



Oxygen Profiles Across the Sea-Surface Microlayer—Effects of Diffusion and Biological Activity

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Gas exchange across the air-water interface is strongly influenced by the uppermost water layer (<1 mm), the sea-surface microlayer (SML). However, a clear understanding about how the distinct physicochemical and biological properties of the SML affect gas exchange is lacking. We used an automatic microprofiler with Clark-type microsensors to measure small-scale profiles of dissolved oxygen in the upper 5 cm of the water column in a laboratory tank filled with natural seawater. We aimed to link changing oxygen concentrations and profiles with the metabolic activity of plankton and neuston, i.e., SML-dwelling organisms, in our artificial, low-turbulence set-up during diel cycles. We observed that temporal changes of the oxygen concentration in near surface water (5 cm depth) could not be explained by diffusive loss of oxygen, but by planktonic activity. Interestingly, no influence of strong neuston activity on oxygen gradients at the air-water interface was detectable. This could be confirmed by a modeling approach, which revealed that neuston metabolic activity was insufficient to create distinct curvatures into these oxygen gradients. Moreover, the high neuston activity in our study contributed only <7.1% (see **Supplementary Table 4**) to changes in oxygen concentration in the tank. Overall, this work shows that temporal and vertical variation of oxygen profiles across the air-water interface in controlled laboratory set-ups is driven by biological processes in the underlying bulk water, with negligible effects of neuston activity.

Keywords: oxygen gradients, sea-surface microlayer, microsensors, net community production, gas exchange, neuston, plankton, diffusion

INTRODUCTION

The sea-surface microlayer (SML) forms a boundary layer at the air-water interface, which has distinct physicochemical and biological properties compared to the underlying water (ULW) (Hardy, 1982; Wurl et al., 2017). The SML was historically considered as multiple layers with lipids accumulating on top of the air-water interface (Hardy, 1982), but this conceptual model was challenged by the idea of the SML rather being a gelatinous matrix (Sieburth, 1983). Independent of its composition, the vertical dimension of the SML is still unclear and its thickness is vaguely defined to be <1 mm (Liss and Duce, 2005). Due to its position between the atmosphere and hydrosphere, the SML has profound implications in air-sea interaction, such as the exchange of climate-relevant gases (Frew, 1997). Organic surface-active substances, i.e., surfactants, accumulate in the SML

(Wurl et al., 2011b) even at wind speeds up to 13 m s^{-1} in the Atlantic Ocean (Sabbaghzadeh et al., 2017). The SML constitutes a demanding habitat for its colonizing organisms, termed as neuston (Naumann, 1917). Microbial activity and community composition in the SML are affected by harsh meteorological conditions such as ultra-violet (UV) radiation (Agogué et al., 2005; Santos et al., 2012) and the turbulence resulting from wind speed (Stolle et al., 2011; Rahlff et al., 2017a). Within the SML, microbial heterotrophic metabolism is often enhanced compared to the ULW (Obernosterer et al., 2005; Reinthaler et al., 2008). This is most likely driven by the strong accumulation of organic matter within a gelatinous matrix (Cunliffe et al., 2013). Even though it has been observed that photosynthetic activity in the SML may be inhibited by high light intensities (Albright, 1980; Hardy and Apts, 1984), strong enrichments of phytoneuston may occur, for instance in sheltered bays and lagoons (Hardy, 1973), in foams (Maynard, 1968), and in association with surface slicks (Sieburth and Conover, 1965; Carlson, 1982b; Wurl et al., 2016).

Generally, air-sea gas exchange may be influenced by neuston communities in two ways. First, the neuston may modulate the quantity or composition of surfactants in the SML being produced by planktonic organisms (Žutić et al., 1981; Satpute et al., 2010). Surfactants form a laminar diffusion layer and reduce gas transfer velocities (Goldman et al., 1988; Frew et al., 1990). Secondly, the neuston metabolizes gases directly, e.g., methane (Upstill-Goddard et al., 2003) and carbon monoxide (Conrad and Seiler, 1988). Functional gene analysis indicated that different methanotrophic bacterial communities in the SML and ULW (Cunliffe et al., 2008) may be involved in gas turnover in these two habitats. Calleja et al. (2005) found that net heterotrophic activity in the top 2 cm of the ocean contributed to carbon dioxide (CO₂) emissions at some stations of the subtropical Atlantic Ocean. However, the authors also observed at other stations that autotrophic organisms contributed to an under-saturation of CO₂ in the water and hence increased its uptake from the atmosphere. Calleja et al. (2013) provided first evidence, that the partial pressure of CO_2 (pCO_2) is vertically inhomogeneous from few cm below the air-water interface to 5 m water depth, probably changing estimates on the magnitude and the direction of air-sea CO₂ flux. These studies strongly underline the need for further research on the temporal and spatial variability of gas profiles in surface water including the SML and their dependencies on biological activity.

Clark-type microsensors are used in a broad range of applications in oceanographic and limnologic research (Revsbech, 1989), especially in microbial ecology (Revsbech and Jørgensen, 1986). For instance, oxygen (O_2) microsensors were applied to determine bacterial growth efficiency in aquatic ecosystems by measuring respiration rates (Ploug and Grossart, 1999; Briand et al., 2004). In addition, microsensors have been successfully used to record O_2 microprofiles, for instance to explore the vertical distribution of photosynthetic activity within microbial mats (Revsbech et al., 1983), in cyanobacterial surface aggregates (Ploug, 2008) as well as in single *Trichodesmium* colonies (Eichner et al., 2017). Microsensors have also been applied to determine the thickness of the SML (Zhang et al., 2003).

In this study, we used microsensors for high resolution measurements to investigate temporal changes of O_2 profiles across the air-water interface and to link those with biological O_2 turnover within the SML. Our study presents highly-resolved vertical (50–500 µm-steps) O_2 and temperature profiles across the SML into the ULW to a depth of 5 cm in a tank filled with natural seawater. We investigated whether temporal, i.e., diel and thus light-dependent changes in O_2 concentration at this depth are related to net community production (NCP) in SML (neuston) and ULW (plankton).

