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Population structure and genetic diversity in wild dotted gizzard shad (*Konosirus punctatus*) revealed by microsatellite markers

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Uncovering the fine-scale genetic structure has been long recognized as a key component in policymaking for the management of marine fisheries. Many species of Clupeiformes have suffered declines owing to overexploitation and habitat destruction. In this study, twenty polymorphic microsatellite markers were used to evaluate the genetic diversity and population structure of *Konosirus punctatus*, a pelagic fish of economic and ecological importance in the Northwestern Pacific Ocean. Although most of the variance occurred within individuals, significant differentiation ($F_{ST} = 0.00384\sim 0.19346$) was shown in wild *K. punctatus* populations. Population structure analyses revealed five genetically divergent clades in *K. punctatus* in the Northwestern Pacific. Significant isolation by distance and one potentially outlier locus were revealed in *K. punctatus*, suggesting that interactions between historical climate shifts and environmental factors may contribute to the present-day genetic architecture. In summary, these results provided new perspectives on the population genetic structure of *K. punctatus*, facilitating the development of effective management strategies for this species.

KEYWORDS

Konosirus punctatus, microsatellites, genetic diversity, population structure, outliers

Introduction

Ignorance of population structure in fisheries management might lead to unintended risks of overfishing (Ying et al., 2011). For the past few decades, researchers have increasingly recognized the importance of uncovering the fine-scale genetic structure as well as the local adaptation of marine fish, especially for species of high economic and ecological importance (Laikre et al., 2005; Casey et al., 2016). This ultimately improves the spatial delineation of marine protected areas and fishery stocks. Marine ecosystems are traditionally regarded to be highly interconnected (Cano et al., 2008). However, the view on the population structure of marine fish with high gene flow has changed significantly over the past decade. Growing genomic studies of marine fish populations reveal a complex picture of spatial genetic differentiation not only at macrogeographic but also microgeographic scales (Hess et al., 2013; Guo et al., 2016; Liu et al., 2016).

Clupeiformes, including over 400 species, largely contribute to global food security and ecological balance (SOFIA, 2018; Birge et al., 2021). Traditional viewpoints of life history characteristics of Clupeiformes, such as early age of maturation and high fecundity, have hindered the management of these species (Birge et al., 2021). Besides, *Tenuulosa reevesii* (Clupeiformes: Clupeidae) was declared to be functionally extinct (Zhang et al., 2020) and *Coilia nasus* (Clupeiformes: Engraulidae) populations have sharply declined (Xue et al., 2019) due to overexploitation and habitat destruction. After trials of the partial fishing ban, the Chinese government issued a ten-year fishing ban plan on the total basin of the Yangtze River from 2020 (Wang et al., 2022). Thus, it is particularly important to implement continuous fisheries monitoring for stocks that are under fishing pressure. The dotted gizzard shad, *Konosirus punctatus* (Clupeiformes: Clupeidae), is an economically important pelagic fish species and is widely distributed along the coasts of the Northwestern Pacific (Zhang, 2001). *K. punctatus* is a euryhaline species that migrates into shallower brackish water for breeding from April to August, and takes two years to reach adulthood (Myoung and Kim, 2014). *K. punctatus* is regarded as one of the most abundant fishery resources in the coastal regions of the Northwestern Pacific. However, the annual global capture production of this species is showing a prominent decline, from an annual production of 23,707 tonnes in 1995 to 4,200 tonnes in 2020 (FAO, 2022). In addition, the wild populations of *K. punctatus* in some areas have shown obvious degradation of biological characteristics such as miniaturization and early sexual maturity due to overfishing as well as the degradation of estuarine ecosystems (Gao et al., 2019). In addition, this commercially important species is regarded as the base of the coastal marine food web and serve as a major prey source for numerous predators, playing a critical role in the energy flow as

well as nutrient recycling (Zhang, 2001). Therefore, it is essential to investigate the genetic diversity and population structure of *K. punctatus* for the designation of management and conservation units.

Previous studies based on mtDNA data indicated that the wild *K. punctatus* in the Northwestern Pacific comprised distinct lineages (Gwak et al., 2015; Song et al., 2016; Liu et al., 2020). These studies improved the understanding of the genetic structure of *K. punctatus* populations in the Northwestern Pacific, but mtDNA markers may not be able to uncover the fine population structure of marine fish due to limited resolution. Hence, a comprehensive population genetic analysis of *K. punctatus* is still needed to assess patterns of population genetic divergence throughout its distribution. Microsatellites (simple sequence repeats, SSRs) are codominant markers, which are present in both coding and non-coding regions and characterized by a high degree of length polymorphism (Zane et al., 2002). Besides, microsatellites are widely used in population genetic studies of marine organisms as a result of a good balance between polymorphism and labor cost (Abdul-Muneer, 2014).

In this study, we describe the genetic diversity and population structure of wild *K. punctatus* from the Pacific Northwest coast using twenty polymorphic microsatellite markers. Such genetic information will improve conservation as well as sustainable fisheries management policies of *K. punctatus* in the Northwestern Pacific.

Materials and methods

Sampling and DNA extraction

A total of 247 wild *K. punctatus* individuals were collected for population analysis from 12 geographical locations (Figure 1; Supplementary Table S1), including ten locations along the coast of China (Dandong: DG; Qinhuangdao: QHD; Dongying: DY; Laizhou: LZ; Rizhao: SRZ; Lvsi: LS; Zhoushan: ZS; Wenzhou: WZ; Sanwei: SW; Zhongshan: GS), one location from Korea (Jeolla: HQ), and one location from Japan (Saga: RZ). Caudal fin or muscle was sampled from each fish and genomic DNA was extracted using the standard phenol-chloroform method. The extracted DNAs were checked using 1.5% agarose gel electrophoresis and then stored at -20°C until use.

