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# Rheumatic autoimmune disease in relation to post-traumatic stress disease and traumatic brain injury

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**Background:** Post traumatic stress disorder (PTSD) is associated with traumatic brain injury (TBI) and autoimmune disease, including systemic lupus erythematosus (SLE). We sought to determine whether the association was related to only PTSD or the combination of PTSD and TBI.

**Methods:** We studied rheumatic disease autoantibodies in a cohort of 40 patients, 20 of whom had TBI without PTSD and 20 had both PTSD and TBI. None had diagnosed rheumatic autoimmune disease. We also examined a cohort of 229 TBI patients, of whom 60% had PTSD, for diagnosis of rheumatic autoimmune disease.

**Results:** Among the 20 subjects with PTSD and TBI, 8 had autoantibodies [1 each with anti-Ro (or SSA), anti-RNP and anti-RNP plus anti-dsDNA, the remainder with a positive ANA]. Only 1 of 20 subjects with TBI alone had autoantibodies (p = 0.0088 by Fisher's Exact test). In the cohort of 229 subjects, there were 92 with TBI but no PTSD, of whom 4 (4.3%) had a diagnosed rheumatic autoimmune disease. Of the 137 with TBI and PTSD, 17 (13.3%) had an autoimmune rheumatic disease (p = 0.02 by Fisher's exact test).

**Conclusion:** We found more autoantibodies in the sera of patients with TBI and PTSD than in TBI alone. In addition, we found a 3-fold increased prevalence of autoimmune rheumatic disease in patients with TBI and PTSD compared to those with TBI alone. TBI is strongly associated with PTSD but we conclude that TBI does not contribute to the increased risk of autoimmune disease in PTSD.

#### KEYWORDS

PTSD, systemic lupus erythematosus, autoimmune disease, autoantibodies, rheumatoid arthritis

# Introduction

Post-traumatic stress disorder (PTSD) is manifested by nightmares, intrusive memories, hypervigilance and emotional withdrawal (1). In the past, involvement in an extraordinary traumatic event, one "outside the normal range of human experience", according to the Diagnostic and Statistical Manual (DSM) III), was considered

necessary but this is no longer the case as it is now recognized that more routine human experiences can lead to PTSD.

The pathophysiology of PTSD is incompletely understood. There are distinct patterns of neurocircuitry in the frontal-limbic system but these findings are among those who have PTSD. Thus, cause and effect is not established (2, 3). There is also genetic susceptibility to PTSD (4, 5) as well as epigenetic changes (6, 7). A portion of the genetics of PTSD lies in genes involved in neuroinflammation, including the pituitary-adrenal axis (4). Several abnormalities of the pituitary-adrenal axis and the immune system have been found in PTSD including increased negative feedback resulting in low basal serum cortisol, blunted response to ACTH, and abnormal cortisol circadian rhythm (8, 9).

There are many conditions associated with PTSD, including autoimmune disease and traumatic brain injury with up to twothirds of combat veterans with PTSD also having TBI (10, 11). Autoimmune diseases are several-fold more common among US military veterans with PTSD than among those without PTSD. Autoimmune thyroid disease, systemic lupus erythematosus, inflammatory bowel disease, rheumatoid arthritis and multiple sclerosis have been found in excess in PTSD cohorts. One study of SLE confirmed the diagnosis of this disease with review of medical records (12), while another study of all patients seeking care within the Department of Veterans Affairs found similar results for SLE and the other diseases mentioned by use of diagnostic codes (13). Of interest in regard to autoimmune disease, both Th1 and Th17 cells as well as microRNA 125a, which regulates interferon, are increased in the peripheral blood of those with PTSD (14). In addition, increased expression of interferon-regulated genes prior to combat exposure is a risk factor for development of PTSD (15). Many of these same interferon-regulated genes are also over-expressed in the peripheral blood cells of patients with autoimmune disease (16). Recent reviews have highlighted these autoimmune associations of PTSD and the potential pathophysiological mechanisms that might underlie such connections, as well as called for more research into these observations (9, 17, 18).

