



Patterns and Drivers of UV Absorbing Chromophoric Dissolved Organic Matter in the Euphotic Layer of the Open Ocean

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luculano F, Álverez-Salgado XA, Otero J, Catalá TS, Sobrino C, Duarte CM and Agustí S (2019) Patterns and Drivers of UV Absorbing Chromophoric Dissolved Organic Matter in the Euphotic Layer of the Open Ocean. Front. Mar. Sci. 6:320. doi: 10.3389/fmars.2019.00320 The global distribution of chromophoric dissolved organic matter (CDOM) in the euphotic layer of the Atlantic, Indian, and Pacific oceans (between 35° N and 40° S) was analyzed by absorption spectroscopy during the Malaspina 2010 circumnavigation. Absorption coefficients at 254 nm (a254) and 325 nm (a325), indices (a254/a365) and spectral slopes (between 275 and 295 nm, S275-295) were calculated from the dissolved fraction of the UV absorption spectra to describe the amount and quality of CDOM. Generalized Additive Models (GAMs) were applied to evaluate the relevance of physical and biogeochemical drivers for the variability of CDOM. Besides the low CDOM values, a first division of our data following the Longhurst's biogeographic classification showed significant differences in CDOM levels among provinces. The lowest values of a₂₅₄ and a₃₂₅ were found in the oligotrophic gyres, particularly in the Indian Ocean, and the highest in the upwelling areas, particularly in the Equatorial Pacific. Opposite distributions were obtained for S₂₇₅₋₂₉₅ and a₂₅₄/a₃₆₅, indicative of higher photobleaching in the gyres. Within each province, whereas a₂₅₄ was constant through the photic layer, a₃₂₅ increased significantly with depth as a result of the dominance of photobleaching over biological production in the surface layer and the opposite at depth. The Pacific provinces, including the subtropical gyres, showed, however, significantly higher a₃₂₅ values, indicative of lower photobleaching/higher biological production. The GAM analysis indicates that a254 and a325 were primarily related to chlorophyll a (Chl a), exhibiting a significant positive linear response. Interestingly, Prochlorococcus and Synechococcus abundances were related to these absorption coefficients. Apparent oxygen utilization also contributed to explain the distributions of these absorption coefficients, being inversely related to a₂₅₄ and directly related to a₃₂₅. These results are consistent with the premise that a₂₅₄ could be a proxy for the concentration of dissolved organic carbon and a₃₂₅ for the aromatic by-products of biological degradation. The GAM analysis also shows that a254/a365 and S275-295 exhibited inverse relationships

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with solar radiation, indicating that the biological production of CDOM counteracts photodegradation as solar radiation increases. In summary, whereas photobleaching dictates the vertical distribution of CDOM, ChI *a* explains the CDOM differences among the photic layer of the tropical and subtropical ocean provinces visited during the circumnavigation.

Keywords: chromophoric dissolved organic matter, absorption spectroscopy, euphotic layer, tropical and subtropical ocean, biogeographic provinces

INTRODUCTION

A fraction of the DOM in the oceans, termed colored DOM (CDOM), is optically active, absorbing light in the ultraviolet (UV) and blue bands of the light spectrum, with absorbance decreasing exponentially toward longer wavelengths (Morel and Maritorena, 2001). CDOM is formed of a complex mixture of organic compounds of terrestrial and marine origin (Coble, 2007; Mostofa et al., 2013; Nelson and Siegel, 2013; Stedmon and Nelson, 2015). Autochthonous sources of CDOM in the euphotic layer of the open ocean include primary producers (Nelson et al., 1998; Romera-Castillo et al., 2010; Fukuzaki et al., 2014), microzooplankton (Strom et al., 1997), zooplankton (Steinberg et al., 2004; Urban-Rich et al., 2006; Ortega-Retuerta et al., 2009), and bacterioplankton (Kramer and Herndl, 2004). Advection (Siegel et al., 2002; Nelson et al., 2007, 2010; Nelson and Siegel, 2013) and vertical mixing (Højerslev et al., 1996;

Bracchini et al., 2010; Yamashita et al., 2013) also impact the distribution of CDOM in the ocean surface layer. Although allochthonous terrestrial inputs have a major effect on the distribution of CDOM in coastal areas (Miller and Moran, 1997; Blough and Del Vecchio, 2002; Chen et al., 2004; Coble, 2007), they also contribute to the open ocean CDOM (Andrew et al., 2013; Cartisano et al., 2018). These authors provided evidences that CDOM in Equatorial Atlantic and North Pacific waters is composed of a major terrestrial component. This terrestrial DOM is largely derived from degradation products of lignins (Spencer et al., 2009), possibly tannins and other hydroxyl-(methoxy) and polyhydroxy-aromatic compounds.

Chromophoric dissolved organic matter has received attention because it is the major factor controlling the attenuation of UV radiation and also contributes to attenuate the PAR in the illuminated ocean (Kirk, 1994; Zepp et al., 2007). CDOM is highly photo-reactive, being degraded upon exposure to solar radiation (Mopper et al., 2015). In fact, the main abiotic sink of CDOM in the open ocean is photobleaching (Siegel and Michaels, 1996; Nelson and Siegel, 2001; Del Vecchio and Blough, 2002; Zhang et al., 2009). This process involves the transformation of CDOM into smaller, low MW and less colored molecules (e.g., hydrocarbons, aldehydes, ketones, organic acids) or into inorganic carbon (CO and CO₂), and nutrient salts (NH4⁺ and HPO4²⁻) (Mopper et al., 1991; Miller and Zepp, 1995; Moran and Zepp, 1997; Moran et al., 2000). Thereby, photobleaching increases the underwater light penetration and, thus, the depth of the photic layer (Mopper et al., 2015). Conversely, solar radiation can also lead to an increase of CDOM through the transformation of biogenic organic compounds, such as triglycerides or the amino acid tryptophan, into more complex aromatic humic-like substances through photohumification (Kieber et al., 1997; Berto et al., 2016). Therefore, the distribution of CDOM in the open ocean is the result of the balance between biogeochemical sources, e.g., photohumification and biogeneration, and sinks, e.g., photobleaching and microbial utilization (Romera-Castillo et al., 2011), together with the physical effect of water mass advection and mixing.