Microsatellite genotyping

All *K. punctatus* individuals were genotyped using the only available microsatellite markers (kpun01~kpun20) developed from a reference genome of *K. punctatus* (Supplementary Table S2) (Liu et al., 2022). The fluorescent primers were

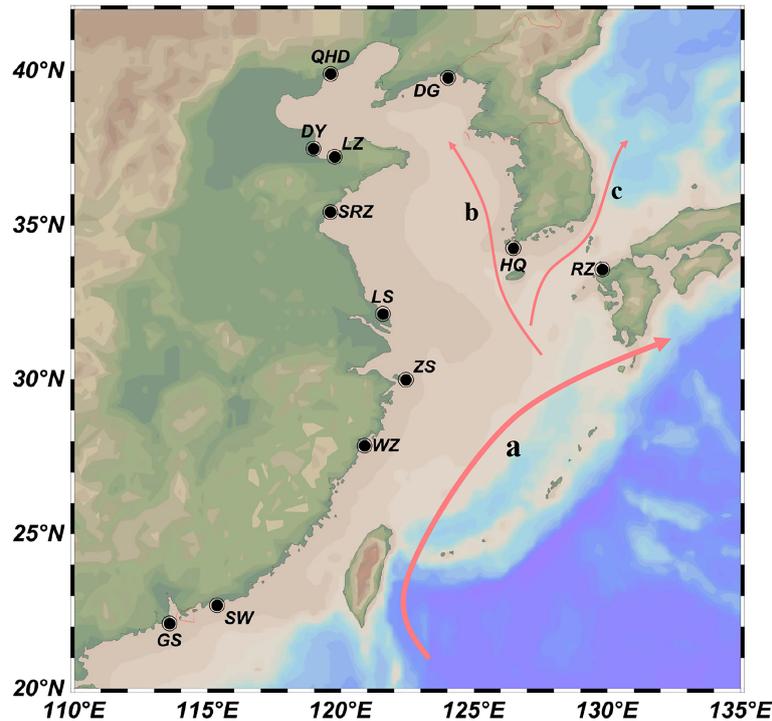


FIGURE 1

Schematic map of sampling locations along the coastal waters of Northwestern Pacific. 247 individuals of *K. punctatus* from 12 geographic locations (RZ, HQ, DG, QHD, DY, LZ, SRZ, LS, ZS, WZ, SW, and GS) were collected for microsatellite amplification. The arrows denote the prevailing ocean currents: (A) Kuroshio Current; (B) Yellow Sea Warm Current; (C) Tsushima Current.

labeled with the fluorescent dye (FAM, HEX, or TAMRA) in the forward primers of each locus. PCRs were carried out in 10 μ l reaction volumes containing 5 μ l Master Mix, 3.5 double-distilled H₂O, 1 μ l genomic DNA, 0.25 μ l of forward primers, and 0.25 μ l of reverse primers. PCR amplification was performed with the following conditions: 1) initial denaturation of 4 min at 94°C; 2) followed by 35 cycles of denaturing at 94°C for 30s; 3) annealing at 50~60°C for 30s; 4) extension at 72°C for 25s; 5) final extension of 10 min at 72°C. The PCR products were sent to Sangon Biotech (Shanghai) Co., Ltd for genotyping by capillary electrophoresis using GS 500 ladder as reference. The product size and genotypes of all samples were determined and manually corrected using GeneMarker v2.2 (Hulce et al., 2011).

Genetic diversity and population structure

The number of alleles per locus (N), polymorphic information content (PIC), and null allele frequency values (F_{null}) of each locus were calculated by Cervus v3.0.7 (Kalinowski et al., 2007). Cervus v3.0.7 (Kalinowski et al., 2007) was also used to estimate departures from Hardy-Weinberg equilibrium (HWE) with Bonferroni correction for evaluating the significance of HWE deviations. The

effective number of alleles (N_e), observed heterozygosity (H_o), expected heterozygosity (H_e), and F-statistics (F_{IS} , F_{IT} , and F_{ST}) of each locus were calculated using GenAlEx 6.51b2 (Peakall and Smouse, 2012). Linkage disequilibrium (LD) between loci was tested using Genepop v4.7.5 (Rousset, 2008) with the Markov chain method (10,000 dememorization steps, 100 batches, 5,000 interactions), and a Bonferroni correction for multiple testing was then applied. Allelic richness (A_R) and inbreeding coefficient (F_{IS}) of each population was calculated by FSTAT v2.9.4 (Goudet, 2001). The observed number of alleles (N_a), effective number of alleles (N_e), observed heterozygosity (H_o), and expected heterozygosity (H_e) of each population were estimated using GenAlEx 6.51b2 (Peakall and Smouse, 2012).

Pairwise F_{ST} values between populations were obtained using Arlequin v3.5.1.2 (Excoffier and Lischer, 2010) with 10,000 permutations. GenAlEx 6.51b2 (Peakall and Smouse, 2012) was used to calculate the Nei's genetic distance (D_A) (Nei et al., 1983) between populations. The unweighted pair group method with arithmetic mean (UPGMA) tree was constructed using MEGA X (Kumar et al., 2018) based on Nei's genetic distance and visualized through the online tool iTOL (<https://itol.embl.de/>) (Letunic and Bork, 2021). The Mantel test performed in GenAlEx 6.51b2 (Peakall and Smouse, 2012) was used to detect the correlation between Nei's genetic distances versus geographic distances (km)

