

# Dive Behavior and Activity Patterns of Fin Whales in a Migratory Habitat

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Fonseca CT, Pérez-Jorge S, Prieto R, Oliveira C, Tobeña M, Scheffer A and Silva MA (2022) Dive Behavior and Activity Patterns of Fin Whales in a Migratory Habitat. Front. Mar. Sci. 9:875731. doi:10.3389/fmars.2022.875731 Efficient use of the energy budget is of fundamental importance for long-distance migrants, which must cope with seasonal energy demands and environmental conditions. Time-activity budgets can provide information on how animals balance energy use and acquisition over their annual cycle, and on the costs and benefits of different migratory strategies. Baleen whales, such as the fin whale, perform long migrations between feeding and breeding grounds. Although there are now a handful of studies describing the diving and foraging behavior of fin whales, most were carried out at their high-latitude foraging grounds, and very little is known about their behavior in wintering habitats or during migration. We analyzed time-depth recorder data to describe the diving behavior and activity patterns of fin whales in a migratory habitat. Using a hierarchical cluster analysis based on a set of dive variables, we identified six dive types. Four of these dive types (shallow exploratory, shallow active, deep exploratory and deep active) were likely associated to foraging. The other two comprised long non-active dives and dives of variable shape, which may represent resting, traveling or even vocalizing behavior. Shallow exploratory dives were the most frequent dive type (23%) and shallow active were the least frequent (5%). The two deepest dive types, deep active and exploratory, were predominantly carried out during the day, and night dives were significantly shallower than daylight dives, suggesting that fin whales tracked the vertical migration of prey. Whales spent 60% of their dive time engaged in dives associated with feeding and/or prey searching, suggesting they prioritized energy intake over energy conservation. Finally, we found that whales spent more time at or close (<15 m depth) to the surface at night (73%) than during the day (55%), indicating a higher vulnerability to ship strikes during this period. Our study provides novel information on the behavioral patterns and time-activity budgets of fin whales in a migratory habitat. This information is essential for bioenergetic analyses and to predict how fin whales respond to human activities and ongoing environmental changes.

Keywords: balaenoptera physalus, migration, baleen whales, time-depth recorders, dive classification, diving behavior, time-activity budget, foraging

# INTRODUCTION

Animals live on limited time and energy, and engagement in some activities often excludes engagement in others. How individuals allocate their time to different activities (e.g., foraging, breeding, resting, traveling) can be critical to their survival and reproductive success, and trade-offs between activities depend on a range of intrinsic (e.g., sex, reproductive status, body condition) and

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extrinsic drivers (e.g., food availability, density of predators and competitors) (Illius et al., 2002; Rauter and Moore, 2004; Dias et al., 2011).

Many animal populations respond to seasonal changes in resource distribution by migrating between spatially and temporally distinct ranges. Migration allows animals to track variation in food availability, favorable breeding conditions, or avoid harsh environmental conditions, thereby maximizing survival and reproductive success (Alerstam and Bäckman, 2018). However, migrants, especially long-distance migrants, also face unique energetic challenges. During migration, animals not only experience strong fluctuations in resources and environmental conditions, but also prioritize different activities with distinct energetic gains and costs. As resource availability and demands shift throughout migration, animals must adapt their behavior to manage their energy budget (Stern, 2009). Hence, the realized migratory behavior of an individual emerges from the tradeoffs between external factors and individual traits. Information on time-activity budgets at different stages of animal migration and under different environmental scenarios can therefore provide valuable insights into these trade-offs, as well as on the consequences of different migratory strategies.

The annual cycle of migratory fin whales (Balaenoptera physalus) consists of various spatially and temporally distinct phases. Most whales spend the summer at high-latitude productive areas feeding on dense aggregations of euphausiids and small pelagic schooling fish (Christensen et al., 1992; Sigurjónsson and Vikingsson, 1997). During this period, fin whales acquire substantial quantities of energy reserves and store the surplus energy in the form of fat depots (Lockyer, 1987; Aguilar and Borrell, 1990). Food availability at these foraging grounds is highly seasonal, and fin whale migration to lower latitudes in late autumn appears to coincide with decreasing water temperatures, salinity, and ultimately prey biomass (Tsujii et al., 2016). Although locomotion costs are lower in large swimming whales, migration to breeding grounds nonetheless requires an energy investment; such investment happens during a stage of the life cycle where feeding is believed to be scarce. Moreover, reproduction in fin whales, like in other large mammals, is energetically demanding, especially during lactation (Oftedal, 2000; Christiansen et al., 2014; Christiansen et al., 2016; Pirotta et al., 2018). As with other capital breeders (Jönsson, 1997; Stephens et al., 2009), the energy necessary for breeding and calving is believed to come mostly from the energy stores gained at the foraging grounds. Thus, fin whales must carefully manage their energy reserves throughout migration, so they can reach breeding grounds, reproduce successfully, and return to productive foraging grounds before their energy reserves are depleted (Miller et al., 2011; Williams et al., 2013; Christiansen et al., 2021).

