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# Effect evaluation of ecological water conveyance in Tarim River Basin, China

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Ecological water conveyance is an important way to promote the restoration of degraded ecosystems in arid watersheds. However, there are few previous research results on how to quantitatively evaluate the effect of ecological water conveyance on ecological restoration. In this regard, this paper selects the Tarim River Basin as a typical area, analyzing the changes of desert riparian vegetation and hydrological elements, constructing a watershed ecological environment quality evaluation system, and comprehensively evaluating the ecological water conveyance effect of the damaged desert forest ecosystem. The conclusion showed that the proportion of the pixel area with an upward trend of Fractional Vegetation Cover (FVC) from 2000 to 2021 is as high as 84.3%. The plant diversity index in the ecological water conveyance area showed the characteristics of first obvious increase and then stable. The main body of groundwater depth showed an upward trend, and the Temperature Vegetation Dryness Index (TVDI) showed a downward trend of pixel area accounting for 57.0%, which indicated that ecological water conveyance had played a positive role in groundwater recharge and ecological restoration along the Tarim River. The ecological environment quality of the river basin showed a trend of transition from low-grade to high-grade, and the area with excellent ecological quality had increased from 4,635.50 km<sup>2</sup> in 2000 to 12,335.0 km<sup>2</sup> in 2021. The above research provides important scientific reference for the protection and restoration of vegetation degradation in arid watersheds.

## KEYWORDS

ecological water conveyance, desert riparian vegetation, ecological environment quality, ecological restoration, Tarim River Basin

## 1 Introduction

Desert riparian forests are widely distributed on both sides of inland river basins in arid areas. It is a forest ecosystem with relatively single species in desert environment. They play an important role in wind prevention and sand fixation and maintaining the balance and stability of ecosystems in arid areas (Keyimu et al., 2018; Sun et al., 2022).

Water is the most important environmental factor to ensure the structural integrity and functional stability of ecosystem in arid areas. However, due to the disturbance of global climate change and human activities, the shortage of water resources has become an important factor affecting the ecosystem in arid areas, which directly restricts the development and evolution of the desert riparian forest ecosystem (Adam et al., 2009; Allen and Ingram, 2012; Deng and Chen, 2017; Wang and Qin, 2017; Ni et al., 2022). In addition, the ability of desert riparian forest ecosystems to resist external disturbances and self-repair is low, exacerbating various ecological problems such as land degradation, desertification, pests and diseases, and biodiversity damage. To this end, based on the purpose of ecological protection and restoration, in order to curb the degradation of natural vegetation, ecological water conveyance work has been carried out in many regions around the world (Chen et al., 2010; Zeng et al., 2016; Zhao et al., 2020). Therefore, after ecological water conveyance, understanding the restoration status of damaged desert forest ecosystems and the effect of ecological water conveyance has become a hot topic in the research on ecosystem restoration and protection in arid areas.

Desert riparian forests are mainly distributed in Central Asia, the Murray-Darling Basin in Australia, the Colorado River Basin in the western United States and northwestern China (Ding et al., 2017; Zhang et al., 2018). A large number of studies have shown that groundwater and soil moisture are the key factors to maintain the normal growth and development of desert riparian forests. The change of groundwater level directly affects the development of natural vegetation communities in desert riparian forests, and further affects the stability of desert ecosystems in arid areas. Drought stress will not only wilt plants, but also weaken the physiological functions and disease resistance of plants (Doble et al., 2006; Chui et al., 2011). The ecological water conveyance project can effectively raise the groundwater level and increase the soil water content. Therefore, it is very important to study the temporal and spatial variation of the groundwater level and soil drought for the protection and restoration of desert riparian forest vegetation (Halik et al., 2019; Ling et al., 2020). The species of desert riparian forest vegetation is relatively poor, the structure is relatively simple, the main feature is low species diversity, and ecological water conveyance can form a favorable environment for their survival. The study of species diversity in the process of vegetation restoration not only helps to correctly understand the process of vegetation restoration, but also helps to understand the dynamic succession process of the ecosystem (Runyan and D'Odorico, 2010; Kopec et al., 2013). The ecological water conveyance is mainly carried out along the river course. The farther away from the river course, the lower the fractional vegetation cover and the smaller the area of vegetation restoration. Therefore, the research on the degree of increase in fractional vegetation cover and the area of vegetation restoration is also an important indicator for evaluating the

degree of vegetation restoration (Guo et al., 2017; Huang F. et al., 2020). At present, with the implementation of the ecological water conveyance project, the ecological deterioration in the water conveyance area has been curbed. However, there are few previous research results on how to quantitatively evaluate the effect of ecological water conveyance. It is of great practical significance to comprehensively evaluate the overall ecological environment quality changes in the basin to promote the vegetation restoration in the arid area.

The Tarim River Basin, located in the Tarim Basin in northwestern China, is one of the most representative desert riparian forest distribution areas in China and even the world (Hao et al., 2010; Ling et al., 2017). In 2001, the Chinese government implemented an ecological water conveyance project in the lower reaches of the Tarim River. Therefore, this study selected the Tarim River Basin as a typical area. However, there are few long-term studies on the changes of vegetation belts in the Tarim River Basin, soil drought condition, and changes in the ecological quality of the whole basin after ecological water conveyance. Based on remote sensing data and field survey data, this study focuses on the changes of the desert riparian forest vegetation belt and hydrological conditions in the Tarim River Basin in the past 22 years. A quality evaluation system for the ecological environment of the Tarim River Basin was constructed to comprehensively evaluate the restoration of damaged desert forest ecosystems and the effectiveness of ecological water conveyance, in order to provide decision-making references for ecological conservation and sustainable development of inland river basins in arid regions.

## 2 Overview of the study area

The Tarim River Basin is located in the hinterland of Eurasia, and is located in the south of Xinjiang Uygur Autonomous Region (34°55' -43°08' N, 73°10' -94°05' E). It is the largest inland river in China. It is connected with Pakistan in South Asia and India, Afghanistan in West Asia, Tajikistan in Central Asia and Kyrgyzstan, and the basin area reaches 1.027 million km<sup>2</sup>. The study area has a hot, dry climate, and water resources are scarce. The climate is classified as warm temperate extreme arid. The annual average precipitation is less than 50 mm and the annual average evaporation is more than 2,500 mm. The Tarim River Basin is one of the most fragile regions in China, and even the world. Among them, the main stream of Tarim River is composed of Aksu River, Hotan River, Yarkant River and other rivers, starting from Xiaojiake, and finally into Taitema Lake. Arbor-shrub-grass vegetation belts mainly comprising *Populus euphratica*, *Tamarix ramosissima*, *Lycium ruthenicum*, *Phragmites communis*, and *Apocynum venetum* are present on both sides of the river. After the completion of the Daxihaizi Reservoir in 1972, the incoming water in the middle and upper reaches was completely intercepted, resulting in the complete

