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# Longitudinal immune profiling after radiation-attenuated sporozoite vaccination reveals coordinated immune processes correlated with malaria protection

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**Background:** Identifying immune processes required for liver-stage sterilizing immunity to malaria remains an open problem. The IMRAS trial comprised 5x immunizations with radiation-attenuated sporozoites resulting in 55% protection from subsequent challenge.

**Methods:** To identify correlates of vaccination and protection, we performed detailed systems immunology longitudinal profiling of the entire trial time course including whole blood transcriptomics, detailed PBMC cell phenotyping and serum antigen array profiling of 11 IMRAS radiation-attenuated sporozoite (RAS) vaccinees at up to 21 timepoints each.

**Results:** RAS vaccination induced serum antibody responses to CSP, TRAP, and AMA1 in all vaccinees. We observed large numbers of differentially expressed genes associated with vaccination response and protection, with distinctly differing transcriptome responses elicited after each immunization. These included inflammatory and proliferative responses, as well as increased abundance of monocyte and DC subsets after each immunization. Increases in V $\delta$ 2  $\gamma\delta$ ; T cells and MAIT cells were observed in response to immunization over the course of study, and CD1c+ CD40+ DC abundance was significantly associated with protection. Interferon responses strongly differed between protected and non-protected individuals with high interferon responses after the 1<sup>st</sup> immunization, but not the 2<sup>nd</sup>-5<sup>th</sup>. Blood transcriptional interferon responses were correlated with abundances of different circulating classical and non-classical monocyte populations.

**Conclusions:** This study has revealed multiple coordinated immunological processes induced by vaccination and associated with protection. Our work represents the most detailed immunological profiling of a RAS vaccine trial performed to date and will guide the design and interpretation of future malaria vaccine trials.

#### KEYWORDS

malaria, vaccine, systems immunology, radiation-attenuated sporozoites, whole blood transcriptional profiling, immunophenotyping, high parameter flow cytometry, serum antibody profiling

# Introduction

Currently, there exists a single approved malaria vaccine: the subunit-based RTS,S/AS01 (aka Mosquirix) (1). The RTS,S antigen consists of portions of the circumsporozoite protein (CSP) fused to the viral surface antigen of hepatitis B. However, the efficacy of this vaccine is low: reported as 35.9% (95% CI, 8.1% to 55.3%) in the first year after vaccination for young children (2), and further reduced at later times. Given that the burden of malaria deaths falls most severely on young children in endemic areas, an improved vaccine is urgently needed. Recently, the R21/MM vaccine candidate, also a CSP protein-based vaccine, has shown promise in this area exhibiting 74-77% efficacy (3), however, the study follow-up period was short and the number of individuals enrolled was relatively small.

A key advantage of CSP as a vaccine antigen is that it is highly expressed on the Plasmodium falciparum sporozoite, the form of the parasite injected into the skin by mosquito bite that goes on to infect the liver. The sporozoite represents a population bottleneck, as only low numbers of sporozoites successfully infect the liver and go on to induce a symptomatic blood stage infection. Hence, a successful liver-stage specific vaccine would prevent symptomatic disease and transmission and allow the immune system to face a relatively small number of parasites. Whole-sporozoite vaccines represent an alternative approach to CSP-subunit vaccines. Whole-sporozoite vaccines require the administration of entire sporozoites, which are difficult to mass produce compared to a more traditional protein subunit vaccine platform. However, whole sporozoite vaccination presents an enhanced antigenic repertoire while still targeting the low-parasite burden liver stage. It has long been observed that radiation-attenuated sporozoites are capable of inducing sterilizing immunity against live challenge in both animal and human malaria infection (4-7). Along with radiation attenuation, sporozoite administration under antiparasite chemoprophylaxis (8, 9) and genetic attenuation (10, 11) have also been shown to be effective at preventing infection.

Notably, sporozoite administration under chemoprophylaxis has been shown to be capable of eliciting strain-transcendent protection against subsequent challenge (12).

Previous work has described the IMRAS study (13–15), which comprised radiation-attenuated sporozoite vaccination of malaria-naïve individuals who were predominantly male (10 male, 1 female). Studying malaria-naïve rather than malaria experienced individuals allows the clearest picture of the early events involved in protective immunity. It has long been observed that, unlike RAS vaccination, a blood-stage malaria infection will not result in sterilizing immunity to reinfection, but rather a slow development of malaria tolerance after repeated infections (16–19). Blood stage infection is associated with impaired development of immunity to pre-erythrocytic malaria (20, 21).

The IMRAS study was designed with the goal of delivering an intermediate dose of sporozoites that would induce protection in roughly half of the vaccinees, resulting in 55% protection in our study cohort. We have previously published our systems biology analysis of responses to the initial priming immunization in this cohort (13). The most striking protectionassociated difference we observed was a sharp increase in type I interferon signaling 1 day after immunization in non-protected individuals. Here, we extend our analysis to a comprehensive set of timepoints after all 5 immunizations and incorporate serum antibody profiling in an attempt to disentangle the complex and highly variable blood transcriptional and cell type abundance dynamics that are associated with RAS-induced protection. We saw that trancriptome responses to RAS differed strongly after each immunization. Populations of multiple cell types including Vδ2 γδT cells and MAIT cells expanded over the course of immunizations. Furthermore, the association of increased interferon with non-protection was specific to immunization 1, with immunizations 2-5 showing a positive correlation with interferon associated gene transcription and protection. Interferon's association with protection was correlated with different classical and non-classical monocyte abundances after each vaccination.

# Methods and materials

## IMRAS study design

The IMRAS trial (ClinicalTrials.gov NCT01994525) design has been described previously (13–15). In this work, we analyzed IMRAS Cohort 1, which consisted of 11 individuals who received 5 RAS immunizations *via* approximately 200 mosquito bites each. Protective efficacy was determined by CHMI with bites from 5 NF54 *P. falciparum* infected mosquitos. Protected individuals were defined as those that remained free of detectable blood stage malaria infection *via* PCR and thick-blood smear post-CHMI. These vaccinees were accompanied by 3 mock immunized individuals (mosquito bites only) who served as infectivity controls, all of whom were not protected after CHMI.

