



## Crowdsourcing Modern and Historical Data Identifies Sperm Whale (*Physeter macrocephalus*) Habitat Offshore of South-Western Australia

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The distribution and use of pelagic habitat by sperm whales (Physeter macrocephalus)

is poorly understood in the south-eastern Indian Ocean off Western Australia, However, a variety of data are available via online portals where records of historical expeditions, commercial whaling operations, and modern scientific research voyages can now be accessed. Crowdsourcing these online data allows collation of presence-only information of animals and provides a valuable tool to help augment areas of low research effort. Four data sources were examined, the primary one being the Voyage of the Odyssey expedition, a 5-year global study of sperm whales and ocean pollution. From December 2001 to May 2002, acoustic surveys were conducted along 5200 nautical miles of transects off Western Australia including the Perth Canyon and historical whaling grounds off Albany; 60 tissue biopsy samples were also collected. To augment areas not surveyed by the RV Odyssey, historical Yankee whaling data (1712-1920), commercial whaling data (1904–1999), and citizen science reports of sperm whale sightings (1990–2003) were used. Using Maxent, a species distribution modeling tool, we found that the submarine canyons off Albany and Perth provide important habitat for sperm whales. Current technology, along with current understanding of sperm whale bioacoustics and habitat preferences, provides strong motivation for undertaking long-term passive acoustic studies that can monitor the sperm whale population within Australia's EEZ waters (Perth and Albany canyons) as a way of informing future marine management and policy decisions.

Keywords: sperm whales, *Physeter macrocephalus*, Voyage of the *Odyssey*, bioacoustics, species distribution model, Maxent, crowdsourcing, south-western Australia

## INTRODUCTION

Sperm whales (*Physeter macrocephalus*) inhabit and forage in deep offshore areas of the world's oceans and were hunted extensively across all oceans for two centuries (Whitehead, 2002). Off the Western Australian coast, two major historical phases of sperm whaling occurred—the open boat hunt conducted under sail by whalers from the United States, Britain, France and Germany

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(1712–1920) (Bannister et al., 2008), and the commercial, mechanized hunt (1904–1999), primarly off the continental shelf near Albany and in the New Holland grounds off Carnarvon (**Figure 1**; Townsend, 1935; Chittleborough, 1956; Bannister, 1968; Bannister et al., 1996; Whitehead, 2003).

Offshore distribution of sperm whales and their habitat use worldwide are poorly understood (Whitehead, 2003) and little data exist from Western Australian waters on their abundance and distribution. In the southern hemisphere, females, calves, and young male sperm whales inhabit warmer waters north of 45°S, while adult males travel to and from the colder waters of Antarctica to mate with females. When mature, males then return to the tropics where they encounter and mate with groups of females (Whitehead and Weilgart, 2000).

Currently, sperm whales are listed as "vulnerable" under the World Conservation Union (IUCN) Red List of endangered species (Taylor et al., 2008). This is largely because of the whalers' preference for males owing to their larger body size. The depletion of males in the population off south-western Australia shows this clearly. It has also resulted in a lack of information on possible recovery since then (Bannister et al., 1996; Whitehead, 2002; Carroll et al., 2014).

Critical habitat for cetaceans, such as sperm whales, is those parts of their range that are essential for day-to-day survival as well as maintaining a healthy population growth rate. Such areas are regularly used for feeding, breeding, raising calves and, sometimes as migration routes (Hoyt, 2005).

Globally, typical sperm whale foraging habitat is in water over 400 m deep along continental slopes and ridges including areas of high bottom relief (Jaquet and Whitehead, 1996; Hooker et al., 1999; Pirotta et al., 2011), coastal upwelling of cold, nutrientrich waters (Rendell et al., 2004), thermal fronts (Griffin, 1999) and areas of high primary productivity (Jaquet and Whitehead, 1996). These features lead to concentrations of prey for sperm whales, mainly meso- and bathypelagic squid (Hooker and Gerber, 2004), though fish are important in their diet in some regions (Clarke, 1996; Whitehead, 2003). In southern Australia, there is evidence that the diet of sperm whales is dominated by oceanic, subtropical cephalopod species (Evans and Hindell, 2004).

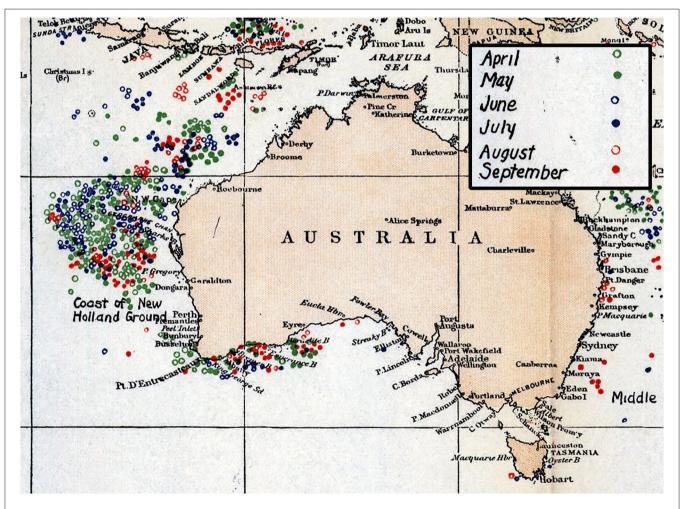


FIGURE 1 | Charts compiled by Charles Townsend details sperm whale kills off Western Australia during Yankee whaling period in months of April–September 1761–1920 (Townsend, 1935).

The submarine canyons (steep-sided valleys on the continental slope) off south-western Australia have been identified as a key ecological feature as they are linked to localized, periodic upwellings that enhance productivity and attract aggregations of marine life including cetaceans (Rennie et al., 2009). Their higher productivity leads to marine megafauna often inhabiting and/or feeding in them, thereby making them important areas to consider in systematic conservation planning (Hooker et al., 1999; Hooker and Gerber, 2004; Moors-murphy, 2014).