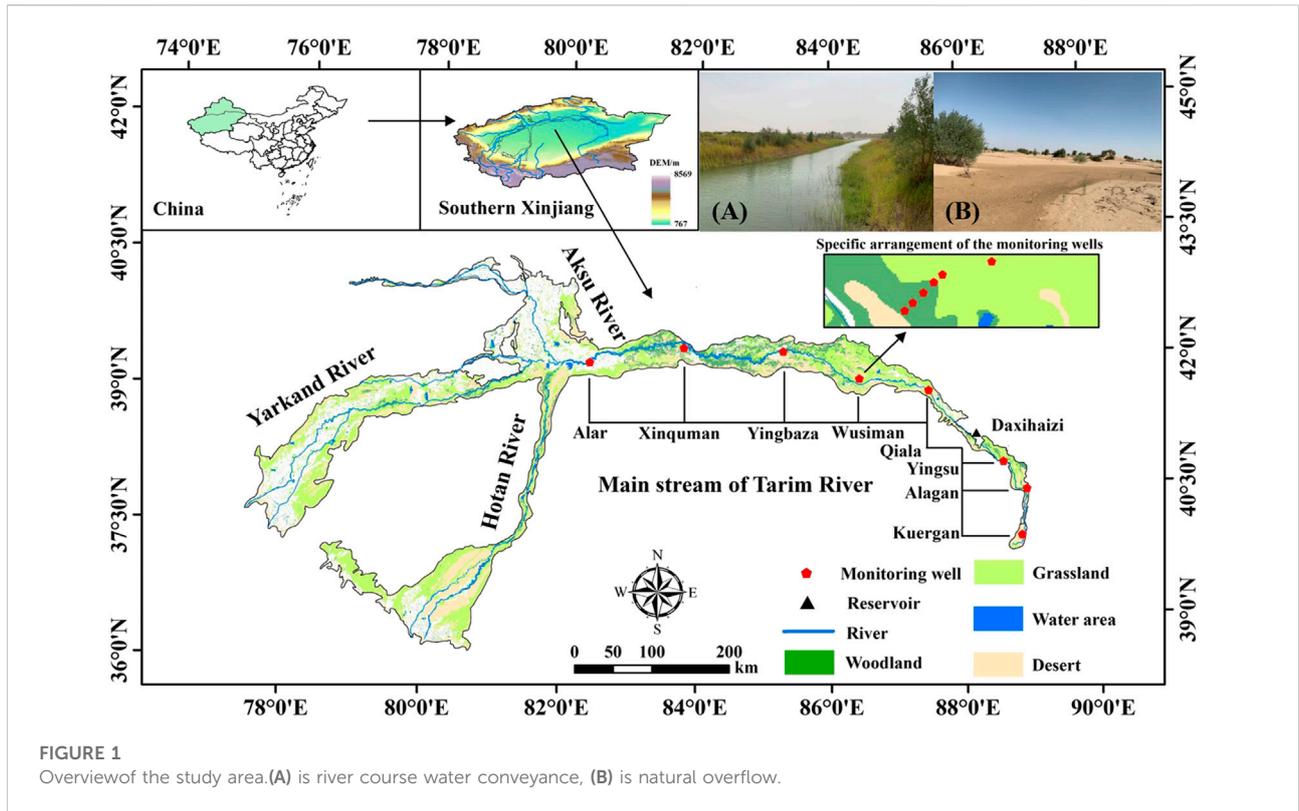


FIGURE 1 Overview of the study area. (A) is river course water conveyance, (B) is natural overflow.

disconnection of the lower reaches of the Tarim River and the drying up of the Taitema Lake. Large areas of natural vegetation have been degraded and the groundwater level has decreased, and thus, the ecological environment has been severely damaged. In 2001, the Chinese government invested 10.7 billion yuan to implement comprehensive management of the Tarim River Basin with the fundamental aim of restoring the downstream ecosystems. In order to further improve the effect of comprehensive management, since 2016, the autonomous region government launched a special action for ecological protection of *Populus euphratica* forest in the Tarim River Basin, using ecological sluices and water conveyance channels to introduce water into forest areas (see Figures 1A,B), and promote the recovery of *Populus euphratica* forest ecosystem through overflow interference. At present, the special action for ecological protection of *Populus euphratica* has been carried out for 6 years, and the comprehensive management of the Tarim River Basin has been carried out for 22 years. The accumulated ecological water conveyance in the lower reaches of Tarim River is 8.793 billion m<sup>3</sup>, and the average annual water conveyance is 400 million m<sup>3</sup>. The degradation of the ecological environment in the Tarim River Basin has been effectively halted. The long-term disconnection of the downstream river has improved and the green vegetation in the riparian zone is characterized by positive physiological and ecological responses. Therefore, the Tarim River is not only the area with the most serious damage to

the ecological environment caused by artificial interference, but also the most successful typical case of human intervention in promoting ecological restoration.

### 3 Data sources and research methods

#### 3.1 Data sources

Table 1 lists the all the data sets used in our study. Images of Normalized Difference Vegetation Index (NDVI) were used to calculate the Fractional Vegetation Cover (FVC) and the Temperature Vegetation Dryness Index (TVDI). There are now more 100 vegetation indexes. NDVI, the Ratio Vegetation Index (RVI), Enhanced Vegetation Index (EVI) and the Soil Adjusted Vegetation Index (SAVI) are widely mentioned or used. Yet each vegetation index has strength and weakness. RVI does not perform well when the FVC is <50% (Jackson, 1983), which rules RVI out when quantifying the vegetation in the desert ecosystems. Defect of NDVI comes from its saturation when measuring dense vegetation. SAVI and EVI are both modified NDVI with adjustment from the effects of soil brightness in the background and improved sensitivity to dense vegetation (Huete, 1988; Matsushita et al., 2007). Despite the adjustment and improvement of SAVI and EVI, NDVI is

TABLE 1 Data and sources used by the institute.

Ecological indicators	Time period	Time resolution	Spatial resolution	Data source
TVDI	2000–2021	16 days/8 days	250 m/1000 m	MOD13Q1/MOD11A2
NDVI	2000–2021	16 days	250 m	MOD13Q1
Measured groundwater level	2003–2020	—	—	Field investigation
RSEI	2000–2021	8 days/8 days/16 days	500 m/1000 m/500 m	MOD09A1/MOD11A2/MOD13A1

the one that is most widely used. And it is also generally agreed that NDVI is sensitive in low to moderate dense vegetation such as semi-arid areas (Tucker, 1986; Diallo et al., 1991; Xue and Su, 2017). In addition, high-quality time series NDVI are readily available from data sets of MODIS NDVI, SPOT NDVI and GIMMS NDVI. That’s why NDVI was selected in this study. 16-day composited NDVI images were derived from the MODIS MOD13Q1 product, Savitzky-Golay filtering was performed to the NDVI time series to smooth out noise caused by poor atmospheric conditions (Chen et al., 2004). And The MVC was applied to produce yearly NDVI, which would further improve the quality of the NDVI images by reducing noise caused by poor atmospheric conditions (Holben, 1986).

16-day composited images of Land Surface Temperature (LST) of daytime, together with NDVI, were used to calculate TVDI. The time series LST images were derived from MODIS MOD11A2 product. LST images were averaged to get yearly LST.

The groundwater depth data were proved by the Tarim River Basin Administration bureau. And the date set were collected from six typical monitoring sections set along the main stream of Tarim River, namely, Alar, Wusiman, Qiala, Yingsu, Alagan and Kuergan (see Figure 1).

Quadrat sampling method was used to collect the number of vegetation species and plants. From 2015 to 2021, sampling was carried out in the Shaya *Populus euphratica* Forest Park in the upper reaches of the main stream of Tarim River and the Luntai *Populus euphratica* Forest Park in the middle reaches of the main stream of Tarim River. Three 25 m × 25 m fixed monitoring quadrats were set at each sampling point.

### 3.2 Calculation method

#### 3.2.1 TVDI calculation method

The TVDI was used to characterize the soil moisture, and it was calculated based on the NDVI and LST with the following formula:

$$TVDI = (LST - LST_{min}) / (LST_{max} - LST_{min}) \quad (1)$$

$$LST_{max} = a_1 + b_1 \times NDVI \quad (2)$$

$$LST_{min} = a_2 + b_2 \times NDVI, \quad (3)$$

where LST is the surface temperature of any pixel,  $LST_{min}$  is the minimum surface temperature corresponding to a certain NDVI value, which is called the wet edge, ( $LST_{max}$  is the maximum surface temperature corresponding to a certain NDVI value, which is called the dry edge, and  $a_1$ ,  $a_2$ ,  $b_1$ , and  $b_2$  are the coefficients in the dry–wet boundary equation. The value of TVDI ranges among (0, 1). A pixel is more arid when the value is closer to 1 and more humid when the value is closer to 0. According to previous drought monitoring studies conducted in Tarim River Basin (Huang J. et al., 2020), the TVDI values were classified as follows:  $TVDI \leq 0.46$  representing drought free,  $0.46 < TVDI \leq 0.57$  for light drought,  $0.57 < TVDI \leq 0.76$  for moderate drought,  $0.76 < TVDI \leq 0.86$  for severe drought, and  $TVDI > 0.86$  for extreme drought.

#### 3.2.2 Calculation method of vegetation data

The Fractional Vegetation Cover (FVC) is an important indicator for measuring the surface fractional vegetation cover in a region, and it has a strong positive correlation with NDVI. Based on the pixel dichotomy model and NDVI data, the fractional vegetation cover was calculated using the inversion model with the following formula:

$$FVC = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}}, \quad (4)$$

where FVC is the fractional vegetation cover, and  $NDVI_{min}$  and  $NDVI_{max}$  are the minimum and maximum NDVI values of all pixels in the area, respectively.  $NDVI_{min}$  and  $NDVI_{max}$  were optimized with 5–95% as the confidence interval for the NDVI values. According to the vegetation distribution characteristics, the fractional vegetation cover values were classified as follows:  $FVC \leq 5\%$  for extremely low fractional vegetation cover,  $5\% < FVC \leq 10\%$  for low fractional vegetation cover,  $10\% < FVC \leq 20\%$  for medium fractional vegetation cover, and  $FVC \geq 20\%$  for high fractional vegetation cover.

