



The Fourth-Order Nonlinear Schrödinger Equation and Stability Analysis for Stokes Waves on Slowly Varying Topography

Xufeng Zhang^{1,2,3}, Yifeng Zhang^{1*} and Ruijie Li^{1,2*}

¹ Key Laboratory of Coastal Disaster and Defense, Ministry of Education, Hohai University, Nanjing, China, ² College of Oceanography, Hohai University, Nanjing, China, ³ College of Marine Science and Technology, Zhejiang Ocean University, Zhoushan, China

OPEN ACCESS

Edited by:

Xiyong Hou,
Yantai Institute of Coastal Zone
Research (CAS), China

Reviewed by:

Zhifeng Wang,
Ocean University of China, China
Wang Hongchuan,
Nanjing Hydraulic Research Institute,
China

*Correspondence:

Yifeng Zhang
85125778@qq.com
Ruijie Li
rjli@hhu.edu.cn

Specialty section:

This article was submitted to
Marine Conservation and
Sustainability,
a section of the journal
Frontiers in Marine Science

Received: 25 April 2022

Accepted: 25 May 2022

Published: 28 June 2022

Citation:

Zhang X, Zhang Y and Li R (2022)
The Fourth-Order Nonlinear
Schrödinger Equation and Stability
Analysis for Stokes Waves on
Slowly Varying Topography.
Front. Mar. Sci. 9:928096.
doi: 10.3389/fmars.2022.928096

The surface gravity wave equation is expanded to the fourth-order wave steepness on slowly varying topography, obtaining a topographic modified nonlinear Schrödinger (TMNLS) equation. When the time scale is longer than ε^{-3} times of the dominant wave period or the space scale is larger than ε^{-3} times the dominant wavelength, the second water depth derivative and the square of the first water depth derivative affect the first-order wave amplitude. The instability area for a uniform Stokes wave train by small perturbations is the entire wavenumber space, except for a specific stability curve on infinite and slowly varying depth. The depth variation terms affect the growth rate of uniform Stokes wave train on the order of 0.01. The stability curve shows more sensitive to the depth variation in x direction than that in y direction. The increment of the value for depth variation in x direction contributes the stable wave number of perturbation to approach or parallel to y axis. The increment of the value for depth variation in y direction helps the stable wave number of perturbation to approach or parallel to x axis.

Keywords: TMNLS, varying topography, instability analysis, nonlinear Schrödinger equation (NLS), narrow bandrange wave packet

INTRODUCTION

The interactions among wave packets with narrowband range frequencies and wavelengths received considerable attention. Benjamin and Feir (1967) theoretically proved that wave packets were unstable when kh is larger than 1.363, where k is the dominant wavenumber, and h is the water depth. Whitham (1967) identified and explained the Benjamin–Feir instability by theoretical analysis. Benney and Roskes (1969) complemented Whitham's theory. With a pair of nonlinear conservation equations introduced by Whitham, Lighthill (1967) analyzed the nonlinear wave evolution process after the initial stable stage of a single wave packet. Chu and Mei (1971) added the modulation rate term to Whitham's equation for long-term wave packet evolution processes to interpret the high-order dispersion effect.

The wave packet evolution process can be studied by the cubic nonlinear Schrödinger (NLS) equation [Zakharov (1968); Benney and Roskes (1969)], which is equal to the conservation equation

proposed by Chu and Mei (Hasimoto and Ono, 1972). Zakharov and Shabat (Davey, 1972) solved the analytical NLS solution. Hasimoto and Ono (Zakharov and Shabat, 1972) obtained a one-dimensional NLS for the wave packet envelope from multiple scale expansions for finite depth. Davey and Stewartson (1974) investigated the transformation of slowly varying wave packets in a three-dimensional finite depth, concluding that the wave packet envelope is confined to two nonlinear partial differential equations, similar to NLS in form. Lo and Mei (1985) highlighted the simulation value with NLS's preferably approached measured value if $\varepsilon < 0.1$, while the departure between the simulation and measured values was larger when $\varepsilon > 0.1$. Martin (Martin H. Yuen, 1980) found that the simulated wave energy with NLS does not satisfy the conservation law due to energy attenuation. Besides the confined condition of small wave steepness, NLS showed Benjamin–Feir instability in an unbounded region by two-dimensional sideband perturbation, resulting in leaking energy from low wavenumber components to high wavenumber components (Dysthe, 1979).

The NLS has been modified to overcome these defects above. By expanding the equation to the fourth order on finite depth, Dysthe (1979) established the modified nonlinear Schrödinger equation (MNLS) to improve wave packets' instability properties. Lo and Mei (1987) numerically solved and transformed MNLS in moving coordinates, showing poor long-time wave packet evolution reproducibility on infinite depth and asymmetry of sideband perturbation evolution. By considering that the wave spectrum in a realistic ocean is not narrowband, Trulsen and Dysthe (1996) developed a broader band modified nonlinear Schrödinger equation (BMNLS) by extending the bandwidth to the ε^2 order. It showed the same precision as MNLS in nonlinear terms but higher precision in linear dispersive terms than MNLS. In deep water, to compute the dispersive relation efficiently using the pseudo-spectrum method and keep the simple structure of the Dysthe equation, Trulsen et al. (2000) used cubic nonlinear terms to modify linear dispersive relations, improving wave packets' instability property by comparing the result with that from the Stokes wave analytic solution of Mclean (McLean, 1982), ensuring the boundness of Benjamin–Feir instability and stopping the wave energy leaking to high wavenumber components. Craig et al. (2012) proposed that NLS is not a Hamilton partial differential equation but an approximation to the Euler equation. Craig et al. (Craig et al., 2010; Craig et al., 2011) adopted Hamilton's method to solve the nonlinear wave modulation process and provided a Hamilton structure of the Dysthe equation (Dysthe, 1979) to describe the gravity wave evolution process on finite and infinite depth. Craig et al. (2012) developed the Hamilton method by introducing the Hamilton pair to the equations proposed by Trulsen et al. (Trulsen and Dysthe, 1996; Trulsen et al., 2000). The equations developed using this method were compatible with water wave equations. Zhang and Li (2012) modified the pseudo-spectrum method by splitting the technique to make the MNLS equation suitable for nonperiodic boundary conditions. The method can efficiently solve nonlinear wave equations

through numerical examples by nonlinear parabolic and MNLS equations.

The topography under nature waters is complicated and a crucial factor affecting the propagation process of surface gravity waves in coastal areas. Mei (2005) solved the weakly nonlinear narrowband wave packet equation on a finite flat bottom, indicating the effect of a three-order nonlinearity on the first-order wave height when the time scale is longer than ε^{-2} of the dominant wave period or space scale is larger than ε^{-2} of the dominant wave length. Brinch-Nielsen and Jonsson (1986) extended the nonlinear Schrödinger equation to the fourth order in three dimensions on an arbitrary constant depth, concluding that water depth can affect the applicability of wave instability expressions in deep water. Mild slope equations (Berkhoff, 1972; Lozano and Meyer, 1976) and their extensions (Kirby, 1986; Chamberlain and Porter, 1995; Miles and Chamberlain, 1998; Agnon and Pelinovsky, 2001) are powerful tools, aiming either at steeper slopes on large length scales or shorter irregularities, primarily used for calculating wave fields on the background of ocean engineering. According to Yue and Mei (1980), restricting $\nabla_h h$ to $O(\varepsilon^2)$, Kirby (Kirby and Dalrymple, 1983) introduced two variable x scales and one variable y scale. A parabolic equation with time independence was developed, avoiding the caustics and irregular focusing on the ray approximation while precluding wave instability analysis (Kirby and Dalrymple, 1983). Xiao and Lo (Xiao and Lo 2004) introduced the first-order depth variation terms to NLS by expanding the equation to the third-order to allow $\frac{\Delta\omega}{\omega} = \frac{\Delta k}{k} = O(\varepsilon^3)$ and depth variation $\frac{\Delta h}{h} = O(\varepsilon^3)$. No stable region exists for a uniform Stokes wave on varying bottoms, and a higher order instability beyond the Benjamin–Feir type is introduced by depth variation (Xiao and Lo 2004). Combined with experimental results and numerical analysis, Li et al. (Li et al., 2021; Li et al., 2021) found additional wave packets propagating freely and arising at first and second orders in wave steepness in a Stokes expansion as the wave packet travels over a sudden depth transition area. Free and bound waves coexisting with different phases at the second-order wave steepness indicated that the combination of the local transient peak and the magnitude of the linear free waves explained the rogue waves observed after a sudden depth transition. Zhang and Benoit (2021) proposed that the wave-bottom interaction in coastal areas forms rogue waves and increases the possibility of big waves occurring.

Neither MNLS nor BMNLS can describe the wave packet evolution process on varying bottoms. Considering the extensive application of NLS, the wave evolution process and its instability features in realistic situations can be evaluated by improving NLS to the fourth order for variable depths. Based on a mathematical technique introduced by Mei (Chu and Mei, 1970) and a boundary condition adopted by Kirby (Kirby and Dalrymple, 1983), the narrowband wave packet evolution equation was expanded to the fourth-order wave steepness. A topographic modified nonlinear Schrödinger (TMNLS) equation is obtained and investigated for the instability of a uniform Stokes wave train.

EVOLUTION EQUATION FOR NARROWBAND WAVE PACKETS ON THE FINITE DEPTH

We assign (x, y, z) as spatial coordinates with z pointing vertically upward and assume that the water depth $h(x, y)$ is slowly varying and finite at $\frac{\pi}{10} < kh < \pi$. In the irrotational current field of inviscid and incompressible fluids, velocity potential $\Phi(x, y, z, t)$ and free surface displacement $\zeta(x, y, t)$ describe surface wave propagation.

P_a is the local atmospheric pressure, and the equations to describe waves are as follows.