# Serum antibody profiling via P. falciparum antigen protein array

Serum samples were taken from the 11 IMRAS RAS vaccinees and 3 mock-immunized study participants at day 0 (prevaccination) and day 140 (3 weeks after the fifth immunization and immediately pre-CHMI). Serum was profiled on *P. falciparum* whole-proteome microarrays, (PfWPM: Antigen Discovery Inc, Irvine CA), consisting of 7,455 *P. falciparum* full or partial proteins, representing 4,805 unique genes (91% of the *P. falciparum* proteome), to quantify levels of *P. falciparum* antigenspecific serum antibodies. *P. falciparum* array antigens were produced using a cell-free ORF-expression clone library followed by *in vitro* transcription/translation (IVTT). After profiling, per-spot microarray signal intensity (MFI) was normalized by taking median foreground intensity minus median background fluorescence intensity, based on IVTT control spots, and log2 transformed.

To identify vaccine-induced serum antibody responses, the normalized MFI response was calculated as the increase in normalized MFI per-participant from day 0 to day 140 for RAS-immunized individuals. Significantly induced antibody responses were identified as those increased at least 2-fold from day 0 to day 140 with an FDR-adjusted single tailed ttest significance threshold of 0.05.

## Whole blood RNAseq

Whole blood RNAseq was performed as previously described (13) on 11 IMRAS Cohort 1 RAS vaccinees. Whole blood was collected directly into PAXgene blood RNA tubes (PreAnalytiX, Hombrechtikon, Switzerland) and stored at  $-20^{\circ}$  C. RNA extraction and globin transcript depletion (GlobinClear,

ThermoFisher Scientific, MA, USA) were performed prior to cDNA library preparation using the Illumina TruSeq Stranded mRNA sample preparation kit (Illumina, CA, USA). Globin transcript depletion, cDNA library preparation and RNA sequencing were performed by the Beijing Genomics Institute (Shenzhen, China). A total of sixty-six RNAseq samples were sequenced, with a target depth of 30 million reads per sample. Samples from four timepoints (day 0, 35, 119, 140) were sequenced on Illumina (San Diego, CA) Hiseq2000 sequencers using 75 base-pair (bp) paired-end reads. The remaining samples were sequenced on BGI500 sequencers using 100 bp paired-end reads.

# RNAseq differential expression and gene-set enrichment analysis

Raw RNAseq fastq files were filtered for quality control by adjusting base calls with phred scores < 5 to 'N', and read pairs for which either end had fewer than 30 unambiguous base calls were removed. Read pairs were aligned to the human genome (hg19) using STAR (v2.3.1d) (22). Gene count tables were generated using htseq (v. 0.6.0) (23) with the intersection-strict setting on and Ensembl gene annotations (GRCh37.74) used to link genomic locations to gene identifiers. Raw aligned RNAseq counts were normalized to account for different sequencing platforms (Hiseq2000 vs BGI500) using ComBat-seq (24), taking advantage of 4 samples resequenced on both sequencers to ensure an unbiased data transformation. Principal component analysis was performed on log-transformed counts-per-million gene expression data.

The R limma and voom packages (25, 26) were used to identify differentially expressed genes augmented by the dream package (27) to allow the use of a mixed modeling approach. Timepoint and protection were set as fixed effects, including interaction terms, with a random intercept for each participant. For each gene, models were fit using the formula:

#### geneExpression ~ protection\*timepoint + (1|participant)

To identify genes significantly changed in expression relative to the day of most recent immunization, i.e. vaccine-induced genes, contrasts were constructed of the form 'timepoint – most recent immunization timepoint', e.g. 'D003 – D000' represents changes at day 3 relative to day 0 (day of first immunization). An FDR cutoff of 0.05, calculated across all contrasts, was used to identify significant genes at all timepoints. Protection-associated genes were identified by F-test, as implemented in the limma topTable function, to identify genes that where any combination of protection:timepoint interaction coefficients were significant.

Gene-set enrichment analysis (GSEA) was performed using the R fgsea package (28), using the BloodGen3 coherently expressed blood transcriptional modules (BTMs) (29). Gene rankings used as input for GSEA were based on estimated log2 fold changes obtained from the limma-dream model. The limma topTable function was used to extract fold changes for each 'timepoint – most recent immunization induced timepoint' contrast, which were input to fgsea to calculate vaccineinduced BTM enrichment. Similarly each protection:timepoint interaction coefficient was used to input fold changes into fgsea to identify enriched protection-associated BTMs.

BTM expression was calculated as the 25% trimmed mean of log-transformed counts-per-million gene expression of each BloodGen3 BTM gene, per sample. BTMs with reliable expression, defined as median per-sample CPM > 0, in less than half of their genes were excluded. Non-coherent BTMs, defined as BTMs where less than half of their genes were strongly correlated (Pearson's r > 0.5) with the trimmed mean of BTM expression were also excluded.

#### PBMC flow cytometry profiling

Flow cytometry profiling was carried out using previously published antibody panels and gating strategies (13, 30, 31). PBMCs were collected from IMRAS participants and frozen for later use. After thawing in RPMI supplemented with 10% FBS and benzonase nuclease (Millipore EMD 0.05 U/ml), the samples were incubated with LIVE/DEAD Fixable Blue Dead Cell Stain Kit and the Human BD Fc Block for 30 min at room temperature before being simultaneously stained with four phenotyping panels as detailed in our previous publication (13) (T cell panel), OMIP-044 (30) (DC panel), OMIP-064 (31) (NK panel) and Datafile S2 (including sample gated B and T cell populations), with markers, antibody clones and fluorophores also listed in Table S1. The cells were then profiled using a BD FACSymphony flow cytometer. The data were analyzed, and cellular populations gated and quantified as previously using FlowJo Software (version 9.6.6). The abundance of each manually gated cell subset was calculated as a percentage, using the counts of each defined cell subset divided by the total single live cells from that sample.

