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# Application of gene expression programing in predicting the concentration of $PM_{2.5}$ and $PM_{10}$ in Xi'an, China: a preliminary study

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**Introduction:** Traditional statistical methods cannot find quantitative relationship from environmental data.

**Methods:** We selected gene expression programming (GEP) to study the relationship between pollutant gas and  $PM_{2.5}$  ( $PM_{10}$ ). They were used to construct the relationship between pollutant gas and  $PM_{2.5}$  ( $PM_{10}$ ) with environmental monitoring data of Xi'an, China. GEP could construct a formula to express the relationship between pollutant gas and  $PM_{2.5}$  ( $PM_{10}$ ), which is more explainable. Back Propagation neural networks (BPNN) was used as the baseline method. Relevant data from January 1st 2021 to April 26th 2021 were used to train and validate the performance of the models from GEP and BPNN.

**Results:** After the models of GEP and BPNN constructed, coefficient of determination and RMSE (Root Mean Squared Error) are used to evaluate the fitting degree and measure the effect power of pollutant gas on  $PM_{2.5}$  ( $PM_{10}$ ). GEP achieved RMSE of [8.7365–14.6438] for  $PM_{2.5}$ ; RMSE of [13.2739–45.8769] for  $PM_{10}$ , and BP neural networks achieved average RMSE of [13.8741–34.7682] for  $PM_{2.5}$ ; RMSE of [29.7327–52.8653] for  $PM_{10}$ . Additionally, experimental results show that the influence power of pollutant gas on  $PM_{2.5}$  ( $PM_{10}$ ) situates between –0.0704 and 0.6359 (between –0.3231 and 0.2242), and the formulas are obtained with GEP so that further analysis become possible. Then linear regression was employed to study which pollutant gas is more relevant to  $PM_{2.5}$  ( $PM_{10}$ ), the result demonstrates CO (SO<sub>2</sub>, NO<sub>2</sub>) are more related to  $PM_{2.5}$  ( $PM_{10}$ ).

**Discussion:** The formulas produced by GEP can also provide a direct relationship between pollutant gas and  $PM_{2.5}$  ( $PM_{10}$ ). Besides, GEP could model the trend of  $PM_{2.5}$  and  $PM_{10}$  (increase and decrease). All results show that GEP can be applied smoothly in environmental modelling.

#### KEYWORDS

pollutant gas,  $\mathsf{PM}_{2.5},\ \mathsf{PM}_{10},$  gene expression programing, back propagation neural network, Xi'an

# Introduction

PM (particulate matter) has become a dangerous threat for the health of human beings (Nel, 2005; Sun et al., 2013; Apte et al., 2015; Bossmann et al., 2016). PM<sub>2.5</sub> or PM<sub>10</sub> are particles with a diameter less than 2.5 µm or 10 µm (Francesca Dominici et al., 2014; Pui et al., 2014) (Ostro et al., 2006; Ma et al., 2011), which have adverse health effects on respiratory health and cause more complications. The formation mechanism and process for PM2.5 or PM10 are pretty complex. Major sources of PM2.5 and PM10 include natural sources (plant division and spore, soil dust, sea salt, forest fire, volcano eruption and so on) and artificial sources (combustion of fuel, emission of industrial production process, and emission of transportation and so on); all these can be divided into disposable particles (particles that are emitted from the emission source directly) and secondary particles (particles that are released from the chemical reaction of emission and composition of the atmosphere). They mainly consist of water-soluble ions, particulate organic matter, and trace elements. Gautam et al. (2016) considers that NO<sub>2</sub>, SO<sub>2</sub>, CO, and O<sub>3</sub> are the main gaseous materials which can influence the concentrations of PM2.5 and PM10 under certain environmental conditions, so finding the association between pollutant gases and PM2.5 (PM10) is of importance.

Because of the adverse effects caused by PM2.5 and PM10 in many aspects, they are hot topics for research. Although many research studies have made plenty of achievements, the main research method is regression, time-series regression, or some existing mathematical models. In addition, the models adopted in some research studies can only produce qualitative results without direct interoperability (shown as a formula), whereas the current research adopts gene expression programing (GEP), which can effectively avoid the subjectivity of the empirical model and obtain quantitative results. We focused on modeling the relationship between PM2.5 (PM10) and pollutant gases. There are totally five types of pollutant gases, namely, SO<sub>2</sub>, NO<sub>2</sub>, CO, average concentration of ozone in 1 hour, and average concentration of ozone in 8 hours. We collected relevant data from Xi'an, Shaanxi province in China, which is seriously threatened by PM, and then applied GEP to complete this task. The back propagation neural network (BPNN) was used as the baseline method. Experimental results indicate that the average influence power of pollutant gases on PM<sub>2.5</sub> (PM<sub>10</sub>) ranges from -0.0704 to 0.6359 (from -0.3231 to 0.2242), and at the same time, PM2.5 is more seriously affected by pollutant gases than PM<sub>10</sub>. Furthermore, the formulas obtained by GEP can portray the relationship and evolution law between pollutant gases and PM2.5 (PM<sub>10</sub>), and these results can be applied to predict the concentrations of PM2.5 and PM10. Furthermore, these formulas can provide more conclusions about this problem with the assistance of mathematical analysis, such as the effect of weather or season on PM2.5  $(PM_{10})$ , and even the above methods can be applied in this field for other perspectives. Finally, linear regression was used to study which pollutant gas more seriously influences the concentrations of PM2.5 and PM<sub>10</sub>. Experimental results show that different pollutant gases affect PM2.5 and PM10 concentrations with varying degrees, especially CO and SO<sub>2</sub> contribute to PM<sub>2.5</sub> more and NO<sub>2</sub> is more relevant to PM<sub>10</sub> overall. More data, including more abundant information, need to be employed to build a more generalized model that can help researchers control air pollutants and study the change of PM<sub>2.5</sub> (PM<sub>10</sub>). Experimental results show that GEP can be used in environmental modeling to uncover essential laws hidden in environmental data.