The plethora of studies that describe the optical properties of CDOM in the open ocean have been chiefly focused on the calibration of sensors installed on satellites (Carder et al., 1989; Siegel et al., 2005; Fichot et al., 2008; Morel and Gentili, 2009; Swan et al., 2013) and on the study of light penetration in the ocean (Morel and Prieur, 1977; Johannessen et al., 2003; Tedetti and Sempéré, 2006; Zepp et al., 2007). Whereas the importance

Abbreviations: θ , potential temperature; λ , wavelength; $\Delta \theta$, thermal stratification; μ g, micrograms; μ mol, micromoles; Δ S, haline stratification; a_{254} , absorption coefficient at 254 nm, optical parameter describing the variability in the amount of CDOM; a254/365, absorption coefficient ratio at a254 nm/365 nm, an optical parameter describing quality of CDOM; a325, absorption coefficient at 325 nm, optical parameter describing the variability in the amount of aromatic CDOM; AIC, Akaike Information Criterion; ANOVA, analysis of variance; AOU, apparent oxygen utilization; AUSE, East Australian Coastal Province; AUSW, Australia-Indonesia Coastal Province; BB, bacterial biomass; BENG, Benguela Current Coastal Province; CARB, Caribbean Province; CDOM, chromophoric dissolved organic matter; Chl a, chlorophyll a; CO, carbon monoxide; CO₂, carbon dioxide; CTD, conductivity, temperature and depth; DCM, deep chlorophyll maximum; df, degrees of freedom; DOC, dissolved organic carbon; DOM, dissolved organic matter; EAFR, East Africa Coastal Province; EUK, eukaryotic picoautotrophs abundance; FDOM, fluorescent dissolved organic matter; FISP, in vivo fluorescence of chlorophyll; GAMs, generalized additive models; gcv, generalized cross validation; h, hours; HMW-DOM, high molecular weight dissolved organic matter; HPO4²⁻, phosphate; IQR, interquartile range; ISSG, Indian South Subtropical Gyre Province; l, path length of the cuvette; LMW- DOM, low molecular weight dissolved organic matter; MCP, microbial carbon pump; min, minutes; MJ, megajoules; MW, molecular weight; n, number; N², squared Brunt-Väisäla frequency; NASE_S, North Atlantic Subtropical Gyral Province Summer; NASE_W, North Atlantic Subtropical Gyral Province Winter; NATR _W, North Atlantic Tropical Gyral Province Winter; NATR_S, North Atlantic Tropical Gyral Province Summer; NH4⁺, ammonium; nm, nanometers; NO3⁻, nitrate; NPTG, North Pacific Gyre Province; °C, degrees Celsius; PAR, photosynthetically active radiation; PEQD, Pacific Equatorial Divergence Province; PNEC, North Pacific Equatorial Countercurrent Province; Proc, Prochlorococcus abundance; R/V, research vessel; RMSE, Root Mean Square Error; S, salinity; S275-295, spectral slope of CDOM in the range of 275-295 nm; S350-400, spectral slope of CDOM in the range of 350-400 nm; SATL, South Atlantic Gyral Province; SD, standard deviation; SPSG, South Pacific Subtropical Gyre Province; S_R, slope ratio; SSTC, South Subtropical Convergence Province; SW, surface water; SWR_W, weekly shortwave solar radiation; Syn, Synechococcus abundance; UV(R), ultraviolet (radiation); VIF, variance inflation factor; WTRA, Western Tropical Atlantic Province; z, depth.

of CDOM in ocean biogeochemical cycles is well documented (Mopper et al., 1991; Zepp, 2003; Zepp et al., 2007; Reader and Miller, 2011), analyses based on extensive *in situ* CDOM spectral measurements are thus far limited for the upper layer of the ocean (Nelson et al., 1998, 2004), being more extensively analyzed in the dark ocean (Nelson et al., 2010; Nelson and Siegel, 2013; Catalá et al., 2015). Open ocean concentrations of CDOM are difficult to estimate due to their low absorption levels, particularly at the upper photic layer of the tropical and subtropical open oceans.

There is an assortment of proxies including different absorption coefficients, spectral slopes and other indices broadly applied in the literature to quantify and characterize CDOM (Twardowski et al., 2004; Helms et al., 2008; Loiselle et al., 2009). More recently, novel approaches to model the spectral properties of CDOM based on separating the exponential decay signal due to humic substances from the spectral shoulders due to other chromophores have been proposed (Röttgers and Koch, 2012; Massicotte and Markager, 2016). The spectral slopes of CDOM in the ranges of 275-295 nm (S₂₇₅₋₂₉₅) and 350-400 nm (S₃₅₀₋₄₀₀) are widely applied to reveal MW differences and/or the effect of photobleaching (Helms et al., 2008). The absorption coefficient ratio at 254/365 nm, (a254/365) has also been proven to be a useful proxy for the average MW of CDOM (Dahlen et al., 1996; Engelhaupt et al., 2003). High values of a_{254/365} indicate lower average MW compounds (Berggren et al., 2010). The absorption coefficient at a₂₅₄ frequently exhibits a positive linear relationship with the bulk DOC concentration (Weishaar et al., 2003) since conjugated double bonds are one of the most abundant forms of carbon in the DOM pool. This linear relationship has been observed in coastal waters influenced by river plumes (Chen et al., 2011; Spencer et al., 2012) or by continental runoff (Spencer et al., 2009), as well as in open waters of the temperate Eastern North Atlantic (Lønborg and Álvarez-Salgado, 2014) and the Mediterranean Sea (Catalá et al., 2018). Given that very few solar photons of wavelength < 295 nm reach the Earth's surface (Fichot and Benner, 2011), a254 should not be directly influenced by the incident solar UV radiation (Del Vecchio and Blough, 2002). Conversely, the absorption coefficient at 325 nm (a₃₂₅), which represents the aromatic fraction of CDOM, does respond to the absorption of the solar UVA radiation and, therefore, it represents the balance between microbial production and photochemical degradation of this CDOM pool (Nelson et al., 2004).

Such a variety of indices and calculations render comparisons among studies challenging, so that a globally coherent *in situ* assessment of CDOM properties in the upper layer of the open ocean is still lacking. Here we address this gap by reporting the distribution of CDOM in the euphotic open ocean along the Malaspina 2010 Circumnavigation Expedition (Duarte, 2015), that sampled the tropical Atlantic, Indian, and Pacific Oceans. We aimed to assess the physical and biogeochemical drivers that control the CDOM distribution by firstly comparing CDOM amount and quality indices across the 15 Longhurst's biogeographic provinces visited. Secondly, we explore the relationships between the different CDOM indexes and nine physical and biogeochemical parameters characterizing the tropical and subtropical euphotic ocean.