# Statistical analysis of flow cytometry cell counts

Mixed models were used to identify vaccine-induced (i.e. significantly changed in abundance over time) and protectionassociated cell type subsets using the R lme4 package (32). A nested approach was taken where a 'full' model including protection and timepoint as fixed effects, as well as interaction terms, was compared to protection-only and timepoint-only fixed effect models. In all cases, per-participant intercepts were fit as random effects. FULL: cellTypeAbundance ~ protection \* timepoint + (1|participant)

 $TIMEONLY: cellTypeAbundance \sim timepoint + (1|participant)$ 

 $PROTONLY: cellTypeAbundance \sim protection + (1|participant)$ 

ANOVA was used to compare the FULL model to the TIMEONLY model (to determine the effect of protection on abundance) and the PROTONLY model (to determine the effect of sampling timepoint on abundance) in a nested manner, and thus calculate associated Chi-squared statistics and accompanying p-values for the reduction in model deviance attributable to adding terms for protection or timepoint. An FDR threshold of 0.05, calculated across all contrasts, was then applied to determine significance.

## Results

### The IMRAS study

The IMRAS study design has previously been described (13-15). Briefly, study participants were immunized five times with radiation attenuated P. falciparum NF54 sporozoites (RAS) delivered by mosquito bite, with roughly 200 bites perimmunization. Immunizations were spaced 4-5 weeks apart with protection determined by controlled human malaria infection (CHMI) three weeks after the final immunization. In this study, we analyzed serum antibody levels, whole blood transcriptomics and PBMC cell phenotypic profiles from the 11 RAS-immunized (6 protected, 5 non-protected) participants in IMRAS Cohort 1. The cohort also included three mockimmunized individuals who received sporozoite-free mosquito bites followed by CHMI on the same schedule as RAS vaccinees. Serum, PBMCs and whole-blood PAXgene samples were obtained for up to 5 timepoints per-immunization perparticipant for analysis (Table 1).

# RAS immunization induced detectable serum antibody responses

Serum samples were taken from all 11 vaccinees and 3 mockimmunized individuals immediately prior to immunization (day 0) and challenge (day 140). Each individual serum was screened on *P. falciparum* antigen protein arrays [Antigen Discovery Inc.] to detect the presence of *P. falciparum*-specific antibodies. Strongly significant antibody responses to three *P. falciparum* proteins: circumsporozoite protein (CSP), Apical membrane antigen 1 (AMA1) and thrombospondin related adhesion protein (TRAP) were seen in RAS immunized individuals (Figure 1A). All three of these proteins are known to be expressed on the surface of on the apical membrane of *P.falciparum* sporozoites, and are involved in hepatocyte invasion and binding (33–35). Antibody responses did not significantly differ between protected and non-protected individuals (Figure 1B).

# Differential expression analysis identified vaccine-induced and protection-associated genes

To identify vaccine-induced responses that correlate with protection, we carried out longitudinal whole blood transcriptomic profiling of IMRAS RAS vaccinees over the entire course of repeated immunizations. A mixed modeling approach was applied (see Methods) to identify genes that were responsive to vaccination and/or associated with protection that also accounted for gene expression levels primarily attributable to per-participant effects. Principal component analysis of transcriptome profiles showed that whole blood expression profiles clustered closely together by participant, rather than by longitudinal timepoint (Figure S1), underscoring the need to incorporate transcriptional per-participant effects as a factor in our differential expression analysis.

Vaccine-induced differentially expressed genes (DEGs) were identified as those significantly changed in expression in blood relative to the most recent previous immunization. Vaccineinduced DEGs were identified (FDR < 0.05) at the majority of timepoints across the entire course of immunizations (Figure 2A; Datafile S1), averaging 471 DEGs at each timepoint for a total of 4112 unique DEGs across all times. Most strikingly, we observed large numbers of genes decreased in expression after the second immunization with over 1,000 DEGs identified at 3 of 4 associated time intervals. In contrast, there were relatively few vaccine induced DEGs, just 4 in total, seen after the third immunization. We quantified the overlap of these DEGs with the recently published BloodGen3 (29) coherent blood transcriptional modules (BTM) to interpret biological processes induced post-immunization. BTMs significantly enriched in vaccine-induced genes that were uniformly increased or decreased per-timepoint are shown in Figure 2B (FDR-adjusted hypergeometric p-value < 0.05). Genes increased in expression in the two weeks after the first immunization were enriched for interferon, oxidative phosphorylation and erythroid cell BTMs. Genes reduced in expression after the second immunization were enriched for protein synthesis, protein modification, gene transcription and oxidative phosphorylation BTMs at multiple timepoints. Fewer significant BTMs were identified in DEGs after the  $3^{rd} - 5^{th}$  immunizations, although BTMs representing cell death, and inflammation were increased 3 days after the  $3^{rd}$  immunization. Numbers and expression patterns of DEGs and accompanying enriched BTMs were highly distinct after each immunization.

We also found 21 genes significantly associated with protection when considering all timepoints together (Figure 2C). The 21 protection-associated genes significantly overlapped (FDR-adjusted hypergeometric  $p = 3 \times 10^{-8}$ ) with a single BTM: six protection-associated genes (EPSTI1, IFI44L, IFI6, ISG15, LY6E, RSAD2) are members of BTM M8.3 representing Type I Interferon responsive genes. These genes were also increased in expression immediately post-immunization, but whereas nonprotected individuals had high and sustained increased expression of these genes after the first immunization, protected individuals had stronger increases after the remaining 4 immunizations (Figure 2C). This suggests that while interferon responses are broadly associated with protection during RAS immunization, the effect of increased interferon gene expression on protection may change over the course of vaccination. 13 of these 21 total protection-associated genes and 5 of 6 type I interferon protection-associated genes were also significantly vaccine-induced for at least one timepoint.