# **Methods**

# GEP

Gene expression programing (GEP) was proposed by a Portuguese scholar, Candida, in 2001 on the basis of genetic algorithms (GA) and genetic programing (GP) (Ferreira, 2001a). GEP adopts a dual structure (genotype and phenotype), which retains the advantages of GA and GP, while at the same time avoiding their shortcomings. GEP has many advantages, such as a concise algorithm flow, as shown in Figure 1A, simple implementation, high precision, and exceptional performance in complex function finding problems with large amounts of data (Özcan, 2012; Mostafa and El-Masry, 2016). GEP uses computers to create a virtual creature population that consists of some chromosomes to simulate the genetic and evolution processes of creatures that can be carried out with a series of simulation genetic operations (e.g., cross-over, mutation, and selection as the fitness) during multi-generation iterations to guarantee that the virtual population evolves to the global optima. Cross-over, mutation, and selection simulate the reproduction, mutation, and natural selection processes. GEP has an ingenious individual encoding method, which is uncomplicated and makes subsequent genetic operations convenient to implement. It then applies the outstanding computing performance of computers to iteratively calculate and obtain an optimal function model. GEP has been fully applied in many fields, such as human body mechanics (Yang et al., 2016), water conservancy (Azamathulla, 2012) robotics (Wu et al., 2013), and agriculture (Yassin et al., 2016).

As the inheritance and expansion of GA and GP, GEP integrates the advantages of both and has a more powerful ability to solve problems. The GEP algorithm can be defined as a nine-meta group:  $GEP = \{C, E, P_0, M, \varphi, \Gamma, \Phi, \Pi, T\}$ , where C is the individual's coding means; E is the individual's fitness evaluating function;  $P_0$  is the initial population; M is the size of the population;  $\varphi$  is the selection operator;  $\Gamma$  is the crossover operator;  $\Phi$  is the point mutation operator;  $\Pi$  is the string mutation operator; and T is the termination condition. In GEP, each individual is also known as a chromosome, which is formed by genes that are linked together by the link operator. The gene is a linear symbol string which is composed of a head and a tail. The head involves the variables that come from the variable set (in this problem, the variable set represents the five types of pollutant gases) and the functions that come from the function set, which can be set ahead, but the tail only contains the variables that come from the variable set. The relationship between the length of the head (h) and the length of the tail (t) is expressed as  $t = h^*(n-1) + 1$ , where *n* is the maximum number of parameters of each function in the function set. Each individual (chromosome) in the population could be expressed as a formula (e.g., Figure 1B shows a formula  $\sqrt{(a-b)^*(c+d)}$ , and the population could evolve according to the fitness evaluation function via genetic operations ( $\Gamma$ ,  $\Phi$ ,  $\Pi$ ). The genetic operations include point mutation, string mutation, and recombination. The rules of point mutation are as follows (shown in Figure 1C): the first element in the head could only be mutated to be a function in the function set, the other elements



(C) The mutation operation: we show this operation in terms of a gene, and the green element is mutated to be the red ones. (D) String mutation: the green substring is mutated to be the red substring; here, we only show the insertion sequence transposition. (E) Recombination: the green substring and the red substring exchanged; here, we only show the single-point recombination.

in the head could be mutated to be a function in the function set or a variable in the variable set, and the elements in the tail could only be mutated to be a variable in the variable set. The rule of string mutation is as follows: a substring in a gene is replaced by a substring in another gene within a chromosome, including insertion sequence transposition, root transposition, and gene transposition (Figure 1D). The rule of recombination is as follows: the same positions of two chromosomes are exchanged, including single-point recombination, two-point recombination, and gene combination (Figure 1E). The selection operation is roulette wheel sampling, which means the chromosome showing better fitness could be selected with a higher probability and the chromosome showing worse fitness could be selected with a lower probability. This could improve the population diversity, which is good for evolutionary computation (Ferreira, 2001b). For the problem given in this study, the result from GEP is probably a function  $f(x_1, x_2, x_3, x_4, x_5) = \tan(x_1) + |x_2| + \log_2(x_3/x_5) + x_4/2$  which

can perfectly demonstrate the relationship between the concentration of  $PM_{2.5}$  ( $PM_{10}$ ) and the concentrations of five types of pollutant gases ( $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ , and  $x_5$ ) globally.