MATERIALS AND METHODS

Cruise Track and Sample Collection

The Malaspina 2010 circumnavigation sailed the subtropical and tropical ocean on board of R/V Hesperides, from the 16th of December 2010 to the 14th of July 2011 (**Supplementary Table S1**). The expedition occupied a total of 147 hydrographic stations along the Atlantic, Indian, and Pacific oceans, between 40° S and 35° N (**Figure 1**), including fifteen Longhurst's biogeographic provinces (Longhurst, 1995, 1998), as classified following Catalá et al. (2016).

Water samples were collected through the surface layer up to the DCM. A rosette sampler with 24 Niskin bottles of 12 l was dipped at each station at about 10:00 a.m. (local time) and five nominal depths were sampled according to the profile of PAR. They were 70, 50, 20, 7% PAR and the DCM. The percentage of PAR received at the DCM depth during the study corresponded to 2.9% \pm 0.4 (mean \pm SE).

The rosette sampler was equipped with a CTD probe Seabird 911, a polarographic membrane oxygen sensor Seabird SBE43, a fluorometer Seapoint and a radiometer Biospherical/Licor to continuously obtain the vertical profiles of salinity (S), potential temperature (θ), dissolved oxygen (O₂), *in vivo* fluorescence of chlorophyll *a* (FISP) and PAR, respectively. Temperature and pressure sensors were calibrated at the SeaBird laboratory before the circumnavigation. On board, salinity calibration was carried out daily with a Guildline AUTOSAL model 8410B salinometer (Pérez-Hernández et al., 2012), the oxygen sensor was calibrated daily (in μ mol kg⁻¹) with the potentiometric endpoint Winkler method (Álvarez et al., 2012) and the fluorescence of Chl *a* profiles were calibrated by fluorimetry (in mg m⁻³) as in Estrada (2012).

Measuring and Processing CDOM Spectra

A total of 700 water samples were collected to record their absorption coefficient spectra of CDOM. They were poured from the Niskin bottles into 125 mL acid-washed glass flasks and stored in the dark to equilibrate at room temperature until measurement within 2–3 h of collection. Aliquots of 50 mL were gently filtered through a 0.2 μ m pore size Millex filter (PTFE membrane), in order to remove cells and particles, by means of a syringe, avoiding the development of bubbles. The initial 20 mL were used to flush the syringe and the filter and the remaining 30 mL to fill the quartz cuvette. Absorption measurements were performed immediately after filtration.

The UV-visible absorption spectra of CDOM were collected between 250 and 750 nm at 1 nm intervals in 10-cm path length quartz cuvettes in a double beam Perkin Elmer lambda 850 spectrophotometer. Fresh UV-milli-Q water was used as blank. The mean absorbance from 600 to 750 nm was subtracted from all absorbance measurements to correct the residual scattering caused by micro-air bubbles or colloidal material present in the sample, refractive index differences between the sample and the reference, or light attenuation that was not related to organic matter. The



estimated detection limit of the spectrophotometer is 0.001 absorbance units.

The absorbance at any wavelength was converted into Naperian absorption coefficient a_{λ} (in m⁻¹) using the equation:

$$a_{\lambda} = 2.303 \frac{[ABS_{\lambda} - ABS_{600 - -750}]}{l}$$
(1)

where ABS_{λ} is the absorbance at wavelength λ , $ABS_{600-750}$ is the average absorbance between 600 and 750 nm, *l* is the path length of the cuvette (0.1 m) and 2.303 is the factor that converts from decadic to natural logarithms. Given that the detection limit of the instrument in Naperian absorption coefficient units is 0.02 m⁻¹, we took as reliable a_{λ} measurements those above 0.05 m⁻¹, i.e., about 3 times the detection limit. This means that, given the limitations of our instrument, we discarded the visible part of the absorption spectra (wavelengths > 370 nm) and focus on the UV part.

On this basis, three optical parameters recurrently used in the literature were chosen as proxies to describe the variability in the amount (a_{254} , a_{325}) and quality ($a_{254/365}$) of CDOM. As explained in the introduction, there is not a consensus absorption coefficient wavelength for the fluorescent visible chromophores. In this work, we decided to use a_{325} for three reasons. Firstly, to allow direct comparison of our results with Nelson and collaborators, who always report a_{325} in their reference papers about CDOM in open ocean waters (e.g., Nelson et al., 1998, 2004, 2010). Secondly, because a_{325} represents the absorption of marine humic-like marine compounds (Ex/Em, 320 nm/410 nm) better than any other wavelength. And thirdly, it is sufficiently away for 370 nm, the wavelength at which absorption measurements are within the detection limit in our instrument. Furthermore, following Helms et al. (2008), a CDOM spectral slope was calculated over the narrow wavelength range 275-295 nm, S_{275–295}, using linear regressions of the natural log-transformed a_{λ} spectra. This range is identified as one of the most dynamic regions of the natural log transformed absorption spectra (Helms et al., 2013) and appears to be particularly sensitive to shifts in MW or DOM origin (Helms et al., 2008). Thus, together with the CDOM spectral slope over 350-400 nm, $S_{350-400}$, it is commonly applied as a tool for the structural characterization of CDOM. Steeper slopes indicate a faster decrease in absorption with increasing wavelength, which are inversely correlated to the MW and directly correlated to photobleaching of CDOM. Helms et al. (2008) also proposed a dimensionless parameter, the S_R , which is the ratio between, $S_{275-295}$ and $S_{350-400}$. However, $S_{350-400}$ and S_R were not calculated because of the limitations of our instrument. In any case, the assortment of indices and spectral slopes that will be used in the range 250-370 nm, will provide new insights on CDOM variability in the open tropical and subtropical ocean.

Companion Measurements and Calculations

From the salinity (S) and potential temperature (θ) at each sampling depth, we calculated derived variables such as the

thermal, haline and total stratification. The thermal and haline stratification were calculated from the gradients of potential temperature and salinity ($\Delta\theta$ and Δ S) over the upper 150 m as the difference between the potential temperature or salinity at 150 m and the shallowest CTD depth (3–6 m) and divided by the difference in depth (z). Therefore, negative values of $\Delta\theta$ and positive values of Δ S indicate stratified waters. Total stratification was quantified by the squared Brunt-Väisäla frequency (N², in min⁻²) calculated following Millard et al. (1990) between the surface and 150 m.

