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# Recent advances in tumor immunotherapy based on NK cells

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Immunotherapy has emerged as the established fourth pillar of cancer treatment following surgery, radiotherapy, and chemotherapy, representing a cutting-edge research domain in translational medicine and clinical oncology. Natural killer (NK) cells, a type of innate cytotoxic lymphocyte, possess unique antitumor properties that are independent of major histocompatibility complex (MHC) restrictions, making them promising candidates for “off-the-shelf” therapeutic products. NK cells can eliminate tumor cells through various mechanisms. Genetic engineering of NK cells can enhance their activation signals, promote proliferation, inhibit suppressive signals, and improve tumor homing, all of which are expected to significantly boost their clinical efficacy. Compared to chimeric antigen receptor T (CAR-T) cell therapy, NK cell-based immunotherapy demonstrates superior safety and tolerability. However, the clinical application of NK cells still faces several challenges, including suboptimal expansion efficiency *in vitro*, limited persistence *in vivo*, low transduction efficiency of chimeric antigen receptor NK (CAR-NK) cells, and immunosuppressive effects of the tumor microenvironment. These issues require further investigation to achieve significant improvements. This review provides a comprehensive overview of the biological characteristics of NK cells, their antitumor mechanisms, the latest therapeutic strategies in tumor immunotherapy, and the challenges associated with NK cell-based immunotherapy, aiming to offer valuable insights for future research and clinical applications.

## KEYWORDS

natural killer cells, tumor, immunotherapy, “Off-the-shelf” cell, clinical applications

Natural killer (NK) cells are a critical component of innate lymphoid cells characterized by the absence of adaptive antigen receptors on their surface, yet they are capable of secreting classic cytokines such as IFN- $\gamma$ . Functionally, NK cells mount an immune response against virus-infected and tumor cells (1). Since 2013, NK cells have demonstrated good safety and efficacy in the treatment of advanced leukemia (2). Subsequently, research on NK cell-based tumor immunotherapy has grown exponentially, becoming a focal point in the field of innovative immunotherapy (3–5). In recent years, advancements in cell expansion technologies, chimeric antigen receptor (CAR) development (6), CRISPR/Cas9 gene editing (7), and improved viral transduction and electroporation techniques (8) have further enhanced the clinical application of NK cells.

Tumor immunotherapy has become a critical pillar of cancer treatment. NK cells, as key effector cells of the innate immune system, can recognize and kill tumor cells without prior sensitization, exerting their effects by releasing perforin, granzyme, and secreting cytokines such as interferon- $\gamma$  (IFN- $\gamma$ ) (9), which indicate CAR-NK cells offer significant promise for tumor immunotherapy. NK cell immunotherapy has undergone three transformative clinical phases: (1) The cytokine era (2000–2010), where IL-2-activated NK cells achieved 19–27% CR in renal cell carcinoma (RCC) trials; (2) The adoptive transfer era (2010–2020), with haploidentical NK therapy showing 45–58% CR in AML (NCT00990717) (10); and (3) The engineered NK era (2020–present), where CD19-CAR-NK trials demonstrated 73% objective response rate (ORR) with no CRS  $\geq$  grade 3 (NCT03056339) (Marin et al., 2024b). Notably, the 2024 ELIANA trial reported 91% 12-month EFS in pediatric ALL using multiplex-edited (CD19-CAR + IL-15 + PD1-KO) NK cells—a watershed in off-the-shelf immunotherapy (11). Additionally, the combined application of NK cells with immune checkpoint inhibitors (such as anti-PD-1 antibodies) or chemotherapy drugs shows a synergistic effect in non-small cell lung cancer (NSCLC) and ovarian cancer (12). Furthermore, compared to CAR-T cells, CAR-NK cells have advantages such as not inducing cytokine release syndrome or neurotoxicity and being available from allogeneic donors, making them potential “off-the-shelf” products (13, 14). Despite the progress made in NK cell immunotherapy, their application still faces challenges, including suboptimal *in vitro* expansion, insufficient *in vivo* persistence of NK cells, low CAR-NK transduction efficiency, heterogeneity in patient responses, and inhibition by the tumor microenvironment, necessitating further research for improvement (15). This review provides a comprehensive overview of the biological characteristics of NK cells, their tumor-killing mechanisms, the latest strategies in tumor immunotherapy, and the challenges faced by NK cell-based immunotherapy, offering valuable insights for future research and clinical applications.

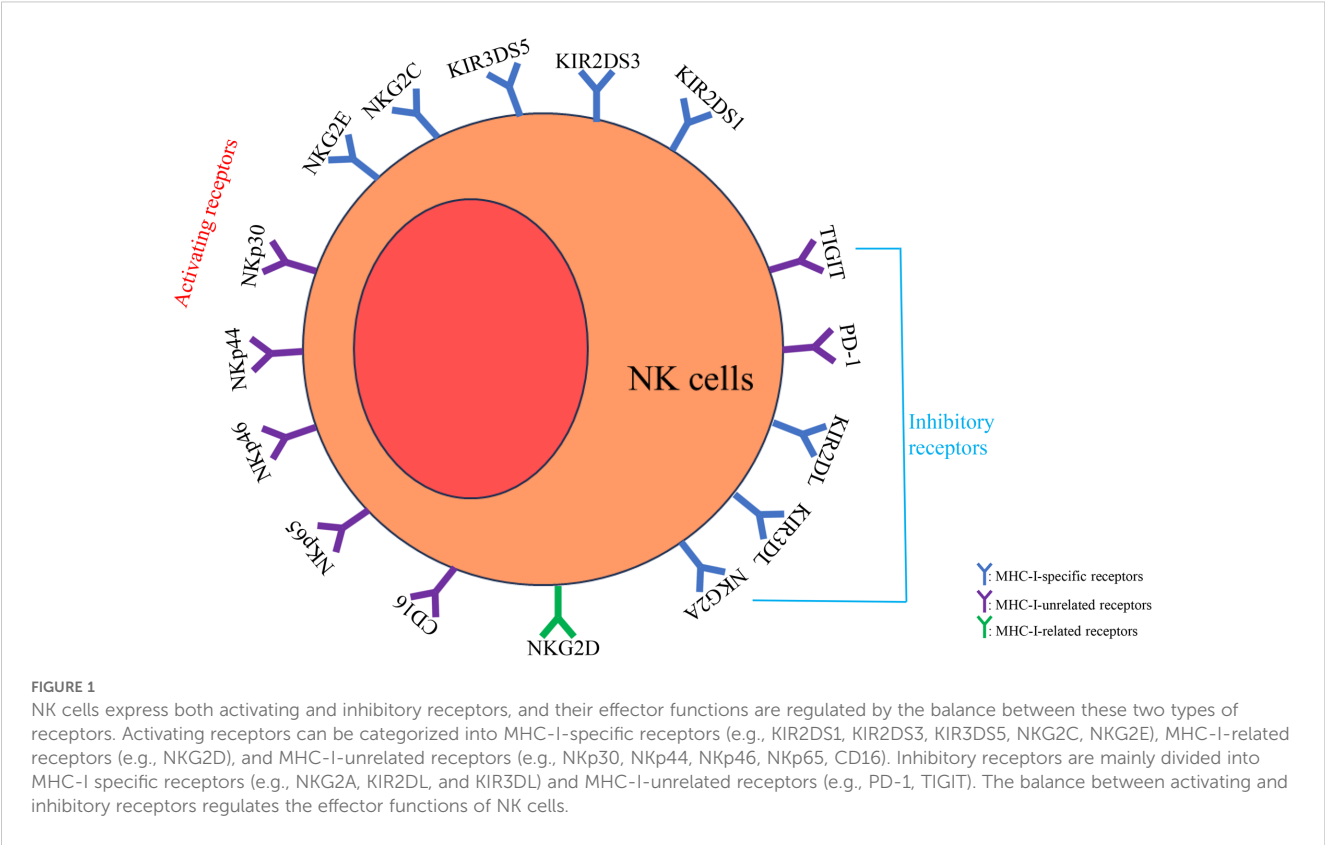
## Biological characteristics of NK cells

In 1976, Herberman and colleagues identified a natural cellular immune response that was independent of T cells and macrophages in a leukemia mouse model of cell-mediated immunity, which they

defined as NK cells (16). NK cells originate from lymphoid progenitor cells in the bone marrow and are distributed after maturation in the bone marrow, blood, and lymphoid tissues such as the spleen, comprising about 5%–10% of peripheral blood mononuclear cells (17, 18). Functionally, NK cells resemble CD8+ T cells in their cytotoxic activity, but they lack CD3 and T cell receptors (19–21). Based on the differential expression density of the CD56 molecule on their surface, human NK cells are classified into two subsets: CD56<sup>dim</sup> and CD56<sup>bright</sup>. The CD56<sup>dim</sup> subset is primarily responsible for cytotoxic activity, exhibiting stronger killing capabilities, while the CD56<sup>bright</sup> subset is more proficient in cytokine secretion, playing a key role in immune regulation (14, 22). Dogra et al. found that CD56<sup>dim</sup> cells are predominant in the blood, bone marrow, spleen, and lungs, but are less prevalent in the tonsils, intestines, and lymph nodes (22, 23).

