



Tidy up - The unfolded protein response in sepsis

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Pathogens, their toxic byproducts, and the subsequent immune reaction exert different forms of stress and damage to the tissue of the infected host. This stress can trigger specific transcriptional and post-transcriptional programs that have evolved to limit the pathogenesis of infectious diseases by conferring tissue damage control. If these programs fail, infectious diseases can take a severe course including organ dysfunction and damage, a phenomenon that is known as sepsis and which is associated with high mortality. One of the key adaptive mechanisms to counter infection-associated stress is the unfolded protein response (UPR), aiming to reduce endoplasmic reticulum stress and restore protein homeostasis. This is mediated *via* a set of diverse and complementary mechanisms, *i.e.* the reduction of protein translation, increase of protein folding capacity, and increase of polyubiquitination of misfolded proteins and subsequent proteasomal degradation. However, UPR is not exclusively beneficial since its enhanced or prolonged activation might lead to detrimental effects such as cell death. Thus, fine-tuning and time-restricted regulation of the UPR should diminish disease severity of infectious disease and improve the outcome of sepsis while not bearing long-term consequences. In this review, we describe the current knowledge of the UPR, its role in infectious diseases, regulation mechanisms, and further clinical implications in sepsis.

KEYWORDS

sepsis, infection, unfolded protein response, immunity, endoplasmic reticulum stress, inflammation

Abbreviations: ATF, activating transcription factor; BiP, binding immunoglobulin protein; CHOP, C/EBP homologous protein; ER, endoplasmic reticulum; eIF2 α , eukaryotic translation initiation factor 2-alpha; GADD34, DNA damage-inducible 34; IRE1 α , inositol-requiring enzyme 1-alpha; PERK, protein kinase R-like ER kinase; UPR, unfolded protein response; XBP1, X-box binding protein 1.

Introduction

Maintaining organ function while targeting invading pathogenic microorganisms is a balancing act for infected hosts (1). If successful, an infectious disease will likely take a milder course with no adverse effects on host tissues and the outcome will be favorable. If the measures taken by the host are maladaptive, organ dysfunction might occur and the infection will progress into sepsis, *i.e.* a diverse clinical syndrome that is still associated with a mortality rate of 30 to 50% despite available intensive care (2). Upon infection, hosts can mount two distinct but complementary defense mechanisms referred to as resistance to infection and disease tolerance to infection (3–5). Resistance mechanisms directly target pathogens aiming at reducing their number. Disease tolerance mechanisms aim at the preservation of tissue function and homeostasis. They rely on a set of tightly regulated stress and damage response pathways that sense and react to environmental cues, or infection-associated damage (6). If successful, tolerance pathways reduce disease severity without directly targeting pathogens (3–5). This also enforces restoration of homeostasis after pathogen clearance (6, 7) and directly counters sepsis-induced organ dysfunction.

The endoplasmic reticulum (ER) is a large and complex organelle with remarkable structural plasticity that serves as the main site for protein folding, maturation, and their subsequent transport to the Golgi apparatus in eukaryotic cells (8, 9). Thus, this organelle regulates essential cellular processes including calcium signaling, carbohydrate and lipid metabolism, and proteostasis (9, 10). Indeed, it carefully controls the rate of cellular protein synthesis and degradation under homeostatic conditions. However, even with the help of chaperones and folding enzymes, an accumulation of misfolded proteins in the lumen of the ER can occur, a process known as ER stress (10). Several conditions promote ER stress including calcium depletion, nutrient deprivation, hypoxia, inflammatory responses, or infection (10–13). Consequently, cells respond to ER stress by activating conserved adaptive signaling pathways—autophagy, ER-phagy, and the Unfolded Protein Response (UPR) (11–14). The UPR achieves proteostasis *via* i.) the reduction of protein translation, ii.) an increase of protein folding capacity, and iii.) an increase of polyubiquitination of misfolded protein and subsequent proteasomal degradation. This process is known as ER-associated protein degradation (ERAD) (15). Altogether, the UPR aims to counter the effects of proteotoxic stress and restore homeostasis. However, activation of the UPR is not only beneficial. Enhanced or prolonged activation of UPR can induce cell death and promote tissue damage (8, 16–18). Thus, disturbances in this delicate system have been shown to impact a wide range of pathological conditions, such as metabolic disease, cancer, inflammation, and infection (8, 19–21).

In the following chapters, we will discuss the molecular basis and regulation of the UPR and its role during inflammation and

bacterial infection focusing on the immune system and several parenchymal organs. The findings from animal infection and inflammation models are summarized in Table 1.

Regulation of the unfolded protein response

Until now, three main conserved molecular branches are identified that constitute the UPR. They operate in parallel *via* distinct signaling mechanisms and are named after their key-regulating proteins, *i.e.*, i.) protein kinase R-like ER kinase (PERK); ii.) inositol-requiring enzyme 1- α (IRE1 α); and iii.) activating transcription factor-6 (ATF6) (Figure 1) (8, 13, 38).