Apparent oxygen utilization, in μ mol kg⁻¹, was calculated as the difference between the saturation and the measured dissolved oxygen concentrations. Dissolved oxygen saturation was derived from practical salinity and potential temperature applying the equation of Benson and Krause (1984). Inorganic nutrients, and particularly Nitrate (NO₃⁻¹), in μ mol kg⁻¹, were determined on board using standard segmented flow analysis with colorimetric detection (Blasco et al., 2012).

Picophytoplankton abundance of *Prochlorococcus* (Proc), *Synechococcus* (Syn) and eukaryotic picoautotrophs (EUK) were determined on board in fresh samples by flow cytometry following Lubián (2012) as detailed in Agusti et al. (2019). BB was also determined by flow cytometry using standard protocols (Gasol and del Giorgio, 2000). A previously published calibration curve relating relative side scatter to cell size (Calvo-Díaz and Morán, 2006) was used to transform the cytometric signal and cell size was converted to biomass using the Gundersen et al. (2002) relationship.

Daily, weekly and monthly shortwave solar radiation, SWR (wavelength range, 175–3850 nm, reported in $MJm^{-2}d^{-1}$), were obtained from the National Centre for Environmental Predictions NCEP/DOE 2 reanalysis database provided by the NOAA/OAR/ESRL PSD, Boulder, CO, United States, from their website at http://www.esrl.noaa.gov/psd/ and interpolated for each location of the CTD stations cruise.

ANOVA Analysis

To evaluate the intra- and inter-province variability, and differences among PAR levels for each environmental and optical parameter, analyses of variance (ANOVA) were performed. The provinces AUSE, BENG, EAFR and NASE_W (see caption of **Figure 1** for acronyms) were excluded because they comprised less than 5 stations. To further assess the individual differences among provinces and PAR levels, Tukey *post hoc* tests were performed after the ANOVAs.

Generalized Additive Models

Generalized Additive Models (GAMs, Wood, 2006) were used to test for the effect of the environmental parameters on the variability of CDOM parameters. A GAM is a non-parametric regression technique that allows inspecting the relationship between a response variable and one (or more) explanatory variable(s) without the need to choose a particular parametric form for describing the shape of the relationship(s). The assortment of environmental drivers to be tested (SWR, S, θ , N², Δ S, $\Delta\theta$, O₂, NO₃⁻, AOU, Chl *a*, Proc, Syn, EUK, and BB) on each CDOM parameter were first analyzed using VIFs to

evaluate their covariance. After this analysis, we excluded N², O₂, NO₃⁻ and EUK from the analysis as VIFs values were above and acceptable cut-off level of 3 (Zuur et al., 2010). A cut-off level is a selected threshold used to evaluate covariability when calculating VIFs. Therefore, we finally tested a total of 10 candidate predictor variables: SWR, S, θ , Δ S, $\Delta\theta$, AOU, Chl *a*, Proc, Syn and BB (**Supplementary Table S2**). GAMs were formulated as follows:

$$Y_{i,l} = \alpha + \sum_{j} g_j (X_{i,l}^j) + \varepsilon_{i,l}$$
 (2)

where Y is the CDOM optical variable (a254, a325, a254/a365, $S_{275-295}$) measured at a station *i* and nominal level *l*, α is the intercept and X is a vector of predictor variables where the superscript *j* identifies each covariate. *g* are non-parametric smoothing functions specifying the effect of the covariates on the optical variable and $\varepsilon_{i,l}$ is the error term assumed to be normally distributed. Smoothing functions were fit by penalized cubic regression splines restricted to a maximum of three knots. The smoothness of the functions was estimated by minimizing the gcv criterion and only statistically significant covariates were retained in the final optimal formulations. For the ten explanatory variables tested (see above) all were retained but BB. Weekly SWR was selected among the daily, weekly and monthly SWR options on basis of minimizing the AIC and the gcv. For each individual explanatory variable, we estimated the relative importance using the 'pvmd' metric as implemented in the 'relaimpo 2.2-3' package (Grömping, 2006), and calculating gcv score changes when deleting covariates sequentially and one at a time while keeping the rest.

Chl *a* Proc and Syn were ln-transformed, and depth was included in all models as a "catch-all" variable to account for potential unmeasured effects on CDOM parameters. All models were fitted in R 3.5.1 software (R Development Core Team, 2018) and using the 'mgcv 1.8-27' package (Wood, 2006).

RESULTS

Physical and Biogeochemical Variability Along the Circumnavigation

The distributions of potential temperature, salinity, dissolved oxygen and fluorescence of Chl a recorded by the sensors attached to the rosette sampler (Figure 2) helped us to define the biogeographic provinces occupied during the circumnavigation (Catalá et al., 2016). Temperature showed homogeneous profiles in some biogeographic provinces such as the North Atlantic Subtropical Gyre in summer (NASE_S), the SSTC and the NPTG, and highly stratified in others, such as the NASE in winter (NASE_W), the WTRA, the PEQD, and the PNEC (Supplementary Figure S2). Salinity was also vertically homogeneous in some provinces as SSTC, EARF and the North Atlantic Tropical Gyre in summer (NATR_S) (Supplementary Figure S3) whilst showing a pronounced gradient in others such as WTRA, PNEC and the CARB (Figure 2B). AOU expanded over a wide range of values (-29.0 to 257.8 μ mol kg⁻¹) from the highly productive surface layer of coastal upwelling systems



(BENG) to the aged subsurface waters of the equatorial pacific (PNEC). AOU increased significantly with depth in all provinces, being always positive at the depth of the DCM, except in SSTC

(Figure 2C and Supplementary Figure S4). Minimum values of Chl *a* were found in the center of the oceanic subtropical gyres of the North and South Atlantic (NATR and SATL) and the Indian

(ISSG) oceans, whereas the maximum value of 1.71 mg m⁻³ was found at the DCM of NPTG (**Figure 2D** and **Supplementary Figure S5**). The depth of the DCM ranged from 37 to 159 m, with an average \pm SD of 101 \pm 31 m. The maximum depth was found in NATR_S whereas the minimum occurred at PNEC, i.e., in the equatorial upwelling of the Pacific Ocean (**Figure 3D** and **Supplementary Figure S1**).

