longitudinal incisions and several scraped areas in the form of groups of oblique striae (Figure 7B). The marks observed suggest different finalities. The longitudinal incisions probably relate to the action of cutting, and oblique striae are likely scraping marks left during butchering activities. Trampling is probably responsible for the fragmentation of the original bone into this tiny fragment and for the production of faint, randomly oriented striae observed at the surface of this specimen.

#### 3.3.4.2 Polish

Two bones show strong polish.

#### 3.3.4.2.1 Unit 4B (MIS 5 or 6)

A claw (phalanx 3) of the second digit of a likely lesser spotted eagle has very fine polish on the reliefs of the articular surface as well as on the area underlying the keratinous sheath of the phalanx (Figure 6C). These polishes are associated with micro-striations (visible at  $800 \times$ ), which could suggest human intervention. The absence of polish in the hollow parts of the affected areas tends to exclude digestion or post-depositional processes such as polishing by movements in the sediments, but further research on talon polish is required to ascertain this assumption. The fractured distal end does not show rounding nor polish. No tool marks were observed. The attribution of these modifications to human activities remains uncertain.

#### 3.3.4.2.2 Unit 5 (MIS 6)

The incised Western capercaillie radius fragment described above shows a highly developed polish (Figure 7A). This polish is located at the apical part of one of the two fractured ends and does not affect the entire surface of the bone. The polish is spread over the area where the peeling is observed, which excludes that the fracture and the flaking of the bone surface occurred after the development of the polish. At high magnification ( $800\times$ ), a few fine streaks are visible. It was not possible to determine if the polish resulted from the use of this element as a tool dedicated to a specific action, or if the polish was produced unintentionally during the prolonged transport of the



object. Anyway, the use of this specimen produced very fine micro-wear associated with short and multiple orientations microstriations located close to the edge. These characteristics exclude that it was used on strongly abrasive materials such as stone or dry skin, but instead suggest a use on soft material such as fresh skin or plants. However, more comparative research is needed to determine the kind of material which generated the polish observed.

# 3.4 Spatial distribution

The bird bones bearing anthropogenic modifications were plotted onto a map of the cave (Supplementary Figures 3–6). Their location was based on the square of origin only, as the exact location within the square is not always known. We also examined the spatial distribution for Unit 1A because it constitutes one of the two main archaeological assemblages from the Middle



Paleolithic, although it delivered no bird bones with anthropogenic modifications. Until now, evaluations of spatial distribution have hardly been attempted for the Scladina Cave, all types of remains combined. This limited the possibility of comparisons with other remains.

The spatial distribution of all avian remains should be interpreted with caution because the sediments were all reworked and none of the remains are in primary position. This is especially the case in 4A-CHE (very dynamic erosion and transport). Therefore, the spatial distribution of avian remains in no way represents the pattern of deposition of bird bones within the cave, and cannot inform us about behavior, be it Neanderthal or nonhuman predators.

### 3.4.1 Unit 1A (MIS 3)

In Unit 1A, the provenance square of 15 out of 16 avian remains is known. The remains were distributed evenly throughout the cave and do not appear to be deposited in any organized concentration (Supplementary Figure 3). Some fragments were



found close to, and even within, a spread of burned mammal bones described by Abrams et al. (2010). However, there is no greater evidence of a concentration of avian remains here than elsewhere in the cave. Mechanical predator marks were identified throughout the cave, while digestion modifications were only identified in specimens located toward the back of the cave.

# 3.4.2 Complex of Units 4, Unit 4A-CHE and Unit 4B (MIS 5 and 6)

The bird bones from these units are presented on the same map, despite each sedimentary unit originating from a different set of processes (Supplementary Figure 4). The map shows the spatial position of the remains of the Neanderthal child within Unit 4A-CHE. There is a relative proximity between the bird bones with anthropogenic modifications and the human remains but they are not from the same grid squares and the sedimentary unit itself represents an erosion gully.