Given that those with PTSD are likely to have TBI, we undertook this study to determine whether the association of PTSD and autoimmune disease was related to the presence of TBI.

# Methods

#### Subjects

We studied serum for autoantibodies from a cohort recruited from the Oklahoma City VA Medical Center TBI Clinic, for which one of us (CP) serves as attending physician. Forty consecutive subjects attending the TBI clinic, who agreed to participate, with and without PTSD were studied. All subjects served in Afghanistan and saw combat duty within the last 10 years prior to recruitment to the study. All 40 had a history of a combat-related closed head injury and 20 of these also had a diagnosis of PTSD associated with their combat deployment. Review of medical records confirmed that the diagnostic criteria for PTSD were met in each subject. None had a diagnosed autoimmune rheumatic illness. The average age was 37.4 years with a range of 27–54. There were 39 men and 1 woman. There were 28 non-Hispanic White, 9 Black, 2 Hispanics White and 1 Pacific Islander American in the cohort. We administered Beck Depression index-II (BDI) and the Montreal Cognitive Assessment (MOCA) at a routine clinic visit.

We screened a larger group from this same clinic for a recorded diagnosis of a rheumatic, autoimmune illness as well as other medical conditions from the electronic medical record. These subjects have been previously enrolled in a study of vascular disease in TBI, which is conducted by one of us (CP), and constitute a random sample of approximately 10% from the TBI Clinic at the Oklahoma City VAMC. The medical records of each individual with such a diagnosis were reviewed to determine whether research classification or diagnostic criteria were met for autoimmune rheumatic diseases. For this study we examined the VA medical records of each subject, including both local Computerized Patient Record System (CPRS) data and Joint Legacy View (JVL) data from the nationwide VA medical system.

### Antibodies

We determined serum autoantibodies using the BioPlex2200 (Bio-Rad, Hercules, California, USA). This simultaneous bead assay determines autoantibodies to the following autoantigens: dsDNA, chromatin, ribosomal P, Ro/SSA, La/SSB, centromere B, Smith (Sm), SmRNP, RNP, Scl70 (topoisomerase I), and Jo-1 (histidyl-transfer-RNA synthetase). We determined antinuclear antibodies (ANA) by indirect immunofluorescence using Hep-2 cells (BioRad, Hercules, California, USA).

# **Statistics**

We compared categorical variables using the Chi square analysis or Fisher's Exact test, as appropriate, with calculation of the odds ratio and 95% confidence intervals. A p value <0.05 was considered significant.

#### Ethics

This study was approved by the University of Oklahoma Health Sciences Center Institutional Review Board (IRB), which is the IRB of record for the Oklahoma City VAMC, as well as the Oklahoma City VA Research & Development Committee.

## Results

## Autoantibodies in PTSD/TBI and TBI alone

We studied sera from the 40 subjects with diagnosed autoimmune disease using the BioPlex2200 assay. Three of the

PTSD/TBI patients had rheumatic disease related autoantibodies. One had anti-Ro in high titer, one had high titer anti-RNP, and a third patient had high titer anti-RNP and intermediate titer anti-dsDNA. This result (3 of 20 vs. 0 of 20) does not quite reach statistical significance but does show a trend (p = 0.11) by Fisher's exact test) when comparing TBI alone vs. PTSD/TBI. We also tested for anti-nuclear antibody (ANA) by indirect immunofluorescence using a screening serum dilution of 1:40. There were an additional 6 positive sera for ANA, 5 among the PTSD/TBI group and 1 among the 20 TBI only group. Thus, in total among the 20 subjects with PTSD and TBI, 8 had autoantibodies [1 each with anti-Ro (or SSA), anti-RNP and anti-RNP plus anti-dsDNA, the remainder with a positive ANA], while among those with TBI alone only one had autoantibodies (8 of 20 vs. 1 of 20, p = 0.0088 by Fisher's Exact test). Thus, autoantibodies were found in excess among those with both PTSD and TBI compared to those with only TBI.

