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# Novel pathogenic splicing mutation in *COL11A1* in a patient with Stickler syndrome verified by minigene splicing assay

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**Background:** Stickler syndrome (STL) is a group of related connective tissue disorders characterized by heterogeneous clinical presentations with varying degrees of orofacial, ocular, skeletal, and auditory abnormalities. However, this condition is difficult to diagnose on the basis of clinical features because of phenotypic variability. Thus, expanding the variant spectrum of this disease will aid in achieving a firm definitive diagnosis of STL.

**Methods:** Comprehensive examinations, including ophthalmology, otology, and orthopedic evaluations, were performed to identify the disease phenotype of the proband. Furthermore, whole-exome sequencing (WES) and Sanger sequencing were performed to identify the molecular basis of the disease. *In silico* analysis and a minigene splicing assay were conducted to verify the pathogenicity of the splice site variant. The clinical phenotypes of the reported STL patients were then reviewed.

**Results:** The proband presented mild symptoms with early-onset high myopia and mild scoliosis. A novel *de novo* splicing variant (NM\_080629.3: c.4069-1G>T), in the *COL11A1* gene was identified in the proband via WES and confirmed via Sanger sequencing. Minigene splicing assays verified that this variant resulted in abnormal splicing of the *COL11A1* transcripts because of the skipping of exon 54 and retention of 21 bp in intron 53. The literature review revealed that the most common phenotypes associated with STL type 2 include myopia and hearing impairment.

**Conclusion:** We identified a novel acceptor splice site variant causing aberrant splicing of *COL11A1*. Our findings expand the variant spectrum of this gene and provide a precise genetic diagnosis of STL that could be helpful in genetic counseling, reproductive prevention, and treatment of long-term complications of this disorder.

## KEYWORDS

*de novo* novel splicing variant, whole-exome sequencing, minigene splicing assay, *COL11A1* gene, Stickler syndrome

## 1 Introduction

Stickler syndrome (STL) was first reported in 1965 by Gunnar Stickler. It includes a group of related connective tissue disorders with heterogeneous clinical presentations with varying degrees of orofacial, ocular, skeletal, and auditory abnormalities. The incidence of STL among neonates is estimated to be approximately 1 in 7,500–9,000 neonates. There are two inheritance patterns of STL: autosomal dominant inheritance and recessive inheritance. STL caused by pathogenic variants in *COL2A1*, *COL11A1*, or *COL11A2* is inherited in an autosomal dominant manner (Rose et al., 2005; Snead et al., 2020). In contrast, STL caused by pathogenic variants in *COL9A1*, *COL9A2*, and *COL9A3* has been reported to be inherited in a recessive manner (Nixon et al., 2022; Van Camp et al., 2006). There are six types of STL according to the different pathogenic genes. Among these, three types that are inherited via an autosomal dominant pattern are more commonly observed. STL type 1 (OMIM#108300), which is caused by mutations in the *COL2A1* gene, accounts for approximately 80.0%–90.0% of all STL cases. STL type 2 (OMIM#604841) is caused by mutations in the *COL11A1* gene; it accounts for 10.0%–20.0% of all STL cases. The remaining *COL11A2*-related STL type 3 (OMIM#184840) is also referred to as otospondylomegaepiphyseal dysplasia (OSMED) (Booth et al., 2020; Soh et al., 2022). The recessive STL types are uncommon and include types 4, 5, and 6, which are caused by biallelic mutations in the *COL9A1*, *COL9A2*, and *COL9A3* genes, respectively (Nixon et al., 2022).

The *COL11A1* gene is mapped to human chromosome 1p21.1, spanning 232.05 kb of genomic DNA, and comprises 67 exons. The *COL11A1* gene encodes the  $\alpha 1$  chain of type XI collagen fibers. Type XI collagen is a minor fibrillar collagen expressed in the cartilage, vitreous humor, intervertebral discs, and inner ear. It is a heterotrimer composed of  $\alpha 1$ ,  $\alpha 2$ , and  $\alpha 3$  chains (Morris and Bächinger, 1987). Type XI collagen is usually co-expressed with type II collagen and regulates the fibril diameter of type II collagen (Blaschke et al., 2000; Richards and Snead, 2022).

STL is a multisystem disorder with significant genetic and phenotypic heterogeneity. Accordingly, this condition may be difficult to diagnose on the basis of clinical features. With the use of next-generation sequencing (NGS) in laboratories, the diagnosis can be confirmed by molecular genetic analysis. Herein, a novel splice site variant, *COL11A1*: NM\_080629.3: c.4069-1G>T, was identified in a 6-year-old girl through whole-exome sequencing (WES). Furthermore, we conducted minigene splicing assays to identify a previously unknown *COL11A1* splice variant in a Chinese family with STL.