#### 3.4.3 Unit 5 (MIS 6)

The bird bones from Unit 5 are mainly present within the first 25 meters from the cave opening (Supplementary Figures 5, 6). Specimens found in this area also bear marks made by small carnivores. Two concentrations can be distinguished in the distribution of avian remains: one closer to the entrance of the cave (10–13 m), and a second further into the cave (18–23 m). Both concentrations contain a specimen bearing anthropogenic modifications and are located close to numerous lithic artifacts and anthropogenically modified mammal remains. Analysis of the spatial distribution of lithics and faunal remains did not reveal any conclusive concentrations, and indicates instead that the archaeological remains in this unit were widely dispersed,

perhaps due to the post-depositional disturbance by mammals frequenting the cave when Neanderthals were not present (Bonjean, 1998; Patou-Mathis and Lopez-Bayon, 1998). However, the main sedimentary process responsible for the deposition of Unit 5, i.e., solifluction (Pirson, 2007, 2014), is also at least partly responsible for the spatial distribution of the material. Two bird bones bearing evidence of non-human predators were recovered from a natural alcove within the rock where the Scladina Cave joins with Saint-Paul Cave (30–33 m). A further specimen bearing digestion marks also appeared at this depth, isolated from other avian remains.

# **4** Discussion

#### 4.1 Exploitation of birds by Neanderthals

The analysis of the avifauna of Scladina Cave provides the first testimonies of bird exploitation by Neanderthals in Belgium, extending the geographic area of dietary bird exploitation more than 600 km to the north. In total, 6 bird bones from MIS 5 or 6 (including 1 uncertain), and 2 from MIS 6 bear anthropogenic modifications.

The initial stratigraphic position of the bones unearthed from Unit 4A-CHE is uncertain, because they were reworked by the gully from other layers. In some situations, the stratigraphic position of the bones excavated from former Units 4 (4A and 4B) can also be uncertain, because of the complexity of this part of the sequence deciphered during the 2007 stratigraphic reappraisal (in former layers 4A and 4B, the new system implies 21 layers grouped into 5 Units). This is particularly true for bones initially attributed to Unit 4B. Given (1) the scarcity of bone remains in the whole Unit 4B, (2) the sedimentary dynamic of this unit (dominated by decantation in a pool covering a large surface inside the cave), and (3) the discrepancy between the environmental signal of certain bird taxa identified and that reconstructed by palynology for Unit 4B (see Section 4.3), it is unlikely that these objects truly came from Unit 4B. It seems plausible that they were mistakenly assigned to sedimentary unit 4B, as they were unearthed before the stratigraphic reappraisal which pointed to the existence of a deep gully (Unit 4A-CHE) eroding Unit 4B, and therefore lying at the same altitude. This hypothesis is all the more plausible because a bird bone initially attributed to Unit 4B has been reattributed to Unit 4A-CHE, thanks to careful re-examination of the available section drawings and pictures of the area, and thanks to the coordinates of the piece. This proves that at least some of the elements originally attributed to Unit 4B actually come from the gully, which seems much more consistent with the environmental significance of forest taxa identified as 4A-CHE reworked the temperate Sub-Units 4A-AP and 4A-IP, which correspond to MIS 5e (Eemian). However, we cannot exclude that some of these bones truly came from Unit 4B, or that some specimens unearthed from 4A-CHE come from Unit 5 (MIS 6), which delivered one of the two main Middle Paleolithic assemblages, as it was sporadically eroded by the 4A-CHE gully. This latter hypothesis nevertheless appears unlikely for the tawny owl bone, since this species favors temperate climate while palynology reconstructs cold environmental conditions in Unit 5. For all these reasons, for some

of the studied specimens, we will only mention former Units 4, 4A or 4B, implying a chronostratigraphic attribution to the end of MIS 6 and/or the first part of MIS 5.

In Units 4, 4A-CHE, and 4B (MIS 5 or 6) the exploited taxa are the Western capercaillie, the great cormorant, the black grouse, another species of small grouse, and possibly the likely lesser spotted eagle. The exploitation of the great cormorant indicates predation at the edge of a waterbody or a watercourse of a given breadth, perhaps located at the level of the current Meuse Valley. The two grouse species may have been captured in woodlands, or an open environment in the case of the black grouse. The striae observed denote butchery activities, except for the lesser spotted eagle talon which exhibits a strong polish possibly related to manipulation by hominins. Two bones of large birds from Unit 5 (MIS 6) bear marks of tools also compatible with the removal of meat. However, the two deep incisions observed on the radius of a Western capercaillie suggest a sawing action which implies possible working of the bone. In addition, this element presents a highly developed polish on a broken edge which indicates that the bone was utilized for an unknown purpose.