NK cells express both activating and inhibitory receptors, and their effector functions are regulated by the balance between these two types of receptors (Figure 1). Activating receptors can be categorized into three groups based on their ligands: MHC-I-specific receptors (e.g., KIR2DS1, KIR2DS3, KIR3DS5, NKG2C, NKG2E), MHC-I-related receptors (e.g., NKG2D), and MHC-I-unrelated receptors (e.g., NKp30, NKp44, NKp46, NKp65, CD16) (21, 24–26). When these activating receptors bind to stress-induced ligands on target cells, they deliver activating signals, a process known as “induced self” recognition, which triggers cytotoxic activity. Inhibitory receptors, such as inhibitory killer cell immunoglobulin-like receptors (IKIRs) (like KIR2DL and KIR3DL), interact with self MHC-I molecules to achieve immune tolerance, preventing damage to self-cells. Inhibitory receptors are mainly divided into two categories based on their ligands: MHC-I specific receptors (e.g., NKG2A, KIR2DL, and KIR3DL) and MHC-I-unrelated receptors (e.g., PD-1, TIGIT). The balance between activating and inhibitory receptors regulates the effector functions of NK cells (27).

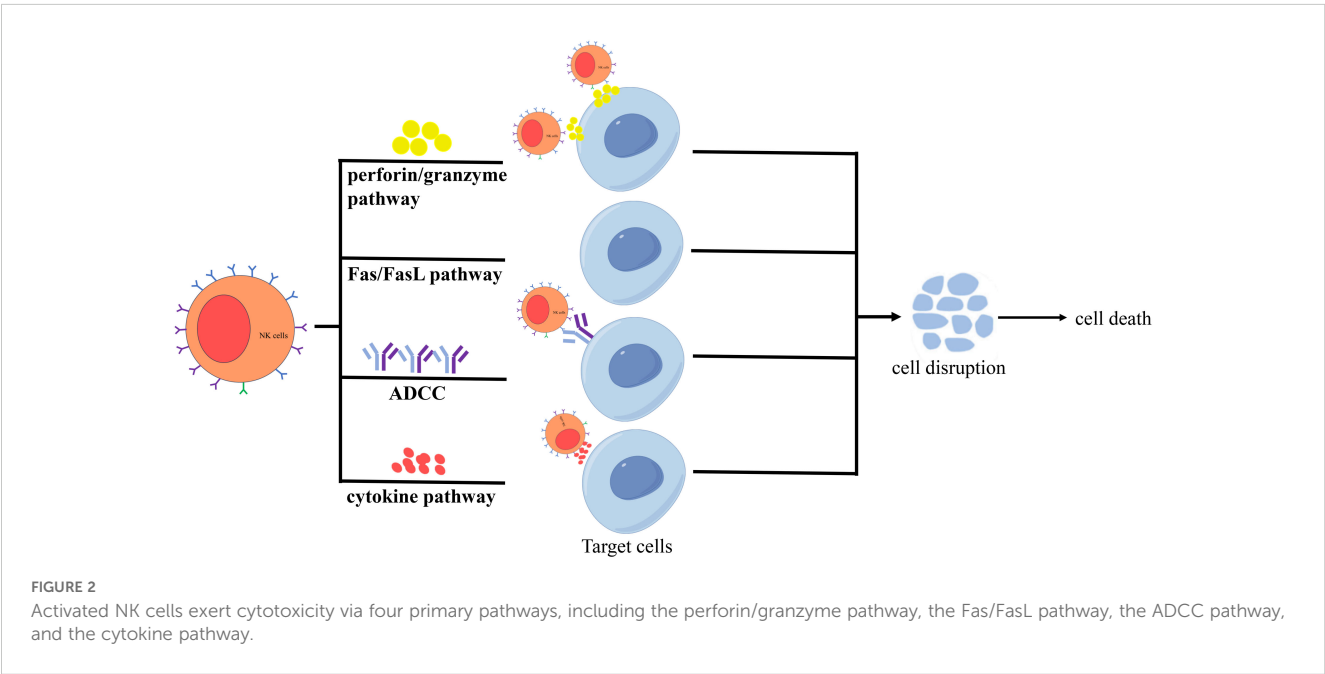
In addition, activated NK cells exert cytotoxicity via four primary pathways (Figure 2). The first is the perforin/granzyme pathway (22): Upon activation, NK cells release perforin and granzymes stored in cytoplasmic granules into the intercellular space. Perforin, structurally similar to complement components, forms transmembrane pores on target cell membranes, increasing permeability and leading to osmotic lysis. These pores also facilitate granzyme entry into the target cell, where granzymes redistribute to the cytoplasm and nucleus, accumulate at cleavage sites, and induce apoptosis. The second is the Fas/FasL pathway (28, 29): Binding of Fas ligand (FasL/CD95L, a TNF-family type II transmembrane protein) to Fas (Apo-1/CD95, a type I transmembrane receptor) triggers a “death signal” that induces target cell apoptosis within hours. The third is the antibody-dependent cell-mediated cytotoxicity (ADCC) pathway (30). NK cell-mediated ADCC can be improved by modifying antibodies, effector cells and target antigens. The fourth is the cytokine pathway (31): NK cells secrete cytokines such as TNF- $\alpha$  [9], which disrupt lysosomal stability in target cells, causing leakage of hydrolytic enzymes, perturbing membrane phospholipid metabolism, and activating endonucleases to degrade genomic DNA, ultimately leading to cell death.



## Mechanisms of tumor killing by NK cells

NK cells directly kill tumor cells through four main mechanisms (Figure 3): (1) generating large amounts of perforin,

granzyme, and other cytolytic granules to induce tumor cell death; (2) expressing members of the tumor necrosis factor (TNF) superfamily, such as FASL and TRAIL, which induce tumor cell apoptosis by binding to their respective receptors, FAS or TRAILR; (3) mediating ADCC through FcγRIIIa (CD16), which can enhance NK cell cytotoxicity when used in combination with antibody



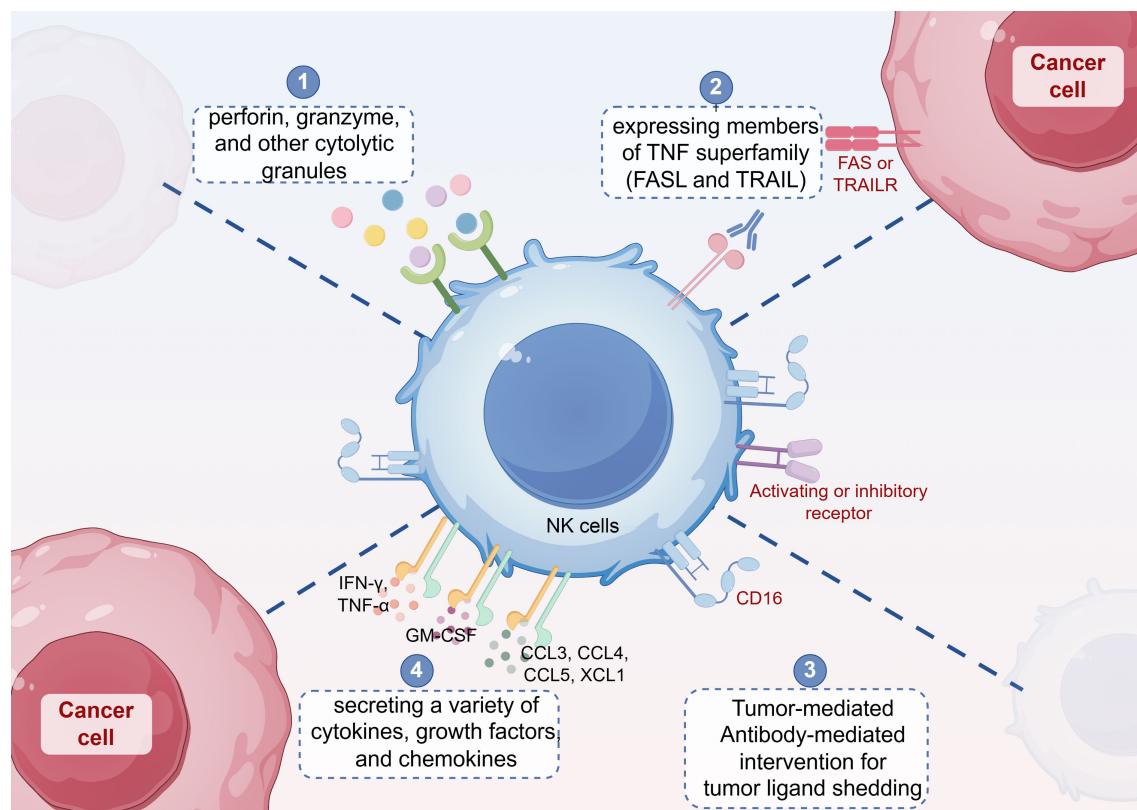


FIGURE 3

NK cells directly kill tumor cells through four main mechanisms: (1) generating large amounts of perforin, granzyme, and other cytolytic granules to induce tumor cell death; (2) expressing members of the tumor necrosis factor (TNF) superfamily, such as FASL and TRAIL, which induce tumor cell apoptosis by binding to their respective receptors, FAS or TRAILR; (3) mediating antibody-dependent cell-mediated cytotoxicity (ADCC) through FcγRIIIa (CD16); and (4) secreting a variety of cytokines (e.g., IFN- $\gamma$ , TNF- $\alpha$ ), growth factors (e.g., granulocyte-macrophage colony-stimulating factor, GM-CSF), and chemokines (e.g., CCL3, CCL4, CCL5, XCL1).

drugs; and (4) secreting a variety of cytokines (e.g., IFN- $\gamma$ , TNF- $\alpha$ ), growth factors (e.g., granulocyte-macrophage colony-stimulating factor, GM-CSF), and chemokines (e.g., CCL3, CCL4, CCL5, XCL1) (22, 32), which induce effector T cells to release more inflammatory factors, thereby inhibiting tumor cell growth or indirectly killing tumor cells by modulating the immune response (33, 34).