# **BP** neural network

The BP neural network (Liu et al., 2015), whose structure is shown in Figure 2, is a commonly used artificial network architecture. The BP neural network makes use of fully connected neurons to form a feedforward network and then adjusts the weights of each pair of connections and the biased value of each neuron with a gradient descent algorithm that is based on the chain law of derivatives.

Given the training dataset  $[x_1, d_1; ..., x_i, d_i; ...; x_n, d_n]$ , where  $x_i$  and  $d_i$  are the independent variable vector and dependent variable vector, respectively. There are two procedures in the training process of the BP neural network: first, the output of the



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neuron, and the activation function of the hidden layer, respectively; second, the weights are adjusted according to Eq. 3, which originates from the chain rule and error function *e*, which is shown in Eq. 2, where  $\delta_k = d_k - out put_k$ . These two procedures are repeated until the error function converges. In this research, the input and the output of the neural network which is applied to obtain an approximate numerical regression model depicting the association between five types of pollutant gases and PM<sub>2.5</sub> (PM<sub>10</sub>) are the concentration of PM<sub>2.5</sub> (PM<sub>10</sub>).

$$output_k = \sum_k w'_{jk} f\left(\sum_j w_{ij} \cdot x_i + b_j\right) + b'_k, \qquad (1)$$

$$e = \frac{1}{2} \sum_{i=1}^{n} (d_i - out put_i)^2, \qquad (2)$$

$$\Delta w_{jk}^{'} = -\eta \frac{\partial e}{\partial w_{jk}^{'}} = \eta \left( d_{k} - out put_{k} \right) f^{\prime} (net_{k})$$

$$\Delta w_{ij} = -\eta \frac{\partial e}{\partial w_{ij}} = \eta \left( \sum_{k=1}^{L} \delta_{k} w_{jk}^{'} \right) f^{\prime} (net_{j})$$
(3)

kth neuron in the output layer is shown in Eq. 1, where  $w_{ij}$ ,  $w'_{jk}$ ,  $b_j$ ,  $b'_k$ , and  $f(\cdot)$  are the weights between the *i*th input neuron and the *j*th hidden neuron, the weight between the *j*th hidden neuron and the *k*th output neuron, the biased value of the *j*th hidden neuron, the biased value of the *k*th output

where  $\eta$  is the learning rate which is set in advance. Because the neural network can fit any nonlinear function with enough neurons, it has been widely applied in many fields, such as energy (Yu and Xu, 2014), safety (Wang L. et al., 2015; Wang Y. et al., 2015), and material science (Zhou et al., 2015).



GEP						
Dataset	PM <sub>2.5</sub>		PM <sub>10</sub>			
	Maximum	Minimum	Mean	Maximum	Minimum	Mean
Monitoring site 1	0.8152	0.3391	0.6359	0.5071	-0.4984	0.2242
Monitoring site 2	0.6406	0.0571	0.4158	0.3453	-0.5467	0.1335
Monitoring site 3	0.5020	-0.2149	0.2091	0.2539	-0.8229	-0.0238
Monitoring site 4	0.6283	-0.1999	0.2711	0.6798	-1.2659	-0.3231
Monitoring site 5	0.6146	0.0902	0.3510	0.4878	-0.2479	0.1152
Average value of whole city	0.6918	-0.0196	0.4280	0.3769	-0.3912	0.1277
		BP neura	l network			
Dataset	PM <sub>2.5</sub>		PM <sub>10</sub>			
	Maximum	Minimum	Mean	Maximum	Minimum	Mean
Monitoring site 1	0.4072	-0.0139	0.1979	0.3919	-0.0730	0.1989
Monitoring site 2	0.3897	-0.6140	-0.0285	0.2923	-0.2153	0.0262
Monitoring site 3	0.2128	-0.2436	0.0462	0.3928	-0.9429	-0.0925
Monitoring site 4	0.3163	-0.0504	0.0825	0.2032	-0.7365	-0.1105
Monitoring site 5	0.2827	-0.6901	-0.0704	0.4892	-2.4323	-0.2090
Average value of whole city	0.5796	-0.5143	0.1075	0.2550	-0.2479	-0.0152

#### TABLE 1 Fitting degrees of GEP and BP neural network with testing data.

# Linear regression

Similar to the BP neural network, linear regression (Frank et al., 2015) is also employed to find a linear expression that portrays the relationship between independent variables and dependent variables and is expressed as Eq. 4. It adopts least squares as the objective function to minimize the error function, which is the same as the function in the BP neural network. The coefficients of each independent variable can reflect the relevance and the degree of correlation with dependent variables. As a basic data mining and intelligence information processing technique, many achievements have been made with it, such as energy (Kicsiny, 2014; Wang et al., 2016) and mechanism (Tosun et al., 2016).

$$F = a_0 + a_1^* x_1 + \dots + a_n^* x_n, \tag{4}$$

where  $x_1 - x_n$  stands for the independent variables (the concentrations of CO, SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub> within 1 hour, and O<sub>3</sub> within 8 hours); *F* refers to dependent variables (the concentrations of PM<sub>2.5</sub> or PM<sub>10</sub>). In the present study, *n* = 5 means five types of pollutant gases.

