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Regional divergent evolution of vegetation greenness and climatic drivers in the Sahel-Sudan-Guinea region: nonlinearity and explainable machine learning

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Introduction: The vegetation dynamics of the Sahel-Sudan-Guinea region in Africa, one of the largest transition zones between arid and humid zones, is of great significance for understanding regional ecosystem changes. However, a time-unvarying trend based on linear assumption challenges the overall understanding of vegetation greenness evolution and of tracking a complex ecosystem response to climate in the Sahel-Sudan-Guinea region.

Methods: This study first applied the ensemble empirical mode decomposition (EEMD) method to detect the time-varying trends in vegetation greenness based on normalized difference vegetation index (NDVI) data in the region during 2001–2020, and then identified the dominant climatic drivers of NDVI trends by employing explainable machine learning framework.

Results: The study revealed an overall vegetation greening but a significant nonlinear spatio-temporal evolution characteristic over the region. Trend reversals, i.e., browning-to-greening and greening-to-browning, were dominant in approximately 60% of the study area. The browning-to-greening reversal was primarily observed in the southern Sahel, Congo Basin north of the Equator, and East Africa, with a breakpoint around 2008, while the greeningto-browning reversal was mainly observed in West Africa, with a breakpoint around 2011. The sustained greening primarily took place in northern Sahel, Central African Republic and South Sudan; while sustained browning clustered in central West Africa and Uganda, mainly in agricultural lands. Furthermore, the combination of Random Forest (RF) algorithm and the SHapley Additive exPlanations (SHAP) method could robustly model and reveal the relationships between the observed trends in NDVI and in climatic variables, also detected by applying EEMD. The results suggested that air temperature and precipitation were the most important climatic drivers controlling the NDVI trends across the Sahel-Sudan-Guinea region. The NDVI trends were more likely to have negative correlations with solar radiation and vapor pressure deficit in arid areas, while they could have positive correlations in humid areas. The study also found that large-scale climate changes induced by sea surface temperature (SST) anomalies had strong relationships with trend reversals in vegetation greenness

at a sub-continental scale. These findings advanced the understanding of the impacts of climatic drivers on vegetation greenness evolution in the Sahel-Sudan-Guinea region.

KEYWORDS

NDVI, Sahel-Sudan-Guinea region, trend reversal, SHAP, climate change, driver analysis

1 Introduction

The vegetation dynamics of the Sahel-Sudan-Guinea region in Africa, one of the largest transition zones between arid and humid zones, is vulnerable to the interference of climatic anomalies and human activities (Milas, 1984; Leroux et al., 2017; Fu et al., 2021; Zhou et al., 2021a). Spreading desertification/land degradation has risen to the top of ecological concerns since the Sahel belt (annual precipitation ranging from 100 to 900 mm) experienced severe drought in the 1970s and 1980s (Wang'ati, 1996; Fensholt et al., 2017; Kusserow, 2017). However, increasing trends in the vegetation greenness and productivity of the region, a process known as greening, have been indicated by in-situ observations and satellite observations, e.g., Normalized Difference Vegetation Index (NDVI), along with increasing precipitation since the mid-1980s (Herrmann et al., 2005; Fensholt and Rasmussen, 2011; Dardel et al., 2014; Kaptué et al., 2015; Fensholt et al., 2017). In contrast to the findings on global greening (Zhu et al., 2016; Piao et al., 2020), studies have also documented a decrease in vegetation greenness, known as browning, in certain areas of the Sahel-Sudan-Guinea region, known as browning, such as the lateritic plateaus of southern Niger (Leroux et al., 2017). Although there have been numerous studies on vegetation greenness dynamics in the region using Earth Observation (EO) data in the first two decades of the 21st century (Leroux et al., 2017; D'Adamo et al., 2021; Ogutu et al., 2021; Jiang et al., 2022; Zeng et al., 2023), a comprehensive understanding of the process of vegetation greening or browning is still lacking.

The first consideration is the nonlinearity and evolution of vegetation greenness trend analysis. Most vegetation dynamic studies at regional and global scales express trend as a straight line, such as the ordinary least squares linear regression method and the Theil-Sen trend approach, which only estimate a constant greening or browning rate throughout the study period (Dardel et al., 2014; Leroux et al., 2017; Piao et al., 2020; Jiang et al., 2022). Such linear trend analyses are conducted under the assumption of stationarity, and potential long-period oscillations may be confounded with the vegetation trends (Yin et al., 2017). In fact, vegetation growth time series reflect nonlinear and non-stationary underlying processes due to their susceptibility to perturbations from factors such as climate anomalies and human activities, resulting in a combination of seasonal, gradual, and abrupt changes (Verbesselt et al., 2010; de Jong et al., 2012). Even annual statistics, such as annual means, extracted from vegetation index time series may contain periodic signals of several years to decades due to inter-annual and interdecadal periodic oscillations, such as El Niño and the Southern Oscillation (Hawinkel et al., 2015; Pan et al., 2018). These emphasize the importance of accounting for variability in trend. In addition, the limitation of trend analysis methods based on linear assumption may be one of the reasons for the discrepancy in vegetation greenness trends for a given region (de Jong and de Bruin, 2012; Rasmussen et al., 2016). In the context of increasing global environmental change, the linear trends fail to capture the hidden features of non-stationarity and to reveal the evolution of vegetation, e.g., trend reversals and acceleration or deceleration of the change rate.

Second, the climatic drivers of vegetation greenness trends in the Sahel-Sudan-Guinea region need to be carefully examined. Although a number of studies have evaluated the connection between climate and vegetation at various spatial and temporal scales in this region (Fensholt and Rasmussen, 2011; Hoscilo et al., 2015; Kaptué et al., 2015; Zhou et al., 2021a; Jiang et al., 2022), our understanding of the underlying mechanisms is incomplete. Precipitation is considered a key climatic factor affecting vegetation dynamics in this region, but recent studies have shown that its role is weakening (Leroux et al., 2017; Zeng et al., 2023). Even in water-limited drylands, precipitation only explains vegetation greenness trends in less than half of the region (Zeng et al., 2023). In addition to precipitation, other climatic factors, such as air temperature, solar radiation, and atmospheric VPD, which are related to plant biophysical processes, also play a significant role in influencing the spatial heterogeneity of vegetation dynamics (Piao et al., 2020; Tagesson et al., 2021). For example, several studies have clearly documented the negative effects of water stress caused by warming and increasing atmospheric vapor pressure deficit (VPD) on vegetation greenness and productivity (Epule et al., 2014; Abdi et al., 2017; Yuan et al., 2019; Zhang et al., 2022). Furthermore, the response of vegetation greenness to such multiple climatic factors may be nonlinear, which introduces complexities when explaining the relationship between observed vegetation dynamics and specific driving factors. However, most studies assume linearity when analyzing climate trends and attribution (Herrmann et al., 2005; Hoscilo et al., 2015; Zeng et al., 2023). This makes it difficult to accurately capture the relationship between trends in vegetation greenness and climate.