and Nei's genetic distances versus environmental distances with 10,000 permutations. Four factors (annual mean temperature, mean monthly temperature, annual mean salinity, and mean monthly salinity) of each sampling site were selected as representative of environmental factors. The environmental distance matrix was calculated based on the Euclidean distance using NTSYS-pc v2.10 (Exeter software, USA). Principal coordinate analysis (PCoA) based on genetic distance was conducted using GenAlEx 6.51b2 (Peakall and Smouse, 2012). The software STRUCTURE v2.3.4 (Pritchard et al., 2000) was applied to carry out a Bayesian clustering analysis based on microsatellite genotypes. Admixture model was used, assuming correlated allele frequency, with the option of "with no prior knowledge of sampling locations". *K* value ranged from 1 to 12 with a burn-in period of 100,000 and a run length of 1,000,000, and ten replicates were run for each *K*. The optimum number of *K* was determined by Evanno's method implemented on Structure Harvester website (Earl and VonHoldt, 2012). The program Clumpp v1.1.2 (Jakobsson and Rosenberg, 2007) was used to align the 10 repetitions, and the results were then graphically displayed by the program Distruct v1.1 (Rosenberg, 2004). To test the partitioning of genetic variation within and among populations, a hierarchical analysis of molecular variance (AMOVA) was performed using the software Arlequin v3.5.1.2 (Excoffier and Lischer, 2010) with 10,000 permutations. For AMOVA hierarchical analysis, populations were grouped by four scenarios inferred from population structure analysis: (1) two groups including northern (RZ, HQ, DG, QHD, LZ, DY, SRZ, LS, ZS, WZ) and southern (SW, GS) groups; (2) three groups including Chinese (QHD, LZ, DY, SRZ, LS, ZS, WZ, SW, GS), Korean (HQ, DG) and Japanese (RZ) lineages; (3) three groups including northern (RZ, HQ, DG, QHD, LZ, DY, SRZ, LS, ZS, WZ), southern-a (SW) and southern-b (GS) groups; (4) five groups including northern-a (RZ), northern-b (HQ, DG), northern-c (QHD, LZ, DY, SRZ, LS, ZS, WZ), southern-a (SW) and southern-b (GS) groups.

The software BOTTLENECK v1.2.02 (Piry et al., 1999) was used to assess the occurrence of demographic bottlenecks in each population. The Two-phase Mutation (TPM) and the Stepwise mutation (SMM) models were used to test for heterozygosity excess. Overall, 1,000 simulations were run for both models, setting the proportion of one-step mutations at 70%, and the variance of multi-step mutations at 30% for the TPM. One-tail Wilcoxon sign-ranked tests were used to test for heterozygosity excess as recommended by Piry et al. (1999) when less than 20 loci are available. In addition, mode-shift tests were used to identify potential bottlenecks by visualizing the allele frequency (Cornuet and Luikart, 1996).

Outlier test

Two approaches were applied to detect loci that potentially depart from neutral expectations. We used the hierarchical

method developed by Excoffier et al. (2009) and implemented in Arlequin v3.5.1.2 (Excoffier and Lischer, 2010). This method assumes a hierarchical island model of migration between structured populations. 100,000 coalescent simulations were performed, assuming 50 groups and 100 demes per group. The observed data from each locus were compared with the simulated distribution, and a particular locus was classified as a significant outlier if it lay outside the 99% confidence envelope. A Bayesian method implemented in BAYESCAN 2.1 (Foll and Gaggiotti, 2008) was also applied to test for signatures of selection. We ran 20 pilot runs of 5000 iterations and an additional burn-in of 500,000 iterations with a thinning interval of 20 and a final sample size of 50,000. The threshold false discovery rate (FDR) was set at 1% to reduce statistical errors due to multiple testing.

Results

Genetic diversity

The genetic diversity indices of the twenty microsatellite loci used in this study are shown in Table 1. A total of 589 alleles were detected in 247 individuals, with an average value of 29.20 alleles per locus. The locus Kpun03 showed the highest number of effective alleles (8.598), while the lowest number of effective alleles (2.582) was detected in Kpun05. The average number of observed heterozygosity varied from 0.480 (Kpun17) to 0.870 (Kpun09) with an average of 0.694, and the expected heterozygosity ranged from 0.585 (Kpun05) to 0.876 (Kpun09) with an average of 0.773. All loci were highly polymorphic ($PIC > 0.500$), ranging from 0.660 (Kpun5) to 0.916 (Kpun09). Deviation from HWE was detected in nine loci (Kpun01, Kpun05, Kpun08, Kpun11, Kpun12, Kpun15, Kpun16, Kpun17, Kpun20) with Bonferroni correction. Null alleles occurred in all loci, with two microsatellite loci showing high null allele frequencies ($F_{null} > 0.2$) and seven loci showing moderate null allele frequencies ($0.1 < F_{null} < 0.2$). No linkage disequilibrium was detected between pairs of loci after the Bonferroni correction. The genetic diversity of each sampled population is presented in Table 2. The mean allelic richness was 9.117 and ranged from 8.350 (QHD) to 9.729 (GS). The average number of observed alleles varied from 8.350 in QHD to 11.750 in GS with an average of 10.246, whereas the average number of effective alleles ranged from 4.515 in RZ to 6.122 in DG with an average of 5.447. The average H_o and H_e were 0.753 and 0.810, respectively.

Detection of loci under putative selection

The test implemented in Arlequin indicated four loci (Kpun01, Kpun05, Kpun08, Kpun10) were outliers for

TABLE 1 Genetic diversity of 20 polymorphic microsatellite markers for *K. punctatus*.

Locus	<i>N</i>	<i>Ne</i>	<i>Ho</i>	<i>He</i>	<i>F_{IS}</i>	<i>F_{IT}</i>	<i>F_{ST}</i>	PIC	HWE	Fnull
Kpun01	27	4.017	0.652	0.719	0.093	0.213	0.132	0.806	*	0.1175
Kpun02	37	8.202	0.841	0.862	0.024	0.087	0.064	0.915	NS	0.0455
Kpun03	36	8.598	0.754	0.871	0.134	0.171	0.043	0.905	NS	0.0822
Kpun04	30	5.040	0.754	0.795	0.051	0.103	0.054	0.829	NS	0.0474
Kpun05	24	2.582	0.536	0.585	0.084	0.222	0.151	0.660	***	0.1173
Kpun06	19	4.538	0.801	0.746	-0.074	-0.008	0.062	0.772	NS	-0.0044
Kpun07	19	3.133	0.609	0.645	0.055	0.116	0.064	0.667	NS	0.0575
Kpun08	23	3.742	0.666	0.699	0.048	0.240	0.202	0.858	***	0.1258
Kpun09	35	8.535	0.870	0.876	0.006	0.056	0.051	0.916	NE	0.0257
Kpun10	21	4.633	0.694	0.758	0.084	0.213	0.141	0.870	NS	0.1223
Kpun11	37	5.561	0.741	0.800	0.073	0.156	0.090	0.870	**	0.0899
Kpun12	32	7.964	0.703	0.868	0.190	0.228	0.047	0.904	***	0.1313
Kpun13	28	6.288	0.735	0.805	0.087	0.157	0.076	0.855	NS	0.084
Kpun14	25	4.874	0.633	0.759	0.165	0.249	0.101	0.828	NS	0.1358
Kpun15	31	4.948	0.697	0.787	0.114	0.173	0.067	0.830	**	0.0854
Kpun16	34	5.515	0.693	0.810	0.144	0.206	0.072	0.856	***	0.1154
Kpun17	24	3.808	0.480	0.710	0.324	0.426	0.152	0.806	***	0.2717
Kpun18	39	6.601	0.771	0.839	0.081	0.150	0.076	0.895	NS	0.0743
Kpun19	30	5.961	0.724	0.803	0.098	0.174	0.084	0.863	NS	0.0842
Kpun20	33	4.391	0.530	0.716	0.259	0.358	0.134	0.800	***	0.2044
Mean	29.20	5.447	0.694	0.773	0.102	0.185	0.093	0.835		0.1007