MATERIALS AND METHODS

Experimental Set-Up

Seawater from the Jade Bay (North Sea) offshore the city Wilhelmshaven (Germany, 53°30'50.0"N 8°08'44.2"E) was pumped on 6th July 2016 at high tide from a depth of \sim 1-2 m into an outside basin to allow settlement of sediment particles for 5 days. This water was used for both of the following experiments. On 11th July 2016, water from the outside basin was filtered through a 100 μ m mesh and filled into a ~700-L aquarium tank (2.2 \times 0.6 \times 0.5 m), made from glass. The first experiment ran from July 13-18th 2016 (Day 1-6). On 19th July 2016, the tank was refilled with water from the outside basin for a second experiment that ran from July 20th-22nd 2016 (Day 1-3). The open tank was placed in a greenhouse, which allowed exposure to diel light changes. Air (in the greenhouse) and water temperature in the tank varied from 17 to 30°C and from 20 to 26°C, respectively. Photosynthetically active radiation (PAR) was recorded using the Quantum Meter MQ-200 (Apogee Instruments, UT, USA) in a wavelength range of 410-655 nm. The PAR sensor was attached 1 m above the water surface. PAR data were measured every 30 s, and the mean of 60 measurements was logged every 30 min. For relationships between PAR and NCP, the mean PAR for the duration of each NCP incubation period (Table 1) was calculated. All dates and times mentioned throughout this work refer to UTC +01:00. The experimental set-up is illustrated in Supplementary Figure 1.

Measurement of O₂ and Temperature Profiles

Microprofiles of O₂ and temperature in the laboratory tank were simultaneously measured using a Clark-type O₂ microsensor OX-50 and a temperature microsensor TP-200 (Unisense, Denmark), respectively, which were connected to an amplifier (Multimeter, Unisense, Denmark). The response times for OX-50 and TP-200 are <5 and <3 s, respectively. Profiles were measured using the MicroProfiling system (Unisense, Denmark), i.e., a motorized micromanipulator that was installed on top of the aquarium tank and controlled by a computer (Supplementary Figure 1). Signals were recorded using the software SensorTraceSuite (Version 2.6.100). The temperature sensor was calibrated each day to three random temperatures within the ranges of 0-10, 10-30, and 30-50°C measured with a mercury thermometer. The O2 microsensor was calibrated with two points using an anoxic 0.1 M sodium ascorbate solution for 0% standard. The signal of 100% air-saturated water was

Exp Date of	Exp Date of t_0 Time point ^a	-	Temporal changes between profiles (absolute)	en	Temporal cf profiles (Temporal changes between profiles (normalized)	z	Net community production ^b			Mean PAR ^f	Mean diffusive flux
		0 ²	Temperature	Time	02	Temperature	SML_01	SML_02				
		(µmol O ₂ L ^{−1})	٩ ١	લ	(µmol O ₂ L ^{−1} h ^{−1})	(°C h ^{−1})	(µmol O ₂ L ⁻¹ h ⁻¹)	(µmol O ₂ L ^{−1} h ^{−1})	(µmol O ₂ L ^{−1} h ^{−1})	(µmol O ₂ L ⁻¹ h ⁻¹)	(μmol m ⁻² s ⁻¹)	(µmol O2 L ^{−1} h ^{−1})
1 13 July 2016	016 t ₁ -t ₀	-17.51	-0.64	14.94	-1.17	-0.04	1.83	4.51	2.66	3.04	62.87	-0.22
	t_{end} - t_{1}	12.88	-0.08	5.76	2.24	-0.01	24.31 ^c		-7.94		98.45	-0.23
	t_{end} - t_{O}	-4.63	-0.72	20.70	-0.22	-0.04	7.89		-0.01		70.27	-0.37
1 14 July 2016		-16.05	-1.01	15.50	-1.04	-0.07	-15.77	-21.30	-1.06	-1.28	30.49	-0.20
	t_{end} - t_1	-8.11	2.05	31.54	-0.26	0.06	12.85	16.83	-0.18	0.05	65.45	-0.08
	t_{end} - t_{O}	-24.16	1.04	47.04	-0.51	0.02	2.68	3.74	-0.48	-0.44	51.18	-0.27
1 15 July 2016		14.20	1.38	11.45	1.24	0.12	44.78	41.77	1.97	0.79	120.36	-0.22
	t_{end} - t_{1}	-19.09	0.38	15.73	-1.21	0.02	-14.82	-10.41	-1.42	-1.18	29.41	-0.29
	t_{end} - t_{O}	-4.89	1.76	27.18	-0.18	0.06	7.58	8.82	-0.28	-0.47	66.20	-0.09
2 20 July 2016		-24.37	0.73	5.02	-3.72	0.15	-4.00	-6.02	-1.80	-1.59	232.50	-0.55
	t_{2} - t_{1}	8.79	0.66	3.91	0.79	0.17	-6.77	-6.29	-2.02 ^d	-2.35	39.11	-0.58
	t_{3} - t_{2}	-10.36	-0.15	5.26	-1.97	-0.03	-13.96	-13.40	-1.32 ^e	-2.61	1.27	-0.49
	t_{4} - t_{3}	-13.84	-0.18	2.98	-4.64	-0.06	-14.15	-14.53	-3.97	-3.02	0.00	-0.37
	$t_{5}-t_{4}$	-7.58	-0.22	3.85	-1.97	-0.06			-1.28	-1.46	10.29	-0.22
	t_{6} - t_{5}	-17.97	0.89	5.63	-3.19	0.16			-0.74	-0.63	157.44	-0.07
	t_{end} - t_{6}	1.55	0.15	3.23	0.48	0.05			-1.18	-1.47	132.50	-0.06
	t_{end} - t_0	-63.77	1.87	29.88	-2.13	0.06			-2.29	-2.46	89.00	-0.30
2 21 July 2016	$016 t_{end} - t_0$	-22.12	-1.21	21.71	-1.02	-0.06			-2.02	-2.15	38.59	0.09

O2 L ^asee **Supplementary Table 1** for detailed date and time of the incubation and profiling, ^verror propagat h^{-1} , ^{e0.35} μ mol O₂ L⁻¹ h^{-1} , ^fmean values for the duration of the incubation time/time between profiles.

