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## EDITED BY

Fujun Han,  
The First Hospital of Jilin University, China

## REVIEWED BY

Remya Raja,  
Mayo Clinic Arizona, United States  
Yudong Cao,  
Central South University, China

## \*CORRESPONDENCE

Haifeng Pan

✉ panhaifeng1982@sina.com;

✉ panhaifeng@ahmu.edu.cn

Zhang Liang

✉ 568325180@qq.com

Kaile Wu

✉ wukaile@ahmu.edu.cn

†These authors have contributed equally to this work and share first authorship

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# A predictive model of immune infiltration and prognosis of head and neck squamous cell carcinoma based on cell adhesion-related genes: including molecular biological validation

Yuchen Liu<sup>1,2†</sup>, Zhechen Wu<sup>1,2†</sup>, Ziyue Fu<sup>2†</sup>, Yanxun Han<sup>1,2†</sup>, Jianpeng Wang<sup>2†</sup>, Yanqiang Zhang<sup>1,2</sup>, Bingyu Liang<sup>1,2</sup>, Ye Tao<sup>1,2</sup>, Yuchen Zhang<sup>1,2</sup>, Chuanlu Shen<sup>2</sup>, Yidan Xu<sup>2,3</sup>, Siyue Yin<sup>2,3</sup>, Bangjie Chen<sup>2,3</sup>, Yehai Liu<sup>1,2</sup>, Haifeng Pan<sup>2,4\*</sup>, Zhang Liang<sup>1,2\*</sup> and Kaile Wu<sup>1,2\*</sup>

<sup>1</sup>Department of Otolaryngology, Head and Neck Surgery, The First Affiliated Hospital of Anhui Medical University, Hefei, Anhui, China, <sup>2</sup>Anhui Medical University, Hefei, Anhui, China, <sup>3</sup>Department of Oncology, The First Affiliated Hospital of Anhui Medical University, Hefei, Anhui, China, <sup>4</sup>Department of Epidemiology and Biostatistics, School of Public Health, Anhui Medical University, Hefei, Anhui, China

**Background:** Focal adhesion serves as a bridge between tumour cells and the extracellular matrix (ECM) and has multiple roles in tumour invasion, migration, and therapeutic resistance. However, studies on focal adhesion-related genes (FARGs) in head and neck squamous cell carcinoma (HNSCC) are limited.

**Methods:** Data on HNSCC samples were obtained from The Cancer Genome Atlas and GSE41613 datasets, and 199 FARGs were obtained from the Molecular Signatures database. The integrated datasets' dimensions were reduced by the use of cluster analysis, which was also used to classify patients with HNSCC into subclusters. A FARG signature model was developed and utilized to calculate each patient's risk score using least extreme shrinkage and selection operator regression analysis. The risk score was done to quantify the subgroups of all patients. We evaluated the model's value for prognostic prediction, immune infiltration status, and therapeutic response in HNSCC. Preliminary molecular and biological experiments were performed to verify these results.

**Results:** Two different HNSCC molecular subtypes were identified according to FARGs, and patients with C2 had a shorter overall survival (OS) than those with C1. We constructed an FARG signature comprising nine genes. We constructed a FARG signature consisting of nine genes. Patients with higher risk scores calculated from the FARG signature had a lower OS, and the FARG signature was considered an independent prognostic factor for HNSCC in univariate and multivariate analyses. FARGs are associated with immune cell invasion, gene

mutation status, and chemosensitivity. Finally, we observed an abnormal overexpression of MAPK9 in HNSCC tissues, and MAPK9 knockdown greatly impeded the proliferation, migration, and invasion of HNSCC cells.

**Conclusion:** The FARG signature can provide reliable prognostic prediction for patients with HNSCC. Apart from that, the genes in this model were related to immune invasion, gene mutation status, and chemosensitivity, which may provide new ideas for targeted therapies for HNSCC.

#### KEYWORDS

head and neck squamous cell carcinoma, focal adhesion-related gene, prognosis, immune infiltration, chemotherapy sensitivity

## 1 Introduction

In the United States, head and neck squamous cell carcinoma (HNSCC), which develops in the mucosal epithelia of the mouth, throat, and larynx, is the sixth most common cancer (1). Annually, around 900,000 cases and more than 400,000 fatalities from HNSCC are reported globally (2). Human papillomavirus (HPVs) infection, alcohol, and smoking consumption are risk factors associated with HNSCC (3). As a highly heterogeneous malignant tumour, the main reasons for high mortality are late-stage diagnosis, multiple anatomical sites, and diverse molecular characteristics (4). Standard treatments for HNSCC are surgery, radiation, and chemotherapy; however, the success rate of chemoradiotherapy for locally advanced diseases is relatively low (5). Clinicopathological staging systems have been used to predict the prognosis and guide treatment. However, owing to heterogeneity and particular biological characteristics, patients receiving the same stage and treatment may experience different results. Therefore, new biomarkers are urgently needed to assist clinicians in evaluating the prognosis and weighing the benefits and drawbacks of treatment decisions for patients.

Focal adhesion, the contact site between cells and the extracellular matrix (ECM), maintains the stability of cell tension and signaling for cell survival (6, 7). The reduction of focal adhesion molecule expression enhances epithelial-mesenchymal transition, which is a prerequisite for tumour cell invasion and metastasis (8, 9). Integrins, focal adhesion kinases, and growth factor receptors are focal adhesion molecules linked to cancer progression (10). Considering their most upstream distribution, receptors within focal adhesions are a good starting point for therapeutic intervention (11). Therefore, focal adhesion-related genes (FARGs) are potential prognostic indicators and targets for therapies in HNSCC patients.

Immune checkpoint inhibitors (ICIs) are promising anti-tumor immunotherapy, which has been authorized by the FDA for the management of advanced HNSCC, with significant efficacy in some patients. But after getting ICI treatment, some patients develop resistance to drugs and disease progression, which may be due to tumours with infiltrated immune cells (12, 13). Recently, cell

adhesion to ECM has been recognised as a significant predictor of cancer cell resistance to several microenvironmental variables (11). Therefore, a better understanding of the tumour immune microenvironment (TIME) and FARGs may contribute to improving the sensitivity of HNSCC patients to ICI therapy.

Overall, a FARG signature was constructed using integrative analysis, which is a useful predictive indicator for patients with HNSCC. Furthermore, we observed links between the FARG risk score and tumour mutation frequency, immune invasion, chemosensitivity, and immunotherapy sensitivity, which may provide effective treatment guidance for patients with HNSCC.

## 2 Materials and methods

### 2.1 Data collection and procession

The Cancer Genome Atlas (TCGA) gene expression and clinical data for HNSCC can be retrieved using the GDC portal (<https://portal.gdc.cancer.gov>). The Gene Expression Omnibus (GEO, <http://www.ncbi.nlm.nih.gov/geo/>) provided the GSE41613 chips. We obtained 199 FARGs from the Molecular Signatures database (MSigDB; <https://www.gsea-msigdb.org/gsea/msigdb>). The focal adhesion-relevant genes are shown in **Supplement Table 1**.

### 2.2 Tumor classification and subtypes analysis

Prognosis-correlated FARGs were analysed using univariate Cox regression analysis ( $P < 0.05$ ). HNSCC samples were categorised into different subtypes using consensus non-negative matrix factorisation (CNMF) approaches. To validate and assess the differences between the tumour subtypes, we first took a survival analysis. The expression of prognostic FARGs differed between the two subtypes. For TIME, we used the CIBERSORT, ssGSEA, and MCPcounter algorithms to quantify the expression of immune cells and then compared immune scores and immune cell numbers across distinct tumour subtypes. Human leukocyte antigen (HLA)

mRNA expression was measured and compared for each sample. In addition, hallmark gene sets and Gene Set Variation Analysis (GSVA) were applied to detect enrichment levels of each pathway in both subgroups.

### 2.3 Construction and validation of HNSCC prognostic feature based on focal adhesion-related genes

We used LASSO-Cox regression analysis on the TCGA cohort to identify critical genes that were closely related to overall survival (OS). Subsequently, the following formula was applied for getting FARG's risk score:  $\text{score} = \sum (\exp_i \times \text{correspondence coefficient of gene } i)$ . Using the FARG risk scores, we then divided the patients into two groups: low-risk and high-risk. To evaluate and compare the survival differences between low-risk and high-risk groups, "survival" and "survminer" R packages were used. Receiver-operating characteristic (ROC) curves were employed to assess the prognostic ability of models. This operation was repeated on the GSE41613 dataset to verify the signature stability.

### 2.4 Construction and evaluation of nomogram

To identify independent prognosis-related factors, the risk score and other clinical features (sex, age, grade, T, N, and stage) were analysed using Cox regression analysis. Then, the "rms" package was applied to build a nomogram model, which combined risk scores and clinicopathological parameters with independent prognostic values. We developed and evaluated the calibration curve and concordance index (C-index) of the nomogram for the purpose to assess the performance of the models. The nomogram's usefulness for therapy was further evaluated using decision curve analysis (DCA).

### 2.5 Association analysis of risk score and therapy sensitivity

The therapeutic responses to ICI therapy were predicted using the TIDE algorithm (<http://tide.dfci.harvard.edu/>) and Subclass Mapping (SubMap; <https://cloud.genepattern.org/gp/>). To observe the difference in the RNA levels of Programmed cell Death-Ligand 1 (PD-L1) and Cytotoxic T Lymphocyte-Associated Antigen-4 (CTLA-4) in the high- and low-risk groups, we obtained RNA expression levels of PD-L1 and CTLA-4 in the TCGA-HNSC dataset and used the R package 'ggpubr' to create the box plot.

By creating a ridge regression model based on The Genomics of Drug Sensitivity in Cancer (GDSC, <https://www.cancerrxgene.org>) database, the program "PRRophetic" served for estimating the chemotherapeutic medicines sensitivity of all HNSCC samples in the TCGA cohort. The sensitivity variations for nine drugs, including cisplatin, methotrexate, paclitaxel, rapamycin, and mitomycin, were evaluated in the high- and low-risk groups. C:

docetaxel, lapatinib, gemcitabine, and bleomycin. Additionally, we used drug sensitivity data from the CellMiner database (<https://discover.nci.nih.gov/cellminer/home>). do) to explore the relationships among hub prognosis, FARGs expression, and drug sensitivity.

### 2.6 Genes expression and mutation analysis

In TCGA database, the expression levels of nine genes in both normal and malignant tissues was examined. Copy number variation (CNV) frequency was computed. The "maftools" package and cBioPortal online database were used to investigate the mutational landscape.

### 2.7 Pathway and functions enrichment analysis

Differences in pathways and functions in the high-risk and low-risk groups were analysed by Gene Set Enrichment Analysis (GSEA) using Gene Ontology (GO), Kyoto Encyclopedia of Genes and Genomes (KEGG), hallmark, and reactome gene sets. Using GSVA, we additionally explored the connections between the expression of FARG and other pathways.