## 2 Materials and methods

### 2.1 Ethical approval

This study was approved by the Ethical Review Board of the First People's Hospital of Yunnan Province. Informed consent was

obtained from all participants and the participants' legal guardians before the collection of clinical data and genomic samples (Approval No: KHLL2025-KY026).

### 2.2 Clinical assessment of the proband

The proband visited our outpatient clinic because of high myopia, and her parents were eager to prevent the recurrence of the same situation in the offspring. Information regarding the family history was obtained through interviews with the patient's parents. A detailed physical examination and developmental assessment were performed. The proband was subsequently tested via refractive error measurement, best-corrected visual acuity (BCVA), slit lamp examination, and fundus photography. Moreover, audiometry and spine X-ray examinations were performed.

### 2.3 WES and sanger sequencing

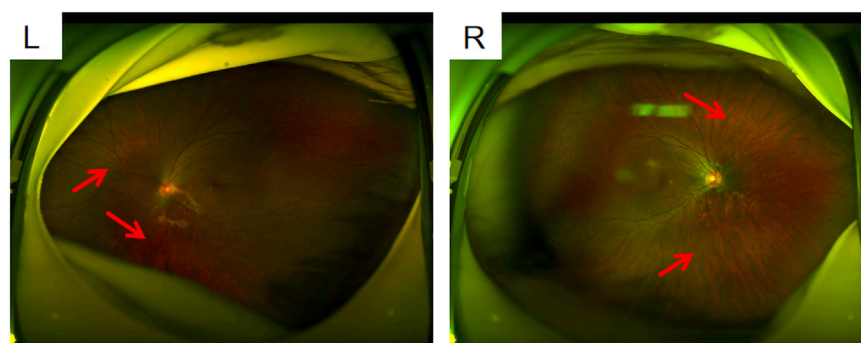
Genomic DNA was extracted from the peripheral venous blood of the patient and her parents. WES was performed as previously described (Mamanova et al., 2010). Firstly, the DNA was interrupted and the library was prepared, and then the DNA of the target gene exon and adjacent cut region was captured and enriched by Roche KAPA HyperExome chip (KAPA Biosystems, Boston, MA, United States of America). Finally, the DNA was screened using NGS assay based on the DNA sequencer of MGISEQ-2000 (BGI, China). The average depth of sequencing in the target region was  $\geq 180\times$ , and the proportion of sites with average depth  $>20\times$  in the target region was  $>95\%$ . The sequencing fragments were compared with the human reference genome (UCSC hg19) by BWA to remove duplicates. GATK was used for base mass value correction for SNV, INDEL and genotyping. Exon level copy number variation was detected using ExomeDepth. Variants were annotated and screened based on clinical information, population databases, disease databases, and biological information prediction tools. The pathogenicity of the variants was determined according to the ACMG guidelines.

The candidate *COL11A1* variant was validated by Sanger sequencing for the patient and her parents. Primers were designed for the variant of the splice site variant in intron 53 of the *COL11A1* gene. The forward (AAGCTAGCACTGGACTTT TGAC) and reverse primers (AGGTATCTGAAATAGGGCTGA G) were used for amplification. The PCR products were further subjected to Sanger sequencing.

### 2.4 *In silico* variant analysis

Four *in silico* splice site prediction programs, MaxEntScan ([https://www.genes.mit.edu/burgelab/maxent/Xmaxentscan\\_scoreseq.html](https://www.genes.mit.edu/burgelab/maxent/Xmaxentscan_scoreseq.html)), NNSPLICE 0.9 ([https://www.fruitfly.org/seq\\_tools/splice.html](https://www.fruitfly.org/seq_tools/splice.html)), NetGene2 (<https://services.healthtech.dtu.dk/>

**Abbreviations:** STL, Stickler syndrome; NGS, next-generation sequencing; WES, Whole-exome sequencing; BCVA, best-corrected visual acuity; ACMG, American College of Medical Genetics and Genomics; WT, wild type; MT, mutant type; RE, right eye; LE, left eye; 3D, Three-dimensional.



**FIGURE 1**  
Fundus photographs L: left eye; R: right eye; both eyes show a leopard fundus. It was more obvious in the right eye (where the red arrow is pointed). Spot-like changes resembling leopards appear on the retina of the fundus.

services/NetGene2-2.42), and alternative splice site predictor (<http://wangcomputing.com/assp>), were used to predict the splice variants to evaluate variant pathogenicity. The protein structure models of AlphaFold (<https://alphafold.ebi.ac.uk/entry/P12107>) and SWISS-MODEL (<https://swissmodel.expasy.org/>) were used to explore the effect of pathogenic variant in the *COL11A1* gene on protein structure. Subsequently, the three-dimensional structure of the protein models was analyzed and visualized using PyMOL. The prediction method was carried out using standard procedures.

