

# Designing vaccines for the twenty-first century society

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Rino Rappuoli, Research Center, Novartis Vaccines and Diagnostics, Via Fiorentina 1, Siena 53100, Italy e-mail: rino.rappuoli@novartis.com The history of vaccination clearly demonstrates that vaccines have been highly successful in preventing infectious diseases, reducing significantly the incidence of childhood diseases and mortality. However, many infections are still not preventable with the currently available vaccines and they represent a major cause of mortality worldwide. In the twenty-first century, the innovation brought by novel technologies in antigen discovery and formulation together with a deeper knowledge of the human immune responses are paving the way for the development of new vaccines. Final goal will be to rationally design effective vaccines where conventional approaches have failed.

Keywords: vaccination, glyco-conjugate, technologies, vaccine design, structural vaccinology

### **INTRODUCTION**

In the last century, vaccines demonstrated to be a successful and effective medical intervention representing one of the most important applications of immunology to prevent infectious diseases. A landmark in the history of immunology is the experiment conducted by Edward Jenner in 1796, when he demonstrated that inoculation with pus from cowpox lesions was conferring protection against smallpox infection (1) providing an innovative contribution to immunization and the ultimate eradication of smallpox (2). Smallpox was one of the most severe human diseases, responsible only in Europe for the death of more than 400,000 people per year. In 1979, smallpox was eradicated through a global vaccine administration campaign. Jenner's work was further refined by Louis Pasteur, who artificially attenuated viruses for use in vaccines and in 1885 developed the first rabies human vaccine. He brought a breakthrough in the prevention and treatment of infectious diseases by establishing the basis of vaccinology, meaning the principle of isolation, inactivation, and administration of disease causing pathogens. The Pasteur's principles have allowed the development of "first generation" vaccines based on whole microorganism killed or live-attenuated (e.g., Bacillus Calmette Guerin BCG, plague, pertussis, and smallpox) (3,4).

In the second half of twentieth century improvements and innovation in mammalian cell culture technology led to the growth of viruses and development of live attenuated "second generation" vaccines such as polio (Sabin oral), measles, rubella, mumps, and varicella. More recently, the use of inactivated polio vaccine (Salk type) together with oral vaccine has almost eradicated polio from the world thanks to global vaccination (5). In developed countries national immunization programs have drastically reduced most of the viral and bacterial infections that traditionally affected children. In May 2012, the 194 Member States of the World Health Organization Assembly endorsed the global vaccine action plan (GVAP) with the vision of delivering universal access to immunization, with at least 2–3 million lives saved per year worldwide (http://www.who.int/immunization/global\_ vaccine\_action\_plan/). Although traditionally developed vaccines have been in the last century of unquestionable value, saving more than 700 million cases of disease and more than 150 million deaths, the conventional methods of vaccine design have some limitations. For example, they could not be used to develop vaccines against microbes that do not grow *in vitro* (e.g., *Mycobacterium leper*, papilloma virus type 16 and 18). They do not provide broadly protective vaccines against pathogens with antigenic hypervariability (e.g., serogroup B meningococcus, HIV, HCV) or against pathogens with an intracellular phase, causing infections that are predominantly controlled by T cells, such as tuberculosis and malaria (6). Finally, traditional approaches of vaccine development can be very slow and time consuming, not allowing a rapid response to the need of a new vaccine, as in case of an influenza pandemic.

To overcome all these limitations, during the last 30 years new technologies have been applied to vaccine development. Recombinant DNA, polysaccharide chemistry, and more recently reverse vaccinology (RV), structural vaccinology, and synthetic RNA vaccines are opening up the view for the designing and development of "third generation" vaccines, previously defined as impossible to make (**Figure 1**).

# POLYSACCHARIDE CHEMISTRY AND GLYCO-CONJUGATE VACCINES

One of the major immunological problems faced in the development of polysaccharide vaccines has been their low immunogenicity especially in children below 2 years of age, who represent the main target population of vaccination (7, 8). Bacterial polysaccharides are made of repeated monosaccharides linked together by glycosidic linkages. Their multiple identical antigenic epitopes cross-link multiple membrane immunoglobulins on a B cell to allow activation without the help of T cells (9). Polysaccharides cannot be processed and presented to T-helper cells and because of the lack of T-cell help, there is no germinal center reaction and the associated isotype switching, avidity maturation of the B cell receptors and induction of memory B cells.