### 2.8 Relationship between risk score and tumor immune microenvironment

We used well-established approaches, including XCELL, TIMER, QUANTISEQ, MCPOUNTER, EPIC, CIBERSORT-ABS, and CIBERSORT, to measure the degree of immune invasion in TCGA samples. Spearman's correlation analysis and the Wilcoxon test were used to search for variations in immune content between the high-risk and low-risk groups. In addition, the association between risk scores, estimate scores, immune scores, stromal scores, and tumour purity was studied.

### 2.9 Real time PCR assay

The First Affiliated Hospital of Anhui Medical University provided HNSCC and surrounding non-cancerous tissues for this investigation. The ethics committee provided approval for the project. The participants included in this study were patients with squamous cell carcinoma of the head and neck at the Department of Otolaryngology, First Affiliated Hospital, Anhui Medical University. An experienced pathologist collected the tumor tissue and most distal mucosal tissue from the patient with HNSCC beyond the safe margin of the freshly resected surgical resection. Postoperative pathology analysis confirmed the absence of cancer cell infiltration in our experimental control tissue specimens. This procedure was performed clinically in strict accordance with the NCCN Guidelines® Insights: Head and Neck Cancers, Version

1.2022 and defined a safe cut margin as a margin 5 mm or more from the front of the cancer infiltrate (14).

From HNSCC samples and surrounding noncancerous tissues, total RNA was extracted using the TRIzol reagent, and cDNA was created using a reverse transcription kit (Evo M-MLV RT Premix, Thermo Fisher Scientific). SYBR® Green Premix Pro Taq HS qPCR Kit (Accurate Biology) was used to perform RT-PCR on the synthesised cDNA. After the reaction, use the  $2^{-\Delta\Delta Ct}$  method to process the data. As an endogenous control, GAPDH was utilized. [Supplementary Table 2](#) includes a list of the primers used.

## 2.10 Western blot

Precooled radioimmunoprecipitation assay buffer and phenylmethylsulphonyl fluoride solutions were mixed in a ratio of 100:1. The tissue was fragmented with the mixed solution. The obtained lysate was treated in a tissue grinder and centrifuged at 4°C at 12000 rpm for 30 min to remove the tissue fragments. The supernatant was separated and a quarter of the protein loading buffer was added. This mixture was boiled for 10 min at 100 °C. Next, the proteins were separated using PAGE Gel Fast Preparation Kit (Epizyme, Shanghai, China) and transferred to a polyvinylidene fluoride membrane. The membrane was incubated with primary antibodies at 4°C overnight. The membrane was immersed with the secondary antibody for 2 hours at room temperature after being washed 3 times in Tris-buffered saline with 0.1% Tween® 20. The visualization of protein bands was done using enhanced chemiluminescence. The ImageJ software was used to quantify and compare the grey density of the protein bands.

## 2.11 Immunohistochemistry

Formalin-fixed, paraffin-embedded tissue sections were dewaxed in dimethylbenzene and rehydrated with ethanol at a gradient concentration. Endogenous peroxidase activity was inhibited using 0.35% H<sub>2</sub>O<sub>2</sub> in phosphate-buffered saline (PBS). The slices were sealed using 5% bovine serum albumin solution, treated with primary antibody, and incubated overnight at 4 °C. Goat anti rabbit IgG labelled with horseradish peroxidase was then added to the sections and incubated in 37° water bath for 30 min. The sections were then rinsed with PBS. Finally, the staining was observed and photographs were acquired using an Olympus CX41 fluorescence microscope (EVIDENT CORPORATION, Shinjuku-ku, Tokyo, Japan).

## 2.12 Cell culture and lentiviral infections

Human HNSCC cell lines (FaDu and CAL27) were obtained from the American Type Culture Collection (Manassas, VA, USA) and cultured in Roswell Park Memorial Institute (RPMI)1640 medium containing 10% foetal bovine serum (FBS). Cells were seeded in 6-well plates and cultured at 37°C and 5% CO<sub>2</sub> in a cell culture incubator till the cell confluence reached 30–40% for

lentiviral infection. The cells were stably transfected with short hairpin RNAs targeting MAPK9 using a lentiviral vector. The target sequences are listed in [Supplementary Table 3](#). Two days after infection, the cells were selected by adding 2 µg/mL puromycin for 1 week, and no significant dead floating cells were observed under a microscope. Western blotting was used to validate the effectiveness of the transfection.

## 2.13 Cell counting kit-8

Cells were seeded onto 96-well plates with indicated treatment at 1000 cells/well, and incubated at 37°C and 5% CO<sub>2</sub> in a cell incubator. Ten microlitres of CCK-8 solution was added to each well at specified time points, incubation was continued under the same conditions, and absorbance values were measured at 450 nm.

## 2.14 Colony formation assays

Approximately 1500 cells were inoculated into 60 mm plates and cultured at 37°C and 5% CO<sub>2</sub> in a cell incubator. When the formation of cell clones in the plates was observed under a microscope and the size and number of clones were appropriate, the culture plates were washed with PBS. A 4% polymethanol fixative was added to each plate for 20 min, and then the fixative was discarded. The Giemsa staining solution was added, and the staining solution was removed with tap water after 30 min, dried, photographed, and the number of clones was calculated.

## 2.15 Wound healing assays

Cells were inoculated into 6-well plates, and when the cells were fully grown into a monolayer, cell scribing was performed. Using a straightedge as an aid, a vertical line was drawn using a 200 µL pipette tip. The culture medium was discarded, and the cells were washed three times using PBS to remove scratched cells. Serum-free medium was added to each well, and the cells were cultured in the cell incubator, observed, and photographed at 0 h and 24 h under a 10x microscope.

## 2.16 Transwell experiment

The Transwell chambers were immersed in 75% ethanol 12 h in advance, rinsed with PBS, and irradiated with UV light for 2h to achieve sterility. The transwell chambers were placed in 24-well plates. The serum-free medium and matrix gel were mixed well at a ratio of 8:1, and 60 µL of the mixture was added to each transwell chamber, and then, the 24-well plate containing the transwell chambers was placed in the cell culture incubator overnight. Approximately  $2 \times 10^4$  cells in 200 µL serum-free medium were seeded in the upper chamber, and 500 µL of medium containing 10% FBS was added to the lower chamber. A 24-well plate with transwell chambers was placed back into the cell culture incubator and incubated for 24 h. Cells on the lower membrane surface were

fixed in 4% formaldehyde for 15 min and stained with crystal violet for 30 min. The cultures were then rinsed with PBS, the excess staining solution was removed from the transwell chambers' surface using a cotton ball, and the cells were counted under a microscope.

### 2.17 Statistical analysis

R (version 4.1.3) and GraphPad Prism 9.0 was applied for statistical analysis. LASSO Cox regression analyses were performed to identify prognosis-related genes. Kaplan–Meier (K–M) analysis and log-rank tests were used to perform the survival studies. The Wilcoxon test was employed for comparing the two groups, and Spearman’s correlation analysis was utilized for investigating connections.

## 3 Results

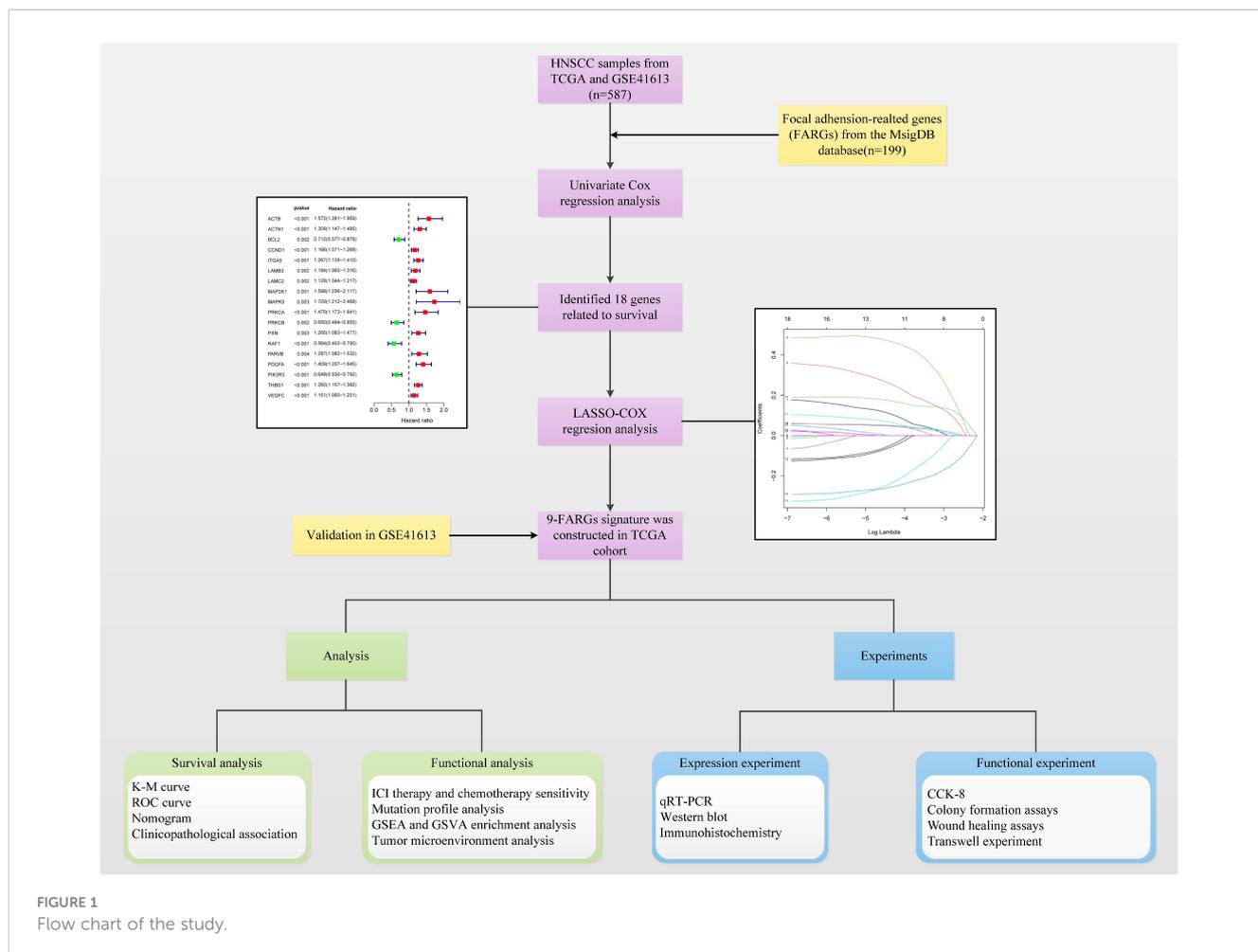
### 3.1 Eighteen prognostic genes and two tumor subtypes