Nevertheless, feeding outside high-latitude feeding grounds has been reported in fin whales (Silva et al., 2013; Geijer et al., 2016; Silva et al., 2019). Fin whales are commonly encountered off the Azores in spring and early summer (Silva et al., 2014), while migrating to the northern feeding grounds (Silva et al., 2013). The timing of their arrival in the Azores matches the spring phytoplankton bloom in the area (Visser et al., 2011; Prieto et al., 2017) and their movements towards high latitudes are strongly associated with the spatiotemporal distribution of their prey (Pérez-Jorge et al., 2020), suggesting that whales adjust their movements to exploit prey availability along their migratory pathways. Feeding during migration, even if at reduced levels, may be important for the whales' energy balance. However, foraging is also a costly behavior for these whales. As air-breathing predators, fin whales are constrained by oxygen consumption during a dive, breath holding capacity, and surface recuperation time (Hazen et al., 2015). As a result, it is expected that animals weight the energetic benefit of foraging against the time, metabolic and physiological costs of diving (Boyd et al, 1997). In addition, the filter-feeding strategy (called lunging) used by fin whales to explore efficiently dense patches of small prey items is energetically demanding, limiting dive duration and the number of lunges that can be performed during a single dive, and extending the time needed to recover at the surface (Acevedo-Gutiérrez et al., 2002; Goldbogen et al., 2006; Potvin et al., 2010; Goldbogen et al., 2012). Investigating how much time migrating whales allocate to foraging and how they adjust foraging behavior in comparison to other stages of their annual cycles can provide critical insights into their energy budgets and to the potential benefits of foraging along migration.

Quantifying time-activity budgets in large baleen whales is particularly challenging because these animals are highly mobile and spend prolonged periods underwater, where directly observing their behavior is impossible. Advancements in animalborne data loggers have enabled the collection of high-resolution data on the vertical and/or horizontal movements of animals (Evans et al., 2013). Several analytical methods using these kinematic data have been developed to infer foraging behavior (Goldbogen et al., 2006; Goldbogen et al., 2013; Sweeney et al., 2019) and describe different activities in several baleen whale species (Oleson et al., 2007; van der Hoop et al., 2017; Izadi et al., 2018; Guazzo et al., 2021). Yet, despite technological developments, most data loggers available for large whales can only stay attached for short periods of time (typically hours to a few days) and cannot be used to document changes in behavior over the annual cycle. The only way to overcome this limitation is to investigate whales' behavior at different life stages to gain a perspective of their full annual cycle.

The objective of this study is to characterize the dive behavior and activity patterns of fin whales in a migratory habitat. We used data collected from time-depth recorders (TDRs) to (1) describe the dominant dive types of fin whales and infer their potential function, (2) quantify time-activity budgets and (3) determine how these budgets vary over diel periods. This information is critical to support future investigations into the energetic constraints of baleen whale migration and for a better understanding of the relative benefits of different migratory strategies. Moreover, studying whales' behavior during their migration is essential to understand their vulnerability to impacts from human activities (Christiansen et al., 2013; Marra et al., 2015). This is of particular importance for these long-distance migrants who cross vast ocean regions during which they are exposed to various threats.

# MATERIAL AND METHODS

#### **Data Collection**

Migrating fin whales were tagged off the Azores (38° N 28° W) between April and September, from 2007 to 2017 (Figure 1; Table S1). Tags consisted of a TDR (Wildlife Computers Mk9), a VHF radio transmitter (Telonics MOD125 cast3 or ATS F1835B) and syntactic foam for positive buoyancy. The TDR recorded depth data at the highest sampling rate of 1 s with a resolution of 0.5 m and precision of  $\pm 1\%$ . Tags were attached anterior to the dorsal fin of the whales by a suction cup (in 2007) or using umbrella darts (all other years) (Table S1; Domeier et al., 2005) attached subdermally, and connected to the tag by the use of a galvanic timedrelease system. Darts were sterilized in an UV light chamber and by immersion in 70% ethanol and stored in a sealed sterilized plastic bag until deployment. Tags were deployed from a rigidhulled inflatable boat using either a telescopic pole (for suction cup attachments) or a compressed air gun (ARTS/RN, Restech Norway). A VHF beacon in the tag aids in tracking and recovering the device. Tagged whales were followed for as long as weather and light conditions allowed to record their surfacing positions (Figure 1) and behavior. After released from the whales, the TDRs were located and recovered using VHF transmissions. Fieldwork and tagging were approved and conducted under research permits from the competent authorities (Regional Directorate of the Environment and Regional Directorate for Sea Affairs, Regional Government of the Azores) and tagging procedures followed the guidelines of the American Society of Mammalogists (Sikes and Gannon, 2011, & The Animal Care and Use Committee of the American Society of Mammalogists, 2011). A total of 21 fin whales were tagged with duration of deployments ranging from approximately 2 to 41 hours (Table S1).