Sperm whales are highly acoustic animals that use echolocation, a process whereby they emit powerful, regular, highly directional clicks of frequencies of 8–26 kHz almost continuously to navigate and find prey (Jaquet et al., 2001; Madsen et al., 2002; Wahlberg, 2002; Møhl et al., 2003). On average, they spend more than 72% of their time in foraging dive cycles with durations that can last up to an hour to depths averaging 400–1200 m and up to 2000 m (Watwood et al., 2006). Sperm whales can maintain and regulate their acoustic output, and the sound-generating mechanism has a bimodal function that allows for the production of clicks suited for biosonar, and clicks more suited for communication (Madsen et al., 2002), an indication of social structure (Whitehead, 2003; Rendell and Whitehead, 2004) across generations (Gero et al., 2015).

Passive acoustic monitoring can be used to identify "presence" and abundance of cetaceans for both scientific research and mitigation activities (Mellinger and Barlow, 2003; Cato et al., 2005; Barlow and Gisiner, 2006; Zimmer et al., 2008; Gavrilov et al., 2011). In particular, sperm whales can be located and tracked using both single hydrophone and acoustic arrays towed behind a boat to listen for their echolocation clicks during foraging dives (Jaquet et al., 1996; Gordon et al., 2000; Barlow and Taylor, 2005; Lewis et al., 2007; Pirotta et al., 2011).

Species distribution models can provide quantitative predictions of geographic distributions and have been used to model historical whaling data (Gregr and Trites, 2001; Elith et al., 2006; Torres et al., 2013). Knowing where the animals are, what characteristics influence their choice of habitat, and how this choice changes with time, is important in understanding the ecology of any species as are: identification of critical habitat, assessment of any overlap with human activities and, ultimately, guiding appropriate conservation and management efforts (Redfern et al., 2006). Species distribution models can be used for conservation, policy and planning as they are useful for predicting, based on environmental and physical variables, where species are likely to occur in areas that have poor or no survey effort.

Presence-only records of animal sightings from sources such as museum collections or online databases are becoming increasingly available and provide valuable resources for modeling efforts (Graham et al., 2004; Pearce and Boyce, 2006; Elith et al., 2011). Maximum entropy modeling (Maxent) is a presence-only modeling technique that has been applied to ecological studies (Phillips et al., 2006; Phillips and Dudík, 2008; Elith et al., 2011) and cetacean studies (Edrén et al., 2010; Thorne et al., 2012; Smith, J. et al., 2012). We found Maxent software suitable for this study as it can model "presenceonly" data of various sample sizes, incorporate interactions among environmental variables, account for spatial bias in the presence data, and identify areas that fall beyond the range of environmental conditions (Phillips et al., 2006).

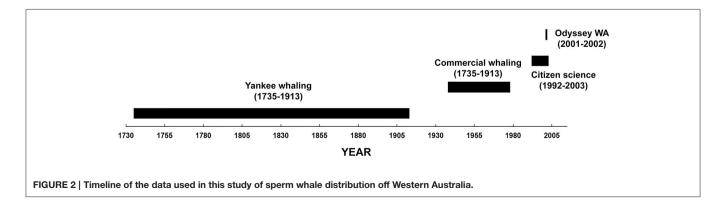
The primary objective of this study was to examine datasets from the RV *Odyssey*, including biopsy locations and acoustic monitoring, to ascertain the locations of sperm whales off Western Australia. Secondly, we set out to collate these data with historical "presence-only" datasets of Yankee and commercial whaling activities and other data from online portals in order to estimate the abundance and distribution of sperm whales in the south-eastern Indian Ocean. A final objective was to identify critical habitat for sperm whales in the region using Maxent for predictive species distribution modeling.

#### METHODS

The study area was in the south-east Indian Ocean offshore of Western Australia  $(20^{\circ}-38^{\circ}S \text{ and } 100^{\circ}-128^{\circ}E)$ . Four datasets of sperm whale "presence" from 1735 to 2003 were examined (**Figure 2**).

#### Voyage of the Odyssey (2001–2002)

The primary dataset in this study is from the Voyage of the *Odyssey*—a 5-year, global, scientific expedition studying sperm whales and ocean pollution (Bohannon, 2004). From 2000 to 2005, the research vessel *Odyssey*, a 28 m motor-sailing ketch equipped for open ocean cetacean research, collected tissue



biopsies from sperm whales throughout the Pacific, Indian, and Atlantic Oceans and Mediterranean Sea (Wise et al., 2009; Savery et al., 2013a,b, 2014). Although primarily a toxicology voyage, other opportunistic research was conducted and included sightings (Anderson et al., 2006; Clark et al., 2012; De Vos et al., 2012), acoustic studies on sperm whales and other odontocetes (Madsen et al., 2002, 2004) and genetics (Alexander et al., 2016). From December 2001 to May 2002, the RV Odyssey surveyed along 5200 km of ocean transects off the Perth Canyon, Albany Canyon, and offshore in the traditional Yankee whaling area (New Holland Grounds) when in transit to the Cocos Keeling Islands. The primary aim was to locate and track sperm whales using acoustic methods while they vocalized at depth, and, when they returned to the surface, obtain a tissue biopsy to analyse for chemical pollutants (Wise et al., 2009; Savery et al., 2013a,b, 2014).

The vessel crew maintained an acoustic watch 24 h per day when underway. Acoustic detections of marine mammals were made using a 100 m long hydrophone array, consisting of two Benthos AQ4 hydrophone units with Benthos AQ201 pre-amplifiers 2 m apart. Effective listening range was 1-25 km depending on weather conditions, species vocalizing, and whether the vessel was motoring or sailing (Clark et al., 2012; De Vos et al., 2012). The output signal was connected to headphones and a pair of stereo speakers located in the pilothouse. While the vessel was underway, the helmsperson continuously monitored sounds from the array. When not actively tracking or taking biopsies of sperm whales, listening stations using headphones were conducted every half hour. An acoustic stop was made on the top of the hour with the engine turned off for 5 min and then 30 min later, the vessel was brought to a speed of less than one knot for a minimum of 5 min with the autopilot engaged. Acoustic contacts, or detections, with marine mammals were entered in Logger 2000 v 2.05, a computerized database in Microsoft Access 2000 format developed by the International Fund for Animal Welfare (IFAW). The "strength" of "presence" (vocalization) was recorded on a scale of 1-5; 1 was very loud and 5 was listed as no contact.