The PERK branch

The initial step in the recognition of misfolded proteins involves the dissociation of Binding immunoglobulin Protein (BiP) from the UPR sensors resulting in their activation. Upon sensing ER stress, PERK oligomerizes within the ER and phosphorylates itself and its substrates, including the nuclear factor erythroid 2-related factor (NRF2) and the eukaryotic translation initiation factor 2- α (eIF2 α) (13, 14, 39, 40). Phosphorylation of eIF2 α halts protein translation *via* inhibition of the eIF2-GTP-Met-tRNA ternary complex (13, 39). However, eIF2 α is also phosphorylated by other sensors including the double-stranded RNA-dependent protein kinase (PKR), heme-regulated eIF2 α kinase (HRI), and general common derepressive 2 (GCN2) (41–45). Altogether, they form part of the Integrated Stress Response (ISR), an adaptive pathway that helps restore cellular homeostasis in response to diverse stresses, such as ER stress, heme deprivation, oxidative stress, heat shock, viral infection, glucose deprivation, and amino acid deprivation (41–43, 45–47). In consequence, *eIF2 α* is a vital gene. Mice with a mutation at the eIF2 α phosphorylation site died within a few hours after birth, underscoring the essential role in normal physiology and mammalian development (48–50). Despite halting translation, phosphorylation of eIF2 α promotes the expression of certain transcription factors, including ATF4 (13, 48). ATF4 has an important role in regulating normal metabolic processes and acts as a master transcription factor during UPR. It has the capacity to form diverse homodimers and heterodimers, while also being regulated at the transcriptional, translational, and post-translational levels, which allows tailored responses toward different cellular stresses (47, 51). During stressful conditions, elevated translation of ATF4 facilitates the expression of stress-responsive genes, including the phosphatase growth arrest and DNA damage-inducible 34 (GADD34) and the transcription factor C/EBP homologous protein (CHOP) (13, 47). GADD34 is a co-factor that activates protein phosphatase 1 (PP1) which dephosphorylates eIF2 α , acting as

TABLE 1 Summary of the UPR in animal studies with inflammatory stress (LPS) and/or infection.

Compartment	Model	Animal model	Outcome	Ref.
Lymphocytes	CLP	C57BL/6 WT	Apoptosis ↑ BiP ↑ CHOP ↑ XBP1 ↑	(22)
Spleen	CLP/LPS	B6. <i>Chop</i> ^{-/-} vs. WT	Survival ↑ Pathogen Load ↓ Caspase-3 activation ↓ Apoptosis ↓ IL10, TNF ↓	(23)
Small intestine	LPS	B6. <i>Pad4</i> ^{-/-} vs. WT	Intestinal injury ↓ NETs formation ↓ CHOP ↓ Inflammatory cytokine ↓ BiP and XBP1s ↓	(24)
Peritoneum	LPS-tolerance AND <i>P. aeruginosa</i> vs. LPS-tolerance AND <i>P. aeruginosa</i> + tunicamycin/thapsigargin	C57BL/6 WT	Inflammatory Cytokine ↑ GSK-3β activation ↑ Mortality ↓	(25)
Whole liver/Kupfer cells	Burn + LPS /CLP	Sprague-Dawley WT rats & C57BL/6 WT mice	Liver damage: serum ALT/AST ↑ Inflammasome activation ↑ ER stress ↑ BiP and CHOP ↑ Apoptosis ↑ Inflammatory cytokine ↑ Altered hepatocytes transcriptional program	(26–29)
Lung	LPS/CLP	C57BL/6 WT	ER stress ↑ BiP, CHOP, p-eIF2α, ATF4, XBP1s ↑ Inflammatory cytokine ↑ Apoptosis ↑	(30, 31)
Kidney/renal tubular cells	LPS/CLP	C57BL/6 WT	XBP1 ↑ Serum creatinine/Blood urea nitrogen ↑ Kidney tubular necrosis ↑ CHOP ↑ Inflammatory cytokines ↑ PKR activation ↑ eIF2α-phosphorylation ↑ Protein translation ↓	(32, 33)
Heart	LPS/CLP	Sprague-Dawley WT rats & B6. <i>Fundc1</i> ^{-/-} vs. WT	Cardiac injury ↑ Inflammatory cytokines ↑ BiP, GRP94, caspase-12, and CHOP ↑ BCL-2 ↓ Troponin T, LDH, creatinine kinase ↑ Mitochondria viability, potential membrane ↓ ATF5, mtDNAj, ClpP, LonP1, CHOP, Hsp10, and Hsp60 ↑	(34, 35)
Skeletal muscle	LPS/CLP	Sprague-Dawley WT rats & Pigs	eIF4F-phosphorylation ↓ Protein translation ↓	(36, 37)

ALT, alanine transaminase; AST, aspartate transaminase; ATF, activating transcription factor; BCL-2, B-cell lymphoma 2; BiP, binding immunoglobulin protein; CHOP, C/EBP homologous protein; CLP, cecal ligation and puncture; eIF, eukaryotic initiation factor; ER, endoplasmic reticulum; GSK-3β, glycogen synthase kinase 3β; LPS, lipopolysaccharide; NET, neutrophil extracellular trap; PAD4, peptidylarginine deiminase 4; PKR, protein kinase R; TNF, tumor necrosis factor; XBP1, X-box binding protein 1; WT, wild type. ↓ : Decreased effect. ↑: Increased effect.

an important negative feedback loop to restore mRNA translation once the stress has been resolved (47, 52–54). However, persistent activation of the UPR leads to the expression of genes that control apoptosis such as *Chop*, encoding a transcription factor known to induce cell death *e.g.* upregulation of pro-apoptotic genes, enhancing expression of cell death receptor, or by destabilizing the homeostasis of the

oxidative environment of the ER (13, 47, 55–58). CHOP, nevertheless, is not only induced by PERK, since its expression also depends on members of the other UPR branches, including ATF6 and XBP1, which highlights the intricate nature of the UPR and its different branches (59–64).