NK cells mediate innate immune responses by directly killing tumor cells and enhancing adaptive immune responses through signaling interactions with immune cells, such as T cells and dendritic cells (DCs), in the tumor microenvironment (TME). However, the TME contains various immune-suppressive mechanisms that significantly weaken the anti-tumor function of NK cells, limiting their efficacy.

In the TME, immune-suppressive cells such as regulatory T cells (Tregs), myeloid-derived suppressor cells (MDSCs), and tumor-associated macrophages (TAMs) secrete immune-suppressive factors (Figure 4), particularly transforming growth factor-beta (TGF- $\beta$ ), which negatively impacts NK cell function (35). TGF- $\beta$  can inhibit NK cell proliferation, activation, and cytotoxicity, exacerbating immune tolerance (36, 37). Additionally, Tregs suppress NK cell function directly by secreting immune-regulatory

factors, such as IL-37 (Figure 4), thereby reducing NK cell efficacy in the TME and diminishing their ability to kill tumor cells (38). The immune-suppressive environment in the TME, particularly the roles of Tregs, MDSCs, and TAMs, significantly weakens NK cell anti-tumor activity through the secretion of suppressive factors such as TGF- $\beta$ . Therefore, exploring strategies to alleviate these immune-suppressive mechanisms and enhance NK cell function will be critical in improving the efficacy of tumor immunotherapy.

## Comparative analysis of CAR-NK and CAR-T cells

From the aspect of cytotoxicity, compared to CAR-T cells, CAR-NK cells demonstrate a superior safety profile with markedly reduced risks of cytokine release syndrome (CRS) and neurotoxicity (39, 40). While CRS occurs in ~50-90% of CD19-targeted CAR-T therapies (grade  $\geq 3$  in 10-20%) (41), clinical trials of CAR-NK cells report only mild CRS (grade 1-2) even at high doses (42, 43). This difference may stem from NK cells' innate ability to secrete IL-15 and IFN- $\gamma$  rather than IL-6-dominated cytokine storms. Additionally, allogeneic NK cells show no graft-

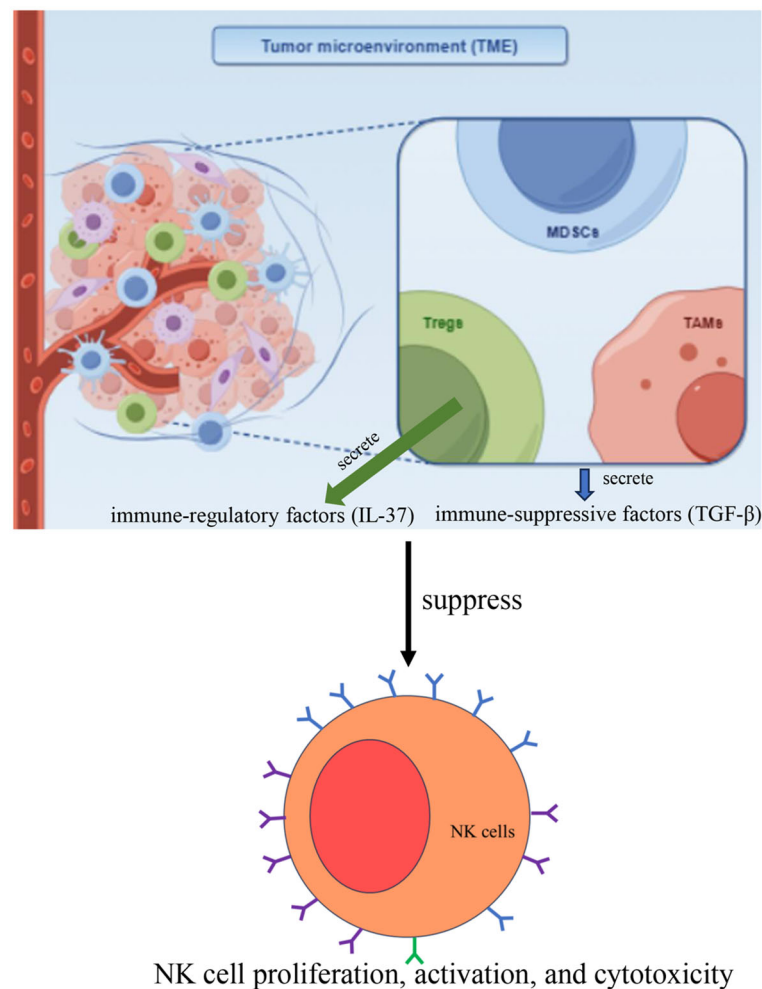


FIGURE 4

In the TME, immune-suppressive cells such as regulatory T cells (Tregs), myeloid-derived suppressor cells (MDSCs), and tumor-associated macrophages (TAMs) secrete immune-suppressive factors, particularly transforming growth factor-beta (TGF- $\beta$ ), which inhibits NK cell proliferation, activation, and cytotoxicity. Additionally, Tregs suppress NK cell function directly by secreting immune-regulatory factors, such as IL-37.

versus-host disease (GVHD) incidence, whereas allogeneic CAR-T requires extensive genetic modification to avoid GVHD. In regarding to persistence in the body, the persistence of CAR-NK cells *in vivo* typically ranges from weeks to months, shorter than memory-enabled CAR-T cells that may persist for years (44). However, this transient existence could be advantageous for mitigating long-term off-target effects. Recent strategies like IL-15/21 armoring or CRISPR-mediated knockout of CISH have extended CAR-NK persistence to >6 months in preclinical models (45, 46), narrowing the gap with CAR-T while maintaining safety. In addition, the comparative cost-effectiveness of CAR-T and CAR-NK was shown in Table 1. From a manufacturing perspective, CAR-NK cells offer significant economic advantages: (1) NK cells can be derived from universal donor cord blood or iPSCs, reducing individualized production costs by 50%–70% compared to autologous CAR-T (47); (2) cryopreserved NK products maintain efficacy after thawing, enabling off-the-shelf use versus the 2–4 week wait for CAR-T customization. Cost-effectiveness analyses

estimated CAR-NK therapy at \$120000–180000 per dose versus \$375000–475000 for commercial CAR-T products (48, 49). While CAR-NK cells address key limitations of CAR-T therapies in toxicity and cost, their shorter persistence and lower transduction efficiency (~30–50% vs. >90% in CAR-T) remain challenges. Hybrid approaches, such as NKG2D-based CAR-T/NK co-therapy (50), may synergize the strengths of both platforms.

## Strategies of NK cell-based tumor immunotherapy

NK cells are a critical component of the innate immune system, playing a pivotal role in tumor immunity. In recent years, with the continuous advancement of NK cell research, numerous innovative therapeutic strategies have been developed. These strategies primarily include the use of unmodified NK cells, genetically modified NK cells, and combination therapies (Figure 5).



TABLE 1 The comparative cost-effectiveness of CAR-T and CAR-NK.

Dimension	CAR-T cells	CAR-NK cells
Production Process	Requires autologous T-cell isolation and viral vector transduction, with a 2–4-week cycle and a cost of approximately \$400,000–600,000.	Can use healthy donor or iPSC-derived NK cells; universal products can be mass-produced, reducing costs by 50%–70%.
Hospitalization Management	Requires close monitoring of CRS, with ICU costs increasing total treatment costs by ~20%.	Mild toxicity allows outpatient infusion, significantly reducing management costs.
Retreatment Needs	Effective with single infusion, but re-preparation is required for recurrence.	May require multiple infusions (e.g., once every 2 weeks); long-term costs need to be evaluated alongside efficacy.