Incoming acoustic data from the array were automatically scanned and processed in real time by the IFAW software Rainbow Click v. 1.03. Sperm whale echolocation clicks were recorded as a detection (or "presence") using different algorithms depending on background noise levels. The "automated detections" were recorded every 4 min in Logger 2000 along with the GPS location of the vessel every 10–30 s. Once sperm whales were detected, the helmsperson maneuvered the vessel to determine the bearing of vocalizing animals relative to it. The stereo signal was analyzed in Rainbow Click using time of arrival differences between the same clicks on the two channels to estimate a bearing to each click source (Clark et al., 2012; De Vos et al., 2012).

A visual watch was maintained during daylight hours ( $\sim$ 0600–2000) from an observation platform located 4.6 m above water level, with a maximum sighting distance to the horizon of 7.5 km. One or two observers surveyed the area 180° forward of the vessel to the horizon by naked eye and with 7 × 40

binoculars (Clark et al., 2012; De Vos et al., 2012). Observers recorded all marine mammal sightings into Logger 2000 with information on geographic position (from GPS), water depth, species identification, estimated number of animals, behavior, bearing and distance to the vessel. Every 30 min, while searching for animals, environmental data were recorded including sea surface temperature and weather conditions relating to sighting ability (sea state, swell, and meteorological conditions). Visual effort was suspended during times of heavy rain and sea state over Beaufort 6. Animals sighted within 2 km of the vessel's track were approached to obtain species identification, photographs and to estimate group size (Clark et al., 2012; De Vos et al., 2012).

Sperm whales that were observed to be less than half the length of an accompanying animal were classified as calves; animals more than half the length of an accompanying adult, but less than full size, were classified as immature animals; and mature males were determined by their large size (estimated at >12 m; Clark et al., 2012; De Vos et al., 2012). Sexually mature female sperm whales were classified by the presence of callosities on their dorsal fin (Kasuya and Ohsumi, 1966; Whitehead and Gordon, 1986). Biopsies were collected from free-ranging sperm whales with standard methods using a crossbow and a 50 mm stainless steel cylindrical biopsy dart with a floatation tip (Wise et al., 2009). Sample processing, storage, shipping and genetic analysis are explained in full in related toxicology studies (Wise et al., 2009; Savery et al., 2013a,b, 2014).

## Dataset Sources and Characteristics (1761–2003)

To examine areas in the study region where the RV *Odyssey* did not survey, three datasets were sourced from online portals, also referred to as "crowdsourcing," to augment sperm whale "presence" data. These data are available as downloadable GIS layers that display presence data from museum collections, citizen scientist programs, and "platforms of opportunity" (Williams et al., 2006; **Table 1**). They include location of a sighting from a ship, a biopsy sample taken from an animal, a location where an animal was heard using underwater hydrophones, or the location of a "take" or kill recorded during whaling operations in the study area (Torres et al., 2013).

#### **Data Processing**

ArcGIS 10 (ESRI) was used to process, visualize, and analyse geographical and temporal data stored in multiple formats. These included position of the research vessel, presence/absence data, acoustic stations and locations, and sex from biopsy samples taken. The data were plotted to display "presence" of historical whaling "takes" and sightings records. All map layers were converted to the WGS 1984 Zone 50S Transverse Mercator projection. A SST layer was generated for the RV *Odyssey* study period (December 2001–May 2002). Using the Cell Statistic plugin in ArcGIS, the average SST was calculated for the 6 months the RV *Odyssey* conducted research off Western Australia.

Data of historical whaling and sightings were plotted per season: austral summer (December–February), autumn (March–May), winter (June–August), and spring (September– November). Topographic information, including slope and

#### TABLE 1 | Crowdsourced datasets obtained for the study area.

Dataset	Туре	Characteristics/Content	Format	Source
Yankee Whaling: Townsend Charts	Presence	The position of a whaling ship and the locations and months where 36,908 sperm whale were caught and killed from 1735 to 1913 around the globe (Smith, T. D. et al., 2012).	ESRI shapefiles	Digitally captured by <i>The Wildlife Conservation</i> <i>Society</i> (WCS) in 2002. (Townsend, 1935)
				Source: The Ocean Biogeographic Information System Spatial Ecological Analysis of Megavertebrate Populations (OBIS-SEAMAP) (Halpin et al., 2006, 2009; Best et al., 2007) http://seamap.env.duke.edu/
Citizen Science: Australian National Whale and Dolphin Sightings and Strandings Database.	Presence	The location and time where sperm whales were sighted from 1996 to 2003 in work managed by the Australian Antarctic Data Centre.	ESRI shapefiles	Source: OBIS-SEAMAP http://seamap.env.duke.edu/
International Whaling Commission (IWC) catch data for the southern hemisphere	Presence	Date of catch (presence), location, gender of the animal and country of whaling ship from 1936 to 1978 including whaling operations of Australia, Japan, USSR, and USA.	.csv format	Source: National Marine Mammal Data Portal hosted by the Australian Marine Mammal Centre in Hobart, Australia https://data.aad.gov.au/aadc/whales/ IWC individual catch database y. 5.0
Bathymetry	Environmental	Bathymetric data (depth)	Raster	Australian Bathymetry and Topography Grid DVD (Whiteway, 2009)
Sea Surface Temperature (SST)	Environmental	2002 average monthly sea surface temperature (SST) data for the study area.	Raster	Marine Geospatial Tools plugin (MGET v. 8a48 Roberts et al., 2010)
				NOAA Advanced Very High Resolution Radiometer (AVHRR) Pathfinder version 5 data set at 4.8 km resolution
Surface chlorophyll a	Environmental	Surface chlorophyll <i>a</i> data for the study area for 2002.	Raster	Marine Geospatial Tools plugin (MGET v. 8a48)
				NASA Ocean Color L3 SMI product (Terra 4 km) for 2002

aspect, were derived from bathymetric data as individual values and applied to new raster layers. Additional software extensions including Geospatial Modeling Environment (GME v. 0.7.2.0 http://www.spatialecology.com/gme) based on the statistical package R (v. 2.15.3 http://www.r-project.org/) and XTools Pro (v.9.1 http://www.xtoolspro.com/) were used for creation of grid cells, and exporting data from shape files to.csv files.