PERK can also phosphorylate ERF2, an essential transcription factor involved in cellular metabolic adaptation

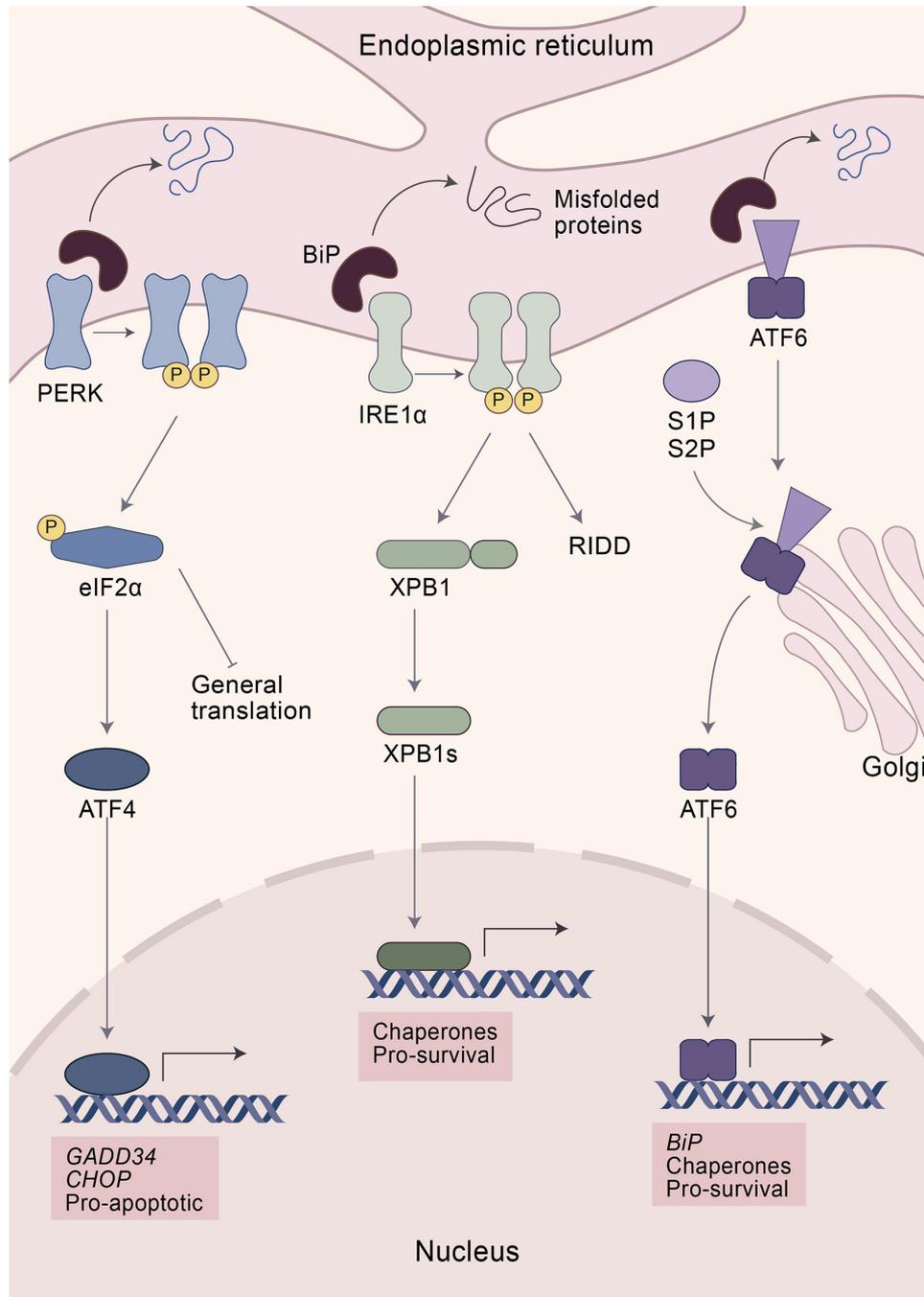


FIGURE 1

The unfolded protein response (UPR). Elevated levels of misfolded proteins are sensed by a group of specialized receptors in the ER—PERK, IRE1α, and ATF6—aiming at restoring proteostasis. The initial step in the recognition of misfolded proteins involves the dissociation of BiP from the UPR sensors resulting in their activation. PERK activation leads to the phosphorylation of eIF2α, which blocks the translation of 5' cap-mRNA while at the same time increasing the expression of ATF4. After restoring ER homeostasis, ATF4 promotes the expression of the transcription factor GADD34, which enhances the expression of the protein phosphatase 1 (PP1) which dephosphorylates eIF2α and restores protein translation. However, if ER stress persists, ATF4 induces apoptosis via CHOP. IRE1α activation reduces ER stress via two mechanisms: i.) degradation of mRNA by IRE1α-dependent decay and ii.) cleavage of XBP1 transforming it into its active form: XBP1s. XBP1s is a transcription factor that induces the expression of protein chaperones increasing the folding capacity of the ER. ATF6 activation promotes its translocation to the Golgi apparatus. There ATF6 is cleaved by two proteases—S1P and S2P—turning it into an active transcription factor. ATF6 enhances the expression of chaperones and BiP. While PERK is considered to be pro-apoptotic, IRE1α and ATF6 promote survival upon ER stress.

to oxidative stress (40, 65, 66). Upon phosphorylation, ERF2 dissociates from its repressor, the Kelch-like enoyl-CoA hydratase (ECH)-associated protein 1 (KEAP1), which leads to NRF2 nuclear translocation and subsequently increases the expression of proteins with antioxidant activity (40, 65, 66). However, PERK also controls ERF2 expression by a mechanism that does not rely on direct phosphorylation. Indeed, activation of ATF4 is also necessary for sufficient expression and nuclear translocation of NRF2 in response to ER stress (67). Thus, PERK plays an essential role by coordinating adaptive signaling pathways involved in resistance against ER and oxidative stress.

