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\*CORRESPONDENCE Nihal Ahmad Mahmad@dermatology.wisc.edu

<sup>†</sup>These authors have contributed equally to this work

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## Immune escape and metastasis mechanisms in melanoma: breaking down the dichotomy

Carl A. Shirley<sup>1†</sup>, Gagan Chhabra<sup>1†</sup>, Deeba Amiri<sup>1</sup>, Hao Chang<sup>1,2</sup> and Nihal Ahmad<sup>1,2\*</sup>

<sup>1</sup>Department of Dermatology, University of Wisconsin, Madison, WI, United States, <sup>2</sup>William S. Middleton Memorial Veterans Hospital, Madison, WI, United States

Melanoma is one of the most lethal neoplasms of the skin. Despite the revolutionary introduction of immune checkpoint inhibitors, metastatic spread, and recurrence remain critical problems in resistant cases. Melanoma employs a multitude of mechanisms to subvert the immune system and successfully metastasize to distant organs. Concerningly, recent research also shows that tumor cells can disseminate early during melanoma progression and enter dormant states, eventually leading to metastases at a future time. Immune escape and metastasis have previously been viewed as separate phenomena; however, accumulating evidence is breaking down this dichotomy. Recent research into the progressive mechanisms of melanoma provides evidence that dedifferentiation similar to classical epithelial to mesenchymal transition (EMT), genes involved in neural crest stem cell maintenance, and hypoxia/ acidosis, are important factors simultaneously involved in immune escape and metastasis. The likeness between EMT and early dissemination, and differences, also become apparent in these contexts. Detailed knowledge of the mechanisms behind "dual drivers" simultaneously promoting metastatically inclined and immunosuppressive environments can yield novel strategies effective in disabling multiple facets of melanoma progression. Furthermore, understanding progression through these drivers may provide insight towards novel treatments capable of preventing recurrence arising from dormant dissemination or improving immunotherapy outcomes.

#### KEYWORDS

immune escape, metastasis, EMT, hypoxia, acidosis, neural crest stem cell genes, dormancy, early dissemination

### 1 Introduction

Melanoma is a highly aggressive malignancy arising from melanocytes. Despite recent advances in melanoma research, the incidence of metastatic melanoma has only grown in the past decade (1-3). Treatments often fail to effectively overcome the adaptive mechanisms driving melanoma, especially in metastatic instances (4-11). Current

immunotherapies for advanced stage melanomas involve antagonization of cytotoxic T-lymphocyte-associated protein-4 (CTLA-4, ipilimumab) and programmed cell death protein-1 (PD-1, nivolumab and pembrolizumab), but in 45-70% of cases these therapies encounter primary resistance (Table 1) (5, 11). Further, immunotherapies with initially promising responses eventually yield to cancer progression in ~20-30% of respondents as secondary resistance occurs (5, 13, 14). Moreover, relatively high recurrence rates persist among patients treated with immunotherapies and the 5-year survival rate for advanced melanoma rests around 40% (1, 9, 10). As melanoma progresses, the acquisition of an immunosuppressive milieu and alterations to endogenous pathways allow immune escape.

The immune evasive capabilities of melanoma facilitate tumor survival, whereas its metastatic nature is what leads to lethality, as metastasis is estimated to cause about 90% of cancer deaths (15). Metastasis is a complex phenomenon involving sufficient lymphomagenesis or angiogenesis, intravasation, dissemination, resistance to anoikis and shear-stress induced apoptosis, extravasation, and subsequent resistance to a hostile metabolic and oxidative environment (15–20). Recent research has also challenged the linear progression model of cancer development, which suggests metastasis is a late-stage product of stepwise genetic and/or epigenetic changes in the primary tumor serving to gradually enhance cellular fitness and metastatic potential (21). Instead, the dissemination of metastasis-capable melanoma cells may be a common occurrence in the early stages (22–24). Malignantly juvenile cells escaping immunosurveillance may occupy a dormant phenotype for years before stimulation spurs metastatic colony formation and recurrence occurs (24). Immune escape and metastasis may initially appear as separate phenomena contributing individually to fatality, but they are intrinsically linked through individual drivers of melanoma progression. This becomes apparent in epithelial-mesenchymal transition (EMT), which has classically been viewed as the development of a stem cell-like, antiapoptotic, migratory, and progression-associated phenotype. Gathering evidence also associates EMT with the development of immune escape capabilities, and vice versa (25-30). "The chicken or the egg?" has been asked regarding the immunosuppressive environment and EMT (28). Immune escape and metastasis can be seen as two sides of the same coin-progression-associated mechanisms of melanoma development may simultaneously drive both migratory capabilities and subvert the immune response. Metastasis and immune escape are both critical factors in melanoma development that also inform therapeutic ventures and further research. This review focuses on discussing recently discovered drivers of melanoma progression that concurrently promote an immune evasive and metastatically inclined environment as well as future therapeutic directions.

# 2 Immune escape mechanisms in melanoma

Immune escape occurs when tumor cells avoid recognition and attack by the immune system. This phenomenon plays a key role in

Resection	Stage	BRAF Status	Therapy Type	Neoadjuvant Treatment	Primary or Adjuvant Treatment	Progression Status
YES	I-IIA	NA	NA	Not recommended	Not recommended	NA
	IIB/C		Immuno	Not recommended	1) pembrolizumab 2) nivolumab	
	III A/B/ C/D	WT	Immuno	pembrolizumab for IIIB/C/D	1) pembrolizumab 2) nivolumab	
		Mutant (V600)	Immuno		1) pembrolizumab 2) nivolumab	
			Targeted		dabrafenib + trametinib	
	IV	NA	Immuno	pembrolizumab	pembrolizumab	
NO	III/IV	WT	Immuno	muno rgeted NA muno	<ol> <li>nivolumab + ipilimumab followed by nivolumab</li> <li>nivolumab + relatlimab</li> <li>nivolumab</li> <li>pembrolizumab</li> </ol>	Progression on PD-1-based therapies? Switch to ipilimumab/ipilimumab-containing
		Mutant (V600)	Targeted		<ol> <li>1) dabrafenib + trametinib</li> <li>2) encorafenib + binimetinib</li> <li>3) vemurafenib + cobimetinib</li> </ol>	Progression on BRAF/MEK therapies? Switch to ipilimumab/ipilimumab-containing
			Immuno		<ol> <li>nivolumab + ipilimumab followed by nivolumab</li> <li>nivolumab + relatlimab</li> <li>nivolumab</li> </ol>	Progression on PD-1-based therapies? Switch to BRAF/MEK targeting

TABLE 1 Summary table of melanoma therapy standard of care recommendations from the American Society of Clinical Oncology 2023 guidelines (12).

NA, Not available; WT, Wild Type.

cancer progression and is linked to the effectiveness of immunotherapy (31). Malignant cells employ a variety of strategies to counteract antigenic recognition and the stimulation of the immune system. The escape of melanoma cells also relies on faulty immune recognition and increased resistance to apoptosis. An immunosuppressive microenvironment can also be vital in the escape of melanoma cells from the immune system (32). Below, we discuss important signaling mechanisms contributing to immune escape in melanoma.