Grid cell size was a consideration in how all data were plotted in ArcGIS and Maxent. To deal with the location bias in the Townsend Yankee whaling data where the mean vessel location error was estimated to be  $0.22^{\circ}$  latitude (about 24 km) and  $0.54^{\circ}$ longitude (about 34 km) (Smith, T. D. et al., 2012; Torres et al., 2013) point and kernel density distributions of historical data were computed in 15 nautical mile grid cells over the study area using the Spatial Analyst tool.

The Spatial Analyst tool was used to calculate and create layers including Euclidean distance to the -200, -1000, and -2000 m isobaths. In order to create layers of depth, slope, aspect, SST, and chlorophyll *a*, grid cells and centroid points were created using the Xtools Pro and values were extracted points in ArcGIS. Spatial data from all thematic layers were exported to ASCII format for use as environmental layers by Maxent.

# Sperm Whale Distribution Modeling Using Maxent

Along with density plots of past distribution, maximum entropy modeling using the software Maxent (version 3.3.3 k http://www.cs.princeton.edu/~schapire/maxent/), was used to provide predictions of sperm whale occurrence based on environmental and physical variables in the study area. The general approach of Maxent is to create a probability distribution for a species by contrasting occurrence data with background data (pseudo-absences) rather than true absence data (Smith, J. et al., 2012). Maxent employs a maximum likelihood method that models distributions of species by generating a probability distribution over the pixels in a grid of the study area subject to a set of constraints derived from measurements of assumed suitable habitat values at species presence locations (Thorne et al., 2012). The output of Maxent is a probability distribution of environmental suitability for a species, where higher values correspond to a prediction of better conditions and higher probability of occurrence (Phillips et al., 2006; Phillips and Dudík, 2008; Elith et al., 2011).

The species distribution model was created using a variety of sperm whale "presence" location data over two seasons, summer (December–February) and autumn (March–May) to correspond with the entire effort of the RV *Odyssey* research survey. These included all RV *Odyssey* acoustic stations and biopsy data, a random selection of IWC commercial whaling data, Yankee whaling data and citizen science data. In Maxent, 25% of the data were randomly drawn from the dataset for model training over 20 replicate subsample type runs over a maximum of 5000 iterations. Several models were tested in Maxent using a combination of datasets (RV *Odyssey* only, RV *Odyssey* and IWC, RV *Odyssey* and citizen science, and RV *Odyssey* with all samples) with and without bias files for testing purposes as this is known to influence model output (Phillips et al., 2009).

Maxent provided both threshold-dependent and thresholdindependent measures of model outputs using the area under the curve (AUC) of the receiver operator characteristic (ROC), which evaluates how well model predictions discriminate between locations where observations are present and random background data (pseudo-absence points; Thorne et al., 2012). Response curves of the environmental variables were conducted and a jack-knife test was undertaken to evaluate the relative contributions of each environmental variable to the model (Smith, J. et al., 2012). The AUC is one of the most widely used threshold-independent evaluators of model discriminatory power (Fielding and Bell, 1997) and can range from 0 to 1, where an AUC of 0.5 indicates that model performance is equal to that of a random prediction and a value of 1 suggests perfect discrimination between suitable and non-suitable habitat (Smith, J. et al., 2012).

## RESULTS

The numbers of sperm whale "presence" locations recorded in this study are provided in **Table 2**. For the RV *Odyssey* data, sperm whales were heard before they were seen, so acoustic detections (both automated and manual) took precedence over sightings from the original dataset. Biopsy locations were also included as presence data for this study.

## Voyage of the Odyssey

Fifty days were spent on acoustic transects and visual searches off WA under various weather conditions and the track of the research voyage and the automated acoustic detections of sperm whales are shown in **Figure 3**. These data are displayed as kernel density plots in 15 nautical mile grid cells in the Perth Canyon area (austral summer), the Albany canyons and offshore in the New Holland historical whaling grounds (austral autumn; **Figure 4**). Although, research activities were biased toward obtaining sperm whale biopsy samples, these plots can serve as an indicator of presence of sperm whales in these areas.

Sixty biopsies of sperm whales were taken in the study area and source locations and sex of animals are indicated in **Figure 3**. Twenty-three animals were sampled off the Albany Canyons— 11 were males and 12 were females. In the other areas where biopsies were collected, only females were recorded with 12 in the Perth Canyon, the rest offshore and in the old New Holland whaling grounds. Most of the samples were taken along the edge of the continental shelf but the four females sampled in deep waters (-4000 to > -6000 m) were probably "traveling" or migrating due to the depth of location sampled. Additionally, in the Perth Canyon the visual observations indicated that most of the sperm whales were "sub-adult" with seven classified as "immature."

## **Historical Data**

Results of Yankee whaling sperm whale takes from 1735 to 1920 are displayed in point density maps in 15 nautical mile grid cells by austral season (**Figure 5**). Overall, 616 animals were killed in all seasons during this time period, primarily offshore in the historical New Holland whaling grounds during autumn, winter and spring. In the summer months, whaling activity occurred primarily offshore of the south-west Australian coast and no activity occurred in the Perth Canyon.