The ATF6 branch

ATF6 serves as the second branch of the UPR response. Upon activation, ATF6 translocates to the Golgi apparatus by vesicular transport (68, 69). At the Golgi apparatus it is cleaved by two proteases, membrane-bound transcription factor site-1 proteases (S1P) and S2P, resulting in an active transcription factor that regulates the expression of several genes including *Chop* and chaperones to alleviate protein misfolding (8, 61). Among the chaperones regulated by ATF6 is BiP, which plays an integral and critical role in the UPR by sensing misfolded proteins (13). Indeed, overexpression of *BiP* reduces the activation of UPR while its inactivation promotes ER stress (70, 71). BiP binds transiently to the luminal domain of the UPR receptors—PERK, ATF6, and IRE1 α —and detaches again to bind nascent proteins in case unfolded proteins accumulate in the ER lumen. It is not completely understood whether misfolded proteins are sensed either by direct contact with the UPR receptors or indirectly through BiP dissociation (9, 70, 72–74).

The IRE1 α branch

IRE1 α is a bifunctional enzyme that senses the accumulation of unfolded proteins, leading to its dimerization and autophosphorylation (9, 75, 76). Subsequently, IRE1 α cleaves mRNA encoding the UPR-specific transcription factor, X-box binding protein 1 (XBP1) resulting in its active form spliced XBP1 (XBP1s) (9). XBP1s can increase the expression of chaperones and thereby enhances the protein folding capacity of the ER (8, 9). While it is mostly considered that XBP1s promotes cell viability, this molecule also contributes to cell death by controlling the expression of *Chop* (59, 60, 63, 64). Besides activation *via* IRE1 α , XBP1 is also modulated *via* other mechanisms, including binding to forkhead box protein 01 (FOXO1) or phosphorylation by the mitogen-activated protein kinase (MAPK-14, also known as p38). Altogether, XBP1 has an impact on development, metabolism, and disease (77–79). For instance, overexpression of *XBP1* improves glucose metabolism in severely obese mice and in a mouse model of insulin

deficiency or insulin resistance (79). Moreover, mice with hepatocyte-specific deletion of *Xbp1* develop insulin resistance and are prone to liver injury (77, 80). Similarly, XBP1 modulates lipid metabolism since selective deletion of *Xbp1* in the liver results in hypocholesterolemia and hypotriglyceridemia, together with modulation of lipogenic genes indicating that XBP1 is a regulator of lipogenesis (81).

However, IRE1 α controls metabolism and apoptosis through the degradation of mRNAs in a process known as regulated IRE1-dependent decay (RIDD) (75, 82–87). For example, several genes involved in lipogenesis and lipoprotein metabolism, such as *Angptl3* and *Ces1*, are substrates of RIDD (87). Subsequently, suppression of RIDD reversed hypolipidemia in XBP1-deficient mice (87). In addition, IRE1 α can degrade *via* RIDD several microRNAs that suppress the expression of CASP2, resulting in increased CASP2 protein levels (83, 85, 88). CASP2 is a pre-mitochondrial protease that cleaves the BH3-only protein BID resulting in activation of the BAX/BAX apoptosis pathway (83, 88). However, IRE1 α overactivation also induces the expression of thioredoxin-interacting protein (TXNIP), which in turn activates the NLR family pyrin domain containing 3 (NLRP3) inflammasome, resulting in IL-1 β secretion and apoptosis (85). Similarly, IRE1 α can interact and phosphorylate the tumor necrosis factor receptor-associated factor 2 (TRAF2) and apoptosis signal-regulating kinase 1 (ASK1) (9, 89). This interaction results in apoptosis by activating the downstream targets from TRAF2 and ASK1, including the c-Jun NH2 terminal kinase (JNK) and p38 MAPK (9, 89).

The unfolded protein response during inflammation and infection

Inflammation is the essential biological process that aims at controlling host homeostasis in response to infection. While we will here focus on inflammation in the context of infection, we would like to encourage readers to read a recent definition of inflammation that takes into account the broader aspect of inflammatory responses [reviewed in (90)].

Activation of inflammation requires the recognition of pathogen-associated molecular patterns (PAMPs) or endogenous signals such as damage-associated molecular patterns (DAMPs) by specialized molecules known as pattern-recognition receptors (PRRs) (91–93). Consequently, the mobilization of immune cells and soluble mediators—such as cytokines and chemokines—orchestrate the recognition, clearance, and resolution of the infection. This process results in the anciently defined characteristics of inflammation: redness, warmth, swelling, and pain. Once the infection is cleared, repair and restore mechanisms that promote return to homeostasis are activated, which include the expansion of immune cells with suppressive function and production of inflammation-resolving

cytokines. These mechanisms are of paramount importance since prolonged hyperinflammatory responses can lead to host tissue damage (92). Thus, inflammation is a tightly controlled process that involves a network of cellular communication and intracellular signaling pathways. Understanding mechanisms that modulate inflammation in the context of infection is a major topic of research.

The UPR modulates inflammation by interacting with PRRs and their downstream inflammatory signaling pathways, including nuclear factor kappa-light-chain-enhancer of B cells (NF- κ B), activator protein 1 (AP-1), and interferon regulatory factors (IRFs) *via* diverse mechanisms [reviewed in (94)]. These include: induction of specific I κ B degradation and NF- κ B nuclear translocation, transcriptional repression of negative regulators of NF- κ B *via* CHOP, activation of AP-1 through MAPKs, or phosphorylation and activation of IRF3 (94).