Laplace equation:

$$\Delta\Phi = 0 \tag{1}$$

Kinematic boundary condition on the bottom:

$$\frac{\partial\Phi}{\partial x}\frac{\partial h}{\partial x} + \frac{\partial\Phi}{\partial y}\frac{\partial h}{\partial y} = -\frac{\partial\Phi}{\partial z} \quad (z = -h) \tag{2}$$

Dynamic boundary condition on the surface:

$$-\frac{P_a}{\rho} = g\zeta + \frac{\partial\Phi}{\partial t} + \frac{1}{2}|\nabla\Phi|^2 \quad (z = \zeta) \tag{3}$$

Kinematic boundary condition on the surface:

$$\frac{\partial\zeta}{\partial t} + \frac{\partial\Phi}{\partial x}\frac{\partial\zeta}{\partial x} + \frac{\partial\Phi}{\partial y}\frac{\partial\zeta}{\partial y} = \frac{\partial\Phi}{\partial z} \quad (z = \zeta) \tag{4}$$

We act the operator $\frac{\partial}{\partial t} + \vec{u} \cdot \nabla$ on two sides of Equation (3). p_a is a constant. Equation (3) can be given as

$$\frac{\partial^2\Phi}{\partial t^2} + g\frac{\partial\Phi}{\partial z} + \frac{\partial}{\partial t}\left(|\vec{u}|^2\right) + \frac{1}{2}\vec{u} \cdot \nabla|\vec{u}|^2 = 0 \quad (z = \zeta) \tag{5}$$

The variable of Equation (5) is expanded into the Taylor series about $(z = 0)$ to the fourth order, yielding

$$\begin{aligned} & \left[\frac{\partial^2\Phi}{\partial t^2} + g\frac{\partial\Phi}{\partial z}\right]_{z=0} + \zeta\left[\frac{\partial}{\partial z}\left(\frac{\partial^2\Phi}{\partial t^2} + g\frac{\partial\Phi}{\partial z}\right)\right]_{z=0} + \left[\frac{\partial}{\partial t}\left(|\vec{u}|^2\right)\right]_{z=0} \\ & + \frac{\zeta}{2}\left[\frac{\partial^2}{\partial z^2}\left(\frac{\partial^2\Phi}{\partial t^2} + g\frac{\partial\Phi}{\partial z}\right)\right]_{z=0} + \zeta\left[\frac{\partial^2}{\partial t\partial z}\left(|\vec{u}|^2\right)\right]_{z=0} + \frac{1}{2}\left[\vec{u} \cdot \nabla\left(|\vec{u}|^2\right)\right]_{z=0} \\ & + \frac{1}{6}\zeta^3\frac{\partial^3}{\partial z^3}\left(\frac{\partial^2\Phi}{\partial t^2}\right)|_{z=0} + \frac{1}{6}g\zeta^3\frac{\partial^3}{\partial z^3}\left(\frac{\partial\Phi}{\partial z}\right)|_{z=0} \\ & + \frac{1}{2}\zeta^2\frac{\partial^2}{\partial z^2}\left(\frac{\partial\left(|\vec{u}|^2\right)}{\partial t}\right)|_{z=0} + \frac{1}{2}\zeta\frac{\partial}{\partial z}\left(\vec{u} \cdot \nabla\left(|\vec{u}|^2\right)\right)|_{z=0} = 0 \end{aligned} \tag{6}$$

Incorporating p_a into Φ in Equation (3) yields

$$g\zeta + \frac{\partial\Phi}{\partial t} + \frac{1}{2}|\nabla\Phi|^2 = 0 \quad (z = \zeta) \tag{7}$$

The variable of Equation (7) is expanded into the Taylor series about $(z = 0)$ to the fourth order, yielding

$$\begin{aligned} -g\zeta = & \left[\frac{\partial\Phi}{\partial t}\right]_{z=0} + \zeta\left[\frac{\partial^2\Phi}{\partial z\partial t}\right]_{z=0} + \frac{1}{2}\left[\frac{\partial\left(|\vec{u}|^2\right)}{\partial t}\right]_{z=0} + \frac{\zeta}{2}\left[\frac{\partial^2}{\partial z^2}\left(\frac{\partial\Phi}{\partial t}\right)\right]_{z=0} \\ & + \frac{\zeta}{2}\left[\frac{\partial}{\partial z}\left(|\vec{u}|^2\right)\right]_{z=0} + \frac{\zeta}{6}\left[\frac{\partial^3}{\partial z^3}\left(\frac{\partial\Phi}{\partial t}\right)\right]_{z=0} + \frac{\zeta}{4}\left[\frac{\partial^2}{\partial z^2}\left(|\vec{u}|^2\right)\right]_{z=0} \end{aligned} \tag{8}$$

Thus Equations (6) and (8) are the $(ka)^4$ order. k is the dominant wavenumber, and a is the dominant wave's amplitude. It is supposed that $ka = \epsilon \ll 1$.

It is supposed that the dominant wave direction is along the x -axis, and the wave packet is slowly modulated. The multiscale variables are

$$\begin{aligned} x, x_1 &= \epsilon x, x_2 = \epsilon^2 x, \dots, \\ y_1 &= \epsilon y, y_2 = \epsilon^2 y, \dots, \\ t, t_1 &= \epsilon t, t_2 = \epsilon^2 t, \dots, \end{aligned} \tag{9}$$

We expand the velocity potential and wave displacement into a perturbation series

$$\Phi = \sum_{n=1}^{\infty} \epsilon^n \phi_n, \quad \zeta = \sum_{n=1}^{\infty} \epsilon^n \zeta_n \tag{10}$$

Where

$$\phi_n = \phi_n(x, x_1, x_2, \dots; y_1, y_2, \dots; z; t, t_1, t_2, \dots) \tag{10-1}$$

$$\zeta_n = \zeta_n(x, x_1, x_2, \dots; y_1, y_2, \dots; t, t_1, t_2, \dots)$$

$$\frac{\partial}{\partial x} \rightarrow \frac{\partial}{\partial x} + \epsilon \frac{\partial}{\partial x_1} + \epsilon^2 \frac{\partial}{\partial x_2} + \dots + \epsilon^n \frac{\partial}{\partial x_n} + \dots \tag{10-2}$$

$$\frac{\partial}{\partial y} \rightarrow \epsilon \frac{\partial}{\partial y_1} + \epsilon^2 \frac{\partial}{\partial y_2} + \dots + \epsilon^n \frac{\partial}{\partial y_n} + \dots \tag{10-3}$$

The variable of Laplace Equation (1) is expanded into a perturbation series to the fourth order, yielding

$$\frac{\partial^2\phi_n}{\partial x^2} + \frac{\partial^2\phi_n}{\partial z^2} = F_n, \quad (n = 1, 2, 3, 4) \tag{11}$$

$$(F_1 = 0, F_2 = -2\frac{\partial^2\phi_1}{\partial x\partial x_1}, F_3 = -\left(\frac{\partial^2\phi_1}{\partial x_1^2} + \frac{\partial^2\phi_1}{\partial y_1^2} + 2\frac{\partial^2\phi_1}{\partial x\partial x_2} + 2\frac{\partial^2\phi_2}{\partial x\partial x_1}\right),$$

$$F_4 = -\left(\frac{\partial^2\phi_2}{\partial x_1^2} + \frac{\partial^2\phi_2}{\partial y_1^2} + 2\frac{\partial^2\phi_2}{\partial x\partial x_2} + 2\frac{\partial^2\phi_3}{\partial x\partial x_1} + 2\frac{\partial^2\phi_1}{\partial x_1\partial x_2} + 2\frac{\partial^2\phi_1}{\partial x\partial x_3} + 2\frac{\partial^2\phi_1}{\partial y_1\partial y_2}\right)) \tag{11-1}$$

The variable of Equation (6) is expanded into a perturbation series to the fourth order, yielding

$$\Gamma\phi_n = G_n \quad (z = 0), \quad \Gamma = g\frac{\partial}{\partial z} + \frac{\partial^2}{\partial t^2} \tag{12}$$

$$\begin{aligned} G_1 = 0, G_2 = & -\left[2\frac{\partial^2\phi_1}{\partial t\partial t_1} + \zeta_1\left(\frac{\partial^3\phi_1}{\partial z\partial t^2} + g\frac{\partial^2\phi_1}{\partial z^2}\right)\right. \\ & \left.+ 2\left[\frac{\partial\phi_1}{\partial x}\frac{\partial^2\phi_1}{\partial x\partial t} + \frac{\partial\phi_1}{\partial z}\frac{\partial^2\phi_1}{\partial z\partial t}\right]\right] \end{aligned} \tag{12-1}$$

$$\begin{aligned}
 G_3 = & -\left\{2 \frac{\partial^2 \phi_2}{\partial t \partial t_1} + 2 \frac{\partial^2 \phi_1}{\partial t \partial t_2} + \frac{\partial^2 \phi_1}{\partial t_1^2} + \right. \\
 & \left. \zeta_2 \frac{\partial}{\partial z} \left(\frac{\partial^2}{\partial t^2} + g \frac{\partial}{\partial z} \right) \phi_1 + \zeta_1 \frac{\partial}{\partial z} \left(\frac{\partial^2}{\partial t^2} + g \frac{\partial}{\partial z} \right) \phi_2 \right. \\
 & + \zeta_1 \left(2 \frac{\partial^3 \phi_1}{\partial z \partial t \partial t_1} + 2 \frac{\partial}{\partial z} \left[\frac{\partial \phi_1}{\partial x} \frac{\partial^2 \phi_1}{\partial x \partial t} + \frac{\partial \phi_1}{\partial z} \frac{\partial^2 \phi_1}{\partial z \partial t} \right] \right) + \frac{\zeta_1^2}{2} \frac{\partial^2}{\partial z^2} \left(\frac{\partial^2}{\partial t^2} + g \frac{\partial}{\partial z} \right) \phi_1 \\
 & + 2 \left[\frac{\partial \phi_1}{\partial x} \frac{\partial^2 \phi_2}{\partial x \partial t} + \frac{\partial^2 \phi_1}{\partial x \partial t} \frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial z} \frac{\partial^2 \phi_2}{\partial z \partial t} + \frac{\partial \phi_2}{\partial z} \frac{\partial^2 \phi_1}{\partial z \partial t} + \frac{\partial \phi_1}{\partial x} \frac{\partial^2 \phi_1}{\partial x_1 \partial t} + \frac{\partial \phi_1}{\partial x} \frac{\partial^2 \phi_1}{\partial x \partial t_1} \right. \\
 & \left. + \frac{\partial^2 \phi_1}{\partial x \partial t} \frac{\partial \phi_1}{\partial x_1} + \frac{\partial \phi_1}{\partial z} \frac{\partial^2 \phi_1}{\partial z \partial t_1} \right] + \frac{1}{2} \left[(\phi_1)_x \frac{\partial}{\partial x} + (\phi_1)_z \frac{\partial}{\partial z} \right] \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \} \\
 & \hspace{10em} (12 - 2)
 \end{aligned}$$