From 1936 to 1978, commercial whaling killed 16,080 sperm whales in the study area and this take is displayed in kernel density maps (15 nautical mile grid cells; **Figure 6**). Most of the commercial whaling occurred from the Cheynes Beach whaling station in Albany with 91% of effort focused in the area of the Albany canyons area. Other countries, including Japan (4%), USSR (5%), and USA (<1%) took sperm whales in the region though this activity occurred primarily offshore. Results from the IWC data include gender of the animals killed (17% females, 84% males) by season (**Figures 7, 8**).

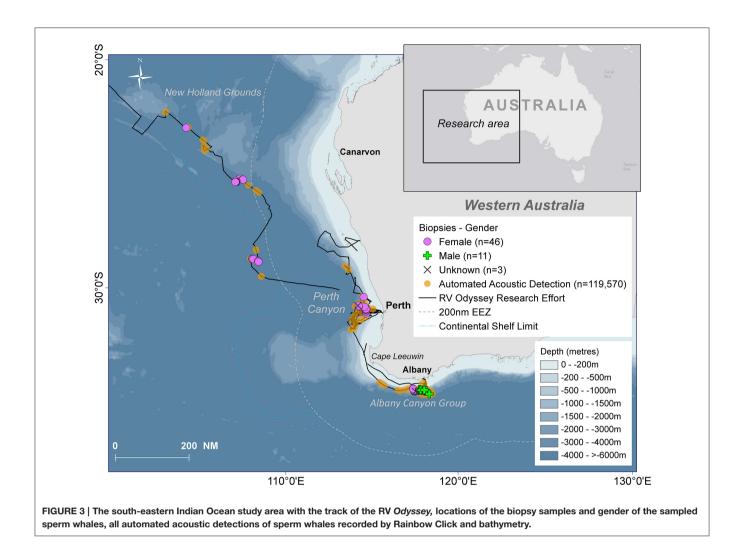
Whaling kills increased from 1953. Although, males were the primary targets of whaling activities until the 1970s, thereafter, there was an increased take of females (**Figure 8**). In 1978, the Australian Commonwealth government banned commercial whaling.

The limited number of sperm whale sightings between 1994 and 2003, sourced from the Australian National Sightings and Stranding database, indicated the presence of sperm whales off the continental shelf near the Perth Canyon in summer and autumn seasons. This is consistent with the findings of the RV *Odyssey* of presence of sperm whales along the WA continental shelf.

## Environmental Variables Influencing Distribution

The results of the species distribution model included the *Odyssey*, commercial whaling data and citizen science

Odyssey	wheeling a		Citizen
	whaling	whaling	science
87			
119,570			
60			
	616	16,080	
			16
	119,570	119,570 60	119,570 60



presence data. The Townsend Yankee whaling data was tested but not included in the final model. These were statistically strong with a receiver operating curve (AUC) of 0.827 and standard deviation (SD) of 0.034 (**Figure 9**).

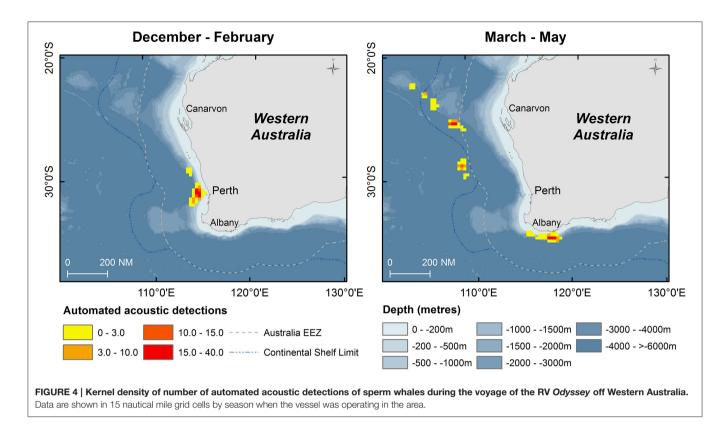
Key environmental and physical factors of this model were distance to the -1000, -200, -2000 m isobaths, and SST. The environmental variable with highest gain when used in isolation was distance to -1000 m isobath.

Based on environmental factors (>0.6), the model predicts that at least 19,442 km<sup>2</sup> of ocean offshore of south-west Australia is suitable habitat for sperm whales. Within the study area, predicted distribution occurs within Australia's 200 nautical mile Exclusive Economic Zone in the south-west marine bioregion (**Figure 10**). It also overlaps with some designated marine protected areas in the Perth Canyon and parts of the Albany Canyon group. Overall, 5.2% of suitable habitat offshore for sperm whales is within marine protected areas with 1.8% of these areas are classified as a marine national park (IUCN II), which strictly regulates and limits most offshore activity (**Table 3**).

#### DISCUSSION

Passive acoustic methods used in the Voyage of the *Odyssey* expedition were an efficient and effective way to locate and track sperm whales in areas of historical abundance, regions of typical habitat, and in difficult weather conditions. The survey found sperm whales in the Perth Canyon, Albany Canyon group, and the historical New Holland whaling grounds. Previous aerial surveys and passive acoustic studies have identified sperm whales in the Perth Canyon group (Bannister, 1968; Mccauley et al., 2004) and Albany Canyon group (Carroll et al., 2014). However, the RV *Odyssey* results provide the first detailed acoustic "presence" data of sperm whales primarily distributed along the continental shelf, submarine canyon and ridges.

Of the 60 sperm whale biopsies taken in the study area, results were consistent with historical commercial whaling data demonstrating males and females using the Albany canyons. However, in the other areas surveyed, only females were sampled, 12 in the Perth Canyon, the rest offshore and in the old New Holland whaling grounds. The four females from which biopsies were taken were in deep waters (-4000 to > -6000 m) and



were most likely "traveling" or moving between social groups (Whitehead, 2003), possibly indicating that female social units move between all three regions in the study area. Whitehead (2003) described movements in a 24-h period of female and immature animals to be about 79 km when feeding conditions were poor, 25 km when they were good with an estimated overall range for females and immature animals of about 1450 km.