# Results

# Dataset

Xi'an, which is located in northwestern China, is badly affected by PM, and we chose the samples from Xi'an as the research material. Each sample consists of the concentrations of CO, SO<sub>2</sub>, NO<sub>2</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, and the average concentrations of O<sub>3</sub> (Jerrett et al., 2009) in 1 hour or 8 hours. The dataset is collected from the environmental website of Xi'an city1 (from 1 January 2021 to 26 April 2021), where the data are obtained from 13 monitoring sites. We selected six groups of datasets collected at five monitoring sites uniformly distributed in Xi'an and an overall average dataset of the whole city. The approximate locations of five monitoring sites are shown as Figure 3. Moreover, the incomplete samples with missing value(s) are deleted to facilitate study. For each site, threefourth entries were used to train and one-fourth entries were randomly used to validate the model. For sites 1, 2, 3, 4, 5, and the average value of the whole city, there are 96, 104, 76, 100, 88, and 116 entries, respectively. The inputs are five types of pollutant gases (CO, SO<sub>2</sub>, average concentration of O<sub>3</sub> in 8 hours, NO<sub>2</sub>, and average concentration of O3 in 1 hour), and the output is the concentration of PM<sub>2.5</sub> (PM<sub>10</sub>).

### Fitting degree evaluation function

In statistics, the coefficient of determination 1-SSE/SST (Kumar et al., 2020) is usually used to assess the relevance degree between two groups of data, where SSE and SST is shown as Equations 5, 6

<sup>1</sup> https://aqicn.org/city/china/xian/wentiju/cn/



FIGURE 4

Fitting curves of PM<sub>2.5</sub> (GEP). (Note: (A–F) stand for the fitting curves obtained with datasets collected at monitoring sites 1, 2, 3, 4, 5, and average value of whole city, respectively). Because the samples are randomly selected for training and validated for repeating ten times, we only show the results with the best coefficient of determination.

$$SSE = \sum_{j=1}^{m} (y_j - \hat{y}_j)^2, \qquad (5) \qquad SST = \sum_{j=1}^{m} (y_j - \bar{y})^2, \qquad (6)$$



where  $y_j$  is the observational value of PM<sub>2.5</sub> (PM<sub>10</sub>) and  $\hat{y}_j$  is the computational value, which is computed with formulas obtained with GEP (models obtained with the BP neural network) and observation values of five types of pollutant gases.  $\bar{y}$  is the

average value of *y*. SSE is the residual sum of squares; SST is the total sum of squares of deviations. Higher values indicate higher degrees of model fitting. On the other hand, it can be used to assess the influence power of pollutant gases on  $PM_{2.5}$  and  $PM_{10}$ .



# Experimental settings and experimental results

First of all, the GEP (Schmidt and Lipson, 2009) and BP neural network were used to model the influence of pollutant gases on

 $PM_{2.5}$  and  $PM_{10}$ . All the methods were implemented using MATLAB R2016a on a personal computer with an Intel 2.80 GHz i5 processor and 8G RAM. GEP's initial step and genetic operations were randomly implemented as probabilities, and the weights and biased values of BP neural network were also



FIGURE 7

Fitting curves of  $PM_{10}$  (BP neural network). [Note: (A–F) stand for the fitting curves obtained with datasets collected at monitoring sites 1, 2, 3, 4, 5, and average value of whole city, respectively]. Because the samples are randomly selected for training and validated for repeating ten times, we only show the results with the best coefficient of determination.

randomly initiated. In addition, the relationship between pollutant gases and  $PM_{2.5}$  ( $PM_{10}$ ) is not exact. Finally, other factors contributing to  $PM_{2.5}$  ( $PM_{10}$ ), such as water-soluble ions, are not considered. Therefore, these two methods were repeated 10 times, and each dataset was randomly divided into two parts (three-fourth samples and one-fourth samples) for training models and validating the fitting degree of models. Because the current study aims to study the relationship between pollutant gases and  $PM_{2.5}$  ( $PM_{10}$ ), which is not consistent with time-series prediction, we randomly divided the

datasets. Moreover, we hope to construct a more diverse dataset to observe whether GEP could model the trend of increase or decrease in  $PM_{2.5}$  and  $PM_{10}$  concentrations. The maximum, minimum, and mean values of fitting degrees are shown in Table 1 so that the rough effect power of pollutant gases can be explained clearly. Moreover, the results with the highest fitting result out of 10 repeated experiments are shown in Figures 4–7, where the computational values obtained with the trained model (the function model from GEP and the network model from the BP neural network) and the