# Gene-set enrichment analysis revealed complex timing of vaccine responses

Modules identified as having enriched overlap with DEGs were limited by the binary significance cutoff used to identify DEGs. Therefore, we applied gene-set enrichment analysis (GSEA) to identify BTMs that were significantly enriched in the full rank-ordered gene list. Genes were ranked based on the above determined linear model coefficients and normalized enrichment scores (NES) calculated to classify BTMs as being increased or decreased relative to the day of the most recent immunization (Figure 3A) or associated with protection at a specific timepoint (Figure 3B). Overall, vaccine-induced responses identified as enriched in DEGs (Figure 2B) were also captured in the GSEA analysis; for example, increased interferon and erythroid cell BTMs after the first immunization, and decreased gene

TABLE 1 IMRAS sampling schedule. X indicates where specific sample types were taken.

	Imm 1					Imm 2				Imm 3				Imm 4			Imm 5				CHMI
Day	0	1	3	7	14	28	31	35	42	56	59	63	70	84	87	91	119	120	122	126	140
Whole blood	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х	Х
РВМС	Х		Х	х	Х					Х	Х	Х	Х				Х		Х	Х	
Serum	Х																				Х

Bold indicates the sampling day, e.g.1/3/7 days into the study. Non-bold 'X' indicates whether a particular tissue sample was taken that day.



transcription and protein synthesis BTMs after the second immunization. However, the vaccine-induced GSEA captured many events after the third, fourth and fifth immunizations not seen in the DEG-based analysis. This includes increases in erythroid cell and gene transcription and decreased inflammation after the third immunization as well as increased inflammation, interferon and protein phosphorylation after the fourth and fifth immunizations. Many significantly enriched BTMs fall into the 'TBD' category, where the overall functional annotation was not determined. These show enrichment patterns similar to inflammation and cytokine/chemokine BTMs, suggesting co-ordination with defined immune responses. Concordant with the individual DEG analysis, we saw highly distinct response patterns induced after each immunization.

Focusing on protection-associated BTMs, we saw more consistent patterns after each immunization. The majority of protection-associated processes comprised BTMs annotated as associated with specific immune cell types (adaptive and innate immune cells), cytokines, erythroid cell, and inflammation and proliferation (protein synthesis/phosphorylation/modification) that were consistently reduced in protected individuals relative to non-protected individuals 1-3 days after each immunization (Figure 3B). As with the vaccine-responsive BTMs above, 'TBD' modules mimicked the protection-associated enrichment patterns of inflammation associated BTMs. Interferon and prostanoid BTMs were increased in protected participants immediately after immunizations 2-5, but not after the first immunization. These interferon responses are consistent with what was observed in the DEG analysis above.

# Hierarchical clustering showed distinct patterns of protectionassociated responses

In order to decipher the different patterns of protectionassociated responses, we calculated average BTM expression and hierarchically clustered the modules based on their correlation matrix. BTMs that shared a functional annotation tended to cluster closely (Figure 4A) with Interferon BTMs and erythroid cell BTMs forming highly distinct clusters. We also observed a distinct cluster that represented inflammation/neutrophil/ cytokine BTMs. This indicated that BTMs representing similar functional annotations shared coherent expression patterns. Representative BTMs were then selected from these subclusters and their averaged expression was plotted (Figure 4B). Alongside the previously noted type I Interferon BTM, we observed a variety of protection-associated expression patterns, including both transient responses to vaccination and sustained protection-associated differences over the study. Notably, M12.2



monocyte BTM expression showed strong increases in protected individuals shortly after immunizations 2-5. In contrast, the M11.3 erythroid cells and M8.2 prostanoid BTMs showed sustained increased expression in non-protected individuals throughout the study. Thus, GSEA revealed numerous functional gene sets and expression dynamics that were correlated with protection.

## Monocyte, dendritic cell and invariant T cell populations were responsive to RAS vaccination

To better understand the cellular RAS vaccination response profile, we performed high-parameter flow cytometry profiling of PBMCs taken from the IMRAS vaccinees at timepoints



between protected and non-protected individuals at each timepoint. Vertical black lines indicate times of immunizations in both panels.

overlapping with whole blood gene expression (Table 1). Four protein marker panels were used, consisting of 21-28 markers each, specific for B cells, T cells, antigen-presenting cells, and NK/ILC/ $\gamma\delta$ T T cells (Table S1). An extensive gating strategy was applied (see Methods) to determine counts for hundreds of different cell types and corresponding activation states (Datafile S2). As with whole-blood transcriptomics, cell abundance profile variability was most strongly predicted by person-to-person variability (Figure S2) rather than by vaccine-induced changes, which necessitated a mixed-modeling approach to account for this variability while identifying vaccine-induced cell subsets.

Mixed-modeling revealed a total of 174 vaccine-induced cell types (Figure 5A; Datafile S3) including 19 Vδ2 γδ T (Figure 5B) cell subsets, 8 classical CD14+ monocyte subsets (Figure 5C), 13 nonclassical (NC) monocyte subsets (CD11c+ HLA-DR+ CD16+) (Figure 5D) 4 MAIT cell subsets, (Figure 5E), along with 48 CD4+ and CD8+ T cell subsets. We observed few or no changes in B cell, NK cell or NKT cell subsets. Several cell type groupings showed coherent changes in abundance over the course of vaccination: NC monocyte subsets were rapidly and transiently increased after immunizations 1 and 3 and 5 in both protected and non-protected individuals. Larger increases were apparent in nonprotected individuals after the first immunization with smaller increases after the third immunization, while the opposite pattern was observed in protected individuals (Figure 5B). In contrast,  $V\delta 2$  $\gamma\delta$  T cell subsets continuously increased over the course of vaccination and this increase was more apparent in nonprotected individuals (Figure 5C). Similar to NC monocytes, classical CD14+ monocytes subsets showed transient increases after each immunization (Figure 5D). CD272- (a.k.a. BTLA: Band T-lymphocyte attenuator) and CD85k+ (a.k.a. LILRB4, leukocyte immunoglobulin-like receptor B4) CD14+ monocytes represented the most abundant induced monocyte populations. For both CD272- and CD85k+ monocytes, increased abundance was apparent at the earliest post immunization 1 timepoint (day 3) in non-protected individuals, but not until day 7 post-immunization for protected individuals.