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taken from the $0\,\mu\text{m}$ water depth (i.e., the air-water interface) of each individual profile, assuming 100% air-saturation due to equilibration with the atmosphere. The $0\,\mu\text{m}$ water depth and its corresponding signal value was established for each profile as the crossing point between (a) the regression line through the approximately constant O_2 sensor values in air and (b) the regression line through the data points in the first 500 μm that deviated from the air values (**Supplementary Figure 2**). To reduce ambiguity, the first three data points on the airside and the waterside of the air-water interface were excluded for regression analyses. The value at $0\,\mu\text{m}$ depth was converted into $\mu\text{mol }O_2$ L⁻¹ using the salinity and temperature dependent O_2 solubility (Garcia and Gordon, 1992).

To account for different vertical resolution, profiles were measured in seawater of the tank either in vertical steps of 50 μ m to a depth of 5 mm (shallow profile) or in 500 μ m steps to a depth of 5 cm (deep profile) through the SML in direction from air to water.

Temperature-Correction of O₂ Gradients

The O_2 microsensor was recalibrated at the temperature, which was measured at $0 \,\mu$ m water depth. As described above, the $0 \,\mu$ m water depth was defined by the intersecting linear regression lines of air and water temperature readings. To account for minor vertical changes of the water temperature in the tank within the upper centimeters (**Supplementary Figure 3**), the O_2 profile data was temperature-corrected for each individual depth according to Rahlff et al. (2017b) and Unisense supportive material.

Dynamics of O₂ Concentrations in the Tank and Diffusive Losses

To determine the O_2 concentration in the ULW, the mean O_2 concentration was calculated using data from the deep O_2 profiles from 1.5 to 4.0 cm water depth. To describe the temporal variability of the mean O_2 concentration, the differences between two deep profiles were calculated and expressed in μ mol O_2 L⁻¹ h⁻¹. The analyzed profiles corresponded to the time points t_0 , t_n , and t_{end} of the incubation experiments (**Table 1** and **Supplementary Table 1**) to allow for direct comparison with metabolic activity.

For calculation of O_2 losses from the tank by diffusion we considered the Whitman film model, which describes molecular diffusion through a stagnant, laminar layer (Gladyshev, 2002). Diffusive rates (μ mol L⁻¹ h⁻¹) were calculated for each O_2 gradient as follows:

Diffusive rate
$$(\mu \mod L^{-1}h^{-1}) = DS\frac{1}{V}(C_0 - C_{ULW})\frac{1}{\delta}$$
 (1)

where *D* is the diffusion coefficient (cm² h⁻¹) obtained from a straight line equation of *D* vs. temperature (Ramsing and Gundersen, 2000) at a salinity of 30. The mean temperature within the upper 1.1 mm [defined as the diffusive boundary layer (DBL)] was calculated from each profile to be analyzed. *S* is the surface area of the aquarium (12,490 cm²), V is the tank volume (673.2 L), δ is the thickness of the SML, which we considered to be the thickness of the DBL (1.1 mm) as deduced from all O_2 gradients measured. C_0 is the O_2 concentration (μ mol L^{-1} h^{-1}) at the interface (0 μ m) and C_{ULW} is the O_2 concentration at 1.1 mm water depth.

Finally, we calculated the mean diffusive rate from the diffusive rates of two shallow profiles, which were recorded in temporal proximity to the deep profiles that in turn were used to calculate the mean O_2 concentration.

Profile Model

To evaluate the theoretical effect of biological metabolism (i.e., NCP) on O_2 profiles in the SML, we used a simple mathematical steady-state model with the assumption of a stagnant, homogeneous SML bordering sharply to a well-mixed ULW with constant concentrations (**Figure 1**). At steady state, a modified version of Fick's 2nd Law describes the concentration $C_R(x)$ of a substance, which is both diffusing and reacting (consumption or production) as a function of depth *x* as

$$D\frac{d^2C_R(x)}{dx^2} = -R \tag{2}$$

Where *D* is the diffusion coefficient and *R* is the constant reaction rate (positive for production and negative for consumption) for the gas. Integrating this equation with the boundary conditions that the concentration at the top (depth = $0 \,\mu$ m) of the SML, C_0 , is constant at equilibration with the atmosphere and the concentration at the bottom (depth = *l*) of the SML, C_{ULW} , is constant and equal to the ULW concentration, yields the concentration profile in the SML as a function of depth *x* (**Figure 1**):

$$C_R(x) = C_0 - \frac{R}{2D}x^2 + \frac{C_{ULW} - C_0 + \frac{R}{2D}l^2}{l}x$$
(3)

If the reaction rate is zero, the concentration profile, $C_{NR}(x)$, becomes

$$C_{NR}(x) = C_0 + \frac{C_{ULW} - C_0}{l}x$$
 (4)

and the difference between the concentration profile with and without reaction is thus

$$C_{R}(x) - C_{NR}(x) = -\frac{R}{2D}x^{2} + \frac{R}{2D}lx$$
(5)

This difference is a parabola with its maximum/minimum at

$$x = \frac{l}{2} \tag{6}$$

i.e., in the middle of the SML, where the value of this absolute difference is

$$diff_{max} = \frac{Rl^2}{8D} \tag{7}$$

The value of the concentration in the middle of the SML without reaction is

$$C_{NR}\left(\frac{l}{2}\right) = \frac{C_{ULW} + C_0}{2} \tag{8}$$



The maximum relative difference between the concentration profiles with and without reaction is thus

$$diff_{rel,max} = \frac{Rl^2}{4D\left(C_{ULW} + C_0\right)} \tag{9}$$

Water Sampling

To sample the SML we used the glass plate technique (Harvey and Burzell, 1972), where a clean, hydrophilic glass plate is introduced vertically through the water surface until it is fully immersed. It is withdrawn at a controlled rate of 5–6 cm s⁻¹ as suggested by Carlson (1982a) resulting in the adhesion of an ~20–100 μ m thick SML to the glass plate (Carlson, 1982a; Shinki et al., 2012). The thickness of the collected SML is determined by water density and viscosity, surface tension, withdrawal speed, and gravity (Levich, 1962). The SML adhering to the glass plate was scraped off into glass bottles until a volume of ~30 mL has been collected.