Differences Among Biogeographic Provinces

The weekly average shortwave radiation (SWR) along the cruise ranged from 11.1 MJ m⁻²d⁻¹ in the North Atlantic gyre crossed in winter (NATR_W) to 31.0 MJ m⁻²d⁻¹ in the South Atlantic gyre (SATL), crossed in summer (**Figure 3A**). The average gradient of salinity (Δ S) was 0.00037 \pm 0.0039 m⁻¹, ranging from -0.0054 m⁻¹ in NATR_W to WTRA to 0.0122 m⁻¹ in PNEC and CARB (**Figure 3B**). The gradient of potential temperature ($\Delta\theta$) ranged from -0.115°C m⁻¹ to -0.010°C m⁻¹ in PNEC and NPTG, respectively (**Figure 3C**). The combination of the vertical profiles of $\Delta\theta$ and Δ S defines which provinces are more or less stratified.

The warmest of all provinces crossed during the circumnavigation was CARB, with a mean temperature of 28.05°C and a 25-75% IQR of 27.61-28.80°C. Only PEQD, SPSG (South Pacific Subtropical Gyre) and WTRA were as warm as CARB (Figures 4A, 5, p < 0.05). Conversely, the coldest province was SSTC, with a mean temperature of 16.95°C and an IQR of 15.69-18.44°C (Figure 4A), which was significantly colder than all the other provinces (Figures 4A, 5, p < 0.05). The saltiest province was NASE_S, with mean salinity of 37.04 and an IQR of 36.76-37.37, whilst the fresher province was PNEC, with mean salinity of 34.18 and an IQR of 33.94-34.50 (Figure 4B). Overall, significant differences were observed between almost all provinces (Figure 5). The highest AOU was found in PNEC, with a mean of 43.8 μ mol kg⁻¹ and a wide IQR from -4.9 to 70.9 μ mol kg⁻¹. The lowest AOU was found in SATL, with a mean of $-9.4 \,\mu$ mol kg⁻¹ and a narrow IQR from -13.4 to $-7.9 \ \mu mol \ kg^{-1}$ (Figure 4C). When comparing the AOU of provinces with each other, no significant differences were observed, except for PNEC (and SATL with NPTG) (Figure 5). The province with the highest Chl a was PNEC, with a mean of 0.49 mg m⁻³ and an IQR of 0.31–0.64 mg m⁻³, whilst the province with the lowest Chl a crossed during the circumnavigation was the Indian South Subtropical Gyre (ISSG), with a mean of 0.14 mg m⁻³ and a IQR of just 0.06–0.15 mg m^{-3} (Figure 4D). Also, when comparing among provinces for Chl a, PNEC was different from all the other provinces except with WTRA (Figure 5). The distributions of Proc and Syn are not presented here because they have been recently reported by Agusti et al. (2019), who found the highest abundances of Proc in NATR and SSTC, although Syn was more abundant in PNEC and SSTC. Both populations showed the lowest abundance in the Indian South Subtropical Gyre (ISSG) (Agusti et al., 2019).

Differences With PAR Level

Potential temperature ranged between the highest global mean of $24.79 \pm 3.12^{\circ}$ C (IQR, $22.63-27.42^{\circ}$ C) at the surface and the lowest mean of $20.56 \pm 3.82^{\circ}$ C (IQR, $17.39-23.53^{\circ}$ C) at the



DCM, respectively (**Figure 6A**). Salinity was rather homogeneous with the lowest global mean of 35.64 ± 0.93 (IQR, 34.98– 36.33) at the 20% level of PAR (mean depth, 38 ± 11 m) and



the highest mean of 35.77 ± 0.79 (IQR, 35.23-36.33) at the DCM (Figure 6B). AOU (Figure 6C) and Chl a (Figure 6D) increased as PAR levels decreased with depth and ranged from the highest global mean of 22.39 \pm 52.59 μ mol O₂ kg⁻¹ (IQR, 0.35–18.48 $\mu mol~O_2~kg^{-1})$ and 0.47 \pm 0.24 mg Chl a m^{-3} (IQR, 0.33–0.51 mg Chl a m⁻³) at the DCM and the lowest global mean of $-5.84 \pm 5.39 \ \mu mol \ O_2 \ kg^{-1}$ (IQR from -8.77to $-4.02 \ \mu \text{mol} \ \text{O}_2 \ \text{kg}^{-1}$) and $0.16 \pm 0.13 \ \text{mg} \ \text{Chl} \ a \ \text{m}^{-3}$ (IQR, 0.07–0.21 mg Chl a m⁻³) at the surface, respectively. There were not significant differences in salinity among PAR levels, AOU was significantly different only at the DCM, and θ and Chl a at the DCM and the 7% PAR (Supplementary Figure S10). As described in Agusti et al. (2019), Proc and Syn abundance showed a weak but significant trend to increase with Chl a concentration, and a stronger tendency to increase with temperature and PAR. The abundance of picocyanobacteria increased with increasing percent PAR until PAR reached > 10% and showed a tendency for a slight decline at PAR levels > 30% surface irradiance.

CDOM Variability Along the Circumnavigation

Differences Among Biogeographic Provinces

Among all the provinces crossed during the circumnavigation, the oligotrophic gyres were characterized by significantly lower a_{254} and a_{325} coefficients (ANOVA *F* = 107.5, 590 DF, *p* < 0.0001 for a_{254} and F = 42.14, 590 DF, p < 0.0001 for a_{325}) with the ISSG province presenting the lowest values and the equatorial upwelling PNEC province the highest values (Figure 7A and Supplementary Figure S6). The mean value of a254 was 1.12 m⁻¹ (IQR, 1.05–1.17 m⁻¹) in ISSG and 1.64 m⁻¹ (IQR, 1.46– 1.79 m⁻¹) in PNEC (Figure 7A). Mean a_{325} was 0.10 m⁻¹ (IQR, 0.07-0.14 m⁻¹) in ISSG and 0.33 m⁻¹ (IQR, 0.24- 0.43 m^{-1}) in PNEC (Figure 7B and Supplementary Figure S7). Coherently, the a254/365 quality ratio was significantly higher in the oligotrophic gyres (F = 21.9, 590 DF, p < 0.0001; Figure 7C and Supplementary Figure S8). In general, this ratio changed among biogeographic provinces, from the lowest mean of 10 (IQR, 6-13) in PNEC to the highest mean of 32 (IQR, 24-37) in NATR_S (Figure 7C). The UV spectral slope $S_{275-295}$ ranged from the lowest mean of 30.02 μ m⁻¹ (IQR, 23.49–35.47 μ m⁻¹) in the PNEC province to the highest mean of 47.19 µm⁻¹ (IQR, 41.26-53.09 µm⁻¹) in the ISSG province (Figure 7D and Supplementary Figure S9), with the subtropical gyres presenting significantly higher values (ANOVA F = 37.81, 590 DF, p < 0.0001). Further, the Indian Ocean province was significantly (p < 0.001) different from all the others for all the optical parameters (Figure 8). Conversely, the biogeographic provinces of the Pacific Ocean (including the South Australia convergence, SSTC province) were significantly