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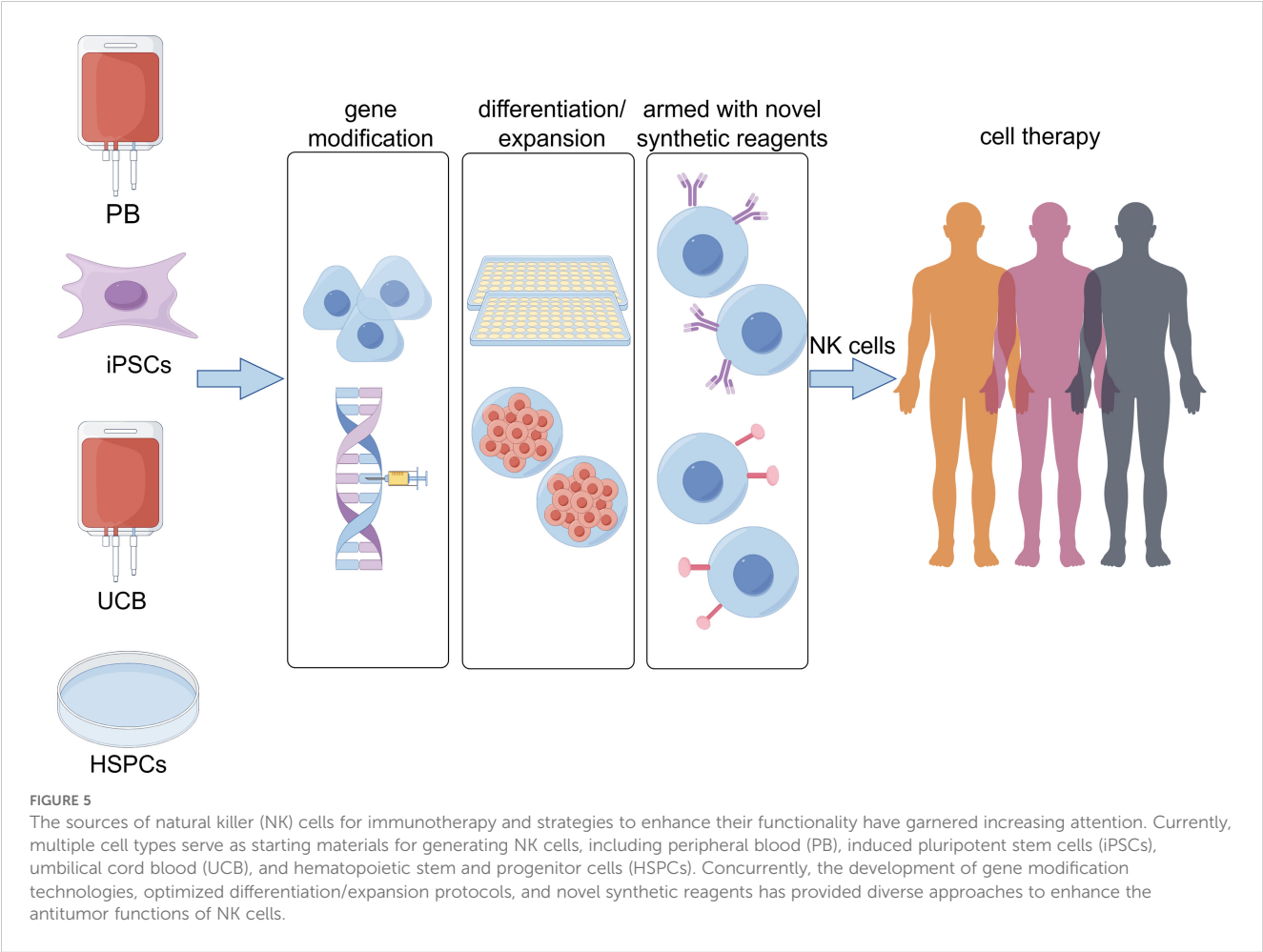
CRS: cytokine release syndrome; ICU: intensive care unit.

## Application of unmodified NK cells in cancer therapy

NK cells derived from peripheral blood, umbilical cord blood, placenta, induced pluripotent stem cells, and hematopoietic stem and progenitor cells (HSPCs) (Figure 5) can be expanded and functionally optimized through two main approaches: co-culturing with feeder layers and inducing culture with specific cytokines such

as IL-2, IL-15, IL-21, IL-12, and IL-18. These methods significantly enhance NK cell expansion and maintain or improve their biological activity (51–58).

Co-culture has emerged as an important method for NK cell expansion and has demonstrated its clinical potential in various cancer therapies. For instance, NK cells derived from HSPCs (HSPC-NK) have shown certain therapeutic efficacy in early-phase clinical trials (EudraCT 2010-018988-41). In a study targeting elderly patients with acute myeloid leukemia (AML), two out of four patients with minimal



residual disease (MRD) in their bone marrow converted to MRD-negative (<0.1%) after HSPC-NK cell infusion, and this response persisted for six months (59). Furthermore, Wugen’s memory-type NK cell product, WU-NK-101, optimized through their proprietary Moneta™ platform, demonstrated enhanced trafficking ability and adaptability in immunosuppressive tumor microenvironments, overcoming some of the limitations associated with NK cell therapy for solid tumors (60). These findings suggest that co-culture techniques can effectively amplify and optimize NK cell function. Additionally, a phase II clinical trial by Multhoff et al. (EudraCT 2008-002130-30) further validated the clinical value of co-culture methods (61). In this study, Hsp70-preactivated NK cells were reinfused into patients with NSCLC in combination with conventional chemotherapy and radiotherapy. The results revealed that reinfusion of Hsp70-primed NK cells significantly improved patient survival, increasing the 1-year survival rate from 33% to 67%.

The use of cytokine-mediated NK cell expansion has also made significant progress and shows promise in various cancer treatments. For example, Rafael et al. developed an IL-15 receptor agonist (NKTR-255), aimed at activating the IL-15 pathway to expand NK cells for the treatment of multiple myeloma (MM) (62). In both *in vitro* and *in vivo* studies, NKTR-255-expanded NK cells enhanced anti-tumor cytotoxicity, suppressed tumor growth, and, when combined with the anti-CD38 antibody daratumumab, effectively inhibited multiple myeloma cells. However, in clinical trials for refractory/relapsed acute myeloid leukemia (AML) (NCT03050216 and NCT01898793), Melissa et al. observed that IL-15/N-803, compared to IL-2, might reduce NK cell clinical activity due to its stimulation of CD8+ T cell activation and proliferation (63). This finding highlights the need for further mechanistic studies to optimize the cytokine selection and application in order to enhance NK cell therapeutic efficacy.

Both co-culture and cytokine-based NK cell expansion methods have demonstrated substantial potential in clinical research. However, the clinical application of adoptive NK cell immunotherapy still requires further investigation with larger cohorts to optimize treatment protocols and improve therapeutic outcomes.

## Comparative evaluation of NK cell expansion methodologies

Recent advances in NK expansion protocols highlight critical trade-offs: while feeder cells (e.g., genetically modified K562 or EBV-LCL) enable clinically relevant cell numbers, they pose theoretical risks of contaminant proliferation if irradiation fails (64). Conversely, cytokine-only methods (e.g., IL-15 + ALT-803) are more adaptable to GMP but may require longer cultures to achieve therapeutic doses. Emerging hybrid approaches, such as cytokine-loaded nanoparticles combined with transient feeder exposure (65), aim to balance yield and safety. The comparative evaluation of feeder-based versus cytokine-driven NK amplification methodologies was shown in Table 2.

## Application of genetically modified NK cells in cancer therapy: CAR-NK cell therapy

The approval of the first CAR-T cell therapy, Kymriah, by the FDA in 2017 marked a significant milestone in the field of cellular therapies (66). However, CAR-T treatments are associated with a range of adverse effects, such as cytokine release syndrome (CRS) and graft-versus-host disease (GVHD) (67, 68). In contrast, CAR-NK cells, due to their lack of dependence on the major histocompatibility complex (MHC), exhibit a reduced incidence of CRS, GVHD, and neurotoxicity (69–71). Furthermore, CAR-NK cells can effectively eliminate tumor cells through mechanisms independent of CAR, such as activation and inhibitory receptors, as well as CD16-mediated ADCC (72). Consequently, scientists are actively developing genetically engineered CAR-NK therapeutic strategies to enhance the tumor-killing efficacy of NK cells (73).

Chimeric antigen receptors (CARs) are synthetically engineered receptors designed to direct lymphocytes to recognize and eliminate cells expressing specific target ligands. The design of CAR-NK molecules is analogous to that of CAR-T cells and comprises four

TABLE 2 The comparative evaluation of feeder-based versus cytokine-driven NK amplification methodologies.