The RV *Odyssey* observational data indicated that seven of 11 whales in the Perth Canyon were "immature" (meaning they were juvenile females). The Perth Canyon could be both a critical feeding area and nursery for matriarchal family groups. In the summer months, pygmy blue whales feed in the Perth canyon (Rennie et al., 2006, 2009; Double et al., 2014) and other species of cetaceans are present year-round, including true blue whales (*Balaenoptera musculus*) over the winter and migrating humpback (*Megaptera novaeangliae*) and southern right whales (*Eubalaena australis*) (Mccauley et al., 2004).

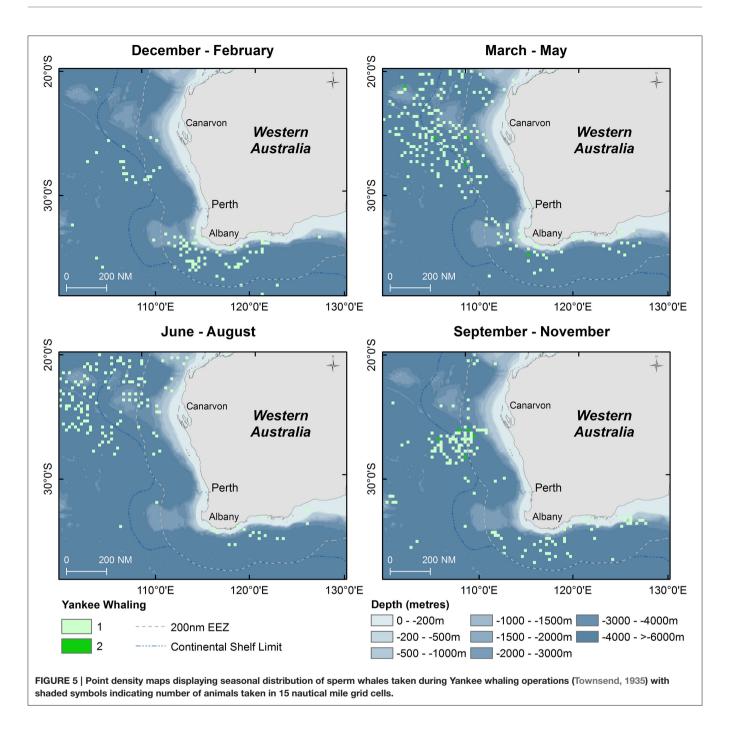
With regards to the results from the crowdsourced data, there is known location bias for historical Yankee whaling effort in the presence points of the Townsend charts (Smith, T. D. et al., 2012). However, a "crowding effect" of inaccurate point locations may be more of a problem with coastal species such as humpbacks than with offshore species such as sperm whales (Bannister et al., 2008). During this era, most animals were killed offshore in very deep waters with a median depth  $\sim -5000$  m, far away from typical foraging habitat and there were no kills reported in the Perth Canyon. The Townsend Yankee whaling data were removed from the final species distribution model produced with Maxent due to the sparse distribution offshore and inconsistent

results of presence over depth (median depth  $\sim -5000$  m). This study concurs with Smith, T. D. et al. (2012) and Torres et al. (2013) that data such as these may be better suited for studies on a global scale, rather than a regional scale. When crowdsourcing a variety of spatial and temporal data, it is critical to consider the suitability of each to include in a species distribution model.

IWC commercial whaling records reveal that more males (82%) were hunted than females (18%) in the study area and this was consistent with commercial efforts worldwide to target larger males (Whitehead, 2002). However, in the latitudes of WA sperm whale groups are matriarchal, only periodically visited by roving mature males (Whitehead, 2003). There was an increased take of females in the 1970s and this may have negatively impacted the population off the coast of Western Australia.

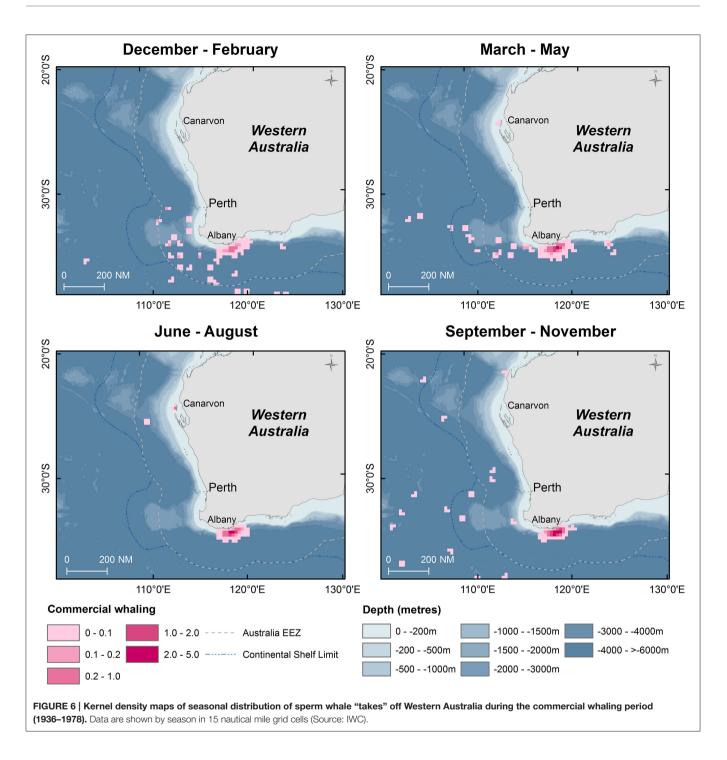
The IWC records indicate that sperm whales use the Albany canyons year-round. With 35% of all males taken during the spring (n = 5591), this season could have been important period for migrations of mature males moving south to Antarctica, a productive time for feeding in submarine canyons, or important for mating with females in the region. Overall, while there may be some seasonal variation in sperm whale abundance in different areas, females do not appear to make pronounced seasonal migrations and their movements are best described by models of nomadic animals moving in response to changes in food abundance (Whitehead, 2003).