Figure 1 shows the flow chart of this study. After excluding samples with a duration of survival of less than thirty days, we collected data from 587 HNSCC patients (TCGA:491 samples;

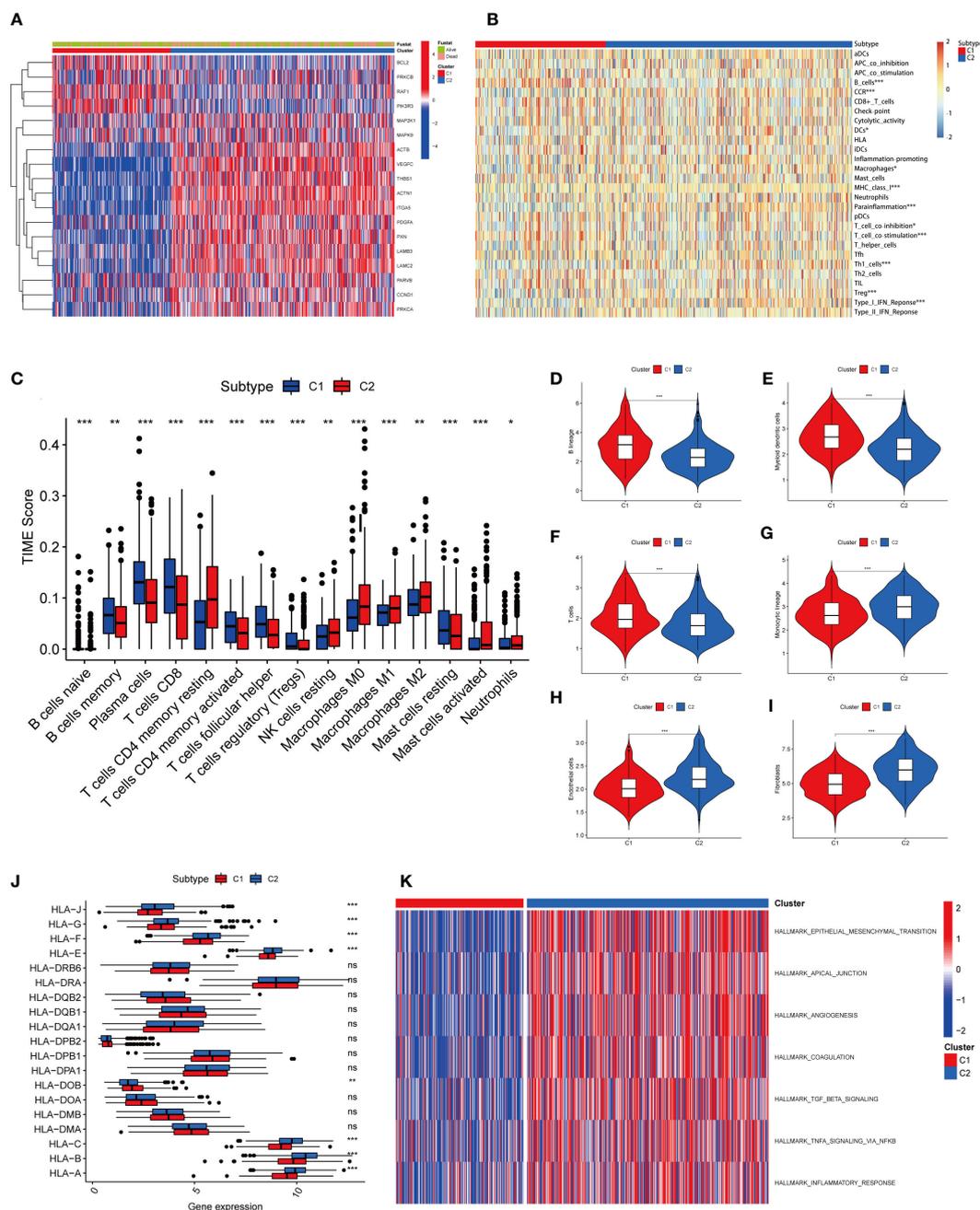
GSE41613:96 samples). Univariate regression analysis of the genes from the database showed that eighteen genes were associated with tumour prognosis. BCL2, PRKCB, RAF1, and PIK3R3 are protective genes linked to a favourable prognosis. The expression of the remaining risk genes was linked to poor prognosis (Figure 2A). We then used the K-means algorithm and CNMF for clustering analysis to identify tumour subtypes based on eighteen genes. We found that tumour subtype clustering was the most stable and appropriate when K = 2 (Figure 2B). Two subtypes of the 587 tumour samples were identified (C1 = 203 and C2 = 384). Kaplan–Meier analysis of tumour subtypes revealed a notable difference in the probability of survival for the two groups (P < 0.001), with C2 having a lower survival rate than C1. The right side shows the silhouette plot. When k = 2, the average silhouette width was the largest and the width was close to 1. This is consistent with the original grouping, further proving the rationality of the results. (Figure 2C). The classification plot also supported the results of the tumour subtype classification (Figure 2D).

### 3.2 Difference and immuno-infiltration analysis of tumor subtypes

The heatmap revealed that prognostic genes were differentially expressed and strongly correlated with survival or death status





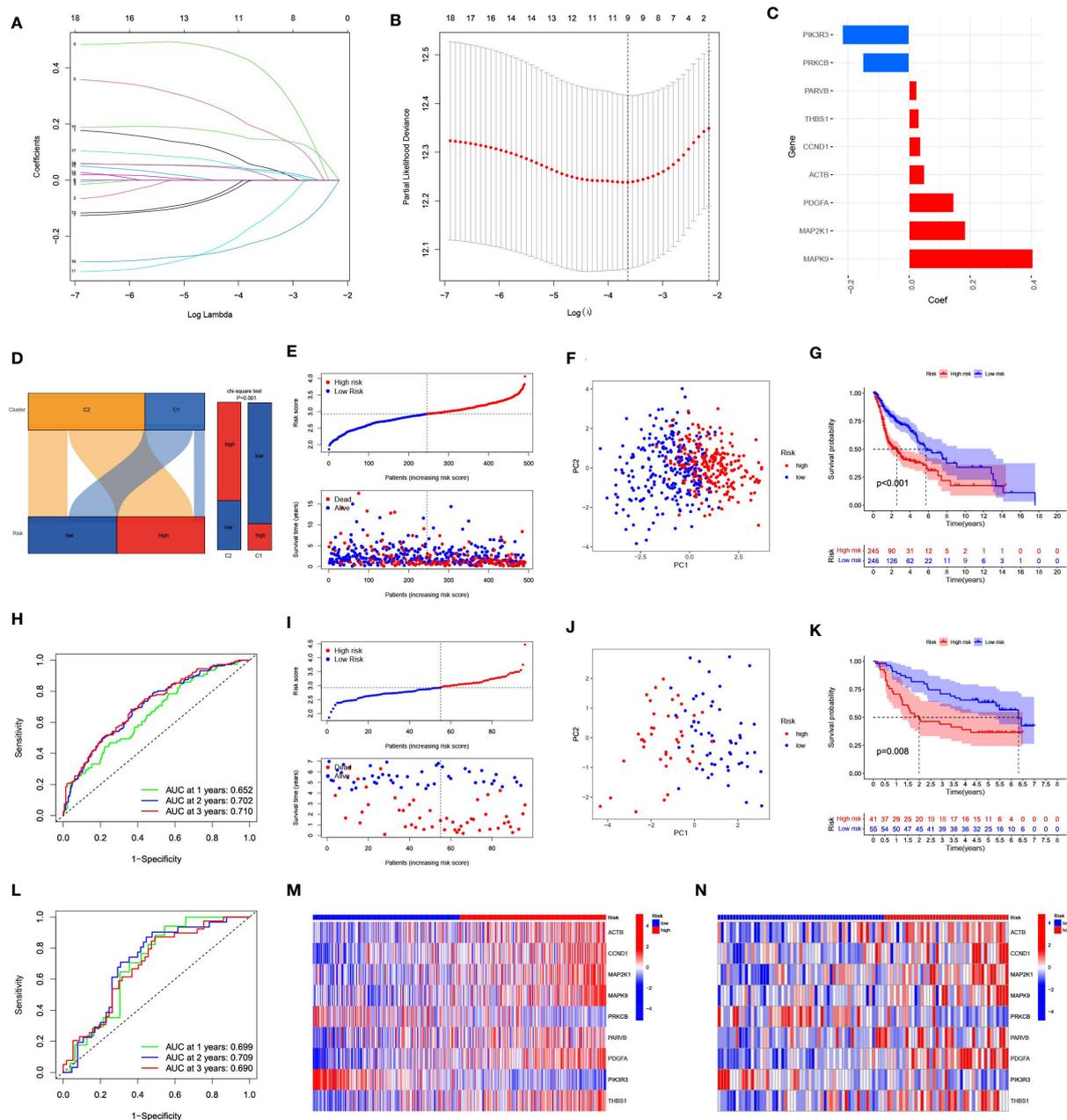


**FIGURE 3** Heatmap results and immune infiltration analysis of two tumor subtypes. **(A)** The heatmap showed differences in prognostic gene expression between the two tumor subtypes. The difference was significantly correlated with survival or death status. **(B)** Heatmap showed different levels of immune cell expression in two tumor subtypes. **(C)** Abundance of 15 infiltrating immune cell types in two HNSCC subtypes. **(D)** Comparison of B lineage cells in two tumor subtypes. **(E)** Comparison of myeloid dendritic cells in two tumor subtypes. **(F)** Comparison of T cells in two tumor subtypes. **(G)** Comparison of monocytic lineage cells in two tumor subtypes. **(H)** Comparison of endothelial cells in two tumor subtypes. **(I)** Comparison of fibroblasts cells in two tumor subtypes. **(J)** The differences in HLA expression between the two tumor subtypes suggest that different tumor subtypes have different levels of ability to activate immune cells. **(K)** GSEA-based analysis of expression differences of pathways in two tumor clusters. (\*represents  $P < 0.05$ , \*\*represents  $P < 0.01$ , \*\*\*represents  $P < 0.001$ ; "ns" represents not significant.)

MAPK9 + (0.1848) × MAP2K1 + (0.1465) × PDGFA + (0.0507) × ACTB + (0.0379) × CCND1 + (0.0327) × THBS1 + (0.0251) × PARVB + (-0.1516) × PRKCB + (-0.2188) × PIK3R3. Using the median as the criterion, we separated all samples into high-risk and low-risk groups. The results revealed that C1 mainly included the

low-risk groups, whereas C2 mainly included the high-risk groups (Figure 4D).