Parameter	Feeder-based	Cytokine-driven
Representative Protocols	K562-mb15-41BBL + IL-2/IL-15	IL-2/IL-15/IL-21 + Serum-Free Medium
Expansion Fold (14 Days)	500–1000×	100–200×
Phenotypic Characteristics	CD56bright >60%, high CD16 expression	Predominantly CD56dim, increased CD57+ with culture time
Functional Activity ( <i>In Vitro</i> Cytotoxicity)	Killing rate >80% at E:T ratio 1:10 (MCF-7 model)	Killing rate ~60% at E:T ratio 1:5
GMP compliance	Complex (feeder irradiation)	Simplified
Key Challenges in Clinical Translation	Detection of residual feeder cells, removal of animal-derived components	Cost of cytokines (e.g., IL-21 ~\$100/μg), potential cytokine-induced senescence

While feeder cells (e.g., genetically modified K562 or EBV-LCL) enable clinically relevant cell numbers, they pose theoretical risks of contaminant proliferation if irradiation fails. Conversely, cytokine-only methods (e.g., IL-15 + ALT-803) are more adaptable to GMP but may require longer cultures to achieve therapeutic doses.

principal functional domains: the antigen-binding domain, the hinge region, the transmembrane domain, and the intracellular signaling domain (74). The antigen-binding domain typically consists of a single-chain variable fragment (scFv) derived from antibodies, which is capable of recognizing specific antigens on tumor cells. The transmembrane domain anchors the CAR molecule to the surface of effector cells. Upon recognition and activation by specific antigens, the intracellular signaling domain becomes activated, initiating downstream processes that promote the destruction of tumor cells (75–77). The intracellular signaling domain of CAR-NK cells primarily includes components such as CD3 $\zeta$ , CD28, 4-1BB, OX40, 2B4, CS1, DAP10, and DAP12 (78, 79). Among them, 2B4 (CD244) and CS1 (SLAMF7) are major NK cell receptors playing a significant role in anti-tumor immunity (80, 81). Anti-SLAMF7 mAb (Elotuzumab) has been a game changer in immunotherapy against relapsed and refractory multiple myeloma (82–84). Furthermore, CAR-NK structures have evolved through four generations. The first generation primarily features the scFv antigen-binding domain and the CD3 $\zeta$  intracellular signaling domain. The second and third generations incorporate one and two co-stimulatory signals, respectively. The fourth generation enhances the antitumor activity of NK cells against lymphoma xenografts by targeting cytokine-induced SH2-containing protein (CIS) (85, 86).

Currently, multiple clinical trials involving CAR-NK therapy are being conducted worldwide. As of March 10, 2025, a total of 81 trials have been registered on [www.clinicaltrials.gov](http://www.clinicaltrials.gov). Among these, 58 trials are focused on the treatment of hematologic malignancies (Supplementary Table 1), while 23 trials are dedicated to the treatment of solid tumors (Table 3).

Supplementary Table 1 illustrates that the targets for CAR-NK therapy in hematologic malignancies are relatively concentrated. The primary targets for lymphoma and leukemia include CD19, CD123, CD22, CD33, and NKG2D ligands, while BCMA is the target for multiple myeloma. Additionally, there are studies on bispecific targets for lymphoma and leukemia, such as CD33/CLL1, CD19/CD22, and CD19/CD70 (87–97). In 2018, Chinese researchers reported the first Phase I/II clinical trial (NCT02944162) of CD33 CAR-NK cells for the treatment of relapsed/refractory acute myeloid leukemia (AML) (94). Three AML patients were enrolled in the trial, receiving infusions on days 1, 3, and 5 with doses of  $3 \times 10^8$ ,  $6 \times 10^8$ , and  $1 \times 10^9$  cells, respectively. When the maximum dose reached  $5 \times 10^9$  cells per patient, no adverse reactions were observed, indicating good safety. However, patients experienced relapse after 4 months. In February 2020, The New England Journal of Medicine published a Phase I/II clinical trial (NCT03056339) of umbilical cord blood-derived CAR-NK cells for the treatment of B-cell lymphoma (98). This trial included 11 patients with relapsed/refractory CD19-positive non-Hodgkin lymphoma or chronic lymphocytic leukemia. Following lymphodepleting chemotherapy, patients received infusions of CD19-CAR-NK cells. The study demonstrated good safety, with no occurrences of cytokine release syndrome, neurotoxicity, or hemophagocytic lymphohistiocytosis. Furthermore, no graft-versus-host disease (GvHD) was observed, even in 2 patients with HLA mismatches. Clinical efficacy results showed that, with a median follow-up of 13.8 months (range: 2.8–

20.0 months), 8 patients (73%) achieved an objective response, including 7 patients (3 with chronic lymphocytic leukemia and 4 with lymphoma) who achieved complete remission. FT596 is an iPSC-derived CAR-NK cell therapy that exerts antitumor effects through a triple mechanism: targeting CD19 with CAR, a high-affinity non-cleavable CD16 Fc receptor, and IL-15/IL-15R fusion protein. In the first-in-human Phase I clinical trial (NCT04245722) for relapsed/refractory B-cell lymphoma, 86 patients (median of 4 prior lines of therapy, 38% of whom had received CAR-T therapy) were treated with either FT596 monotherapy (Cohort A) or in combination with rituximab (Cohort B) (99). The results indicated that both regimens were well tolerated, with no maximum tolerated dose (MTD) reached. Only low-grade cytokine release syndrome was observed (Cohort A: 6% Grade 1; Cohort B: 13% Grade 1–2), and no neurotoxicity events were reported. This study validated the clinical potential of iPSC-derived “off-the-shelf” genetically modified NK cell therapy, suggesting that its standardized production could overcome the challenges of autologous CAR-T therapies in terms of heterogeneity, cost, and accessibility, thus providing a new direction for cancer immunotherapy.

As illustrated in Table 3, CAR-NK cell therapies for solid tumors predominantly target malignancies including colorectal cancer, breast cancer, and prostate cancer, with key molecular targets encompassing CLDN6, Anti-5T4, antimesothelin, ROBO1, PSMA, NKG2D ligands, and HER2. Despite these developments, clinical evidence supporting the therapeutic efficacy of CAR-NK cells in solid tumors remains limited. Notably, three phase I/II clinical trials (NCT03940820, NCT03941457, NCT03931720) conducted in Chinese cohorts evaluated allogeneic ROBO1-specific CAR-NK-92 cell immunotherapy for pancreatic ductal adenocarcinoma (PDAC) and ROBO1-expressing solid tumors (100–102). These investigations collectively demonstrated the feasibility of CAR-NK cell application in non-hematologic malignancies. In a separate clinical trial (NCT03415100) investigating NKG2D ligand-targeted CAR-NK therapy, three metastatic colorectal cancer patients received localized CAR-NK cell administration (103). The first two patients undergoing low-dose intraperitoneal infusion exhibited clinically significant reductions in ascites production (72% and 68% volume decrease respectively) and tumor cell density in ascitic fluid (from  $1.2 \times 10^6$ /mL to  $3.5 \times 10^4$ /mL). The third patient with hepatic metastases received ultrasound-guided percutaneous injection followed by intraperitoneal CAR-NK administration, achieving rapid tumor regression as evidenced by Doppler ultrasound (48% target lesion reduction within 14 days). This emerging clinical evidence underscores the potential of optimized CAR-NK cell delivery strategies and receptor engineering approaches to overcome current limitations in solid tumor immunotherapy.

## CRISPR/Cas9-based gene engineering of human NK cells, and comparison with other genome editing strategies

CRISPR/Cas9, as a precision genome-editing tool with minimal cytotoxicity and off-target effects, has emerged as a promising



TABLE 3 Clinical Studies of CAR-NK Cell Therapy for Solid Tumors which have been registered on [www.clinicaltrials.gov](http://www.clinicaltrials.gov).