## Clinical rheumatic autoimmune disease

Among the 229 TBI subjects, 223 (93%) were men. The average age was  $37.7 \pm 8.4\%$  and 74% were non-Hispanic White Americans. 137 (60%) had PTSD. There was no statistical difference in age, race/ ethnicity, or sex distribution between those with and without PTSD. Headache was common (Table 1). Other medical conditions and the most common medications taken are given in Table 1.

We evaluated the co-morbid conditions among this 229-member cohort of patients with TBI. The subjects were relatively healthy with only 3% having type 2 diabetes mellitus, and a little more than 25% had hypertension. About 10% had moderate depression and 5% had severe by Beck Depression Index (BDI). Examining the Montreal Cognitive Assessment

TABLE 1 Baseline characteristics of the 229 subjects with mild traumatic brain injury.

Characteristics		
Age, mean ± SD	$37.7 \pm 8.4$	
Men	213 (93%)	
Non-Hispanic White	170 (74%)	
Other race/ethnicity	59 (26%)	
MoCA, mean $\pm$ SD ( $n = 227$ )	$27.3 \pm 2.1$	
BDI-II, mean $\pm$ SD ( $n = 226$ )	8.1 ± 4.3	
PTSD	137 (60%)	
Other conditions		
Headache	197 (86%)	
Hypertension	(5 (200())	
riypertension	65 (28%)	
Type 2 diabetes	7 (3%)	
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Type 2 diabetes	. , ,	
Type 2 diabetes Medications	7 (3%)	

We studied a portion of these subjects for autoantibodies. 137 (60%) have PTSD.

Data are no. (%) of participants, unless otherwise indicated.

SD, standard deviation; MoCA, montreal cognitive assessment; BDI-II, beck depression inventory-II; PTSD, post-traumatic stress disorder; HMG Co-ARI, 3-hydroxy-3-methylglutaryl-CoA reductase inhibitor; SSRI, serotonin selective reuptake inhibitor.

TABLE 2 Autoimmune and psychiatric disease in the TBI cohort (n = 229).

Condition	PTSD ( <i>n</i> = 137)	No PTSD ( <i>n</i> = 92)
RA	11 (8%)	2 (2.1%)
Polymyositis	3 (2.1%)	1 (1.1%)
Undifferentiated CTD	1 (0.07%)	1 (1.1%)
SLE	1 (0.07%)	0 (0%)
Primary APS	1 (0.07%)	0 (0%)
Any rheumatic AID	17 (12.5%)	4 (4.3%) $p = 0.02^{a}$
Hypothyroidism	5 (3.6%)	5 (5.4%)
Hyperthyroidism	1 (0.07%)	1 (1.1%)
Personality disorder	4 (2.9%)	4 (4.3%)
Fibromyalgia	9 (6.5%)	1 (1.1%)

TBI, traumatic brain injury; PTSD, post-traumatic stress disorder; RA, rheumatoid arthritis; CTD, connective tissue disease; SLE, systemic lupus erythematosus; APS, antiphospholipid syndrome; AID, autoimmune rheumatic disease.

<sup>a</sup>Fisher's exact test.

(MoCA), we found no subject had dementia with 40 of 229 having mild cognitive impairment (Table 1). There was no association of ANA or autoimmune rheumatic disease with the commonly taken medications, cognitive impairment or the mentioned diseases.