$$\begin{aligned}
 G_4 = & -\left\{ 2 \frac{\partial^2 \phi_3}{\partial t \partial t_1} + 2 \frac{\partial^2 \phi_2}{\partial t \partial t_2} + 2 \frac{\partial^2 \phi_1}{\partial t \partial t_3} + \frac{\partial^2 \phi_2}{\partial t_1^2} + 2 \frac{\partial^2 \phi_1}{\partial t_1 \partial t_2} \right. \\
 & + 2 \left\{ \frac{\partial \phi_1}{\partial x} \left(\frac{\partial^2 \phi_3}{\partial x \partial t} + \frac{\partial^2 \phi_2}{\partial x_1 \partial t} + \frac{\partial^2 \phi_1}{\partial x_2 \partial t} + \frac{\partial^2 \phi_2}{\partial x \partial t_1} + \frac{\partial^2 \phi_1}{\partial x_1 \partial t_1} + \frac{\partial^2 \phi_1}{\partial x \partial t_2} \right) \right. \\
 & + \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) \left(\frac{\partial^2 \phi_2}{\partial x \partial t} + \frac{\partial^2 \phi_1}{\partial x_1 \partial t} \right) + \left(\frac{\partial \phi_3}{\partial x} + \frac{\partial \phi_2}{\partial x_1} + \frac{\partial \phi_1}{\partial x_2} \right) \frac{\partial^2 \phi_1}{\partial x \partial t} + \frac{\partial \phi_1}{\partial y_1} \frac{\partial^2 \phi_1}{\partial y_1 \partial t} \\
 & + \frac{\partial \phi_1}{\partial z} \left(\frac{\partial^2 \phi_3}{\partial z \partial t} + \frac{\partial^2 \phi_2}{\partial z \partial t_1} + \frac{\partial^2 \phi_1}{\partial z \partial t_2} \right) + \frac{\partial \phi_2}{\partial z} \left(\frac{\partial^2 \phi_2}{\partial z \partial t} + \frac{\partial^2 \phi_1}{\partial z \partial t_1} \right) \\
 & \left. + \frac{\partial \phi_3}{\partial z} \frac{\partial^2 \phi_1}{\partial z \partial t} + \frac{\partial^2 \phi_1}{\partial x \partial t_1} \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) \right\} \\
 & + \frac{\partial \phi_1}{\partial x} \left\{ \frac{\partial}{\partial x} \left[\frac{\partial \phi_1}{\partial x} \frac{\partial \phi_1}{\partial x_1} + \frac{\partial \phi_1}{\partial x} \frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial z} \frac{\partial \phi_2}{\partial z} \right] + \frac{1}{2} \frac{\partial}{\partial x_1} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \right\} \\
 & + \frac{1}{2} \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) \frac{\partial}{\partial x} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \\
 & + \frac{\partial \phi_1}{\partial z} \frac{\partial}{\partial z} \left(\frac{\partial \phi_1}{\partial x} \frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x} \frac{\partial \phi_1}{\partial x_1} + \frac{\partial \phi_1}{\partial z} \frac{\partial \phi_2}{\partial z} \right) + \frac{1}{2} \frac{\partial \phi_2}{\partial z} \frac{\partial}{\partial z} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \\
 & + \zeta_1 \left[\frac{\partial}{\partial z} \left(\frac{\partial^2 \phi_3}{\partial t^2} + 2 \frac{\partial^2 \phi_2}{\partial t \partial t_1} + 2 \frac{\partial^2 \phi_1}{\partial t \partial t_2} + \frac{\partial^2 \phi_1}{\partial t_1^2} \right) + g \frac{\partial^2 \phi_3}{\partial z^2} \right. \\
 & + 2 \frac{\partial}{\partial z} \left[\frac{\partial \phi_1}{\partial x} \frac{\partial}{\partial t} \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) + \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial x} \right) \right. \\
 & + \frac{\partial \phi_1}{\partial z} \frac{\partial}{\partial t} \left(\frac{\partial \phi_2}{\partial z} \right) + \frac{\partial \phi_2}{\partial z} \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial z} \right) + \frac{\partial \phi_1}{\partial x} \frac{\partial}{\partial t_1} \left(\frac{\partial \phi_1}{\partial x} \right) + \frac{\partial \phi_1}{\partial z} \frac{\partial}{\partial t_1} \left(\frac{\partial \phi_1}{\partial z} \right) \left. \right] \\
 & + \frac{1}{2} \frac{\partial}{\partial z} \left\{ \frac{\partial \phi_1}{\partial x} \left[\frac{\partial}{\partial x} \left(\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right) \right] + \frac{\partial \phi_1}{\partial z} \left[\frac{\partial}{\partial z} \left(\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right) \right] \right\} \\
 & + \zeta_2 \left\{ \frac{\partial}{\partial z} \left(\frac{\partial^2 \phi_2}{\partial t^2} + 2 \frac{\partial^2 \phi_1}{\partial t \partial t_1} \right) + g \frac{\partial^2 \phi_2}{\partial z^2} + 2 \frac{\partial}{\partial z} \left[\frac{\partial \phi_1}{\partial x} \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial x} \right) + \frac{\partial \phi_1}{\partial z} \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial z} \right) \right] \right\} \\
 & + \zeta_3 \left[\frac{\partial}{\partial z} \left(\frac{\partial^2 \phi_1}{\partial t^2} \right) + g \frac{\partial^2 \phi_1}{\partial z^2} \right] \\
 & + \zeta_1^2 \left[\frac{1}{2} \frac{\partial^2}{\partial z^2} \left(\frac{\partial^2 \phi_2}{\partial t^2} + 2 \frac{\partial^2 \phi_1}{\partial t \partial t_1} \right) + \frac{g}{2} \frac{\partial^3 \phi_2}{\partial z^3} + \frac{\partial^2}{\partial z^2} \left[\frac{\partial \phi_1}{\partial x} \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial x} \right) + \frac{\partial \phi_1}{\partial z} \frac{\partial}{\partial t} \left(\frac{\partial \phi_1}{\partial z} \right) \right] \right. \\
 & \left. + \zeta_1 \zeta_2 \left[\frac{\partial^2}{\partial z^2} \left(\frac{\partial^2 \phi_1}{\partial t^2} \right) + g \frac{\partial^3 \phi_1}{\partial z^3} \right] + \frac{1}{6} \zeta_1^3 \left[\frac{\partial^3}{\partial z^3} \left(\frac{\partial^2 \phi_1}{\partial t^2} \right) + g \frac{\partial^4 \phi_1}{\partial z^4} \right] \right\} \\
 & \hspace{10em} (12 - 3)
 \end{aligned}$$

The variable of boundary condition (8) is expanded into a perturbation series to the fourth order, yielding

$$-g\zeta_n = H_n (z = 0) \tag{13}$$

$$\begin{aligned}
 H_1 = & \frac{\partial \phi_1}{\partial t}, H_2 \\
 = & \frac{\partial \phi_2}{\partial t} + \frac{\partial \phi_1}{\partial t_1} + \zeta_1 \frac{\partial^2 \phi_1}{\partial z \partial t} \\
 & + \frac{1}{2} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \tag{13 - 1}
 \end{aligned}$$

$$\begin{aligned}
 H_3 = & \frac{\partial \phi_3}{\partial t} + \frac{\partial \phi_2}{\partial t_1} + \frac{\partial \phi_1}{\partial t_2} + \zeta_1 \left[\frac{\partial^2 \phi_2}{\partial z \partial t} + \frac{\partial^2 \phi_1}{\partial z \partial t_1} \right] + \zeta_2 \frac{\partial^2 \phi_1}{\partial z \partial t} \\
 & + \frac{1}{2} \left[2 \frac{\partial \phi_1}{\partial x} \frac{\partial \phi_2}{\partial x} + 2 \frac{\partial \phi_1}{\partial x} \frac{\partial \phi_1}{\partial x_1} + 2 \frac{\partial \phi_1}{\partial z} \frac{\partial \phi_2}{\partial z} \right] + \tag{13 - 2} \\
 & \frac{\zeta_1^2}{2} \frac{\partial^2}{\partial z^2} \left(\frac{\partial \phi_1}{\partial t} \right) + \frac{\zeta_1}{2} \frac{\partial}{\partial z} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right]
 \end{aligned}$$

$$\begin{aligned}
 H_4 = & \frac{\partial \phi_4}{\partial t} + \frac{\partial \phi_3}{\partial t_1} + \frac{\partial \phi_2}{\partial t_2} + \frac{\partial \phi_1}{\partial t_3} + \zeta_3 \frac{\partial^2 \phi_1}{\partial z \partial t} + \zeta_2 \left[\frac{\partial}{\partial z} \left(\frac{\partial \phi_2}{\partial t} + \frac{\partial \phi_1}{\partial t_1} \right) \right] \\
 & + \zeta_1 \left[\frac{\partial}{\partial z} \left(\frac{\partial \phi_3}{\partial t} + \frac{\partial \phi_2}{\partial t_1} + \frac{\partial \phi_1}{\partial t_2} \right) \right] + \zeta_1 \zeta_2 \frac{\partial^2}{\partial z^2} \left(\frac{\partial \phi_1}{\partial t} \right) + \frac{\zeta_1^2}{2} \frac{\partial^2}{\partial z^2} \left(\frac{\partial \phi_2}{\partial t} + \frac{\partial \phi_1}{\partial t_1} \right) \\
 & + \frac{\zeta_1^3}{6} \frac{\partial^3}{\partial z^3} \left(\frac{\partial \phi_1}{\partial t} \right) + \frac{1}{2} \left[\left(\frac{\partial \phi_2}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial x_1} \right)^2 + \left(\frac{\partial \phi_1}{\partial y_1} \right)^2 + \left(\frac{\partial \phi_2}{\partial z} \right)^2 \right] \\
 & + \frac{\partial \phi_2}{\partial x} \frac{\partial \phi_1}{\partial x_1} + \frac{\partial \phi_1}{\partial x} \left(\frac{\partial \phi_3}{\partial x} + \frac{\partial \phi_2}{\partial x_1} + \frac{\partial \phi_1}{\partial x_2} \right) + \frac{\partial \phi_1}{\partial z} \frac{\partial \phi_3}{\partial z} + \frac{\zeta_2}{2} \frac{\partial}{\partial z} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \\
 & + \zeta_1 \frac{\partial}{\partial z} \left[\frac{\partial \phi_1}{\partial x} \left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) + \frac{\partial \phi_1}{\partial z} \frac{\partial \phi_2}{\partial z} \right] + \frac{\zeta_1^2}{4} \frac{\partial^2}{\partial z^2} \left[\left(\frac{\partial \phi_1}{\partial x} \right)^2 + \left(\frac{\partial \phi_1}{\partial z} \right)^2 \right] \\
 & \hspace{10em} (13 - 3)
 \end{aligned}$$

ϕ_n, F_n , and G_n are expanded as

$$\{\phi_n, F_n, G_n\} = \sum_{m=-n}^n \left[e^{im(kx-\omega t)} \{\phi_{nm}, F_{nm}, G_{nm}\} \right] \tag{14}$$

$\phi_{n-m} = (\phi_n, m)^*$, $(\)^*$, and c.c. are complex conjugate numbers.

According to the boundary condition introduced by Kirby (Kirby and Dalrymple, 1983), depth h is modulated at the x and y directions as

$$\frac{\partial h}{\partial x} = \varepsilon^2 \frac{\partial h}{\partial x_2} + \varepsilon^3 \frac{\partial h}{\partial x_3} + \dots, \frac{\partial h}{\partial y} = \varepsilon \frac{\partial h}{\partial y_1} + \varepsilon^2 \frac{\partial h}{\partial y_2} + \dots \tag{15}$$

The variable of the bottom boundary condition (2) is expanded into a perturbation series to the fourth order, yielding

$$\frac{\partial \phi_n}{\partial z} |_{z=-h} = B_n \quad (n = 1, 2, 3, 4) \tag{16}$$

$$B_1 = B_2 = 0, B_3 = -\frac{\partial \phi_1}{\partial x} |_{z=-h} \frac{\partial h}{\partial x_2} - \frac{\partial \phi_1}{\partial y_1} |_{z=-h} \frac{\partial h}{\partial y_1} \tag{16 - 1}$$

$$\begin{aligned}
 B_4 = & \frac{\partial \phi_1}{\partial z} |_{z=-h} = -\left(\frac{\partial \phi_2}{\partial x} + \frac{\partial \phi_1}{\partial x_1} \right) |_{z=-h} \frac{\partial h}{\partial x_2} - \frac{\partial \phi_1}{\partial x} |_{z=-h} \frac{\partial h}{\partial x_3} \\
 & - \left(\frac{\partial \phi_1}{\partial y_2} + \frac{\partial \phi_2}{\partial y_1} \right) |_{z=-h} \frac{\partial h}{\partial y_1} - \frac{\partial \phi_1}{\partial y_1} |_{z=-h} \frac{\partial h}{\partial y_2} \tag{16 - 2}
 \end{aligned}$$

Equations (11), (12), (13), (14), and the bottom boundary condition (16) constitute definition conditions.