The risk score grew along with the mortality risk and survival time in the TCGA cohort (Figure 4E). Significant disparities between the high-risk and low-risk categories were shown by the PCA results,



**FIGURE 4** Construction and evaluation of a prognostic model based on 9 focal adhesion-related genes. **(A)** The LASSO coefficient profiles of 9 focal adhesion-related genes. **(B)** Tuning parameter ( $\lambda$ ) selection cross validation error curve, the optimal  $\log \lambda$  value is the left dotted line in the plot. **(C)** Construction of prognostic risk signature based on 9 genes. **(D)** The Sankey diagram on the left intuitively shows the relationship between tumor subtypes and risk groups. The bar chart on the right side shows the difference in the risk group composition of tumor subtypes calculated by chi-square test. **(E)** The distribution of risk score, survival time and OS status in TCGA. **(F)** The PCA results showed significant differences between the high-risk and low-risk groups. **(G)** The prognosis and survival time were significantly lower in the high-risk group than in the low-risk group. **(H)** ROC curve analysis of the 9 focal adhesion-related genes signature of the 1, 3, and 5 years in the TCGA. **(I–L)** Similar results were obtained using the same method in the GSE41613 dataset. **(M, N)** The heatmap shows that this has nine genes with significant differences in expression between the high and low risk groups in the TCGA **(M)** and GSE41613 **(N)** datasets.

which also indicated feasibility and necessity of grouping (Figure 4F). The prognosis and time until death in the high-risk group were both significantly poorer than those in the low-risk group (Figure 4G). Using the ROC analysis, we obtained a satisfactory area under the curve (AUC). At 1, 3, and 5 years, the AUCs were 0.652, 0.702, and

0.710, respectively (Figure 4H). Similar results were obtained using the same approach in the GSE41613 cohort (Figures 4I–L). Nine genes exhibited substantial changes within the two groups based on an analysis of gene expression in the two groups, showing the same pattern in both cohorts (Figures 4M, N).

### 3.4 Association between risk signature and clinical features

The T stage, N stage, and risk score were identified as independent prognosis-related factors using Cox regression analyses (Figures 5A, B). Subsequently, we analysed the spread of risk scores for various clinicopathological features (Figures 5C–H), and found that the risk score was higher for advanced T (Figure 5G) and stage (Figure 5H). We also divided the patients into subgroups according to their age, sex, grade, T, N, and stage to plot the K-M survival curve. In almost all subgroups, the OS of the high-risk group was shorter than that of the low-risk group (Figures S1A–L).

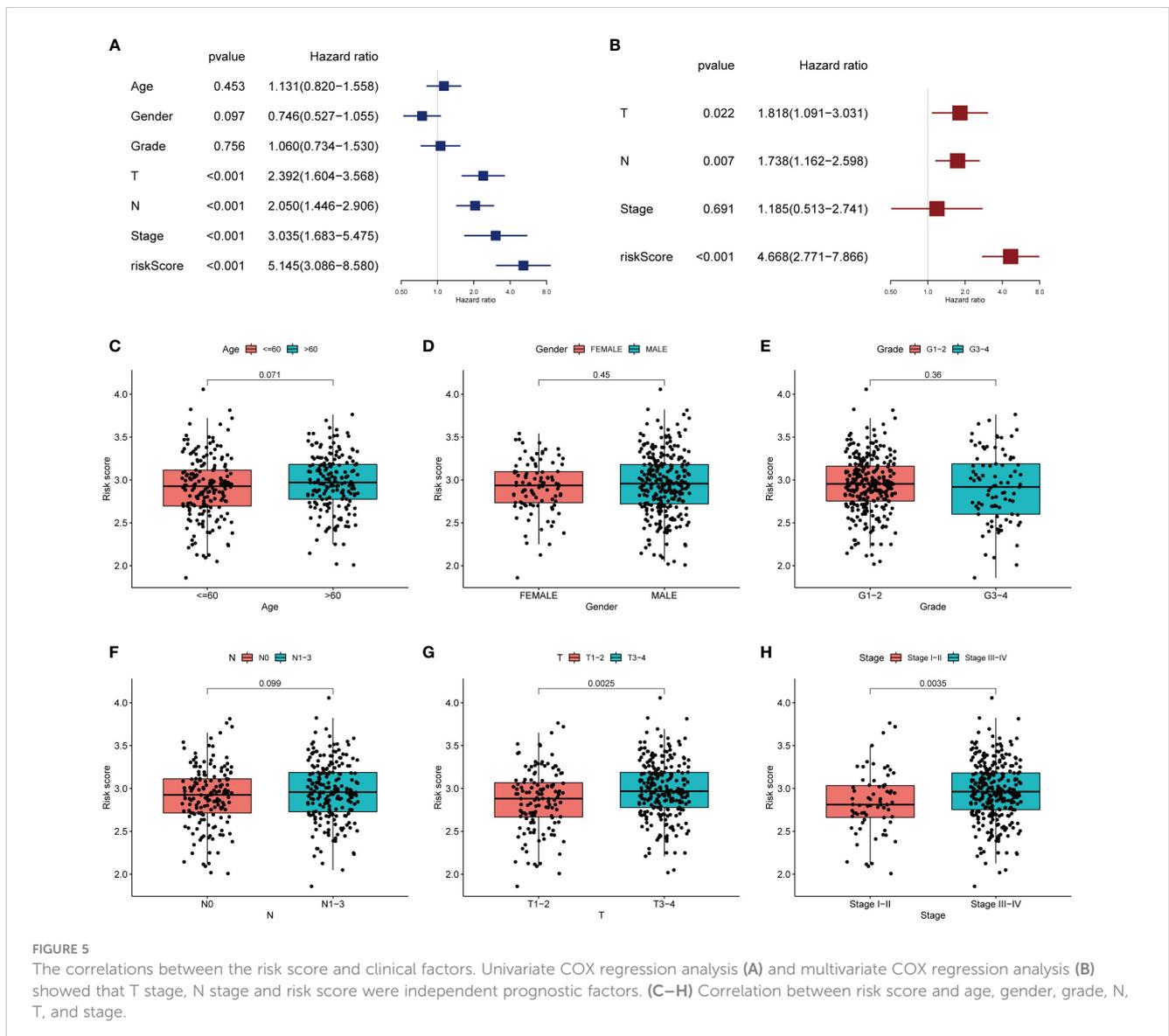
### 3.5 Construction and evaluation of nomogram

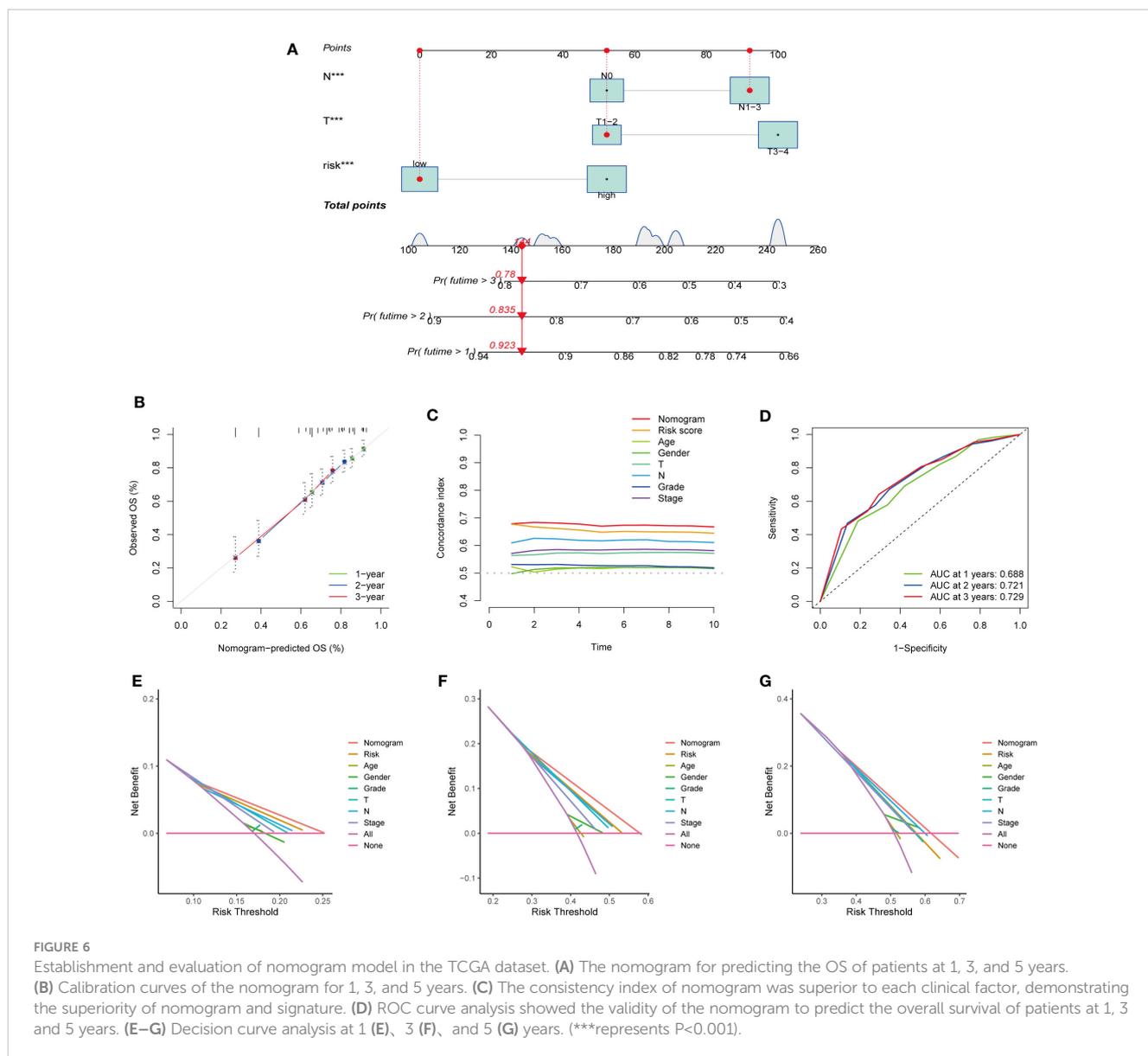
To predict the 1-, 3-, and 5-year survival rates of patients with HNSCC, we created a nomogram that included clinical indicators

(T and N) and risk scores (Figure 6A). The calibration plot displayed the discrepancy between the predicted and actual survival probabilities in patients with HNSCC (Figure 6B), indicating the nomogram’s high prediction accuracy. The C-index of the nomogram was higher than that of each element (Figure 6C), indicating the reliability of the nomogram as a predictor. The ROC curve revealed that the nomograms efficiently predicted the OS of patients efficiently (AUC = 0.688, 0.721, and 0.729) (Figure 6D). The DCA of the nomogram demonstrated that the model had excellent strength for 1-, 3- and 5-year OS (Figures 6E–G), which showed that the nomogram could serve as an efficient way to predict the prognosis of patients in clinical practice.