N, number of alleles; *Ne*, effective number of alleles; *Ho*, observed heterozygosity; *He*, expected heterozygosity; *F_{IS}*, inbreeding coefficient of an individual relative to the subpopulation; *F_{IT}*, inbreeding coefficient of an individual relative to the total population; *F_{ST}*, fixation index; PIC, polymorphic information content; HWE, deviation from the Hardy-Weinberg equilibrium (NS, non-significant; NE, not evaluated; *; < 0.01; **; < 0.001, ***; < 0.0001); Fnull, null allele frequency.

divergent selection (upper bound of null distribution), whereas Kpun12 was an outlier for balancing selection (lower bound of null distribution) (Figure 2A). The BayeScan test supported three outlier loci (Kpun12, Kpun16, and Kpun18) (Figure 2B). Thus, the locus Kpun12 found by both methods was regarded as

a potential outlier for balancing selection. Potential effects of natural or hitchhiking selection on microsatellite loci may obscure inferred patterns of neutral demographic processes (Nielsen et al., 2006). We conducted subsequent population analyses using (i) all loci and (ii) excluding the outlier locus.

TABLE 2 Genetic diversity statistics of twelve *K. punctatus* populations using 20 microsatellite markers.

Population	<i>A_R</i>	<i>Na</i>	<i>Ne</i>	<i>Ho</i>	<i>He</i>	<i>F_{IS}</i>
RZ	8.481	9.650	4.515	0.650	0.723	0.126
HQ	8.974	9.650	5.549	0.687	0.777	0.143
DG	9.693	10.150	6.122	0.715	0.810	0.148
QHD	8.350	8.350	4.857	0.753	0.765	0.050
DY	8.863	10.600	5.259	0.683	0.780	0.145
LZ	8.800	10.450	5.291	0.733	0.766	0.064
SRZ	8.861	10.350	5.487	0.713	0.780	0.108
LS	9.438	10.500	5.932	0.710	0.796	0.133
ZS	9.281	11.050	5.379	0.723	0.781	0.096
WZ	9.586	11.100	5.639	0.717	0.778	0.103
SW	9.350	9.350	5.514	0.653	0.770	0.185
GS	9.729	11.750	5.819	0.596	0.744	0.221
Mean	9.117	10.246	5.447	0.694	0.773	0.127

A_R, allelic richness; *Na*, observed number of alleles; *Ne*, effective number of alleles; *Ho*, observed heterozygosity; *He*, expected heterozygosity; *F_{IS}*, inbreeding coefficient.

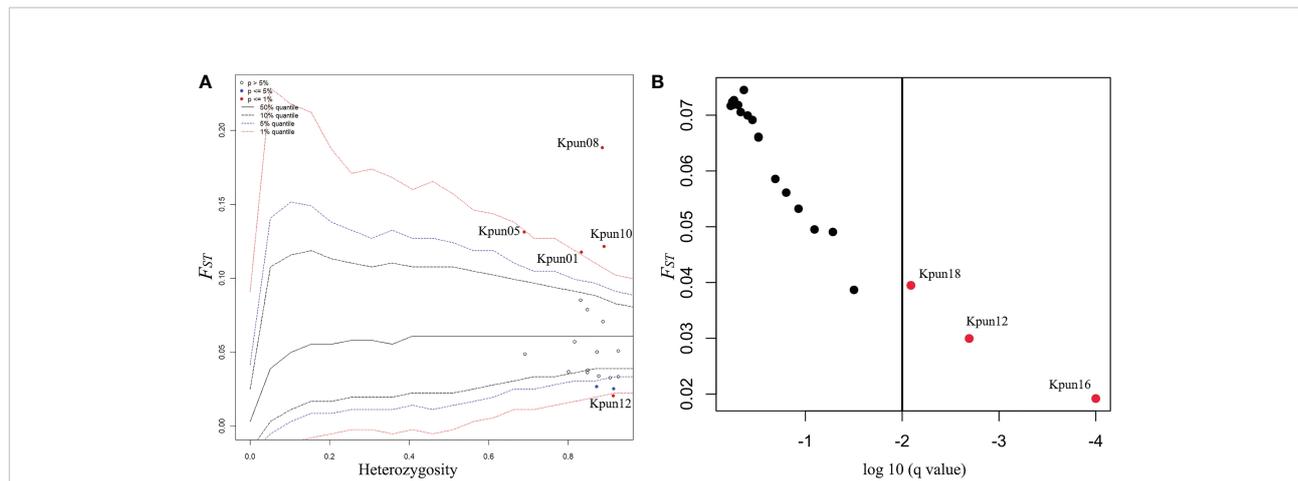


FIGURE 2
 Plots of results of outlier tests. **(A)** The hierarchical island model test for selection completed using the program Arlequin. F_{ST} is plotted against expected heterozygosity. Confidence intervals limits for F_{ST} estimated in relation to heterozygosity are dashed lines. Each marker is a circle. Significant outlier loci at 5% and 1% level are shown in blue and red circles, respectively. Red dots are regarded as outlier loci. **(B)** The Bayesian test for selection completed using the program BayeScan. The red dots on the right side of the vertical line are above a 0.99 probability of being candidates of selection.