While the glass plate sampling technique assumes that the majority of material collected originates from the SML, dilution with bulk water (ULW) cannot be ruled out. Wurl et al. (2011a)

investigated the effect of selective adhesion of sample material to glass bottles used for sample collection. They showed that only about 10% of all organic gel-like particles from a water sample adhered to the interior of a glass bottle. Assuming that this would be equivalent to unspecific adhesion of ULW material to the glass plate after immersion into the water, only 10% of organic material in SML samples may originate from the ULW. Similar approaches to test the amount or type of microorganisms from the ULW attaching to the glass plate during SML sampling are missing. However, the glass plate technique was shown to neither quantitatively (Agogué et al., 2004) nor qualitatively (Stolle et al., 2009) select microorganisms during collection. These findings overall imply that the SML samples collected with the glass plate technique very well represent the SML with only little dilution with ULW material. Samples from the ULW were collected from 30 cm water depth with a syringe connected to a hose (Supplementary Figure 1). The glass plate was cleaned with 70% ethanol before one or two dips of SML sample were taken to pre-rinse the glass plate, the funnel and the collection bottle. The syringe and the bottle for ULW sample were also pre-rinsed with sample water.

Autotrophic Biomass and Quantum Yield

For the analysis of chlorophyll *a* (Chl *a*), 20–100 mL of each water sample were filtered onto glass microfiber filters (grade GF/F, Whatman, UK), stored at -20° C, and extracted in 90% acetone overnight at 4°C. Samples were analyzed at excitation wavelength 430 nm and emission >665 nm using a calibrated handheld fluorimeter (*Aqua*fluorTM, Turner Designs, CA, USA). The calibration equation was derived from spinach extracts (Sigma Aldrich, Germany).

The quantum yield (Fv/Fm) of phytoplankton in the ULW was measured every 10 s with a PhytoFlash sensor (Turner Designs, CA, USA) as described in Mustaffa et al. (2017). The PhytoFlash was calibrated with a *Scenedesmus obliquus* culture. Tank water from 20 cm water depth was pumped continuously into the PhytoFlash in a closed loop. For comparison with the PAR data, mean values of the quantum yield for every 30 min were calculated.

Enumeration of Bacterial and Small Autotrophic Cells

For determination of the abundance of bacterial and small autotrophic cells, water samples were preserved with glutaraldehyde (1% final concentration), incubated for 1 h at 4° C and snap-frozen at -80° C. Prior to counting, all ULW and SML samples were pre-filtered by gravity through CellTrics[®] 50 μ m filter (Sysmex Partec, Germany) to avoid clogging of the flow cytometer (BD Accuri C6, BD Biosciences, CA, USA). Autotrophic cell counts were determined by measuring autofluorescent emission and scattered light (Marie et al., 2000). Bacterial cells were stained and counted as described in Rahlff et al. (2017a).

In order to evaluate if the bacterial and phytoplankton microbial community in the tank was composed of representative, naturally occurring organisms, we analyzed the community composition based on 16S rRNA and 18S rRNA gene fingerprints. Details about the methods applied and the results obtained can be found in the **Supplementary Material**.

Determination of Metabolic Activity in Incubation Experiments

Duplicates of each SML and ULW sample were filled into 3-mL Exetainers® (Labco Limited, UK) and closed gas-tight without headspace. Incubation of samples was either conducted under ambient light condition (to allow for primary production and O₂ consumption) or in dark controls (to determine O₂ consumption alone). All Exetainers® were incubated in the tank at *in situ* water temperature at the respective depth (Supplementary Figure 1). A fixed needle-type oxygen optode (OXF500PT, Pyro Science, Germany) was used to measure O2 concentration within the Exetainers^{\mathbb{R}} at the start (t₀) and end (t_{end}) of the incubation period. During 4 of 5 incubation experiments, additional intermediate measurements were done, here referred to as t_n , where *n* refers to the number of the intermediate measurement. The incubation period ranged from \sim 3 to 49 h (Supplementary Table 1). The optode was calibrated each day according to the manufacturers' instructions using air-saturated water, i.e., bubbling of tank water for 15 min (100% O₂) and an anoxic 0.1 M sodium ascorbate solution (0% O₂). Using these two calibration points, the "Pyro Oxygen Logger" software (version V3.204) automatically calculates the O₂ concentration (μ mol O₂ L⁻¹) based on the salinity and temperature dependence of O₂ solubility (Garcia and Gordon, 1992). The temperature was continuously recorded with a TDIP15 probe (Pyro Science, Germany).

Measurements within each Exetainer[®] were conducted at *in* situ temperature for at least 5 min. After stabilization of the O₂ signal, the O₂ concentration was recorded for 1.5 min, from which the mean O₂ concentration was calculated. The standard error (SE) for this determination of the mean was on average 0.33 μ mol O₂ L⁻¹ (Experiment 1) and 0.14 μ mol O₂ L⁻¹ (Experiment 2). Rates of NCP and O₂ consumption were defined as the difference in O₂ concentration between two time points in the light and dark incubations, respectively, divided by the elapsed time and are given in μ mol O₂ L⁻¹ h⁻¹. Error propagation of SE when subtracting two means (M1 and M2) was calculated by

$$SE(M1 - M2) = \sqrt{SE(M1)^2 + SE(M2)^2}$$
 (10)

and was <0.2 μ mol O₂ L⁻¹ h⁻¹ except for three time points (**Table 1**).

Statistical Analyses

Potential influential data in the relationships shown in **Figure 3** were defined as outliers if their Cook's distance (Cook, 1977) calculated using R version 3.4.3 (R Core Team, 2017) was >4 times the mean Cook's distance of the respective dataset. These outliers were excluded from least-square regression models used for Spearman rank correlation.