Dataset	PM <sub>10</sub>		
	RMSE	RMSE	
Monitoring site 1	8.7365	13.2739	
Monitoring site 2	9.0657	14.7867	
Monitoring site 3	10.7637	45.8769	
Monitoring site 4	12.7653	19.7682	
Monitoring site 5	15.8766	23.7681	
Average value of the whole city	14.6438	25.6792	
Dataset	PM <sub>10</sub>		
	RMSE	RMSE	
Monitoring site 1			
Monitoring site 1 Monitoring site 2	RMSE	RMSE	
0	RMSE 13.8741	RMSE 29.7327	
Monitoring site 2	RMSE 13.8741 14.5346	RMSE 29.7327 52.8653	
Monitoring site 2 Monitoring site 3	RMSE 13.8741 14.5346 17.8643	RMSE 29.7327 52.8653 49.7824	

TABLE 2 RMS	E for GEP	and BP	neural	networks.
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observational values are displayed in Figures 4–7 so that the fitting degree can be illustrated directly with these figures. The difference between Figures 4, 5 is obvious, namely, the performance of GEP is better than that of the BP neural networks. Moreover, GEP and BP neural networks performed similarly for both  $PM_{10}$  and  $PM_{2.5}$ , indicating that pollutant gases did not contribute much more to  $PM_{10}$  than  $PM_{2.5}$  (Figure 4 *versus* Figures 5, 6 *versus* Figure 7). Similarly, BP neural networks performed no better than GEP for modeling the relationship between pollutant gases and  $PM_{10}$ . Although GEP cannot completely fit the concentration of  $PM_{2.5}$  ( $PM_{10}$ ) with pollutant gases, the trend of  $PM_{2.5}$  ( $PM_{10}$ ) can be constructed (i.e., increase or decrease). These formulas can be used to analyze the hidden laws about  $PM_{2.5}$  and  $PM_{10}$  with mathematical modeling and help predict concentrations of  $PM_{2.5}$  and  $PM_{10}$ .

# Discussion

Experimental results show that pollutant gases influence  $PM_{2.5}$  concentrations more seriously than  $PM_{10}$ . The results from GEP show that the average influence power of pollutant gases on  $PM_{2.5}$  ranges from 0.2711 to 0.6359 and the average influence power of pollutant gases on  $PM_{10}$  ranges from -0.3231 to 0.2242. The results returned by the BP neural network indicate that the average influence power of pollutant gases on  $PM_{2.5}$  ranges from -0.0704 to 0.1979 and the average influence power of pollutant gases on  $PM_{10}$  ranges from -0.2090 to 0.1989. There are a variety of mathematical operations and formulas, such as cosine and sine functions, that could facilitate the fitting between pollutant gases and  $PM_{2.5}$  ( $PM_{10}$ ). At the same time, BP neural networks are easily over-fitting, and their interpretability is not

better than that of GEP. The disadvantage of GEP is that it is computation-intensive; that is, the modeling process takes significantly longer than BP neural networks. The performance of GEP and BP neural networks was also evaluated by RMSE (Doreswamy et al., 2020), which is shown in Table 2. Concretely, after GEP and BPNN construct the relationship between pollutant gases and PM2.5 (PM10), the testing data could be fed into the model (GEP formula or BPNN model) to obtain the computational values of PM2.5 (PM10). Then, the computational values could be compared with observational values to figure out the coefficient of determination and RMSE. A smaller RMSE indicates better regression performance, and bigger coefficients of determination indicate better regression performance. The metrics in Table 2 also show that pollutant gases are more related with PM<sub>2.5</sub> than PM<sub>10</sub>, and the performance of GEP is better than that of BP neural networks. In addition, the formulas with highest fitting degrees obtained by GEP are shown in Table 3.

Then, linear regression was applied to study which pollutant gases play more important roles than others in affecting the concentration of  $PM_{2.5}$  ( $PM_{10}$ ). The influence power of each type of pollutant gas on  $PM_{2.5}$  ( $PM_{10}$ ) can be signified by the coefficients of each item (each pollutant gas), which are shown in Table 4 in descending order. Table 4 demonstrates that the pollutant gas which is more related to  $PM_{2.5}$  ( $PM_{10}$ ) is different for different monitoring sites. Compared with the average concentrations of  $O_3$  in 1 hour and 8 hours, CO and contribute to  $PM_{2.5}$  more, and  $NO_2$  and  $SO_2$  are more relevant to  $PM_{10}$  overall.