The free surface's leading-order displacement is

$$\zeta = \frac{1}{2} \left(A e^{i(kx - \omega t)} + \star \right) \tag{16 - 3}$$

A is the free surface leading-order displacement amplitude. Under third and fourth-order definition conditions, the first-order wave height's dimensionless equation are

$$\begin{aligned} & \frac{\partial A}{\partial t} + C'_g \frac{\partial A}{\partial x} - \frac{iC'_g}{2} \frac{\partial^2 A}{\partial y^2} - \frac{i}{2} \frac{\partial C'_g}{\partial y} \frac{\partial A}{\partial y} - \frac{i}{2} \left(\frac{\partial^2 \omega}{\partial k^2} \right)' \frac{\partial^2 A}{\partial x^2} \\ & + \frac{1}{16sh^4kh} [8 + ch4kh - 2th^2kh] iA^2 A^* \\ & + \left[\frac{1}{2} \frac{\partial C'_g}{\partial x} + \frac{i}{4} K_2 \frac{\partial^2 h}{\partial y^2} - \frac{i}{4} K_3 \left(\frac{\partial h}{\partial y} \right)^2 - \frac{1}{2ch^2kh} i \left(\frac{\partial \phi_{10}}{\partial t} - 2ch^2kh \frac{\partial \phi_{10}}{\partial x} \right) \right] A = 0 \end{aligned} \tag{17}$$

$$\begin{aligned} & \frac{\partial A}{\partial t} + \left(C'_g + iN_3 \frac{\partial h}{\partial x} + \frac{1}{16} N_6 \frac{\partial^2 h}{\partial y^2} + \frac{1}{8} N_9 \left(\frac{\partial h}{\partial y} \right)^2 + \frac{\partial \phi_{10}}{\partial x} + N_7 \frac{\partial \phi_{10}}{\partial t} \right) \frac{\partial A}{\partial x} \\ & - \frac{iC'_g}{2} \frac{\partial^2 A}{\partial y^2} + \left(\frac{\partial \phi_{10}}{\partial y} - \frac{i}{2} \frac{\partial C'_g}{\partial y} \right) \frac{\partial A}{\partial y} - \frac{i}{2} \left(\frac{\partial^2 \omega}{\partial k^2} \right)' \frac{\partial^2 A}{\partial x^2} + \\ & \frac{1}{8} N_1 \frac{\partial^3 A}{\partial x \partial y^2} - \frac{1}{6} \left(\frac{\partial^3 \omega}{\partial k^3} \right)' \frac{\partial^3 A}{\partial x^3} \\ & + N_2 \frac{\partial h}{\partial y} \frac{\partial^2 A}{\partial x \partial y} + \frac{1}{16sh^4kh} [8 + ch4kh - 2th^2kh] \\ & iA^2 A^* + N_4 A^2 \frac{\partial A^*}{\partial x} + N_5 AA^* \frac{\partial A}{\partial x} \\ & + \left[\frac{1}{2} \frac{\partial C'_g}{\partial x} + \frac{i}{4} K_2 \frac{\partial^2 h}{\partial y^2} - \frac{i}{4} K_3 \left(\frac{\partial h}{\partial y} \right)^2 - \frac{1}{2ch^2kh} i \left(\frac{\partial \phi_{10}}{\partial t} - 2ch^2kh \frac{\partial \phi_{10}}{\partial x} \right) \right. \\ & \left. - \left(\frac{kh}{sh2kh} + \frac{khshkh}{chkh} \right) \frac{\partial^2 \phi_{10}}{\partial x^2} + \frac{1}{2} \frac{\partial^2 \phi_{10}}{\partial y^2} - N_8 \frac{\partial^2 \phi_{10}}{\partial x \partial t} - \frac{sh^2kh}{ch^2kh} \frac{\partial^2 \phi_{10}}{\partial t^2} \right] A = 0 \end{aligned} \tag{18}$$

The coefficients in Equations (17) and (18) are

$$C'_g = \frac{1}{2} \left(1 + \frac{2kh}{sh2kh} \right)$$

$$\left(\frac{\partial^2 \omega}{\partial k^2} \right)' = -\frac{1}{4} \left[\left(\frac{4}{ch^2kh} + \frac{4}{sh^22kh} \right) k^2 h^2 - \frac{2kh}{shkhchkh} + 1 \right]$$

$$\left(\frac{\partial^3 \omega}{\partial k^3} \right)' = -6 \left(\frac{kh}{8sh2kh} + \frac{k^2 h^2}{4sh^2kh} - \frac{1}{16} - \frac{3k^2 h^2}{4sh^22kh} - \frac{k^3 h^3}{2sh^32kh} - \frac{k^3 h^3}{6sh2kh} - \frac{k^3 h^3 shkh}{4ch^3kh} \right)$$

$$K_1 = \frac{sh2kh - 2khch2kh}{sh^22kh}, K_2 = \frac{1}{sh2kh} + \frac{2khch2kh}{sh^22kh}$$

$$K_3 = \frac{1}{ch^2kh} - \frac{4ch2kh}{sh^22kh} + \left(\frac{8ch^22kh}{sh^32kh} + \frac{1}{shkhch^3kh} - \frac{4}{sh2kh} \right) kh$$

$$\frac{\partial C'_g}{\partial x} = K_1 \frac{\partial h}{\partial x}, \frac{\partial C'_g}{\partial y} = K_1 \frac{\partial h}{\partial y}$$

$$N_1 = \left(3 + \frac{4k^2 h^2}{sh^22kh} + \frac{4k^2 h^2}{ch^2kh} \right)$$

$$N_2 = \frac{1}{4sh2kh} - \frac{ch2kh}{2sh2kh} + \left(\frac{1}{ch^2kh} + \frac{3}{2sh^22kh} - \frac{ch2kh}{2sh^22kh} \right) kh - \left(\frac{ch2kh}{4shkhch^3kh} + \frac{shkh}{ch^2kh} + \frac{1}{2shkhchkh} + \frac{ch2kh}{sh^22kh} \right) k^2 h^2$$

$$N_3 = \left(\frac{1}{ch^2khsh2kh} - \frac{1}{2shkhchkh} - \frac{3ch2kh}{4shkhch^3kh} - \frac{ch2kh}{sh^22kh} \right) k^2 h^2 + \left(\frac{3}{2sh^22kh} + \frac{5}{4ch^2kh} + \frac{1}{2sh^22kh} \right) kh - \frac{1}{4sh2kh} - \frac{ch2kh}{2sh2kh}$$

$$N_4 = \frac{1}{2} \left\{ \frac{1}{2} - \frac{ch^2kh}{2sh^2kh} + \frac{ch^4kh}{2sh^4kh} - \frac{ch4kh}{16sh^4kh} - \frac{ch2kh}{4sh^2kh} + \frac{1}{4sh^4kh} - \frac{3ch2kh}{4sh^4kh} + \frac{ch^2kh}{4sh^4kh} - \frac{1}{2sh^4kh} + \frac{1}{8sh^3khch^2kh} + \left(\frac{17}{8sh^3khchkh} + \frac{17}{4shkhchkh} - \frac{7chkh}{4sh^3kh} - \frac{3ch2kh}{2sh^2khchkh} - \frac{chkhch2kh}{8sh^4kh} + \frac{1}{2shkhch^2kh} + \frac{ch2kh}{2shkhchkh} - \frac{ch2kh}{ch^2kh} + \frac{ch2kh}{8sh^3khch^2kh} - \frac{ch2khch4kh}{16sh^2khchkh} \right) kh \right\}$$

$$N_5 = \frac{1}{2} \left\{ \frac{21ch2kh}{4sh^4kh} + 4 - \frac{3ch2kh}{4sh^4khch^2kh} - \frac{27}{4sh^4kh} + \frac{1}{4ch^2kh} - \frac{1}{sh^4kh} - \frac{ch4kh}{8sh^4kh} + \frac{1}{4sh^2khch^2kh} + kh \left[\frac{1}{ch^2khsh2kh} + \frac{2ch2kh}{4sh^3khchkh} - \frac{9ch2kh}{4sh^2khchkh} + \frac{3ch2kh}{8sh^3khch^3kh} + \frac{3}{4sh^3khchkh} + \frac{6chkh}{sh^3kh} + \frac{2chkh}{shkh} - \frac{ch2khch4kh}{8sh^3khchkh} + \frac{ch2kh}{4sh^3khch^3kh} + \frac{3}{2sh^2khchkh} - \frac{3chkh}{2sh^2kh} + \frac{3ch2kh}{8sh^7khch^3kh} - \frac{15ch2kh}{8sh^7khchkh} - \frac{3chkhch2kh}{sh^2kh} + \frac{3chkhch2kh}{2sh^7kh} \right] \right\}$$

$$N_6 = \left(\frac{8}{sh2khch^2kh} - \frac{8ch2kh}{sh^22kh} - \frac{4}{shkhchkh} - \frac{6ch2kh}{shkhch^2kh} \right) k^2 h^2 + \left(\frac{2}{sh^2kh} - \frac{1}{sh^2khch^2kh} - \frac{4ch2kh}{sh^22kh} \right) kh - \frac{2ch2kh}{shkhchkh} - \frac{1}{shkhchkh}$$

$$N_7 = \left(\frac{1}{2ch^2khsh2kh} + \frac{sh^2kh}{ch^2khsh2kh} + \frac{shkh}{2ch^3kh} \right) kh - \frac{3}{4ch^2kh}$$

$$N_8 = 1 - \frac{1}{4ch^2kh} - \left(\frac{kh}{sh2kh} + \frac{khshkh}{chkh} \right)$$

$$N_9 = -\frac{4sh^2kh-1}{sh^2kh} + \frac{2}{ch^2kh} + \frac{ch^2khch2kh+sh^2khch2kh}{sh^2khch^2kh} + \left(\frac{2shkh}{ch^3kh} - \frac{2}{shkhchkh} - \frac{chkh}{sh^3kh} + \frac{1}{shkhch^3kh} \right) 2kh + \left[\frac{1}{sh^2kh} + \frac{3}{ch^2kh} + \frac{1}{sh^2khch^2kh} + \frac{2}{shkhch^3kh} \left(\frac{1}{sh2kh} + \frac{shkh}{chkh} \right) \right] k^2 h^2$$

ϕ_{10} is the velocity potential of wave-induced current in equation (17) and (18). Equation (17) indicates that the water depth's second derivative and the square of the water depth's first derivative affect the first-order wave amplitude when the time scale is longer than ϵ^2 times of the dominant wave period or the space scale is larger than ϵ^2 of the dominant wavelength. Equation (18) is more complicated than Equation (17). Equation (18) improves the coefficient of Equation (17) by incorporating depth variation and wave-induced current terms. In Equation (18), higher-order dispersive and nonlinear terms are added. Equation (18) indicates that when the time scale is longer than ϵ^3 times of the dominant wave period or the space scale is larger than ϵ^3 times the dominant wavelength, the second water depth derivative and the square of the water depth's first derivative affect the first-order wave amplitude.