# **Data Processing**

TDR data were processed using the "diveMove" package in R (Luque, 2007; R Core Team, 2021). Before analysis, data were

truncated to remove periods before tag deployment and after the tag detached from the whale. A zero offset correction was first applied to correct shifts in the surface baseline of depth recordings. A dive was then defined as any submergence deeper than 15 m to exclude surface respiration activity (Croll et al., 2001). Each dive was automatically divided into three phases: descent, bottom and ascent, based on changes in vertical rate of the smoothed dive profile. A brief stop at shallow depths occurred during the descent and ascent phases in some dives. Presence of these "shoulders" led to the incorrect inclusion of a large part of these transit segments in the bottom phase of the dives (e.g., Irvine et al., 2017) (**Figure S1**). These segments were manually corrected, with the bottom phase starting at the first inflexion point following a "shoulder" in the descent phase, or ending at the last inflexion point before the "shoulder" in the ascent phase.

A total of ten variables were calculated for each dive: maximum depth, dive duration, post-dive duration, descent and ascent rate, duration of bottom phase, mean and standard deviation (SD) of bottom depth, proportion of time spent at the bottom in relation to the dive duration (hereafter bottom time proportion) and depth range of the bottom phase (hereafter bottom depth range) (**Table 1**). Univariate outliers were found for post-dive duration and the corresponding dives were removed, representing 0.4% of all dives. All variables were checked for normality and transformed when necessary. Unless stated otherwise, data are reported as median values.

## **Statistical Analysis**

TDR data has been used extensively to quantify activity patterns in air-breathing diving vertebrates. This requires identifying specific dive types associated with different activities (e.g., feeding, traveling, resting, etc.) based on dive profiles to produce a continuous activity profile, from which time allocated to different activities can be calculated (Bodkin et al., 2007). Here, we used the dive variables listed above to classify all dives into different groups. Following Irvine et al. (2017) approach, we



| TABLE 1 | Description | and summary    | statistics | (median         | and range  | ) of the dive | variables | analvzed in t  | his studv. |
|---------|-------------|----------------|------------|-----------------|------------|---------------|-----------|----------------|------------|
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| Variable                   | Description   | Transformation applied | Median (min-max)     |  |  |
|----------------------------|---|------------------------|----------------------|--|--|
| Maximum depth (m)          | Deepest point reached during the dive   | Square root            | 54.2<br>(15.0-338.8) |  |  |
| Dive duration (min)        | Duration of the dive  | Square root            | 3.8<br>(0.3-17.3)    |  |  |
| Post-dive duration (min)   | Time between the end of a dive and the start of the following dive                                    | Log10                  | 1.4<br>(0.0-83.0)    |  |  |
| Descent rate (m/s)         | Vertical rate of change in depth during the descent phase   | Square root            | 0.9<br>(0.1-3.5)     |  |  |
| Ascent rate (m/s)          | Vertical rate of change in depth during the ascent phase  | Square root            | 0.8<br>(0.0-3.7)     |  |  |
| Bottom duration (min)      | Duration of the bottom phase  | None                   | 1.3<br>(0.0-14.8)    |  |  |
| Bottom time proportion (%) | Proportion of time in the bottom phase in relation to the total dive durat                            | ion None               | 39.6<br>(0.1-89.5)   |  |  |
| Mean bottom depth (m)      | Mean depth of the bottom phase  | Log10                  | 40.2<br>(6.4-336.3)  |  |  |
| Bottom depth SD (m)        | Standard deviation of the mean bottom depth   | Square root            | 4.0<br>(0.0-83.2)    |  |  |
| Bottom depth range (m)     | ttom depth range (m) Difference between the maximum and minimum depth in the bottom phase Square root |                        |                      |  |  |

used a Principal Component Analysis (PCA) and a hierarchical clustering analysis to identify dive groups, without a priori knowledge of the total number of clusters. Because some dive variables were strongly correlated, the PCA was performed on the scaled and centered data to minimize multicollinearity and reduce the dimensionality of the data. Variable loadings were calculated to determine which variables had a higher positive or negative correlation to each principal component. Then, the components explaining more variance and complying with the latent root criterion (which states that a factor must account for at least the amount of variance associated with one hypothetical variable) (McGarigal et al., 2000) were used as inputs in the clustering analysis using the Euclidean distance and Ward's method (Legendre and Legendre, 1998). The optimal number of clusters was defined by trimming the resulting dendrogram at different levels and evaluating the stability and biological meaningfulness of the resulting clusters. The cluster stability was assessed through the Jaccard similarity coefficient (hereafter Jaccard index), which ranges from 0 to 1, with values <0.6 indicating an unstable cluster, values between 0.6-0.75 indicating a pattern in the data, and those >0.75, a stable cluster (Hennig, 2014). Mean Jaccard index values were obtained through a bootstrap with 1000 iterations using the "clusterboot" function in the "fpc" R package (Hennig, 2014). A visual inspection of the dives composing each cluster was carried out to assess the presence of dominant dive shapes (e.g., squared-, U- or V-shaped) previously described in the marine mammal literature (Heide-Jørgensen et al., 2013; Irvine et al., 2017; Citta et al., 2021).