different from the rest of the provinces showing significantly higher a_{325} coefficient (ANOVA F = 156.7, 590 DF, p < 0.0001) and lower UV spectral slope $S_{275-295}$ (ANOVA, F = 160.2, 590 DF, p < 0.0001), despite the highest values measured across the study were found in the South Pacific Subtropical Gyre (SPSG, **Figure 7**).

Differences With PAR Level

Overall, the vertical profiles of a254 did not reveal significant variability as a function of PAR levels, except between 7 and 70% PAR (Supplementary Figure S11), and showed a global mean value of $1.36 \pm 0.23 \text{ m}^{-1}$ (IQR, 1.19–1.49 m⁻¹) (Figure 9A and Supplementary Figure S6). This suggests that photochemical decomposition at this wavelength is not a primary driver of CDOM variability. Otherwise, we detected a significant increase of a₃₂₅ as a function of the PAR levels from the lowest global mean of $0.14 \pm 0.08 \text{ m}^{-1}$ (IQR, $0.08-0.20 \text{ m}^{-1}$) at the surface to the highest of 0.26 \pm 0.13 m^{-1} (IQR, 0.15–0.33 $m^{-1})$ at the DCM depth (Figure 9B and Supplementary Figures S7, S11). Consistently, the lowest global mean of the CDOM quality ratio $a_{254/365}$ of 12.96 \pm 8.29 (IQR, 7.15-16.48) was found at the DCM and reached the highest global mean of 25.83 ± 15.83 (IQR, 13.35–34.35) at the surface (Figure 9C). The UV slope S₂₇₅₋₂₉₅ values exhibited the lowest mean of

 $32.4 \pm 7.9 \ \mu\text{m}^{-1}$ at the DCM (IQR, $26.1-38.5 \ \mu\text{m}^{-1}$) and the highest mean of $42.9 \pm 8.8 \ \mu\text{m}^{-1}$ at the surface (IQR, 35.8- $49.6 \ \mu\text{m}^{-1}$) (**Figure 9D**). Overall, significant differences between PAR levels were observed for all optical variables, except a_{254} (**Supplementary Figure S11**), showing the largest differences when comparing the surface and DCM layers. When analyzing the biogeographic provinces individually, differences in the vertical distribution of the absorption coefficients were observed in the provinces where stratification occurs while in those where mixing conditions prevail, like in SSTC, profiles of a_{254} and a_{325} were more homogeneous (**Supplementary Figures S6, S7**).

Linking Optical and Environmental Parameters

Generalized additive models were used to explore the physical and biogeochemical factors that drive the global distribution of optical parameters in the photic layer of the tropical and subtropical open ocean (**Figure 10**). The total deviance explained by the models for a_{254} , a_{325} , $a_{254/365}$ and $S_{275-295}$ was 32, 41, 40, and 49% respectively (**Supplementary Table S3**). In all instances, BB was not a significant variable (p > 0.05) thus excluded from the final formulations. Interestingly, the direction of the effects (with the exception of AOU) differed from a_{254} and



 a_{325} to $a_{254/365}$ and $S_{275-295}$ (Figure 10). The covariates that mainly explained the variability of a_{254} were Chl *a*, Syn, Δ S and AOU (according to pmvd in Supplementary Table S3), although removing Proc from the model could have a great impact too (Supplementary Table S3). The relationships with Chl a and Syn were nearly linear, whilst that with AOU was inverse. The relationship with ΔS was non-linear and started to be positive at positive values of the haline gradient (Figure 10). a₃₂₅ was explained by all the explanatory variables tested. Chl a, ΔS , AOU and S were the main explanatory variables (Supplementary Table S3). Biogeochemical drivers such as Chl a and AOU showed positive linear and non-linear relationships, respectively. Physical drivers such as S and ΔS showed an inverse and linear relationship until around 35.5 and 0.0, respectively. It should also be highlighted the positive relationship with Syn, as for the case of a254. The most important covariate that governs the a254/365 ratio was again Chl a, showing a nearly inverse relationship (Figure 10). Interestingly, Proc showed an inverse relationship for abundances below 6×10^4 cells mL⁻¹ and a direct relationship for abundance above that threshold (Figure 10), being this covariate an important contributor to the deviance explained in this model (Supplementary Table S3). The relationship with physical drivers such as θ and S was non-linear, although a positive linear increase was observed until a threshold around 20°C and 35.5, respectively. Consequently, the relationship of $a_{254/365}$ with the gradients of θ and S

decreased as a function of stratification. Finally, solar radiation also contributes to explain the global $a_{254/365}$, although through an apparently counterintuitive inverse relationship (**Figure 10**). The UV slope $S_{275-295}$ was explained by all the explanatory variables. The most important drivers were essentially the same drivers than for the $a_{254/365}$ ratio (**Supplementary Table S3**). In this case, it should be highlighted the nearly linear and reverse relationship with Syn, and again this covariate was an important contributor to the deviance explained by this model (**Supplementary Table S3**).