Vaccines composed of plain bacterial polysaccharides have been introduced since the 1970s to control diseases caused by Neisseria meningitidis, Streptococcus pneumoniae, and Haemophilus influenzae type b (Hib) (10–12). However, these vaccines were poorly immunogenic in infants >2 years of age and did not appear to provide herd immunity, which is now recognized as a key element to prevent invasive bacterial infections in children. To improve immunogenicity of plain polysaccharides, they were chemically conjugated to protein carriers such as tetanus toxoid (TT), diphtheria toxoid (DT), and a non-toxic cross-reacting mutant of DT (CRM197) (13). Glyco-conjugate vaccines activate B cells via engagement of the B cell receptor following polysaccharide binding, processing of the protein carrier by polysaccharide-specific B cells, and presentation of the resulting peptides or glycopeptides in association with MHC class II molecules to T-helper cells. The MHC class II-restricted cognate interaction between B and T cells provides the costimulatory signals to B cells to start the germinal center reaction with somatic hypermutation and class-switch recombination generating B cells that will secrete high-avidity IgG antibody against the polysaccharide antigen (14, 15). As a consequence, vaccination with protein-polysaccharide conjugate vaccines is able to induce a long last immune response, with high affinity IgG antibodies and with the capacity to be boosted by subsequent immunizations (14, 16, 17). Protein-polysaccharide conjugate vaccines were introduced in the 1980s against H. influenzae type b (Hib) (18-20) inducing a better and persistent antibody response in all age groups. Today, different strategies to prepare conjugate vaccines can be used and effective glyco-conjugate vaccines are available for S. pneumoniae and the strains A, C, W, and Y of N. meningitidis (meningococcal meningitis) (21, 22). These vaccines are highly immunogenic and brought a huge reduction of bacterial infections in those countries that have introduced them into their immunization schedules (23-25). Although the progress made in the technology of glyco-conjugate vaccines made possible the successful control of different bacterial infections, this approach could not be applied to develop N. meningitidis type B (MenB) vaccine. MenB is a major cause worldwide of meningitis and sepsis, two devastating diseases that can kill children and young adults within hours (26). It is a gram-negative bacterium part of the commensal flora that colonizes the upper respiratory tract of healthy individuals. In a small proportion of cases, the bacterium can invade the host bloodstream and, after crossing the blood-brain barrier, causes meningitis (27, 28). The unsuccessful attempt of developing a MenB vaccine based on its capsular polysaccharide was largely due to the fact that it is identical to the polysialic acid present in human glycoproteins such as N-CAM. Many efforts were directed toward the development of a proteinbased vaccine, all frustrated by the inconsistency of the protection data probably due to the extreme variability of the known surface proteins tested as vaccine antigens.

### **REVERSE VACCINOLOGY**

A major revolution in vaccine discovery is linked to the advent of genome sequencing technologies that have changed the landscape in the slowly evolving field of vaccinology. Turning point was the publication in 1995 of the genome sequence of the first living organism (29). By sequencing the genome and by determining the whole antigenic repertoire of the infectious organism, several candidate protective targets could be identified and tested for their suitability as vaccine. The method, named Reverse Vaccinology (RV), has provided a change in the perspective of vaccine design. The idea of the RV was originated to overcome the problems faced to develop an efficacious vaccine against MenB. The genome sequencing of the MenB virulent strain MC58 (30) allowed to select from the genomic data potential vaccine targets (31). The principle at the basis of the RV approach was that successful vaccine targets were proteins either exposed on the surface of the pathogen or secreted into the extracellular milieu. Starting from 2,158 encoded proteins bioinformatics analysis predicted that over 600 were either surface exposed or secreted. Of these, 350 were cloned in Escherichia coli, expressed and used to immunize mice. The sera of immunized animals were screened in a bactericidal assay that is known to correlate with protection. At each step candidates not satisfying quality criteria were discarded; the process led to the identification of previously unknown vaccine candidates. Through this process three protective antigens that are common to multiple MenB strains have been identified (fHbp, NadA, and NHBA) and combined with a MenB outer membrane vesicle (OMV) resulting in the first universal vaccine against MenB (32). This is the first vaccine based on RV that has recently received a positive opinion from the European Medicines Agency and has been approved with the commercial name of Bexsero®. Following

the success of the MenB project, the RV approach has been applied to a variety of other important pathogens, such as *S. pneumoniae* (33, 34), *Streptococcus pyogenes* (35), *Chlamydia pneumonia* (36), *Chlamydia trachomatis* (37), *Streptococcus agalactiae* (38), *E. coli* (39), and *Leishmania major* (40). Thus, the genome-based RV strategy can provide innovative solutions for the design of vaccines difficult or even impossible to develop using conventional methods (41).