Several Middle Paleolithic sites from southern Europe have revealed features of disarticulation and/or flesh removal on meatbearing avian elements, suggesting consumption of the flesh. It is possible that some of these marks were produced during the recovery of other products such as tendons (Blasco et al., 2019), in particular when bones bearing little meat are affected. In general, remains of birds bearing marks of butchery activities are rare within the same site (Blasco et al., 2022), and this is confirmed in the case of Scladina. However, the sites of Bolomor, Cova Negra, Gorham's cave, Pié Lombard, and Fumane yielded more substantial assemblages (Peresani et al., 2011; Blasco and Fernández Peris, 2012; Finlayson et al., 2012; Blasco et al., 2013, 2014, 2016; Fiore et al., 2016; Romero et al., 2017). From a geospatial point of view, Blasco et al. (2022) indicated regional variations in the taxa exploited: the use of pigeons and partridges of the genus Alectoris seems to be specific to the Mediterranean Region, while diurnal birds of prey, corvids, and birds from the Anatidae family are exploited elsewhere in Europe. The consumption of the Western capercaillie by Neanderthals at Scladina proves to be the first direct evidence of exploitation of this species of the Galliformes order, unlike the black grouse that has been identified in Neanderthal contexts previously.

The assemblage from the Scladina Cave mainly includes birds from the order Galliformes. This does not stand out in comparison with other Middle Paleolithic sites where species of the Galliformes genus *Alectoris* are frequently exploited (Martínez Valle et al., 2016; Romero et al., 2017). Rather it suggests that *Alectoris* exploitation in the Mediterranean region is part of an overall dietary trend toward the exploitation of Galliformes in general, and that the exploitation of certain genera was constrained only by species distribution. Neanderthals exploited whichever species were available in the Pleistocene landscape whatever the latitude band.

The exploitation of the riparian great cormorant emphasizes the Neanderthals' ability to exploit a large range of game available to them in the vicinity of Scladina Cave. Indeed, despite being less useful as a proxy for paleoclimatic reconstruction, the ecological information from exploited taxa still informs us about the presence of a variety of habitats close to Scladina Cave at the time of original deposition. This aligns well with established preferences in human habitat choice (Finlayson et al., 2011). The presence of cormorants, among several other aquatic bird species, has also been reported as the result of Neanderthal activities at Gruta da Figueira Brava (Nabais et al., 2023). The avian contribution to the human diet at Scladina Cave indicates that Neanderthals in MIS 5 and MIS 6 exploited woodland and wetland environments close to the cave. While some wetland species identified amongst the assemblage, like ducks, might be found in the small river valley close to the cave, others such as the great cormorant were more likely to frequent the larger waters running at the location of today's river Meuse. Extensive forested areas with large openings in the surroundings hosted Western capercaillie and black grouse. Knowledge and understanding of prey availability in this diverse ecotonal habitat testifies to Neanderthal cognitive complexity in regard to hunting and subsistence strategies.

Some sites have yielded phalanges of birds bearing marks of tools. The phalanges, especially the distal phalanx carrying the keratinous sheath of the talon, are devoid of nutritional value, implying an objective other than food (Morin and Laroulandie, 2012; Radovčić et al., 2015; Rodríguez-Hidalgo et al., 2019; Romandini et al., 2014). All phalanges modified during the Middle Paleolithic or Middle-to-Upper Paleolithic transition are of large diurnal birds of prey, at the exception of an incised swan phalanx unearthed from a Mousterian context at Baume de Gigny (Mourer-Chauviré, 1975) and an eagle owl phalanx found in the Châtelperronian layers of the Grotte du Renne (Vanhaeren et al., 2019). The possible manipulation of a talon from a likely lesser spotted eagle at Scladina Cave fits well into this pattern, although it remains hypothetical at this stage.

Examples of the use of hard materials from mammals by Neanderthals in tool-making are multiplying, with examples intended for working with skin, wood or lithic materials (for example, Gaudzinski, 1999; Burke and d'Errico, 2008; Mallye et al., 2012; Soressi et al., 2013; Tartar and Costamagno, 2016; Baumann et al., 2020, 2022). On the other hand, the shaping and use of bird bones has only been very rarely demonstrated for the Middle Paleolithic. The deep incision on the radius of a Western capercaillie from Scladina Cave presents a morphology reminiscent of incisions observed on the radius of a common raven discovered at the site of Zaskalnaya, Crimea (Majkić et al., 2017). The Zaskalnaya specimen shows marks of cutting on the anterior surface and seven parallel notches on the posterior surface of the bone, distributed over a length of approximately 1 cm. These notches are considered to have decorative value, although their production may have initially been utilitarian in purpose, such as ensuring a good grip on the bone. Indeed, the notches were produced in a series of events, some having been added to fill a void, which is interpreted as aesthetic desire (Majkić et al., 2017). Currently, there is no argument to suggest any decorative intention in the incisions on the Scladina Cave specimen, but it is interesting to note the morphological similarities. The Scladina radius also shows strong polish associated with micro-striations that resembles those identified on the fracture edge of an incised black vulture carpometacarpus at Fumane Cave and interpreted as possible usewear produced during the utilization of the bone (Peresani et al., 2011).