### 2.1 Defective immune recognition

A major contributor to immune escape is defective immune recognition. Dysregulated antigen processing and recognition promote melanoma progression; inefficient antigen processing inhibits the ability of CD8<sup>+</sup> T cells to recognize antigens on tumor cells (33). Antigens are displayed to CD8<sup>+</sup> T cells through presentation as part of the major histocompatibility class-I complex (MHC I). It should be noted that the effectiveness of T cell cytotoxicity demands antigen presentation by mature dendritic cells (DCs). The co-stimulation and antigen presentation of DCs influence the melanoma immune response. During melanoma progression, immunosuppressant stimuli, such as interleukins (IL) IL-8 and IL-10, in the microenvironment can hinder DC maturation and lock DCs in immature phenotypes. This DC impairment is linked with decreased co-stimulation activity as a result of defective CD80 expression (34). Other populations such as myeloid-derived suppressor cells (MDSCs) and regulatory T cells (Tregs) accumulate in the melanoma milieu and contribute to imbalance between immune suppression and stimulation (35). Recruitment and active MDSCs can release soluble factors such as reactive oxygen species (ROS) including nitric oxide (NO), which can inhibit anti-melanoma abilities of natural killer (NK) cells and T-cells (36). Further, Tregs can inhibit the immune system through IL-10 and indoleamine 2,3-dioxygenase (IDO) overproduction. IL-10 and IDO similarly lessen the responses of NK cells, CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes against melanoma (37). Melanoma cells can also directly evade NK cell recognition by shedding MICA/B, which are recognized by the NK group 2D (NKG2D) receptor (38). Defective immune response is also linked to reduced levels of argininosuccinate synthetase in melanoma cells, leading to decreased production of arginine (39-41). When arginine is deprived, T cells show decreased survival rates and proliferation (42). Overall, multiple mechanisms and factors have been shown to be involve in defective immune recognition of tumor initiating cells, which influence the melanoma prognosis.

### 2.2 Immune checkpoint receptors

Immune checkpoints are a crucial control mechanism to inhibit T-cell responses and maintain self-tolerance. Dysregulation in immune checkpoint receptors represent another critical immune escape mechanism in melanoma. The most extensively studied immune checkpoint receptors are PD-1 and CTLA-4. PD-1 is a receptor that is involved in T-cell tolerance of self-antigens. Various studies have highlighted a ligand of PD-1, programmed death ligand-1 (PD-L1), as another factor in tumor immune escape. PD-L1 is expressed in non-blood cells such as astrocytes, neuronal cells, and keratinocytes (43, 44). PD-L1 demonstrates unusually high expression in tumor cells. The increased expression of PD-L1 by cells in the tumor microenvironment inhibits the function of cytotoxic T cells and apoptosis (45). Results from studies have shown an association between PD-1 expression on tumor infiltrating T cells and low chances of survival in cancer patients. In cutaneous melanoma metastases, PD-L1 has been shown to be frequently expressed (46). Indeed, the PD-1/PD-L1 pathway is the basis of many current immunotherapies, including melanoma (Table 1) (47).

The abnormal expression of immune checkpoint receptors and their binding capability by relative ligands has also been suggested as a mechanism to inhibit T cell activity in melanoma (48). This binding can initiate T cell exhaustion, diminishing T cell cytokine responses and cytotoxicity, and elevating expression of inhibitory surface receptors (49). These surface receptors include T-cell immunoglobulin mucin-3 (TIM-3), PD-1, Lymphocyte-Activation Gene 3 (LAG-3), CTLA-4, V-domain Ig suppressor of T cell activation (VISTA), and CD160 (50-54). Activation of these receptors also reduce IL-2 and Tumor Necrosis Factor-alpha (TNF- $\alpha$ ) production (46). Table 1 exemplifies the prevalence of immunotherapy in recommended melanoma treatment guidelines (12). Current investigation into connections between immunerelated pathways and metastasis is an intense area of research that may lead to identification of new therapeutic targets and treatment options for melanoma management.

### 3 Immune escape and epithelial-tomesenchymal transition

EMT is often considered a prerequisite to successful colonization of metastatic cells in distant organs and has been established as the critical mechanism responsible for acquisition of malignant phenotypes in epithelial cancers. During this transition, loss of epithelial polarity and adhesive factors are accompanied by simultaneous acquisition of a motile and stress-resistant mesenchymal phenotype (25, 55-57). Further, signaling associated with this process degrades the extracellular matrix to create an environment favoring dissemination (58). While melanocytes arise from the neural crest, they can still undergo dedifferentiation programs holding similar features to the classical EMT process. Melanoma "EMT" can be viewed as a phenotypic spectrum spanning between differentiated melanocytes and a dedifferentiated state (25). The concept of phenotype switching, which refers to the ability of cells or organisms to switch between multiple states/morphologies, is critical for melanocyte lineage differentiation, but also dedifferentiation into EMT-like phenotype transformations leading to metastasis (57). This process is associated with the increased expression of many immune checkpoints, as reviewed in (27), increased expression of mesenchymal markers or EMT transcription factors (EMT-TFs),

and the simultaneous loss of epithelial markers (25, 57). Epithelial cadherins are replaced with N-cadherins and the expression of many EMF-TFs including Zinc finger proteins SNAI1/2, Zinc finger E-box-binding homeoboxes 1/2, and Twist-related protein (SNAI1/2, ZEB1/2, TWIST) are upregulated (25, 27, 55, 57). As melanoma progresses, EMT provides necessary intrinsic and extrinsic shifts promoting metastasis and post-disseminative survival (25, 58–60). These classical aspects of EMT are well realized, but an emerging paradigm is the two-fold contributions of EMT to both metastasis and immune escape. Below, we summarize recent literature showing connections between immune escape and EMT initiation, EMT-transcription factors and phenotype switching.

### 3.1 Immune escape and EMT initiation

Recent research suggests EMT is not a metastatically exclusive phenomenon as the dedifferentiated cell state is also associated with a variety of immunosuppressive properties. This first becomes apparent by examining the progressive framework behind EMT initiation. EMT-TFs can be initiated by modulations in a variety of pathways, including PI3K/AKT/mTOR, mitogen-activated protein kinase (MAPK), transforming growth factor-beta (TGF-B), wingless-related integration site (WNT), JAK/STAT, neurogenic locus notch homolog protein (NOTCH), SRY-Box Transcription Factor 10 (SOX10) among others (25, 57, 61-68). Activation of these pathways in melanoma simultaneously initiates EMT to promote metastatic and invasive phenotypes and deters the immune response. The multifaceted roles of important signaling cascades responsible for EMT directly tie metastasis to immune evasion. We have summarized the mechanisms of immune escape associated with these important signaling pathways leading to EMT and melanoma metastasis in Table 2.

### 3.2 Immune escape and EMTtranscription factors

Common pathways leading to EMT only lay the foundation for EMT-related immune security. Increasing numbers of reports have suggested that EMT-TFs themselves play an active role in shaping the immune-regulation of melanoma. SNAI1 enhances production of C-C motif chemokine ligand (CCL) 2, which can subsequently induce EMT signaling in other cells of tumor microenvironment (TME) lacking endogenous SNAI1 while simultaneously contributing to the development of immunoregulatory DC populations. SNAI1-CCL2 signaling-induced regulatory DCs, which subsequently enhanced immunosuppressive Treg cells and impaired CD8<sup>+</sup> T cells (99). SNAI1 overexpressing B16-F10 cells also displayed increased resistance to CD8<sup>+</sup> T cell cytotoxicity in coculture (100). SNAI1+ melanoma cells display enhanced production of TGF-B, thrombospondin-1 (TSP1), CCL2, and lipocalin 2 (LCN2), which may have additional immunosuppressive effects beyond Treg and DC regulation (99, 100). For example, CCL2 in TME has been shown to recruit MDSCs and macrophages (27).

TABLE 2 Immune escape impacts of commonly dysregulated EMTinducing pathways.