Based on the cluster analysis results, time-activity budgets were constructed by calculating the number of dives of each type as a proportion of the total dives performed by each individual whale, as well as the proportion of time allocated to each dive type as a proportion of total diving time (sum of all dive durations) and total tagging time. Percentage of time spent at the surface between dives was calculated by dividing the total post-dive durations by the duration of tag attachment. We also calculated the percentage of time each whale remained in the first 15 m of the water column by dividing it by total tagging time. Lastly, linear mixed models (LMMs) were used to investigate diel patterns in dive type and in surface usage, using data from whales with deployments longer than 15 h. LMMs were fitted with a Gamma family distribution and a logit link function, using the restricted maximum likelihood as an optimization method and individual whale ID as a random effect to account for individual effects ("mgcv" R package; Wood, 2011). We chose this family because either the response variable clearly followed a gamma distribution and/or it provided the best fit for the model, which we assessed through residual analysis (Wu, 2005). Separate models were fit for each of the following response variables: maximum dive depth, absolute number of dives of each type, percentage of post-dive duration (hereafter surface periods) and percentage of time spent within the first 15 m of the water column. Dive type and/or diel period (Day/Night) were used as explanatory variables, with an interaction term when the models included both variables (Table S4). Dives were assigned to daylight or night-time regimes based on local sunrise and sunset times provided by NOAA Solar Calculator (http://www. esrl.noaa.gov/gmd/grad/solcalc/calcdetails.html). When deemed necessary, a correlation structure was applied to account for temporal autocorrelation. All numerical and statistical analysis were performed in R (R Core Team, 2021).

#### RESULTS

We analyzed a total of 2594 dives performed by 21 fin whales. The deepest dive reached 339 m and the longest was 17.3 min, but only 20% of the dives were deeper than 100 m and 30% longer than 5 min. Number of dives analyzed per individual whale ranged from 11 to 344, and number of dives/hour varied between 2.5 and 16.7 (**Table S1**). Of the 21 deployments, 10 were longer than 15 h, comprising 1930 dives.

#### **Dive Classification and Characterization**

Most of the variability in whales' diving behavior was explained by the first three principal components (84% of total variance) (**Table S2**). PC1 explained 40.6% of the variance and had strong positive loadings for bottom depth range, standard deviation of bottom depth, dive duration, bottom duration and bottom time proportion (**Table S3**). PC2 explained 28.9% of the variance and had strong negative loadings for mean bottom depth, ascent rate, maximum depth and descent rate. PC3 explained the least variance (14.6%) and had strong positive loadings for post-dive and dive durations, and a strong negative loading for descent rate.

The hierarchical cluster analysis indicated that all dives grouped into six clusters (**Figure 2**). According to the Jaccard similarity values, one of these clusters was stable, two clusters showed a pattern in the data and three clusters had a low degree of stability (**Table 2**). Cluster stability did not improve by changing the number of clusters, suggesting that the optimal number of clusters was six. Clusters were labelled: "shallow exploratory", "long nonactive", "shallow active", "deep exploratory", "deep active" and "variable" (**Table 2**; **Figure 2**). Dive clusters were primarily split by: (i) depth; i.e., shallow (shallow exploratory, long non-active, shallow active) versus deep/intermediate dives (deep exploratory, deep active, variable); and (ii) the characteristics of the bottom phase; i.e., active (long bottom phase and high variability in depth), exploratory (short or inexistent bottom phase) and nonactive (long bottom phase with little or no depth variability).

Shallow exploratory dives represented the most stable (Jaccard index: 0.763) and common dive type (**Table 2**). These dives were shallow (median maximum depth of 32.7 m) and short (1.6 min), with a short or no bottom phase, and little depth variability (**Figure 3**). Long non-active dives (Jaccard index: 0.626) were shallower, longer (4.3 min) and had longer post-dive durations than the remaining shallow dives. In fact, post-dive duration in these dives was within the range of that of deep dives. Vertical descent and ascent rates of long non-active dives were the slowest of all dives and bottom phase was generally long (2.2 min), accounting for about 55% of dive duration. However, compared to other dives with long bottom phases, variability in depth was considerably lower. Shallow active dives (Jaccard

index: 0.562) were the only shallow dive with an active bottom phase. Maximum depth and post-dive duration were similar to shallow exploratory dives but dives were 1.6 times longer and had a long bottom phase (48% of dive time) with high variability in depth. The bottom phase of deep exploratory dives (Jaccard index: 0.651) was short or non-existent and varied little in depth, similarly to shallow exploratory dives. The two dive types differed mostly in maximum depth (difference of ~80 m), as well as in dive and post-dive duration, respectively 2.8 and 2.3 times longer in deep exploratory dives. This was the only dive type for which descent rate was slower than ascent rate. Deep active dives (Jaccard index: 0.545) had the greatest bottom depth range and variability, and ascent and descent rates. Maximum depth and duration were similar to those of deep exploratory dives. Lastly, variable dives had the lowest degree of stability (Jaccard index: 0.471). These dives were at intermediate depths between shallow and deep dives, had the longest dive and bottom phase durations, and the highest bottom time proportion (59.1%). Similar to deep dives, post-dive duration was long (1.7 min).