Compared with relevant research studies on the prediction of PM<sub>2.5</sub> (PM<sub>10</sub>) concentrations, we summarized the key information of different algorithms in Table 5. The current study did not adopt pre-processing procedures for raw data, algorithms, or evaluation metrics. The algorithms in the literature include time-series regression, which applied posttemporal PM<sub>2.5</sub> (PM<sub>10</sub>) data to predict current PM<sub>2.5</sub> (PM<sub>10</sub>), and regression, which applied the other factors that influence  $PM_{2.5}$  (PM<sub>10</sub>) to model the relationship between them. Artificial intelligence (AI) can be used to predict the concentration and the main cause of  $PM_{2.5}$  ( $PM_{10}$ ) to control the air quality index with the assistance of social monitoring data (vehicle, social events, meteorological factors, etc.). Moreover, the early warning for PM<sub>2.5</sub> (PM<sub>10</sub>) could be used to improve the healthcare conditions of people. Consistent with the literature (Wang P. et al., 2015; Song et al., 2015), CO and SO<sub>2</sub> are the pollutant gases that are related most with PM2.5 and PM10. The main sources of CO and SO<sub>2</sub> are automobile exhaust and fossil fuel combustion. Therefore, we should encourage clean energy for household and industrial use. Moreover, the dataset is from 1 January to 26 April, which has cold temperatures, so the main reason for pollution is heating in the winter (the heating season in Xi'an spans from 15 November to 15 March). Because we only include the pollutant gas as an independent variable in the model, no further conclusion comes into being.

# Hypothesis and limitations

The present research only considers the effect of pollutant gases on  $PM_{2.5}$  (PM<sub>10</sub>); there are many other factors such as

#### TABLE 3 Formulas with best fitting degrees using GEP.

PM <sub>2.5</sub>				
Dataset	Formula			
Monitoring site 1	$5^*x_3 + x_5/x_1 + 3^* \tan(\sinh(2^*x_2)) - x_4$			
Monitoring site 2	$3^{*}x_{1} - 3^{*}\ln(x_{3}) + \max(x_{2}, abs(-x_{4})) + \max(x_{2}, abs(x_{4})) - 9^{*}x_{4} + abs(-x_{4})$			
Monitoring site 3	$2^{*} \log_{2} (\sinh (x_{3})) - (x_{5} + abs(-(1/x_{4}^{2} + \max(sqrt(x_{1}), x_{2})))) + 3^{*}x_{2} - (x_{4} + abs(x_{4} + abs(-x_{2}))) - (x_{5} + sqrt(x_{5} + \log_{2}(x_{1})))$			
Monitoring site 4	$-3^{*} \log_{2} (\exp(\tan(-\log_{2} (x_{3})))) - \log_{2} (\exp(x_{5})) + \cosh(\log_{2} (x_{2})) + \cosh(3^{*} \log_{10} (x_{1}))$			
Monitoring site 5	$sqrt(x_1) + 3^*x_3 - 9^* \max(x_5, \max(x_4, x_5)) + 3^*x_1 + sqrt(x_2) + \max(3^*x_4, x_3)$			
Average value of the whole city	$2^{*}x_{2} - x_{5} - \max(x_{4}, x_{5}) - x_{3} + x_{3}^{*}\ln(x_{1})$			
PM <sub>2.5</sub>				
Dataset	Formula			
Monitoring site 1	$3^* \log_2(1/x_2) + 6^* x_3 + 1/x_2 + 18^* \log_2(1/x_3)$			
Monitoring site 2	$ (x_4/\log_{10}(x_4)^{2*}(abs(x_2))^2 + (2x_1/(-x_1))/2 + (abs(\log_{10}(x_2))/x4)^2\log_{10}(x_2))/x4)^2 + 3^*x_2 + \log 2(x_4)^{2*}(\log_2(x_2) - x_4) + 2^*x_1/(-x_2)/2 $			
Monitoring site 3	$ \begin{split} &\log_{10} \left( \log_{10} \left( x_3 \right)^* x_1 \right)^* x_5 + \max \left( x_5, \left( x_2^* \log_{10} \left( \log_{10} \left( x_3 \right)^* \min \left( x_1, x_3 \right) \right) \right) \right) \\ &+ x_2^* \log_{10} \left( \log_{10} \left( x_3 \right)^* \min \left( x_1, x_5 \right) \right) + 2^* \log_{10} \left( \max \left( 3^* \max \left( x_4, x_2 \right), x_5^2 \right) \right) \\ &* \left( x_5 + x_1 \right) + \log_{10} \left( \cosh \left( x_1 \right) \right)^* \left( - x_5 \right) \end{split} $			
Monitoring site 4	$ \begin{array}{l} (x_2 - (\log_2(\log_2(x_3)) + x_5)/2)^* \log_{10}(x_1) + \log_{10}((x_3 + x_2)/2^*x_1/x_2) \\ ^*(x_1 - x_2) + abs(\min(\tan((x_5 + x_2)/2), x_2))^* \log_2(x_3) \\ + abs(\min(x_1, x_1^2x_2))^* \log_2(x_2) - x_2 - x_5 \end{array} $			
Monitoring site 5	$\frac{1/(x_4/(x_5/abs(x_1))) + (x_3 - x_1) + 2^*(1/(x_4/(x_5/x_1)) + (x_3 - x_1))}{-4^*(x_2/x_1^*x_5) + x_4/(x_1 - 1)^*x_3}$			
Average value of the whole city	$-2^{*}x_{3} + 2^{*}\cosh\left(sqrt(2^{*}x_{1})) - x_{1} - 4^{*}x_{4} + \cosh\left(\log_{2}(x_{2})\right) + \cosh\left(sqrt\left((\ln(x_{3}) + \min(x_{1}, x_{4}))/2\right)\right)$			

(Note:  $x_1-x_5$  refer to SO<sub>2</sub>, NO<sub>2</sub>, CO, average concentration of O<sub>3</sub> in 1 hour, and average concentration of O<sub>3</sub> in 8 hours, respectively).