### **NEXT GENERATION TECHNOLOGIES FOR VACCINE DESIGN**

Novel technologies currently under investigation represent the most valuable tools to be applied in vaccinology and could be used today for addressing the medical needs of the twenty-first century. Despite decades of efforts and investigation, satisfactory vaccines have not yet been developed against several of the most life-threatening infections, including tuberculosis, malaria, and HIV, which claim the lives of more than 4 million people worldwide each year. The high levels of variability of their antigenic proteins and the required induction of both humoral and cellular immune responses have not allowed us to use conventional vaccinology methods as successful strategies. The advent of a new approach, named structural vaccinology, could represent today a valid revolutionary alternative leading in the next years to an efficacious vaccine design. Through the combination of human immunology, structural biology, and bioinformatics knowledge, antigenic epitopes are identified based on the protein amino acid sequences and the resulting secondary and tertiary structures. The principle is based on the observation that an efficacious immune response does not require the recognition of the entire antigenic protein, but the recognition of multiple selected epitopes might be sufficient to induce protective immunity (42). Progresses in technologies aimed at interrogating the human B cell repertoire are providing for the first time the possibility of isolating broadly neutralizing antibodies targeting relevant conserved epitopes (43-45). A deeper characterization of the crystal structure of an antigen in complex with protective antibodies represents the launching point for immunogen design to select relevant epitopes from a vaccine standpoint. Once identified they can be expressed in a recombinant form and in an immunodominant fashion to be used as potent immunogens (Figure 2). Recently, the group of Kwong et al. (46) using a structure-based approach designed an immunogen for respiratory syncytial virus (RSV) that elicits higher protective responses than the postfusion form of the fusion glycoprotein, which is one of the current leading RSV vaccine candidates entering clinical trials. Importantly, highly protective responses were elicited in both mice and macaques. Structural vaccinology combined with human immunology are therefore rapidly emerging as a powerful alternative strategy for the rational design of engineered vaccines bearing multiple antigenic epitopes offering the opportunity of developing broadly effective immunity (47-49).

Another challenge in vaccinology is due to the limited capacity of the immune system to develop potent and sustained antibody responses at the extremes of age. Several studies in the last decades have shown that antigen exposure in early life results in blunted, delayed, or undetectable antibody responses to infections and immunizations (50, 51). Effective IgG responses in infants require several doses of vaccine, and to avoid a rapid wane of the titers



booster doses might be required after the first year of life. At the other extreme of age, a significant decline in the capacity to induce protective antibody titers is observed in individuals of 65 years or older. The discovery of new adjuvants that help in eliciting the appropriate sustained antibody response represents a valuable strategy to overcome age-related hypo-responsiveness to vaccination. MF59 is an oil-in-water emulsion potent vaccine adjuvant licensed in more than 20 countries for more than 13 years for use in an influenza vaccine focused on elderly subjects. Recently, MF59 has been shown to be safe also in a seasonal influenza vaccine given to infants and children, providing an increase in vaccine efficacy from 43 to 89% (52, 53). However, in the last years increased knowledge of the molecular mechanisms underlying the immune response is leading to the discovery of molecules that can trigger the immune system modulating antigen-specific immunity. Monophosphoryl lipid A (MPL) is known to be a highly specific agonist of the toll-like receptor 4 (54). MPL adsorbed onto aluminum salts has been included in the formulation of the AS04adjuvanted HPV-vaccine, the first example of a toll-like receptor agonist to be licensed as part of a vaccine for human use (55). As MPL other newly discovered innate immune receptor agonists might be ideal molecules to be exploited as adjuvants for next generation vaccines aimed at improving immunogenicity mainly in extreme ages.