DISCUSSION

CDOM Extremes in the Tropical and Subtropical Epipelagic Open Ocean

The majority of the Longhurst's biogeographic provinces sailed during the Malaspina 2010 circumnavigation are located in tropical and subtropical oligotrophic regions. Particularly, the most oligotrophic province intercepted during the expedition was the ISSG as revealed by its low Chl *a* concentration (**Figure 4D** and **Supplementary Figure S5**), deepness of the DCM (**Figure 2D**) and low CDOM absorption in the UV range of the spectrum (**Figures 7A,B**). Specifically, at this province we found the lowest CDOM absorption values (**Figure 7B** and **Supplementary Figure S7**). Coherently, Catalá et al. (2016)



reported that the waters with the lowest fluorescence of CDOM were also found in ISSG. These results improve our current knowledge on the optical properties of these waters, since no previous data were available so far apart from the latitudinal section made by Nelson et al. (2010). According to the present literature, the lowest CDOM values in the epipelagic zone of the world ocean are expected for the eastern South Pacific Subtropical Gyre (SPSG), described as the most UV transparent oceanic waters (Bricaud et al., 2010; Morel et al., 2010; Tedetti et al., 2010), which was not visited during the Malaspina

2010 circumnavigation. Otherwise, we visited some provinces characterized by higher Chl *a* levels, due to the influence of upwelling systems like the equatorial upwelling provinces WTRA and PNEC (**Figure 4D** and **Supplementary Figure S5**). The BENG and EATR coastal upwelling provinces were also sampled during the Malaspina 2010 expedition although they were not included in our analysis as they contain less than 5 stations each (**Figure 1** and **Supplementary Table S1**). Particularly, PNEC was the most singular biogeographic province crossed during the circumnavigation, exhibiting unique hydrographic properties,



because of the influence of the equatorial upwelling forced by the trade winds and the Pacific countercurrent that transports eastwards the warm water masses of the Western Pacific, against the mean tropical trade wind forces (Schmitz, 1996). In PNEC we found the most stratified waters of all the circumnavigation and the maximum AOU and CDOM absorption coefficient values were observed. Interestingly, a₃₂₅ in the other equatorial upwelling province, WTRA, was as low as in ISSG. This can be explained by the higher SWR and lower AOU in WTRA compared with PNEC.

Chlorophyll *a* as a Driver of CDOM Variability in the Surface Open Ocean

Overall, when we gathered our data independently of the biogeographic provinces, Chl *a* was found to be the most significant biogeochemical driver of CDOM variability in the euphotic layer of the open ocean that we tested (**Supplementary Table S3**). Indeed, satellite data indicate that the global distribution of CDOM at 443 nm exhibits similar patterns to the distribution of Chl *a* (e.g., Siegel et al., 2002). In our case, both a_{254} and a_{325} show a positive linear relationship with Chl *a* (**Figure 10**). Given that phytoplankton support most of the primary production of organic matter in the open ocean

(Falkowski et al., 1998, 2003), a positive correlation between CDOM and Chl a is expected. In this sense, phytoplankton acts as a direct source of CDOM (e.g., Romera-Castillo et al., 2010; Fukuzaki et al., 2014) and also acts as an indirect source because the extracellular release of DOM, cell lysis or grazing processes trigger the heterotrophic activity. In fact, a plethora of studies have already demonstrated the role of grazers (Strom et al., 1997; Steinberg et al., 2004; Urban-Rich et al., 2006; Ortega-Retuerta et al., 2009), virus (Suttle, 2007) and bacteria (Nelson and Siegel, 2001; Rochelle-Newall and Fisher, 2002; Kramer and Herndl, 2004; Nelson et al., 2004) as indirect producers of CDOM. Hence, Chl a traces both the autotrophic and heterotrophic processes contributing to the production of CDOM in the epipelagic ocean. It should be noted too that both Proc and Syn contribute to explain CDOM indices (Figure 10). Particularly, Syn abundance is linear and positively related to both a254 and a325. The relatively low total explained variance of the absorption indices explained by the GAM models (32-49%; Supplementary Table S3) are likely related to the analytical limitations of the absorption measurements rather than to any environmental driver that we had not tested. Note that the RMSE of the GAM models is 0.09 m⁻¹ for a₃₂₅, just four times the detection limit and twice the estimated measurement error.



FIGURE 10 Partial plots derived from GAMs showing the additive effects of the physical, chemical and biological factors on the optical variables. Black lines represent the smoothing functions and the gray shaded areas represent 95% point-wise confidence intervals. Rugs (ticks) on *x*-axis indicate the distribution of the data.

AOU as a Driver Pointing That a_{254} and a_{325} Represent Different CDOM Pools

The AOU in the photic layer represents the cumulative net community respiration, i.e., the autotrophic production of dissolved oxygen minus the respiration by all autotrophs and heterotrophs (Kirchman, 2012). Therefore, negative values of AOU indicate net phytoplankton production and positive values indicate net community respiration. Our results show that a254 and a₃₂₅ present an opposite relationship with AOU in the photic layer, suggesting different CDOM pools. On the one side, a254 presents an inverse relationship with AOU (Figure 10). Note that the shape of the relationship between a254 and AOU does not change in the surroundings of AOU = 0 μ mol kg⁻¹. Therefore, it is not necessary to analyze the samples with negative AOU separately from those with positive AOU. Given that a254 reflects the amount of conjugated carbon double bonds, it is expected that it can work as a proxy of DOC not only in coastal (Weishaar et al., 2003) but also in open ocean waters (Lønborg and Álvarez-Salgado, 2014; Catalá et al., 2018). In this sense, an inverse relationship has been observed between DOC and AOU both in the euphotic (Pan et al., 2014) and the dark ocean (Aristegui et al., 2002; Hansell et al., 2009).

Conversely, a_{325} shows a positive linear relationship with AOU (**Figure 10**). As for the case of a_{254} , the relationship between a_{325} and AOU does not change around AOU = 0 µmol kg⁻¹. Given that the absorption at 325 nm is due to aromatic compounds (Coble, 1996; Jørgensen et al., 2011), the positive relationship with AOU suggests that a_{325} is a tracer for the production of the aromatic humic-like materials that absorb at 320–340 nm and fluoresce at 400–450 nm during the microbial degradation of organic matter (Coble, 1996; Jørgensen et al., 2014; Catalá et al., 2016). Therefore, a_{325} could be considered a tracer of the MCP, which postulates the production of refractory DOM as a consequence of the processing of bioavailable organic matter in the oceans (Ogawa et al., 2001; Jiao et al., 2010; Goto et al., 2017).

Maximum CDOM values were found at the DCM, where the AOU maximum is also found (**Supplementary Figure S4**), which also coincide with Chl *a* maximum for the global tropical and subtropical ocean examined in this study, indicating that biological processes are the major autochthonous source of CDOM in the open epipelagic ocean. Previous studies in the Sargasso Sea (Nelson et al., 1998, 2004) and across the NASE and SATL Atlantic provinces (Kitidis et al., 2006) also identified the largest CDOM levels at the DCM zone.