### 3.6 Risk scores predict the therapy sensitivity of HNSCC patients

We used the TIDE algorithm to assess the likelihood of a response to immunotherapy. The findings revealed that





the high-risk group's TIDE score was much lower (Figure 7A), and the correlation within risk score and TIDE score was negative (Figure 7B), suggesting that the high-risk group might be more likely to benefit from immunotherapy. We also compared the expression profiles of TCGA-HNSCs with those of a separate cohort of 47 patients with melanoma who received immunotherapy using the SubMap algorithm. We found that anti-PD-1 antibody therapy had a higher chance of success in the high-risk group (nominal,  $p < 0.001$ ; Bonferroni-corrected,  $p = 0.02$ ) (Figure 7C). The RNA expression levels of PD-L1 and CTLA-4 were also consistent with this result, The expression levels of PD-L1 and CTLA-4 in the high-risk group were lower than those in the low-risk group (Figures 7D, E). High expression of PD-L1 and CTLA-4 inhibits tumour immunity and induces tumour immune escape.

We compared the sensitivity of the low-risk and high-risk groups to nine popular HNSCC chemotherapy medicines using the GDSC drug data. In patients with low FARG scores, the IC50

values of cisplatin (Figure 7F), methotrexate (Figure 7G), paclitaxel (Figure 7H), rapamycin (Figure 7I), and mitomycin were determined. Mitomycin.C (Figure 7J) were lower and more sensitive. The group at higher risk responded better to docetaxel (Figure 7K), lapatinib (Figure 7L), gemcitabine (Figure 7M), and bleomycin (Figure 7N). The correlation between 9 genes expression and the predicted drug response is shown in Figure 8. This implied that our FARG signature has important applications in clinical drug use.

### 3.7 Mutation spectrum of FARG signature in HNSCC

In the TCGA-HNSC cohort, we found that ACTB, MAP2K1, PARVB, and PDGFA were upregulated in tumour tissues, suggesting that gene expression may be associated with

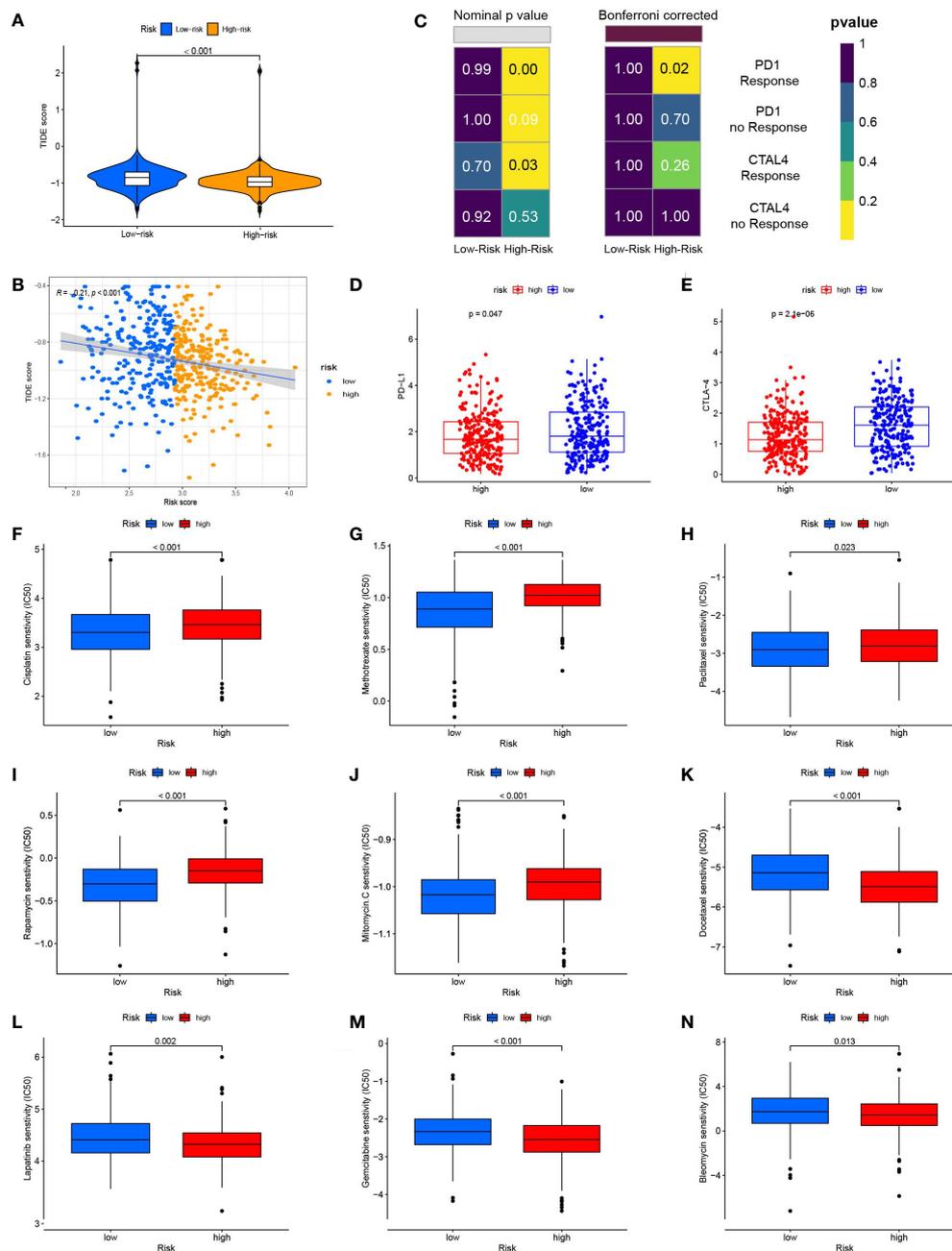


FIGURE 7

Risk score predicts responses to immunotherapy and chemotherapy. (A) TIDE scores were significantly lower in the high-risk group than in the low-risk group. (B) Risk scores were negatively correlated with TIDE scores. (C) Using the SubMAP algorithm, we inferred the possibility of anti-programmed cell death protein 1 (PD1) and anti-cytotoxic T-lymphocyte-associated protein 4 (CTLA4) response immunotherapy in the high and low risk groups. High risk group may respond better to PD-1 treatment (Bonferroni-corrected  $P = 0.02$ ). (D) The expression level of PD-L1 in the high and low risk groups (E) The expression level of CTLA-4 in high and low risk groups. (F) The box plot of the estimated IC50 for cisplatin. (G) The box plot of the estimated IC50 for methotrexate. (H) The box plot of the estimated IC50 for paclitaxel. (I) The box plot of the estimated IC50 for rapamycin. (J) The box plot of the estimated IC50 for mitomycin C. (K) The box plot of the estimated IC50 for docetaxel. (L) The box plot of the estimated IC50 for lapatinib. (M) The box plot of the estimated IC50 for gemcitabine. (N) The box plot of the estimated IC50 for bleomycin.

tumorigenesis (Figure 9A). We also analysed the mutations in nine FARGs in patients with HNSCC. The frequency of CNV is summarised in Figure 9B, and chromosomal sites of these genes are shown in Figure 9C. We then performed gene mutation analysis according to the FARG signature and discovered a higher mutation count in the high-risk group than in the low-risk group (Figure 9D).

Moreover, after the top 10 mutated genes for high- and low-risk samples were contradistinguished, we discovered that missense mutations were a particularly prevalent type of mutation, and in both groups, TP53 was the most commonly mutated gene (Figure 9D). We also found a larger percentage of patients with TP53 mutations in the high-risk group (Figure 9E) and the FARG

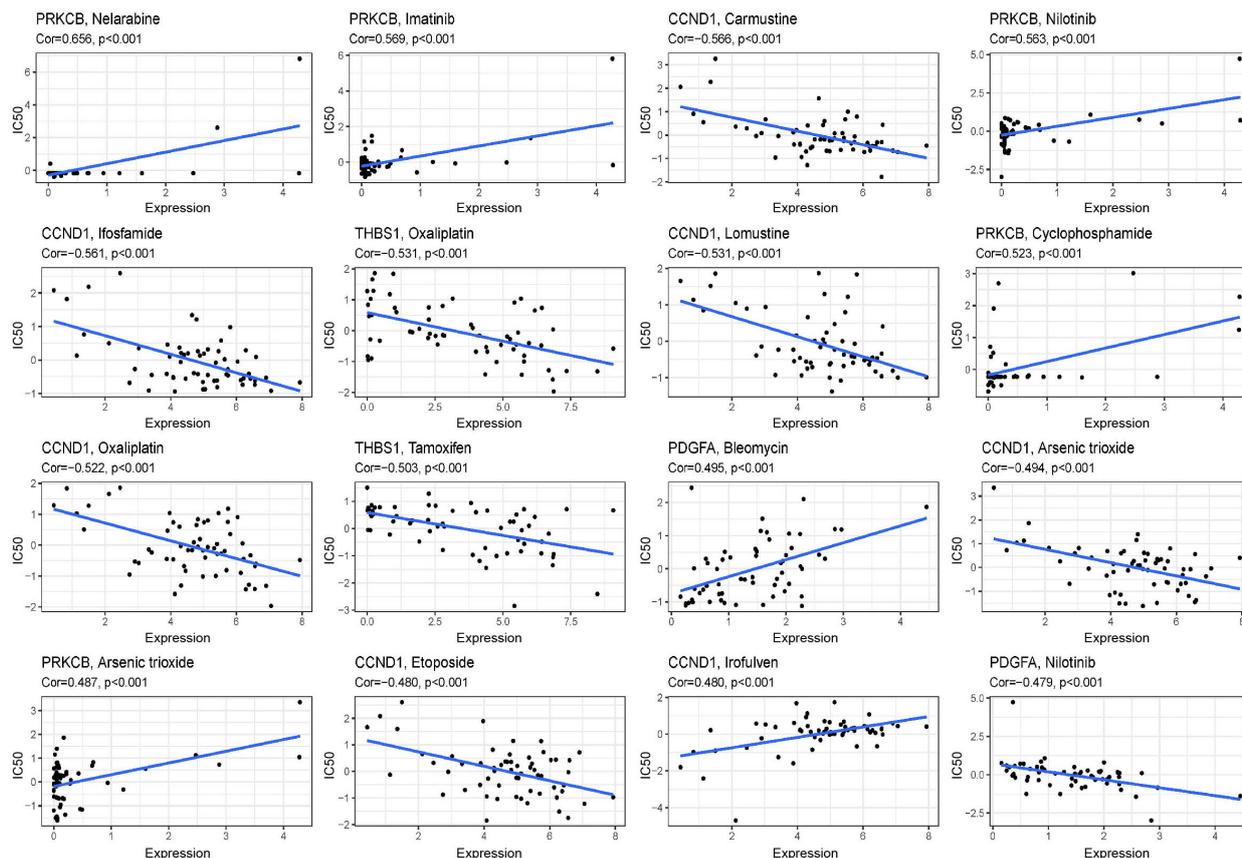


FIGURE 8

Scatter plots of the correlation between the expression of 9 genes and the predicted drug response. The vertical axis represents drug sensitivity, represented by Z-score, and the horizontal axis represents gene expression, represented by  $\log_2(\text{FPKM} + 1)$ .

scores of patients with TP53 mutations were higher (Figure 9F). In addition, we assessed the alterations in each gene by analysing HNSCC samples from the cBioPortal database. The total mutation frequency was 35.6%, and all genes in the FARG signature were mutated, indicating that these nine genes are crucial for the development of HNSCC (Figure 9G). The detailed mutation spectrum for each gene is shown in Figure 9H.