In order to analyze the changes in the diversity indices for vegetation after ecological water conveyance, the Simpson and Shannon–Wiener indices were calculated for vegetation in the samples as follows.

$$\text{Simpson Index: } D = 1 - \sum_{i=1}^s P_i^2 \quad (i = 1, 2, 3, \dots, S) \quad (5)$$

$$\text{Shannon–Wiener Index: } H = \sum_{i=1}^S (P_i \ln P_i), \quad (6)$$

where  $P_i$  is the frequency of occurrence for the  $i$ -th species,  $P_i = N_i/N$ ,  $N$  is the total number of individuals in the quadrat, and  $N_i$  is the number of individuals for the  $i$ -th species.

### 3.2.3 Comprehensive effect evaluation of ecological water conveyance

The Google Earth Engine platform can rapidly screen out the images with the best quality by directly accessing the data set and using the cloud mask algorithm, thereby avoiding the inefficiency of local download, storage, and preprocessing. In this study, based on the Google Earth Engine platform, MOD09A1, MOD13A1, and MOD11A2 data were used to calculate the humidity, greenness, dryness and heat indices for each year. Principal component analysis was then conducted to construct the remote sensing ecological index. It should be noted that each index has different units and numerical ranges, so the four indices were normalized with the following formula (Chen et al., 2019; Zhang et al., 2021):

$$NI_i = \frac{I_i - I_{min}}{I_{max} - I_{min}}, \quad (7)$$

where  $NI_i$  is the index normalization result,  $I_i$  is the  $i$ -th pixel value,  $I_{min}$  is the minimum value, and  $I_{max}$  is the maximum value.

Principal component analysis was performed with ENVI software, where the four normalized index bands were combined into new images to obtain relevant statistical results. After positive and negative values for principal component 1 (PC1) were transferred and normalized, the remote sensing-based ecological index (RSEI) was obtained as:

$$RSEI_0 = 1 - PC1 \quad (8)$$

$$RSEI = \frac{RSEI_0 - RSEI_{0min}}{RSEI_{0max} - RSEI_{0min}}, \quad (9)$$

where RSEI is the remote sensing ecological index value and the ecological quality is better when the value is closer to 1, and  $RSEI_{0min}$  and  $RSEI_{0max}$  denote the minimum and maximum  $RSEI_0$  values, respectively. According to the ecological environment quality status in the study area and the ecological environment classification standard in “Technical Criterion for Ecosystem Status Evaluation” (HJ192-2015), the RSEI indices were classified into four habitat conditions as follows:  $RSEI \leq 0.2$  for poor,  $0.2 < RSEI \leq 0.4$  for moderate,  $0.4 < RSEI \leq 0.6$  for good, and  $RSEI > 0.6$  for excellent.

### 3.2.4 Mann-Kendall trend test

The Mann-Kendall statistical test obtained the trends of TVDI, FVC and RSEI and test the significance of the changes. The Mann-Kendall statistical test is a non-parametric test

method. The time series  $X_1, X_2, X_3 \dots X_n$  are successively compared, and the results are represented by  $sgn(\theta)$ :

$$\text{sgn}(\theta) = \begin{cases} 1, & \theta > 0 \\ 0, & \theta = 0 \\ -1, & \theta < 0 \end{cases} \quad (10)$$

The calculated result of the Mann-Kendall statistic is:

$$S = \sum_{i=1}^{n-1} \sum_{k=i+1}^n \text{sgn}(x_k - x_i) \quad (11)$$

where  $x_k$  and  $x_i$  are random variables and  $n$  is the length of the selected data series. The test statistic  $Z_c$  is:

$$Z_c = \begin{cases} \frac{s - 1}{\sqrt{\text{var}(s)}}, & s > 0 \\ 0, & s = 0 \\ \frac{s + 1}{\sqrt{\text{var}(s)}}, & s < 0 \end{cases} \quad (12)$$

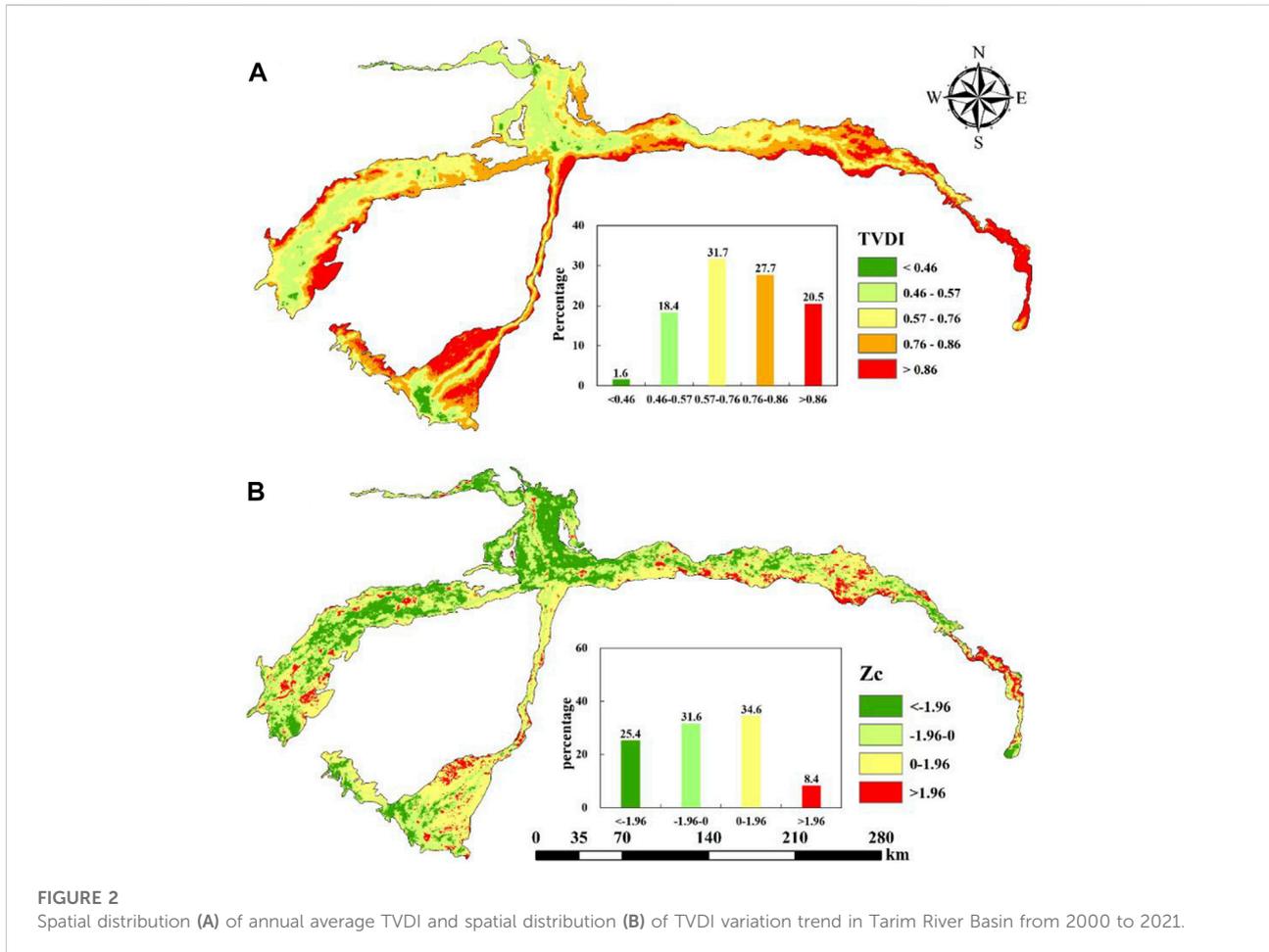
where  $|Z_c| \geq 1.96$  indicates that at the 0.05 significance level, the sample sequence has a significant trend. Positive  $Z_c$  indicates an upward trend, and negative  $Z_c$  indicates a downward trend.