## 2.5 Minigene assay

Using genomic DNA from the proband and his parents as a template, primers were designed to amplify the *COL11A1* gene, including the exon 53, intron 53, exon 54, part of intron 54, and exon 55 regions. Primers were designed via seamless cloning to amplify heterozygous genomic DNA carrying the c.4069-1G>T variant site to obtain two target insertion gene fragments, *COL11A1-A* and *COL11A1-B*. The sequences of primers used were as follows: AF: AAGCTTGGTACCGAGCTCGGATCCGGTCAAGATGGTGTTGGTGGTGACAAGG; AR: CCTGAGTGTGGAGTTAATGTTTCAGGATACCAACCAAAGTC; BF: CATTAACTCCACACTCAGGGTGGCGATTCTTAATTC; and BR: TTAAACGGGCCCTCTAGACTCGAGCTTAGCACCTTTTTCACCTTGCTTCCCTCT.

The vector pMini-CopGFP0 was digested via the *Bam*H I/*Xho* I double endonuclease, and the digested products and the *COL11A1-A* fragment and *COL11A1-B* fragment were recombined. The correct wild type (WT) and mutant type (MT) minigene plasmids were selected, and 293T cells were subsequently transfected with the WT and MT plasmids via Lipofectamine 3000 according to the instructions. After transfection into 293T cells for 48 h, the transcripts were analyzed via RT-PCR. The primers used for RT-PCR amplification were as follows: primer sequence F: GGCTAACTAGAGAACCCACTGCTTA; R: CTTAGCACCTTTTTCACCTTGCTCTC. The PCR products for both the WT and MT variants were subjected to 1.0% agarose gel electrophoresis, followed by Sanger sequencing.

## 2.6 Review of the *COL11A1* genotype and phenotypic data

We summarized the phenotypes and compiled the incidence of different phenotypes of STL from a previous review (Boothe et al., 2020), systematic review (Boysen et al., 2020; Frederic et al., 2012), and large-scale studies (Alexander et al., 2020; Bath et al., 2021; Rose et al., 2001; Zimmermann et al., 2019). To comprehensively identify previously published patients with *COL11A1* splice site alteration variants, we used ClinVar to identify pathogenic and likely pathogenic *COL11A1* variants.