In summary, consideration of the time-varying trends and nonlinear relationship between vegetation greenness trends and multiple climatic factors is the key for clarifying a complex ecosystem response to climate in the Sahel-Sudan-Guinea region. The Ensemble Empirical Mode Decomposition (EEMD) method is an adaptive time series analysis approach that does not assume a predetermined functional form of the components and decomposes the time series into a finite set of oscillatory components with decreasing frequencies and a residual trend component (Huang et al., 1998; Wu et al., 2007; Wu and Huang, 2009; Ji et al., 2014). The EEMD method can powerfully reveal more biophysical information conveyed by

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nonlinear and non-stationary time series, especially the response of the vegetation evolution to climate change (Hawinkel et al., 2015; Pan et al., 2018; Feng et al., 2021; Yang et al., 2021). Additionally, machine learning algorithms are widely used for attribution analyses, because they can produce reliable prediction results and extract non-linear relationships between feature variables and target variable (Devine et al., 2017; Leroux et al., 2017; Berdugo et al., 2022). The black-box nature of machine learning, however, hinders the transparency and physical interpretability of the estimated relationships. To overcome this problem, an explainable machine learning framework has been proposed to understand the biophysical processes underlying the black-box relationships detected by machine learning (Lundberg and Lee, 2017), which has tremendous application prospects for understanding complex relationships between bio-geophysical observables (Li et al., 2022; Ham et al., 2023).

This study aims to better understand the spatial and temporal evolution of vegetation greenness using satellite time series in the Sahel-Sudan-Guinea region during 2001–2020. The specific objectives are: (1) to detect the spatio-temporal characteristics of trend reversals and evolution of vegetation greenness dynamics by using the EEMD method; (2) to assess the dominant climatic drivers of vegetation greenness trends by using the explainable machine learning framework.

2 Study area and data

2.1 Study area

The African Sahel-Sudan-Guinea region (0-25°N, 20°W-53°E) is known as a transition zone between arid and humid climates, located south of the Sahara Desert and north of the Equator, extending from the Atlantic coast in the west to the Red Sea and the Indian Ocean in the east (Figure 1A). This region exhibits a significant climate gradient from north to south, with average annual precipitation ranging from less than 100 mm in the northern areas to more than 1,100 mm in the southern areas. Climate conditions in the western region are primarily regulated by the West African Monsoon, which is characterized by a rainy season spanning from June to October (Nicholson, 2009; Nicholson, 2013). Conversely, the eastern part has a notable variation in the spatial distribution of precipitation, mainly due to the influence of sea surface temperature (SST) in the equatorial Pacific and Indian Ocean, as well as a complex topography (Nicholson, 2017; Endris et al., 2019), which distinguishes it from West Africa. As a result of these geographic variations and climatic gradients, a wide range of biomes occur in close succession along the spatial gradient. These biomes include grasslands, savannas, shrublands, dry forests, and humid forests (Figure 1B).

2.2 Data

The Moderate Resolution Imagery Spectroradiometer (MODIS) 8-day composite surface reflectance product (MOD09Q1, Collection 6.1) at 250-m spatial resolution¹ was used to derive the NDVI as a proxy for vegetation greenness for the period 2001–2020. An improved Harmonic ANalysis of Time Series (iHANTS) algorithm was used to remove the outliers and reconstruct the NDVI time series. The iHANTS method is an updated tool that improves the original HANTS (Menenti et al., 1993; Verhoef et al., 1996) for time series reconstruction, which applies the Fourier transform theory with several parameter settings, including inter-annual harmonic components, dynamic fitting error tolerance (FET) scheme, and dynamic update of weights to remove the outliers and fill the gaps in a time series. Global assessments (Zhou et al., 2016, 2021b) and a performance test in the Sahel-Sudan-Guinea region (Jiang et al., 2022) showed a good performance in the reconstruction of NDVI time series. In this study, we averaged the reconstructed 8-day NDVI timeseries data to a spatial resolution of 0.05° and calculated the annual mean NDVI for each pixel. To reduce the impacts of non-vegetated or sparse vegetation areas, the pixels with multiple-year mean NDVI <0.1, permanent bare lands and permanent water bodies were masked out (Pan et al., 2018; Feng et al., 2021).

In this study, we selected climatic factors related to water, heat, and energy to identify the dominant climatic drivers of vegetation growth, captured through greenness trends. These drivers include precipitation (Dardel et al., 2014; Zeng et al., 2023), atmospheric vapor pressure deficit (Yuan et al., 2019), air temperature and solar radiation (Piao et al., 2020; Yang et al., 2021). The total annual precipitation (Pre) data at a spatial resolution of 0.05° for the period 2001-2020 were obtained from the Climate Hazards Group InfraRed Precipitation with Station (CHIRPS, Version 2.0).² The monthly air temperature (Tem), dew point temperature (Td) and solar radiation (Rad) data with a spatial resolution of 0.1° were obtained from the European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis v5 Land (ERA5-Land).3 In this study, the air temperature (Tem) and dew point temperature (Td) were used to calculate vapor pressure deficit (VPD) following the previous study (Yuan et al., 2019). The monthly Tem, Rad and VPD data were resampled from the original spatial resolution to 0.05° using the cubic spline method (Keys, 1981) and then the annual averages (Tem and VPD) and annual sum (Rad) were calculated for the period 2001-2020.