Demographic history of *K. punctatus*

One-tail Wilcoxon rank tests for heterozygosity excess were not statistically significant for both TPM and SMM in each population (Table 3). Besides, the allele frequencies of all populations showed normal ‘L’ shaped distribution of the mode-shift test (Supplementary Figure S1). Both tests indicated that all populations of *K. punctatus* did not experience demographic bottlenecks.

Genetic differentiation and genetic structure

Using all microsatellite loci, we revealed a highly significant population structure of *K. punctatus*. The pairwise F_{ST} using all microsatellite loci varied from 0.00384 (between ZS and WZ) to 0.19346 (between RZ and GS) (Table 4). The Mantel test for isolation by distance demonstrated that there was a highly significant correlation between genetic distances and

TABLE 3 Bottleneck analysis of 12 *K. punctatus* populations using neutral microsatellite markers under the two-phase mutation model (TPM) and stepwise mutation model (SMM).

Population	Wilcoxon test			
	TPM		SMM	
	One tail for H deficiency	One tail for H excess	One tail for H deficiency	One tail for H excess
RZ	0.00000	1.00000	0.00000	1.00000
HQ	0.00120	0.99899	0.00010	0.99992
DG	0.01803	0.98383	0.00266	0.99771
QHD	0.01149	0.98979	0.00541	0.99527
DY	0.00013	0.99990	0.00001	0.99999
LZ	0.00002	0.99998	0.00001	0.99999
SRZ	0.00541	0.99527	0.00142	0.99880
LS	0.01803	0.98383	0.00167	0.99858
ZS	0.00000	1.00000	0.00000	1.00000
WZ	0.00001	0.99999	0.00000	1.00000
SW	0.00473	0.99588	0.00120	0.99899
GS	0.00001	1.00000	0.00000	1.00000

Parameters for TPM: proportion of one-step mutations at 70%, and the variance of multi-step mutations at 30%. $P < 0.05$: significant differences between the observed and expected values for heterozygosity excess.

TABLE 4 Pairwise F_{ST} values using all loci (below diagonal) and Pairwise F_{ST} values using neutral loci (above diagonal) among populations of *K. punctatus*.

	RZ	HQ	DG	QHD	DY	LZ	SRZ	LS	ZS	WZ	SW	GS
RZ		0.10270	0.11185	0.07480	0.07814	0.09917	0.09145	0.10047	0.08976	0.09239	0.17705	0.20140
HQ	0.10398		0.02781	0.06495	0.06160	0.08363	0.07813	0.06586	0.08468	0.07801	0.13406	0.17337
DG	0.10891	0.02101		0.07301	0.04931	0.05614	0.05253	0.04154	0.05440	0.04822	0.12422	0.14440
QHD	0.07260	0.05785	0.06483		0.01896	0.02864	0.02465	0.03024	0.03636	0.03432	0.13159	0.16448
DY	0.07478	0.05459	0.04648	0.01746		0.00459	0.00678	0.00888	0.00516	0.00367	0.12120	0.14715
LZ	0.09626	0.07737	0.05431	0.02908	0.00733		0.00054	0.00719	0.00641	0.00706	0.13412	0.15624
SRZ	0.08960	0.07469	0.05219	0.02577	0.00712	0.00411		0.00571	0.00522	0.00673	0.12179	0.15207
LS	0.10098	0.06001	0.03852	0.02951	0.01047	0.01056	0.00669		0.01172	0.00144	0.11569	0.14481
ZS	0.08926	0.07823	0.04980	0.03579	0.00650	0.00642	0.00543	0.01140		0.00651	0.12440	0.14972
WZ	0.09161	0.07599	0.04852	0.03177	0.00446	0.00599	0.00438	0.00451	0.00384		0.12423	0.14863
SW	0.17156	0.13238	0.11782	0.12034	0.11509	0.13168	0.11578	0.11271	0.12003	0.12033		0.17252
GS	0.19346	0.16542	0.13722	0.15400	0.14004	0.15116	0.14669	0.13848	0.14323	0.14414	0.16602	

Significantly differentiated ($P < 0.05$) probability values following correction for multiple tests are indicated in bold.

geographical distances ($R^2 = 0.5266$, $P < 0.001$) of *K. punctatus* along the Pacific Northwest coast (Figure 3A). A significant correlation between genetic distances and environmental distance ($R^2 = 0.2996$, $P = 0.011$) was also revealed by the Mantel test (Figure 3B). The UPGMA tree (Figure 4A) and PCoA (Figure 4B) analysis separated GS and SW (southern group) from others (northern group). The second principal coordinate, which explains 3.76% of the total variation, tended to subdivide the northern group into western (RZ, HQ, DG) and eastern (all the other) populations (Figure 4B), which was supported by the UPGMA tree (Figure 4A). A similar genetic structure was shown by the STRUCTURE clustering algorithm (Figure 4C). Based on the ΔK values, a prevailing signal for $K = 5$ and a comparatively weak signal for $K = 2$ were obtained (Supplementary Figure S2). When $K = 2$, these samples of *K. punctatus* could be divided into two main groups (northern and southern groups) (Figure 4C). For $K = 5$, populations were grouped into five genetic clusters, which were denoted as north-a (RZ), north-b (HQ and DG), north-c (QHD, DY, LZ, SRZ, LS, ZS, and WZ), south-a (SW), and south-b (GS) (Figure 4C). The AMOVA demonstrated that the variance among populations (7.69%) was strongly lower than within populations (92.31%), resulting in moderate genetic differentiation ($F_{ST} = 0.07693$) (Table 5). Assuming two genetic pools (northern and southern groups), the AMOVA analysis also showed that the variance within populations (87.51%) was higher than the variance existing among populations within groups (5.22%) and among groups (7.72%) (Table 5). The variance among groups rose to (10.79%) when populations were subdivided into five genetic pools, based on Bayesian clustering results (Table 5). This overall pattern did not change when excluding the outlier locus (Supplementary Figures S3, S4).