### 3.8 GSEA and GSVA analysis

GO and Reactome enrichment analyses based on GSEA indicated that multiple pathways and biological functions differed between the high- and low-risk groups (Figure 10A). KEGG and Hallmark enrichment analysis according to the GSVA algorithm showed the correlation of each gene and pathways (Figure 10B). These results may be due to the potential mechanism underlying the differences between the different FARG groups.

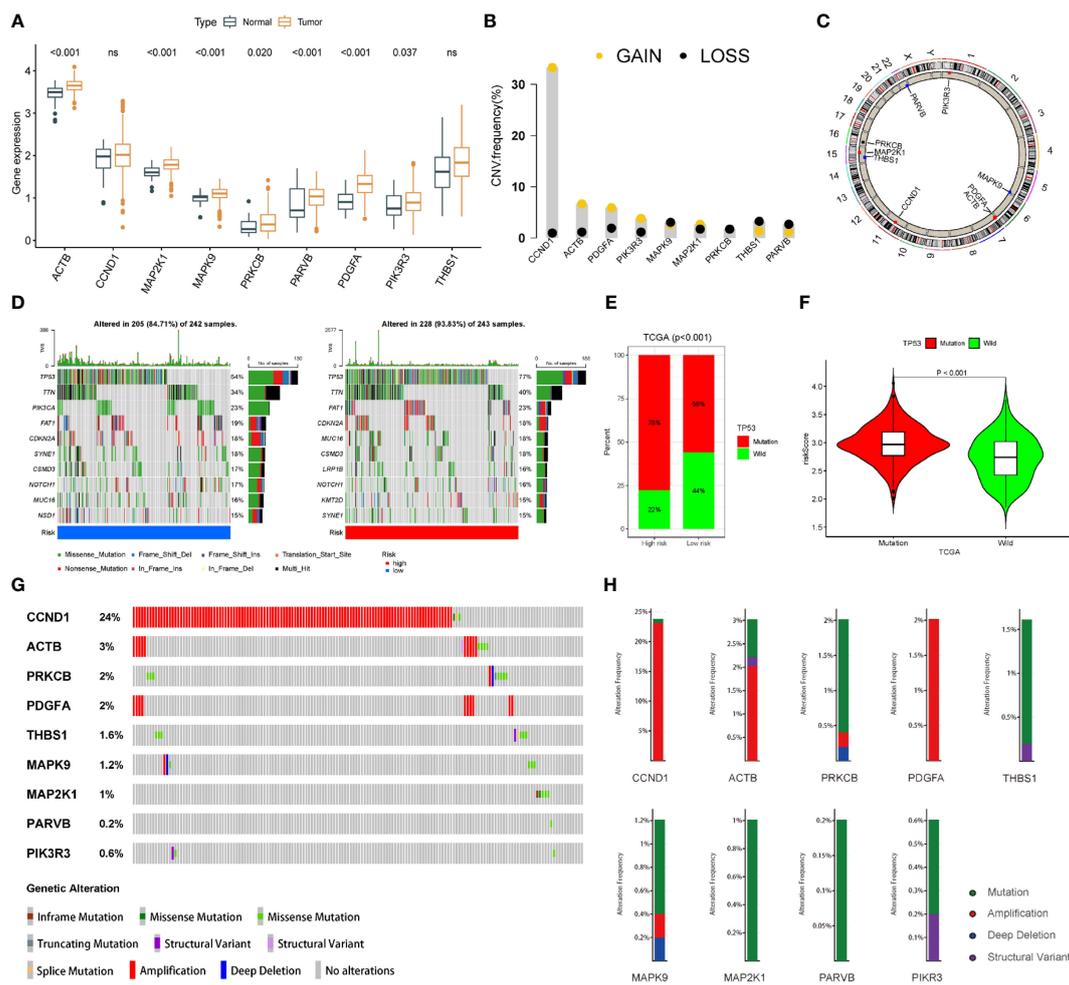
### 3.9 Relationship between risk score and tumour immune microenvironment

To study the association between the FARG score and TIME, we used seven different applications for analysis. When utilizing

Spearman's correlation to investigate the relationship between the risk ratings and TIME (Figure 11A), CD8+ T cells, B cells, M2 macrophages, myeloid dendritic cells, and other types of immune cells were remarkably associated with the FARG score. The heatmap shows that the abundance of multiple immune cells varied greatly between the high- and low-risk groups (Figure 11B). The links between risk scores, estimate scores, immune scores, stromal scores, and tumour purity were studied (Figures 11C–J). The results suggested that the high-risk group's estimation score (Figure 11C) and immune score (Figure 11D) were much lower than those of the low-risk group, but tumour purity (Figure 11F) was much higher. Moreover, correlation analysis revealed that the FARG score was strongly negatively linked with the estimated score (Figure 11G) and immune score (Figures 11H) and significantly positively correlated with tumour purity (Figure 11I).

### 3.10 Validation of hub genes mRNA and protein in cancer and paracancerous tissues

RT-PCR confirmed the expression of ACTB, MAP2K1, MAPK9, PARVB, PDGFA, and PIK3R3 mRNA in HNSC and adjacent tissues. The findings revealed that 12 cancer tissues



**FIGURE 9**  
 The landscape of genetic and expression variation of 9 focal adhesion-related genes in TCGA dataset. **(A)** Expression of 9 genes in tumor tissues. **(B)** The frequencies of CNV mutations in 9 genes. **(C)** The location of 9 focal adhesion-related genes on the chromosome. **(D)** Comparison of the top 10 mutated genes in the high- and low-risk groups. **(E)** Mutation difference of TP53 in high- and low-risk groups. **(F)** The FARG score of patients with TP53 mutation was higher. **(G)** Alterations in each gene were assessed by analyzing the HNSCC samples in the cBioPortal database. **(H)** The detailed mutation spectrum of 9 focal adhesion-related genes.

exhibited higher expression of these genes than normal tissues. (Figure 12A). The expression of CCND1, PRKCB, or THBS1 showed no statistically significant difference (Figure S2), but the pattern matched the outcomes of the bioinformatics analysis. Western blotting and immunohistochemistry staining were used to confirm the MAPK9 protein expression level, and the results were consistent with the mRNA expression level (Figures 12B, C).

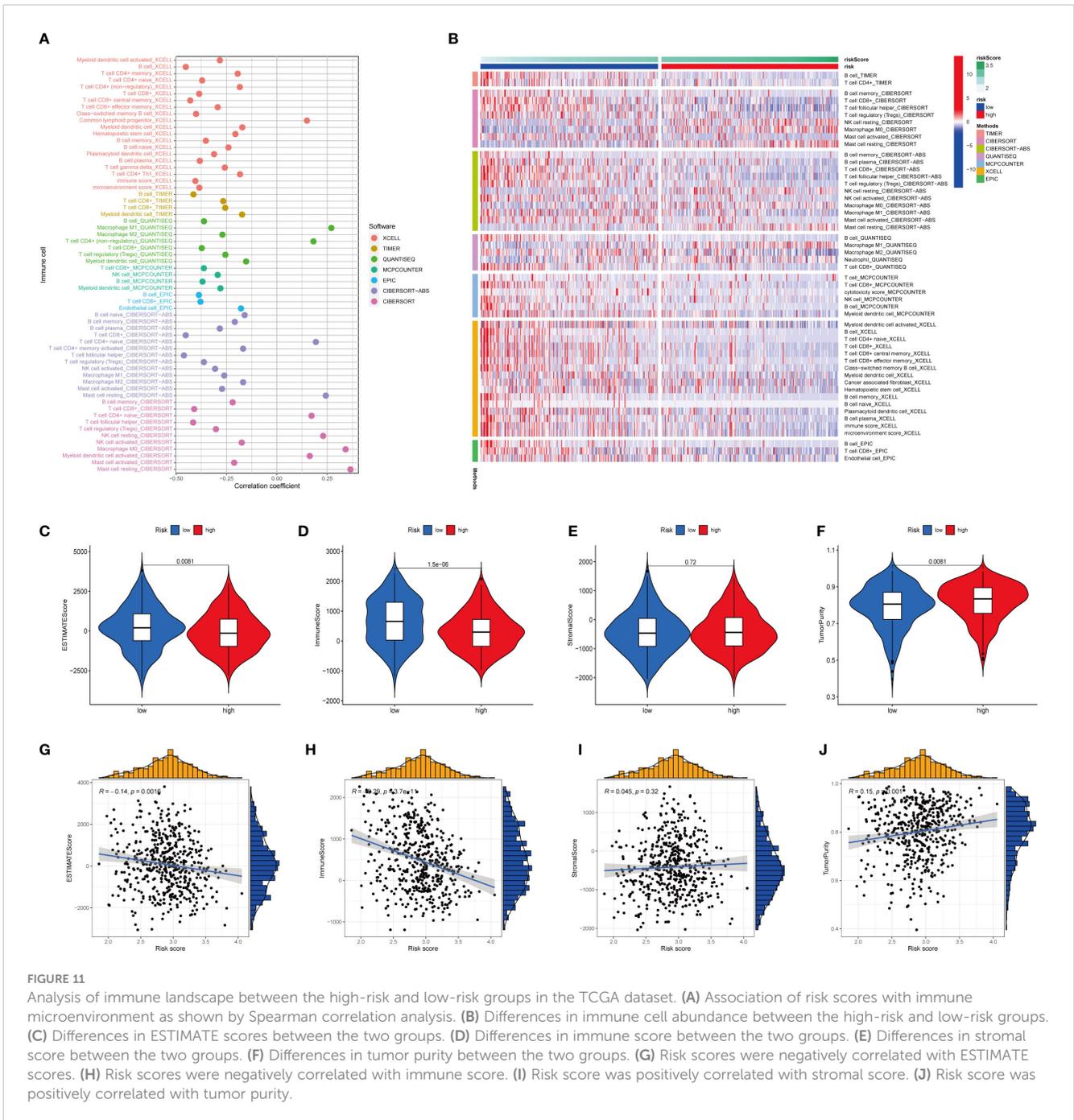
### 3.11 Knockdown of MAPK9 reduces the proliferation, migration and invasion of HNSCC cells

To investigate possible biological functions and mechanisms of MAPK9 in HNSCC, we created stable MAPK9 knockdown HNSCC cell lines. The efficacy of cell transfection was verified using western

blotting (Figure 13A). CCK-8 and colony formation tests were utilized to assess the proliferation capacity of HNSCC cells. The outcomes showed that MAPK9 knockdown greatly reduced the viability and colony formation ability of CAL27 and FaDu cells (Figures 13B–D). Moreover, we examined whether MAPK9 contributes to the migration and invasion of HNSCC cells. Wound healing and Transwell assays suggested that cell migration and invasion were markedly decreased after MAPK9 knockdown (Figures 13E, F).