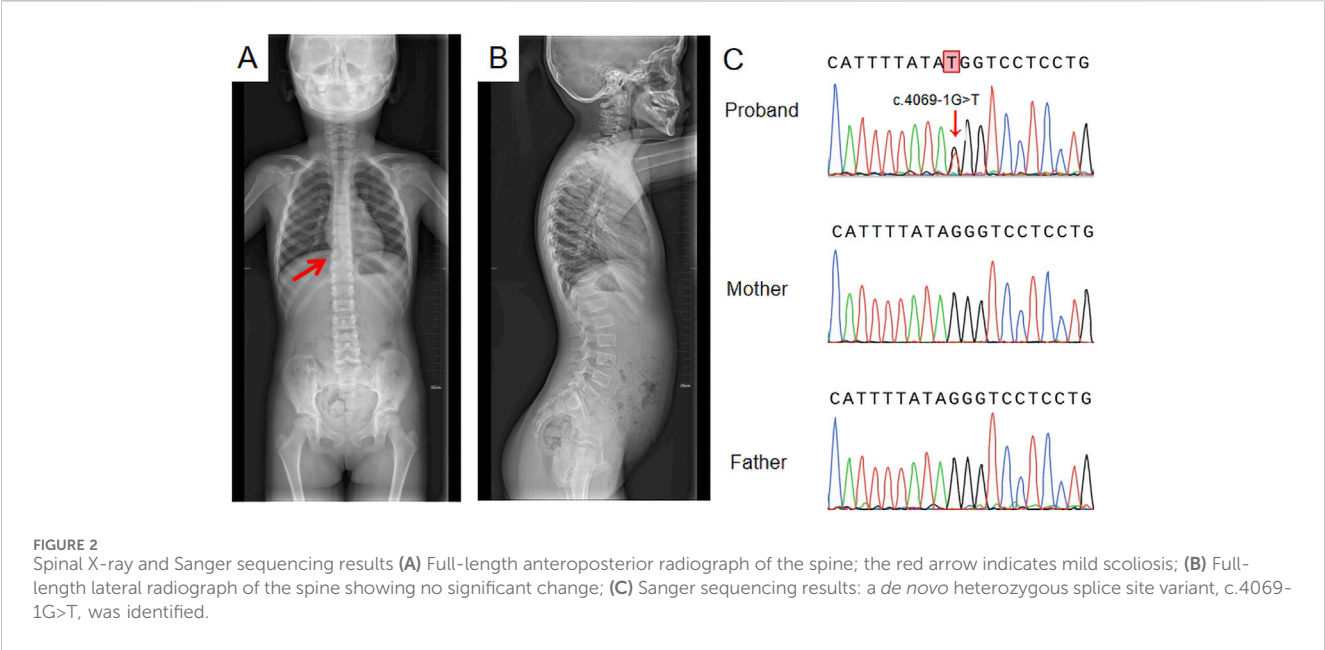
## 3 Results

### 3.1 Case presentation

The proband, a 6-year-old girl, was the first child of nonconsanguineous parents. No family history and medical history was observed within her family. Her mother had a normal pregnancy, and a cesarean section was performed at term. The patient was found to have high myopia at the age of 3 years. Ophthalmologic assessment confirmed bilateral highly myopic astigmatism in the right eye (RE):  $-8.00/-1.00 \times 180$  and left eye (LE):  $-5.50/-1.00 \times 35$ . Slit lamp examination revealed no abnormalities in the conjunctiva, cornea, or lens. Fundus examination revealed leopard fundus (Figure 1). The results of the spinal X-ray examination revealed mild scoliosis (Figures 2A,B). Her height was 114 cm, which was below the 10th percentile. Her motor and mental development were normal. No clinical characteristics of orofacial abnormalities were detected. Furthermore, audiometric examination revealed no significant abnormalities.

### 3.2 WES and sanger sequencing

A *de novo* heterozygous splice site variant, c.4069-1G>T, in the *COL11A1* gene was identified via WES. This variant was confirmed to not be previously annotated in ESP databases, the 1,000 Genomes Project, the ExAC Browser, or the gnomAD database. Sanger sequencing of the *COL11A1* gene confirmed the c.4069-1G>T



heterozygous variant in the patient, and neither of her parents carried the variant (Figure 2C). According to the ACMG guidelines for variant pathogenicity, c.4069-1G>T was classified as “likely pathogenic” (PVS1\_Moderate + PS2\_Moderate + PM2).

### 3.3 In silico variant analysis

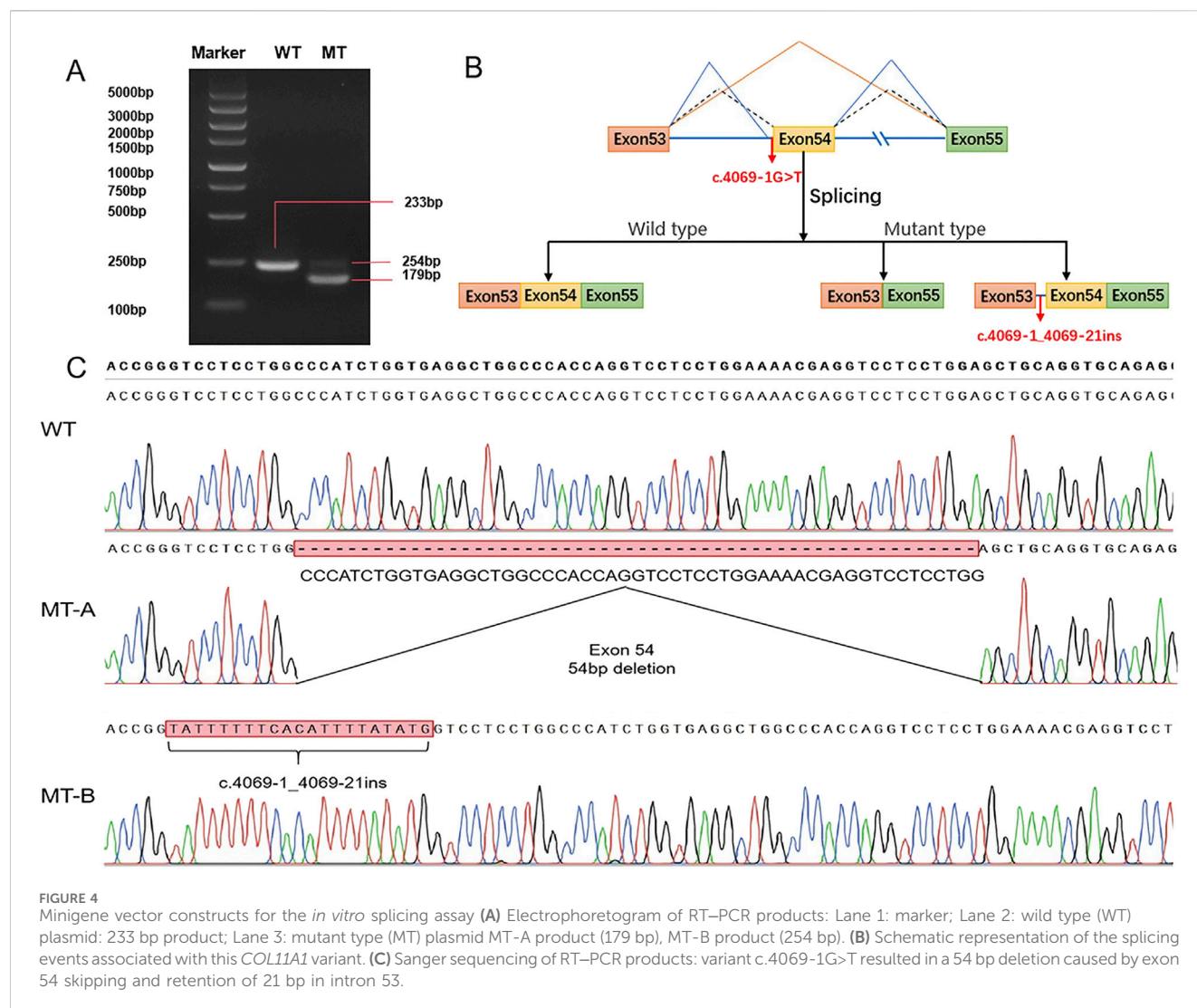
The prediction scores for acceptor and donor splice sites in the wild type and mutated type are shown in Figure 3. A higher score predicts a strong splice site. The scores of the acceptor splice site of intron 53 were