When $\frac{\partial A}{\partial t}, \left(\frac{\partial h}{\partial y} \right)^2, \frac{\partial^2 h}{\partial y^2}, -\frac{i}{2} \left(\frac{\partial^2 \omega}{\partial k^2} \right)' \frac{\partial^2 A}{\partial x^2}$ and $\frac{\partial \phi_{10}}{\partial t} - 2ch^2kh \frac{\partial \phi_{10}}{\partial x}$ are neglected, Equation(17)is transformed to be

$$C'_g \frac{\partial A}{\partial x} - \frac{iC'_g}{2} \frac{\partial^2 A}{\partial y^2} - \frac{i}{2} \frac{\partial C'_g}{\partial y} \frac{\partial A}{\partial y} + \frac{1}{2} \frac{\partial C'_g}{\partial x} A + \frac{1}{16sh^4kh} [8 + ch4kh - 2th^2kh] iA^2 A^* = 0 \tag{19}$$

$$\begin{pmatrix} a' \\ \theta' \\ \bar{\phi} \end{pmatrix} = \begin{pmatrix} \hat{a} \\ \hat{\theta} \\ \hat{\phi} \end{pmatrix} e^{i(\lambda x + \mu y - \Omega t)} + c.c. \tag{25}$$

According to Equation (20), the dispersion relation for perturbation is

$$\Omega = \frac{1}{2} \left[\frac{1}{8} \lambda^3 - \frac{3}{4} \lambda \mu^2 + \left(1 - \frac{1}{2} \frac{\partial^2 h}{\partial y^2} \right) \lambda + 3a_0^2 \lambda \right] \pm \frac{1}{2} (p + iq) - \frac{1}{2} \frac{\partial h}{\partial y} \mu \lambda i \tag{26}$$

Equation (19) is the same as Equation (2.18) of reference (Kirby and Dalrymple, 1983). It cannot analyze wave instability properties because the equation is steady.

When kh limits to infinite, the coefficients of Equation (18) are transformed to

$$-\frac{1}{6} \left(\frac{\partial^3 \omega}{\partial k^3} \right)' = -\frac{1}{16}, -\frac{1}{2} \left(\frac{\partial^2 \omega}{\partial k^2} \right)' = \frac{1}{8}, C'_g = \frac{1}{2}, \frac{\partial C'_g}{\partial y} = \frac{\partial C'_g}{\partial x} = 0, N_1 = 3, N_2 = -\frac{1}{2}, N_3 = -\frac{1}{2}, N_4 = -\frac{1}{4}, N_5 = \frac{3}{2}, N_6 = -4, N_7 = 0, N_9 = 0$$

Omitting the terms about ϕ_{10} , except for $\frac{\partial \phi_{10}}{\partial x}$ before A , Equation (18) is transformed to

$$\frac{\partial A}{\partial t} + \left(\frac{1}{2} - \frac{1}{2} i \frac{\partial h}{\partial x} - \frac{1}{4} \frac{\partial^2 h}{\partial y^2} \right) \frac{\partial A}{\partial x} - \frac{i}{4} \frac{\partial^2 A}{\partial y^2} + \frac{i}{8} \frac{\partial^2 A}{\partial x^2} + \frac{3}{8} \frac{\partial^3 A}{\partial x \partial y^2} - \frac{1}{16} \frac{\partial^3 A}{\partial x^3} - \frac{1}{2} \frac{\partial h}{\partial y} \frac{\partial^2 A}{\partial x \partial y} \tag{20}$$

$$q = \left\{ \frac{1}{2} \left\{ \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^2 + \left(\frac{1}{2} \lambda^2 - \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right)^2 \left(\frac{\partial h}{\partial x} \right)^2 \lambda^2 \right\}^{\frac{1}{2}} - \frac{1}{2} \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^{\frac{1}{2}} \right\}^{\frac{1}{2}} \tag{26-1}$$

$$p = \frac{1}{2q} \left(\frac{1}{2} \lambda^2 - \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) \frac{\partial h}{\partial x} \lambda \tag{26-2}$$

$$K = \sqrt{\mu^2 + \lambda^2} \tag{26-3}$$

$$+ \frac{1}{2} i A^2 A^* - \frac{1}{4} A^2 \frac{\partial A}{\partial x} + \frac{3}{2} A A^* \frac{\partial A}{\partial x} + i A \frac{\partial \phi_{10}}{\partial x} = 0$$

$$\nabla^2 \phi_{10} = 0 \quad (-h < z < 0) \tag{21}$$

$$\frac{\partial \phi_{10}}{\partial z} = \frac{1}{2} \frac{\partial}{\partial x} |A|^2 \quad (z = 0) \tag{22}$$

$$\frac{\partial \phi_{10}}{\partial z} = 0 \quad (z = -h) \tag{23}$$

Thus,

$$\text{Im} \Omega = -\frac{1}{2} \frac{\partial h}{\partial y} \mu \lambda \pm \frac{1}{2} \left\{ \frac{1}{2} \left\{ \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^2 + \left(\frac{1}{2} \lambda^2 - \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right)^2 \left(\frac{\partial h}{\partial x} \right)^2 \lambda^2 \right\}^{\frac{1}{2}} - \frac{1}{2} \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^{\frac{1}{2}} \right\} \tag{27}$$

In contrast with the MNLS (Trulsen and Dysthe, 1996), Equation (20) is added by topography variation terms of $(-\frac{1}{2} i \frac{\partial h}{\partial x} - \frac{1}{4} \frac{\partial^2 h}{\partial y^2}) \frac{\partial A}{\partial x} - \frac{1}{2} \frac{\partial h}{\partial y} \frac{\partial^2 A}{\partial x \partial y}$. The first and second-order water depth derivatives affect the first-order wave amplitude on infinite depth. Equation (20) can be called a topographic modified nonlinear Schrödinger equation (TMNLS).

As shown in Equation (26), $\frac{\partial^2 h}{\partial y^2}$ influences the perturbation phase without affecting the perturbation amplitude. Equation (27) demonstrates that $\frac{\partial h}{\partial y}$ and $\frac{\partial h}{\partial x}$ affect the small perturbation's amplitude. $\text{Im} \Omega$ is defined as growth rate of Stokes wave disturbed by perturbation by Lo and Mei (1987). To ensure the ungrowth of perturbations, $\text{Im} \Omega = 0$, meaning

INSTABILITY OF A UNIFORM STOKES WAVE TRAIN

It is supposed that the Stoke wave solution is $A = a_0 e^{-\frac{i}{2} a_0^2 t}$. Its instability can be evaluated by assuming small perturbations in amplitude and phase. μ and λ are the wavenumbers of small perturbations in x and y direction.

$$A = a_0 a' e^{i(-\frac{1}{2} a_0^2 t)} + a_0 e^{i(\theta - \frac{1}{2} a_0^2 t)} = a_0 [a' + 1 + i\theta'] e^{-\frac{i}{2} a_0^2 t} \tag{24}$$

$$|\text{Im} \Omega| = \left| \frac{1}{2} \frac{\partial h}{\partial y} \mu \lambda - \frac{1}{2} \left\{ \frac{1}{2} \left\{ \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^2 + \left(\frac{1}{2} \lambda^2 - \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right)^2 \left(\frac{\partial h}{\partial x} \right)^2 \lambda^2 \right\}^{\frac{1}{2}} - \frac{1}{2} \left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) - \lambda^2 \left(\frac{\partial h}{\partial x} \right)^2 \right]^{\frac{1}{2}} \right\} \right| = 0 \tag{28}$$

It is supposed that small perturbations have the plane wave solution

A uniform Stokes wave disturbed by small perturbations is stable only when Equation (28) is satisfied. Therefore, the small perturbation's instability area is the entire perturbation wavenumber space, except for the curve satisfying Equation (28). It is shown that there are solutions for $\text{Im} \Omega = 0$ when Equation (28) is satisfied.

When $\frac{\partial h}{\partial x}$ is a higher order of magnitude than $\frac{\partial h}{\partial y}$, $(\frac{\partial h}{\partial x})^2$ is neglected. Then,

$$\text{Im } \Omega = -\frac{1}{2} \frac{\partial h}{\partial y} \mu \lambda \pm \frac{1}{2} \left\{ -\left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) \right] \right\}^{\frac{1}{2}} \quad (29)$$

The first depth derivative perpendicular to the dominant wave packet imposes on the wave instability disturbed by small perturbations when $\frac{\partial h}{\partial x}$ is a higher order of magnitude than $\frac{\partial h}{\partial y}$.

Without regard to the bottom slope, $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y} = 0$, then

$$\text{Im } \Omega = \pm \frac{1}{2} \left\{ -\left[\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) \right] \right\}^{\frac{1}{2}} \quad (30)$$

$\text{Im } \Omega$ must have a real root, then

$$\frac{1}{4} a_0^4 \lambda^2 + \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 \right) \left(\frac{1}{4} \lambda^2 - \frac{1}{2} \mu^2 - 2a_0^2 + 2 \frac{\lambda^2}{K} a_0^2 \right) < 0 \quad (31)$$

The left-hand of Inequality (31) is the same as the right-hand of Equation (18) in reference (Trulsen and Dysthe, 1996) when kh limits to infinite. Inequality (31) stands for the Stokes wave instability area disturbed by the MNLS perturbation on a flat bottom.

A uniform Stokes wave train is unstable disturbed by small perturbations on infinite and slowly varying depth, except for the curve satisfying Equation (28). Compared with the MNLS perturbation analysis on the flat bottom when $h_x = h_y = 0$, the small perturbation's instability area is the entire wavenumber space, except for the curve satisfying Equation (28) on a slowly varying bottom.

DISCUSSIONS

According to the results of Mclean (McLean, 1982) and Trulsen (Trulsen and Dysthe, 1996), we choose $a_0 = 0.0995$ and $a_0 = 0.196$ to plot the stability curves for $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$, corresponding to $\varepsilon = 0.1$ and $\varepsilon = 0.2$. $|\text{Im } \Omega|$ is the growth rate of Stokes wave disturbed by perturbation and the curve of $\text{Im } \Omega = 0$

is the stable curve for Stokes wave. **Figures 1–12** and **Figures 1–24** in the **Supplementary Material** show the curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$, the value of $\frac{\partial h}{\partial x}$ and $\frac{\partial h}{\partial y}$ ranging from 0 to 0.3. **Figure 25** to **Figure 60** in the **Supplementary Material** show the curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.0995$, the value of $\frac{\partial h}{\partial x}$ and $\frac{\partial h}{\partial y}$ varying from 0 to 0.3. To distinguish the influence of the orders of bottom variation, the selected orders of $\frac{\partial h}{\partial x}$ and $\frac{\partial h}{\partial y}$ are 0, 0.000001, 0.00001, 0.0001, 0.001, 0.01, 0.1, 0.2, and 0.3. In **Figures 1–15**, h_x and h_y are $\frac{\partial h}{\partial x}$ and $\frac{\partial h}{\partial y}$ respectively. In **Figures 1, 13–15**, $h_x = h_y = 0$ stands for the MNLS perturbation wave stability curve, neglecting the bottom slope and to compare with other curves.