Signaling pathway	Mechanisms of immune escape in melanoma	References
PI3K/ AKT/mTOR	Immunosuppressants ↑ (IL-1, IL-6, IL-10, IL-35, TGF-β) Treg activity ↑ Context-dependent roles in B and T lymphocytes B cell development ↑ MDSC recruitment ↑ Suppressive monocyte recruitment ↑ "M2" Macrophage Polarization ↑ APC suppression ↑ PD-L1 expression ↑↓	(69–73)
BRAF/MAPK	Immunosuppressants ↑ (IL-1, IL-6, IL-8, IL-10, VEGF) Immunostimulants ↓ (IL-12, TNF-α) Antigen Presentation ↓ MHC Class I ↓ Lymphocyte infiltration ↓ MDSC recruitment ↑ Treg recruitment ↑ NK infiltration ↓ APC suppression ↑ STAT3 signaling ↑ PD-L1 expression ↑↓	(74-76)
TGF-β	Immunosuppressants ↑ (MCP-1, IL-8, IL- 10, TGF-β, VEGF, CCL22, type 2 cytokines) Antigen Presentation ↓ MHC Class I and II ↓ CD40 expression ↓ Lymphocyte effectiveness and recruitment ↓ B cell infiltration ↓ MDSC recruitment ↑ Treg recruitment ↑ NK activation ↓ APC suppression ↑ Suppressive Dendritic Cell accumulation ↑ "M2" Macrophage Polarization ↑	(77-82)
WNT	Immunosuppressants ↑ (IL-6, VEGF, MMP-2, IL-8, IL-11, MCP-1) CD8 <sup>+</sup> T cell activity, infiltration, proliferation ↓ Treg accumulation and survival ↑ NK activation ↓ DC activity ↓ Enhanced MDSC suppression NF-κB activity ↑	(83–86)
JAK/STAT	Immunosuppressants ↑ (VEGF, MMP-2) MDSC recruitment ↑ Treg population ↑ CD8* T cell killing ↓ NK tumor surveillance ↓ PD-L1 expression ↑ Interferon Resistance ↑ Immune stimulating cytokines ↓ HIF-1 ↑ p53 ↓ Macrophage crosstalk promoting WNT signaling ↑	(87-94)
SOX10	IRF1↓ CEACAM1↑	(95–98)

(Continued)

#### TABLE 2 Continued

Signaling pathway	Mechanisms of immune escape in melanoma	References
	HVEM ↑ T cell activity ↓ PD-L1 expression ↑	

↑: increased, ↓: decreased.

Milk fat globule EGF-8 (MFG-E8) can be released by myeloid cells and was recently associated with melanoma progression and identified as an EMT trigger. In B16 cells, the migratory effects of MFG-E8 were diminished by TWIST knockdown. MFG-E8 also enhances Treg populations; this effect is mediated by TWIST activation in macrophages. Thus, TWIST is relevant as a target in both the microenvironment and melanoma-intrinsic signaling cascades (101).

Further, ZEB2 is essential for the development of terminal effector T cells, indicating modification of ZEB2 levels in T cells may be a mechanism promoting anti-immunity. When B16-F10 melanoma cells were co-cultured with T lymphocytes in hypoxic conditions, ZEB2 expression was inhibited with suppressed cytotoxic activity of T-cells showing a resistance strategy for immune escape (102). In human melanoma samples, high ZEB1 levels were found to be associated with decreased CD8<sup>+</sup> T cell infiltration. A recent report utilizing a melanoma mouse model found ZEB1 expression decreases immunostimulant T-cell chemokines and impairs CD8<sup>+</sup> T cell recruitment (103). ZEB1 has also been associated with M2 macrophage polarization and PD-L1 expression increases in lung adenocarcinoma, however, these factors remain to be explored in melanoma (103, 104). As the effects of EMT-TFs on immune escape are just emerging, additional research is required in the context of melanoma. Mechanisms behind EMT-TF driven immunogenicity in carcinomas are better documented and have been expanded to include effects on antigen presentation, MHC I expression, and immune checkpoint expression (25, 27). As the importance of EMT in metastasis and immunosuppression becomes apparent, investigation of other EMT-TFs and their interplay with the immune response is critical for understanding and controlling melanoma progression.

## 3.3 Immune escape and phenotype switching

EMT-TFs like SNAI1/2, ZEB1/2, and TWIST control the phenotype switching and are crucial for melanoma development. ZEB2/SNAI2 induces the expression of microphthalmia-associated transcription factor (MITF) and inhibits the expression of AXL receptor tyrosine kinase (AXL) to contribute to the MITF<sup>high</sup>/AXL<sup>low</sup> phenotype. On the other hand, ZEB1/TWIST1 does the opposite and contributes to the MITF<sup>low</sup>/AXL<sup>high</sup> phenotype (25). Evidence shows the ZEB2 to ZEB1 switch drives invasion and furthers the dedifferentiation of melanoma cells (25, 59, 60). High AXL resulting from EMT is associated with the upregulation of a myriad of genes governing both intrinsic immune evasion pathways and the release of immunosuppressants (29). Earlier studies in this area have shown how both high and low MITF populations evade the consequences of immunity through antigen, MHC, and immune checkpoint expression, inflammation, and deterring various lymphocyte activities (105–107). The relationship between EMT and immunity is further highlighted by looking at its relationship with immunotherapy. EMT is cited as a major obstacle for successful immunotherapy; the resulting phenotypes evade immunity through a plethora of intrinsic and environmental mechanisms (30, 108). In particular, the MITF<sup>low/</sup>AXL<sup>high</sup> phenotype predicts resistance to a multitude of melanoma drugs (109).

Other markers beyond MITF and AXL can give insight to melanoma phenotypes produced by EMT. For example, increased nerve growth factor receptor (NGFR) can mark a post-EMT state (25) associated with T cell resistance (14) and NK cell resistance, enhancing metastatic potential (110). EMT is a process at the cellular level, but also the tumoral level. Various degrees of EMTprogram induction and microenvironmental cues drive the formation of heterogeneous tumors, containing a wide range of phenotypic states with immune evasive and/or metastatic capabilities. Phenotype switching in the context of immune escape was recently reviewed in (111) and contributing to metastic progression in (112). Taken together, the signaling leading up to, during, and after an EMT-like dedifferentiation program enhances immune escape and metastatic ability at the cellular and tumoral levels.

# 4 Neural crest stem cell genes in EMT/metastasis and immune escape

Neural crest stem cells are a transient cell population in neural crest-derived tissues that arise during embryonic development and harbor stem cell properties. During embryonic development, melanocytes differentiate from neural-crest stem cells (NCSCs) (19, 113). Melanomas can manipulate genes important to NCSCs to achieve EMT-like characteristics involving growth, metastasis, and immune escape (113). In fact, neural crest (NC) cells experience EMT during normal development (114). The previously discussed transcription factors involved in EMT also play key roles in NC cell differentiation (25). Earlier review articles have discussed how NCSC programs and EMT are tightly linked (25, 114). Additionally, NOTCH, WNT, and SOX family members, as well as MITF, Paired Box 3 (PAX3), and Forkhead Box D3 (FOXD3) are essential for early neural crest development and have been reviewed in the context of initiating EMT in melanoma (57, 115-120). EMT reflects previous NCSC developmental programs and can hijack the same frameworks in melanoma progression. Below, we summarize recent reports of genes relevant to NCSCs being repurposed for immune escape and metastasis/EMT contributions in melanoma.

### 4.1 SHC adaptor protein 4

SHC4 is involved in the formation of epiblast stem cells (a pluripotent state that may give rise to neural crest stem cells) and

later expressed in cells with neural identity (121). Normal melanocytes do not express SHC4, but its expression can be induced during the generation of malignant melanoma cells (19). A recent study in a melanoma mouse model found SHC4 reactivation greatly enhanced melanoma metastasis. In melanoma cell lines, ectopic SHC4 expression reduced cell adhesion, promoted amoeboid morphology of the tumor cells, and caused the activation of the MAPK pathway (19).

# 4.2 Brain-specific homeobox/POU domain protein 3A

BRN3A is not expressed in melanocytes, but is found to be expressed and required for cell cycle progression and survival in melanoma cells (122, 123). Histone Deacetylase 2 (HDAC2) promotes BRN3A expression and is a known attenuator of the immune response through regulation of PD-L1 expression, and its influences on antigen presentation (122). BRN3A also plays important roles in nervous system development. In melanocytic nevi, BRN3A cooperatives with RAS/RAF signaling to promote anchorage-dependent growth, which is a metastatic prerequisite (123, 124).