In general, mature males move to higher latitudes with age, with periodic migrations between lower-latitude feeding grounds and high-latitude feeding grounds beginning at about



an age of 27 years (Best, 1979). With variable patterns of movement at high latitudes, animals stay resident in small areas for long periods. At low latitudes, there appears to be near continuous movement within a breeding area of a few hundred kilometers across, as mature males visit and re-visit resident groups of females (Best, 1979; Whitehead, 2003).

Bannister (1968) conducted aerial surveys from 1963 to 1965, during the commercial whaling era off Albany and incorporated additional sightings data from spotter planes that would regularly assist whaling ships off Carnarvon and the Cheynes Beach whaling station at Albany. Bannister (1968) hypothesized that there may be two distinct stocks of sperm whales off the west coast and speculated that their presence could be related to deep waters in the area adjacent to the steeply sloping continental shelf. He described the steep slope as favoring the production of food and found that a very high proportion of whales (70–80% in 1964–66) off Albany had recent remains of squid in their stomachs (Bannister, 1968). Bannister (1968) found very little direct evidence for seasonal movements but indicated there was latitudinal rather than longitudinal movement off WA. However this hypothesis may be influenced

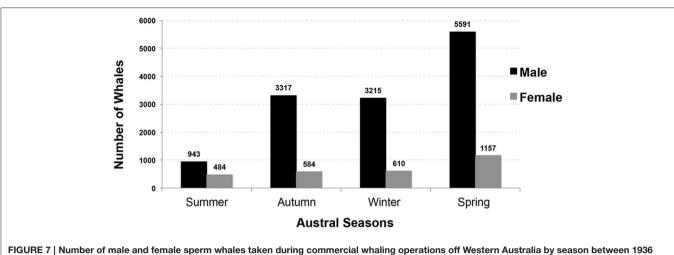


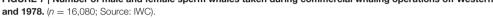
by the difficulty in studying sperm whales in the winter months.

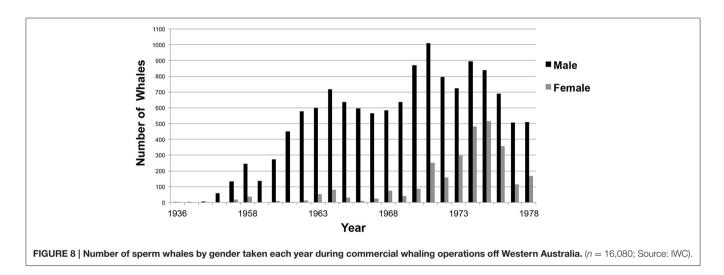
The RV *Odyssey* genetics data only contained records of the gender of the animals sampled, so Bannister's hypothesis of two separate populations could not be tested. The RV *Odyssey* acoustic results showed that sperm whales use the continental shelf off WA. Bannister (1968) spotted no animals on flights from Geraldton to Perth and Perth to Cape Leeuwin during the winter months (July–August 1964). This suggests that sperm whales use

the Perth canyon region seasonally with animals undertaking latitudinal movement in the autumn to the New Holland grounds or back to the Albany canyons.

Presence-only species distribution modeling using Maxent proved to be a useful method for synthesizing historical and modern "presence only" data to predict critical habitat of sperm whales in the study area. Traditional line-transect surveys estimating abundance would search across depths (Buckland et al., 2001; Mellinger and Barlow, 2003; Barlow and Taylor,







2005; Panigada et al., 2011; Pirotta et al., 2011). However, because the principal goal of the RV *Odyssey* work was to get biopsy samples, the RV *Odyssey* surveyed specifically along the -2000 to -3000 m isobaths. Thus, statistical modeling techniques such as generalized linear models (GLMs) and generalized additive models (GAMs) requiring both presence data and research survey effort, from which absence can be inferred, were not suited to the data.

Depth and distance were correlated in the results, specifically distance to the -1000, -200, and -2000 m isobaths, and sea surface temperature. Past studies have indicated that depth and slope are environmental factors influencing sperm whale distribution (Hooker et al., 1999; Pirotta et al., 2011). Commercial whaling locations had a median depth > -1000 m and activity primarily occurred relative close to land off Albany along the continental shelf edge (~25 nautical miles wide). Such depths have been indicated as providing suitable habitat for foraging for sperm whales in other regions (Hooker et al., 1999; Pirotta et al., 2011). In addition, the study area consisted of a large latitudinal

range which may account for sea surface temperature being an important influence in the model.

Upwelling in submarine canyons is known to cause productive feeding areas for cetaceans (Hooker et al., 1999; Rennie et al., 2009). Toothed whales, especially sperm whales and beaked whales (family Ziphiidae), appear to exhibit the strongest associations with these features throughout the year (Moorsmurphy, 2014). By contrast, a recent review of submarine canyons found baleen whales tend to occur in canyons seasonally (Moors-murphy, 2014). Results show that submarine canyons are preferred habitat for sperm whales in south-west Australia, specifically in the Albany Canyon group and the Perth Canyon. Commercial whaling results indicated that males and females were using the Albany canyon group year-round. Based on the numbers of animals killed during the commercial whaling era and, that the RV Odyssey found animals using the areas, this region could be vital for foraging, breeding, social cohesion, mixing, and mating with males moving to, or from, Antarctic waters. The study identified the critical areas for

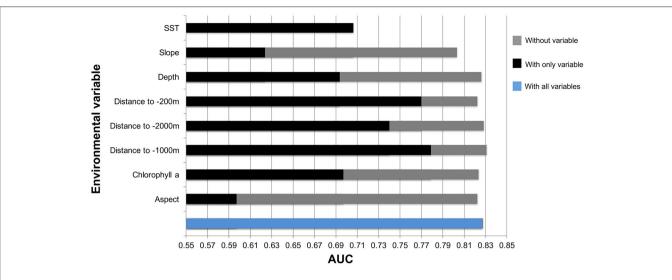


FIGURE 9 | Results from Jackknife tests showing the most influential environmental variables in the model. Values shown are averages over 25 replicate runs in Maxent.