A distinct pattern was observed for MAIT cell abundance. Total MAIT cell abundance was generally increased after each immunization for both protected and non-protected individuals. However, increases in total and CD8+ MAIT cells were stronger and more rapid in protected individuals after the first immunization. (Figure 5E). Vaccine-induced T cell subsets, both CD4+ and CD8+, were not confined to a single memory



#### FIGURE 4

Expression dynamics of protection-associated BTMs. Expression of protection-associated BTMs identified by GSEA was calculated as the average of BTM gene expression and used to create a BTM correlation matrix. (A) The BTM correlation matrix. Expression of protection associated BTMs, identified by GSEA, was calculated as the average of BTM gene normalized expression, and pairwise Pearson correlation coefficients calculated and used as a basis for hierarchical clustering of the matrix. Colors show BTM-BTM correlation coefficients (Pearson's r), and BTMs ordered identically on the x- and y-axes by hierarchical clustering of the correlation matrix. (B) Averaged expression of representative BTMs from subclusters in (A) plotted with lines representing individuals BTM expression, colored by protection status. Protection group average expression shown as thick ribbons.

or effector phenotype. Since malaria sporozoite-specific T cells represent a small (or zero) proportion of total T cells it is unclear what is driving changes in circulating T cell proportion. These changes may simply reflect changes in the total number of circulating myeloid cells in response to vaccination.

It is important to note that none of the protection-associated trends listed in the vaccine-induced cell types described above reached statistical significance. We identified a single significantly protection-associated cell type: CD40+ CD1c+ DCs (Figure 5F). These showed a similar overall expression pattern as the NC monocytes, but with a more pronounced increase in abundance in non-protected individuals after the first immunization and a more pronounced spike in protected individuals after the third immunization. In summary, we observed that myeloid cell types including classical CD14+ monocytes, non-classical monocytes and DCs tended to show similar vaccine response and protection dynamics as type I interferon-associated gene expression, while other vaccine responsive lymphoid cell types such as MAITs and Vδ2 γδT cells had distinct response patterns over time.

## Combined analysis of cell populations and gene expression revealed cell types correlated with vaccine-induced and protection-associated transcriptional responses

To better understand the relationships between cell type abundances and transcriptional responses after RAS immunization,

we created a comprehensive correlation map relating RAS induced BTM expression to cell type abundances. Correlations between BTM expression and vaccine-induced cell type abundances were calculated by matching transcriptomic profiles with flow cytometry derived cell type abundance from each participant at shared timepoints (Table 1). Multiple significant cell type-BTM correlated pairs were observed (Figure 6A), and these correlations often reflected known functions of the cell type in question. For example, BTMs with the 'inflammation' functional annotation were correlated with CD14+ monocyte subsets and BTMs with the 'cytotoxic lymphocyte' annotation were correlated with  $\gamma\delta$  T cells. Many BTMs functionally annotated as 'antigen presentation', 'lymphocytes'; 'T cells', and 'cell cycle' were specifically correlated with naïve CD4+ and CD8+ T cell subset abundance (Figure 6B), possibly reflecting initiation of adaptive responses post immunization.

Several monocyte subsets were significantly (FDR < 0.05) and strongly positively correlated (Spearman's  $\rho > 0.5$ ) with Interferon BTM expression, specifically the CD16hi, CD272- and CD38hiCD163+ subsets of CD14+ monocytes, and CD38 +CD32+ NC monocytes (Figure 6C). Vaccine-induced NC monocyte subsets were uniformly positively correlated (all NC monocyte – interferon  $\rho > 0.2$ ) with all 4 interferon BTMs. While most CD14+ monocyte populations were positively correlated with interferon BTMs, this was not always the case for CD38loCD32+ or CD16hi populations of CD14+ monocytes. Therefore, it appeared that NC monocyte abundance was linked to interferon expression in the blood across the entire study period regardless of NC monocyte activation/maturity status, while this was not the case for CD14+ monocyte populations.



To further explore the temporal linkage between interferon transcriptional responses and DC/monocyte abundance, we investigated how the strength of their correlation varied over time. After immunization 1 (day 0-28) specifically, 2 CD14+ monocyte subsets were significantly positively correlated with interferon BTM expression. In contrast only 1 NC monocyte subset and no CD1c+ DCs (Table 2) were correlated with interferon BTMs. This changed after immunization 3, when 9 NC monocyte subsets, including total NC monocyte counts, were significantly correlated with interferon along with 3 CD14+ monocyte subsets and one CD1c+ DC subset (the protection associated CD1c+ CD40+ DC subset). This suggests that nonprotection associated interferon responses after immunization 1 are primarily associated with subsets of CD14+ monocytes, while protection-associated interferon responses after immunization 3 were correlated with increased abundance of a wider spectrum of both CD14+ and NC monocyte populations.

# Discussion and conclusions

Radiation-attenuated sporozoite vaccination is known to induce sterilizing protection against malaria, and many studies have investigated its protective efficacy and immune consequences (13, 14, 36–39). Our work stands out as the most comprehensive profiling to date of radiation-attenuated sporozoite immunization and challenge in malaria naïve individuals. Previously (13), we reported that increased abundance of type I interferon-associated genes in blood were associated with a lack of protection in malaria-naïve RAS vaccinees after the first immunization. Here



we extend our analysis by showing that interferon responses are subsequently increased more strongly in protected relative to nonprotected individuals after subsequent boosting immunization. We also identified several other independent transcriptional pathways associated with RAS-induced malaria protection. Furthermore, high levels of interferon-associated gene transcription in blood after the first immunization were correlated with a small number of specific classical CD14+ monocyte subpopulations, while peripheral interferon responses to subsequent immunizations were correlated with increased abundance of broader range of classical and non-classical monocytes, along with CD1c+ dendritic cells. Serum antibody profiling revealed robust serum antibody responses to abundant sporozoite surface antigens, i.e. CSP, TRAP and AMA1, that were vaccine-induced but not protection-associated. We did not see significant changes in B cell subset abundances in blood induced by vaccination, or associated with protection, likely reflecting small total numbers of *P. falciparum* antigen specific B cells in circulation. The relationship between malaria protection and neutralizing antibodies is complex: despite a clear role for antibodies in protection against malaria, the association of serum antibody levels with protection is inconsistent (40, 41). It has been shown that malaria protection can be elicited by sufficiently high levels