### 4.3 Special AT-rich binding protein-2

SATB2 is a transcription factor involved in neural development and migration. It regulates activation of many NCSC-related genes. Previous research has associated SATB2 expression with the metastatic MITF<sup>low</sup>/AXL<sup>high</sup> and MITF<sup>low</sup>/NGFR1<sup>high</sup>/Aquaporin-1<sup>high</sup> (AQP-1) genetic states (125). Another study has associated the MITF<sup>low</sup>/AXL<sup>high</sup> state with immune escape through MHC I suppression and activation of TGF- $\beta$  (126). A recent study further confirmed the ability of SATB2 overexpression to produce invadopodia and drive metastasis in a melanoma zebrafish model. Further, SATB2 was found to act as an EMT switch through activation of many EMT and NCSC programs (125).

### 4.4 Lysophosphatidic acid receptor 1

LPAR1 is present at high levels in NCSCs and certain melanoma cell lines but is either undetectable or barely expressed in melanocytes. In melanoma cell lines, its reactivation is shown to enhance migration and invasion (127). LPAR1 also activates yes-associated protein 1 (128), a known driver of immune escape and EMT in melanoma cell lines (82, 127, 129).

### 4.5 Neural cell adhesion molecule

NCAM plays an important role in controlling NCSC adhesion. NCAM expression drives malignancy in a multitude of cancer cell types. In A375 and M102 cell lines, NCAM knockdown reversed EMT marker expression and deterred migration (130). In addition, NCAM activates the AKT/mTOR pathway, its role as an initiator of EMT and contributor to immune evasion has already been discussed (69, 130, 131).

### 4.6 Bone morphogenetic proteins

BMPs are cytokines in the TGF- $\beta$  family activated by growth differentiation factor 6 (GDF6), an important factor in neural crest development (132, 133). GDF6 developed a neural crest genetic fingerprint in A375 melanoma cell lines, and upregulated the NC markers SOX10, FOXD3, and SNAI2 while downregulating the growth inhibiting SOX9 protein. GDF6 was also linked to low levels of MITF, further demonstrating its ability to manipulate melanoma phenotypes (133). BMP signaling is present in 80% of melanomas but is absent in adult melanocytes. A recent study in a zebrafish melanoma model found BMP signaling has a large effect on early progression and contributes to the initiation of melanoma (134). The impact of BMPs on early progression, pathways controlling EMT, and their prevalence in melanoma specifically highlights the potent effects of NCSC genes. The power of certain NCSCs over metastasis and immune escape pathways combined with their silent nature in melanocytes provides an alluring avenue for future drug development.

### 4.7 Aquaporin-1

AQP-1 is an established NCSC marker (135). In a B16-F10 mouse model, an AQP-1 DNA vaccine was able to inhibit melanoma growth in a T cell dependent manner (136). The NCSC marker p75 neurotrophin receptor, also known as p75NTR/CD271/NGFR, acts as a lymphocyte suppressor through PD-L1 and antigen expression modulation (137). Past research has demonstrated AQP-1 overexpression in B16-F10 increases transwell migration (138).

Through EMT, melanoma acquires not only the ability to metastasize, but also to further fortify its immunosuppressive properties to the point of therapy resistance. The duality of metastasis and immune evasion can be seen throughout the EMT process. First in the pathways initiating EMT, the expression of EMT-TFs, and then in the properties and active genetic programs of the resulting dedifferentiated states. EMT is also tightly linked to NCSC-relevant genes, which may play a role in further fortifying the metastatic and immunoevasive properties of melanomas (Figure 1). EMT is crucial for melanoma progression and understanding the detailed mechanisms driving this process will result in development of novel therapies that simultaneously tackle immunity and invasion.

# 5 Immune escape in tumor hypoxia and acidification

Hypoxia, a prevalent feature of melanoma is characterized by a low oxygen level (<1%), compared to approximately 4–10% physiological oxygen concentration in different types of normal



#### FIGURE 1

Immune implications of the epithelial-mesenchymal transition. At every stage of EMT, the activation of many signaling programs and their resulting phenotypes contribute to an immunosuppressive milieu. NCSC-related genes spur commonly dysregulated EMT-inducing pathways. EMT-TFs then act to modulate the melanoma phenotype. Each stage of this process contributes to intrinsic and extrinsic immune evasion mechanisms while simultaneously placing tumors in dedifferentiated states prone to metastasis. Created with BioRender.com. AQP-1, Aquaporin-1; AXL, AXL receptor tyrosine kinase; BMPs, bone morphogenetic proteins; BRAF, Serine/threonine-protein kinase B-Raf; CCL22, CC motif chemokine ligand 22; GDF6, growth differentiation factor 6; IL, interleukin; JAK, Janus kinase; LPAR1, Lysophosphatidic acid receptor 1; MAPK, mitogen-activated protein kinase; NF-kB, nuclear factor kappa B; NOTCH, neurogenic locus notch; MCP-1, monocyte chemoattractant protein 1; MDSC, myeloid derived suppressor cell; MITF, melanocyte inducing transcription factor; MMP-2, matrix metallopeptidase 2; NCAM, neural cell adhesion molecule; NCSC, neural crest stem cell; NGFR, nerve growth factor receptor; NK, natural killer; SATB2, special AT-rich binding protein-2; SNAI, snail family transcriptional repressor; SOX, SRY-related HMG-box genes; STAT, signal transducer and activator of transcription; TAM, tumor associated macrophage; Treg, regulatory T cell; TGF-β, transforming growth factor beta; TWIST, twist-related; VEGF, vascular endothelial growth factor; WNT, wingless and int; ZEB, zinc finger E-box binding homeobox.

tissues and organs. Further, hypoxia is closely related to tumor acidification, a state of acidic tumor microenvironment (TME) (13, 40). Hypoxia and acidification are nearly unavoidable during development and progression of cancer, including melanoma (13, 40, 139–143). Initial tumor proliferation normally lacks accompanying vascularization; this quickly triggers oxygen depletion to create a hypoxic environment. Oxygen-sensitive pathways stimulated by hypoxia manifest themselves in a variety of metabolic genes. Anaerobic glycolysis becomes favored even in the presence of oxygen, leading to lactic acid accumulation in the TME (40, 143). The ability of hypoxia and acidosis to stimulate intrinsic melanoma pathways activating EMT, progression, and invasion is well studied (40, 139, 143–145). Hypoxia and acidosis are also able to reactivate NCSC genetic programs to bestow melanoma with stem-cell properties (40, 139). Oxygen-depletion also plays an important role in the tumor milieu, where it directly hinders T cell differentiation, drives T cell exhaustion, upregulates immune checkpoints and deters immunosurveillance (143, 144). Immune evasion is only further promoted by the acidic TME provided by glycolytic favoring. Acidic tumor pHs suppress lymphocytes including NK, innate, and T cells while simultaneously promoting tumor-associated macrophages (TAMs), Tregs, and MDSCs, and their immunosuppressive properties, through a multitude of mechanisms (143, 145). Acidosis also dysregulates intrinsic pathways building upon the effects of hypoxia-regulated ones, prevents anoikis, and promotes autophagy (141, 143).

An important recent study subjected melanoma tumors from 42 tumor infiltrating lymphocyte therapy-receiving patients and 74 immune checkpoint blockade-receiving patients to extensive proteomic analysis. In therapy responders, the authors identified crosstalk between mitochondrial activation pathways (including fatty acid oxidation, ketone body metabolism, the TCA cycle, and oxidative phosphorylation) and immune activation pathways (such as antigen presentation and type I and II interferon signaling). Fatty acid oxidation and ketone metabolism-related proteins promoted HLA expression in vitro, and their knockouts accordingly diminished T cell mediated cytotoxicity (146). Further, the high oxidative phosphorylation indicative of normal mitochondrial processes instead of hypoxic metabolism and glycolytic favoring was shown to be associated with positive immunotherapy outcomes. However, this study also suggested that the metabolic changes related to immune response were often associated with hypoxia (146). For instance, fatty acid oxidation can be increased by hypoxia (147), and to survive, hypoxic cancer cells could enhance fatty-acid metabolism and production (as reviewed in (148)). This contradiction may be explained by the presence of oxidative phosphorylation, which has been suggested to support the energy-intensive process of antigen presentation (146). The switch from oxidative phosphorylation to hypoxia could therefore increase energy demand from other metabolic pathways, replacing their potential pro-immune functions with pro-cancer ones. For example, it was previously shown that hypoxic conditions switch melanoma cells from using glucose to glutamine in the TCA cycle, which fuels proliferation (149). Carnitine palmitoyltransferase 1A (CPT1A) was identified as a key protein by the above discussed proteomic study (146), capable of enhancing T cell susceptibility and supporting antigen presentation. On the contrary, a recent study found that CPT1A-knockout B16 melanoma tumors were extremely susceptible with adoptive T cell therapy (150). However, differences in the oxidative phosphorylation/hypoxia status between these cases remains unknown. The relationship between hypoxia and other metabolic pathways, and how hypoxia can potentially reprogram these pathways to convert anti-tumor immune features into pro-survival assets, remains an open area of investigation that may further enhance the allure of targeting hypoxia.