The land use/land cover (LULC) data at 300-m spatial resolution for the period 1992–2020 were obtained from the European Space Agency (ESA) Climate Change Initiative (CCI) Land Cover annual maps.⁴ In this study, the 37 original LC classes were reclassified into 10 classes according to Supplementary Table S1. Then the major "no change" LULC types and paired LULC change types during 2001– 2020 were identified following previous studies (Song et al., 2018; Zeng et al., 2023) based on the total change of each LULC fraction at a spatial resolution of 0.05°. The total change estimated by the linear method was replaced by the accumulated variation using the EEMD method (see Supplementary A and Supplementary Figure S1). In addition, we used the global Human Modification (HM) dataset sourced from the Socioeconomic Data and Applications Center (SEDAC) of the National Aeronautics and Space Administration (NASA)⁵ with 1-km spatial resolution to represent human activity

¹ https://e4ftl01.cr.usgs.gov/MOLT/MOD09Q1.061/

² https://data.chc.ucsb.edu/products/CHIRPS-2.0/

³ https://doi.org/10.24381/cds.68d2bb30

⁴ http://maps.elie.ucl.ac.be/CCI/viewer/download.php

⁵ https://sedac.ciesin.columbia.edu/data/set/

lulc-human-modification-terrestrial-systems



intensity. This dataset provides information on five major anthropogenic pressure sources around the year 2016: human settlements, agriculture, transportation, mining and energy production, and electrical power infrastructure (Kennedy et al., 2019, 2020). In this study, we averaged the global Human Modification (HM) dataset to a spatial resolution of 0.05° to match other raster data.

We used the WorldClim historical precipitation dataset (version 2.1)⁶ to derive mean annual precipitation isohyets to delineate bioclimatic sub-region boundaries following previous studies (Weltzien et al., 2006; Herrmann et al., 2020). The following sub-regions were delineated: Saharan (Pre < 100 mm), Sahel (100 mm \leq Pre < 700 mm), Sudanian (700 mm \leq Pre < 1,100 mm), and Guinean (Pre \geq 1,100 mm). To distinguish the geographical sub-regions of East Africa, we also defined Wet Highlands, i.e.,

Pre ≥700 mm and elevation ≥1,000 m in the Ethiopian Highlands, and Dry Horn of Africa, i.e., Pre <700 mm in Horn of Africa (Figure 1) based on WorldClim mean annual precipitation and elevation obtained by the NASA Shuttle Radar Topographic Mission (SRTM, version 4).⁷ The aridity index (AI) data at 1-km spatial resolution provided by the Consultative Group for International Agriculture Research-Consortium for Spatial Information (CGIAR-CSI)⁸ and the annual percentage of tree cover at 250-m spatial resolution obtained from the MODIS product (MOD44B, Collection 6.1)⁹ were used for ancillary analysis.

⁷ https://srtm.csi.cgiar.org/

⁸ https://figshare.com/articles/dataset/Global_Aridity_Index_and_Potential_

Evapotranspiration_ET0_Climate_Database_v2/7504448/3

⁹ https://e4ftl01.cr.usgs.gov/MOLT/MOD44B.061/

⁶ https://www.worldclim.org/data/worldclim21.html

3 Methods

To investigate the evolution of NDVI, a proxy for vegetation greenness, in the Sahel-Sudan-Guinea region from 2001 to 2020, this study involved two main steps (Figure 2):

- NDVI trend analysis at regional scale and pixel-wise scale. We first used both Theil-Sen method and EEMD method to compare the overall trends in NDVI at the regional scale. Then, we analyzed the spatio-temporal pattern of evolution and trend reversals in NDVI by applying pixel-wise EEMD method.
- 2. Analysis of dominant climatic drivers of vegetation greenness trends. We first extracted EEMD trends in air temperature, precipitation, solar radiation and vapor pressure deficit for the same period. Then, we explored the dominant climatic drivers of the observed trends in NDVI by applying the explainable machine learning framework, i.e., Random Forest (RF) and SHapley Additive exPlanations (SHAP). To perform raster calculations at different spatial resolutions, all raster data were uniformly sampled to a spatial resolution of 0.05° and all the data processing and analysis were implemented in the R (version 4.3.1) software.

pre-whitening Mann–Kendall test (Yue et al., 2002) was used to assess the significance of NDVI trends, and the trend was considered significant if the confidence level was greater than 95% (p < 0.05).

3.2 EEMD method for extraction of time-varying trends

The EEMD is an adaptive time series analysis method, and decomposes nonlinear and non-stationary time series data into finite physically meaningful components with decreasing frequencies, called Intrinsic Mode Functions (IMF), and a secular trend (Huang et al., 1998; Wu and Huang, 2009). This method does not follow *a priori* shape, but runs through a 'sifting' process using only the information of local extrema, and has been widely applied to evaluate trends in climate and vegetation variables (Wu et al., 2007; Wu and Huang, 2009; Ji et al., 2014; Hawinkel et al., 2015; Pan et al., 2018). The main steps of EEMD method can be seen in Supplementary B. In this study, following Ji et al. (2014), the accumulated variation V(*t*) in a secular trend component (R_n) was defined as the accumulated variation in R_n from the starting time (i.e., 2001) to any specific time (Eq. 1):

$$\mathbf{V}(t) = R_n(t) - R_n(2001) \tag{1}$$

3.1 Theil-Sen trend approach

The Theil-Sen trend approach was used to estimate linear trends in this study, which is widely used as a robust linear trend estimator to detect long-term trends in the time series of ecological and climatic variables (Ahmedou et al., 2008; Chen et al., 2019). The Yue-Pilon The instantaneous rate at *t* of a secular trend was determined as the first temporal derivative of the V(t) or the first temporal derivative of the $R_n(t)$ (Eq. 2):

 $\operatorname{Rate}_{\operatorname{EEMD}}(t) = \operatorname{V}(t) - \operatorname{V}(t-1) \operatorname{OR} \operatorname{Rate}_{\operatorname{EEMD}}(t) = R_n(t) - R_n(t-1) \quad (2)$



Here, a positive instantaneous rate in NDVI secular trend indicates greening and a negative instantaneous rate indicates browning. To facilitate a comparison between a nonlinear trend with time-varying rates and a linear trend with a constant rate, the accumulated variation of the trend component over a specific time interval proves to be a useful measure. It enables us to quantify the cumulative change within a specific period of time (e.g., 2001-2020), providing a measure of the overall trend magnitude that can be compared to a linear trend. We can identify trend types and trend reversals based on the occurrence of extrema within a secular trend. For a secular trend with no extrema, the trend type is identified as monotonically increasing and monotonically decreasing (for NDVI monotonic greening and monotonic browning, respectively). A secular trend with one extrema is considered to have a trend reversal, and the type is identified as increasing-to-decreasing transition and decreasing-to-increasing transition (for NDVI greening-to-browning transition and browning-to-greening transition, respectively). Also, the location of the extrema is identified as the breakpoint (BP).