#### TABLE 4 Correlation degrees of five pollutant gases with $PM_{2.5}$ and $PM_{10}$ .

Dataset	PM <sub>2.5</sub>	PM <sub>10</sub>
Monitoring site 1	CO, SO <sub>2</sub> , average concentration of O <sub>3</sub> in 8 hours, NO <sub>2</sub> , and average concentration of O <sub>3</sub> in 1 hour	CO, average concentration of $\rm O_3$ in 1 hour, average concentration of $\rm O_3$ in 8 hours, SO_2, and NO_2
Monitoring site 2	Average concentration of $O_3$ in 8 hours, $SO_2,CO,NO_2,$ and average concentration of $O_3$ in 1 hour	$\rm SO_2, NO_2,$ average concentration of $\rm O_3$ in 8 hours, CO, and average concentration of $\rm O_3$ in 1 hour
Monitoring site 3	CO, NO <sub>2</sub> , average concentration of O <sub>3</sub> in 1 hour, SO <sub>2</sub> , and average concentration of O <sub>3</sub> in 8 hours	$\rm NO_2,$ CO, average concentration of $\rm O_3$ in 8 hour, $\rm SO_2,$ and average concentration of $\rm O_3$ in 1 hour
Monitoring site 4	$\rm SO_2,$ average concentration of $\rm O_3$ in 1 hour, $\rm NO_2,$ CO, and average concentration of O_3 in 8 hours	$\rm NO_2,$ average concentration of $\rm O_3$ in 1 hour, $\rm SO_2,$ CO, and average concentration of $\rm O_3$ in 8 hour
Monitoring site 5	$\rm SO_2,$ CO, NO_2, average concentration of O_3 in 8 hours, and average concentration of O_3 in 1 hour	$\rm NO_2,SO_2,$ average concentration of $\rm O_3$ in 8 hours, CO, and average concentration of $\rm O_3$ in 1 hour
Average value of whole city	CO, NO <sub>2</sub> , SO <sub>2</sub> , average concentration of O <sub>3</sub> in 1 hour, and average concentration of O <sub>3</sub> in 8 hours	$\rm NO_2, SO_2, CO,$ average concentration of $\rm O_3$ in 8 hour, and average concentration of $\rm O_3$ in 1 hours

meteorological factors, human behavior, and chemical reactions that could be considered together. In addition, GEP is computationintensive, requiring a significant amount of time. Moreover, the modeling methods should be improved, such as deep learning (Li et al., 2023), and applied to the relevant topics. Although the interpretability is improved by GEP, the formulas are not consistent with human thoughts; some novel methods could also be applied to show a more direct relationship, such as