Consequently, the UPR impacts the differentiation and function of several types of innate and adaptive immune cells. For example, Martinon et al. showed that activation of TLR2 and TLR4 in macrophages triggers IRE1 α -XBP1 pathway activation but does not increase the mRNA expression of *Chop* or the chaperones *BiP* and *Erdj4*. Moreover, the IRE1 α -XBP1 pathway is required for the optimal production of cytokines and chemokines, since using target-specific siRNA dampened *Il6*, *Tnf*, *Isg15*, *Ifnb*, *Il1b*, and *Rantes* mRNA expression (95). Also, activation of the nucleotide-binding oligomerization domain (NOD)-like receptor 2 (NOD2) signaling promotes the binding of laccase domain containing-1 (LACC1) to all three UPR receptors, resulting in increased cytokine production and a more efficient bacterial clearance by macrophages (96). In this line, Keestra et al. showed that macrophages derived from mice with constitutive deletion of *Nod1* and *Nod2* (*Nod1*^{-/-}*Nod2*^{-/-}) had lower expression and production of IL-6 in response to *Brucella abortus* infection or treatment with thapsigargin—an inhibitor of the Sarco/endoplasmic reticulum Ca²⁺ ATPase, which results in calcium depletion and ER stress (97). Interestingly, the authors found that *Brucella abortus* induces ER stress *via* the release of the toxin VceC, a virulence factor that binds to BiP (98). Also, other toxins produced by bacteria, such as the *Escherichia coli*-derived Subtilase cytotoxin, can induce ER stress *via* cleavage of BiP, ultimately inducing apoptosis (99, 100). In a different study, infection of macrophages with the *Brucella abortus* strain RB51 promoted the production of reactive oxygen species (ROS) accompanied by the release of mitochondrial DNA and cytochrome c, resulting in mitochondrial dysfunction (101). Consequently, infected macrophages showed elevated activation of the (NLRP3) inflammasome and IL-1 β release, which was blocked by inhibiting IRE1 α with 4 μ 8C. Furthermore, the authors found that caspase 2 is responsible for ER stress-induced NLRP3 inflammasome activation, integrating cellular stress and innate immunity (101).

Similarly, the IRE1 α -XBP1 pathway was shown to control the development and function of dendritic cells (DCs), professional antigen-presenting cells of the innate immune system that orchestrate the initiation of adaptive immunity. Already at steady state, CD8⁺ conventional DCs contain XBP1s (102). Further studies showed that loss of XBP1 leads to a reduction in DC frequency by increasing the levels of apoptosis (103). However, a study by Tavernier et al. showed that *Xbp1* deficiency can be compensated by overexpression of IRE1 enhancing RIDD in a cell type-specific manner (104). Exemplarily, RIDD activity counteracted *Xbp1*-induced apoptosis in intestinal DCs but not in lung DCs (104). Similarly, DCs levels were reconstituted when XBP1 was overexpressed in hematopoietic progenitors (103). Even further, upon infection with *Toxoplasma gondii*, XBP1 was required for IL-12 production and antigen presentation (102). These observations suggest an involvement of the IRE1 α -XBP1 signaling pathway in the development and function of DCs.

Early work showed that the differentiation of B cells into plasma cells as well as antibody production also involves the activation of the UPR (105–107). Indeed, mice with a specific deletion of *Xbp1* in lymphocytes (*Xbp1-Rag2*^{-/-}) had a deficient antibody secretion in response to LPS stimulation (107). This phenomenon was reversed when levels of spliced XBP1 were restored (108), experimentally proving the necessity of XBP1 for appropriate B cell function. How far this also involves other UPR factors needs to be further studied. Some data suggest that ATF6 could play a role since the application of LPS to CH12 B cell lymphoma promoted its cleavage, which can be interpreted as a surrogate marker for UPR activation (106, 109).

In summary, the UPR is involved in the host inflammatory response *via* crosstalk with several signaling pathways that modulate the differentiation and function of innate and adaptive immune cells.

The unfolded protein response during sepsis

In the following section, we will discuss how the UPR contributes to bacterial sepsis in the context of the immune system and several parenchymal organs. Most of this knowledge is obtained from animal experimentation and summarized in Table 1.

Immune system

The UPR is activated during the acute inflammatory response. Thus, it is not surprising that the UPR also affects the outcome of infectious processes, including sepsis. Indeed, a

compiling body of evidence showed alterations in the UPR in septic patients. For example, gene expression of UPR genes correlated with the development of organ failure and endothelial dysfunction in septic patients (110).

A subset of patients that suffer from sepsis develops immunosuppression that accounts for the increased susceptibility to secondary infections (111). Some mechanisms for sepsis-induced immunosuppression include expansion of regulatory T cells, T cell exhaustion, impaired function of macrophages, and apoptosis in a diverse type of immune cells (111). Indeed, apoptosis is present in B cells and T cells in septic patients. B cells showed an exhausted-like/immunosuppressive phenotype characterized by low levels of MHC class II and elevated production of the suppressive cytokine IL-10 (112, 113). The UPR might play a role in these phenomena. This notion is supported by data from Ma et al. that showed that 24 hours after cecal ligation and puncture (CLP)—a surgery that induces polymicrobial peritonitis leading to sepsis—lymphocytes had elevated levels of apoptosis and expression of UPR genes, e.g., *BiP*, *Xbp1s*, and *Chop* (22). However, expression of *CHOP* appears to have a negative effect on bacterial infection. Mice with a constitutive *Chop* knock-out (*Chop*^{-/-}) had increased resistance to CLP (23). This was displayed by increased survival associated with a decreased host-pathogen load and lower plasma levels of tumor necrosis factor (TNF) and IL-10 when compared to wild-type (WT) mice after CLP (23).

Abnormal activation of immune cells during sepsis could lead to elevated UPR and tissue damage. For example, intestinal samples from patients with abdominal sepsis showed elevated levels of neutrophil extracellular traps (NETs) formation, enhanced apoptosis, and expression of *Chop* and *BiP*. To confirm these findings, a lethal dose of LPS was injected into wild-type and peptidylarginine deiminase 4 knock-out (*Pad4*^{-/-}) mice, which cannot produce NETs. When compared to WT mice, *Pad4*^{-/-} mice had better survival, reduced inflammation, lower tissue damage, and UPR gene expression. Accordingly, inhibition of the UPR by using 4-phenylbutyrate (4-PBA) alleviated NETs-induced damage to intestinal epithelial cells (24).