In this study, the EEMD method was applied by using the R package "Rlibeemd" (Luukko et al., 2016). We used the number of 100 copies with 0.2 standard deviations of the raw data to generate Gaussian white noises (Wu and Huang, 2009). To reduce over-sifting signals and ensure that the final results meet the requirements of the IMF, we adopted the S-number stopping criterion. This criterion stops the iteration when the number of zero crossings and extrema differ by at most one, and these numbers remain the same for S consecutive iterations (Huang et al., 1999). The S-number was set to 4 according to the optimal range of 3 to 8 (Huang et al., 2003; Luukko et al., 2016). In addition, the Monte Carlo significance test approach developed in previous studies (Ji et al., 2014; Pan et al., 2018) was implemented to test EEMD trend (see Supplementary B).

3.3 Explainable machine learning for climatic driver analysis

The RF algorithm is widely used to predict and classify all sorts of variables due to its robust accuracy based on the construction of multiple mutually independent basic learners through a bootstrap aggregation strategy (Breiman, 2001). Moreover, it allows for training a nonlinear relationship between the target variable and the predictors without any statistical hypothesis and can isolate the relative importance of each predictor on the target variable (Breiman, 2001; Leroux et al., 2017). The SHAP method has been proposed as a unified and powerful tool to explain a relationship constructed by machine learning (e.g., RF) by isolating the marginal contributions of each predictor on the target variable. It uses the concept of Shapley values, rooted in cooperative game theory (Shapley, 1953), to link optimal credit allocation with local explanations, providing a comprehensive framework for assessing the importance of features and understanding the contribution of individual features in the prediction process (Lundberg and Lee, 2017). This method directly measures the impact of individual features (e.g., Tem, Pre, Rad and VPD) on model loss (Lundberg and Lee, 2017; Wang et al., 2022), i.e., the differences between the predicted and expected values of the target variable (e.g., NDVI) (Eq. 3):

$$G(z') = \varphi_0 + \sum_{i=1}^{M} \varphi_i z'_i \left(z' \in \{0,1\}^M \right)$$
(3)

where *G* is the explanation model, φ_0 is the average of the prediction, z'_i is the coalition vector, M is the number of input features and φ_i is the feature attribution for feature *i*, i.e., SHAP value.

The SHAP value is a metric of the mean marginal contribution of a feature in all possible coalitions of feature inputs (Eq. 4):

$$\varphi_{i} = \sum_{S \subseteq F \setminus \{i\}} \frac{|S|! (|F| - |S| - 1)!}{|F|!} \Big[f \Big(S \cup \{i\} \Big) - f \Big(S \Big) \Big]$$
(4)

where *S* is a subset of the features used in an alliance *F*, $f(S \cup \{i\})$ is the prediction for that feature present and f(S) is the prediction for that feature withheld. The SHAP value for each individual prediction in a given sample is referred to as local interpretability. For a given case, a positive SHAP value indicates that a particular feature contributes towards increasing the final predicted target value, while a negative SHAP value indicates a contribution towards decreasing the prediction.

We conducted the climatic driver analysis at the pixel-wise scale using the RF algorithm and SHAP method. Before calculating the SHAP values, we tuned the hyper-parameter settings of the RF model according to the grid search method (Li et al., 2022) using the R package "ranger" (Wright and Ziegler, 2015), including mtry, splitrule and min.node.size, to obtain high accuracy predictions. The hyperparameter settings of RF model were tuned as follows:

- Randomly selected 1,000 vector points in the study area and extracted the secular trends in NDVI (target variable) and in climatic variables (predictor variables) during 2001–2020 at each point by EEMD method.
- 2. Implemented a RF model with 5-fold cross-validation and ntree=500 to determine the optimal hyper-parameters according to the grid search method and varied hyper-parameters (Table 1) for each point. The determination coefficient (R^2) and root mean squared error (RMSE) were calculated and the minimum RMSE criterion was used to determine the optimal hyper-parameters.
- 3. Excluded points with $R^2 < 0.9$ of RF modeling and selected the most frequent value of each parameter in the remaining points as the best parameter.

After obtaining the best hyper-parameter settings (mtry=4, splitrule='extratrees' and min.node.size=1), we implemented a pixelwise RF model with 5-fold cross-validation and SHAP method to get SHAP relative importance of the climatic variables. At the same time, the relative importance of the climatic variables in the RF model was estimated using the impurity method (Wright and Ziegler, 2015). For a Random Forest Regression, the impurity method measures the importance of a particular feature by evaluating the reduction in variance of the predicted target variable before and after introducing that feature at each node split. A large reduction in variance indicates a high level of feature importance.

We conducted further statistical analyses based on the SHAP results. The global SHAP feature importance was calculated as the

TABLE 1	Hyper	-parameter	settings	for gri	d search	in the	RF	models.
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Hyper- parameter	Meaning	Range	Interval	Final decision
mtry	The number of randomly selected features to consider for splitting at each node.	[1, 4]	1	4
splitrule	The criterion used for node splitting.	['variance', 'extratrees', 'maxstat']	_	'extratrees'
min.node.size	The minimum number of samples allowed in a node.	[1, 8]	1	1

mean absolute value of the SHAP values for each driving factor, and the direction of the global SHAP feature importance was defined as the sign of the correlation coefficient between the local SHAP values for the dependent variable (secular trend in NDVI) and each independent variable (secular trend in each climatic variable) (Hasan et al., 2021; Green et al., 2022). Furthermore, the driving factor with the largest global SHAP feature importance value was selected as the dominant driving factor. To test the climatic driver disturbance from human footprint, we performed the driver analysis both without and after removing the areas with high human activity intensity, represented by human modification degree data. Followed Kennedy et al. (2019), the high human activity intensity regions were defined as pixels where the human modification degree exceeded 0.4.