	All timepoints (Day 0 -140)	Immunization 1 (Day 0 - 28)	Immunization 3 (Day 56 - 81)	Immunization 5 (Day 119-140)
CD14+Monocytes	CD14intCD16hi	CD272-	CD14intCD16hi	
	CD272-	CD38hiCD163+	CD272-	
	CD38hiCD163+		CD38hiCD163+	
	CD85k+			
CD1c+ DC	CD16+		CD40+	
	CD40+			
NC Monocytes	Total	CD38+CD32+	Total	
	CCR7-		CCR7-	
	CD16-		CD16+	
	CD16+		CD26-	
	CD26-		CD272-	
	CD272-		CD38+CD32+	
	CD38-CD32+		CD40+	
	CD38+CD32+		CD85k-	
	CD40-		CD86+	
	CD40+			
	CD85k-			
	CD86+			

TABLE 2 Numbers of DC/monocyte subsets significantly (FDR < 0.05) and positively (rho>0.25) correlated with at least one interferon BTM after each immunization.

of neutralizing antibodies delivered *via* passive transfer (42), but It is likely that the antibody levels elicited in this IMRAS study cohort were insufficiently high to achieve protection alone, and require the participation of other mechanisms, such as malaria specific CD8+ T cells (43, 44). Also, our study is likely underpowered to discover different potential routes of protection from alternate antigens and to capture the breadth of antigen responses on an individual level. We did not explore Fc mediated antibody function, which has been previously associated with protection (40).

The biggest factor driving variability in transcriptome and circulating cell phenotype populations was individual variability. Each vaccinee occupied a distinct region of the dimensionalityreduced transcriptomic space (Figures S1, S2), illustrating that per-participant variability has a stronger effect than responses induced by vaccines. It is reasonable to assume that our limited sample size has not extensively explored common human transcriptomic phenotypes, and further insights into RAS immunity would be accessible by profiling larger cohorts. A further set of 10 IMRAS RAS vaccination and challenge samples from Cohort 2 (15) were also available, however Cohort resulted in 9/10 individuals being protected post CHMI. Having only one participant in the non-protection outcome group meant we lacked statistical power to validate our transcriptomic or flow cytometry results in this group. Since our linear mixed modeling approach incorporates a per-individual effect in our models, the scope of statistical inference is limited to these individuals, thus further underlining the need for independent validation of these results. Nevertheless, significantly altered transcriptome responses and cell type abundances associated with vaccination and protection were evident. Vaccine-induced responses were highly distinct after each vaccination. We identified multiple coherently expressed transcriptional responses significantly responsive to immunization. Responses associated with vaccination, but not protection, primarily comprised strong reductions in proliferative processes (protein synthesis, gene transcription, protein phosphorylation) and oxidative phosphorylation BTMs after immunization 2. Metabolic processes in whole blood reflect the sum of each individual cell types' metabolic program. As immune cells comprise the vast majority of nucleated blood cells, changes in metabolic gene expression in blood reflect changes in immune cell metabolism. Overall, vaccination-associated processes seem to represent broad shifts in immune cell metabolism and abundance after vaccination. Immature neutrophils use oxidative phosphorylation (OXPHOS) for energy production, while mature neutrophils rely on glycolysis (45). Additionally, classically activated M1 macrophages and DCs upregulate glycolysis in preference to OXPHOS (46). Thus, the reduction in OXPHOS and proliferative signaling after immunization 2 may reflect the increased presence of mature innate cell phenotypes. Additionally, OXPHOS is associated with antiinflammatory M2 macrophages, while pro-inflammatory M1 macrophages rely on glycolysis (47). Therefore, this reduction in OXPHOS after immunization 2 but not immunization 1 may reflect a shift in the balance of M1 vs M2 macrophages in the skin/site of infection after the first immunization. Alternatively, changes in OXPHOS signaling could be driven by T cell activation, as quiescent naïve and memory T cells primarily rely on OXPHOS, however activation *via* TCR stimulation causes a shift to glycolysis (48). The large numbers of vaccine-induced genes observed immediately post-immunization contrasts with a recent whole-sporozoite with chloroquine prophylaxis vaccine study (CPS) with a similar number of participants and protective efficacy (8). This is likely driven by the much smaller dose of sporozoites delivered: in the CPS study subjects received 5-15 bites from infected mosquitos per immunization vs. approximately 200 in IMRAS. However, BTMs implicated in protection largely overlapped between studies, including monocytes, neutrophils, inflammation and interferon.

Professional antigen presenting cells have an essential role in the development of vaccine-induced immunity, thus it is not surprising that monocyte and DC abundances were clearly induced by vaccination. In particular, multiple NC monocyte (30) subsets were increased after vaccination. In the original OMIP publication of the flow panel, these NC monocytes are referred to as double negative (DN) DCs, i.e. CD141- CD1c-CD14- CD11c+ cells which do not fall into the classical cDC1 or cDC2 classes and are not pDCs. However, we observed that almost all of these 'DN DCs' are CD16+. Previous work has shown that CD14dim CD16+ CD11c+ CD1c- CD141- cells are better described as non-classical monocytes and not DN DCs (49, 50). Thus, our results have consistently referred to nonclassical (NC) vs. classical CD14+ monocytes. We saw increased abundance of classical CD14+ monocytes after the first immunization followed by increases in a broad mix of classical and non-classical monocytes after immunizations 3 and 5.