Photobleaching, the Abiotic Counter Partner

The third driver governing the CDOM patterns in the epipelagic layer was solar radiation (**Figure 10**). The incidence of solar ultraviolet radiation is not equally distributed globally, as the Southern Hemisphere is receiving consistently higher radiation than the Northern (Herman, 2010). There is also seasonal variability, although we expect low influence because the circumnavigation was run in spring and summer (Duarte, 2015). This must influence the potential photobleaching of the surface layer across the different provinces. Yet, oligotrophic tropical and subtropical regions are exposed to high penetration of solar radiation (Tedetti et al., 2007), resulting in enhanced photobleaching rates. The UV slope S275-295 has been proposed as a tracer of the photobleaching history in the open ocean (Yamashita et al., 2013). The UV slope values described in the literature for oceanic areas ranged between 10 and 30 μm^{-1} with a defined pattern of increase with decreasing depth up to 20 and 60 μ m⁻¹, plausibly due to photobleaching. Our values are in accordance with these data ranges reported by Stedmon and Nelson (2015). It is remarkable that the highest values of S₂₇₅₋₂₉₅ were found in the ISSG province during the Malaspina 2010 circumnavigation, suggesting that the subtropical waters of the Indian Ocean were the most exposed to photobleaching. By contrast, the Pacific Ocean provinces showed significantly lower values of S₂₇₅₋₂₉₅, indicating a lower impact of photobleaching on the distribution of CDOM in those waters (Figure 8).

The vertical variability of $a_{254/365}$ (Figures 9B,C) also points to photobleaching in SW. Two opposite processes act on this ratio throughout the photic layer: on the one side the biogeneration of CDOM, higher at the DCM, due to autotrophic production and microbial respiration (Nelson et al., 1998, 2004; Rochelle-Newall and Fisher, 2002), that tends to lower this ratio because of the production of high MW aromatic molecules; on the other side, photobleaching, more intense at the surface layer, tend to increase this ratio, because of the degradation of high MW colored into low MW colorless molecules, CO or CO₂ (Mopper et al., 1991; Miller and Zepp, 1995; Moran and Zepp, 1997; Moran et al., 2000). These coupled effects result in a decrease with PAR of the average MW of CDOM. This change in the molecular composition of CDOM is also suggested by the vertical profiles of fluorescent CDOM in epipelagic open ocean waters (Kowalczuk et al., 2013; Catalá et al., 2016).

Given that depth can be used as a proxy of the light extinction in the water column, a positive linear relationship would be expected between a254/365 and solar radiation because a254 is much less sensitive to solar radiation than a₃₂₅ (Nelson et al., 2004; Fichot and Benner, 2011). Conversely, when the $a_{254/365}$ ratio is integrated in the water column, an inverse relationship between SWR and a254/365 is obtained with the GAM analysis (Figure 10). This means that for a given depth or %PAR level, the waters that received higher solar radiation would present a lower a254/365 ratio. To observe this reduction in a254/365 with increasing solar radiation, the biological production of CDOM should exceed the effect of photobleaching. Therefore, our results suggest the predominance of biotic sources (i.e., photosynthetic production and autotrophic plus heterotrophic respiration) on abiotic sinks (i.e., photobleaching) determining the CDOM patterns in the open euphotic ocean. As a result, biological production predominates over photochemical decomposition of CDOM when both processes are integrated over the photic layer to examine the differences among biogeographic provinces.

Up to nine explanatory variables have been retained by the GAMs explaining the dynamics of CDOM in the euphotic layer of the tropical and subtropical open ocean (**Figure 10**). These GAMs include both physical (SWR, θ , S, N²) and biogeochemical (Chl *a*, Proc, Syn and AOU) explanatory variables. Comparatively,

CDOM dynamics in the deep ocean is essentially different and somewhat simpler. Key drivers in the epipelagic layer such as solar radiation, stratification, Chl *a* or phytoplankton species do not shape CDOM dynamics in the deep ocean. Key drivers in this case are mostly water mass mixing and aging. Catalá et al. (2015) demonstrated with the deep ocean data from the Malaspina 2010 circumnavigation that water masses of different origin have a different initial CDOM fingerprint and that this fingerprint modifies by mixing with other water masses and by the organic matter degradation processes that take place during that mixing. Given that θ and S are used for water mass mixing analysis and that AOU is a widely used proxy for biodegradation processes in the ocean, it results that CDOM dynamics in the deep ocean can be modeled by just three explanatory variables: θ and S and AOU (Nelson et al., 2010; Catalá et al., 2015).

SUMMARY AND CONCLUSION

Absorption indices and spectral slopes (a254, a325, a254/365, and S₂₇₅₋₂₉₅) in the UV range of the spectrum have allowed us to explore the biogeographic differences in the amount and properties of CDOM across the tropical and subtropical open ocean. Lower a254 and a325 and higher a254/365 and $S_{275-295}$ values were obtained in the oligotrophic subtropical provinces. The most transparent waters were observed in the ISSG. Conversely, the opposite trend was observed in the eutrophic PNEC. All the Pacific biogeographic provinces were characterized by significantly higher a254 and a325 and lower a254/365 values, suggesting a dominance of biological production over photobleaching of CDOM. a254 and a325 correlate positively with Chl a, and particularly with Syn, suggesting that primary producers and the associated microbial food web act as a significant autochthonous source of CDOM in the open ocean. Conversely, a254 decreased with AOU, resembling the behavior of DOC, whereas a₃₂₅ increased with AOU in response to heterotrophic respiration, resembling the behavior of humic-like material. Furthermore, a325 is sensitive to UV photobleaching, being also a tracer for photodegradation processes. The relationship of the ratio a254/365 and the slope S275-295 with the physical and biogeochemical explanatory variables suggest a balance between an increase in the aromaticity and average MW of CDOM in response to the microbial degradation of organic matter and a decrease of these indexes in response to photobleaching.

AUTHOR CONTRIBUTIONS

CD and SA designed the research. FI, XÁ-S, TC, CS, CD, and SA participated in sample collection during the circumnavigation. FI, XÁ-S, and JO processed the spectra and did the statistical analyses. FI and XÁ-S wrote the fist draft of the manuscript. All authors read, commented, and improved the draft. All authors approved the final draft of the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars. 2019.00320/full#supplementary-material

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