## 4 Results

### 4.1 Temporal and spatial variation of hydrological factors

The annual average TVDI in the Tarim River Basin mostly ranges from 0.69 to 0.76, with obvious spatial differences (see Figure 2A). The areas with relatively high degree of soil drought were mainly distributed in the lower reaches of the main stream of Tarim River, and in Moyu County and Luopu County in the Hotan River Basin. The areas with relatively low soil aridity are mainly distributed near Alar in the Aksu River Basin, the Hotan River, and the upper reaches of the Yarkand River. The proportion of moderate drought in the whole basin is as high as 31.7%, followed by severe drought, reaching 27.7%. The proportion of light drought and extreme drought is equivalent, which are 18.4% and 20.5% respectively, and the proportion of drought free is the lowest, only 1.6%. From the results, the Tarim River Basin was still moderate drought.

From 2000 to 2021, the area of pixels with a downward trend in TVDI in the Tarim River Basin ( $Z_c < 0$ ) accounted for 57.0%, which was higher than that of TVDI with increasing trend ( $Z_c > 0$ ), indicating that the soil in the Tarim River Basin has a stronger trend of humidification than that of aridity (see Figure 2B). Among them, the areas with a downward trend in TVDI ( $Z_c < 0$ ) are mainly located in most areas of the Aksu River Basin, the upper reaches of the main stream of Tarim River, and the middle and lower reaches of the Yarkand River Basin. The areas with an upward trend in TVDI ( $Z_c > 0$ ) are mainly located in Moyu



**FIGURE 2** Spatial distribution (A) of annual average TVDI and spatial distribution (B) of TVDI variation trend in Tarim River Basin from 2000 to 2021.

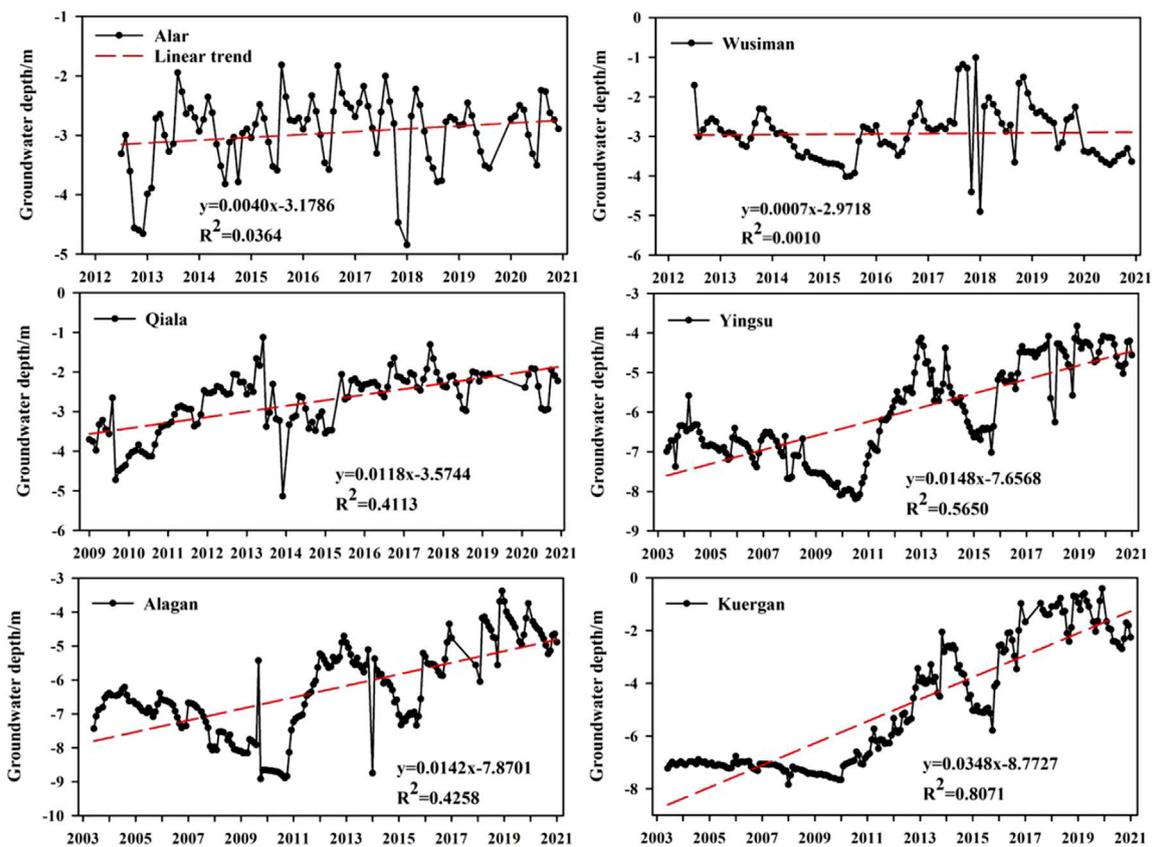
County and Luopu County in the Hotan River Basin. In the whole basin, TVDI showed a significant decreasing trend ( $Z_c < -1.96$ ), and the pixel area accounted for 25.4%, mainly distributed in most areas of Aksu River Basin and the middle reaches of Yarkand River Basin. The proportion of pixel area of TVDI with non-significant increasing trend ( $0 < Z_c < 1.96$ ) and non-significant decreasing trend ( $-1.96 < Z_c < 0$ ) is equivalent, which is 34.6% and 31.6%, respectively. The proportion of pixel area with significant increasing trend of TVDI ( $Z_c > 1.96$ ) is the lowest, which is only 8.4%. It shows that the soil in Tarim River Basin has a tendency of wetting after ecological water conveyance.

According to Figure 3, From 2003 to 2020, the groundwater depth of the main stream of the Tarim River showed a trend of gradual uplift. Among the monitoring sections where the buried depth of groundwater is showed uplifted, the groundwater section in the lower reaches of the main stream of Tarim River has a significant rising trend, with the highest lifting rate of 0.0348 m/month in Kuergan, 0.0148 m/month in Yingsu and 0.0142 m/month in Alagan. In addition, the monthly average uplift values of groundwater depth in Alar

and Qiala are 0.0040 m/month, 0.0007 m/month, and 0.0118 m/month respectively. In recent 20 years, the lower reaches of the main stream of Tarim River as a key ecological water conveyance area, groundwater uplift significantly, indicating that ecological water conveyance along the Tarim River has played a positive role in groundwater recharge and ecological restoration, and created good hydrological conditions for vegetation growth.

## 4.2 Temporal and spatial variation of fractional vegetation cover

It can be seen from Figure 4A that the annual average FVC in the Tarim River Basin is mostly between 20% and 30%, and the spatial differences are also obvious. The areas with higher fractional vegetation cover are mainly distributed in the upper and middle reaches of the Yarkand River Basin, the Aksu River Basin, the upper reaches of the Hotan River Basin and the upper reaches of the main stream of Tarim River, and the areas with lower fractional vegetation cover are mainly distributed in the