4 Results

4.1 Overall trends in NDVI at regional scale

The trends in annual mean NDVI for the period 2001-2020 for the entire Sahel-Sudan-Guinea region and bioclimatic sub-regions are shown in Figure 3. The NDVI in the entire Sahel-Sudan-Guinea region showed a significant linear increasing trend (rate of change 1.06×10^{-3} yr.⁻¹, p < 0.05) and a significant secular increasing trend by EEMD (mean rate of change 1.01×10^{-3} yr.⁻¹, p < 0.05) over 2001–2020 (Figure 3A). In terms of bioclimatic sub-regions, both Theil-Sen trends and EEMD trends in NDVI were all increasing, but the EEMD trends showed different aspects. The NDVI trends in the Saharan, Sahel, Sudanian and Guinean sub-regions were found to monotonically increase by EEMD (Figures 3B-D,F). In particular, the highest average growth rate was observed in the Sudanian sub-region, reaching 1.41×10^{-3} yr.⁻¹. In contrast, both the Wet Highlands and the Dry Horn of Africa sub-regions showed a significant browning-togreening transition (i.e., decrease to increase in NDVI) with the breakpoints around the years 2008 and 2006, respectively (Figures 3E,G). Their mean rates of decrease before the reversals were -1.83×10^{-3} yr.⁻¹ and -6.20×10^{-4} yr.⁻¹, respectively, while the mean rates of increase after the reversals were 2.98×10^{-3} yr.⁻¹ and 2.20×10^{-3} yr.⁻¹, respectively. In general, both linear trends and EEMD trends showed an overall greening over the Sahel-Sudan-Guinea region from 2001 to 2020, but the EEMD method puts more emphasis on the detailed evolutionary process, e.g., significant trend reversals (browning-to-greening) with time-varying rate of change were detected.

4.2 Spatio-temporal patterns of secular trends in NDVI

The spatio-temporal patterns of EEMD trends in NDVI in the Sahel-Sudan-Guinea region during 2001–2020 are shown in Figure 4. Since 2001, there has been an overall positive accumulated variation in NDVI, indicating a greener vegetation compared to the year 2001. Before 2005, the areas with positive accumulated variation in NDVI were little more than half of the total study area (Figure 4A). The positive accumulated variations were mainly distributed in West Africa, Central African Republic and South Sudan, while the negative accumulated variations were clustered in the central Sudanian, Wet Highlands and Horn of Africa regions. By 2010, the extent of the positive accumulated variation was increasing, with some areas that had a negative accumulated variation prior to 2005 shifting to a positive one. After 2015, the area with positive accumulated variation in NDVI increased and exceeded 70% of the study area. Compared to the previous decade, the area with positive accumulated variation increased in western Sahel, Central African Republic and South Sudan, while the area with negative accumulated variation decreased in the Wet Highlands and Dry Horn of Africa sub-regions. Notably, the spatial pattern of accumulated variation in NDVI from 2001 to 2020 estimated by EEMD was similar to that obtained by applying the Theil-Sen trend (Figure 4D; Supplementary Figure S2).

As for the instantaneous rates of the secular trend, they had similar spatio-temporal characteristics as the accumulated variation. However, the area of greening rates (i.e., positive instantaneous rates in NDVI) experienced a process from growth to decline, although greening rates dominated the entire period (Figures 4E-H,J). Specifically, the area of greening rates had a noticeable increase before 2012, reaching a maximum of 79% of the study area (8.54 million km²), but this percentage declined in the following 8 years (Figure 4J). Such a decline in the extent of greening rate caused a slowdown or stagnation of early greening in some places in West Africa, such as Mauritania, Senegal, Mali, Burkina Faso and Guinea. We checked the significance of the EEMD trends, and found that the phenomenon of slowing or stagnation of early greening was also evident (Supplementary Figure S3). In addition, Guinean and Sahel sub-regions dominated the greening across the region and across the temporal range, with or without significance tests.

4.3 Reversals in NDVI evolution

According to the EEMD method, the time-varying trends gave the spatial distribution of trend reversals in NDVI during 2001–2020, including trend types, timing of the reversal (i.e., the breakpoint), and mean instantaneous rates before and after the breakpoint (Figure 5).



A monotonic greening trend (i.e., a sustained increase) in NDVI was observed in about 34% of the Sahel-Sudan-Guinea region during the study period (Figure 5A), mainly in the southern Guinean, the Sahel belt and eastern Sudanian sub-regions. In contrast, the extent of monotonic browning (sustained decrease) was much smaller, covering 6% of the study area. They were clustered in central West Africa (Burkina Faso, Benin, Niger, Nigeria, and southern Chad), Uganda and Somalia. The trend reversals in NDVI, which varied from east to west, were the main feature of the Sahel-Sudan-Guinea region over the last 20 years. The browning-to-greening transition



values

occurred in 40% of the study area and was the most widespread of the four trend types. A noticeable browning-to-greening transition (70% of the total transition area) appeared before 2009 and was particularly clustered in the southern Sahel, East Africa and Congo Basin north of the Equator (Figure 5D). Although there was an overall greening trend in the Sahel-Sudan-Guinea region, the greening-to-browning transition occurred in almost 20% of the study area. A majority of greening-to-browning transition (66% of the total transition area) appeared after 2011, and were mainly distributed in West Africa (Figure 5D). Notably, the greening-to-browning transition occurred in some areas of Mauritania, Senegal, Mali, Guinea and Nigeria were in early 2007.

Before the breakpoints, widespread browning trends were detected by the EEMD throughout the Sahel-Sudan-Guinea region



(Figure 5E), accounting for 40.1% of the study area. In comparison, pre-breakpoint greening trends occurred over much smaller areas, covering only 19.8% of the study area and were generally weaker. However, vegetation greenness trends reversed after the breakpoints. Large-scale greening trends continued to expand and intensified form west to east, covering 40.5% of the study area, particularly in Ethiopia, Somalia and Uganda (Figure 5F). In addition, greening-to-browning transition covered 19.5% of the study area and occurred mainly in West Africa.