markedly decreased from 8.49 to -0.10 for the c.4069-1G>T variant determined via MaxEntScan. The other three prediction programs showed that the acceptor splice site mutated from G to T resulted in the disappearance of the acceptor splice site. These analyses revealed that the variant weakened the acceptor splice site.

### 3.4 Minigene assay

To determine the splicing pattern and verify the pathogenicity of the splice site variant (Figure 4B), we constructed a minigene vector via





an *in vitro* splicing assay for both the WT and MT *COL11A1* gene sequences from exons 53 to 55. RT-PCR revealed that the cells containing the WT plasmid produced an amplicon of 233 bp. In contrast, the cells transfected with the c.4069-1G>T variant sequence produced two fragments, a 254 bp band and a 179 bp band (Figure 4A). Subsequently, Sanger sequencing revealed that the two mutant fragments presented exon 54 skipping and a retention of 21 bp in intron 53 (Figure 4C). These findings suggest that the variant in the *COL11A1* gene produced two aberrantly spliced cDNA.

### 3.5 Three-dimensional structure of the protein

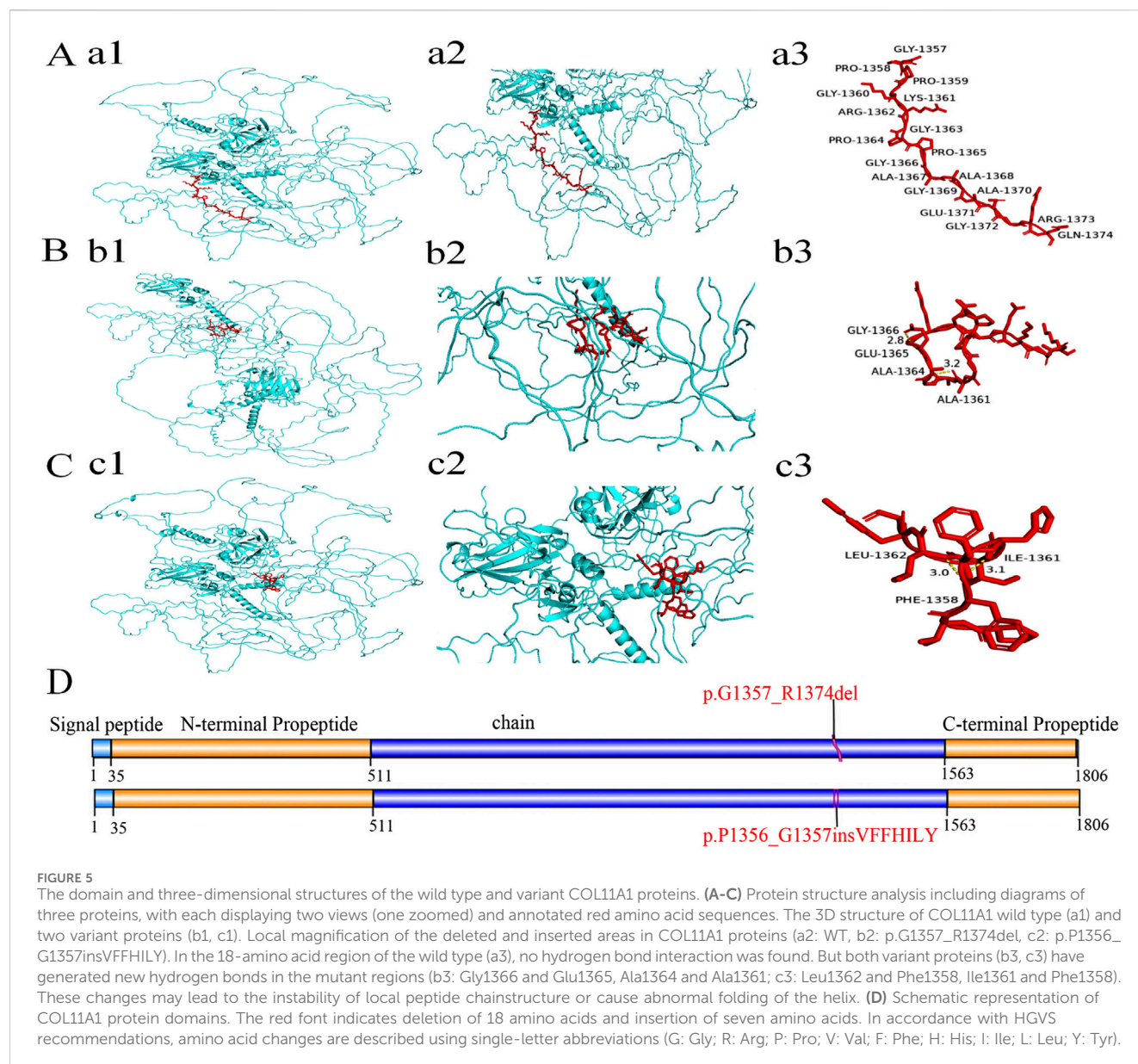
The three-dimensional (3D) structure prediction of the wild and mutant models of the *COL11A1* protein were shown in Figure 5. Two aberrantly spliced cDNA resulted in one protein variant with a deletion of 18 native amino acids (p.Gly1357\_Arg1374del) (Figures 5b1,b2,b3), and another variant with an insertion of seven amino acids (p.Pro1356\_Gly1357insValPhePheHisIleLeuTyr) (Figures 5c1,c2,c3). Compared with the wild type sequence, both variant proteins had altered amino acid sequences. Further structural