Recent research also demonstrates that melanoma acidification driven EMT is reversible upon returning to normal conditions (139). The possibility to incapacitate, or even reverse, melanoma progression through any of these echelons makes hypoxia and acidosis alluring areas of study. Hypoxia and acidosis are prime examples of the duality between metastasis and immune escape. Below, we summarize recent advances into these phenomena and further highlight this congruency.

## 5.1 Recently revealed hypoxia and acidosis driving factors

### 5.1.1 Ephrin type-B receptor-4

EPHB4 has been shown earlier to promote melanoma cell migration through Rho signaling (151). However, a recent study has shown that EPHB4 might function via regulating hypoxia. In A375 melanoma xenografts, EPHB4 overexpression promoted tumor growth but prevented vascularization. This effectively created a hypoxic environment, especially in small tumors. EPHB4 also increased expression of the immunosuppressant IL-8 and TGF- $\beta$ 2 (152, 153). In addition, TGF- $\beta$ 2 was shown to act as a master regulator of EMT in acidosis adapted cells, in colorectal and squamous cell carcinomas (154). Another study found EPHB4 activity inhibition in SCC resulted in attenuated TAM and Treg populations while simultaneously enhancing T cell activity (155). EPHB4 likely imparts similar effects in the melanoma immune environment, in accordance with the effects of hypoxia generation.

### 5.1.2 Transcription factor 4

Transcription Factor 4 (TCF4) plays a role in Wnt/ $\beta$ -catenin signaling and is upregulated in BRAF-inhibitor resistant melanoma cells. In vemurafenib resistant cell lines, TCF4 knockdown sensitized melanoma cells to vemurafenib in a Glucose transporter 3 (GLUT3)-dependent manner, thereby decreasing lactate production. TCF4 inactivity has also been shown to be responsible for downregulation of metastatically-related genes (156). Given the acidifying role of lactate in the TME, it is unsurprising another study found TCF4 is enriched in "mesenchymal-like" cells and a suppressor of antigenpresentation programs. Targeting TCF4 in "mesenchymal-like" melanoma increased immunogenicity and yielded a targeted therapy benefit (157).

### 5.1.3 Monocarboxylate transporters

MCTs are known to contribute to proliferation, immune escape, and are essential for glycolysis clearance (13). Diclofenac, a nonsteroidal anti-inflammatory drug (NSAID), was found to impair MCT function in a melanoma B16 mouse xenograft model. This was found to result in increased anti-PD-1 blockade efficacy, increased T cell activity during anti-PD-1 blockade, decreased lactate secretion, and increased pH in the TME. In vitro, upon diclofenac treatment, CD8<sup>+</sup> T, CD3<sup>+</sup> T, and NK cell numbers increased alongside IFNy expression. Furthermore, diclofenac selectively disabled the cancer glycolytic shield; immune cell functions or glycolysis were not hindered to nearly the same degree (13). In patient tumor samples, the fourth MCT family member, MCT4, displays increased expression in the progression from primary to metastatic melanoma and both MCT4 and MCT1 expression predict poor patient survival (158). Inhibiting the first MCT family member, MCT1, with a small molecule inhibitor reduced circulating tumor cells in blood and

overall metastatic burden in patient-derived melanoma xenografts. Notably, as NSG mice were used, this indicates a metabolic metastasis program operating separately from metastasis originated from altered immune escape capabilities (159). These recently exposed targets increase the allure of disabling acidosis and hypoxia drivers. A variety of metastatically and immunologically related benefits may be achieved by halting these progression related processes.

# 5.2 Recently revealed factors driven by hypoxia and acidosis

#### 5.2.1 Hypoxia-inducible transcription factor-1 $\alpha$

HIF-1 is an oxygen sensitive master regulator responsible for a vast number of the hypoxia-driven effects in melanoma and other cancers (160, 161). The HIF-1 oxygen-regulated alpha subunit (HIF-1 $\alpha$ ) is well studied in melanoma and its abilities to drive metastasis and negate immune responses or immunosurveillance (160, 162). HIF-1 $\alpha$  has also been linked to upregulation of immune checkpoint proteins and the release of immunosuppressants including certain interleukins and TGF- $\beta$  (162). While these roles are well established, recent studies continue to update the importance of HIF-1 $\alpha$  in melanoma immunity and metastasis.

In metastatic melanoma patients, Foxp3<sup>+</sup> and Retinoic Acid Receptor-related Orphan Receptor- $\gamma^+$  (ROR $\gamma^+$ ) lymphocytes were correlated with high HIF-1 $\alpha$  expression (163). This is indicative of T helper 17 (Th17) Tregs (160-162). Th17 Tregs have been previously found to promote melanoma progression through activation of the IL-6/STAT3 pathway (164). Further, STAT3 and HIF-1 $\alpha$  may regulate each other, potentially in self-amplifying cycles (160, 163, 165, 166). In line with these results, B16-F10 mice injected in T cell specific HIF-1a knockout mice displayed reduced growth compared to wild-type. Combinatorial treatment using the HIF-1 $\alpha$  inhibitor Acriflavine and the Treg depletion agent Cytoxan improved CD8<sup>+</sup> T cell responses and reduced tumor growth when compared to Acriflavine or Cytoxan alone (167). Another study using B16-F10 injection found tumor-specific HIF- $1\alpha$  deletion enhanced CD4<sup>+</sup>, CD8<sup>+</sup>, and NK cell infiltration. Acriflavine also provided benefit to an anti-PD-1 and Tyrosinaserelated protein-2 (TRP2) vaccination immunotherapy combination (168).

The HIF-1 $\alpha$  inhibitor, IDF-11774, achieved similar results in a nude mouse B16-F10 model, diminishing tumor growth and increasing CD8<sup>+</sup> T cell infiltration. Additionally, IDF-11774 reduced expression of the EMT markers SNAIL and N-cadherin (169). HIF-1 $\alpha$  regulates melanoma VEGF expression, and increasing evidence supports the HIF-1 $\alpha$ /VEGF axis contributes to metastasis and EMT (170–172). Another emerging HIF inhibitor, 64B, diminished liver metastasis rates and volumes, and diminished lung metastasis in a 92.1 uveal melanoma model (173). Despite these findings, contradictory studies have found HIF-1 $\alpha$  is needed for helper T cell differentiation (174), induces cytokine production programs in CD8<sup>+</sup> T cells (175), and encourages NK cell effector function (176). Relatively uniform evidence backs up the immune-

evasion and metastatic nature of melanoma-intrinsic HIF-1 $\alpha$  signaling, but additional research is required to understand the context(s) altering HIF-1 $\alpha$  function in T cell and NK cell biology.

HIF-1 $\alpha$  can also contribute to melanoma phenotype switching. Activation of HIF-1 $\alpha$  has been shown to stimulate glucose accumulation and induce MITF expression. However, this response is transient and under prolonged hypoxia MITF will repress itself (177). This process could contribute to intertumoral heterogeneity across hypoxia gradients in different tumor locations, and increase the prevalence of MITF<sup>low</sup> populations. In line with this idea, another report demonstrated tumor acidosis reprogrammed melanoma into the  $\mathrm{MITF}^{\mathrm{low}}/\mathrm{AXL}^{\mathrm{high}}$  phenotype (178). Thus, HIF-1 $\alpha$  can indirectly, via EMT induction, or directly via MITF/AXL expression, shift melanoma phenotypes towards immunosuppressive and metastatically-inclined states. Taken together, HIF-1α plays key roles in regulating melanoma signaling responsible for EMT, and ultimately metastasis, and immune responses. Recent findings suggest a prominent role of HIF-1 $\alpha$  in regulating lymphocyte pacification, but further clarification in this aspect is required. HIF-1 $\alpha$  small molecule inhibitors will be pivotal for future studies in this direction and future therapeutic ventures building on our existing knowledge.