#### 4.2 Non-human accumulating agents

All bird remains in the occupationally scant complex of Units 3 displayed some indication of carnivore agency. It is also the only context group where all types of carnivore modification were visible on the bone surfaces. The remains were of Galliformes (MNI = 2; a Western capercaillie and a black grouse-sized bird) that were likely predated close to the cave. The remains were limited to an area with an alcove at the point where Scladina and Saint-Paul caves merge. The bird remains from complex of Units 3 were accumulated by a small to medium carnivore and indicated denning behavior through their distribution. The mammalian fauna identified in Scladina Cave is rich in carnivores, including large mammals such as cave bears (Ursus spelaeus), wolves (Canis lupus), and cave hyenas (Crocuta crocuta spelea) (Simonet, 1992; Patou-Mathis, 1998; Daujeard et al., 2016; Table 6.3). However, taphonomy indicates that the carnivores responsible for the partial accumulation of bird remains in Scladina were smaller. Smaller carnivores such as dhole (Cuon sp.), red fox (Vulpes vulpes), badger (Meles meles), wild cat (Felis silvestris), and mustelid remains in the sequence are prime candidates for the agent behind the mechanical and digestive marks identified on bone surfaces in complex of Units 3 (Daujeard et al., 2016; Marin-Monfort et al., 2019; Royer et al., 2021). However, this is inconsistent with the majority of digestion recorded in the avian assemblage of Scladina, which is more indicative of bird of prev action.

Though small carnivores hunted birds, they may also have scavenged the discarded remains of human meals. This behavior may be surmised from the presence of both cutmarks and subsequent carnivore tooth marks on the bone of a Western capercaillie in Sedimentary Complex 4A. In Unit 5, avian remains with carnivore marks accounted for 52.6% of the sample, but there was no evidence of carnivores scavenging Neanderthal leftover bird carcasses. Instead, rodent gnawing was present on the discarded bone of a grouse-sized bird. In the natural alcove utilized by carnivores in complex of Units 3, a co-occurrence of remains suggests its use as a den at some point during the deposition of Unit 5. The mammalian record shows the presence of canids and bears during this phase (Patou-Mathis, 1998; Daujeard et al., 2016), but the bird bones do not reflect predation by large mammalian carnivores. This is not unexpected as larger carnivores would eat the whole carcass and digest all the bones (Andrews, 1990).

The spatial proximity of certain owl (Strigiformes) remains with the main arch of the cave in Unit 5 (MIS 6) might suggest that these were the result of attritional accumulation in connection to roosts and nests. They were unlikely to have roosted when the cave was occupied by Neanderthals (Bocheński, 2005; Bocheński et al., 2018). There is no mechanical evidence of raptors catching birds in the recovered material, but a study of digestion marks on the molars of micromammals from MIS 5 and MIS 3 determined that two classes of Strigiform predator were responsible for their accumulation (López-García et al., 2017). Digestion profiles found on the avian remains, along with the presence of three species of owl remains (*Bubo* sp., *Strix aluco, Asio* sp.) in the assemblage align well with their findings, however digestion traces are infrequent in the avian sample, suggesting that avian predators were not the main accumulation agent at Scladina. There are also clear taphonomic traces pointing toward small carnivore agency in complex of Units 3. Diurnal raptor remains in Unit 1A (n = 2) were found in an area between 35 and 40 meters from the cave entrance. Neither the large eagle (*Aquila* sp.) nor the sparrowhawk (*Accipiter nisus*) specimen exhibited identifiable marks of human agency, and sedimentary dynamics could easily explain their position in the cave and they are therefore not considered likely agents of accumulation.