analysis suggested that both mutant proteins form new hydrogen bonds in the mutated regions, leading to abnormal helix folding.

### 3.6 Review of the *COL11A1* genotype and phenotypic data

Owing to the high phenotypic heterogeneity of STL, we summarized the clinical phenotypes of partially reported STL cases. As shown in Table 1, the most common phenotypes included myopia (88%) (Boysen et al., 2020) and hearing impairment (82.5%) (Frederic et al., 2012) in STL type 2. In our patient, myopia was the main presentation, but hearing abnormality was not observed.

Other common clinical phenotypes of STL type 2 included cataract (59%), retinal detachment (38%), cleft palate (24.6%), and joint hypermobility (33.8%). Other relatively rare symptoms, such as Pierre-Robin sequence (1.5%), Bifid uvula (1.5%), high-arched palate myasthenia (1.5%), and scoliosis (1.5%), were reported in less than 10% of STL type 2 cases (Zimmermann et al., 2019). Among the rare symptoms, our patient presented with mild scoliosis.



ClinVar has reported 186 pathogenic and likely pathogenic *COL11A1* variants, with a total of 84 sites with splicing variants (Figure 6). For the sites with splicing variants, most variants affect +1 or +2 residues at the 5' donor splice site and –1 or –2 residues at the 3' acceptor splice site.

## 4 Discussion

STL is a multisystem disorder with significant genetic and phenotypic heterogeneity. Heterozygous variants in the *COL11A1* gene usually cause type 2 STL. The biallelic variant in *COL11A1* is typically associated with severe lethal fibrochondrogenesis (Tompson et al., 2010). Here, we identified a *de novo* heterozygous splice site variant that caused STL type 2 in an autosomal dominant manner.

In the present study, the proband presented high myopia at the age of 3 years. Ophthalmologic assessment confirmed bilateral

highly myopic astigmatism. Fundus examination revealed a leopard fundus. The results of the spinal X-ray revealed mild scoliosis. The patient's height was 114 cm, which was below the 10th percentile. This condition is difficult to clearly diagnose on the basis of these nonspecific phenotypes. We applied WES to identify possible causative gene variants, revealing a *de novo* heterozygous splice site variant, c.4069-1G>T, located at the exon-intron boundary of the *COL11A1* gene in the proband.

To verify the pathogenicity of the splice site variant, we used four splice site prediction programs. Bioinformatics analysis revealed that the variant weakened the acceptor splice site and may cause exon 54 to be skipped or retained by other splice sites. In most cases (98.7%), the exon–intron boundary has a highly conserved sequence that serves as a splicing recognition signal, containing classical splice sites of GT-AG at the 5' and 3' ends of the intron. Most classical variants affect +1 or +2 residues at the 5' donor splice site and –1 or –2 residues at the 3' acceptor splice site (Anna and

TABLE 1 Comparison of the phenotypes of Stickler syndrome reported in previous studies and this study.