Discussion

Assessment of microsatellite markers

Genome-wide genetic information can help us better understand the population structures of organisms. Previous genetic approaches, based on mtDNA markers, may not be able to reveal the true population structure of *K. punctatus* due to limited resolution. Microsatellite DNA markers are particularly useful in monitoring genetic variability due to their inherent high mutation rates and thus have also been successfully applied to the management of fisheries species (Abdul-Muneer, 2014). In this study, twenty microsatellite markers were used to reveal the population structure of *K. punctatus* in the Northwest Pacific. All these microsatellite markers showed high polymorphism ($PIC > 0.500$, mean $PIC = 0.835$) (Table 1). Nine microsatellite loci significantly departed from HWE due to heterozygote deficiencies (Table 1). Probable reasons could include genetic drift, inbreeding, selection, and the presence of null alleles. Notwithstanding we cannot completely rule out that factors such as the Wahlund effect and selection might have resulted in heterozygote deficits, the presence of null alleles seems to be the most likely explanation for that. Besides, null alleles seem to be particularly common in populations with highly effective population sizes (Chapuis and Estoup, 2007), such as in commercially important fish (Song et al., 2019; Wang et al., 2021; Saillant et al., 2022). Even though null allele frequencies recorded at nine loci were > 0.1 (Table 1), these loci were retained in further analyses as they are unlikely to significantly bias the accuracy of assignment tests and the patterns of population differentiation (Carlsson, 2008). Also the locus Kpun12, which was detected as a potential outlier for selection