#### **Time-Activity Budgets and Diel Patterns**

The majority of tagged whales performed all dive types (**Figure 4A**; **Table S5**). Overall, whales performed more deep/ intermediate dives (median: 60%) than shallow excursions (40%), and more exploratory (39%) than active dives (22%), even though variability between individuals was high. Shallow exploratory (23%) and variable (20%) dives were the most frequent dive types. However, the time whales spent performing variable dives (27%) was much longer in comparison to the shallow exploratory dive type (11%) (**Figure 5**). Deep exploratory and deep active dives represented only 14% and 16%, respectively, of all dives. Long non-active (6%) and shallow active (5%) were the least frequent dives and represented only 8% and 4%, respectively, of whale's dive time budget.

The mixed-effects models on the absolute number of dives and maximum dive depth showed significant differences in diel patterns for most dive types (**Table S4**; **Figure S4**). Deep active and deep exploratory dives were more frequent during the day than at night (**Figures 4B, C, 5**), with diving depth progressively





| Clusters            | N   | Maximum<br>depth (m) | Dive<br>duration<br>(min) | Post-dive<br>duration<br>(min) | Descent<br>rate (m/s) | Ascent<br>rate (m/s) | Bottom<br>duration<br>(min) | Bottom<br>proportion<br>(%) | Mean bottom<br>depth (m) | Bottom<br>depth range<br>(m) | Bottom<br>depth SD<br>(m) | Jaccard<br>index |
|---------------------|-----|----------------------|---------------------------|--------------------------------|-----------------------|----------------------|-----------------------------|-----------------------------|--------------------------|------------------------------|---------------------------|------------------|
| Shallow exploratory | 654 | 32.7                 | 1.6                       | 0.8                            | 0.9                   | 0.7                  | 0.0                         | 1.6                         | 32.4                     | 0.0                          | 0.0                       | 0.763            |
|                     |     | (15.0-102.3)         | (0.3-8.0)                 | (0.0-62.9)                     | (0.2-2.8)             | (0.1-3.7)            | (0.0-1.0)                   | (0.3-50.0)                  | (13.9-102.3)             | (0.0-6.7)                    | (0.0-2.1)                 |                  |
| Long non-active     | 252 | 19.8                 | 4.3                       | 1.5                            | 0.4                   | 0.3                  | 2.2                         | 55.2                        | 16.0                     | 5.6                          | 1.5                       | 0.626            |
|                     |     | (15.1-59.5)          | (1.4-8.0)                 | (0.13-83.0)                    | (0.2-1.2)             | (0.0-1.1)            | (0.4-6.7)                   | (10.8-85.4)                 | (6.4-54.7)               | (0.5-30.1)                   | (0.1-8.9)                 |                  |
| Shallow active      | 283 | 38.8                 | 2.5                       | 0.5                            | 1.1                   | 0.9                  | 1.1                         | 48.0                        | 27.8                     | 18.7                         | 5.6                       | 0.562            |
|                     |     | (15.1-74.0)          | (0.7-4.7)                 | (0.1-9.9)                      | (0.2-2.3)             | (0.2-1.8)            | (0.2-3.3)                   | (11.9-78.6)                 | (8.9-56.6)               | (0.4-56.6)                   | (0.1-17.9)                |                  |
| Deep exploratory    | 374 | 115.1                | 4.5                       | 1.8                            | 0.9                   | 1.1                  | 0.0                         | 1.0                         | 112.2                    | 0.1                          | 0.1                       | 0.651            |
|                     |     | (49.7-291.3)         | (0.9-12.1)                | (0.1-31.0)                     | (0.3-3.0)             | (0.4-3.2)            | (0.0-3.5)                   | (0.1-61.3)                  | (41.9-278.8)             | (0.0-58.1)                   | (0.0-22.0)                |                  |
| Deep active         | 455 | 110.4                | 4.9                       | 1.7                            | 1.3                   | 1.3                  | 2.4                         | 50.7                        | 74.6                     | 49.6                         | 15.1                      | 0.545            |
|                     |     | (50.5-338.8)         | (1.7-13.8)                | (0.0-30.1)                     | (0.3-3.5)             | (0.3-3.1)            | (0.0-10.3)                  | (0.3-87.3)                  | (28.5-336.3)             | (0.0-234.7)                  | (0.0-83.2)                |                  |
| Variable            | 576 | 57.5                 | 5.5                       | 1.7                            | 0.7                   | 0.6                  | 3.1                         | 59.1                        | 33.6                     | 34.8                         | 10.4                      | 0.471            |
|                     |     | (16.3-133.2)         | (2.2-17.3)                | (0.1-68.6)                     | (0.1-1.9)             | (0.1-1.9)            | (0.7-14.8)                  | (20.4-89.5)                 | (10.2-88.1)              | (3.5-120.2)                  | (0.9-43.9)                |                  |