To better capture the main features of the reversals in NDVI evolution, we investigated the temporal variations in the mean instantaneous rates and fractional abundance of the browning rate of the NDVI trend in each longitude bin, aridity index gradient, precipitation gradient and LULC type (Figure 6). Indeed, the trends in NDVI of the Sahel-Sudan-Guinea region had a markedly divergent evolution from west to east (Figures 6A,E). A greening-to-browning transition was observed around 2011 west of 0° longitude in the study area. Narrow bands at 3-10°E and 13-20°E were dominated by sustained browning and sustained greening, respectively. A browningto-greening transition was observed around 2008 east of 20°E. With respect to the aridity gradient, a browning-to-greening transition occurred in arid, semi-arid and humid regions, while the frequency of browning increased in the sub-humid region (Figures 6B,F). Similarly, but more clearly related to the aridity gradient, areas with precipitation between 100 and 1,000 mm a⁻¹ and greater than 1,600 mm a⁻¹ experienced a browning-to-greening transition around 2008. Conversely, areas with precipitation between 1,000 and 1,300 mm a^{-1} tended to experience sustained browning (Figures 6C,G). In terms of LULC, both the major stable LULC types and the major LULC change types experienced a browning-to-greening transition, except for cropland gain with shrubland loss, which showed sustained browning (Figures 6D,H). In addition, cropland expansion and grassland loss at the edge of bare lands were more susceptible to browning risk in the early years of the study period.

4.4 Dominant climatic drivers of NDVI trends

In this study, the pixel-wide RF algorithm was used to model the relationships between the observed secular trends in NDVI and in climatic variables. The cross-validation of the RF relationships showed that the estimated secular trends in NDVI were in good agreement with the observed secular trends in NDVI (Figure 7). The area with a R² value greater than 0.95 and a low RMSE value (RMSE <0.005) covered more than 95% of the study area. This suggested that the relationships established using the RF model were reliable, and it was appropriate to use the SHAP method to interpret the RF models and identify the dominant climatic drivers. These considerations may not fully apply to areas characterized by intense human activity and substantial changes in LULC, as these regions showed relatively low R² values and high RMSE values (Supplementary Figure S1; Figure 7).

Overall, the results based on explainable machine learning indicated that temperature and precipitation were the most important climatic factors controlling the evolution of NDVI trends and varied across the Sahel-Sudan-Guinea region, accounting for 31.7 and 27.3%



FIGURE 6

Evolution of NDVI trends along different geographical gradients. The left column (A–D) shows temporal variations in the mean instantaneous rate of NDVI trend in each longitude bin, aridity index gradient, precipitation gradient and LULC type, respectively. The right column (E–H) shows temporal variations in the fractional abundance of browning rate in each longitude bin, aridity index gradient, precipitation gradient and LULC type, respectively. Aridity conditions can be identified according to Al values: (i) hyper-arid (Al < 0.03), (ii) arid ($0.03 \le Al < 0.2$), (iii) semi-arid ($0.2 \le Al < 0.5$), (iv) dry sub-humid ($0.5 \le Al < 0.65$), and (v) humid (Al ≥ 0.65). Cr, Fo, Gr, Sr. and We denote unchanged LULC types, i.e., Cropland, Forest, Grassland, Shrubland and Wetland, respectively. C1 to C10 denote changed LULC types, i.e., Cropland gain with Forest loss (C1), Cropland loss (C3), Forest gain with Cropland loss (C4), Forest gain with Grassland loss (C5), Forest gain with Shrubland loss (C6), Grassland gain with Bareland gain with Grassland loss (C8), Shrubland gain with Forest loss (C9), and Bareland gain with Grassland loss (C1).



of the study area, respectively (Figures 8A,B). Rising temperature had dominant contributions to the monotonic greening and to the browning-to-greening transition in the large Guinean and southeastern Sudanian sub-regions (Figures 8A,B; Supplementary Figure S4B). Increasing precipitation was the major climatic driver of monotonic greening and of the browning-togreening transition in the Saharan, Sahel belt, southeastern Sudanian and central Dry Horn of Africa sub-regions, while decreasing precipitation had dominant contributions to the greening-tobrowning transition in the western Sudanian sub-region (Figure 8A). Our results suggest that secular trends in solar radiation explained nearly 23% of the NDVI trends. Decreasing solar radiation primarily drove monotonic greening and browning-to-greening transition in the northern Sahel, eastern Dry Horn of Africa and central Wet Highlands, whereas the monotonic greening and the browning-togreening transition in some areas of the Congo basin were primarily driven by increasing solar radiation. Increasing VPD was a dominant driver of the greening-to-browning transition in some areas of West Africa, while the decreasing VPD was a dominant driver of the browning-to-greening transition in some areas of the Wet Highlands and Dry Horn of Africa sub-regions. It should be noted that the dominant climatic drivers identified the SHAP method without considering direction had a similar spatial pattern as those detected by the impurity relative importance method applied in combination with RF (Supplementary Figure S5). We also performed the driver analysis after removing the areas with high human activity intensity. The results showed that the area percentages of dominant climatic drivers for vegetation dynamics after removing the areas with high human activity intensity were very close to that of without removing

the areas with high human activity intensity (Figure 8; Supplementary Figure S6).

To demonstrate the relationship between the trend reversals in NDVI and climate on the continental side closer to the ocean, we investigated the evolution of climatic variables in each longitude bin. The results showed that the timing of trend reversals in precipitation and in VPD in the western region (west of 0° longitude) and eastern region (east of 35°E) were very close to those in NDVI (Figures 6A,B, 9). Furthermore, these regions experienced strong evolution correlations between precipitation, VPD and NDVI before and after the breakpoints. These remarkable spatial correlations of trends in climatic variables and in NDVI before and after the breakpoints suggest that the trend reversals in vegetation greenness at sub-continental scale are largely controlled by precipitation and VPD, which may be associated with large-scale ocean-induced anomalies. In summary, the evolution of climatic variables and pixel-scale explainable machine learning provide more direct insights into the underlying drivers of vegetation greenness evolution.

5 Discussion

5.1 Evolution of vegetation greenness trends

In this study, the ensemble empirical mode decomposition (EEMD) method was applied to capture the nonlinear trends in NDVI and reveal their evolution in the Sahel-Sudan-Guinea



region during 2001–2020. Although both Theil-Sen and the EEMD methods showed overall greening in the region, the EEMD is more suitable to characterize non-stationary time series with trend reversals by separating oscillatory components with decreasing frequencies and a residual trend component (Wu and Huang, 2009; Ji et al., 2014; Yang et al., 2021). Specifically, a considerable portion of the NDVI trends in West Africa were shown as greening by the Theil-Sen method, but were described as browning in the later years by the EEMD method (Supplementary Figure S2; Figure 4). This cannot be captured by linear trends, especially the decline in NDVI after 2015, because linear trends are only represented as the average state over the entire time series based on the stationarity assumption.