No.	NCT Number	Study title	Study Status	Phases	Conditions	Interventions	City, Country	Year	Web link
1	NCT06816823	CAR-NK Cells (CL-NK-001) in Pancreatic Cancer	Not Yet Recruiting	Phase1	Pancreatic Cancer	Biological: CL-NK-001	Shanghai, China	2025	<a href="https://www.clinicaltrials.gov/study/NCT06816823?cond=NCT06816823&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06816823?cond=NCT06816823&amp;rank=1</a>
2	NCT05776355	NKG2D CAR-NK & Ovarian Cancer	Unknown	NA	Ovarian Cancer	Biological: NKG2D CAR-NK	Hangzhou, China	2023	<a href="https://www.clinicaltrials.gov/study/NCT05776355?cond=NCT05776355&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05776355?cond=NCT05776355&amp;rank=1</a>
3	NCT06454890	Clinical Study of Trop2 CAR-NK in the Treatment of Relapsed/Refractory Non-Small Cell Lung Cancer (NSCLC)	Not Yet Recruiting	Phase1 Phase2	Non-Small Cell Lung Cancer NSCLC	Biological: Anti-Trop2 CAR-NK cell	Henan, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06454890?cond=NCT06454890&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06454890?cond=NCT06454890&amp;rank=1</a>
4	NCT03940820	Clinical Research of ROBO1 Specific CAR-NK Cells on Patients with Solid Tumors	Unknown	Phase1 Phase2	Solid Tumor	Biological: ROBO1 CAR-NK cells	Suzhou, China	2019	<a href="https://www.clinicaltrials.gov/study/NCT03940820?cond=NCT03940820&amp;rank=1">https://www.clinicaltrials.gov/study/NCT03940820?cond=NCT03940820&amp;rank=1</a>
5	NCT05410717	CLDN6/GPC3/Mesothelin/AXL-CAR-NK Cell Therapy for Advanced Solid Tumors	Recruiting	Phase1	Stage IV Ovarian Cancer Testis Cancer, Refractory Endometrial Cancer Recurrent CAR NK	Biological: Claudin6, GPC3, Mesothelin, or AXL targeting CAR-NK cells	Guangzhou, China	2022	<a href="https://www.clinicaltrials.gov/study/NCT05410717?cond=NCT05410717&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05410717?cond=NCT05410717&amp;rank=1</a>
6	NCT06572956	Clinical Study on the Safety and Efficacy of CAR-T/CAR-NK Cells in the Treatment of Recurrent Refractory or Unresectable Solid Tumors	Active Not Recruiting	Early Phase1	Safety and Efficacy of Cellular Drugs, Objective Response Rate of Subjects, etc.	Biological: CAR-T/CAR-NK cell injection	Jinan, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06572956?cond=NCT06572956&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06572956?cond=NCT06572956&amp;rank=1</a>
7	NCT05194709	Study of Anti-5T4 CAR-NK Cell Therapy in Advanced Solid Tumors	Unknown	Early Phase1	Advanced Solid Tumors	Biological: Anti-CAR-NK Cells	Wuxi, China	2021	<a href="https://www.clinicaltrials.gov/study/NCT05194709?cond=NCT05194709&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05194709?cond=NCT05194709&amp;rank=1</a>
8	NCT03415100	Pilot Study of NKG2D-Ligand Targeted CAR-NK Cells in Patients With Metastatic Solid Tumors	Unknown	Phase1	Solid Tumors	Biological: CAR-NK cells targeting NKG2D ligands	Guangzhou, China	2018	<a href="https://www.clinicaltrials.gov/study/NCT03415100?cond=NCT03415100&amp;rank=1">https://www.clinicaltrials.gov/study/NCT03415100?cond=NCT03415100&amp;rank=1</a>
9	NCT06856278	Clinical Study of NKG2D CAR-NK Combined with PD-1 Monoclonal Antibody in the Treatment of ATC	Not Yet Recruiting	Phase1 Phase2	Anaplastic Thyroid Carcinoma	Drug: NKG2D CAR-NK with PD-1 Antibody	Hangzhou, China	2025	<a href="https://www.clinicaltrials.gov/study/NCT06856278?cond=NCT06856278&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06856278?cond=NCT06856278&amp;rank=1</a>
10	NCT06464965	Clinical Study of Cord Blood-Derived CAR-NK Cells in Gastric Cancer and Pancreatic Cancer	Recruiting	Phase1	Gastric Cancer Pancreas Adenocarcinoma	Biological: CB CAR-NK182	Hangzhou, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06464965?cond=NCT06464965&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06464965?cond=NCT06464965&amp;rank=1</a>
11	NCT06066424	Phase 1 Dose Escalation and Expansion Study of TROP2 CAR Engineered IL15-transduced Cord Blood-derived NK Cells in Patients With Advanced Solid Tumors (TROPIKANA)	Recruiting	Phase1	Solid Tumors	Drug: Rimiducid Drug: TROP2-CAR-NK Cells Drug: Fludarabine phosphate Drug: Cyclophosphamide	Houston, USA	2023	<a href="https://www.clinicaltrials.gov/study/NCT06066424?cond=NCT06066424&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06066424?cond=NCT06066424&amp;rank=1</a>

(Continued)

TABLE 3 Continued

No.	NCT Number	Study title	Study Status	Phases	Conditions	Interventions	City, Country	Year	Web link
12	NCT03692663	Study of Anti-PSMA CAR NK Cell (TABP EIC) in Metastatic Castration-Resistant Prostate Cancer	Unknown	Early Phase1	Metastatic Castration-resistant Prostate Cancer	Drug: TABP EIC Biological: Cyclophosphamide Biological: fludarabine	Tianjin, China	2018	<a href="https://www.clinicaltrials.gov/study/NCT03692663?cond=NCT03692663&amp;rank=1">https://www.clinicaltrials.gov/study/NCT03692663?cond=NCT03692663&amp;rank=1</a>
13	NCT06503497	A Trail of Second-line Chemotherapy Sequential NKG2D CAR-NK Cell Therapy for Pancreatic Cancer	Recruiting	Early Phase1	Pancreatic Cancer Non-resectable	Biological: chemotherapy sequential CAR-NK cell infusion	Hangzhou, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06503497?cond=NCT06503497&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06503497?cond=NCT06503497&amp;rank=1</a>
14	NCT05507593	Study of DLL3-CAR-NK Cells in the Treatment of Extensive Stage Small Cell Lung Cancer	Unknown	Phase1	SCLC, Extensive Stage	Biological: DLL3-CAR-NK cells	Tianjin, China	2022	<a href="https://www.clinicaltrials.gov/study/NCT05507593?cond=NCT05507593&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05507593?cond=NCT05507593&amp;rank=1</a>
15	NCT06478459	Endoscopic Ultrasound (EUS) Intratumoral Injection of CAR-NK Cells in the Treatment of Advanced Pancreatic Cancer	Recruiting	Early Phase1	Pancreatic Cancer Non-resectable	Biological: CAR-NK	Hangzhou, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06478459?cond=NCT06478459&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06478459?cond=NCT06478459&amp;rank=1</a>
16	NCT04847466	Immunotherapy Combination: Irradiated PD-L1 CAR-NK Cells Plus Pembrolizumab Plus N-803 for Subjects With Recurrent/Metastatic Gastric or Head and Neck Cancer	Recruiting	Phase2	Gastroesophageal Junction (GEJ) Cancers Advanced HNSCC	Drug: N-803 Drug: Pembrolizumab Biological: PD-L1 t-haNK	Bethesda, United States	2021	<a href="https://www.clinicaltrials.gov/search?cond=NCT04847466&amp;rank=1">https://www.clinicaltrials.gov/search?cond=NCT04847466&amp;rank=1</a>
17	NCT05248048	NKG2D CAR-T Cells to Treat Patients With Previously Treated Liver Metastatic Colorectal Cancer	Unknown	Early Phase1	Refractory Metastatic Colorectal Cancer	Biological: CAR-T infusion	Guangzhou, China	2021	<a href="https://www.clinicaltrials.gov/study/NCT05248048?cond=NCT05248048&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05248048?cond=NCT05248048&amp;rank=1</a>
18	NCT06358430	Dose Escalation and Expansion Study of TROP2 CAR Engineered IL-15- Transduced Cord Blood-derived NK Cells in Combination With Cetuximab in Patient With Colorectal Cancer (CRC) With Minimal Residual Disease (MRD)	Recruiting	Phase1	Colorectal Cancer Minimal Residual Disease	Drug: Fludarabine Phosphate Drug: Cyclophosphamide Drug: Cetuximab Drug: TROP2-CAR-NK Cells Drug: Rimiducid (AP1903) Procedure: Lymphodepleting Chemotherapy	Houston, USA	2024	<a href="https://www.clinicaltrials.gov/study/NCT06358430?cond=NCT06358430&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06358430?cond=NCT06358430&amp;rank=1</a>
19	NCT06773091	A Phase I Study of NK042 Cell Injection in Advanced Solid Tumors	Recruiting	Phase1	Advanced Solid Tumors	Bioloigcal: NK042 Drug: Fludarabine (FLU) Drug: Cyclophosphamide (CTX)	Beijing, China	2025	<a href="https://www.clinicaltrials.gov/study/NCT06773091?cond=NCT06773091&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06773091?cond=NCT06773091&amp;rank=1</a>
20	NCT05922930	Study of TROP2 CAR Engineered IL15-transduced Cord Blood-derived NK Cells Delivered Intraperitoneally for the Management of Platinum Resistant Ovarian Cancer, Mesonephric-like Adenocarcinoma, and Pancreatic Cancer	Recruiting	Phase1 Phase2	Pancreatic Cancer Ovarian Cancer Adenocarcinoma	Drug: TROP2-CAR-NK Drug: Cyclophosphamide Drug: Fludarabine	Houston, USA	2023	<a href="https://www.clinicaltrials.gov/study/NCT05922930?cond=NCT05922930&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05922930?cond=NCT05922930&amp;rank=1</a>

(Continued)

TABLE 3 Continued

No.	NCT Number	Study title	Study Status	Phases	Conditions	Interventions	City, Country	Year	Web link
21	NCT05703854	Study of CAR70-engineered IL15-transduced Cord Blood-derived NK Cells in Conjunction with Lymphodepleting Chemotherapy for the Management of Advanced Renal Cell Carcinoma, Mesothelioma and Osteosarcoma	Recruiting	Phase1 Phase2	Advanced Renal Cell Carcinoma Advanced Mesothelioma Advanced Osteosarcoma	Drug: CAR70/IL15-transduced CB-derived NK cells Drug: Fludarabine phosphate Drug: Cyclophosphamide	Houston, USA	2023	<a href="https://www.clinicaltrials.gov/study/NCT05703854?cond=NCT05703854&amp;rank=1">https://www.clinicaltrials.gov/study/NCT05703854?cond=NCT05703854&amp;rank=1</a>
22	NCT03692637	Study of Anti-Mesothelin Car NK Cells in Epithelial Ovarian Cancer	Unknown	Early Phase1	Epithelial Ovarian Cancer	Biological: anti-Mesothelin Car NK Cells	Beijing, China	2019	<a href="https://www.clinicaltrials.gov/study/NCT03692637?cond=NCT03692637&amp;rank=1">https://www.clinicaltrials.gov/study/NCT03692637?cond=NCT03692637&amp;rank=1</a>
23	NCT06652243	Clinical Study of SN301A Injection in the Treatment of Hepatocellular Carcinoma	Recruiting	Early Phase1	Hepatocellular Carcinoma (HCC)	Biological: SN301A	Shanghai, China	2024	<a href="https://www.clinicaltrials.gov/study/NCT06652243?cond=NCT06652243&amp;rank=1">https://www.clinicaltrials.gov/study/NCT06652243?cond=NCT06652243&amp;rank=1</a>