Non-parametric Spearman rank correlation analyses (twotailed, 95% confidence interval) for changes in O_2 concentration in the ULW vs. mean PAR or NCP in SML and ULW (**Figure 3**) were performed using GraphPad Prism (Version 5.00 GraphPad Software, San Diego CA, USA). Results of the correlation analyses and identified outliers are shown in **Supplementary Table 2**.

RESULTS

O₂ Profiles at the Water Surface

High-resolution O_2 profiling using a microsensor revealed profound dynamics of O_2 profile formation across the air-water interface. **Figure 2** shows four representative shallow (<5 mm water depth) and deep profiles (<5 cm water depth) from two consecutive days of each experiment. In both experiments, profiles of dissolved O_2 revealed high vertical and temporal variability. The shallow profiles showed the formation of O_2 gradients in the uppermost water column with steadily increasing O_2 concentrations from the air-water interface to a water depth of ~1 mm (**Figures 2A,B**). The thickness of the SML was on average 1,100 μ m (±295 μ m). O_2 profiles below 1.1 mm water depth showed strong vertical variability with changing O_2







concentration of up to 30 μ mol O₂ L⁻¹ within a range of 500 μ m water depth (e.g., Experiment 2, Day 1, 10:26, **Figure 2B**).

From the gradients in the upper 1.1 mm we calculated diffusive rates. During Experiment 1, all rates ranged between $-0.08 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$ and $-0.37 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$. The diffusive rates during Experiment 2 ranged between 0.09 $\mu mol \ O_2 \ L^{-1} \ h^{-1}$ and $-0.58 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$ (Table 1).

In order to assess the temporal variability of O₂ concentration in the tank water, we calculated the temporal changes in O₂ concentrations in 1.5–4 cm water depth from the deep profiles. During the first experiment, an O₂ production up to 2.2 μ mol O₂ L⁻¹ h⁻¹ during the day and O₂ consumption up to -1.2 μ mol O₂ L⁻¹ h⁻¹ over night was observed (**Figure 2C**, **Table 1**). In the second experiment, increases in O₂ concentration during the day were lower (up to 0.8 $\mu mol~O_2~L^{-1}~h^{-1})$ compared to the first experiment, but consumption of O2 was enhanced (up to $-4.6 \ \mu mol O_2 \ L^{-1} \ h^{-1}$) (Figure 2D and Table 1). In Experiment 1, the changes in O₂ concentration in the ULW between two profiles were clearly linked to PAR (Spearman's rank correlation coefficient $(r_s) = 0.905$, p = 0.005, n = 8, Figure 3A and Supplementary Table 2), but not in the second experiment (Figure 3A).

Modeling O₂ Turnover at the Air-Water Interface

Using the data from our O₂-profiles, we calculated the theoretical biological O₂-production and -consumption with a simplified profile model, in order to obtain NCP rates required to influence O2-gradients within the DBL. We considered the DBL to be 1.1 mm thick as deduced from all O2 gradients. Equation (9) shows that the maximum relative difference between the concentration profiles with and without biological activity (i.e., R = reaction rate) increases with rising NCP rate and thicker DBL, whereas it decreases with increasing diffusion coefficient and absolute concentration levels. For an O2 microelectrode, the relative precision is \sim 1%, and the O₂ diffusion coefficient is \sim 2 × 10^{-5} cm² s⁻¹ at 20°C and salinity of 30 as measured in the tank. Thus, to be able to distinguish between profiles with and without biological activity, if C_0 and $C_{ULW}(x)$ are e.g., 245 and 278 μ mol L^{-1} , respectively (**Figure 2A**, Day 2 (17:33), we require a NCP rate such as

or

$$\left|\frac{Rl^2}{4D\left(C_{ULW}+C_0\right)}\right| > \sim 1\% \tag{11}$$

> 1.0/

$$\mathbf{R}| > \sim 124.5 \; \mu \, \text{mol} \; \mathbf{L}^{-1} \; \mathbf{h}^{-1}. \tag{12}$$

The lowest NCP rate calculated by the model using our profile data was 103.8 μ mol O₂ L⁻¹ h⁻¹, implying that a NCP rate beyond $\pm 103.8 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$ would be needed to cause detectable shifts in the O2-gradients within the DBL of 1.1 mm thickness.

 Rl^2

Net Community Production of Neuston and Plankton

During both experiments, light and dark incubations were performed to determine NCP and O₂ consumption rates, respectively. In both experiments, nearly all dark incubations of SML samples showed very strong O2 consumption, causing O_2 concentration at t_{end} to be close to 0 µmol O_2 L⁻¹ (Supplementary Figure 4). Thus, O₂ consumption rates could not be reliably determined for the present study as we could not rule out limitation of O₂ for the heterotrophs. This O₂-limitation did never occur in the NCP incubations. Consequently, only NCP rates, which were not O₂-limited at the end of the incubations, were used as a measure for metabolic activity in this study.

During both experiments, NCP showed pronounced rates in SML samples ranging between -21.3 and $44.8 \ \mu mol \ O_2 \ L^{-1} \ h$ ⁻¹, indicating strong heterotrophic and autotrophic metabolism, respectively. NCP rates in the ULW fluctuated in a narrower range between -8.0 and $3.0 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$ (Table 1). In the first experiment, NCP dynamics were positively related to PAR (Figure 4) indicating a strong influence of both auto- and heterotrophic processes in the tank water. Despite high PAR values during Experiment 2, NCP rates were never positive in SML or ULW, indicating a shift toward a net heterotrophic system (Figure 4).

To explore whether metabolic activity of neuston and plankton, as determined by NCP rates, could explain the temporal shifts in O₂ profiles, NCP was plotted against changes in O₂ concentration in the ULW. Both NCP rates in the SML and ULW were related to changes in O2 concentration in the tank water (Figures 3B,C). However, this relationship was statistically significant only for NCP rates of SML samples in Experiment 1 $(r_s = 0.820, p < 0.001, n = 15,$ Supplementary Table 2).