TABLE 2 | Median and range of values of each variable for the six dive types identified by the cluster analysis.

decreasing close to sunset and increasing again towards sunrise (Figures 6, S3). Long non-active and variable dives were also more common during the day than at night. Only shallow exploratory dives were significantly more frequent at night than during the day. No significant diel differences were found in the proportion of shallow active dives. However, not all whales showed the same pattern, with two individuals tagged on consecutive days (12 and 13) spending most of their diving time in variable dives and nightime at the surface (Figures 5, 7). The mixed-effects models also revealed significant diel differences in surface usage (Table S4). Whales spent significantly more time in surface periods at night (median: 60%) than during the day (41%). Nonetheless, there was high individual variability in surface use at night, with the proportion of time spent in surface periods varying from ~30% to over 90% between whales (Figure 7). In addition, proportion of time spent in the upper 15 m of the water column was also significantly higher at night (73%) than during the day (55%).

#### DISCUSSION

We used dive metrics calculated from TDR data to characterize different dive types, infer their potential function, and determine time-activity budgets of fin whales in a migratory habitat. To the best of our knowledge, this represents the first detailed description of the diving behavior and activity patterns of this species in a migratory habitat.

#### **Dive Characteristics and Function**

Based on the results of the PCA, the hierarchical cluster analysis identified six dive clusters. We should stress, however, that the unsupervised classification method utilized is unable to precisely categorize each dive. While automated methods allow a fast and objective classification of dives, the classification is not free from errors, particularly for underrepresented dive classes (Thums et al., 2008). Therefore, dive types represent generalizations of the more complex dive behavior of fin whales. Additionally, characterization and discrimination of different dive types would advance significantly by using tags with triaxial accelerometers, enabling the measurement of behavioral metrics (e.g., whale orientation, speed, acceleration, stroking activity) that can help distinguish active locomotory from inactive behaviors (Brown et al., 2013) or identify feeding lunges (Goldbogen et al., 2006; Ware et al., 2011).

Active dives had a prolonged bottom phase, sometimes reaching 10 min, and variable bottom depth, as a result of single or multiple vertical excursions (**Figure S2C**). These vertical





FIGURE 4 | Percentage number of each dive type (shown with different colors) by individual for 21 fin whales tagged in this study (A). Percentage number of ea dive type during the day (B) and night (C) for 10 fin whales with tag attachments longer than 15 h.

excursions generally occurred within a restricted depth layer at the bottom of the dive, but occasionally happened during the ascent or descent. A prolonged bottom phase is characteristic of the foraging dives of several marine predators and arises from the individuals' attempt to maximize time at a foraging patch (LeBoeuf et al., 1988; Fedak et al., 2001; Thompson and Fedak, 2001; Schreer et al., 2001; Witteveen et al., 2008). Foraging dives also have fast transit phases (Goldbogen et al., 2011), as observed here in deep and shallow active dives. In addition, in fin whales and other balaenopterid whales, vertical excursions close to the bottom are believed to represent lunging behavior, in which the whales accelerate upward towards a prey patch (Goldbogen et al., 2006; Simon et al., 2012). Finally, the clear diel pattern in active dives, where shallow dives dominated at night and deep dives during the day, is consistent with tracking the diel vertical migration of prey (see below). Taken together, these results provide strong evidence that deep and shallow active dives are associated with feeding activity. On the other hand, exploratory dives were short, with little or no bottom time, strongly resembling the stereotypical V-shaped dive described for baleen whales (Schreer et al., 2001; Heide-Jørgensen et al., 2013) (Figure

**S2A**). Shallow and deep exploratory dives reached similar depths and showed the same diel pattern as their active counterparts, suggesting they could serve to assess prey availability in the water column. They may also include aborted or failed foraging dives. Shallow exploratory dives could also encompass periods of horizontal travel. The relatively slow descent and ascent rates of these dives indicate that whales were either swimming slowly at a steep angle or more horizontally at normal speeds (Martin et al., 1998). Shallow V-dives may enable whales to avoid surface drag (see below), thus increasing swimming efficiency.