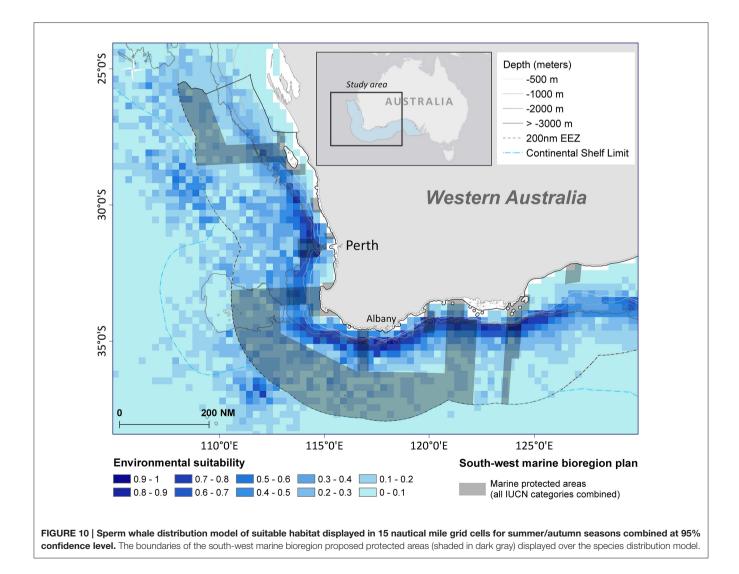


TABLE 3   South-west marine bioregion protected areas cover
376,137 km <sup>2</sup> within the study area.

Southwest Marine Bioregion	Area of predicted occurrence >0.6, within a marine protected area (km <sup>2</sup> )	%
Sanctuary, benthic sanctuary, and marine national park zones (IUCN la and II)	6852	1.8%
Habitat protection and conservation park zones (IUCN IV)	1476	0.3%
Multiple use zones (IUCN VI)	9545	2.9%
Special purpose zone (IUCN VI)	163	>0.1%
Special purpose zone (oil and gas exclusion) (IUCN VI)	328	0.1%
Total	19,442	5.2%

Suitable habitat for sperm whale distribution (>0.6) is calculated within each marine protected area zone.

sperm whales are submarine canyons found offshore of Albany and Perth.

Using ship-based and aerial methods to study and monitor species such as sperm whales is costly, as weather in regions such as WA is variable offshore (Carroll et al., 2014) and because of this and other logistical reasons, monitoring often does not occur for cetaceans in these areas (Donovan, 2005). The dive cycle and vocalizations produced by sperm whales make them a suitable species with which to use passive acoustic techniques for monitoring habitat use. These techniques can also be used to understand their abundance, density and distribution in order to regulate potential impacts of anthropogenic activities. Off WA, Gavrilov et al. (2011) used passive acoustic loggers including the CTBT hydroacoustic station (HA01) off Cape Leeuwin in WA from 2002 to 2007 and the Integrated Marine Observing System (IMOS) in the Perth Canyon from 2009 to 2010 to study pygmy blue whale vocalizations. From 2000 to 2006, McCauley and Jenner (2010) used passive noise loggers connected to hydrophones lying on the seabed floor of the north-west and south-west coasts to record pygmy blue whales vocalizations in order to estimate the abundance of the population off WA. Similar techniques could be used to monitor sperm whales with adjustments to the sampling frequencies of acoustic data loggers used in these studies.

Within the study area, predicted distribution occurs within South-west marine bioregion within the 200 nautical mile EEZ. It also overlaps with some marine protected areas in the Perth Canyon and parts of the Albany Canyon group—areas of historical sperm whale distribution.

Overall, 5.2% of suitable offshore habitat for sperm whales is within IUCN marine protected areas but only 1.8% of these areas are classified as a marine national park (IUCN II) which strictly regulates and limits most offshore activity including oil and gas exploration, mining, and commercial fishing. Cetaceans such as sperm whales occupy an extensive range, so there is a strong potential that by protecting their critical habitat, a wide variety of other species that regularly occur within the area, and ecosystem processes, will be more resilient (Hoyt, 2005).

#### CONCLUSION

Sperm whales are found off Western Australia year-round, with critical habitat offshore in the Albany canyons and in the Perth Canyon. Passive acoustic monitoring was an effective means to detect sperm whale presence in variable weather conditions off the coast of Western Australia during the Voyage of the *Odyssey* expedition (2001–2002). Using Maxent, presence only modeling was used to fill the knowledge gaps for a region with little survey effort to predict species distribution based on environmental factors.

New autonomous technologies such as ocean gliders and wave gliders may be the next step in providing a platform for passive acoustic monitoring of sperm whales as they operate over large distances for days at a time in any sea condition and have the potential to transmit data via satellite (Moore et al., 2007; Dassatti et al., 2011; Bingham et al., 2012). In light of current technology, understanding of sperm whale bioacoustics, and their habitat preferences, there is ample support for undertaking long-term passive acoustic studies to monitor the population within the EEZ waters in the Perth and Albany canyons in order to inform future marine management and policy decisions. Based on the results of the study, it is recommended that a passive acoustic monitoring program for sperm whales be instigated offshore in the Perth and Albany canyon areas to measure and mitigate the effects of anthropogenic activities and their impacts on cetaceans.

#### **ETHICS STATEMENT**

Data gathered from sperm whales in Western Australia during the Voyage of the *Odyssey* expedition were collected under the approval of E2001/0027 granted by Environment Australia and conducted under US National Marine Fisheries Services permit #751-1614 (IK, PI).

#### **AUTHORS CONTRIBUTIONS**

CJ, GJ, IK, and RP conducted field research on the Voyage of the *Odyssey* expedition. CJ, HK, and LB conceived of this study. CJ carried out data analyses with assistance from HK, LB, and RP. CJ led the writing of this manuscript with input from GJ, HK, LB, IK, and RP. All authors reviewed and edited the manuscript and approved the final version.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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