#### TABLE 5 Key points in relevant research studies.

Reference	Pre-processing	Data (place)	Algorithm	Evaluation metrics	Result
Prediction of daily mean and 1-h maximum PM2.5 concentrations and applications in Central Mexico using satellite-based machine-learning models (Gutiérrez-Avila et al., 2022)	N.A.	Geographical location, date, meteorological factors, and satellite data, The height of the planetary boundary layer (Mexico)	Extreme gradient boosting (XGBoost)	Mean absolute errors (MAE)	3.68
PM2.5 analog forecast and Kalman filter post-processing for the community Multiscale Air Quality (CMAQ) model (Djalalova et al., 2015)	Outlier value is rejected, such as PM2.5 > 500 and the incorrect value	The observational PM2.5 dataset consists of 716 monitoring sites found in the AirNow data set. (United States of America)	Kalman filter post- processing	MAE and correlation coefficient	50%–75% and 40%–60%
Forecasting air pollution particulate matter (PM2.5) Using machine learning regression models (Doreswamy et al., 2020)	Fill missing data	Taiwan Air Quality Monitoring Network (TAQMN) dataset available for 76 stations in different locations: geographical data, chronological data. and meteorological data (Taiwan)	Linear regression and random forest Regressor, gradient boosting regressor, k-neighbors regressor, MLP regressor, and decision tree regressor (CART)	RMSE, MAE, mean square error (MSE), and coefficient of determination (R <sup>2</sup> )	0.8891, 0.0169 0.1302 0.0380 (best model)
Machine learning-based model to estimate PM2.5 concentration levels in Delhi's atmosphere (Kumar et al., 2020)	N.A.	Various atmospheric and surface factors such as wind speed, atmospheric temperature pressure, etc. (Indian)	Extra-trees regressor algorithm with AdaBoost	MAE, RMSE, and R <sup>2</sup>	14.79, 25.11, and 92.96%
An improved deep learning model for predicting daily PM2.5 concentration (Xiao et al., 2020)	Fill missing data and data normalization, date one-hot encoding	Satellite data and meteorological data (China)	Weighted long short-term memory Neural network extended model (WLSTME)	RMSE and MAE	40.67 and 26.10
A hybrid land use regression/ AERMOD model for predicting intra-urban variation in PM2.5 (Michanowicz et al., 2016)	AERMOD preprocessing	Meteorological data (United States of America)	Land use regression	RMSE	1.34 (summer) and 1.43 (winter)
Deep learning-based PM2.5 prediction considering the spatiotemporal correlations: A case study of Beijing, China (Pak et al., 2020)	Identifying the inherent interaction between the given variables with mutual information-based spatiotemporal correlation analysis	Air quality and meteorological data (China)	CNN-LSTM (convolutional neural networks-long short-term memory networks)	RMSE and MAE	5.357 and 4.971
Machine learning and deep learning modeling and simulation for predicting PM2.5 concentrations (Peng et al., 2022)	N.A.	Meteorological data (China)	Random forest and XGBoost	R <sup>2</sup>	0.761
Combining machine learning and numerical simulation for high-resolution PM2.5 concentration forecast (Bi et al., 2022)	N.A.	Meteorological data and land use data (China)	Random forest	RMSE, mean absolute percentage error (MAPE), and R <sup>2</sup>	16.7, 34.3, and 0.76

fuzzy cognitive maps (Zhang et al., 2019). Moreover, the computational resource and data resource requirement (large datasets covering more factors and more regions) impose significant burden for practice.

# Conclusion

GEP was employed to model the impact of pollutant gases on concentrations of  $PM_{2.5}$  ( $PM_{10}$ ); the influence power is measured with the coefficient of determination. BP neural networks were used

as the baseline method. Experimental results show that the influence power of pollutant gases on  $PM_{2.5}$  and  $PM_{10}$  is between -0.0704 and 0.6359 and between -0.3231 and 0.2242), respectively. The performance of the models is also compared with RMSE (root mean squared error) (Doreswamy et al., 2020). GEP achieved an RMSE of [8.7365–14.6438] for  $PM_{2.5}$  and the RMSE of [13.2739–45.8769] for  $PM_{10}$ , and BP neural networks achieved the average RMSE of [13.8741–34.7682] for  $PM_{2.5}$  and the RMSE of [29.7327–52.8653] for  $PM_{10}$ . For the coefficient of determination, GEP and BPNN achieved mean 0.2091–0.6539 and -0.0704–0.1979 ( $PM_{2.5}$ ) and mean -0.3231–0.2242 and -0.1105–0.1989 ( $PM_{10}$ ). GEP

achieved better RMSE and coefficient of determination metrics than the BPNN. The results from GEP are more explainable than those from the BPNN because the formula could directly reflect the correlation between independent variables (pollutant gas) and dependent variables (PM2.5/PM10). The formulas obtained with GEP can be applied to study carefully to draw more conclusions from every angle. The heterogeneous relationship modeled by GEP in different seasons or specific regions could be used to monitor the causality of PM<sub>2.5</sub> and PM<sub>10</sub> so that pollution could be restricted. Then, results show that PM2.5 is more correlated to CO, whereas PM10 is more correlated to NO2 and SO2, which is inferred using linear regression. Above methods and relevant conclusions can be beneficial in controlling and forecasting PM2.5 (PM10) concentrations. Although some conclusions came into being, there are still some problems to be solved in the future, such as some negative values that will certainly not exist, which can be tackled by correcting the unreasonable chromosomes in GEP, improving the mechanism of the neural network, adjusting proper algorithm parameters for different datasets, or adding more attributes that affect  $PM_{2.5}$  ( $PM_{10}$ ) to the dataset. All of the above results show that GEP can be applied in environmental modeling to get more quantitative and explainable conclusions.

# Data availability statement

Publicly available datasets were analyzed in this study. These data can be found here: The dataset is available: https://www.aqistudy.cn/historydata/.

# Author contributions

XW: investigation, methodology, software, supervision, validation, visualization, and writing-original draft. KZ: conceptualization, formal analysis, methodology, project administration, resources, and writing-original draft. PH: project

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administration, resources, visualization, and writing-review and editing. MW: formal analysis, funding acquisition, visualization, and writing-review and editing. XL: data curation, investigation, methodology, and writing-review and editing. YZ: project administration, supervision, validation, and writing-review and editing. QP: investigation, methodology, validation, visualization, and writing-review and editing.

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# Conflict of interest

Author KZ was employed by Chongqing Chang'an Industrial Co., Ltd. Author PH was employed by Shenzhen Metro Operation Group Co., Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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