Twenty-one of 229 (14%) had a diagnosed autoimmune disease and 17 of these 33 (81%) had PTSD/TBI. Rheumatoid arthritis was over-represented among those with PTSD by 4-fold compared to the population prevalence of this disease (Table 2). The difference in myositis was also of interest but the numbers were low. Thus, when autoimmune rheumatic diseases were considered together, among those with TBI but no PTSD only 4 of 92 (4.3%) had an autoimmune disease, compared to 17 of 137 (12.41%) of those with both TBI and PTSD (p = 0.02 by Fisher's exact test, odds ratio 3.4, 95% CI: 1.1–10.5).

# Discussion

The epidemiology of PTSD has been well studied, including among those who have served in the US Armed Services. Ten years after exposure to combat in Vietnam, 28% of veterans had continuing PTSD; and in long term follow up of almost 40 years, 11% of Vietnam veterans were still experiencing PTSD (19). Similar data are available from veterans of the Iraq and Afghanistan conflicts with up to 13% of combat-exposed personnel having PTSD (20, 21) with risk of PTSD directly related to degree of combat exposure (21). Among the individuals in this latest group, the prevalence of PTSD among veterans with mild traumatic brain injury is particularly high, reaching 32%-66% (10). The original definition of PTSD included exposure to trauma "outside the range of normal human experience" (quoting DSM III). Subsequently, data indicated that a significant portion of individuals suffer trauma as civilians and that PTSD is not uncommon in the general population, although the majority of persons suffering severe trauma do not develop PTSD. Lifetime risk of PTSD was found to be about 1% in one international study (22). Women are at higher risk of PTSD than men (23).

We found a 3-fold increased prevalence of rheumatic autoimmune disease among subjects with TBI and PTSD compared to those with TBI alone. This increased risk is similar to what has been reported in studies of PTSD (12, 13). In addition, among a well characterized group of subjects with TBI, of whom 60% had PTSD, we found autoantibodies more commonly among those with both PTSD and TBI, compared to those with only TBI. Thus, we conclude that TBI does not make a contribution to the risk of autoimmunity or autoimmune disease in PTSD.

Immune system abnormalities have been found among PTSD patients. This includes low basal cortisol with abnormal circadian rhythm (24) as well as elevated levels of catecholamines (25), at least in part due to an increased negative feedback loop of the hypothalamic-pituitary-adrenal axis [for comprehensive review see (8)]. Decreased glucocorticoid signaling is a pre-existing state in those who go on to develop PTSD (26, 27). In PTSD animal models, there are sex differences in the decrement of glucocorticoid signaling (28). Evidence of immune activation is found in PTSD with elevated pro-inflammatory cytokines in the cerebral spinal fluid and the peripheral blood (29-32). In a prospective study of peripheral blood cell gene expression in 188 US Marines, Breen and colleagues found over-expression of innate immunity and interferon signaling genes in those destined to develop PTSD. The findings were confirmed in an independent cohort of 96 US Marines (15). That is, development of PTSD is associated with abnormal regulation of immune genes with an interferon signature present, similar to that found in autoimmune diseases such as systemic lupus erythematosus, Sjögren's disease, and systemic sclerosis (33-35), while interferon-regulated genes are also abnormally but distinctly expressed compared to those with multiple sclerosis (36). Genome-wide association studies of PTSD show genetic association with several genes whose protein products are involved in the immune system. In addition, there are HLA genetic associations to PTSD (37-40). Thus, subjects at risk of PTSD harbor immune abnormalities that may pre-dispose to autoimmune disease.

As we have found and as previously reported, autoimmune disease is found among those with PTSD (12, 13). A study of the immune system of PTSD subjects informs these clinical studies associating autoimmune disease with PTSD as well as the studies of PTSD immune function cited above. Zhou and colleagues (14) studied peripheral blood mononuclear cells in PTSD and matched control subjects. Both Th1 and Th17T helper cells were increased in number and percentage. In addition, there were increased levels of not only IL-17 but also interferon-gamma. MicroRNA-125a was down regulated, consistent with its role in regulating interferon (14). T regulatory cells are also abnormal in PTSD patients (41). The innate immune system (42, 43) as well as Th17T cells and IL-17 (44) are critical to the pathogenesis of autoimmune disease. Thus, these changes in lymphocytes and serum cytokines are congruent with the increased risk of autoimmune disease that has been reported.