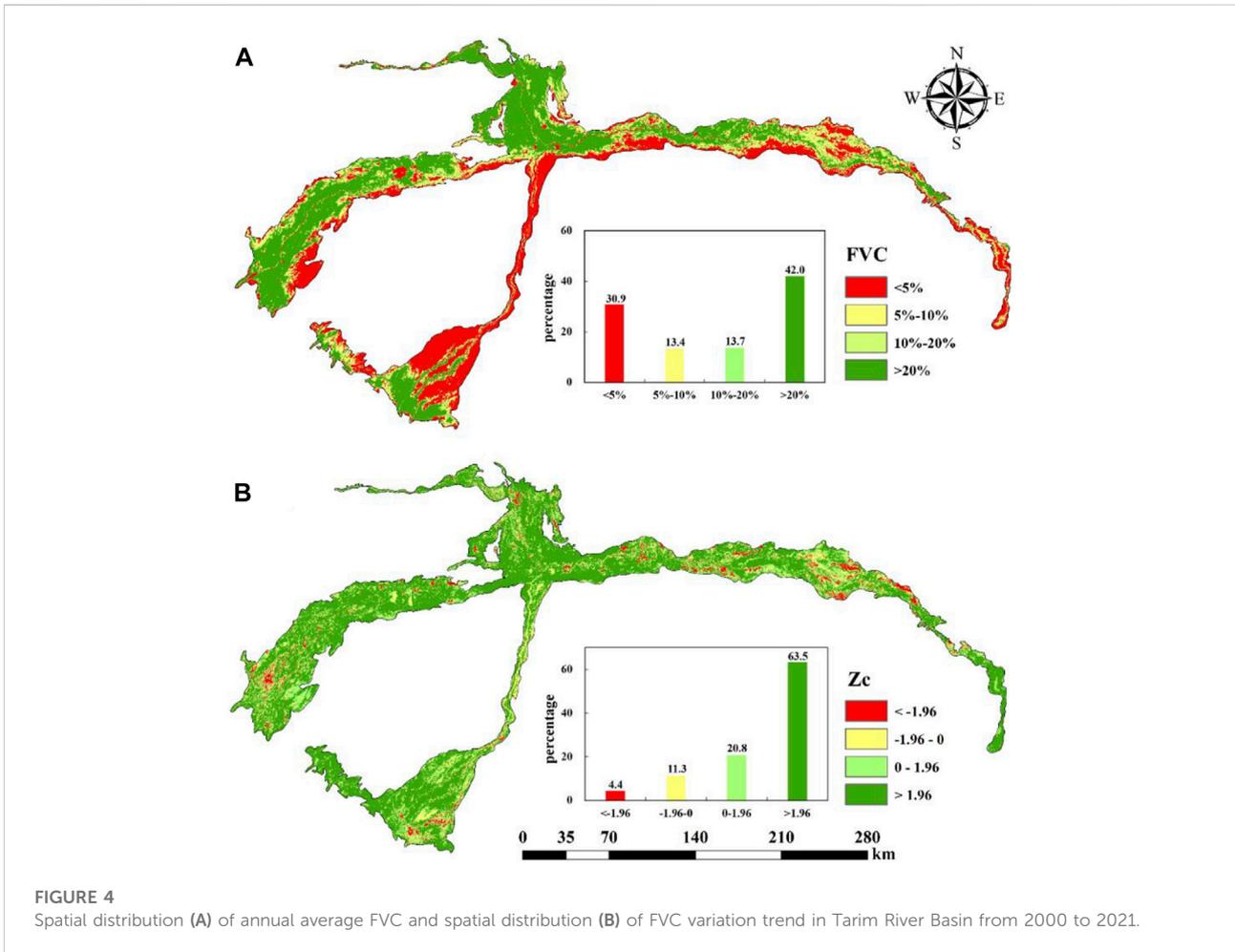
**FIGURE 3**  
The variation process of groundwater depth in each section of the main stream of Tarim River month by month.

middle and lower reaches of the Hotan River Basin and the lower reaches of the main stream of Tarim River. In the space, high fractional vegetation cover (FVC>20%) accounted for up to 42.0% in the whole basin, followed by extremely low fractional vegetation cover (FVC≤5%), reaching 30.9%. The proportions of low fractional vegetation cover (5% < FVC≤10%) and medium fractional vegetation cover (10% < FVC≤20%) were equivalent, which were 13.4% and 13.7%, respectively.

It can be seen from Figure 4B that the area of pixels with an upward trend in FVC ( $Z_c > 0$ ) in the Tarim River Basin from 2000 to 2021 accounted for 84.3%, which is much higher than the area of pixels with a downward trend in FVC ( $Z_c < 0$ ), indicating that after the ecological water conveyance, the fractional vegetation cover showed an obvious improvement trend. Among them, the area with an upward trend of FVC ( $Z_c > 0$ ) is widely distributed in the whole basin, and the area of pixels with a downward trend ( $Z_c < 0$ ) only accounts for 15.7%, which is scattered in the main stream of Tarim River and part of the Hotan River Basin. The pixel area with a significant upward trend in FVC ( $Z_c > 1.96$ ) in the whole basin accounted for 63.5%, and

the pixel area with a non-significant upward trend in FVC ( $0 < Z_c < 1.96$ ) accounted for 20.8%. The proportions of non-significant decreasing trend ( $-1.96 < Z_c < 0$ ) and significant decreasing trend ( $Z_c < -1.96$ ) were very small, accounting for 11.3% and 4.4% respectively.

According to the sample plot monitoring results, the average diversity index values in the vegetation survey plots were used to measure the species diversity. It can be seen from Figure 5, in the Shaya *Populus euphratica* Forest Park, the Simpson index and Shannon–Wiener index (Shannon index) values were significantly lower in 2015 than 2017, 2018, and 2021, and thus the riparian vegetation was gradually restored after ecological water conveyance. The Simpson index of Luntai *Populus Euphratica* Forest Park in the middle reaches of the Tarim River increased significantly and then decreased slightly. The Shannon–Wiener index of Luntai *Populus Euphratica* Forest Park in 2015 was significantly lower than that in 2017, 2018 and 2021, which indicated that the community species diversity level increased significantly after the water conveyance was more than 2 years, but with the increase of water conveyance frequency, the diversity level began to decline and then stabilized. On the whole,



**FIGURE 4** Spatial distribution (A) of annual average FVC and spatial distribution (B) of FVC variation trend in Tarim River Basin from 2000 to 2021.

under the current normalized water conveyance mode, the ecological environment of the study area has been improved, and the level of species diversity tends to be stable during changes.

### 4.3 Temporal and spatial variation of ecological quality

It can be seen from Figure 6A, the annual average RSEI in the Tarim River Basin is mostly between 0.33 and 0.39, with significant spatial differences. The areas with good ecological quality are mainly distributed in parts of the Aksu River Basin, the main stream of the Tarim River, the Hotan River Basin and the upper reaches of the Yarkand River Basin, while the areas with poor ecological quality are mainly distributed in the middle and lower reaches of the main stream of the Tarim River and the lower reaches of the Hotan River Basin. The pixel area with excellent ecological quality ( $RSEI > 0.6$ ) in the whole basin accounted for the lowest proportion, only 8.1%, and the pixel

area with good ecological quality ( $0.4 < RSEI \leq 0.6$ ) accounted for 31.2%. The pixel area with moderate ecological quality ( $0.2 < RSEI \leq 0.4$ ) accounted for the highest proportion, reaching 37.3%, and the pixel area with poor ecological quality ( $RSEI \leq 0.2$ ) accounted for 23.4%. From the results, the ecological environment quality of Tarim River Basin is moderate.

It can be seen from Figure 6B that the area of pixels with an upward trend in RSEI ( $Zc > 0$ ) in the Tarim River Basin from 2000 to 2021 accounted for 58.9%, which is higher than the area of pixels with a downward trend in RSEI ( $Zc < 0$ ). It indicated that the ecological quality of the Tarim River Basin showed a trend of improvement after ecological water conveyance. Among them, the areas with an upward trend in RSEI ( $Zc > 0$ ) are mainly distributed in the Aksu River Basin, the upper and lower reaches of the main stream of the Tarim River, the upper and middle reaches of the Yarkand River Basin and the upper reaches of the Hotan River Basin. The areas with decreasing trend ( $Zc < 0$ ) are mainly distributed in the middle and lower reaches of the Hotan River Basin. The pixel area of RSEI in the whole basin showed a significant upward trend ( $Zc > 1.96$ ), accounting for up to 34.0%.

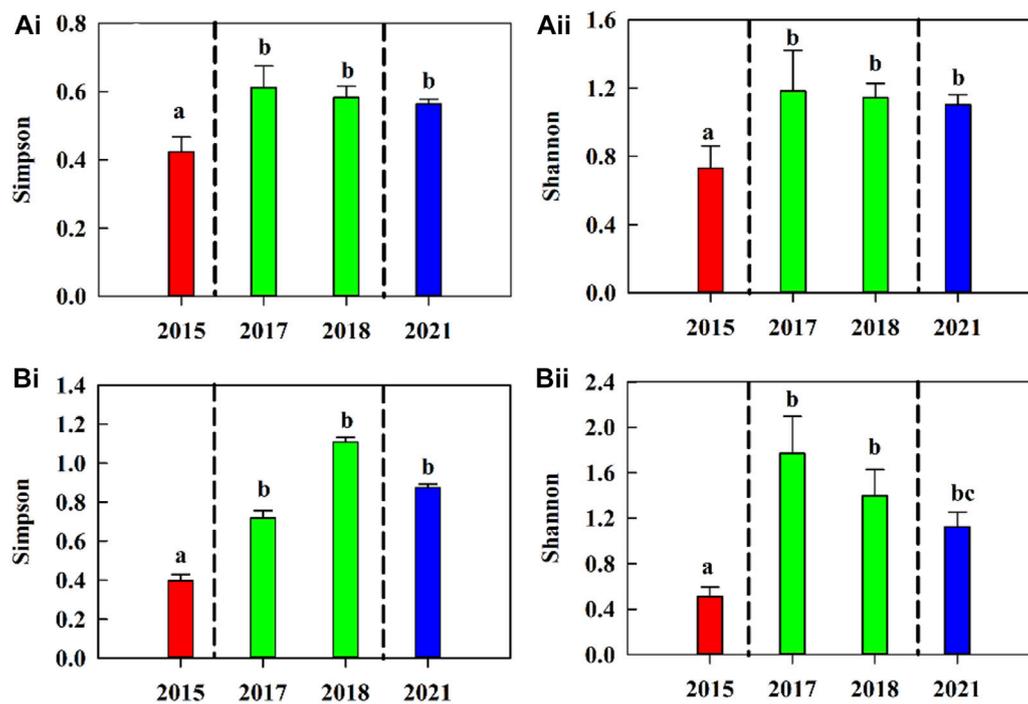


FIGURE 5

Temporal and spatial variation characteristics of species diversity in the upper and middle reaches of the main stream of the Tarim River. (Ai, Aii) is Shaya *Populus euphratica* Forest Park, (Bi, Bii) is Luntai *Populus euphratica* Forest Park.