Along with myeloid cells, RAS vaccination was associated with changes in non-conventional T cell populations. We saw increases in MAIT cell abundances in response to RAS immunization with a tendency towards larger increases in protected individuals. Mpina, et al. (51) have shown a longlived, dose-dependent increase in MAIT cells following resolution of infection after CHMI with ex-vivo experiments that associated MAIT activation with blood stage malaria parasitemia, but not RAS administered by direct venous injection. It is therefore possible that MAIT cell responses detected here reflect immune responses to the mosquito bite, however this would not account for a trend towards larger increases in protected individuals, suggesting further investigation of the role of MAIT cells in malaria protection. V $\delta$ 2  $\gamma\delta$ T cells have been previously shown to be intrinsically activated by malaria (52, 53) and elevated in protected individuals after whole-sporozoite vaccination (54). We observed a consistent increase in V $\delta$ 2  $\gamma\delta$ T cell counts over the entire course of vaccination and non-protected individuals trended towards larger cumulative increases in these cells. This was particularly apparent after the fifth immunization, although

this difference was not statistically significant. While this may appear at odds with the described protective role of V $\delta$ 2  $\gamma\delta$ T cells, other work suggests a complex relationship between V $\delta$ 2  $\gamma\delta$ T and malaria immunity: it has been reported that a decrease in V $\delta$ 2  $\gamma\delta$ T cells levels is associated with tolerance (55) and reduced severity of malaria infection (56).

We previously observed a detrimental role of type I interferon in the development of malaria protection after prime immunization and a correlation between it and nonclassical monocytes. In the current study, we have further explored the dynamics of interferon response following RAS vaccination and observed that type I interferon was negatively associated with the initial establishment of adaptive memory, but positively correlated with memory expansion upon boosting. We note that our measurements of type I IFN responses were transcriptional, and we did not directly measure protein levels, e.g. secreted IFN induced cytokines, as our serum sampling timepoints (Table 1) were either pre-immunization (day 0), or 3 weeks after immunization 5. However, we also saw that these interferon responses were significantly correlated with monocyte frequency, consistent with our previous findings. A potential explanation for this phenomenon is that over-induction of type I interferon inhibits the initial establishment of memory cell populations. Sporozoite antigens are taken up and presented by DCs after caspase-mediated cell death (57), and type I interferon can inhibit caspase activity (58) and hence antigen presentation. The detrimental role of interferon in the development of CD8+ T cell mediated immunity has also been observed in animal models (44). It remains to be seen whether the role of interferon in is specific to RAS immunization or is relevant to the development of human liver-stage malaria immunity more broadly. Radiation-attenuated sporozoites cannot replicate in the liver resulting in incomplete liver stage development without expansion of parasite mass. This is different in key respects to other whole-sporozoite vaccination approaches such as vaccination using late-arresting genetically attenuated sporozoites (11) or administration of live sporozoites with anti-malarial prophylaxis (8, 12), which allow liver stage parasite expansion. Thus, the arrested nature of the RAS liver stage may explain the transient nature of the IFN transcriptional responses that peaked 1-3 days post immunization. In contrast to these results, previous work investigating transcriptional responses to RTS,S in malaria naïve individuals (59) did not observe any association between initial immunization and IFN transcriptional responses, although, consistent with our work, they did observe a positive association between IFN responses 1-5 days after the 2<sup>nd</sup> immunization and subsequent protection. We hypothesize that these early IFN differences may be due to a stronger innate response to a whole-sporozoite RAS vaccination vs. the subunit RTS,S vaccine. Our previous work looking at malaria-experienced RAS vaccinees (38) also did not observe differences in transcriptional IFN responses to immunization between protected and non-protected individuals, however we did observe an overall reduction in the proportion of protected individuals, and a large proportion of non-protected BSPZV1 participants had elevated expression of IFN $\alpha$  response genes prior to initial immunization, suggesting a role for chronic inflammation in dampening RAS vaccine efficacy.

While abundances of CD14+ and NC monocyte populations were not significantly associated with protection, different monocyte subsets were correlated with interferon transcriptional responses after immunizations 1, 3 and 5, suggesting that the distinct protection-associated roles of interferon after immunization 1 vs immunizations 2-5 may reflect the balance of classical vs. non-classical monocytes responding to vaccination. Specifically, protection-associated interferon transcriptional responses were correlated with increased levels of both CD14+ and NC monocyte subsets, while the non-protective interferon responses after the 1st immunization were correlated with CD14+ subsets only. Interestingly, increased abundance of non-classical CD14dim CD16+ monocytes has been previously linked to reduced serum inflammatory cytokine levels and less severe malaria in children (60), pointing to a key role for these monocyte subsets in resolving the inflammatory response to malaria. Thus, NC monocytes may play an immune regulatory role in response to RAS immunization, and effective vaccine mediated immunity may require a balance between classical and non-classical monocyte responses. The sole protection-associated cell type we identified was CD40+ CD1c+ DCs, which are activated cDC2 cells. cDC2s are the predominant DC population in blood, and can cross present to and potently activate Th1, Th2, Th17 and CD8+ T cells (61). CD40 is a costimulatory molecule that plays a central role in T and B cell activation and regulation when expressed on DCs (62). CD40 has been shown to be required for liver-stage protective immunity to malaria infection in a mouse model (63). It has also been observed that blood derived DCs upregulated CD40 upon exposure to P. falciparum infected red blood cells (64). CD40+ CD1c+ DC abundances were also correlated with interferon responses across all timepoints and specifically after immunization 3 in pattern similar to many NC monocyte subsets, suggesting that NC monocytes and DCs may play a coordinated role mediating expansion of adaptive memory responses.