On the other hand, stress may predispose to autoimmune disease. For example, adverse childhood events are associated

with autoimmune disease in adulthood (45). A recent study of 2 large nationwide databases showed self-reported adverse childhood events were associated with a prevalence ratio of 1.24 for Sjögren's disease, 1.14 for rheumatoid arthritis, and 1.13 for systemic lupus erythematosus (46). Stress in early life is associated with altered hypothalamic-pituitary-adrenal signaling (47), However, PTSD is associated with a blunted cortisol response to ACTH (9), while early childhood stress has been reported to increase signaling through this axis (48). Long-term studies showed that early life stress was associated with markers of chronic inflammation in adulthood (49, 50). Early life trauma induces epigenetic modification, which might influence risk of immune disease in adulthood (51, 52). Animal data also support the link of early life stress to future autoimmune disease (53, 54). Thus, psychological and emotional stress, especially in early life may give increased risk of autoimmune disease.

Some of the TBI and PTSD patients had autoantibodies in their sera without clinical disease. In fact, autoantibodies appear in the sera long before clinical autoimmune disease. Using the US Armed Forces Sera Repository, we previously showed a crescendo of autoantibody appearance in the serum of future SLE patients that peaked just at diagnosis. ANA, anti-Ro and anti-La were present many years, even decades, in advance of disease while anti-RNP, anti-Sm and anti-dsDNA made their appearance closer to onset of clinical disease (55). For diseases more common than SLE, such as rheumatoid arthritis or Sjögren's disease, investigators have utilized stored serum from blood donors to study autoantibodies prior to disease onset (56, 57). Studies of family members show similar results (58), as do population-based studies (59). In fact, for every autoimmune disease thus far studied, autoantibodies precede disease (60, 61). Thus, long term follow-up of TBI/PTSD patients with autoantibodies may demonstrate new onset autoimmune disease.

The present work is limited in that we studied only rheumatic autoimmune disease, while organ specific autoimmune disease (multiple sclerosis and autoimmune thyroid disease) has been associated with PTSD. In addition, we used coded diagnoses, but did review medical records to determine whether patients met the research classification criteria for the respective diseases. A study using coded diagnoses that associated autoimmune disease with PTSD (13) was confirmed by a second study in which diagnosis was confirmed by medical record review, at least for SLE (12), which might be the most troublesome disease in regards to miscoding/misdiagnosis. Fibromyalgia was in excess among those with PTSD, but this excess was found among patients with a diagnosed rheumatic disease seen by a rheumatologist. Not only are those with RA, SLE, APS, PM more likely to have fibromyalgia, we suspect rheumatologists are more likely to diagnose the condition compared to other practitioners.

In summary, TBI and PTSD are strongly associated among combat veterans. With a background of TBI, we find autoimmune rheumatic disease is associated with PTSD not TBI. These data provide further evidence that autoimmune disease and PSTD are linked, perhaps by common predisposing mechanisms. However, the cause-and-effect direction cannot be ascertained by the presently available data. That is, PTSD may induce immune changes that increase risk of autoimmune disease, or both PTSD and autoimmune disease may have underlying immune abnormalities in common.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## Ethics statement

The studies involving humans were approved by University of Oklahoma Health Sciences Center. The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

# Author contributions

RS: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Project administration, Resources, Supervision, Writing – original draft, Writing – review & editing. SL: Data curation, Investigation, Writing – review & editing. BK: Data curation, Formal analysis, Investigation, Methodology, Supervision, Writing – review & editing. TG: Data curation, Investigation, Methodology, Writing – review & editing. KS: Data curation, Investigation, Writing – review & editing. CP: Conceptualization, Data curation, Investigation, Supervision,

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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