Development of Auto- and Heterotrophic Communities

The abundance of auto- and heterotrophic organisms generally differed between the two experiments, and between the SML and ULW. Bacterioplankton abundance, i.e., in the ULW, decreased from 5.1×10^7 cells mL⁻¹ to 3.4×10^7 cells mL⁻¹ during the first experiment and slightly increased from 2.8×10^7 cells mL⁻¹ to 4.1×10^7 cells mL⁻¹ during the second experiment (Figure 5A). Bacterioneuston abundance increased from 6.0×10^7 cells mL⁻¹ to 1.0×10^8 cells mL⁻¹ during the first experiment and from 2.7×10^7 cells mL⁻¹ to 8.8×10^7 cells mL⁻¹ during the second experiment (Figure 5A). Thus, an enrichment of bacteria in the SML compared to the ULW was always apparent (up to 3-fold, Day 6). A single exception was Day 1 of the second experiment, where abundances in the SML were reduced by 8×10^5 cells mL^{-1} (Figure 5A). The abundance of small autotrophic cells (<50 μ m) ranged between 2.6 \times 10⁵ and 1.6 \times 10⁶ cells mL⁻¹ and showed no consistent differences between the SML and the ULW during the first experiment. During the second experiment, small autotrophic cells were generally less abundant compared to the first experiment and always depleted in the SML compared to the ULW (Figure 5B).

Very high Chl a concentrations were measured both in the SML (max: 450 μ g Chl *a* L⁻¹) and the ULW (max: 14 μ g Chl a L⁻¹) during both experiments (Figure 5C). Chl a concentration in the SML was up to 34-fold higher compared to the ULW during the first experiment. During the second experiment, Chl a concentration in the ULW declined while it simultaneously increased in the SML (up to 38-fold compared to the ULW), but Chl a concentration was overall lower compared to the first experiment. This decline in Chl a concentration was independent of PAR, which peaked at 874 μ mol m⁻² s⁻¹ during the second experiment, indicating the shift toward a net heterotrophic system. In both experiments, high PAR values $(>500 \ \mu mol \ m^{-2} \ s^{-1})$ were associated with lower quantum yields in the ULW (Supplementary Figure 5) especially at high light intensities around noon.







DISCUSSION

Biological Effects on O2 Profile Variability

Without any influence of chemical enhancement or biological activity, exchange processes across the air-water interface in our tank system would be solely diffusion-limited (Pereira et al., 2016). In the present study, the slope direction of O_2 gradients within the upper 1.1 mm always showed higher concentration of O_2 in water compared to air (**Figure 2**), except for the very last day, where the tank water became a sink for O_2 , most likely due to the increasing effect of net heterotrophy (**Table 1**). Thus, the diffusive rates calculated from the gradients in the upper 1.1 mm were generally negative, indicating a permanent diffusion of O_2 from water to air (**Table 1**). However, the diffusive rates (mean = $-0.25 \pm 0.18 \ \mu$ mol $O_2 \ L^{-1} \ h^{-1}$, n = 18) could only

insufficiently explain the temporal dynamics of changing O₂ concentration in the tank (mean = $-1.02 \pm 1.77 \ \mu \text{mol} \ \text{O}_2 \ \text{L}^{-1}$ h⁻¹, n = 18), suggesting that the latter was rather driven by biological O₂ production and consumption.

Net community production (NCP) rates measured in ULW (mean = $-1.35 \pm 2.19 \ \mu$ mol O₂ L⁻¹ h⁻¹, *n* = 18) and SML samples (mean = $2.63 \pm 17.60 \ \mu$ mol O₂ L⁻¹ h⁻¹, *n* = 13) were generally exceeding the diffusive rates. Moreover, in the first experiment, changing O₂ concentration in the ULW seemed to be related to plankton and neuston NCP (**Figures 3B,C**). In the second experiment, the overall decreasing O₂-concentration in the ULW was accompanied by general O₂ consumption in incubated samples from the SML and the ULW (**Figures 3B,C**). Calleja et al. (2005) found planktonic metabolism in the top 2 cm of the ocean surface in the Northeast Atlantic to influence and

control direction of pCO_2 exchange but found no significant relationship for changes in pCO_2 and NCP in 5 m water depth. Making *in situ* measurements across the air-water interface, we can confirm a link between NCP and changing O_2 concentration within the upper 5 cm of the water column.

Even though biological communities influenced the temporally changing O₂ concentration in the tank, we could not find evidence for direct metabolic control of the neuston on in situ O₂ gradients at the air-water interface itself (Figure 2). For example, we did not observe distinct curvatures in the upper 1.1 mm of the O₂ gradients (i.e., DBL), which may result from O₂ production or consumption. We made this observation despite the fact that NCP of the neuston was on average 17-fold enhanced compared to the plankton. This is supported by our profile model, which shows that O₂ consumption / production in excess of 103.8 μ mol L⁻¹ h⁻¹ within the DBL is required to measure detectable effects on O2 gradient curvatures. However, during this experiment, the highest positive and negative NCP rates were measured in SML samples and were 44.8 μ mol L⁻¹ h^{-1} and $-21.3 \mu mol L^{-1} h^{-1}$, respectively, and, thus, below the threshold of $\pm 103.8 \,\mu$ mol L⁻¹ h⁻¹ indicated by the model.

Furthermore, signal fluctuations of the profiles varied more than 1%, which was applied in the idealized model settings. These fluctuations were probably caused by microturbulence, e.g., due to buoyancy fluxes, in the DBL. Thus, the reaction rates (i.e., NCP rates) must have been even higher in this study to be detectable over the fluctuations. It is also important to note that the profile model assumes a sharp delineation between a stagnant diffusive SML and a completely mixed ULW. In reality, there will be a gradual transition between the two phases, governed by turbulent forces. This will make higher rates necessary than those indicated by the model to recognize and quantify reaction rates within the SML. Overall, our findings suggest, that any profound contribution of neuston metabolism to O_2 gas exchange across the air-water interface is unlikely.