Genotype and Phenotype Information		Present case	Previous studies
Genotype			
Gene		COL11A1	COL11A1
Mutation	c.4069-1G>T	c.4069-1G>C	Others
Phenotype			
Ocular			
Myopia	+	+	88% (30/34)
Cataract	–	–	59% (20/34)
Vitreous phenotype	–	+	Beaded
Glaucoma	–	NA	No information
Retinal detachment	–	–	38% (13/34)
Orofacial			
Pierre–Robin sequence	–	–	1.5% (1/65)
Bifid uvula	–	–	1.5% (1/65)
High-arched palate	–	–	1.5% (1/65)
Micrognathia	–	+	No information
Midfacial hypoplasia	–	+	No information
Cleft palate (Previous cleft repair)	–	+	24.6% (16/65)
Hearing impairment	–	+	82.5% (33/40)
Skeletal			
Height	114 cm (p10)	96.5 cm (mean)	No information
Spondyloepiphyseal dysplasia	–	–	0% (0/7)
Scoliosis	+	–	1.5% (1/65)
Joint hypermobility	–	NA	33.8% (22/65)
Others*	–	NA	No information

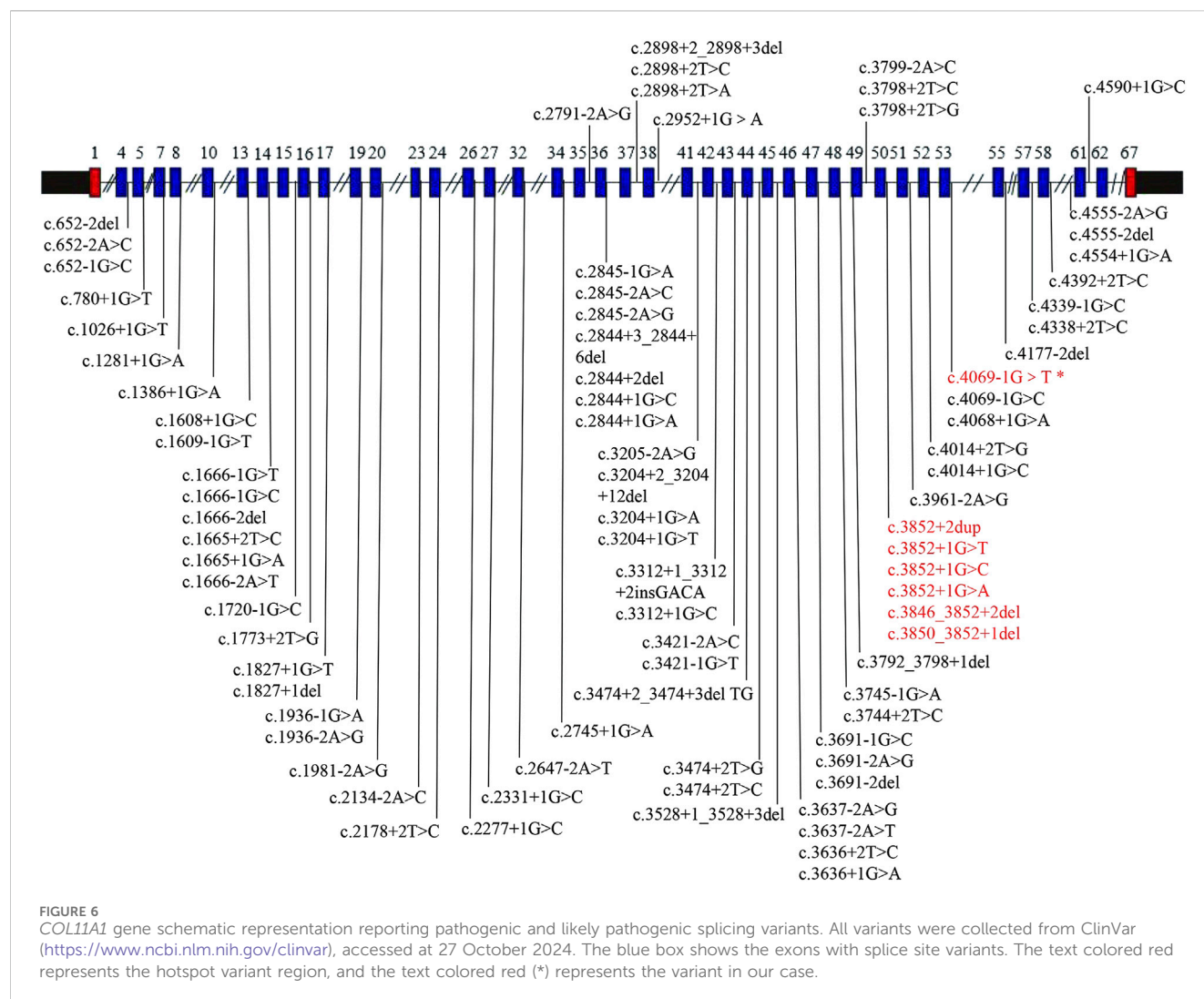
Note: p10: the 10th percentile; NA: not assessed; \*: osteoarthritis before the age of 40 years, hyper-extensibility, talipes equinovarus, pectus carinatum, and pectus.