The pixel area of RSEI showed a non-significant upward trend ( $0 < Z_c < 1.96$ ) and a non-significant downward trend ( $-1.96 < Z_c < 0$ ), which were 24.9 % and 31.4%, respectively. The pixel area of RSEI showed a significant downward trend ( $Z_c < -1.96$ ), which was the smallest, accounting for only 9.7%.

In order to further explore the changes in the ecological quality level of the Tarim River Basin over the past 22 years, the RSEI data of the Tarim River Basin in 2000 and 2021 were analyzed with the help of ArcGIS 10.7 spatial analysis function. It can be seen from Table 2 that the ecological environment quality level of the Tarim River Basin shows a trend of transition from low grade to high grade, and the area with excellent ecological quality has increased from 4,635.50 km<sup>2</sup> in 2000 to 12,335.00 km<sup>2</sup> in 2021, mainly concentrated in the upper reaches of the main stream of the Tarim River, the upper reaches of the Hotan River Basin, the Aksu River Basin and the Yarkand River Basin, the area with moderate ecological quality decreased from 41,307.00 km<sup>2</sup> in 2000 to 30,075.25 km<sup>2</sup> in 2021, while the area with poor and good ecological quality did not change much. In general, after more than 20 years of ecological water conveyance project implementation, the ecological condition of the study area has been improved, and the effect is relatively obvious.

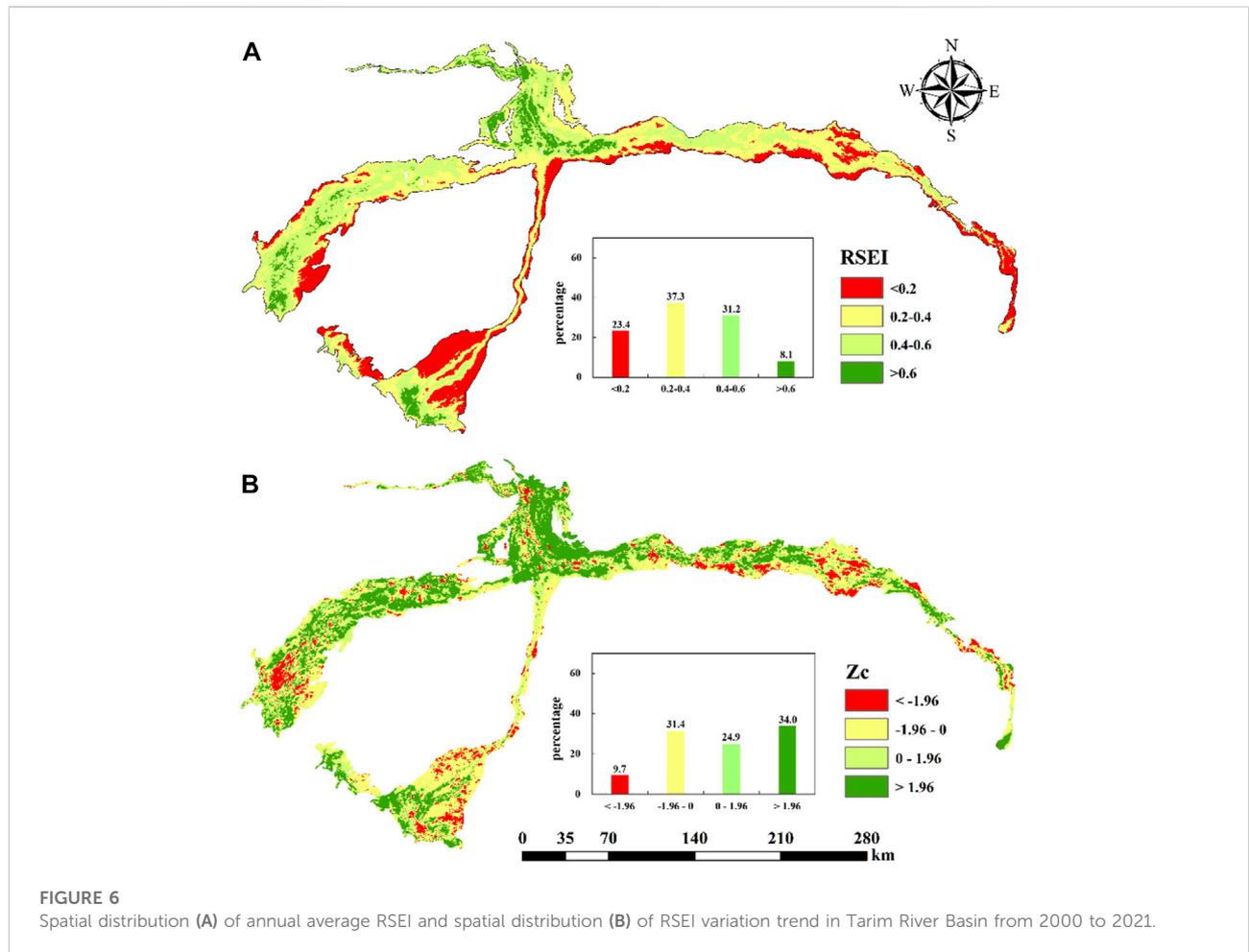
It can be seen from Figure 7, during the period of ecological water conveyance from 2000 to 2021, the

drought degree of the Tarim River basin gradually decreased, while the fractional vegetation cover increased year by year, and the ecological quality of the Tarim River basin gradually improved. The ecological water conveyance provided a positive role for the ecological restoration of the Tarim River Basin.

## 5 Discussion

### 5.1 Correlation of vegetation with hydrological factors and ecological environment quality

It can be seen from Figure 8 that the FVC and TVDI of the main stream of the Tarim River, Aksu River, Yarkang River and Hotan River were all significantly negatively correlated at the  $p = 0.01$  level. The correlation coefficients were  $-0.725$ ,  $-0.587$ ,  $-0.758$  and  $-0.689$ , respectively. In general, the higher the degree of soil drought, the more the vegetation growth would be inhibited, and the smaller the FVC would be. With the progress of ecological water conveyance, the soil drought of the main stream of Tarim River, Aksu River, Yarkand River and Hotan River had been alleviated, creating favorable conditions for the growth of natural vegetation.



**FIGURE 6** Spatial distribution (A) of annual average RSEI and spatial distribution (B) of RSEI variation trend in Tarim River Basin from 2000 to 2021.

**TABLE 2** Area transfer matrix of different ecological quality grades in Tarim River Basin from 2000 to 2021 Unit/km<sup>2</sup>.

Ecological quality level		2021				
		Poor	Middle	Good	Excellent	Total
2000	Poor	15,195.75	3,041.25	136.75		18,373.75
	Middle	6,527.25	25,687.00	7,935.75	1,157.00	41,307.00
	Good		1,342.75	18,572.75	7,700.50	27,616.00
	Excellent		4.25	1,153.75	3,477.50	4,635.50
	Total	21,723.00	30,075.25	27,799.00	12,335.00	91,932.25

It can be seen from Figure 9 that the annual average value of groundwater depth in the Qiala-Yingsu and Yingsu-Taitema Lake reaches from 2003 to 2020 was significantly positively correlated with FVC at the  $p = 0.01$  level. The correlation coefficients were 0.942 and 0.957, respectively. In arid and semi-arid regions, the groundwater level was a key limiting factor affecting vegetation growth in the Tarim River Basin

due to the hot and dry climate and scarce precipitation. Ecological water conveyance greatly raised the groundwater level and provided good hydrological conditions for vegetation growth. From the results, the shallower the groundwater depth, the greater the FVC value, the better the growth of vegetation.