Figures 1–12 and **Figures 1–6** in the **Supplementary Material** indicate curves of $|\text{Im } \Omega|$ and the corresponding scatter diagrams of $\text{Im } \Omega = 0$ with the invariable value of $\frac{\partial h}{\partial x}$ and the variable value of $\frac{\partial h}{\partial y}$ from 0 to 0.3. The discussions are as follows:

1. As **Figures 1–12** shown, for $a_0 = 0.196$ and the invariable value of $\frac{\partial h}{\partial x}$, the value of $|\text{Im } \Omega|$ increases as the value of $\frac{\partial h}{\partial y}$ rises. As the value of $\frac{\partial h}{\partial y}$ varying from 0 to 0.01, the value of $|\text{Im } \Omega|$ is maximum around the original point in wave number plane. As the value of $\frac{\partial h}{\partial y}$ is 0.1, 0.2 and 0.3, there is no maximum value of $|\text{Im } \Omega|$ around the original point in wave number plane. As the value of $\frac{\partial h}{\partial x}$ increasing from 0 to 0.001, the contours for $|\text{Im } \Omega|$ are similar. As $\frac{\partial h}{\partial x} = 0.01$, the contours show obvious change by comparing with that As

$\frac{\partial h}{\partial x} = 0.001$. There are no extra maximum value points of $|\text{Im } \Omega|$ beside that around the original point in wave number plane as the value of $\frac{\partial h}{\partial x}$ varying from 0 to 0.001. It is indicated, on the order of 0.01, $\frac{\partial h}{\partial x}$ begins to affect the curve of $|\text{Im } \Omega|$.

2. For $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0$, the shape of curves of $\text{Im } \Omega = 0$ are similar for the value of $\frac{\partial h}{\partial y}$ ranging from 0 to 0.001. The curve is reduced to the MNLS equation instability curve as $\frac{\partial h}{\partial y} = 0$. The curve for $\text{Im } \Omega = 0$ approaches to original point more closely with larger value of $\frac{\partial h}{\partial y}$.

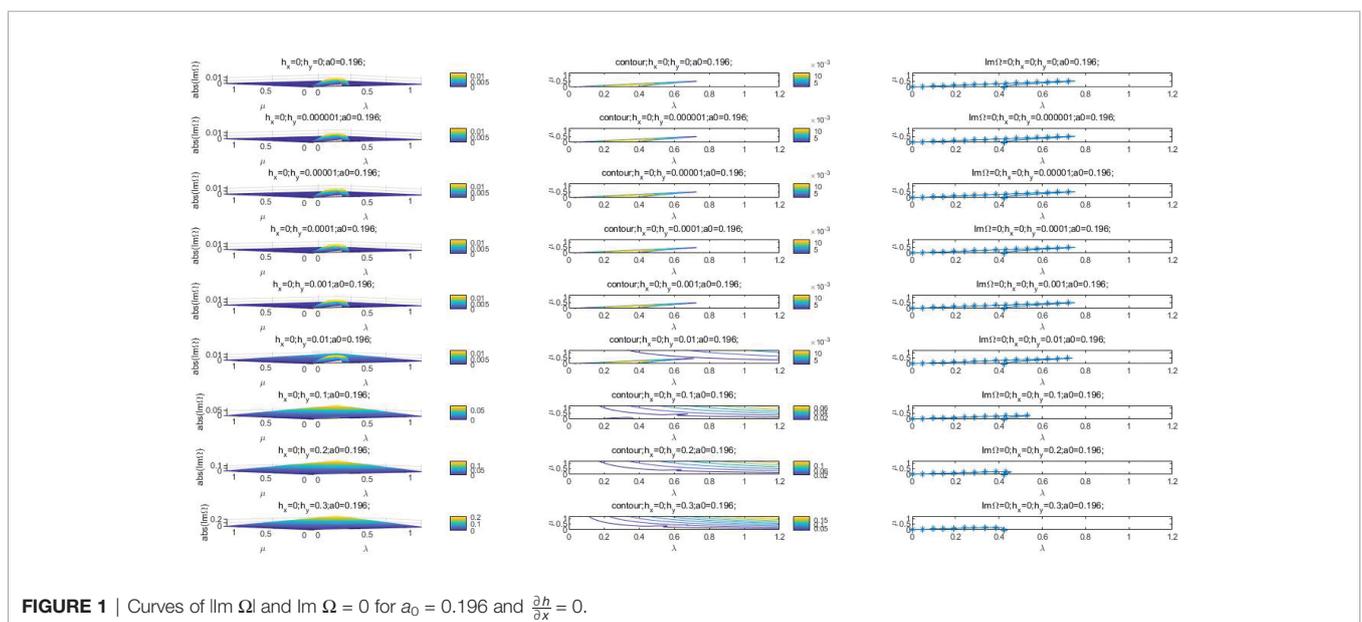


FIGURE 1 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0$.

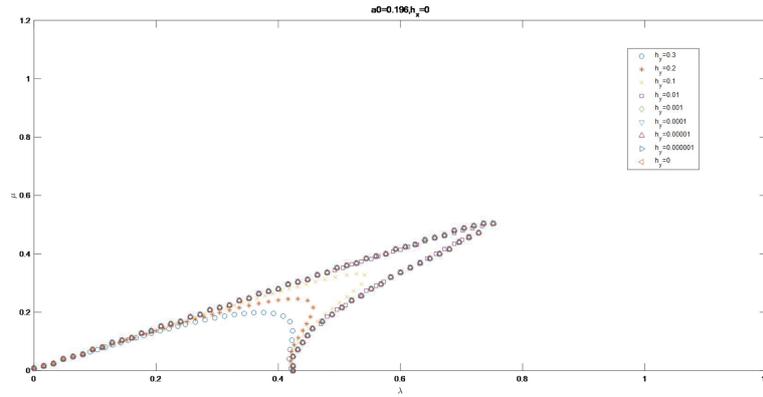


FIGURE 2 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0$.

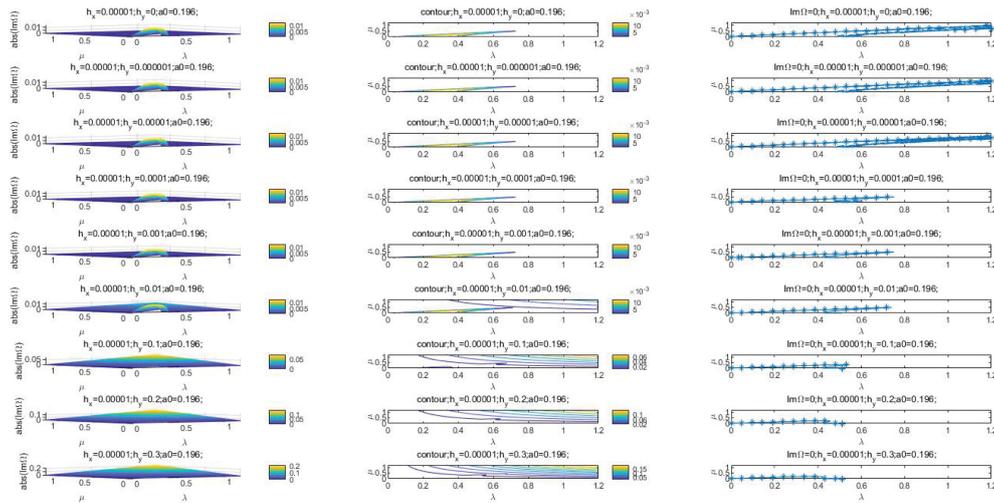


FIGURE 3 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.00001$.

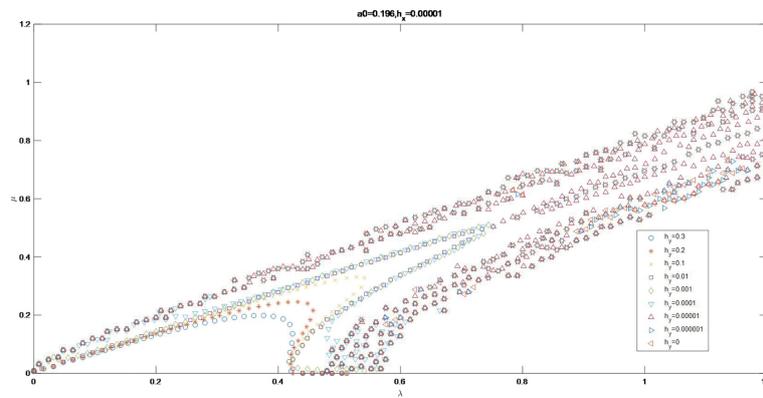


FIGURE 4 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.00001$.

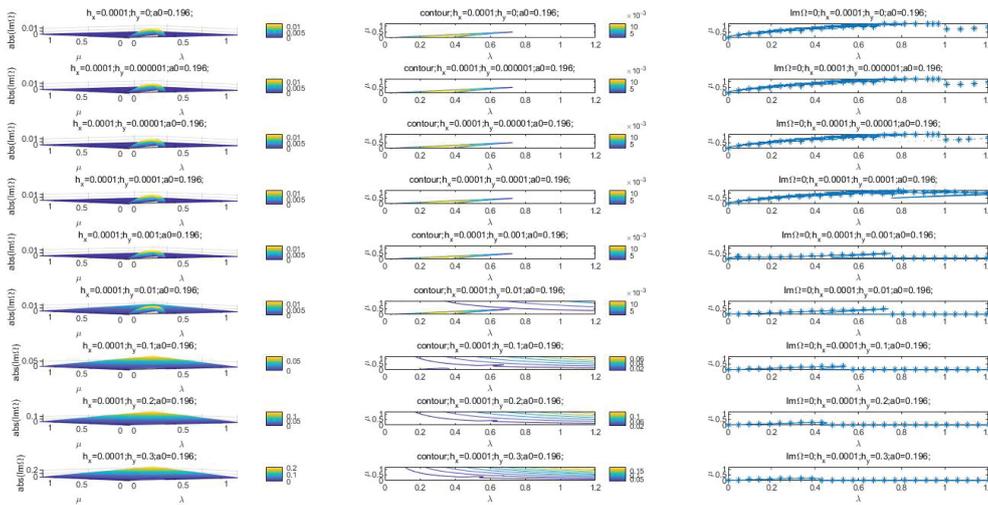


FIGURE 5 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.0001$.

3. As $\frac{\partial h}{\partial x} = 0.00001$, the curves of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0, 0.000001$ and 0.00001 are not smooth lines, but scatter groups. The curves for $\frac{\partial h}{\partial x} = 0.0001, 0.0001, 0.01, 0.1, 0.2, 0.3$ are extended in λ axis. It is suggested that $\frac{\partial h}{\partial x}$ begins to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.00001 .

4. As $\frac{\partial h}{\partial x} = 0.0001$, the curve of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.0001$ is not a smooth curve, but is a scatter group. The scatter groups for $\frac{\partial h}{\partial y} = 0, 0.000001$ and 0.00001 are in the upper left of the map. The curves for $\frac{\partial h}{\partial x} = 0.001, 0.01, 0.2, 0.3$ are extended along and in λ axis.

5. As $\frac{\partial h}{\partial x} = 0.001$, the curve of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.001$ is not a smooth line, but a scatter group, partially around the line of $\mu = 1$. The scatter groups for $\frac{\partial h}{\partial y} = 0, 0.000001, 0.00001$ and 0.0001 are in the upper left of the map, partially distributed in μ axis. The curves for $\frac{\partial h}{\partial x} = 0.01, 0.1, 0.2, 0.3$ are extended continuously along and in λ axis.