Multiple clinical trials involving CAR-NK therapy are being conducted worldwide. As of March 10, 2025, a total of 81 trials have been registered on [www.clinicaltrials.gov](http://www.clinicaltrials.gov). Among these, 23 trials are dedicated to the treatment of solid tumors.

therapeutic strategy for complex refractory diseases. Its application in CAR-NK cell therapy demonstrates significant potential for enhancing the anti-tumor efficacy of NK cells (Figure 5). Notably, Velasquez et al. developed a bispecific T-cell engager (CD19-ENG)-based CAR-NK therapy capable of dual targeting CD22+ B-cell leukemia while redirecting T-cells to eliminate CD19+ malignant B-cells, effectively preventing tumor immune evasion and augmenting cytotoxic activity (104). This pioneering study represents the first demonstration of engineered CAR-NK cells with CD22 specificity combined with enhanced CD19-specific T-cell targeting in B-cell malignancies. The synergistic cytolytic targeting of malignant cells through this approach opens new avenues for gene-edited cancer immunotherapy, demonstrating substantial improvements over existing B-cell therapies and related malignancy treatments. Recent advancements in base editing technology further expand CRISPR applications. Huang Xingxu's team successfully developed a novel universal base editor through rational integration of deaminase domains at compatible chimeric sites on nCas9 (105). Compared to conventional nCas9-terminal fused base editors, this innovative configuration maintains precise target base-editing efficiency while significantly reducing both DNA and RNA off-target effects, achieving superior specificity. Building upon these technological breakthroughs, Basecare Biotechnology Co., Ltd. has translated base/prime editing technologies into clinical development. Their peripheral blood mononuclear cell (PBMC)-derived universal off-the-shelf NK cell therapy product NK510 (Super-NK), which entered investigator-initiated trial (IIT) phase in 2022, represents one of the world's first base-edited therapeutics to reach clinical investigation.

Furthermore, CRISPR-Cas9-mediated knockout of the inhibitory receptor NKG2A has been shown to enhance NK cell cytotoxicity against multiple myeloma by reversing the immunosuppressive signaling that normally attenuates NK cell activity (106). Another research developed two glypican-3 (GPC3)-specific CAR-NK-92 cell lines (GPC3-CAR-NK), and observed that the administration of GPC3-CAR-NK cells may represent a potential therapeutic strategy for HCC. Regional delivery or their combination with MWA (microwave ablation) could potentially enhance their therapeutic efficacy against HCC, demonstrating promising translational value (107). Collectively, the CRISPR/Cas9 system demonstrates remarkable potential in advancing NK cell immunotherapy through multiple mechanisms: (1) arming NK cells with CAR constructs; (2) enhancing NK activation pathways; (3) promoting tumor infiltration capacity; and (4) counteracting inhibitory signaling pathways.

While CRISPR-Cas9 dominates current NK cell engineering due to its simplicity and high efficiency, its sgRNA-dependent off-target effects remain a concern for clinical applications. For example, a 2023 study reported detectable off-target indels in ~15% of CRISPR-edited NK cells by whole-genome sequencing, whereas TALEN-edited cells showed no such events (108). However, TALEN's laborious protein engineering and lower knockout rates (~40% for CD38 knockout in NK cells (109)) limit its scalability. Emerging techniques like prime editing may

combine the precision of TALEN with CRISPR’s versatility, though their efficacy in NK cells awaits validation (Table 4).

### Combination therapy strategies

NK cells express CD16, which mediates the ADCC pathway for tumor cell killing. Therefore, they can be combined with antibodies for the treatment of various cancers, such as non-Hodgkin lymphoma, breast cancer, colorectal cancer, and neuroblastoma. AB-101, developed by Artiva, is a cord blood-derived, allogeneic, off-the-shelf, cryopreserved, non-genetically modified NK cell product. When used in combination with the cell engager AFM13 (developed by AffiMed), it has shown promising results in the treatment of relapsed or refractory CD30-positive Hodgkin lymphoma and non-Hodgkin lymphoma. Clinical studies have demonstrated a 100% overall objective response rate and a 70.8% complete response rate in patients receiving the recommended Phase 2 dose, confirming the safety and efficacy of NK cell-based combination therapy (110). FT596, developed by Fate Therapeutics, is an off-the-shelf, allogeneic chimeric antigen receptor (CAR)-NK cell product derived from induced pluripotent stem cells (iPSCs). In humanized mouse lymphoma models, FT596, when combined with the anti-CD20 monoclonal antibody rituximab, significantly enhances tumor cell killing compared to rituximab monotherapy. A Phase I multicenter clinical trial (NCT04245722) evaluating FT596 as a monotherapy and in combination with anti-CD20 monoclonal antibody therapy reported positive interim clinical data, although the final clinical data showed suboptimal efficacy (111).

Research has demonstrated that NK cells express inhibitory receptors such as PD-1 and NKG2A, which can affect their antitumor activity. When combined with immune checkpoint inhibitors or monoclonal antibodies, the cytotoxic efficacy of NK cells can be enhanced (112). In a clinical study involving the combination of NK cells with anti-PD-1 monoclonal antibodies for the treatment of NSCLC (NCT02843204), the overall objective

response rate in the combination group was 36.5%, significantly higher than the 18.5% observed in the group receiving only anti-PD-1 antibodies. Moreover, the combination therapy group exhibited a notable extension in both overall survival and progression-free survival, reaching 15.5 months and 6.5 months, respectively (113). Research targeting NKG2A have shown that the anti-NKG2A antibody Monalizumab enhances NK cell antitumor activity (114–116). A clinical trial (NCT02643550) involving Monalizumab combined with cetuximab for the treatment of recurrent or metastatic squamous cell carcinoma of the head and neck demonstrated an overall response rate of 20% (8/40) in patients previously treated with platinum-based chemotherapy and PD-1/PD-L1 antibodies (117). Among these patients, 17 (42%) experienced grade 3–4 adverse events, with only one patient (2%) experiencing Monalizumab-related grade 3–4 adverse events, such as peripheral sensory neuropathy and fatigue. No treatment-related deaths were reported, indicating a controllable safety profile. Preclinical studies have shown that the combination of Anti-PSMA CAR-NK cells with anti-PD-L1 monoclonal antibodies enhances cytotoxicity against prostate cancer cells *in vivo*.

### Challenges facing NK cell immunotherapy

Although clinical trials based on NK cells are steadily increasing, several challenges persist regarding their application. These challenges include suboptimal *in vitro* expansion efficiency, limited *in vivo* persistence, low transduction efficiency of CAR-NK cells, and the immunosuppressive effects of the tumor microenvironment.

The primary prerequisite for NK cell infusion therapy lies in achieving sufficient expansion of high-purity NK cells *ex vivo*. Although cytokine-based expansion methods can activate NK cells and facilitate their large-scale proliferation, low NK cell purity and inter-individual variability remain pressing issues. On the other hand, the feeder cell-based expansion method results in high NK purity, but safety concerns, such as the risk of contamination with T cells, still need to be addressed (52, 64, 78, 118). The presence of T cells in the expanded NK cell population can trigger graft-versus-host disease (GVHD) upon infusion, necessitating prior T cell depletion (119).

Once NK cells are transferred back into the human body, their persistence is limited due to the lack of essential cytokines like IL-2 and IL-15 required for their proliferation and survival. To address this issue, researchers have attempted to enhance the persistence of NK cells *in vivo* by genetically modifying them (120, 121).

Currently, the development of CAR-NK drugs has become a research hotspot, but improving the efficiency of CAR transduction into NK cells remains a critical bottleneck. Studies have shown that retroviral transduction efficiency ranges from 27% to 52%, but it may cause insertional mutations, limiting its clinical application. Although lentiviral transduction is safer than retroviral transduction, its efficiency is lower (12% to 30%). Some studies have reported that using modified baboon envelope glycoprotein

TABLE 4 Genome editing strategies in NK cell engineering.

Parameter	CRISPR-Cas9	TALEN	Base editing
Editing Efficiency	70-90% (knockdown)	30-60% (knockdown)	50-80% (point mutations)
Off-target Rate	Moderate (sgRNA-dependent)	Low	Very low
Multiplexing Ability	High (>3 genes)	Limited (1–2 genes)	Moderate (2 genes)
Clinical Readiness	Phase I/II trials ongoing	Limited due to complexity	Preclinical
Cost (per target)	\$200-500	\$1,000-2,000	\$500-1,000

CRISPR-Cas9 dominates current NK cell engineering due to its simplicity and high efficiency; and TALEN’s laborious protein engineering and lower knockout rates (~40% for CD38 knockout in NK cells) limit its scalability.