While the enhanced or prolonged activation of the UPR appears to be associated with a worse disease outcome during infection and sepsis, timed UPR activation can restore immune functions to reduce mortality against secondary infections. In a study by Kim et al., the authors injected mice with low doses of LPS to induce LPS tolerance, characterized by low production of inflammatory cytokines and increased susceptibility to secondary infection by *Pseudomonas aeruginosa*. However, treatment with ER stress agonists in the initial step of infection alleviated lung injury of septic mice subjected to *Pseudomonas aeruginosa* pneumonia via restoration of inflammatory cytokine release. This effect was accompanied by reduction of bacterial burden, in a glycogen synthase kinase 3 β (GSK-3 β) and IRE1 α -XBP1 dependent manner (25).

In summary, these studies show that targeting the UPR in the context of sepsis could be an attractive approach to addressing altered activation and function of immune responses. However, care should be taken at the time of infection and in the context of secondary infections.

Kidney

Sepsis-associated acute kidney injury (AKI) is the most common complication observed during sepsis and is directly associated with long-term morbidity and mortality, with little to no available specific treatment apart from organ replacement therapy (114, 115). Emerging studies have shown a promoting role of the UPR in the development of AKI during sepsis. Exemplarily, Ferré et al. showed that XBP1s was specifically elevated in kidneys of mice injected with LPS or subjected to CLP but not in different genetic models of chronic renal injury such as diabetes and polycystic kidney disease (32). Renal-tubular specific overexpression of *Xbp1s* enhanced expression of UPR genes such as *BiP* and *Chop*. Yet, in contrast to the expectation, a protective effect was not observed. Instead, this manipulation resulted in increased kidney injury as assessed by serum creatinine and blood urea nitrogen levels, tubular necrosis, and increased *Kim1* and *Ngal* expression. Upon LPS injection, kidney damage was even more pronounced. Consequently, mice with a renal-tubule specific deletion of *Xbp1* (*Six2*^{Cre}*Xbp1*^{-/-}) were protected against LPS-induced kidney injury as evidenced by reduced expression of *Chop*, tissue dysfunction markers, and inflammatory molecules (32). In a time-course study, Hato et al. showed that protein synthesis in kidneys was elevated as early as 1 hour after LPS application, correlating to the acute inflammatory responses. In contrast, overall protein synthesis declined during the late phase of LPS response, which correlated to an increased level of protein kinase R (PKR), enhanced kidney damage, and distinct metabolic adaptations. However, by using an ISR inhibitor (ISRIB) in the early stages of sepsis, the authors were able to protect mice against the suppression of protein translation which resulted in decreased kidney injury (33).

In summary, these studies suggest that activation of UPR could be a driver of sepsis-associated AKI.

Liver

The liver is a frequent target of dysfunctional inflammation (116). This organ hosts a range of cells, including endothelial, Kupffer, and hepatic stellate cells, that together play an essential role in a wide range of cellular processes, such as homeostasis, metabolism, and immunity (116, 117). During infection, however, these cells are primed and activated, resulting in the

recruitment of immune cells to deal with the infection, which can lead to liver injury and progression to chronic liver failure (116, 118, 119). While not many studies have addressed the role of ER stress in liver injury during sepsis, rats subjected to septic burn had augmented inflammasome and UPR activation resulting in liver damage (26). In a different study, rats subjected to CLP showed elevated levels of apoptosis, enhanced markers for liver damage, altered morphological changes, and increased expression of UPR targets, including *Chop* (27). However, suppression of UPR activity *via* β -arrestin 1 or by using Berberine was sufficient to suppress the production of inflammatory cytokines, expression of UPR target genes, and liver damage (28, 120). Similarly, the UPR might play a role during hepatic ischemia-reperfusion injury, which is a common clinical complication from sepsis-associated liver dysfunction. In this regard, Rao et al. isolated Kupfer cells from mice with hepatic ischemia-reperfusion injury and observed an enhanced secretion of inflammatory cytokines, together with elevated expression of all three branches of the UPR when stimulated with LPS (121). Treatment with a siRNA against ATF6 was sufficient to protect liver tissue from damage. Finally, the regenerative capacity of the liver is crucial to support liver function during acute injury (122). Recently, the role of UPR during liver regeneration in the context of sepsis has been revealed (29, 123). Indeed, Dubois et al. showed that sepsis activates the expression of UPR target genes which blocks hepatic differentiation by modulating specific transcriptional programs. Consequently, inhibition of UPR activation was sufficient to restore hepatocyte regenerative capacity and reduce liver damage as evidenced by diminished serum aminotransferase levels (29).

In summary, these studies highlight that sepsis alters ER homeostasis and the resulting aberrant activation of UPR could be addressed to treat liver dysfunction during sepsis.

Lung

Acute lung injury (ALI)/acute respiratory syndrome (ARDS) is frequently linked with sepsis (124, 125). This is associated with the loss of tissue integrity, and increased tissue permeability, surfactant dysfunction, and alveolar edema (124). It has now become clear that sepsis can induce lung injury in a direct or indirect way. Direct sepsis-induced ALI/ARDS arise from pulmonary infections, while indirect sepsis-induced ALI/ARDS arises from extrapulmonary infections (124, 125). Some studies indicate that altered UPR activation during sepsis underlies ALI/ARDS and might serve as a potential target to ameliorate these conditions. Indeed, this notion is supported by data showing that lung tissues from LPS-injected mice had an increased UPR activation and that reducing ER stress with 4-PBA alleviated NF- κ B/HIF-1 α activation (30). In concordance with this data, Chen et al. showed that septic mice had elevated ER stress

associated with lung damage (31). However, preconditioning of the mice with the iron-containing DAMP heme (91, 126), that acts among others by activation of heme oxygenase (*Hmox*/HO)-1 expression—an essential enzyme in heme catabolism with potent anti-inflammatory properties (127)—protected animals by reducing UPR activation, and decreasing apoptosis in the lung (31). A potential role of heme/iron metabolism can be assumed since activation of HRI phosphorylates eIF2 resulting in an overall reduction of protein synthesis translation inhibition while activating ATF4-NRF2 to counter oxidative stress and apoptosis (128, 129). Of note, *Hmox-1* is a target gene of NRF2; thus, activation of the HRI-ATF4-NRF2-HO-1 axis could ameliorate pathogen-induced lung injury (129, 130).