6. As $\frac{\partial h}{\partial x} = 0.01$, the curve of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.01$ is not a smooth line, but a scatter group, partially distributed around the line of $\mu = 1$. The scatter groups for $\frac{\partial h}{\partial y} = 0, 0.000001, 0.00001, 0.0001$ and 0.001 almostly distribute in μ axis. The curves for $\frac{\partial h}{\partial x} = 0.1, 0.2, 0.3$ are lifted from λ axis.

7. As $\frac{\partial h}{\partial x} = 0.1$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.1, 0.2, 0.3$ are partially in the lines of $\mu = 1, \mu = 0.5$ and $\mu = 0.333$, with others in μ axis. The scatter groups for $\frac{\partial h}{\partial y} = 0, 0.000001, 0.00001, 0.0001, 0.001$ and 0.01 are in μ axis.

8. As $\frac{\partial h}{\partial x} = 0.2$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.2, 0.3$ are partially in the lines of $\mu = 1$ and $\mu = 0.667$, with others in μ axis. The scatter groups for $\frac{\partial h}{\partial y} = 0, 0.000001, 0.00001, 0.0001, 0.001, 0.01$ and 0.1 are in μ axis.

9. As $\frac{\partial h}{\partial x} = 0.3$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial y} = 0.3$ are partially in the line of $\mu = 1$, with others in μ axis. The scatter

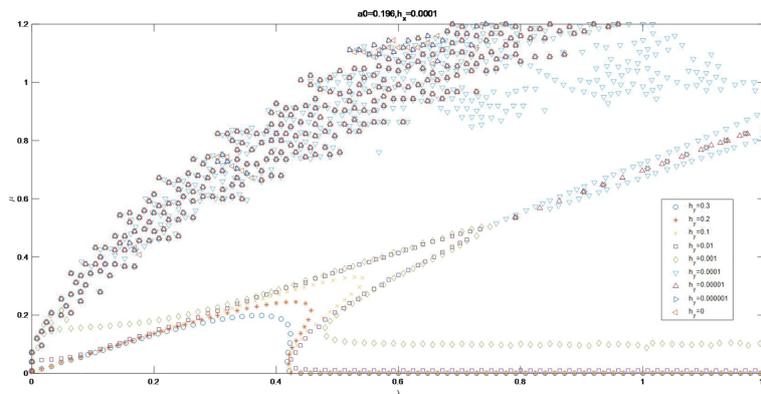


FIGURE 6 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.0001$.

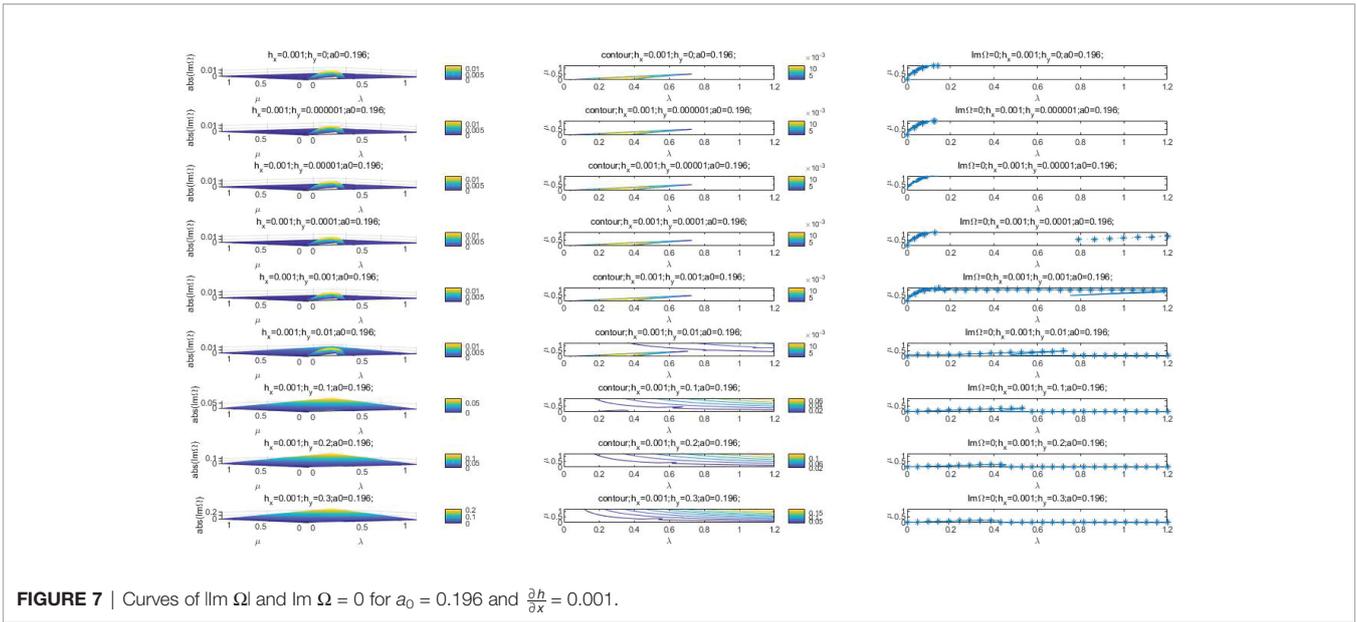


FIGURE 7 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.001$.

groups for $\frac{\partial h}{\partial y} = 0, 0.000001, 0.00001, 0.0001, 0.001, 0.01, 0.1$ and 0.2 are in μ axis.

10. In conclusion, as the value of $\frac{\partial h}{\partial x}$ increases, the curve of $\text{Im } \Omega = 0$ approximates to μ axis as $\frac{\partial h}{\partial y} < \frac{\partial h}{\partial x}$. The increment of depth variation in x direction contributes the Stokes wave to be stable in or to parallel to y axis disturbed by small perturbation for $\frac{\partial h}{\partial y} < \frac{\partial h}{\partial x}$. The curve of $\text{Im } \Omega = 0$ is the broken line composed by $\mu = 1$ and μ axis for the value of $\frac{\partial h}{\partial y} = \frac{\partial h}{\partial x}$ from 0.01 to 0.1.

Figure 7 to Figure 24 in the Supplementary Material indicate curves and the corresponding scatter diagrams for the invariable value of $\frac{\partial h}{\partial y}$ and with the variable value of $\frac{\partial h}{\partial x}$ from 0 to 0.3. The discussions are as follows:

1. For $a_0 = 0.196$ and the invariable value of $\frac{\partial h}{\partial y}$, the value of $|\text{Im } \Omega|$ increases as the value of $\frac{\partial h}{\partial x}$ rises. As the value of $\frac{\partial h}{\partial x}$ is from 0 to 0.01, the value of $|\text{Im } \Omega|$ is maximum around the original

point in wave number plane. As the values of $\frac{\partial h}{\partial x}$ are 0.1, 0.2 and 0.3, there are no maximum value of $|\text{Im } \Omega|$ around the original point in wave number plane. As the value of $\frac{\partial h}{\partial y}$ varying from 0 to 0.00001, the curve for $\text{Im } \Omega = 0$ as $\frac{\partial h}{\partial y} = 0$ are similar to that as $\frac{\partial h}{\partial y} = 0.000001$. As $\frac{\partial h}{\partial y} = 0.0001$, the curve for $\text{Im } \Omega = 0$ show obvious change as the contrast with that as $\frac{\partial h}{\partial y} = 0.00001$. There is no maximum value points of $|\text{Im } \Omega|$ in wave number plane as the value of $\frac{\partial h}{\partial y} = 0.1$. It is indicated, on the order of 0.01, $\frac{\partial h}{\partial y}$ begins to affect the curve of $|\text{Im } \Omega|$.

2. The shape of curves for $\text{Im } \Omega = 0$ as $\frac{\partial h}{\partial y} = 0$ are similar to that as $\frac{\partial h}{\partial y} = 0.00001$. The curve is reduced to the MNLS equation instability curve as $\frac{\partial h}{\partial x} = 0$. The curves for $\frac{\partial h}{\partial x} = 0.01, 0.1, 0.2$ and 0.3 are in μ axis.

3. As $\frac{\partial h}{\partial y} = 0.0001$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.0001, 0.001$ and 0.01 are on the bottom right relative to that as $\frac{\partial h}{\partial y} = 0.00001$. The scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x}$ =

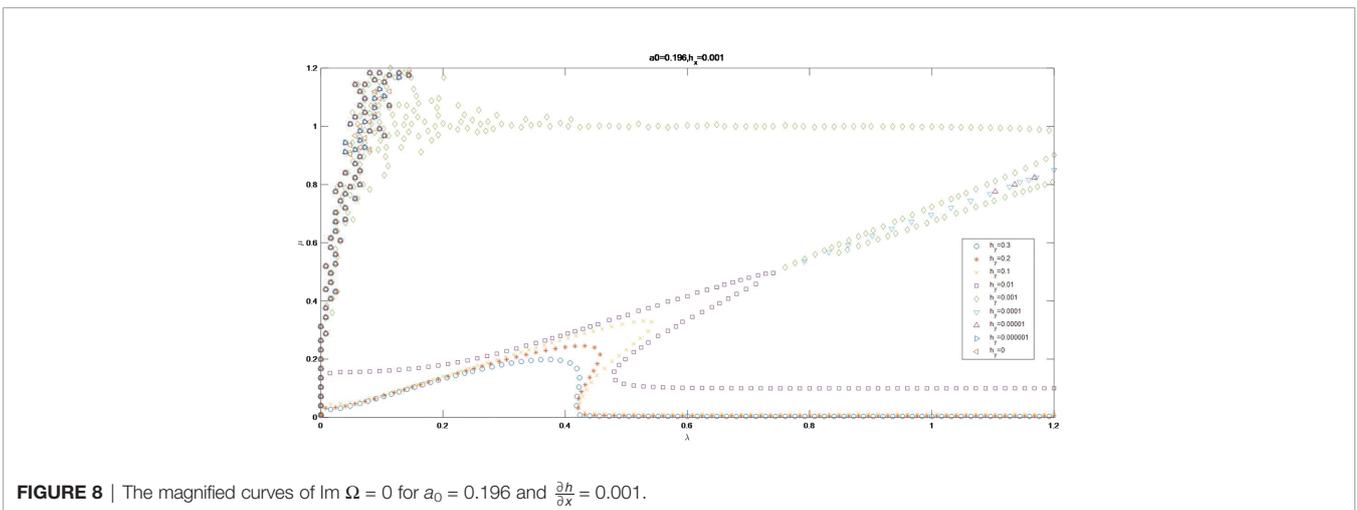


FIGURE 8 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.001$.