Additionally, Western blot experiments showed that CAL27 and FaDu cells with MAPK9 knockdown had higher E-cadherin expression and lower expression of vimentin and N-cadherin (Figure 13G). These results indicate that MAPK9 encourages HNSCC cell migration by changing the expression of EMT-associated proteins. This also validated the results of Figure 3K in bioinformatics analysis.





elucidated. Therefore, We analysed the part FARGs play in the HNSCC prognosis. We built a risk prognosis model determined by FARGs and separated individuals into groups with high and low risks depending on the danger score to forecast the prognosis of the patients. According to results of the calibration diagram and ROC curve, the prognostic model had a good prediction capacity. We screened nine FARGs associated with HNSCC prognosis. Except for PRKCB and PIK3R3 which were potentially protective genes, the remaining seven were potential risk genes. These genes have been linked to cancer initiation and progression. RT-PCR also confirmed that the mRNA levels of ACTB, MAP2K1, MAPK9, PARVB, PDGFA, and PIK3R3 were relatively high in HNSCC tissues. The

proteins encoded by MAPK9 and MAP2K1 are mitogen-activated protein (MAP) kinases. MAP kinases can integrate a variety of biochemical signals and participate in cell proliferation, differentiation, transcriptional regulation and development (20). In the research of Ren et al., patients with HNSCC might benefit from using MAPK9 as a separate and trustworthy prognostic marker along with a therapeutic target (21). We knocked down MAPK9 in HNSCC cell lines to further explore and verify biologic function and mechanism of activity of MAPK9 in HNSCC. The outcomes showed that MAPK9 accelerated the metastasis of HNSCC cells by affecting EMT pathway-related proteins, thus verifying the poor prognostic role of MAPK9 in HNSCC.

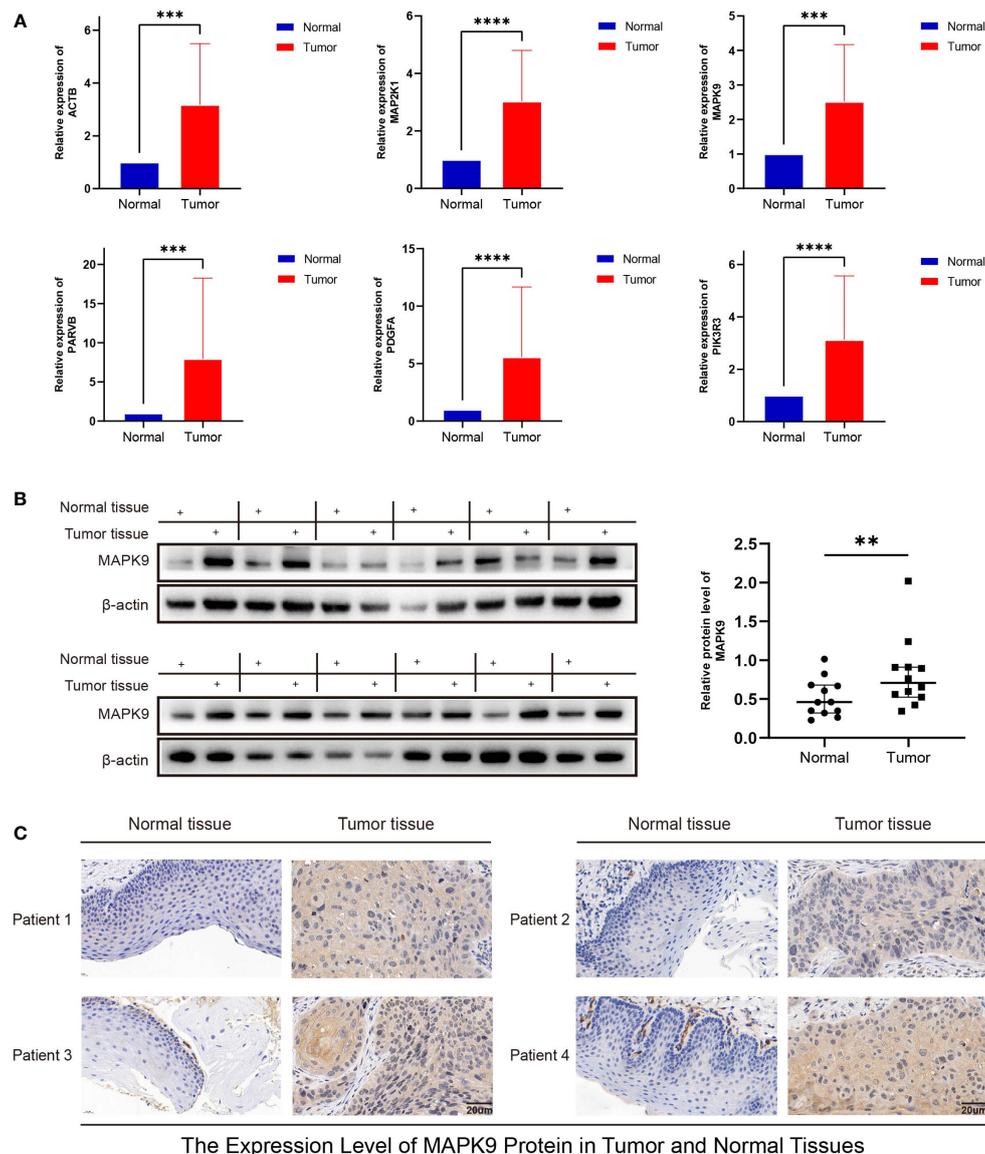


FIGURE 12

Validation of mRNA and protein expression levels of focal adhesion-related genes in HNSCC. (A) The expression of mRNA in HNSCC tissues and adjacent normal tissues was compared by RT-PCR. (B) The western blot analyses. (C) Immunohistochemical staining analysis. (\*\*represents  $P < 0.01$ , \*\*\*represents  $P < 0.001$ , \*\*\*\*represents  $P < 0.0001$ ).

PDGFA, the core gene with increased expression on chromosome 7, widely exists in glioblastomas and has been demonstrated to be crucial in glioma (22). In addition, Cui et al. discovered that colorectal cancer and oesophageal squamous cell carcinoma both exhibit high levels of PDGFA expression (23, 24). ACTB, a cytoskeletal actin, has long been considered a common reference gene for a long time (25). However, increasing evidence has recently shown that cytoskeletal actin can regulate the adhesion and movement of tumour cells, and ACTB can influence the invasion and metastasis of tumour cells via polymerisation and localization (26). ACTB plays important roles in renal cell carcinoma, colorectal cancer, liver cancer etc (27–29). The G1 cycle of cells is regulated by the protein CCND1; therefore, this is considered carcinogenic gene (30). In the study by Liu et al., they indicated that CCND1

amplification is related to the adverse reaction of ICIs, and may contribute to the promotion of tumour immune escape through TGF- $\beta$  and KRAS signalling pathways (31). THBS1 is a secretory protein that binds to different cellular receptors. It acts on the tumour microenvironment (TME), inhibits angiogenesis, stimulates tumor cell migration, and regulates immune cell infiltration. Previous research has demonstrated that THBS1 protein is highly expressed in lymphoma, stomach cancer, melanoma, breast cancer and HNSCC, and that it promotes the adhesion, growth, metastasis, and invasion of tumor cells (32–35). PARVB has an essential part in actin reorganisation and the formation of focal adhesions and is heavily present in the spleen, skeletal muscle, kidney, and heart, where it can affect cell migration and wound healing. Significantly worse survival of cancer patients