(BaEV-gp) pseudotyped lentiviral vectors achieves transduction efficiency 20 times higher than vectors pseudotyped with VSV-G (122). Additionally, researchers have developed a safer method by electroporating the relevant mRNA into NK cells using clinical-grade electroporation devices (39, 88, 123, 124).

The effectiveness of NK cells in targeting and killing tumor cells is influenced not only by their intrinsic cytotoxicity but also by the TME. The tumor microenvironment itself is an inhibitory milieu for NK cell function, with altered cell metabolism contributing to increased inflammation, hypoxia, and local immune suppression. Moreover, upregulation of tumor-associated immune checkpoints can lead to NK cell inactivation and diminished cytotoxicity. Additionally, various molecules present in the tumor microenvironment can accelerate NK cell exhaustion and apoptosis (120, 125–127), particularly in solid tumors. Given the significant impairment of NK cells in tumor patients, characterized by reduced numbers and compromised function, combining NK cell infusion with conventional therapies (such as surgery, chemotherapy, or radiotherapy) offers a promising strategy to reduce tumor burden effectively (128).

Despite preclinical promise, several NK cell trials have failed to meet primary endpoints. The phase II NCT02839954 trial in DLBCL (129) was terminated due to 0% CR rate ( $n = 12$ ), attributed to insufficient NK cell trafficking—a problem later addressed by CXCR4 overexpression in NCT04887012. Similarly, the myeloma trial NCT03415100 showed rapid NK cell exhaustion within 72 hours, prompting development of PD-1-deleted variants (130). These failures highlight the need for: preclinical models that better recapitulate immune evasion (e.g., humanized mice with autologous tumor stroma) (131); biomarker-driven patient stratification (e.g., NKG2D ligand expression by IHC); real-time persistence monitoring via PET imaging with  $^{89}\text{Zr}$ -labeled NK cells.

Further, we analyzed the clinical feasibility and cost-effectiveness of personalized versus universal donor NK therapies (Table 5). While personalized NK therapies theoretically eliminate allo-rejection risks, their clinical implementation faces three key hurdles: (1) frequent manufacturing failures due to patient-derived NK cell dysfunction (reported in ~40% of lymphoma cases (132)), (2) prohibitively high costs from single-patient batches, and (3) logistical delays incompatible with aggressive malignancies. In contrast, universal NK products from cord blood or iPSCs offer immediate availability and 60–70% lower costs, though they require lymphodepletion to prevent host rejection (133). Notably, the ongoing PIVOT-15 trial (NCT05410717) demonstrates comparable ORR (65% vs 68%) between personalized and universal CAR-NK for NHL, favoring universal approaches for cost-effectiveness.

## Regulatory and translational challenges

Globally, the regulatory frameworks for NK cell therapy exhibit significant disparities. The U.S. Food and Drug Administration

TABLE 5 Head-to-head comparison of NK therapy strategies.

Parameter	Personalized (Autologous)	Universal (Allogeneic)
Production Mode	Single-patient customization (batch $\leq 1$ case)	Mass production (single batch $\geq 100$ cases)
Production Time	3–4 weeks	$\leq 1$ week (pre-manufactured)
Dose Consistency	Highly variable (20–60% yield)	Standardized ( $> 90\%$ viability)
HLA Restrictions	Required	Not required
COGS per Dose	\$200000–300000	\$30000–80000
Clinical Trials	18 active (Phase I/II)	32 active (Phase II/III)
Representative Product Pipeline	Autologous CAR-NK (NCT04677796)	iPSC-NK FT596 (NCT05201760)

While personalized NK therapies theoretically eliminate allo-rejection risks, their clinical implementation faces three key hurdles. In contrast, universal NK products from cord blood or iPSCs offer immediate availability and 60–70% lower costs, though they require lymphodepletion to prevent host rejection. COGS: cost of goods sold.

(FDA) classifies gene-edited NK cells as “Advanced Therapy Medicinal Products (ATMPs),” requiring Investigational New Drug (IND) applications for clinical trials and comprehensive assessments of off-target effects and long-term safety. The European Medicines Agency (EMA), by contrast, emphasizes complete traceability of cell sources, standardized manufacturing processes, and preclinical data, with stricter criteria for evaluating the immunogenicity of allogeneic cells. Gene-edited NK cell technology has sparked extensive ethical debates due to potential risks associated with human embryonic stem cells (e.g., induced pluripotent stem cells, iPSCs) or germline editing (134). Additionally, “off-the-shelf” NK cell therapies face challenges in donor rights protection, informed consent procedures, and equitable commercial distribution (14). For example, establishing ethical standards for compensating healthy donors remains a contentious issue.

In addition, the translational path for NK therapies faces three layered challenges, including Good Manufacturing Practice (GMP) requirements, safety concerns with genome editing, and cost-related limitations in resource-constrained settings. Firstly, GMP-compliant production of NK cells requires strict control of raw materials (e.g., serum-free media, viral vectors), production environments (cleanroom grades), and quality testing processes (118). For NK cells modified by gene-editing technologies like CRISPR-Cas9, regulatory agencies additionally require validation of editing efficiency, off-target sites, and stability of gene insertion. The FDA mandates full-genome sequencing data in IND applications to exclude unintended mutations with potential carcinogenic risks. Secondly, technologies such as CRISPR-Cas9 may cause chromosomal translocations, off-target mutations, or activation of proto-oncogenes (135). Allogeneic NK cell therapies may trigger host-versus-graft reaction (HAR) or GVHD, as well as CRS remains a potential risk in CAR-NK therapy, although its incidence is significantly lower than in CAR-T cell therapy. Finally,



the production cost of NK cell therapy is prohibitively high, and the resource-scarce regions generally lack GMP-compliant cell production facilities, cold-chain transportation systems, and gene sequencing equipment, severely limiting the clinical application of NK cell therapy.

## Limitations and future perspectives

This review has several inherent limitations: First, although this study covers cutting-edge research from 2014 to 2025, certain emerging technologies (such as AI-optimized NK cell expansion algorithms and novel gene-editing tools) remain in the preprint stage or early laboratory validation phase and were not included in the systematic analysis, potentially leading to a delay in presenting the latest breakthroughs in the field. Second, the discussion of specific cross-disciplinary areas (such as the interaction mechanism between NK cells and tumor metabolism, and the application of nanomaterial delivery technologies in NK cell engineering) remains at the level of current status overview, lacking cross-disciplinary in-depth analysis. Third, searches only on one website ([www.clinicaltrials.gov](http://www.clinicaltrials.gov)) may overlook relevant research from research centers in other countries, such as the United Kingdom, Europe, etc.; as well as and the research on animal models about NK cells also needs to be further summarized in the future. Moreover, the therapeutic efficacy analysis predominantly reflects hematologic malignancies (87% of cited trials), underscoring the need for more solid tumor data. These gaps highlight the necessity for living systematic reviews in this field.

Future progress in NK cell therapy hinges on technological innovation, standardized clinical translation, and cross-disciplinary integration. Key strategies include: developing multi-omics platforms to decode NK cell-tumor interactions; applying next-gen CRISPR tools (e.g., Cas9, base editors) for precise gene editing; implementing “universal NK cells + personalized therapy” models guided by tumor/immune profiling; establishing global multi-center trials with unified GMP and efficacy standards; and leveraging nanotechnology for targeted delivery and AI for response prediction. These efforts aim to overcome persistence, heterogeneity, and delivery challenges, advancing NK cell therapy toward broader clinical utility.

In conclusion, NK cells represent a powerful tool in cancer therapy, characterized by their innate ability to distinguish self from non-self, detect danger signals on malignant cells, and rapidly eliminate these cells. Compared to CAR-T therapy, NK cell-based immunotherapy offers significant safety advantages, positioning it as the next potential “breakthrough” in cancer immunotherapy. However, NK cell therapy also faces considerable challenges, including the safety of *in vitro* expansion techniques, limited persistence *in vivo*, and the immunosuppressive effects of the tumor microenvironment, all of which require further investigation. The continuous development of strategies such as cytokine modulation, genetic engineering, and combination therapies is expected to accelerate the clinical translation of NK cell-based treatments, ultimately improving the quality of life and

survival outcomes for cancer patients. Overall, NK cell therapy holds great promise for the future.

## Author contributions

MC: Conceptualization, Writing – original draft, Writing – review & editing. BZ: Methodology, Writing – review & editing. XM: Data curation, Writing – review & editing. BgqZ: Formal analysis, Writing – review & editing. TY: Methodology, Writing – review & editing. GZ: Data curation, Writing – review & editing. YG: Formal analysis, Writing – review & editing. BP: Project administration, Writing – review & editing. SL: Conceptualization, Writing – original draft, Writing – review & editing.

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## Conflict of interest

Authors MC, BZ, and SL were employed by the company Qingdao Restore Biotechnology Co., Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## Generative AI statement

The author(s) declare that no Generative AI was used in the creation of this manuscript.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2025.1595533/full#supplementary-material>

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