It can be seen from Figure 10 that the FVC of the main stream of the Tarim River, the Aksu River, the Yarkang River

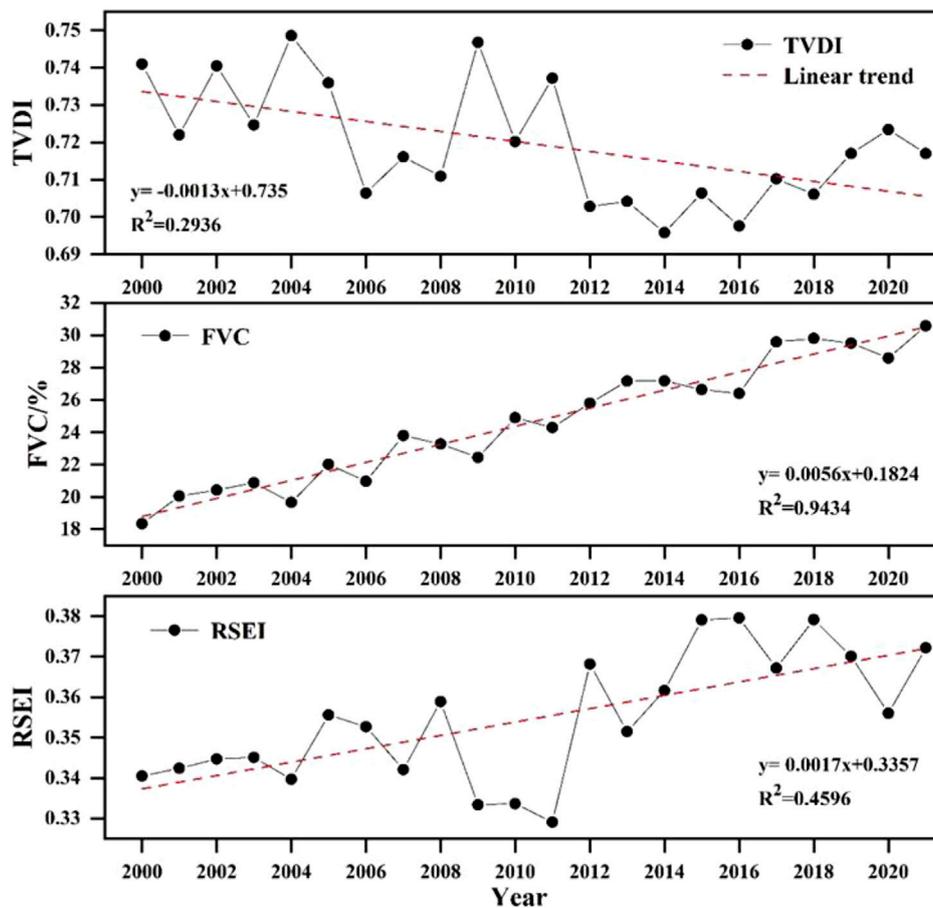


FIGURE 7  
Variation trends of annual average TVDI, annual average FVC, and annual average RSEI in the Tarim River Basin from 2000 to 2021.

and the Hotan River were significantly positively correlated with the RSEI at the  $p = 0.01$  level, and the correlation coefficients were 0.658, 0.877, 0.738 and 0.573, respectively. After ecological water conveyance, the groundwater level in the water conveyance area increased significantly, the soil drought was alleviated, the ecological quality of the whole basin was improved, and the limiting factors for vegetation growth were also weakened. Therefore, in general, the higher the ecological quality of the region, the better the vegetation growth, FVC would be greater.

This study discussed the correlation between vegetation factors and soil drought status, annual variation process of groundwater depth, and overall ecological quality status in the Tarim River Basin from 2000 to 2021. Compared with other studies, this study had a longer period, more indexes were selected, and was more representative and dynamic. However, there were still many shortcomings. For the change of vegetation factors after ecological water conveyance, the

influence of climate factors and human activities was not taken into account. For the change of soil drought, although the rainfall of Tarim River Basin was less than 50 mm, the effect of meteorological factors was small, but still could not be ignored (Deng et al., 2022a).

## 5.2 Suggestions on expanding the effect of ecological water conveyance

Ecological water conveyance is an important way to promote the restoration of degraded ecosystems in arid areas. From 1972 to 2000, the integrity of the surface hydrological process was lost due to the cutoff of the lower reaches of the Tarim River. During this period, the overall plant community showed extreme decline in growth and lack of species. After ecological water conveyance, this situation had changed significantly, and the flooding disturbance had a

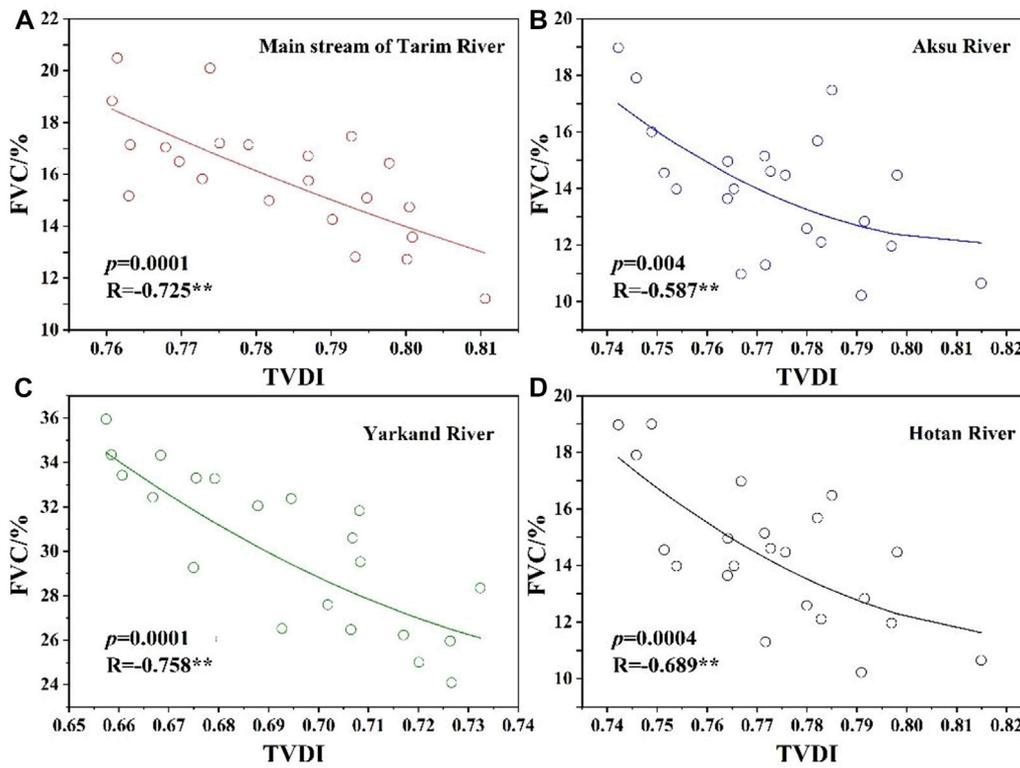


FIGURE 8 Correlation analysis of FVC and TVDI. (A) is main stream of Tarim River, (B) is Aksu River, (C) is Yarkand River, (D) is Hotan River.

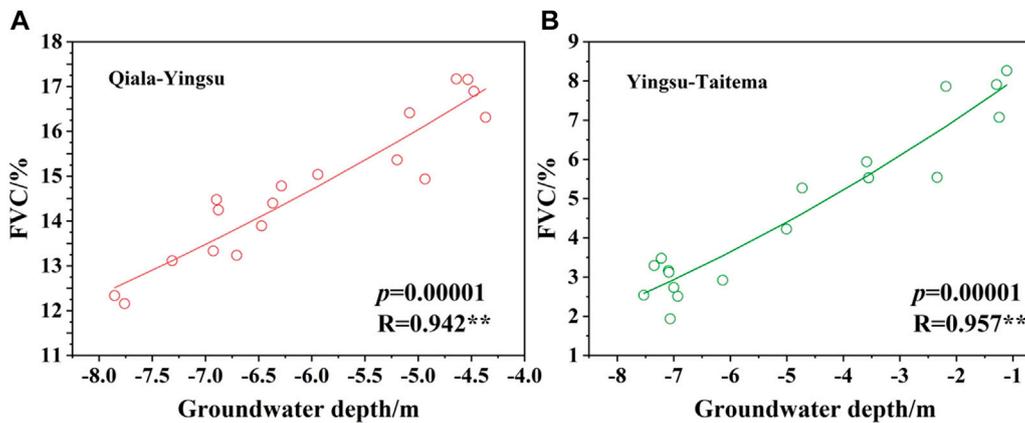


FIGURE 9 Correlation analysis of FVC and groundwater depth. (A) is Qiala-Yingsu, (B) is Yingsu-Taitema.