Our study also revealed a noticeable spatio-temporal evolution in NDVI trends, suggesting divergent trends in the western and eastern Sahel-Sudan-Guinea regions. The results showed that the main feature of vegetation greenness trends was trend reversals (i.e., greening-to-browning transition and browning-to-greening transition), accounting approximately 60% of the study area (Figures 5, 6). The greening-to-browning transition mainly occurred in West Africa, extending from arid areas with low precipitation to humid areas with high precipitation. Browning in these regions mostly occurred after 2011, which differs from the findings of other global or regional greening trend studies for the similar period but using linear trend methods (Chen et al., 2019; Piao et al., 2020; Jiang et al., 2022; Zeng et al., 2023). Despite an overall vegetation greening in the entire Sahel-Sudan-Guinea region during 2001-2020, e.g., an overall positive accumulated variation and positive instantaneous rate of NDVI during different periods (Figures 4A-H), the most noticeable greening trend was observed in southern Sahel and East Africa, and was characterized by a trend type of browning-to-greening reversal (Figure 5A). The timing of the browning-to-greening transition was mainly around 2008 in the southern Sahel and east of 20°E, covering arid, semiarid and humid regions. Both secular trends before 2008 using the EEMD and many previous studies using linear trends (Hoscilo et al., 2015; Piao et al., 2020; Jiang et al., 2022; Zeng et al., 2023) support the browning in some sub-regions of East Africa, but only a few studies focused on the browning-to-greening transition after 2008 in these regions (Barvels and Fensholt, 2021). These results clearly indicated that vegetation greenness in the Sahel-Sudan-Guinea region showed trend reversals during 2001-2020, confirming that vegetation dynamics was nonlinear. Our results support the fact that sustained greening is occurring in the northern Sahel belt, Central African Republic, and South Sudan, which is consistent with the trends evaluated in previous studies using different datasets and different time periods (Cho et al., 2015; Hoscilo et al., 2015; Leroux et al., 2017; Chen et al., 2019; Piao et al., 2020; Zeng et al., 2023). We also analyzed the dynamics of woody vegetation using tree cover data derived from the MODIS Vegetation Continuous Fields product, confirming the continued encroachment of woody vegetation into mesic savannas



Evolution of climatic variables along longitude gradients. The left column (A–D) shows temporal variations in the mean instantaneous rate of temperature (Tem), precipitation (Pre), solar radiation (Rad) and vapor pressure deficit (VPD) in each longitude bin, respectively. The right column (E–H) shows temporal variations in the fractional abundance of the decreasing rate of Tem, Pre, Rad and VPD in each longitude bin, respectively.

and drylands reported in previous studies (Devine et al., 2017; Venter et al., 2018). In contrast, sustained browning is clustered in central West Africa and Uganda, and generally overlaps with agricultural lands. It is likely associated with extensive agricultural activities and repeated fires (Leroux et al., 2017; Jiang et al., 2022; Zeng et al., 2023).

5.2 Climatic drivers of vegetation greenness trends

In this study, we applied explainable machine learning methods, i.e., RF and SHAP, to identify dominant climatic drivers of vegetation greenness trends. Generally, these methods provide valuable insights into the reasons and causality behind the evolution of vegetation greenness, which is highly heterogeneous across the Sahel-Sudan-Guinea region. Notably, previous studies on the analysis of climatic driver of vegetation greenness trends in this region have usually focused on a few sites or small areas (Dardel et al., 2014; Rishmawi and Prince, 2016; Tian et al., 2016; Leroux et al., 2017). As a result, the findings on the relationship between climatic variables and vegetation greenness were less general and representative. This limitation would be somewhat mitigated in our study, as a pixel-wise analysis was performed throughout the arid and humid areas of the region.

We found that temperature and precipitation were the most important climatic drivers among selected potential climatic factors controlling the NDVI evolution. Although the regional-scale interaction between changes in temperature and vegetation is less well understood for Africa, we observed that increasing temperature dominated the greening in the tropical humid areas of central Africa. The theory of optimal temperature for ecosystems would provide an explanation (Medlyn et al., 2002; Lloyd and Farquhar, 2008; Niu et al., 2012). The theory suggests that the photosynthetic capacity of vegetation increases with temperature up to an optimal temperature, but decreases sharply above this optimal temperature. A recent study shows that the average maximum temperature during the growing season in most land areas is still lower than the optimal temperature for vegetation productivity (Huang et al., 2019), indicating that temperature has not yet had a significant inhibitory effect on photosynthetic activity. In addition, higher temperature combined with elevated CO₂ concentration can improve the water use efficiency of ecosystems within a certain temperature threshold, thereby stimulating vegetation growth (Lu et al., 2016; Devine et al., 2017). Changes in precipitation have been proposed as the main driving climatic driver of greening in dryland ecosystems, especially in the Sahel, confirming that the water availability is a critical constraint on vegetation growth in arid regions (Fensholt and Rasmussen, 2011; Fensholt et al., 2017; Kusserow, 2017). In fact, the observed greening in the Sahel correlates with increased precipitation due to the northward shift of the West African Monsoon system, as reported by regional climate models and remote sensing precipitation data (Ramel et al., 2006; Cook and Vizy, 2019).

Our results also revealed that trends in vegetation greenness with different dry/wet conditions respond differently to solar radiation and vapor pressure deficit. Solar radiation and vapor pressure deficit are more likely to be negatively correlated with vegetation greenness trends in arid regions, while they may be positively correlated in humid regions. In arid regions, grasslands and low shrubs are the major components of vegetation and are highly sensitive to water availability. An increase in solar radiation or VPD often leads to an increase in evapotranspiration, which can exacerbate vegetation water stress and negatively affect vegetation growth (Cheng and Huang, 2016; Berg and Sheffield, 2018; Yuan et al., 2019; Yang et al., 2023). In addition, increased VPD is expected to limit vegetation photosynthesis at the leaf scale by decreasing stomatal conductance and increasing non-photochemical quenching (Flexas et al., 2002; Yuan et al., 2019; Fu et al., 2022). Understanding

these key mechanisms will greatly contribute to the protection of vulnerable areas with limited water supply under a changing climate. In contrast, humid areas dominated by woody vegetation have a more abundant water availability. The increased solar radiation and VPD could stimulate the enhancement of vegetation photosynthesis by increasing light energy supply (Mercado et al., 2009; Wild, 2009) and by accelerating the replacement of old leaves (Green et al., 2020), respectively, thereby promoting vegetation growth.