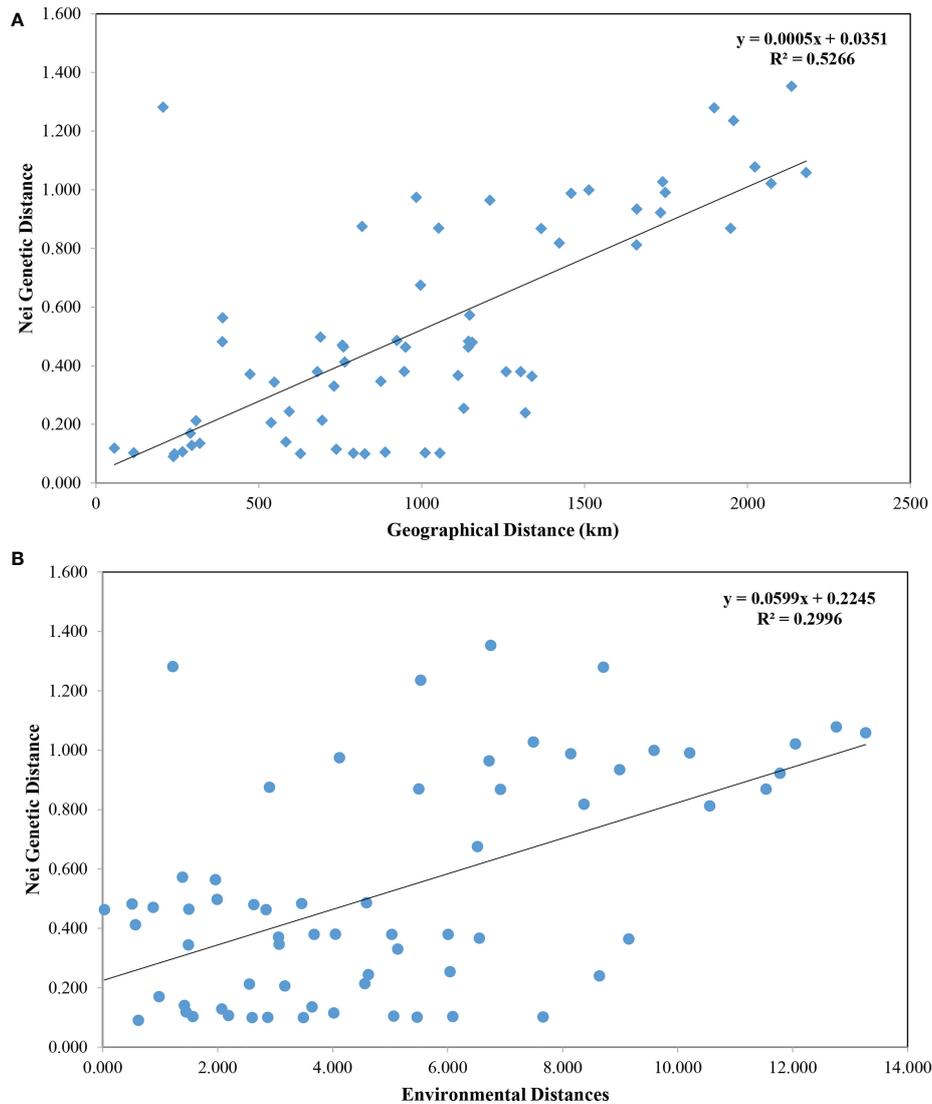


FIGURE 3

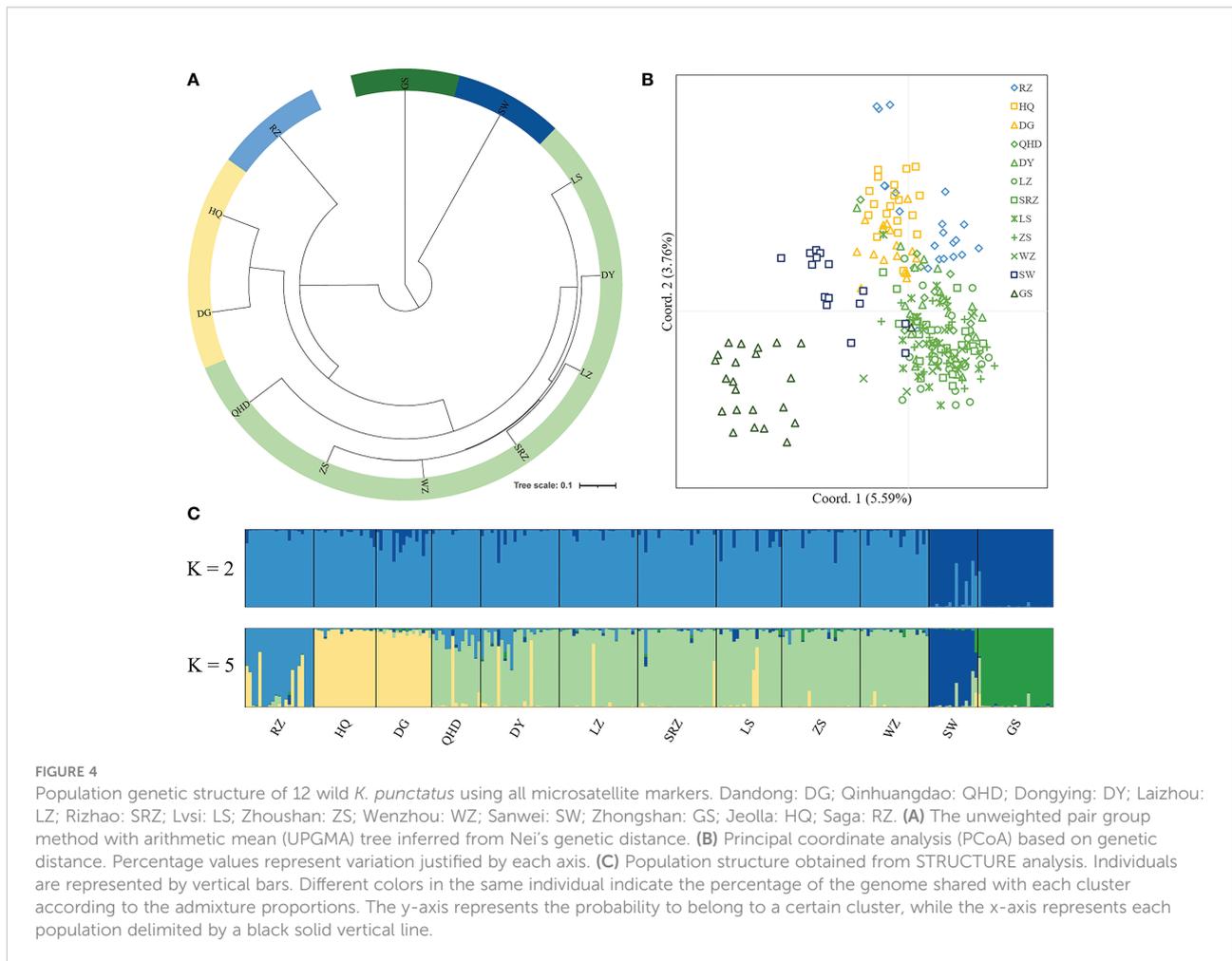
Mantel test using all microsatellite markers. (A) The Mantel test was performed to test the occurrence of a positive correlation between Nei's genetic distances and geographic distances. The genetic and geographic distance were significantly correlated ($R^2 = 0.5266$, $p < 0.001$). (B) The Mantel test was performed to test the occurrence of a positive correlation between Nei's genetic distances and environmental distances. The genetic and environmental distances were significantly correlated ($R^2 = 0.2996$, $p = 0.011$).

(Figure 2), was retained for downstream analyses as the overall genetic patterns were not affected by its exclusion (Supplementary Figures S3, S4).

Population genetic diversity

A high level of genetic diversity (mean $A_R = 9.117$ and mean $H_e = 0.773$) was observed in wild *K. punctatus* populations along the Pacific Northwest coast (Table 2), which was consistent with the previous result based on mtDNA markers (Bingjian Liu et al., 2020). Similar high genetic diversity was also reported in other economically

important marine fish, such as *Lateolabrax maculatus* (Wei Wang et al., 2021), *Setipinna tenuifilis* (Li et al., 2022), and *Lutjanus argentimaculatus* (Vineesh et al., 2022). The average number of alleles per locus of *K. punctatus* (29.20) was relatively higher than that of other Clupeiformes, such as *Coilia nasus* (10.65) (Yang et al., 2014), *Clupea pallasii* (10.50) (Semenova et al., 2015), and *Engraulis ringens* (11.53) (Ferrada-Fuentes et al., 2018). The high genetic diversity indices typically indicate either large population sizes and/or high mutation rates (Kimura, 1979). Since mutation rates are generally similar among closely related species (Terekhanova et al., 2017), long-term large population sizes of *K. punctatus* could be largely responsible for the high genetic diversity.



Population structure of *K. punctatus*

In this study, genetic differentiation coefficient values (Table 4) and population structure analysis (Figure 4) identified a high divergence between northern and southern groups. Fishes in the coastal waters of China are expected to show little intraspecific genetic structure due to the lack of obvious physical barriers (Han et al., 2008). Nevertheless, different fish stocks may respond differently to fluctuations of environmental factors such as temperature and salinity gradients. For example, satellite remote sensing data from 2010 to 2020 indicated that the monthly average sea surface temperature (SST) of GS was 13.27°C higher than that of DG (Supplementary Figure S5). The positive correlation between genetic and ecological distances evidenced by the Mantel test may support an isolation by environment model (Figure 3B), indicating that local adaptation may influence spatial genetic structure. In addition, one microsatellite marker that departed from neutrality in *K. punctatus* may be due to the hitchhiking effect of neighboring genes subjected to local adaptation (Figure 2). Therefore, variations in temperature and other

environmental factors among populations of *K. punctatus* may contribute to population structures. The same geographic patterns were detected for other marine fishes such as *Setipinna tenuifilis* (Peng et al., 2021) and *Sillago japonica* (Han et al., 2021).

Many authors have argued that the Pleistocene climate oscillations had profound effects on the current phylogeographic pattern and genetic structure of marine species (Maggs et al., 2008; Larmuseau et al., 2009). In this study, three lineages (Chinese, Japanese and Korean lineages) were identified within the northern group using bayesian clustering analysis (Figure 4C). The Mantel test indicated a significant positive relationship between genetic and geographic distances ($R^2 = 0.5266$, $P < 0.001$), supporting the isolation-by-distance model (Figure 3A). In addition, subdividing populations into these three lineages in AMOVA analysis increased the variance among groups (10.79%) (Table 5), suggesting that the gene flow among these lineages was influenced. Previous studies had shown that the divergence time for the Chinese and Japanese clades was estimated to be 1,420 kya (Song et al., 2016), and the Korean and Japanese

TABLE 5 Analysis of molecular variance (AMOVA) of *K. punctatus* populations using all microsatellite markers.