very significant effect on the increase of biodiversity in the water conveyance area (Keram et al., 2019; Ling et al., 2019; Bai et al., 2021; Deng et al., 2022b). In arid and semi-arid regions, some of the seeds produced by vegetation are characterized by dormancy and strong resistance to

drought and high temperatures, and thus they could survive for a long time. Ecological water conveyance can create conditions for germination and growth of plant seeds by changing the surface soil water content through the action of surface water (Casanova and Brock, 2000; Cavin et al.,

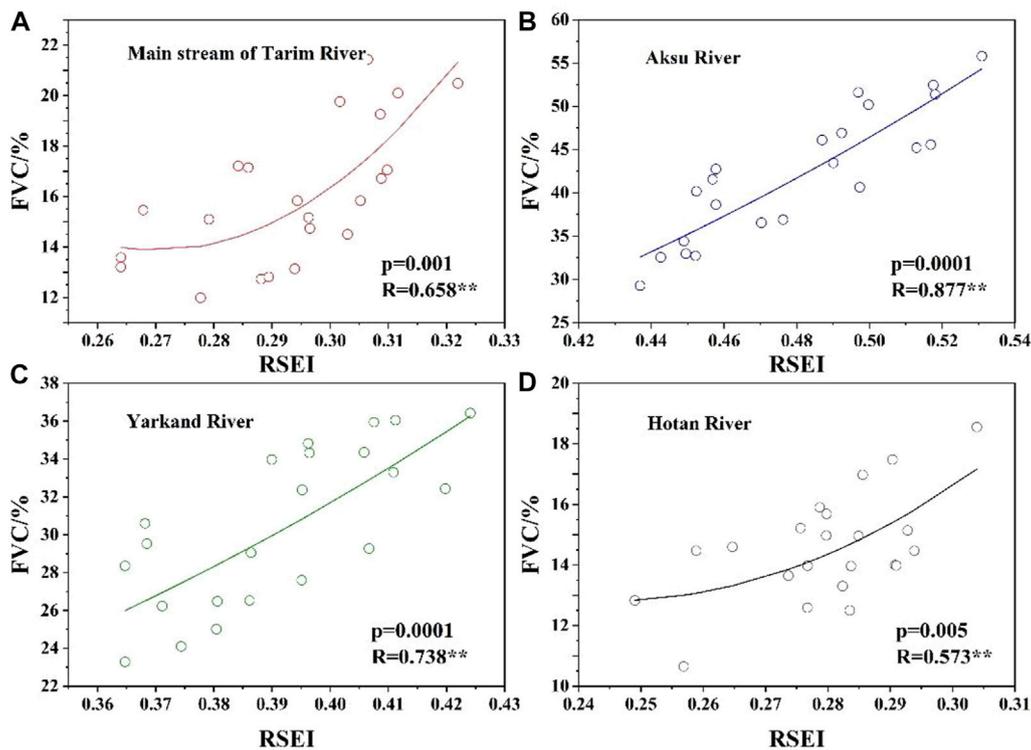


FIGURE 10

Correlation analysis of FVC and RSEI. (A) is main stream of Tarim River, (B) is Aksu River, (C) is Yarkand River, (D) is Hotan River.

2013). At the same time, some seeds were spread along the direction of water flow to other areas, where they could reproduce and grow quickly when they meet the suitable environmental conditions. The surface runoff formed by ecological water conveyance could effectively reduce the groundwater salinity in the water conveyance area. The groundwater salinity within 1 km along the two sides of the river decreases from about 4 to 11 g L<sup>-1</sup> before water conveyance to 1–5 g L<sup>-1</sup> on average, and the osmotic stress of vegetation was alleviated. The ecological water conveyance project had been carried out for 22 years, providing a suitable ecological water level for the natural vegetation. The desert riparian forest with *Populus euphratica*, *Tamarix ramosissima*, *Alhagi sparsifolia* and *Phragmites australis* as the main groups had been rejuvenated in the ecological water conveyance area (Deng et al., 2015; Garssen et al., 2015). The ecological environment of the whole study area began to improve, and the low and extremely low fractional vegetation cover in the study area gradually changed to medium and high fractional vegetation cover, indicating that the fractional vegetation cover showed an improvement trend after the ecological water conveyance.

In the past 20 years, the ecological water conveyance in the Tarim River Basin had been mainly through four ways:

River course water conveyance, Ecological sluice water conveyance, Natural overflow and Agricultural sluice diversion (Chen et al., 2008; Piao et al., 2011). At present, river course water conveyance is the main way, which can effectively raise the groundwater level on both sides of the river, and has a significant effect on the growth and rejuvenation of the existing natural vegetation near the river. However, at present, the water conveyance mode along the natural river only affects the natural vegetation near the river bank, and the restoration scope is very limited, making it difficult to restore the herbaceous plants on both sides of the river bank in a large area (Xu et al., 2008; Sims and Colloff, 2012). In terms of the groundwater uplift amplitude on both sides of the river, the groundwater uplift amplitude of the main stream of the Tarim River has slowed down in recent years. The groundwater level of the Qiala, Yingsu, Alagan and Kurgan sections gradually tended to balance. As for how to expand the effect of ecological restoration, according to the water requirements of desert riparian forest ecosystem, through the regulation of ecological water conservancy projects, we can build a planar water conveyance mode and expand the scope of the water receiving area of ecological water conveyance. We need to ensure that the groundwater level within 2 km away from the river is

maintained at a depth of 4–6 m, which can not only meet the water requirements for *Poplar* floating, germination and growth, but also prevent soil secondary salinization (Zalewski, 2002). Ecological water conveyance is mainly carried out from June to September when the water inflow of the Tarim River is relatively large. The use of planar water conveyance can greatly improve the vegetation habitat conditions and improve the stability and sustainability of the ecological protection and restoration system (Ling et al., 2015).

## 6 Conclusion

This study discussed the temporal and spatial changes of soil drought, the monthly change process of groundwater depth, the change of fractional vegetation cover and the change of overall ecological quality in the Tarim River Basin from 2000 to 2021, and comprehensively evaluated the restoration of damaged desert forest ecosystem and the effectiveness of ecological water conveyance. The following conclusions are obtained:

From 2000 to 2021, the pixel area with a downward trend of TVDI ( $Z_c < 0$ ) in the Tarim River Basin accounted for 57.0%, which was higher than the pixel area with an upward trend of TVDI ( $Z_c > 0$ ). The soil in the study area showed a wetting development trend. Among the monitoring sections with rising groundwater depth, the groundwater section in the lower reaches of the Tarim River has a significant lifting trend, and the Kurgan has the largest lifting rate of 0.0348 m/month. From 2000 to 2021, the pixel area of FVC in Tarim River Basin with an upward trend ( $Z_c > 0$ ) accounted for 84.3%, which was much higher than the pixel area of FVC with a downward trend ( $Z_c < 0$ ). Among them, FVC with a no significant downward trend ( $-1.96 < Z_c < 0$ ) and a significant downward trend ( $Z_c < -1.96$ ) accounted for a small proportion, which were 11.3% and 4.4% respectively. From 2000 to 2021, the pixel area with an upward trend ( $Z_c > 0$ ) of RSEI in the Tarim River Basin accounted for 58.9%, of which the pixel area with a significant upward trend ( $Z_c > 1.96$ ) accounted for as much as 34.0%, which was mainly distributed in the Aksu River Basin, the upper reaches of the mainstream of the Tarim River, the upper and middle reaches of the Yarkand River Basin and the upper reaches of the Hotan River Basin. In the past 20 years, the ecological quality of the Tarim River Basin has improved to a certain extent. From 2000 to 2021, the FVC of the main stream of the Tarim River, the Aksu River, the Yarkand River and the Hotan River had a significant correlation with TVDI and

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RSEI at the  $p = 0.01$  level. From 2003 to 2020, the FVC of the Qiala-Yingsu and Yingsu-Taitema Lake reaches was significantly positively correlated with groundwater depth at the  $p = 0.01$  level.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## Author contributions

The authors undertook different tasks for this article. AJ. Data processing and wrote the paper. AJ, WW and XD. Analyzed the data. HL, JY and FC provided direction for the research work. HL. Designed the research and revised the article. All authors have read and agreed to the published version of the manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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