Monika, 2018; Montes et al., 2019). In our study, this *de novo* variant was located exactly at the –1 residue of the 3' acceptor splice site, so we highly suspected that it was pathogenic.

We constructed a minigene vector to deeply analyse the splice site variant. Our experiments revealed that this variant affected the normal splicing of RNA by skipping exon 54 and inserting 21 bp, which produced two aberrantly spliced cDNA. Meanwhile, we further evaluated the effect of the pathogenic variant in the *COL11A1* gene on the protein structure. We found that the variant proteins were predicted to have altered hydrogen bonding and abnormal protein lengths. These hydrogen bond alterations may reduce protein stability or cause abnormal folding of the helix. Additionally, changes in the protein's length may lead to mismatches with other normal-length chains, ultimately affecting the formation of the collagen triple helix. Previous studies have shown that pathogenic variants in *COL11A1* generally exert a dominant-negative effect on type XI collagen heterotrimer formation (Spranger, 1998). *COL11A1*

encodes the α1 chain of collagen XI, which is composed of three α chains. Mutant chains combine with normal chains will generate abnormal triple helices, leading to collagen structure abnormalities (Prockop and Kivirikko, 1995; Myllyharju, 2004; Myllyharju and Kivirikko, 2009). In our study, we revealed that this *de novo* variant affected the normal splicing of RNA, and two abnormal splicing products were generated. We infer that aberrantly translated proteins have a negative impact on the collagen triple helix structure, ultimately leading to a disease phenotype.

Heterozygous pathogenic *COL11A1* variants are predominantly splice site alterations and missense variants. Furthermore, ClinVar has reported 186 pathogenic and likely pathogenic *COL11A1* variants, with a total of 84 sites with splicing variants. Intron 50 is a variant hot spot (Acke et al., 2014; Majava et al., 2007). However, c.4069-1G>T variant found in this study was located at intron 53. Interestingly, the similar variant c.4069-1G>C in the same splice site has been previously reported (Majava et al., 2007). A



comparison of cases from the literature revealed variability in disease phenotypes (Table 1 shows a summary of these two variants). In the study of Marja Majava, the proband exhibited apparent ocular and orofacial abnormalities with mild hearing loss, but abnormal skeletal system was not observed. In contrast, the mother of the proband exhibited isolated high myopia. Similarly, for our patient, myopia was the main presentation, but hearing abnormality was not observed. A similar situation in a heterozygous splice site variant (c.1845+5G>C and c.1845+5G>A) has also been observed (Brizola et al., 2020). Different variant sites or different base variants at the same variant site can produce different phenotypes. On the one hand, this may be because of the clinical heterogeneity among individuals; on the other hand, it may also be caused by the young age of the proband, who has not yet developed obvious symptoms. The proband has a mild phenotype at present, but the disease may progress in the future. Thus, we recommend that the proband needs regular hearing testing, with follow-up visits at ophthalmology and orthopedics departments. Although it is a *de novo* variant, prenatal diagnosis can be offered for parents of the proband in future pregnancies to prevent the recurrence of the same variant in other offspring owing to the presence of germline mosaicism.

## 5 Conclusion

We detected and identified a new pathogenic splicing variant, (NM\_080629.3: c.4069-1G>T), in the *COL11A1* gene associated with STL. Our findings expand the variant spectrum of this gene and aid in the precise genetic diagnosis of STL. Gene tests on suspected cases may provide a firm diagnosis of STL. It could help in risk assessment, prophylaxis, and treatment of long-term complications of this disorder.

## Data availability statement

The data presented in this article are not publicly available to assure patient confidentiality and participant privacy. Requests to access the datasets should be directed to the corresponding authors.

## Ethics statement

The studies involving humans were approved by The Medical Ethics Committee of the First People's Hospital of Yunnan Province. The



studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participants' legal guardians/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

## Author contributions

JZ: Project administration, Writing – review and editing, Data curation. YH: Writing – original draft, Data curation, Validation. YD: Writing – original draft, Validation. XY: Data curation, Writing – original draft. HS: Formal Analysis, Writing – original draft, Conceptualization. YCY: Writing – original draft, Validation. TL: Data curation, Conceptualization, Formal Analysis, Writing – original draft. YLY: Conceptualization, Formal Analysis, Data curation, Writing – original draft. MH: Project administration, Writing – review and editing. FL: Data curation, Supervision, Writing – review and editing, Project administration.

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## Conflict of interest

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