## 5.2.2 Ovarian cancer G-protein-coupled receptor 1

OGR1 is a member of the proton-sensing G-protein-coupled receptors (GPCRs), which emit responses dependent on extracellular pH (143, 179). Past research has shown that hypoxia-driven activation of these receptors promotes survival and furthers glycolytic metabolic pathways (180). OGR1 is upregulated in melanoma and expressed by both melanoma and immune-involved cells. Depletion of OGR1 in a B16-F10 metastasis model resulted in decreased migratory colony formation. OGR1 targeting also activated T cells and improved T cell killing, stimulated IFNy and IL-18 release, and antigen processing and presentation. T cells extracted from OGR1 deficient mice (spleen) were better adapted to acidic environments (179). Another recent study investigating OGR1, and the related GPCR T cell deathassociated gene 8 (TDAG8), found these receptors mediate pHdependent PD-L1 expression increases in B16-F10 melanoma cells. PD-L1 expression was decreased and CD8<sup>+</sup> T cell infiltration improved by the addition of basic sodium bicarbonate (181). G-Protein Coupled Receptor 4 (GPR4) is another acidosis activated receptor. One study found its function is opposite to OGR1 and TDAG8; its overexpression at an acidic pH prevented migration and improved adhesion in B16-F10 cells through activation of the Rho/ROCK pathway (182). Previous studies have also shown that Rho/ROCK signaling is important in the regulation of melanoma EMT and immune responses, demonstrating how acidic-driven signals can manifest the malignant phenotype (128, 183, 184).

#### 5.2.3 Brain and muscle arnt-like protein-1

BMAL1 is a master regulator of circadian clock-related genes (185, 186). Previous studies evidence the importance of circadian rhythm-related genes in metabolic activities (186). Furthermore,

BMAL1 contributes to activation of pro-survival signaling pathways in response to oxidative stress (187). In melanoma cell lines, BMAL1 activities were found to oppose those of HIF-1 $\alpha$  and promote oxidative phosphorylation. BMAL1 macrophage knockout increased HIF-1 $\alpha$  activity and produced more lactate. Furthermore, disruption of the glycolytic balance in macrophages through BMAL1 increased their TAM likeness. BMAL1 loss has been hypothesized as a contributor to reduced CD8<sup>+</sup> T and NK cell function (186). BMAL1 is involved in both hypoxic maintenance and the cellular response to an impaired environment (186).

### 5.2.4 Leucine-rich repeats and immunoglobulinlike domains 1

LRIG1 inhibits epidermal growth factor receptor (EGFR) signaling and is downregulated in several forms of cancer. In melanoma cell lines, hypoxia decreases LRIG1 expression, favoring EMT. LRIG1 overexpression blunted hypoxia-driven EMT and formation of vascular channels through EGFR/Extracellular Signal-Regulated Kinase (ERK) signaling inhibition (188).

#### 5.2.5 Baculoviral IAP repeat-containing 2

BIRC2 is a ubiquitin-protein ligase involved in governing proteasomal degradation and apoptosis (189–191). BIRC2 expression is activated in hypoxic conditions through multiple HIFs. In B16-F10 cells, BIRC2 expression is shown to block chemokine C-X-C motif (CXCL) 9 release, a chemokine responsible for CD8<sup>+</sup> T and NK cell recruitment. In B16-F10 mice, BIRC2 knockdown also decreased T cell immunoreceptor with Ig and ITIM domains (TIGIT) and LAG-3 expression while increasing DC levels (190). Another recent study using a B16-F10 murine model found BIRC2 deletion prevented lung tumor nodule formation (191). In addition, BIRC2 has been identified as a regulator of NF-kappaB, providing a further link between BIRC2, metastasis and immune escape (192).

Altogether, hypoxia and acidosis are key developments associated with tumor progression. Various mechanisms contribute to the generation of a hypoxic and acidic immunosuppressive microenvironment, including HIF-1 $\alpha$  and many other targets (Figure 2). Signals stimulated by this environment then promote metastasis and further enable the immune-resistant properties of melanoma. The observed reversibility of pH-dependent effects and potential to break powerful regulatory feedback systems is therapeutically appealing for future melanoma treatment. Further research is required to understand how melanoma cells utilize the hypoxic mechanism to enhance their invasive abilities.

# 6 Immune escape and early dissemination

The classical, or linear, model of cancer progression predicts late-stage dissemination from the primary tumor site and subsequent initiation of metastatic colonies genetically reflective of their origin. However, recent research suggests that melanoma cells actually disseminate early to distant sites where they escape immune recognition (23, 24). These cells exist in a dormant state and can initiate metastatic colonies after signaling activation at a later time (22-24). Eyles et al. delved deeper into the concept of early tumor cell dissemination. In a RET.AAD (transgenic for the human oncogene RET and the mouse/human chimeric MHC antigen AAD), spontaneous melanoma mouse model, successful dissemination was observed as early as 3 weeks after primary tumor onset. 1.5 years later, metastatic colonies stemming from the initial disseminations were observed. Disseminated tumor cells were dormant for varying tissue-dependent periods of time, and this led to staggered metastatic outgrowth. The authors suggested that the dormant disseminated state arises from a flawed immune response resulting in temporary cell cycle arrest rather than apoptosis (22).

The genetic landscape of disseminated cancer cells also vastly differed from their primary site; this also supports a model favoring early dissemination as disseminated cells and primary tumor cells will have large amount of time to acquire genetic differences. In the melanoma progression model proposed by Werner-Klein et al., dissemination is an early phenomenon of melanoma in which 1) immune-evasive and malignantly inept cells seed the body, 2) disseminated cancer cells genetically differentiate, 3) stimulation triggers colony formation and switching to a proliferative phenotype (24). These results challenge the classical linear progression model and show that tumor cells may disseminate across the body early in disease progression. To prevent recurrence and develop treatments targeting dormant disseminations, the progressive mechanisms promoting early dissemination and dormant melanoma states must be further researched. Here, we summarize recent studies providing novel evidence in this area.

## 6.1 Hypoxia vs oxidative phosphorylation in early dissemination and dormancy

The shift between hypoxia-induced metabolic shifts and initially oxidatively sound states may provide insight into the mechanisms allowing dissemination survival and dormancy. Past research indicates dormant melanoma cells exist in a stem cell-like state (193). In a murine model, mouse granulocyte-macrophage colony-stimulating factor expressing B16-F1 cells were used to develop immune-resistant dormant disseminations in various organs while suppressing primary tumor development. These quiescent populations lacked proliferative ability and expressed the melanoma stem cell markers CD133 and CD24. Their enhanced self-renewal properties compared to maternal specimens also indicates an increased likeness to melanoma stem cells. Furthermore, Glucocorticoid-induced Leucine Zipper (GILZ) was identified as a regulator of the G0-to-G1 transition in these dormant populations with quiescent cells lacking GILZ expression. In a human melanoma model, GILZ downregulation promoted the development of dormant stem-cell like states through FOXO3a activation, increased G0 cells, promoted tumorigenicity, and decreased expression of the differentiation marker tyrosinase. This