The remaining two clusters comprised a high diversity of dive shapes, although some shapes were dominant. Long nonactive dives included large numbers of squared dives (Figure S2B), which may represent resting or traveling. The long bottom phase and small depth variability of these dives suggest that whales were either motionless or moving horizontally. Given that the impact of wave drag is lowest at depths greater than three times the body diameter of an animal (Hertel, 1966), resting and traveling under this threshold, while still remaining close to the surface, could be energetically efficient. The estimated depth threshold below which blue whales should swim to avoid wave





drag (12 m) (Owen et al., 2016) is close to the depth of long nonactive dives. The characteristics of long non-active dives are also consistent with singing behavior. Singing fin whales generally swim slowly and display minimal body movement (Clark et al., 2019; Guazzo et al., 2021), remaining at 15-50 m depth (Watkins et al., 1987; Aroyan et al., 2000; Stimpert et al., 2015), where they are neutrally buoyant (Goldbogen et al., 2006). Singing is also believed to be energetically demanding (Clark et al., 2019),





which could explain the long surface times of these dives. Although our data were mostly collected in spring and early summer, when fin whale songs are rarely detected in the study area (Romagosa et al., 2020), this dive type could be associated with the production of other acoustic signals, like the 40 Hz call regularly emitted by foraging whales (Romagosa et al., 2021). The variable dive type encompassed dives of many different shapes, which likely serve multiple functions. The long dive, bottom and post-dive durations, high bottom time proportion and great variability in depth, also typical of active dives, suggest that variable dives include periods of feeding activity. Other dive shapes were similar to the root-square dive documented in pinnipeds (Ramasco, 2008; McIntyre et al., 2011), where whales travelled to the maximum dive depth (usually <100 m), and immediately started ascending, barely spending time at the bottom, like in V-shaped dives. The unique feature of these dives was that, before or after this "peak" in depth, whales remained a long time (up to 10 min) in the first 35 m of the water column, with little variation in depth (Figure S2D). These dives could represent exploratory dives, followed by transiting to a different prey patch, resting, or even singing activity.

# Diel Patterns in Diving and Foraging Behavior

Fin whales exhibited a strong diel pattern in diving behavior, shifting between predominantly deep dives during the day and shallow dives at night. This diel pattern has been reported elsewhere in fin and other baleen whale species and is likely related to the diel vertical migration of their prey (Panigada et al., 1999; Calambokidis et al., 2007; Friedlaender et al., 2009; Friedlaender et al., 2013). Most baleen whale prey undertake vertical migrations, residing in deep waters during the day, where they form dense aggregations, and ascending to the surface at night, where they disperse to forage (Mauchline and Fisher, 1967; Raymont, 1983). Baleen whales adapt their feeding strategies to the diel changes in density and vertical distribution of prey. Antarctic humpback whales (Megaptera novaeangliae) and St. Lawrence blue whales (Balaenoptera musculus) foraged preferentially on near-surface, less dense prey aggregations at night, presumably to minimize the energy costs and surface recovery time associated with longer, deeper dives, thereby maximizing foraging efficiency (Doniol-Valcroze et al., 2011; Ware et al., 2011; Friedlaender et al., 2013; Friedlaender et al., 2016). Conversely, fin and blue whales in the eastern North Pacific rarely foraged at night (Friedlaender et al., 2015). In fact, whales decreased the frequency of feeding dives and the number of feeding lunges when foraging on shallow, low-density prey, but increased foraging effort when targeting deep denser patches (Hazen et al., 2015; Friedlaender et al., 2020). By increasing the number of lunges on denser patches, whales can substantially increase prey intake and energy efficiency, despite of the higher costs of diving deep to reach these patches (Hazen et al., 2015; Friedlaender et al., 2020).

Our results indicate that deep active dives were longer and had more vertical excursions, which likely represent lunges, than shallow active dives. This is consistent with earlier studies (Hazen et al., 2015; Friedlaender et al., 2020) and suggests that fin whales increased their lunge-feeding rates in deep dives for foraging to become efficient. However, fin whales in this study mainly foraged in waters <100 m and the median depth of deep dives was considerably shallower than in other areas (Friedlaender et al., 2020). It is possible that the density of deeper prey was insufficient to compensate the higher costs of diving deeper to target these patches. Under these circumstances, foraging throughout the diel cycle on shallow prey may represent a more efficient foraging strategy, explaining why the frequency of deep foraging dives was similar to that of shallow foraging dives. Information on prey density and depth, as well as on consumption rates of fin whales in the area, is necessary to confirm this hypothesis and to estimate the potential energy gain of this strategy.

Nonetheless, not all whales exhibited the same pattern in foraging activity. Two individuals did not perform shallow active dives during the night and devoted less time than average to deep diving (active and exploratory) during the day. Instead, these whales spent the majority of their tagging time (18 and 38 h) engaged in variable dives. Given the diversity of shapes of variable dives, we believe they serve more than one function, complicating the interpretation of these results. Predominance of variable dives could indicate an alternative foraging strategy to exploit different prey (Goldbogen et al., 2015), that prey density was too low to allow efficient foraging, leading to increased transiting between prey patches, or that whales prioritized resting over foraging.