Neuston Activity in Thick Surface Films

The O₂ gradients observed in our experimental setup indicate the formation of a thick surface film stabilizing the uppermost 1.1 mm of the water column. We observed a substantial accumulation of auto- and heterotrophic organisms in our SML samples compared to the ULW. The enrichment of bacteria in the SML is highly dependent on SML integrity (Stolle et al., 2010; Rahlff et al., 2017a), and after filling the tank, the water became increasingly settled until stagnancy, i.e., no induced mixing except microscale turbulence by buoyant fluxes and movement of the microsensors. This caused bacterial abundance to increase within the SML during both experiments (Figure 5A). Likewise, we observed high numbers of small autotrophs, Chl a concentrations of up to 38-fold in SML over ULW (Figures 5B,C). These enrichments have been previously observed in the field (Hardy and Apts, 1984). Nevertheless, in our study, autotrophic biomass and bacterial abundances were about one order of magnitude larger compared to the natural environment (Poremba et al., 1999; Sperling et al., 2012). Despite this very high biomass accumulation, we identified typical members of natural marine bacterial and

eukaryotic communities with strong differences between the SML and ULW (**Supplementary Figures 6**, 7). Some of the bacterial taxa belonged to the families of *Rhodobacteraceae* and *Flavobacteriaceae* (**Supplementary Table 3**), which are common SML inhabitants (Franklin et al., 2005). They were attributed to the presence of polysaccharide microgels (Taylor and Cunliffe, 2017), which strongly enrich in the SML (Wurl and Holmes, 2008). In addition, we detected an organism related to the diatom *Chaetoceros calcitrans* (**Supplementary Table 3**), which may produce large amounts of extracellular polysaccharides (Corzo et al., 2000) and, thus, could have supported the pronounced SML formation in our study.

In our experiments, the autotrophic community responded to diurnal light availability as measured by decreased quantum yields in the ULW during high light exposure around noon (Supplementary Figure 5). Nevertheless, the strong positive NCP rates in the SML (Figure 4) suggest that inhibition of photosynthetic activity by solar radiation was lower compared to field observations (Williams et al., 1986; Ignatiades, 1990) probably due to shielding by the greenhouse. We observed light-driven autotrophic O₂ production during the day and heterotrophic O₂ consumption during the night in the first experiment. In the second experiment, phosphate-limitation (as measured from a single sample on the last day of the experiment) most likely caused a decay of the autotrophic community, resulting in net heterotrophic metabolism in the tank water (Figure 4). NCP rates in the SML were generally higher compared to ULW (Figure 4 and Supplementary Figure 4), and enhanced metabolic activity, especially of heterotrophic bacteria, is a well-known feature of the SML (Obernosterer et al., 2005; Reinthaler et al., 2008). Other studies reported SML NCP rates between -0.4 to $+1.0 \ \mu mol \ O_2 \ L^{-1} \ h^{-1}$ for different coastal marine systems (Obernosterer et al., 2005; Rahlff et al., 2017b). The NCP rates in the SML of the present study $(-21.3 \text{ to } 44.8 \text{$ μ mol O₂ L⁻¹ h⁻¹) are several 10-folds higher, which was most likely caused by the large number of auto- and heterotrophic microorganisms.

Overall, neuston biomass and activity was strongly enhanced in the thick surface film of our tank. In the natural environment, such films with biofilm-like properties are known as slicks (Wurl et al., 2016), and can involve high biomass, e.g., during cyanobacteria blooms (Sieburth and Conover, 1965; Wurl et al., 2018). However, our study suggests that the natural neuston community will not have significant, direct effects on O₂ gas exchange, although such thicker films limit diffusion (Wurl et al., 2016).

Implications for Gas-Exchange and Future Studies

Using microsensors, we generally observed a constant change of O_2 concentration within the uppermost 1.1 mm of the water column. This implies that the surface layer in our experimental setup had a vertical dimension of \sim 1.1 mm. Zhang et al. (2003) conducted a similar microsensor study and defined the SML as a "layer of sudden change" with a thickness of \sim 60 μ m. Interestingly, these authors used natural seawater, which had

been pre-filtered with a pore size of 0.45 µm, thus excluding large particles and most organisms in contrast to our study. Measuring within cyanobacterial aggregates floating at the airwater interface, Ploug (2008) could show that O₂ concentration changed strongly within few millimeters depth. Thereby, gross primary production was only detectable in the uppermost 1.1 mm, which very well resembles the dimension of the thick surface film observed in our study. Thus, we consider the SML in our study to be 1.1 mm thick, following the definition of Hunter (1997), that the vertical dimension of the SML is defined by "[...] physical, chemical, or biological properties that are measurably different from those of adjacent sub-surface waters." Based on this, our profile model assumes a DBL thickness of 1.1 mm, and that metabolic activity measured in the SML samples is homogeneously distributed within the DBL. This may not be true as we have only measured NCP in the samples taken with the glass-plate, which collects the SML with \sim 40–100 μ m layer thickness (Carlson, 1982a). Future studies will need to unravel if metabolic activity is changing vertically within the upper millimeters of the water column.

If we assume that the high neuston NCP rates measured in our study would only affect the ULW and not the atmosphere, the small volume of the SML compared to the remaining \sim 700-L tank volume would still allow for no more than 7.1% (see Supplementary Table 4) neuston contribution to O_2 concentration changes in the ULW. Conditions in the field would generally be more variable, and often preclude such strong accumulation of biomass in the SML except in slicks (Wurl et al., 2016). For example, cell abundances are typically enriched only at calmer sea states below $\sim 5 \text{ m s}^{-1}$ (Rahlff et al., 2017a) and slicks persist until a similar wind speed threshold (Romano, 1996). Even though our study shows that microbial activity at conditions similar to slicks (i.e., low turbulence and high biomass) are insufficient to influence the exchange of oxygen, the increased thickness of the diffusion layer across slicks can reduce gas fluxes between the ocean and atmosphere (Salter et al., 2011; Wurl et al., 2016). Surfactants are hereby of special interest as they show a global distribution, are frequently enriched in the SML and slicks (Wurl et al., 2011b) and known to significantly reduce O₂ evasion rates (Frew et al., 1990). Surfactants could have played an important role in our tank due to the high biomass observed because phytoplankton and bacteria produce surfactants (Satpute et al., 2010). Due to limited SML sample volume we could only determine surfactant concentrations in a few samples, which show overall very high concentrations in the tank (2,947 \pm 3,574 µg Teq L⁻¹; *n* = 16) compared to the natural environment (Ribas-Ribas et al., 2017) and 4.2-fold \pm 2.3 (mean \pm standard deviation, n = 8) enrichments within the SML (Supplementary Table 5). Even if we did not observe direct metabolic contributions of neuston organisms to the formation of O₂ gradients across the air-water interface, indirect influence on O₂ gas-exchange, e.g., by the organismal release of surfactants and increasing DBL thickness, cannot be excluded.