Sources of variations	df	Sum ofsquares	Variancecomponents	Percentageof variation	FixationIndices	P-value
Total						
Among all populations	11	363.274	0.62231 Va	7.69	$F_{ST} = 0.07693$	< 0.001
Within populations	482	3598.862	7.46652 Vb	92.31		
(1) Two groups (RZ, HQ, DG, QHD, LZ, DY, SRZ, LS, ZS, WZ) (SW, GS)						
Among groups	1	105.139	0.62029 Va	7.27	$F_{CT} = 0.07270$	< 0.050
Among populations within group	10	258.135	0.44572 Vb	5.22	$F_{SC} = 0.05633$	< 0.001
Within populations	482	3598.862	7.46652 Vc	87.51	$F_{ST} = 0.12493$	< 0.001
(2) Three groups (RZ) (HQ, DG) (QHD, LZ, DY, SRZ, LS, ZS, WZ, SW, GS)						
Among groups	2	112.387	0.31099 Va	3.76	$F_{CT} = 0.03760$	< 0.050
Among populations within group	9	250.887	0.49315 Vb	5.96	$F_{SC} = 0.06196$	< 0.001
Within populations	482	3598.862	7.46652 Vc	90.28	$F_{ST} = 0.09723$	< 0.001
(3) Three groups (RZ, HQ, DG, QHD, LZ, DY, SRZ, LS, ZS, WZ) (SW) (GS)						
Among groups	2	167.765	0.82363 Va	9.82	$F_{CT} = 0.09817$	< 0.001
Among populations within group	9	195.509	0.09953 Vb	1.19	$F_{SC} = 0.01315$	< 0.001
Within populations	482	3598.862	7.46652 Vc	89	$F_{ST} = 0.11003$	< 0.001
(4) Five groups (RZ) (HQ, DG) (QHD, LZ, DY, SRZ, LS, ZS, WZ) (SW) (GS)						
Among groups	4	281.593	0.94403 Va	10.79	$F_{CT} = 0.10786$	< 0.001
Among populations within group	7	81.681	0.34188 Vb	3.91	$F_{SC} = 0.04378$	< 0.001
Within populations	482	3598.862	7.46652 Vc	85.31	$F_{ST} = 0.14692$	< 0.001

df, degree of freedom.

lineages diverged about 240~320 kya (Gwak et al., 2015), coinciding well with the geological events. The drop in sea levels during the ice age restricted gene flow, which may underlie the different lineages of *K. punctatus*. Besides, ocean currents can form fronts between different water masses, which may act as barriers to gene flow, leading to genetic heterogeneity among continuously distributed populations (Saunders et al., 1986). The Korean Peninsula is surrounded by three seas including the Japan Sea, the East China Sea, and the Yellow Sea, which represents three contiguous but distinct ecosystems (Rebstock and Kang, 2003). For example, under the influence of mixed tides of surface and bottom seawater, a tidal front is formed near the southwest coast of the Korean Peninsula, which may act as an incomplete physical barrier, thereby limiting the connection of *K. punctatus* between the western coast of Korean Peninsula and the Japan Sea (Han et al., 2019).

In addition to geological events and fronts, physiological features and life history traits of species may be related to the formation of their genetic structure (Duminil et al., 2007). *K. punctatus* generally does not migrate long distances, but rather between spawning and wintering grounds (Chen, 1991). Hence, the different wintering grounds and migration routes may contribute to the divergence of *K. punctatus* between the northern and southern groups. In addition, the spawning and nursery areas of *K. punctatus* were distributed in estuarine areas of high primary productivity (Myoung and Kim, 2014). To enhance survival and retention, the larvae of *K. punctatus* may choose a dispersal strategy that minimizes offshore transfer

rather than drifting in the open ocean (Gwak et al., 2015; Song et al., 2016), which may lead to moderate genetic differentiation of *K. punctatus* on each side of the Yellow Sea. The limited dispersal in *K. punctatus* was strongly supported by the Mantel test for isolation by distance (Figure 3A). Moreover, extensive introgression was observed in all coastal waters (Figure 4C). Given the clear population structure, secondary contact after differentiation seems to be a plausible explanation. Interestingly, a high genetic differentiation was detected between SW and GS although SW and GS (both southern populations) were geographically close. Liu et al. (2020), who analyzed four southern populations using mtDNA, found that GS was genetically divergent from their southern counterparts, indicating the concordance between mitochondrial and nuclear microsatellite markers. Further studies using more different southern geographic samples are required to define the diversity of the southern group.

Conclusions

This is the first study applying microsatellite markers to depict the population genetics of *K. punctatus*. The twenty microsatellite loci developed from a reference genome displayed high polymorphism and thus proved as ideal markers. The wild populations of *K. punctatus* in the Northwestern Pacific showed high genetic diversity, which might be attributed to its large population size. The significant

genetic differentiation and previously unidentified genetic pattern in wild *K. punctatus* populations may be the result of the interaction between historical climate shifts and contemporary factors (e.g., temperature gradient). Overall, our results could improve our understanding of the fine-scale population genetic structure of *K. punctatus* and advance the spatial delineation of marine protected areas and fishery stocks.

Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://figshare.com/>, <https://figshare.com/s/46cf39ea8bca2f04344>.

Ethics statement

All procedures in this study were performed under the guidelines of the Regulations for the Administration of Laboratory Animals (Decree No. 2 of the State Science and Technology Commission of the People's Republic of China, November 14, 2008), and were approved by the Animal Ethics Committee of Zhejiang Ocean University (Zhoushan, China).

Author contributions

BL, JL, KZ, YP, YL, and LG conceived and designed the research. BL, JL, KZ, YP, YL, XJ, SXZ, YW, LL, ZL, SFZ, and LG conducted experiments, analyzed data, and wrote the manuscript. The authors critically reviewed and approved the manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.1048279/full#supplementary-material>

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