The diel pattern in fin whale dive behavior implies that, at night, whales remain most of the time near the surface, and even when diving, they spend substantial time in the upper water column (<15 m). Increased surface usage exposes whales to a higher risk of ship strikes at night (McKenna et al., 2015), when whales cannot be detected by visual observers' onboard vessels. These results are in line with findings from other areas and times of year (Calambokidis et al., 2019; Keen et al., 2019), emphasizing the need to account for diel changes in whale's dive behavior when estimating the risk of ship strikes and devising mitigation measures.

#### **Time-Activity Budgets**

Tagged whales spent 56% of their time diving and 44% at or near the surface, with some variability among individuals (**Figure 7**). Time spent in surface activities includes surface recovery times between dives, as well as resting or traveling periods. While classifying the time spent at surface broadly as surface periods may have led to the underestimation of certain behavioral states, it is important to stress that the distinction of sub-surface activities is unfeasible with only two-dimensional dive data.

Fin whales spent about 8% of their diving time in long nonactive dives, which we classified as potential horizontal traveling and resting. This value represents 4% of total tagging time, which is strikingly low when compared to the 23-35% of time spent traveling (Visser et al., 2011; Silva et al., 2013) and ~18% resting (Visser et al., 2011) previously reported for the same area. As stated above, one explanation is that traveling and resting occurs mostly in near-surface waters (<15 m), and therefore, below our dive threshold. It is also probable that traveling and resting are included in variable dives, which accounted for a median of 27% of the time-activity budget and 14% of total tagging time of fin whales.

The dominant dive types of fin whales were associated with foraging activity. Assuming that active and exploratory dives represent feeding and searching for prey, whales spent about 60% of their diving time, or 32% of total tagging time, in feedingrelated activities. Our results are slightly lower than the values reported from fin whales instrumented with satellite tags in the same area, which indicated that whales spent 55% of their daily time in area-restricted search, believed to mostly represent foraging (Silva et al., 2013). However, we stress that ARS calculations also include time spent at surface, while our results reflect solely diving time.

#### CONCLUSIONS

Animal migrations are commonly understood as a response to the spatiotemporal variation in resources across broad scales (Dingle and Drake, 2007), but animals also respond to finerscale variation in resources along their migrations. A wide range of terrestrial and marine migrants have been shown to track phenological variation in prey across space, thereby prolonging access to resources available and enhancing their energy gain (Armstrong et al., 2016). Recent work shows that migrating blue whales track resources by matching their movements with the long-term average phenology of the phytoplankton bloom (Abrahms et al., 2019). This work provides empirical support to modelling studies that demonstrate the emergence of blue whale migrations to track prey resources, allowing whales to support their energy demands (Pirotta et al., 2018).

Previous studies have shown that the timing of fin whale arrival in the Azores migratory habitat matches the seasonal peak in productivity (Visser et al., 2011; Prieto et al., 2017) and individuals can remain in the area for days to a few weeks (Silva et al., 2013). Movements of fin whales in the Azores and towards higher latitudes are closely linked to prey biomass (Pérez-Jorge et al., 2020), suggesting that whales track shifting hotspots of prey availability across space, similarly to blue whales (Abrahms et al., 2019). Here we examined the fine-scale behavior of migratory fin whales at a foraging hotspot. We found that whales allocate a significant proportion of their diving time to active and exploratory diving, which likely represent foraging, suggesting that whales prioritize energy intake over energy conservation. Although we were unable to quantify the energy accrued by fin whales, together these findings suggest that energy intake during migration might be higher than previously assumed. By moving to track the seasonal phenology of prey, fin whales could maximize use of the energy available to them, despite the ephemerality of individual forage patches (Abrahms et al., 2021). While the benefits of this strategy seem intuitive, they remain to be quantified. Detailed measurements of the underwater behavior of fin whales, as well as information on prey availability, will be necessary to estimate the energy gain and cost of foraging during migration. Moreover, data covering a larger spatiotemporal scale will be necessary to assess how recurrent this behavioral pattern is along the migratory route and its contribution to fin whale's annual energy budget. This knowledge will be particularly important to understand potential impacts from climate change. As climate change is modifying seasonal rhythms of resources, this may interfere with the ability of fin whales to efficiently track resources during migration, as reported across different taxa (Cohen et al., 2018). Assessing the consequences of phenological changes in prey distribution for fin whale energetics will be critical to determine effects at the population level.

#### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **ETHICS STATEMENT**

The animal study was reviewed and approved by Regional Directorate of the Environment and Regional Directorate for Sea Affairs, Regional Government of the Azores.

# **AUTHOR CONTRIBUTIONS**

CTF analyzed the data, wrote the manuscript, contributed to the methodology. SPJ contributed to the methodology, data analysis, and writing. RP conceived and designed the study, contributed to funding acquisition and project administration, undertook fieldwork and collected data. CO and MT undertook fieldwork and collected data. AS contributed to the methodology and data analysis. MAS conceived and designed the study, contributed to funding acquisition and project administration, undertook fieldwork and collected data, contributed to the methodology, and wrote sections of the manuscript. All authors reviewed the submitted version of the submitted version.

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#### SUPPLEMENTARY MATERIAL

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