A hypoxic and acidic environment favoring immune escape and metastasis. In healthy cells HIF-1α and a microenvironment pH are tightly regulated. During melanoma progression, increased expression of key genes (hypoxia driving factors) coordinate to generate a hypoxic and acidic microenvironment that promotes immune escape, while also activating intrinsic programs enhancing metastatic capabilities. Feedback from the microenvironment that promotes immune escape, while also activating intrinsic programs enhancing metastatic capabilities. Feedback from the microenvironment can subsequently activate surface sensors or other proteins (hypoxia driven factors), resulting in amplifying feedback loops that continue this cycle. Treatment intervention in the microenvironment or targeting intrinsic signaling pathways controlling or being controlled by hypoxia may halt melanoma progression or allow immune killing. Created with BioRender.com. BIRC2, baculoviral IAP repeat-containing 2; CXCL9, chemokine (C-X-C motif) ligand 9; DC, dendritic cell; EPHB4, EPH receptor B4; EMT, epithelial to mesenchymal transition; EMT-TFs, epithelial to mesenchymal transition factors; GLUT3, glucose transporter 3; HIF-1 α, hypoxia inducible factor 1 alpha; IFNγ, interfeorn gamma; IL, interleukin; LRIG1, leucine rich repeats and immunoglobulin like domains 1; MCT, monocarboxylate transporter; MITF, melanocyte inducing transcription factor; NK, natural killer; OGR1, ovarian cancer G protein-coupled receptor 1; PD-1, programmed cell death protein 1; PD-L1, programmed death ligand 1; TAM, tumor associated macrophage; TCF4, transcription factor 4; Treg, regulatory T cell; TGF- β, transforming growth factor beta.

provides evidence that beyond previously described immuneselection models, intrinsic pathways inhibiting differentiation, such as GILZ depletion, may develop stem-like states capable of producing dormant and highly tumorigenic seeds (193).

The role of hypoxia (or lack of in this case) in dormancy becomes more apparent through FOXO3a, which was recently found to promote Sirtuin 6 (SIRT6) expression. SIRT6 then represses Myc and HIF-1a while simultaneously deacetylating glycolysis promoting genes (194). The role of SIRT6 in melanoma proliferation or dormancy requires further investigation, as past studies have shown a pro-proliferative role unsupportive of dormant states (195, 196). This indicates FOXO3a serves as a glycolytic suppressor; it is then likely that in the GILZ downregulated melanoma models oxidative phosphorylation prevails, although this remains unknown. In melanoma cells, oxidative phosphorylation has been shown to be critical in maintaining quiescence (197). In another recent report, quiescent melanoma cell lines were identified by high and low p27 and Ki67 expression. Interestingly, oxidative phosphorylation was conserved, and even enhanced, in dormant cells. Oxidation inhibition promoted the return to cycling cell states. In the dormant cell populations, cellular myelocytomatosis oncogene (c-Myc) was

overexpressed and identified as a potent driver of oxidative phosphorylation pathways (198). When taken with this possible role of FOXO3a in the GILZ models, it becomes apparent that maintenance of oxidative phosphorylation may be a conserved mechanism across dormant, stem-like states with high tumor initiation potential.

# 6.2 Proliferation inducing pathways in early dissemination and dormancy

Studies have suggested that inhibition of PI3K/AKT signaling deactivates the cell cycle in melanoma stem cells and promotes dormancy (199). The PI3K/AKT pathway is also a downstream target of GILZ, and PI3K/AKT activation halts FOXO3a activity (193). In metastatic melanoma cell lines, PI3K/AKT inhibition switched proliferative subsets to slower cycling stem cell like states. Interestingly, this switch was accompanied by reduced HIF-1 $\alpha$  levels, however HIF-1 $\alpha$  was still overexpressed in the stem-like populations (199). One recent study found 5'AMP-activated protein kinase (AMPK) expression is increased in c-Myc reliant melanoma cells (200). AMPK promoted c-Myc<sup>+</sup> survival in

melanoma mouse models (200). AMPK functions as a buffer against oxidative stress and stimulates catabolic ATP generation through oxidative phosphorylation maximization (201, 202). AMPK also acts to inhibit PI3K/AKT signaling (200). From these observations, it seems a tight balance between oxidative phosphorylation maintenance and PI3K/AKT signaling is necessary for sustaining dormancy.

In a recent model utilizing tumorigenic melanoma tumorrepopulating cells, high SOX2 (a member of the SOX transcription factors) levels fueled growth, low levels of SOX2 put cells into a state of dormancy, and a complete knockout of SOX2 exited the quiescent state into a less stem-like phenotype (197). Another recent report also finds SOX2 contributes to enhanced oxidative phosphorylation in melanoma (203). However, acidosis accumulation promotes stemness factors including SOX2 (139). Based on these reports, a progression-related hypoxia and acidosis model of early dissemination may be proposed. In early tumor formation, severe hypoxia and acidosis are not present. The key to early dissemination may rely on activation of factors promoting stemness without deterioration of oxidative phosphorylation (Figure 3). As proliferation inducing pathways like PI3K/AKT are further stimulated, this balance promoting dormancy is lost and replaced with proliferation. Hypoxic conditions may still work to promote EMT and metastatic phenotypes, but through different genetic programs from the early oxidatively enhanced disseminations.

### 6.3 Neural crest stem cell related genes and EMT overlap in early dissemination and dormancy

Genes important for NCSCs that contribute to EMT are also emerging as contributors to dormancy. SOX2 is important to neural crest development but in melanoma it is a contributor to stemness and invasive ability (204, 205). High Tet Methylcytosine Dioxygenase 2 (TET2) expression was observed in multiple slow cycling dormant-like tumor subsets. Tet family proteins have been implicated in embryonic development and the neural lineage (206).



Oxidative phosphorylation and stem pathways govern early dissemination. As dormant stem-like cells face further dysregulation and heterogenization proliferative programs and acidosis replace the delicate balance initially allowing early dissemination. In both cases, metastatic colonies are formed with similar stem-like properties. Early disseminated cells remain dormant for long periods of time, and the mechanisms causing growth re-initiation remain to be well studied. Created with BioRender.com. AMPK, 5'AMP-activated protein kinase; c-MYC, myc; EMT, epithelial to mesenchymal transition; FOXO3a, forkhead box O3; GILZ, glucocorticoid-induced leucine zipper; HIF-1α, hypoxia inducible factor 1 alpha; OXPHOS, oxidative phosphorylation; RXRG, retinoid X receptor gamma; SIRT6, sirtuin 6; SOX2, SRY-box transcription Factor 2; STAT3, signal transducer and activator of transcription 3; TET2, tet methylcytosine dioxygenase 2.

In a recent study using a melanoma mouse model, TET2 expression promoted suppressive TAM genetic profiles and suppressive myeloid cell activities (207). In another melanoma mouse model, TET2 depletion increased AKT and proliferation marker expression, decreased cell cycle inhibitors, and accelerated tumor development (208).

Another study utilized MAPK-inhibition to develop quiescent NCSC-like melanoma populations. The neural crest migration gene, Retinoid X Receptor Gamma (RXRG), was overexpressed in these cells and identified as a driver of NCSC states (135, 209). IFN- $\beta$  can also act as an effective inducer of dormancy, this is partially attributed to its ability to induce STAT3 serine phosphorylation. In this dormancy model, dual serine tyrosine phosphorylation activated p53 to promote apoptosis (210). In the neural crest, STAT3 regulates cell cycle progression and differentiation (211). More research is required to understand what genetic prerequisites control proliferative or dormant stem-like outcomes in NCSC genes. While many genes important for NCSC maintenance have been linked with EMT and stemness properties, their roles in dormancy maintenance remain a lacking area of study.