ALI/ARDS is directly linked to the pathophysiological phenomenon of endothelial barrier dysfunction, which is frequently found in sepsis (131). Upon infection, the endothelium undergoes structural and functional changes. This adaptation, which is part of the adaptive host response, includes the release of cytokines, adhesion molecule expression, and altered permeability, which if dysfunctional can lead to the disruption of alveolar-capillary integrity and subsequent edema formation [reviewed in (132)]. Previous studies have shown that the UPR controls endothelial barrier function. Prolonged ER stress and subsequent UPR are associated with chronic vascular disease (133). However, in acute inflammatory stress and infection, mild ER stress was proposed to protect the endothelium by supporting endothelial function and consequently alleviating endothelial barrier dysfunction (134).

In summary, modulation of UPR activation has shown to be an interesting target to address sepsis-induced ALI/ARDS.

Heart and skeletal muscle

Sepsis-induced myocardial dysfunction is a well-known feature of sepsis with a prevalence that varies from 10% to 70% (135). While it can induce profound contractile dysfunction, it is generally reversible (135). However, patients that recover from sepsis are at greater risk of recurrent heart failure (135–137). While several studies unveiled that septic-induced myocardial dysfunction involves impaired cardiovascular circulation, myocardial depression, impaired adrenergic pathways, and mitochondrial dysfunction (reviewed in (135, 137)), recent evidence suggests a critical involvement of the UPR. For example, Li et al. showed that rats subjected to CLP have elevated serum levels of creatine kinase and troponin, serological markers indicating muscle damage. Histological analyses revealed that the structure of septic hearts was altered while having elevated levels of apoptosis and expression of the UPR genes *BiP* and *Chop* (34). While these authors did not corroborate that inhibition of UPR activation can be beneficial against sepsis-induced myocardial injury, a different study by Zhang et al. showed that pre-conditioning of septic rats with