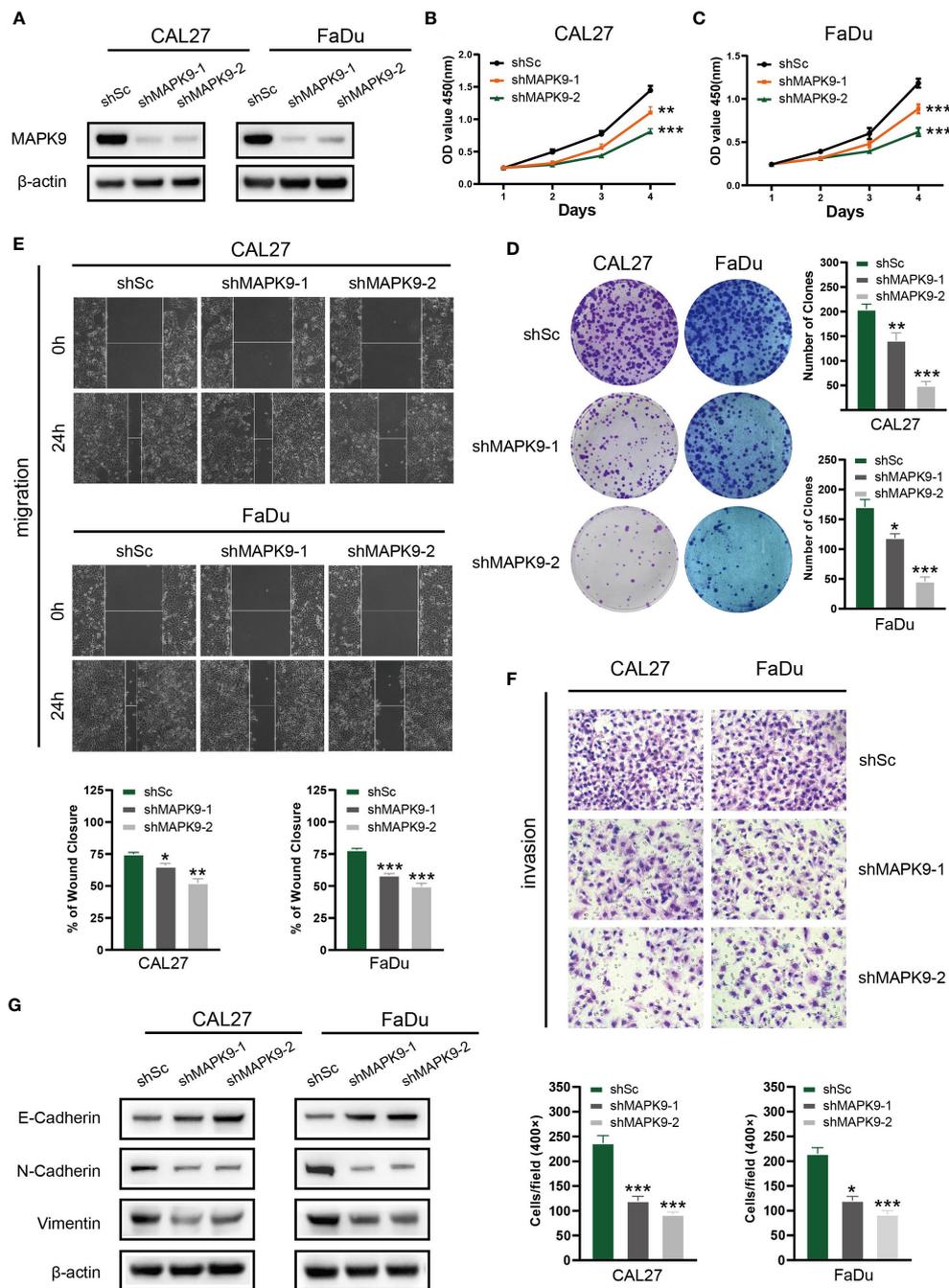


FIGURE 13

Knockdown of MAPK9 decreases HNSCC cells proliferation, migration and invasion. (A) Western blot validation of MAPK9 knockdown in CAL27 and FaDu cell lines. Cell growth rates, migration and invasion abilities of the indicated cells were evaluated by CCK-8 assays (B, C), colony formation assays (D), wound healing assays (E) and transwell (F). Data were indicated as mean  $\pm$  SD of triplicate technical replicates, \* $p$  < 0.05, \*\* $p$  < 0.01, \*\*\* $p$  < 0.001 vs control group. (G) The expression of EMT markers (E-cadherin, N-cadherin and Vimentin) was detected by Western blot analysis when MAPK9 was knockdown in HNSCC cells.

with higher parvb expression was found in an investigation by Eslami et al. (36). Nevertheless, it is still unknown how PARV-B causes cancer and requires further exploration. The protein kinase C family member PPKCB is crucial for regulating cell survival and apoptosis (37). Multiple studies have suggested that PPKCB is hypermethylated in a variety of adenocarcinomas, and its expression in tumour cells may be regulated by the Wnt signaling

pathway (38). PIK3R3, also known as p55, is a lipid kinase that phosphorylates phosphatidylinositol and similar compounds to produce important secondary messengers in growth-signalling pathways. Ibrahim et al. discovered that colorectal cancer tissues have significantly higher levels of PIK3R3 expression (39). Moreover, several studies have previously reported elevated PIK3R3 expression in multiple malignancies (40, 41).

New therapeutic approaches for HNSCC are currently under investigation. Immunotherapy has emerged as one of the most common anti-tumor therapies in recent years. Individuals with recurrent or metastatic (R/M) HNSCC have shown improved rates of survival using T cell-based immunotherapies (such as ICI) (42). Among them, inhibitors of programmed death receptor-1 (PD-1) and programmed death ligand-1 (PD-L1) exhibit strong anti-tumor activity and are safe for the management of HNSCC. However, not all patients react well to PD-1/PD-L1 immunosuppressants, and the overall rate of response to PD-1/PD-L1 inhibitors in R/M HNSCC patients is less than 20% (43). Additionally, immunotherapy has adverse reactions and drug resistance in clinical applications. Multiple researches have shown that the biological characteristics, dynamic inhibitory changes, and heterogeneity of the TME may determine the effect of immunotherapy (44). Tumour immune cell invasion, which is a significant part of the TME, plays a key role in immunotherapy (45). For example, tumour-associated macrophages (TAM) can enhance immunosuppression through transforming growth factor- $\beta$  (TGF- $\beta$ ), secreting interleukin-10 (IL-10) etc., which is related to poor prognosis (46). However, increased quantities of tumour-infiltrating lymphocytes (TILs, CD4+T cells, and CD8+T cells) are related to a good prognosis (47). However, the mechanism underlying immune cell infiltration in HNSCC is not fully understood yet. Although immunotherapy is currently a new treatment option, it is used more as an auxiliary means of personalised treatment according to patient characteristics. Therefore, analysing the therapeutic significance of immune cell infiltration in HNSCC and combining immunotherapy with traditional tumour treatment methods, such as radiotherapy or chemotherapy, to achieve precise and personalised treatment, is currently a clinical problem that needs to be solved.

Studies have been conducted to correlate tumour prognostic markers with tumour immunotherapy and immune infiltration. In Kuang et al. Immunogenic cell death (ICD) is a specific mode of cell death that initiates the host anti-tumor immune response (48). They used the ICD as a tumour prognostic marker for glioma and using the CIBERSORT and ESTIMATE algorithms to assess the variations in tumour purity, immune score, and stromal score of the two ICD subtypes in the tumour microenvironment (TME). Ultimately, the high ICD subgroup had higher immune scores, lower tumour purity, and more active tumour immune responses than the low ICD subgroup, all of which can be used to guide individualised immunotherapy for glioma (49). Cao et al. performed a similar analysis. In their study, long-stranded non-coding RNAs (lncRNAs) were used as glioma prognostic markers for glioma, and Glioma samples were split into groups with high and low immune cell infiltration using ssGSEA, to analyze stromal score, immune score and ESTIMATE score, and to screen lncRNAs differentially expressed in high and low immune cell infiltration groups. This was done to determine the connection between the lncRNAs and the glioma immune response and immune infiltration of lncRNAs as potential immunotherapeutic targets for glioma (50).

It has recently been shown that focal adhesion kinase (FAK) recruits immune cells, promotes angiogenesis and ECM cell

proliferation to drive tumor progression. Serrels et al. showed that FAK recruits Tregs cells to eliminate CD8<sup>+</sup> T cells by increasing the expression of CCL1, CCL5, CCL7, CXCL10 and TGF $\beta$ 2, which allows tumour cells to achieve immune escape (51). Li et al. studied the correlation between gliomas and FARGs and found that they were closely associated with tumour prognosis and immune infiltration, which is consistent with our results in HNSCC (52). To further explore the influence of FARGs on immune invasion, we looked into the relationship between immunological invasion and the nine FARGs. Because of rapid advancements in tumour treatment and microarray sequencing, the ESTIMATE algorithm is widely used in the analysis of immune infiltration and the exploration of new targets for immunotherapy (53). In this study, we obtained estimated immune/stromal scores and tumour purity in HNSCC cells using the ESTIMATE algorithm and comprehensively analysed high-throughput data. The findings revealed that the two groups' scores and tumour purity varied considerably and that the FARG risk score had a significant negative correlation with the estimated score and a significant positive correlation with tumour purity. Moreover, different immune, stromal, and estimated scores and tumour purity significantly affected survival. Additionally, comparing the high-risk and low-risk groups, there were notable variations in the degree of invasion of M2 macrophages, CD8+ T cells, B cells, and myeloid dendritic cells. To directly evaluate the clinical significance of FARGs in immunotherapy, we applied the TIDE algorithm to forecast immunotherapy outcomes. These findings indicate that compared to the low-risk group, the high-risk group's TIDE score was much lower, showing that immunotherapy works better in the high-risk group. We compared TCGA-HNSCC expression profiles with those of a sample of melanoma patients undergoing immunotherapy using the submap algorithm and showed that the likelihood of an immunological response was higher in the high-risk group. This further validates our conclusion, and we believe that the FARG prognostic signature can provide guidance for tumour immunotherapy.

In addition to exploring the correlation between FARGs and immunotherapy, the sensitivity of the high-risk and low-risk groups were compared to nine popular HNSCC chemotherapy agents. The low-risk group responded to cisplatin, methotrexate, rapamycin, paclitaxel, and mitomycin C with greater sensitivity. The high-risk group showed greater sensitivity to docetaxel, lapatinib, gemcitabine, and bleomycin therapy. Improving the curative effect of individual precision treatment for HNSCC by combining immunotherapy with traditional tumour treatment methods such as radiation or chemotherapy is the current clinical direction. Based on the prediction of immunotherapy and chemotherapy responses in HNSCC patients based on the nine FARG signatures, we can apply more sensitive and effective treatment options to patients, which has great clinical implications in the future.

Moreover, we performed gene mutation analysis of HNSCC. We discovered that the number of mutations was significantly higher in the high-risk group than in the low-risk group by comparing the two groups. Missense mutations and TP53 were the most common types of mutations and mutated genes, respectively. Previous studies revealed that the gene TP53 is the

most usually altered in human cancer, including HNSCC, which is consistent with our conclusion (54).

However, this research had certain restrictions. First, more cellular and molecular biology data are required as our research primarily leaned on data from publicly available databases. However, there was a lack of an explanation and examination of the major pathways involved in FARGs.

## 5 Conclusion

In this study, nine FARGs (ACTB, CCND, MAP2K1, MAPK9, PARVB, PDGFA, THBS1, PRKCB, and PIK3R3) were associated with HNSCC prognosis, and based on them, a prognostic risk model was developed. We investigated the immune infiltration, immunotherapy prediction, and drug sensitivity using the constructed model. Finally, we performed a preliminary verification of the expression of these FARGs using molecular biology. In summary, this study provides new perspectives and directions for the diagnosis, treatment, and prognostic prediction of HNSCC.

## Data availability statement

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

## Ethics statement

The studies involving humans were approved by the medical ethics committees of The First Affiliated Hospital of Anhui Medical University (Reference number: Quick-PJ 2022-03-19). The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

## Author contributions

YCL, ZCW, ZYF, YXH: Conceptualization, methodology, software. JPW, YQZ, BYL: Data curation, writing - original draft preparation. YT, YCZ, CLS, YDX, SYY: Visualization, investigation. BJC, YHL, HFP, ZL, KLW: Supervision. HFP, ZL and KLW as the Corresponding Authors guided the design of the entire experiment and the writing of the paper. YCL, ZCW, ZYF, YXH, JPW, YQZ and

BYL were responsible for the data collection, the writing of papers and other scattered work, they contributed equally to this work as first authors. YT, YCZ, CLS, YDX, SYY, BJC and YHL were engaged in the collection of the clinical data. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2023.1190678/full#supplementary-material>

### SUPPLEMENTARY FIGURE 1

We performed and K-M analyses for age, gender, grade, T, N, and stage to determine the relationship between clinical factors and tumor prognosis.

### SUPPLEMENTARY FIGURE 2

The expression of CCND1, PRKCB, and THBS1 were not statistically significant.

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