In addition, the neuston community may potentially influence the exchange of certain (trace) gases that have a low background concentration, e.g., carbon monoxide or methane (Conrad and Seiler, 1988; Upstill-Goddard et al., 2003). Whether under certain conditions, such as during extreme surface blooms of autotrophs or at sites of high organic matter availability, e.g., in aquatic foams or slicks, neuston metabolic processes could be influential for exchange processes of these trace gases, needs further investigation.

Limitations and Outlook for Future Studies

Microelectrodes have been used to investigate gradients across different marine boundary layers, e.g., sediments and microbial mats (De Beer et al., 1997), and aggregates (Ploug and Grossart, 1999). Despite the small dimensions of their tips, disturbance of the boundary layers by the introduction of microsensors has been demonstrated above marine sediment (Nøhr Glud et al., 1994). In this study, potential artifacts of the profiles measured could originate from moving the sensor through the different layers of water, and from penetrating the sensor through the water surface from the air above. Capillary forces could force the water surface to rapidly creep up the hydrophilic glass sensor tip, once the sensor tip touches the surface. This could cause a deformation of the water surface and the DBL. Such a local deformation would instantaneously raise the top of the DBL relative to the sensor tip, effectively placing the sensor tip inside the DBL. This relative shift in sensor tip position would cause a step change in the oxygen profile, both if the next data point is measured immediately and if a new diffusion steady state is awaited, which will take some tens of seconds for a 1.1 mm thick DBL (Crank, 1975). Furthermore, a deformation of the water surface in principle changes the diffusion geometry, resulting in non-linear concentration profiles inside the DBL. We could neither detect discontinuities in our oxygen profiles at the airwater interface (Supplementary Figure 8) nor systematic nonlinearity in the DBL. Consequently, we conclude that artifacts due to disturbance of the water surface and the DBL by the microsensor play an insignificant role for our results.

Microprofiling by O₂ microsensors provides a very sensitive method for studying O2 profiles at the air-water interface at high spatial resolution. The SML can be assumed to be at equilibrium with the atmosphere, and thus provides an internal reference point for calibration. This eliminates the errors associated with a separate calibration procedure that requires very careful temperature control relative to the experimental setup. However, albeit their sensitivity, microsensors could not be used to quantify O₂ production and consumption rates in the SML directly during profiling, because NCP rates were relatively low compared to the O2 background concentrations in the tank water. Assuming background concentrations to become negligible, the relative difference becomes correspondingly large (Equation 9). In this case, it is the absolute difference expressed in Equation (13), rather than the relative difference, that becomes limiting. Under favorable conditions, an O₂ sensor can have an absolute signal precision on the order of $0.1 \,\mu\text{M}$ near zero concentration. With a diffusion coefficient of 2 $\times 10^{-5}$ cm² s⁻¹ and a DBL of 1.1 mm, detecting the activity on the profile thus requires:

$$\frac{Rl^2}{8D} > \sim 0.1 \,\mu M \tag{13}$$

or

$$R > \sim 5 \,\mu mol \, L^{-1} \, h^{-1}$$
 (14)

This rate is in the same order of magnitude as many of the rates measured in this experiment. These rates could be quantified in situ by microprofiling through the air-water interface if the O₂ concentration in both the headspace and water phase has first been lowered artificially, e.g., by flushing with N₂. Such measurements would only be valid under the assumption that the process rates are not affected by the low concentration levels. However, this assumption seems reasonable, as values for the apparent half-saturation constant of the Michaelis-Menten equation (K_m) for microbial oceanic O₂ consumption have been shown to be in the nanomolar range (Tiano et al., 2014; Garcia-Robledo et al., 2016). Also, for compounds with a natural low ambient concentration and where a suitable microsensor exists, e.g., for nitrous oxide (N2O), SML microprofiling can likely be used to determine reaction rates in situ. Applying microsensors in the field is challenging mostly because sea surface motion, i.e., waves, already exceeds the spatial measurement resolution of the sensor. Collecting natural SML samples onboard research vessels might help to approach in situ conditions as close as possible, but needs to consider other obstacles, e.g., the alteration of surface area of the sample, as well as onboard vibrations that interfere with sensor measurements.

CONCLUSIONS

The formation of O_2 profiles across the SML to a water depth of 5 cm was studied using microsensor technology in an experimental setup with natural seawater. Diffusive fluxes across the 1.1 mm thick DBL could not explain the temporal changes of O_2 concentration in the tank. Instead, these temporal O_2 changes were related to temporal dynamics of biological O_2 consumption and production in the tank. Thereby, we found that plankton, but not neuston metabolic activity was the main driver of O_2 gas exchange across the SML, although neuston activity may exceed plankton activity by one order of magnitude. This pilot study is the first to present measured data that direct metabolic impact by the neuston was insufficient to (a) visibly transform O_2 gradients within the SML and (b) contribute to $\geq 7.1\%$ (see **Supplementary Table 4**) of O_2 concentration changes in the ULW. Additionally, we show that microsensors

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do not only offer the potential to unveil structural aspects of the SML, e.g., thickness, but can be used to unravel aspects on SML functionality. While transferability from laboratory to field proves difficult, studying O_2 profiles across the air-water boundary might further elucidate biological and physical aspects that act on gas fluxes.

AUTHOR CONTRIBUTIONS

CS and JR designed and conducted the experiments, acquired, and analyzed the data, except for cell count data, which were analyzed by H-AG. OW supervised the work and together with MR-R was involved in experimental design and data interpretation. LD contributed the model on neuston activity and to data interpretation. All authors contributed to writing, editing and discussion of the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars. 2019.00011/full#supplementary-material

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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