Essentially, trend reversals in vegetation greenness (i.e., greeningto-browning and browning-to-greening) occurred predominantly closer to the continental side adjacent to the ocean. The timing of these reversals increased with the distance from the ocean, particularly along the North Atlantic coast of West Africa (Figures 5A,C,D). At the same time, selected climatic variables, especially precipitation and VPD, exhibited distinct trend reversals in West Africa along the Atlantic and in East Africa along the Red Sea-Indian Ocean, showing strong correlations with vegetation greenness trends before and after the breakpoints (Figures 5, 6, 9). These results suggest that large-scale ocean-induced climate changes have triggered trend reversals in vegetation greenness at a sub-continental scale. Actually, precipitation variability in West Africa is regulated by competing mechanisms related to sea surface temperature (SST) forcing: equatorial Atlantic SST gradients and North Atlantic SST gradients (Nicholson, 2013; Rodríguez-Fonseca et al., 2015). Specifically, tropical warming leads to drought, while North Atlantic warming promotes an increase in precipitation. In comparison, the trend reversals in precipitation (decrease-to-increase) and VPD (increase-to-decrease) were likely the dominant drivers of this browning-to-greening reversal in the East Africa during 2001-2020 based on the results of explainable machine learning driver analysis (Figure 8A) and sub-continental evolution of climate factors (Figures 9B,D,F,H). This would be linked the Indian Ocean Dipole (IOD) and the Madden-Julian Oscillation (MJO), which are driven by changes in SST in the Indian Ocean alter regional atmospheric circulation and dry-wet transitions in East Africa (Zaitchik, 2017; Wainwright et al., 2021; Palmer et al., 2023). The IOD consists of differences in the SST anomaly between the western (50°E-70°E, 10°S-10°N) and the eastern (90°E-110°E, 10°S-0°) Indian Ocean, while the MJO involves the eastward propagation of enhanced regional convection across the tropics. The positive IOD (a SST anomaly difference of at least +0.4°C between the warmer west and the cooler east for at least 3 months) and stronger MJO are linked with a wetter climate, while the negative IOD (a sustained negative SST anomaly difference of at least 0.4°C) and weaker MJO are associated with dryer climate.

In summary, our results provide empirical evidence of the impact of climatic drivers on the evolution of vegetation greenness in the Sahel-Sudan-Guinea region. It can be inferred that the terrestrial ecosystems are experiencing a pronounced increase in sensitivity to climate change and are becoming more heterogeneous and more complex in space and time under global warming. In addition, the climatic drivers of ecosystems may be more uncertain due to land changes associated with direct human activities, including agricultural expansion and management, grazing, deforestation, and reforestation. The use of RF and the SHAP methods could capture the relationships between climatic variables and NDVI, but these relationships may not align with physical consistency, requiring cautious interpretation of their results. In the future, it is crucial to establish a feasible benchmark to evaluate the interpretability of explainable machine learning (Huang et al., 2023). Thus, regular monitoring and rigorous assessment related to many other potential human-environment drivers (Piao et al., 2020; Abel et al., 2021, 2023; Zeng et al., 2023) at fine spatial and temporal scales are still needed to tease out the intricate nuances and fully understand the complex dynamics involved.

6 Conclusion

In this study, we first applied the EEMD method to detect the evolution of vegetation greenness using EO time series in the Sahel-Sudan-Guinea region during 2001-2020, and then identified its dominant climatic drivers using an explainable machine learning framework. Our study revealed an overall vegetation greening but a significant nonlinear spatio-temporal evolution characteristic over the region. Trend reversals, i.e., browning-to-greening and greeningto-browning, were dominant in approximately 60% of the study area. The browning-to-greening reversal was primarily observed in the southern Sahel, Congo Basin north of the Equator, and East Africa, with a breakpoint around 2008, while the greening-to-browning reversal was mainly observed in West Africa, with a breakpoint around 2011. Sustained greening trends mainly occurred in northern Sahel, Central African Republic and South Sudan, while sustained browning trends clustered in central West Africa and Uganda, mainly in agricultural areas. We highlighted the performance of the EEMD method in detecting long-term vegetation dynamics, as it has the ability to adaptively capture the evolution of time-varying trends and potential nonlinear trend reversals, in contrast to linear trend methods. Furthermore, an explainable machine learning framework, i.e., the combination of RF and the SHAP method, could robustly model and reveal the relationships between the observed trends in NDVI and in climatic variables, thereby identifying the dominant climatic drivers of vegetation greenness dynamics. The results showed that air temperature and precipitation were the most important climatic drivers controlling the vegetation greenness trends across the Sahel-Sudan-Guinea region. Solar radiation and vapor pressure deficit were more likely to be negatively correlated with vegetation greenness trends in arid areas, while they could be positively correlated in humid regions. We also found that large-scale climate changes induced by sea surface temperature (SST) change had strong relationships with trend reversals in vegetation greenness at the sub-continental scale. These findings advanced our overall understanding of vegetation dynamics in response to climate change in the Sahel-Sudan-Guinea region.

Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary material.

Author contributions

YZ: Conceptualization, Data curation, Formal analysis, Methodology, Software, Validation, Visualization, Writing – original draft, Writing – review & editing. LJ: Conceptualization, Funding acquisition, Methodology, Project administration, Resources, Supervision, Validation, Visualization, Writing – review & editing. MM: Conceptualization, Funding acquisition, Methodology, Project administration, Resources, Supervision, Validation, Visualization, Writing – review & editing. MJ: Methodology, Validation, Visualization, Writing – review & editing. CZ: Methodology, Validation, Visualization, Writing – review & editing. AB: Investigation, Visualization, Writing – review & editing. YL: Investigation, Visualization, Writing – review & editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The handling editor NC declared a shared affiliation with the authors at the time of review.

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Supplementary material

The Supplementary material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2024.1416373/ full#supplementary-material

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