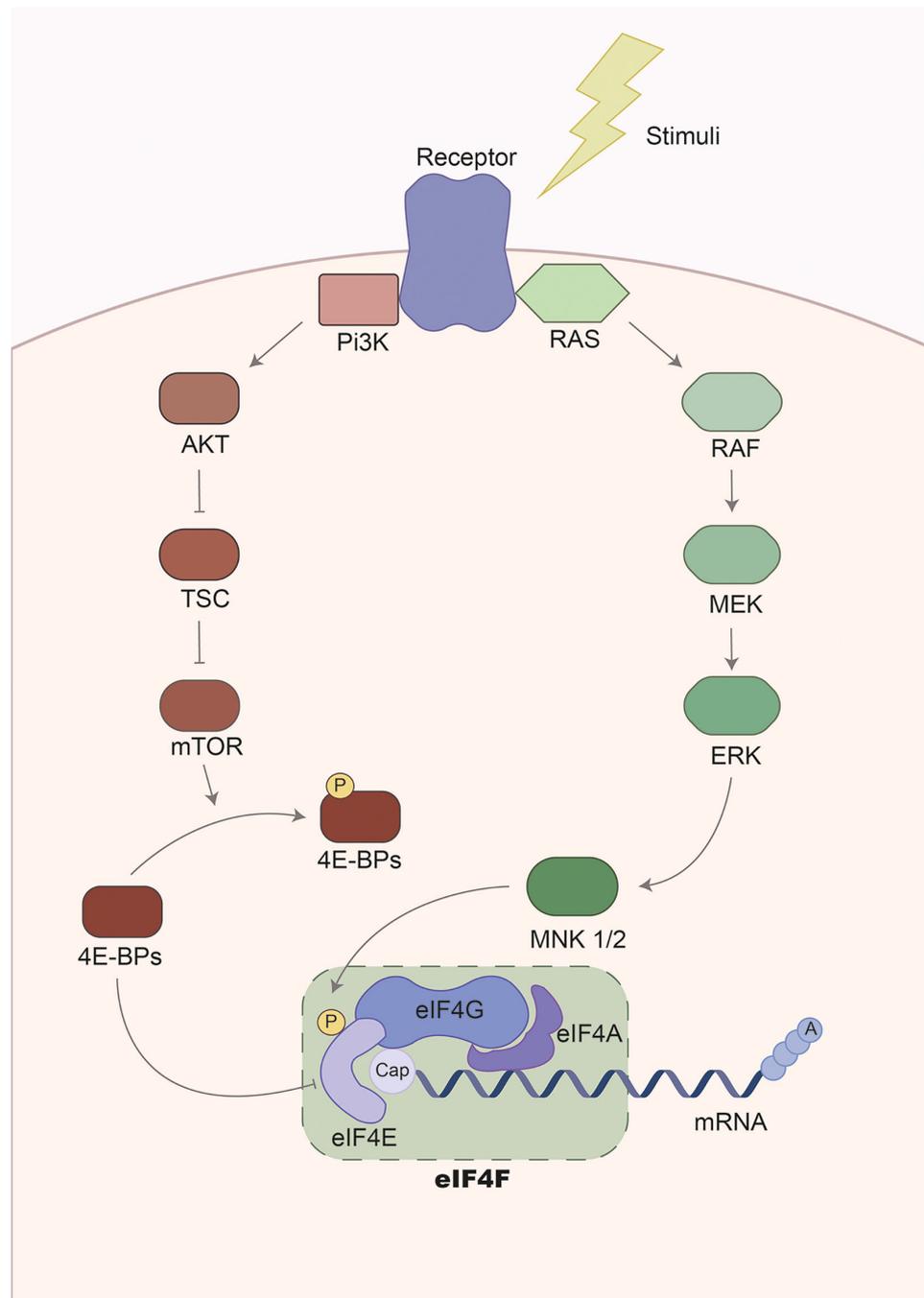


FIGURE 2

Regulation of the eukaryotic elongation factor 4F pathway. Gene expression is regulated during transcription and mRNA translation. The translation of the mRNA is mainly controlled in the initiation phase by the eukaryotic initiation factor 4F complex. This complex is composed of three subunits: i.) eIF4G, serving as the backbone and stabilizing other regulatory factors; ii.) eIF4A, a helicase involved in mRNA unwinding to facilitate recognition and translation by ribosomes; and iii.) eIF4E, recognizing and binding the 5' cap-mRNA. Consequently, the activity of this complex is modulated *via* phosphorylation by two major signaling cascades: the PI3K/mTOR and the MAPK/ERK pathways. On the one hand, the PI3K/mTOR signaling pathway regulates eIF4F *via* phosphorylation of eIF4E-binding proteins (4E-BPs). Initially, 4E-BPs binds to eIF4E suppressing translation initiation by disrupting the eIF4F complex. However, phosphorylation of 4E-BPs by the PI3K/mTOR promotes the release of 4E-BPs and allows translation initiation. On the other hand, activation of MAPK/ERK signaling leads to the phosphorylation of MNK1/2, a kinase that phosphorylates eIF4E. This results in translation initiation. The activation of signaling cascades is mediated by receptors activated by diverse extracellular stimuli, including cytokines, hormones, and growth factors.

cortistatin—a neuropeptide with immunosuppressive properties—reduced the expression of *Grp94*, *Chop*, and caspase 12, correlating with lower degrees of apoptosis (138).

Long-term debilitating features, such as muscle weakness are common after sepsis (139). The UPR and reduction of protein synthesis have also been linked to sepsis-induced muscle weakness (36, 140–143). Indeed, protein synthesis is impaired during sepsis partly by altering the initiation phase of mRNA translation *via* modulation of the eIF4F complex, i.e. the key regulator of the mRNA-ribosome recruitment phase of translation initiation (Figure 2). This complex is composed of several proteins, including i.) eIF4E, which binds to the mRNA 5' cap; ii.) eIF4A, a helicase that unwinds secondary structures facilitating the mRNA-ribosome interaction; and iii.) eIF4G, the backbone of the complex (144, 145). The activity of eIF4F is regulated by phosphorylation *via* diverse signaling cascades including PI3k/AKT/mTOR/4E-BPs and the RAS/RAF/MEK/ERK/MNK MAPK signaling pathways (145). In septic animals, however, eIF4F activity is impaired in skeletal muscle. Vary et al. showed that rats subjected to polymicrobial peritonitis by fecal slurry had diminished protein synthesis, together with reduced levels of eIF4G phosphorylation (36). The authors could restore eIF4G phosphorylation by inhibition of TNF and IL-1 receptors, suggesting that hyperinflammation-associated to sepsis leads to reduce protein synthesis *via* modulation of eIF4F activity (36). Moreover, rats subjected to CLP or pigs subjected to LPS had reduced levels of mTOR, 4E-BP1, and eIF4G phosphorylation, altering eIF4F complex formation and protein synthesis (37, 146).

Regarding the UPR, it was shown that patients with peritoneal sepsis had a higher expression of *XBPI*, but not of *ATF4* or *ATF6* in the muscle (142). This was associated with increased mRNA levels of the chemokine *CCL2* and the receptor *CD68*—a marker for macrophage infiltration in response to skeletal injury. In line with these findings, mice subjected to CLP also showed a higher expression of *Xbp1* in muscle tissues, but in contrast to humans, also of *Atf4*, and *BiP* (142). Of note, other animal studies had shown that ER stress and UPR counteract cancer-associated muscle wasting (147). Therefore, to date, it remains unclear whether increased UPR is an adaptive mechanism to counteract sepsis-induced muscle loss or whether it contributes to it differently than in the field of oncology.

Future perspective

When appropriately activated, the UPR is a strong pillar of the adaptive stress response that decreases tissue dysfunction and damage during different types of diseases. However, exacerbated UPR activation during severe infections are in the majority of cases detrimental to the host. Currently, there are no drugs that specifically target the UPR to treat infectious diseases. A further and in-depth understanding of the regulation of the UPR in the

context of severe infections including those leading to sepsis could help unveil novel host-directed therapeutic targets. Despite many years of research on the molecular level, there are still many open questions that need to be addressed in the future. Some of these are: What is the role of the UPR in the majority of clinically relevant infections caused by bacterial pathogens such as *Escherichia coli*, *Staphylococcus aureus*, and *Pneumococci* or viruses such as influenza or SARS-CoV-2? How and when does UPR activation lead to pathogenesis in the context of infection and could a controlled manipulation of this pathway be used to promote homeostasis during septic organ failure? How is the UPR regulated in human parenchymal tissues during the course of infectious disease and are there certain specific geno- or phenotypes that depend more on a functional UPR than others? Moreover, determining a precise timeline for the activation of UPR during sepsis might unveil unexpected results. If this is achieved, then pharmacological manipulation of the UPR likely in a time-dependent manner is an interesting approach to expand our treatment options for severe infectious disease in the clinical context. As such, we do think, that studying the UPR in infectious diseases is more than worthwhile.

Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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