Apart from interferon-associated signaling, BTMs associated with inflammation, cytokines and chemokines, monocytes, neutrophils, and erythroid cells were identified as protection associated by GSEA. In general, protected individuals showed reduced induction of these processes immediately after every immunization, distinct from what we saw with interferon. However, it is difficult to disentangle the specific role each of these individual processes play in protection. Interestingly, we have seen that increased pre-vaccination abundance of BTMs associated with inflammation, monocytes, and neutrophils was associated with protection against malaria challenge (65), raising the possibility that the reduced responses seen in protected individuals post-immunization is due to their higher baseline

expression of these modules, giving them an immunological 'head start' in responding to immunization more broadly. In contrast to the large numbers of significantly vaccine induced genes identified in this work, our previous pre-vaccination analysis (65) of correlates of protection did not identify specific genes significantly associated with protection, but rather coordinated differences in gene set expression in protected individuals. The observation that both differences in inflammatory states pre-vaccination and inflammatory responses to vaccination contribute to protection suggests that vaccination acts as an immune stimulus that allows subtle underlying pre-dispositions to protection to be revealed. That hypothesis is supported by a recent cross-study analysis of 13 different vaccines showing that a heightened inflammatory state prior to immunization marked by increased expression of monocytes, dendritic cells and pro-inflammatory genes was associated with higher serum antibody responses across vaccines (66). Multiple BTMs associated with erythroid cells were identified as increased and negatively associated with protection 1-3 days post-immunization after the first three immunizations. It is not immediately clear why erythroid cellassociated processes might be important for RAS-mediated protection, but there are several possible explanations. For example, increased erythroid cell BTMs may reflect anemia of inflammation (67) where inflammation induces upregulation of hepcidin in the liver, resulting in iron retention in macrophages and reduction in erythroid cell differentiation and proliferation via inhibition of erythropoietin. Also, it has been shown that type I interferon is involved in hematopoiesis (68, 69) and activates dormant hematopoietic stem cells. Increased prostaglandin BTMs were also associated with protection and their role may intersect with erythroid cell signaling, as free heme impairs the anti-inflammatory prostaglandin  $E_2$  (70) while decreased levels of plasma-circulating prostaglandin E2 were correlated with more severe malaria disease in children (71).

Taken together, our systems immunology profiling results demonstrate that a finely tuned and coordinated set of immune responses are involved in establishing protection after RAS immunization, in particular the interplay between interferon transcriptional responses and classical and non-classical monocyte abundances. These also include responses previously reported, and observed here, to be induced by whole sporozoite vaccines (e.g. expansion of Vδ2 γδT cells) and more general inflammatory, antigen uptake and presentation responses necessary for development of immunity from any vaccine. This work has revealed that the coordination of protection-associated peripheral blood responses is complex, highly variable on an individual level and distinct after each vaccination. Although these results have yet to be validated in a comparable independent vaccine cohort, we hope that the urecedented depth and resolution of our analyses, and the public availability of the accompanying IMRAS profiling data, will inform future design and interpretation of whole-sporozoite vaccine trials.

# Data availability statement

Whole blood transcriptional data is available on NCBI GEO under accessions GSE116619 (Days 0-28) and GSE192756 (Days 31-140). Antibody microarray profiling results and flow cytometry subset counts are available as Datafiles S 4 and S 5 respectively, with raw data available in ImmPort.

# **Ethics statement**

The study was conducted at the Naval Medical Research Center (NMRC) Clinical Trials Center from 2014 to 2016; controlled human malaria infections (CHMIs) were conducted at the Walter Reed Army Institute of Research (WRAIR) secure insectary. The study protocol was reviewed and approved by the NMRC Institutional Review Board in compliance with all federal regulations governing the protection of human subjects. WRAIR holds a Federal-wide Assurance from the Office of Human Research Protections (OHRP) under the Department of Health and Human Services as does NMRC. NMRC also holds a Department of Defense/Department of the Navy Federal- wide Assurance for human subject protections. All key personnel were certified as having completed mandatory human subjects' protection curricula and training under the direction of the WRAIR Institutional Review Board or the NMRC Office of Research Administration (ORA) and Human Subjects Protections Branch (HSPB). All potential study subjects provided written, informed consent before screening and enrollment and had to pass an assessment of understanding. This study was conducted according to the Declaration of Helsinki as well as principles of Good Clinical Practices under the United States Food and Drug Administration Investigational New Drug (IND) application BB-15767. This trial was performed under an IND allowance by the Food and Drug Administration (FDA).

# Author contributions

FD designed and performed computation analysis and integration with assistance from YD, MN, DO, and NM. Flow cytometry was performed by NH, KVS, and SD. Wet lab work on RNAseq and serum profiling was performed by JC and SM. Study conceptualization and funding and supervision was by EN, MJM, JA, and KS. Manuscript drafting was done by FD and all authors contributed to editing, revising and approving the final manuscript.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fimmu.2022.1042741/full#supplementary-material

#### SUPPLEMENTARY FIGURE 1

Principal component analysis plots of normalized whole blood gene expression data. Each panel shows colored points corresponding to all timepoints from a single participant with samples from other study participants shown in grey.

#### SUPPLEMENTARY FIGURE 2

Principal component analysis plots of cell-type profiles determined by flow cytometry. Each plot panel shows the first two principal components per-sample from flow panels for B-cells; CD3+ T cells; NK and invariant T-cells; and DCs and other antigen presenting cells respectively. Each sub-panel is labelled and colored to highlight a single study participant, with the remaining samples shown in grey.

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#### SUPPLEMENTARY TABLE 1

Flow cytometry panel marker panels. Each column lists the protein markers used in each flow cytometry panel in this study.

#### SUPPLEMENTARY DATA SHEET 1

Limma-dream results table of significant (FDR < 0.05) vaccine-induced genes.

#### SUPPLEMENTARY DATA SHEET 2

Gating diagrams for each flow cytometry panel, plus gated flow cytometry plots for the T and B cell panels, with parent gated populations indicated.

#### SUPPLEMENTARY DATA SHEET 3

Mixed-model p-values and high-level cell type grouping for each vaccine-induced cell type.

#### SUPPLEMENTARY DATA SHEET 4

Serum antibody array mean fluorescent intensity (MFI) array profiling data for each antigen and sample, normalized relative to IVTT controls.

#### SUPPLEMENTARY DATA SHEET 5

Gated flow cytometry count table, annotated with cell types for each participant at each timepoint.

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