Finally, together with the above described technologies used to design new protective antigens and to optimally present them to the immune system, also the new synthetic methods of vaccine production are driving the development of the twenty-first century vaccines. Nucleic acid-based vaccines represent a key advancement in combining the benefits of *in situ* expression of antigens, with the safety of inactivated and subunit vaccines. They might represent a valuable tool to overcome problems encountered when designing vaccines against pathogens that require a protective immunity mediated not only by antibodies but also by T cells. Up to now the success rate of vaccine development decreases with the decreasing ability of antibodies to confer protective immunity. A large body of literature suggests that cytotoxic T cells are important in protection from infectious diseases, such as tuberculosis, malaria, and AIDS. This would require the creation of vaccines able to induce strong T-cell responses, a weakness for existing vaccine approaches. The evidence that CD8+ T cells can control infections comes mainly from HIV. Given the failure to protect using the antibody-based gp120 vaccines (56, 57) T-cell-based vaccines have been widely tested in non-human primates (58) demonstrating to be promising. Nevertheless an HIV prime/boost vaccine based on adenovirus vector delivering T-cell epitopes failed to protect patients from disease, and had little impact on viral load (59). It is possible that this result may represent a proof-of-concept that pure T-cell vaccines are not a solution for preventive vaccines. However, there remains a need for vaccines to protect against infections for which antibodies are not sufficient or against noninfectious diseases such as cancer or autoimmunity, where also T cell immunity plays a critical role in conferring protection. DNA based vaccines showed to be very promising in animals, but in humans the magnitude of the immune response was lower than that observed with conventional vaccines. To overcome these limitations, approaches as DNA delivery by electroporation and stimulation of the immune system via the use of genetic adjuvants (i.e., in situ expression of immunologically active molecules encoded by the DNA vaccine) have been used in human clinical trials with encouraging preliminary results (60, 61). RNA vaccines represent a valid alternative over DNA vaccines. They are based on mRNA and self-amplifying RNA replicons that when injected intramuscularly in mice result in local production of an encoded reporter protein (62) and induction of immune responses against the encoded antigen (63). RNA vaccines have several advantages compared to DNA vaccines. RNA would eliminate the issue of possible integration of plasmid DNA into the genome of the immunized host, and it is translated directly in the cytoplasm (64). It has not been clearly elucidated the mechanisms utilized by RNA vaccines to induce an immune response, but it is likely that expression and presentation of encoded antigens follow rules similar to DNA vaccines. The efficiency and stability of RNA-based vaccines have been increased through the use of viral-particle engineered to express a heterologous antigen in place of the viral structural genes. RNA vaccines, particularly self-amplifying replicons, have therefore the potential of capturing the advantages of both DNA vaccines and viral delivery while overcoming the drawbacks of each technology. These favorable observations, supported by preclinical proof-of-concept in animal tumor models, have led mRNA vaccines into human clinical trials as immunotherapeutics in metastatic melanoma and renal cell carcinoma patients (65) showing to be able to elicit antigen-specific immune responses (both antibodies and T cells). Clinical trials have also been performed with RNA replicon vaccines packaged in viral particles encoding for cytomegalovirus (CMV) gB and pp65/IE1 proteins. The vaccine has shown to be well tolerated and immunogenic in healthy CMV seronegative volunteers, with the added value of inducing also CD8+ T-cell responses (66). The future of the RNA vaccines will rely on the formulation with new synthetic delivery

systems to combine the effectiveness of live attenuated vaccines, an equal or better safety profile than plasmid DNA vaccines, and completely synthetic methods of manufacture.

Improvements in the synthetic vaccines research have provided a unique tool to rapidly respond to the need of vaccine availability in case of flu pandemics. Dormitzer et al. have developed a synthetic approach to generate vaccine viruses from sequence data (67). Starting from the available hemagglutinin (HA) and neuraminidase (NA) gene sequences, a cell-free gene assembly technique has allowed rapid, accurate gene synthesis. Viral RNA expression constructs encoding HA and NA and plasmid DNAs encoding viral backbone genes were used to transfect Madin-Darby canine kidney (MDCK) cells, qualified for vaccine manufacture. Viruses for use in vaccines were rescued from MDCK cells with increased yield of the essential vaccine antigen, HA. The implementation of synthetic vaccine seeds has demonstrated the capability of accelerating the response to influenza pandemics reducing the time required for vaccine manufacturing from months to weeks.

### **CONCLUDING REMARKS**

In conclusion, the last 30 years have represented a turning point in vaccinology. New technologies such as recombinant DNA, polysaccharide chemistry, and more recently RV, structural vaccinology, and synthetic RNA vaccines have greatly improved the efficiency of the vaccine-target identification, selection, and development process. Continuous progresses will be made in the twenty-first century to design new vaccines that will become the most efficient life insurance of the modern society, contributing significantly to a disease-free long life.

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