In a recent study, Rapanotti and colleagues isolated circulating melanoma cells from patients in varying stages of disease progression. In early-stage patients, the authors utilized three subsets of circulating cells including endothelial-like (CD45-MCAM<sup>+</sup>), stem-like (CD45<sup>-</sup> ABCB5<sup>+</sup>), and hybrid-like (CD45<sup>-</sup> MCAM<sup>+</sup> ABCB5<sup>+</sup>). The endothelial population expressed adhesive markers, but all three populations were found to exhibit "stem-mesenchymal" like characteristics (212). Through NCSC genes and EMT, mesenchymal and stem-like traits are conferred to melanoma cells. This indicates that the same NCSC genetic programs hijacked to drive EMT, may also drive early dissemination as these cells display similar properties. A recent study examining transcriptomes from melanoma cells found EMT/ invasive states and NCSC-like cells differ in their genetic backgrounds, but both exist with low levels of MITF (135). These findings support the idea of early progression NCSC genetic manipulation and are in line with the stem-mesenchymal properties of circulating melanoma cells. This is also supported by various recently discovered roles of NCSC genetic programs in promoting dormancy. It is likely that initial tumorigenic cells possess high levels of stemness, allowing early dissemination to occur before later progression-related changes and heterogenization blunt the delicate genetic balance held in these cells. Late MITF<sup>low</sup> populations formed from EMT may reflect early dissemination, however, varying mechanisms will have led to their final stem-like states. The same drivers promoting metastasis and immune escape through EMT likely act as initial stemness conveyors responsible for early dissemination as tumors are initiated in a pre-hypoxic environment. Overall, understanding the properties of early disseminated or dormant cells as well as the mechanism behind their formation is critical for reducing melanoma recurrence. More NCSC markers and EMT programs need to be studied in the context of early tumor progression and initiation so that novel treatments to maintain/lock the stem state dormancy may be developed.

### 7 Therapeutic opportunities against immune escape, EMT and early dissemination

"Dual drivers" concomitantly contributing to immune escape and metastasis are alluring therapeutic targets with the potential to sensitize melanoma cells to immune attack while simultaneously preventing disease progression. We have summarized therapeutic opportunities and future directions related to this concept in Figure 4.

Targeting EMT, either through pathways enabling the EMT process, or characteristics of dedifferentiated post-EMT phenotypes, is an alluring approach to manage melanoma progression. However, tumor heterogeneity is a well-known contributor of therapy resistance as reviewed in (213), making the EMT process or products difficult targets. Applying tumor evolution algorithms and looking for shared markers across subclonal tumor populations may be able to overcome this problem in a search for shared vulnerabilities. Alternatively, therapies directed at different phenotypes could be employed in combination, or sequentially, to account for EMT-induced phenotypic diversity.

Ectopic expression of NCSC-relevant genes in melanoma may also provide therapeutic opportunity, as normal melanocytes and many other healthy tissues do not express the proteins coded by these genes. Additionally, expression of NCSC markers can contribute to the EMT process, and immune escape and metastasis through separate means. The same can be said for hypoxia and acidosis driven or driver targets. In this case, pH may be an opportunity to promote selectivity or deliver therapies. As pseudohypoxia, or the induction of hypoxia-related genes despite sufficient oxygen (177), is present in a wide-range of melanomas, hypoxia-related genes could maintain therapeutic potential in a wide range of patients. Overall, EMT initiators/ products, NCSC-relevant targets, and the hypoxic response serve as points of therapeutic interference with potential to simultaneously enhance melanoma immunity and prevent metastatic progression. The expansion of single-cell RNA sequencing techniques and vulnerability screens can better inform what targets in these processes are most relevant, shared between subclones within tumors, and even between tumors after metastatic progression.

The role of early dissemination in contributing to clinically presenting metastatic melanoma remains to be well documented. The hypoxic properties characteristic of late-stage primary tumors contrasts the potential oxidative phosphorylation favoring in dormant disseminations, possibly complicating a shared therapeutic approach focused on metabolism. However, both cases display overlap in NCSC-relevant genes and EMT features. Additional early dissemination mouse studies and parallel studies using clinical specimens can demonstrate differences in secondary tumors arising from early dissemination versus late-stage primary spread. Separate therapeutic approaches may be required in these cases, potentially prolonging dormancy or preventing dormancy exit if dormant disseminations cannot be destroyed.



tools and long-term mouse models may reveal more specific vulnerabilities and detection methods for these slow and silent killers. An enhanced understanding of stemness programs and phenotype plasticity can help deter therapeutic resistance. Our current understanding of immune escape, metastasis, and early dissemination can inform the future studies required to revolutionize metastatic melanoma treatment. Created with BioRender.com. NCSC, neural crest stem cell; OXPHOS, oxidative phosphorylation.

It is worth noting that melanoma brain metastasis (MBM) remains a critical clinical problem in melanoma management. Further, 20-40% of melanoma patients experience brain metastasis, with a median overall survival of only 8.9 months after diagnosis (214). As an immune privileged site, MBM experience a unique TME vastly different from other metastatic sites, characterized by resident microglia immune cells that play a similar role to macrophages, the central nervous system (CNS) constituents, such as astrocytes and neurons, high vascular density, extracellular matrix differences, and a blood-brain barrier that must be compromised to allow robust immune infiltration. These factors can combine to generate a deeply immunosuppressive environment that prevents success of current therapies (as reviewed in (215)). The MBM TME can also drive melanoma progression through unique crosstalk events. For example, melanoma cells can alter astrocytes to promote monocyte chemoattractant protein-1 (MCP-1) production, which in turn drives MBM proliferation and invasion (216). Microglial JAK/STAT activation from melanoma-secreted IL-6 can similarly enhance MBM progression (217).

MBM shares some of the metastatic features discussed above, such as increased AXL and a NGFR<sup>high</sup> dedifferentiated state (214, 218). In the brain, dedifferentiation is shown to be enhanced by astrocyte or microgliaderived cytokines such as TGF-B. Similarly to the concept of reactivating dormant programs important for neural crest stem cell function, MBM cells can easily enter a neuronal-like state, which has been proposed to enhance brain-melanoma compatibility. However, more differentiated MBM E-cadherin<sup>high</sup> states have also been identified, potentially dependent on high oxidative phosphorylation (214). Indeed, in sharp contrast with the pro-metastatic role of hypoxia, oxidative phosphorylation targeting in MBM-bearing mice greatly improved survival (219), and MBM oxidative phosphorylation was shown to be associated with worse immune cell infiltration (220). However, a recent spatial single-cell transcriptomics study showed heterogeneous expression of oxidative phosphorylation in treatment-naive MBM (218). MBM oxidative phosphorylation variance could reflect observations in cases of early dissemination or dormancy and represent stem-like states with high tumorigenic potential, as described above. Just as separate therapeutic approaches may be needed to successfully tackle early disseminationderived metastasis, a similar, multifaceted, approach may be needed to conquer the unique brain TME.

As targeting the EMT, NCSC, or hypoxia/acidosis features of melanoma will enhance melanoma immune responses, combinations with current and upcoming immunotherapies should be considered. Future research accounting for patientspecific, or interpatient, heterogeneity can answer which features in these programs are most therapeutically appealing should be conducted. Additionally, differences between early dissemination arising secondary tumors and those arising late in disease progression must be investigated. Answering these questions in the context of EMT, NCSC, and hypoxia/acidosis related genes will provide therapeutic opportunities with the potential to augment melanoma immunotherapy responses while preventing future metastatic recurrence.

### 8 Conclusion

Melanoma immunotherapies have dramatically improved patient treatment and survival, yet immunotherapy resistance and disease recurrence remain prevalent. Shared mechanisms simultaneously governing melanoma immune evasion and metastases potentially influence both of these barriers, preventing successful treatment. Specifically, the EMT process, genes involved in NCSC maintenance, and hypoxia/acidosis contribute to both immune evasion and metastatic development during melanoma progression. These processes may also exert a relevant influence in early dissemination. In the future, therapeutic focus may benefit from examining dual drivers of immune evasion and metastasis. Single cell transcriptomic studies focused on heterogeneity and tumor evolution trajectories can reveal what factors relevant to EMT, re-activated NCSC signaling, or hypoxia/acidosis are most applicable, robust, and specific, across patients. A better understanding of these factors will result in future combinational approaches that take advantage of current immunotherapies to prevent melanoma recurrence and resistance.

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### Author contributions

CS: Conceptualization, Writing – original draft, Writing – review & editing. GC: Conceptualization, Writing – original draft, Writing – review & editing. DA: Writing – original draft. HC: Writing – review & editing. NA: Conceptualization, Writing – review & editing, Supervision.

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