# 4.3 Environment and climate

The bird remains reflect the presence of both open and wooded environments throughout the deposition of the Middle Paleolithic sediments (MIS 6-MIS 3) with intermittent wetland signals in the form of Suliformes and Anseriformes. This could indicate the presence of an ecotonal, mosaic type landscape in the vicinity of Scladina Cave during the Middle Paleolithic, and/or a dynamic shifting of habitats throughout time. Western capercaillie and black grouse would have frequented boreal forest environments around the cave, while the less arboreal ptarmigans would have occupied a more open, tundra-like environment (Cramp and Simmons, 1977-1994). Waterfowl such as ducks and geese could have exploited the nearby water bodies. Depending on the species, ducks can be highly adaptable and exploit any water body available. Geese however may have been drawn to the islands on the River Meuse for breeding and inland water bodies. The islands along the Meuse also provide a nesting habitat for the great cormorant (Phalacrocorax carbo). This insular nesting behavior is seen in the species today, which is still nesting on islands in the Meuse Valley nowadays.

These observations are supported by pollen analyses (Pirson, 2007; Pirson et al., 2008, 2014) and studies of various authors on the small and large mammals (Cordy, 1992; Simonet, 1992; Moncel, 1998; Patou-Mathis, 1998; Daujeard et al., 2016; López-García et al., 2017). Herbs and forbs are most frequent, in both Unit 1A (MIS 3) and Unit 5 (MIS 6), signaling open areas. However, these signals are highly variable between sedimentary units. The presence of boreal conifers in both stages also alludes to patches of forest (Pirson, 2007; Pirson et al., 2008). Micromammals from MIS 3 (Unit 1A) indicate cold and dry conditions (*Lagurus lagurus, Lemmus lemmus, Dicrostonyx torquatus, Microtus arvalis*, and *Chionomys nivalis*). In MIS 6 the species representation indicated sporadic peaks in aquatic local environments (*Microtus agrestis, Talpa europaea, Sorex gr. araneus*, and *Microtus gregalis*) (López-García et al., 2017).

However, despite the seeming correlation between proxies, it is important to note that some of the avian remains may have been reworked into their current position by sedimentary and/or postdepositional processes. In Unit 1A, the presence of a European sparrowhawk and a probable wood pigeon, both forest-dwelling taxa, could be due to more recent intrusions given that converging signals document the deposition of this unit in a cold steppe environment (Pirson et al., 2008). However, as mentioned above, pollen signals do attest to the presence of boreal conifers in this period, and both the wood pigeon and Eurasian sparrowhawk are multi-latitudinal semi-generalist to generalist species that can adapt to dynamic bioclimatic parameters (Finlayson et al., 2011). The numerous remains of Western capercaillie (n = 23) and a single bone of a tawny owl (Figure 2A) attributed to Unit 4B are also forest-dwelling taxa. However, this unit records one of the harshest climate conditions in the entire sequence (Pirson et al., 2008, 2014). Therefore, as stated before, these remains were most likely erroneously attributed to Unit 4B and come from the gully, which reworked temperate layers characterized by a forest environment more compatible with these woodland taxa, especially the tawny owl (see Section 4.1).

# **5** Conclusion

Despite complex sedimentogenesis along with small sample size, the bird assemblage from Scladina Cave has proven highly informative as a proxy for Neanderthal behavior. Results demonstrate that Neanderthals navigating the dynamic Pleistocene landscapes of northwestern Europe in MIS 6 and 5 exploited birds as part of their diet. Additionally, they utilized bird bones as tools and may have collected talons for symbolic purposes. The avian prey spectrum at Scladina indicates a Neanderthal population with a profound understanding of available habitats and the avian game available to them with prey-species representing woodland and semi-open habitats, as well as wetlands. Our results from Scladina Cave contribute two novel species to the spectrum of known prey species during the Middle Paleolithic, and provide new geospatial data from northwestern Europe to the growing number of sites reporting bird exploitation by Neanderthals.

# Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary material.

# Author contributions

QG: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Visualization, Writing - original draft, Writing review & editing. VR: Conceptualization, Investigation, Resources, Validation, Visualization, Writing - original draft, Writing review & editing. GA: Conceptualization, Data curation, Investigation, Methodology, Resources, Writing - original draft, Writing - review & editing. SP: Conceptualization, Data curation, Investigation, Methodology, Resources, Visualization, Writing - original draft, Writing - review & editing. KD: Conceptualization, Data curation, Investigation, Methodology, Resources, Writing - original draft, Writing - review & editing. FB: Formal analysis, Funding acquisition, Investigation, Methodology, Visualization, Writing - original draft, Writing - review & editing. DC: Formal analysis, Investigation, Visualization, Writing original draft, Writing - review & editing. DB: Data curation, Investigation, Resources, Writing - original draft, Writing review & editing. LA: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Visualization, Writing – original draft, Writing – review & editing.

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# **Conflict of interest**

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fearc.2024. 1441926/full#supplementary-material

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