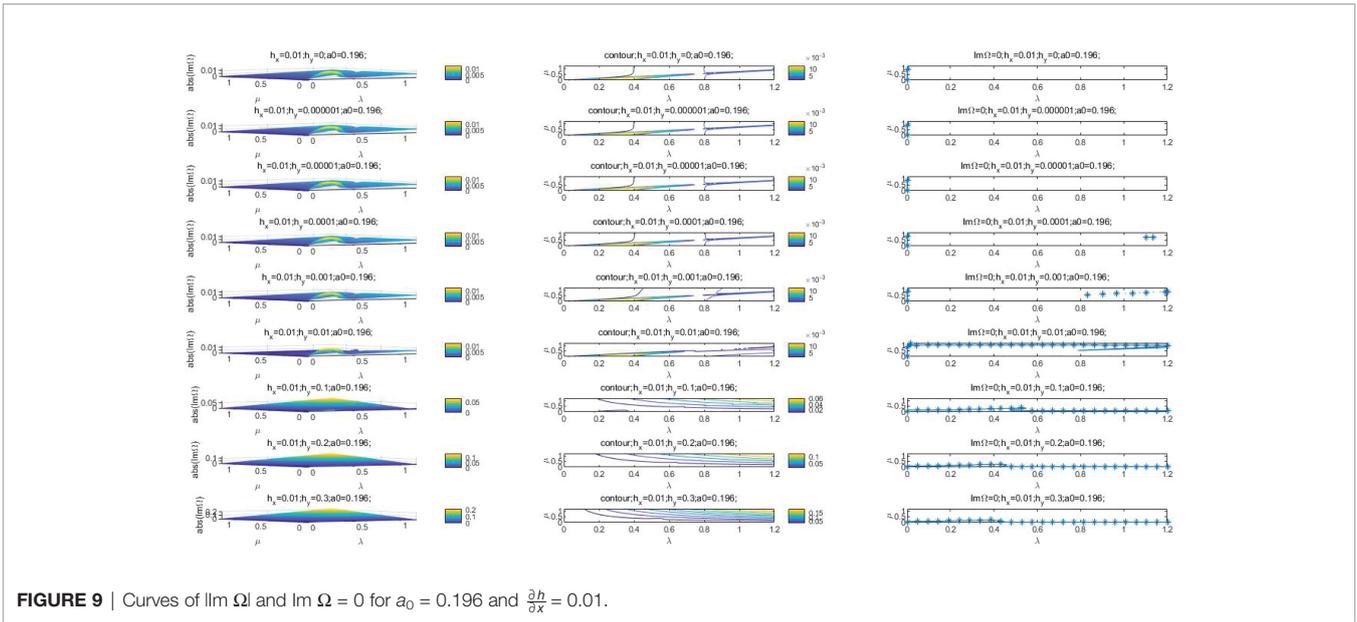


FIGURE 9 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.01$.

0.00001 begin to be a smooth line with some scatters distributing along the line. The curves for $\frac{\partial h}{\partial x} = 0.01, 0.1, 0.2, 0.3$ are in μ axis. It is suggested that, $\frac{\partial h}{\partial y}$ begins to influence the shape of the curve of $\text{Im } \Omega = 0$ on the order of 0.0001.

4. As $\frac{\partial h}{\partial y} = 0.001$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.00001, 0.001$ and 0.01 are on the bottom right slightly compared with that as $\frac{\partial h}{\partial y} = 0.0001$. Partial points for $\frac{\partial h}{\partial x} = 0.001$ are in the line of $\mu = 1$. The scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.00001, 0.0001$ form smooth curves. The curves for $\frac{\partial h}{\partial x} = 0.01, 0.1, 0.2$ and 0.3 are in μ axis. Part of scatters for

$\frac{\partial h}{\partial x} = 0.01$ are outside of μ axis.

5. As $\frac{\partial h}{\partial y} = 0.01$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.01$ distribute along λ axis. The smooth lines of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.0001, 0.001$ extend along and in λ axis. The scatter groups for

$\frac{\partial h}{\partial x} = 0.001$ form smooth curves. The curves for $\frac{\partial h}{\partial x} = 0.01, 0.1$ and 0.3 are in μ axis. Part of scatters for $\frac{\partial h}{\partial x} = 0.01$ are outside of μ axis.

6. As $\frac{\partial h}{\partial y} = 0.1$, the scatter groups of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.01$ form smooth curves. The curves for $\frac{\partial h}{\partial x} = 0.2$ and 0.3 are in μ axis. Scatters for $\frac{\partial h}{\partial x} = 0.1$ form a smooth line compose by $\mu = 1$ and μ axis.

7. As $\frac{\partial h}{\partial y} = 0.2$, part of the curves of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.2$ and 0.1 are approximate to the line of $\mu = 1$ and $\mu = 0.5$ with part of which are in μ axis. The scatters for $\frac{\partial h}{\partial x} = 0.3$ are in μ axis.

8. As $\frac{\partial h}{\partial y} = 0.3$, curves of $\text{Im } \Omega = 0$ for $\frac{\partial h}{\partial x} = 0.1, 0.2$ and 0.3 are broken lines, partially in the lines of $\mu = 0.333, \mu = 0.667$ and $\mu = 1$, others in μ axis.

In summary, as the value of $\frac{\partial h}{\partial y}$ increases, the curve of $\text{Im } \Omega = 0$ approximates to λ axis for $\frac{\partial h}{\partial x} < \frac{\partial h}{\partial y}$. The increment of depth variation in y direction helps the Stokes wave to be stable in or to

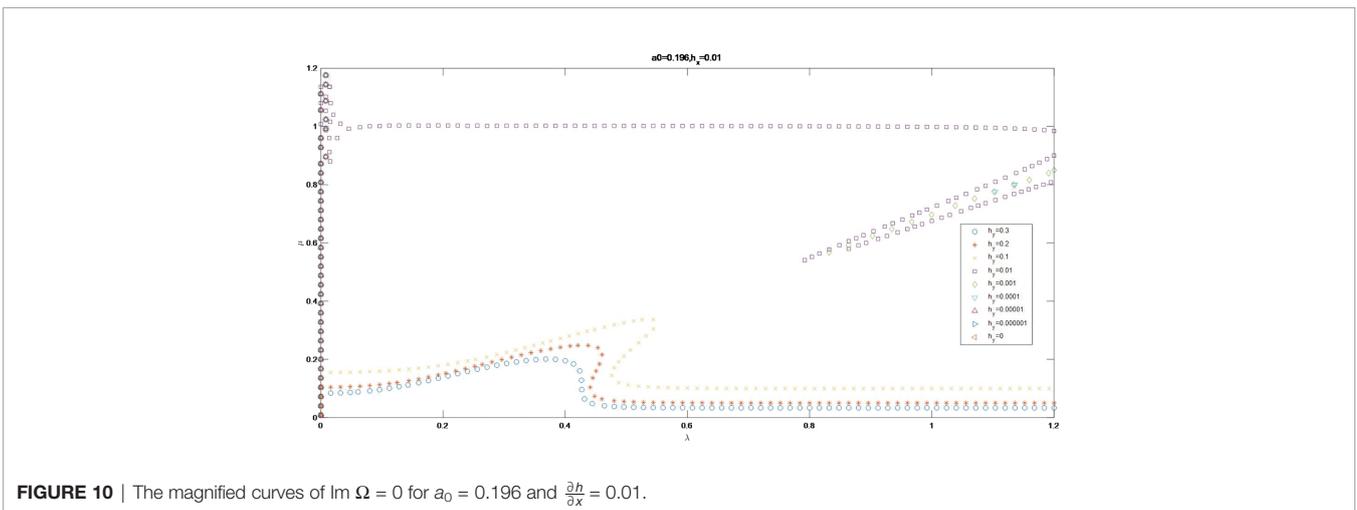


FIGURE 10 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.01$.

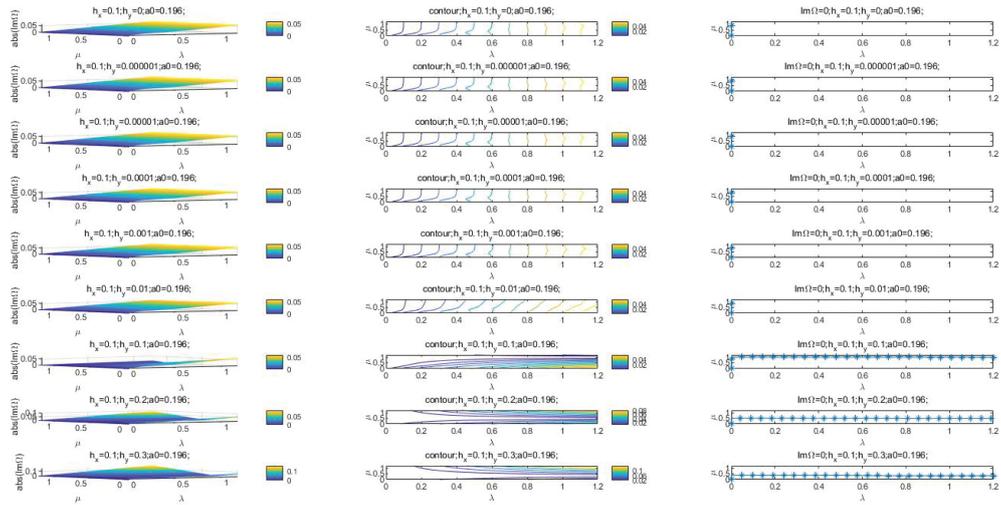


FIGURE 11 | Curves of $|\text{Im } \Omega|$ and $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.1$.

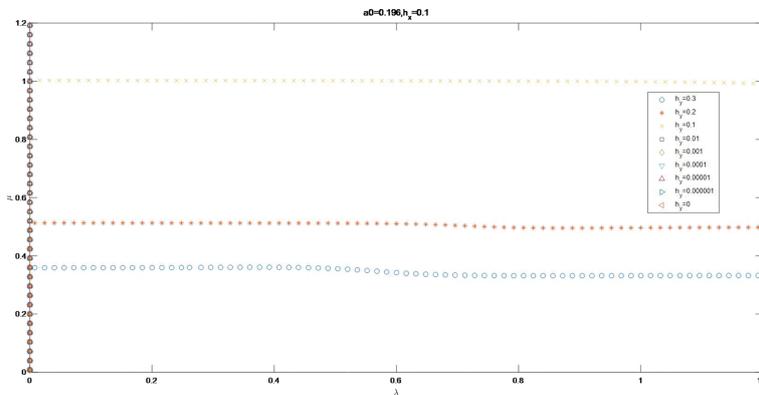


FIGURE 12 | The magnified curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0.1$.

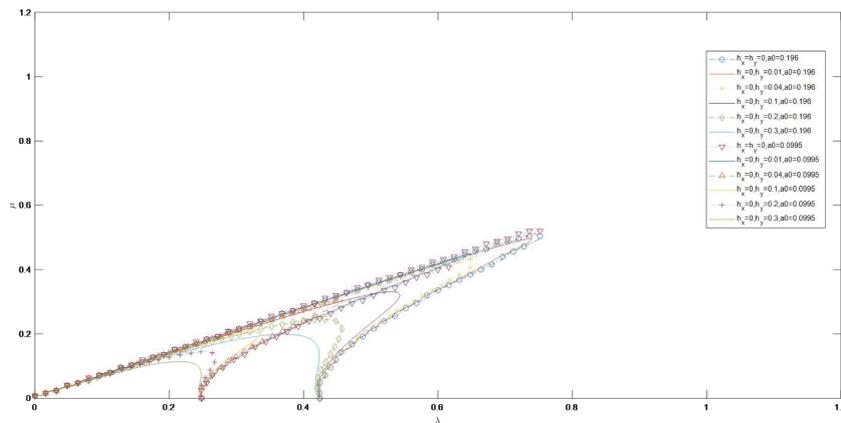


FIGURE 13 | Curves of $\text{Im } \Omega = 0$ for $a_0 = 0.0995$ and $a_0 = 0.196$ as $\frac{\partial h}{\partial x} = 0$.

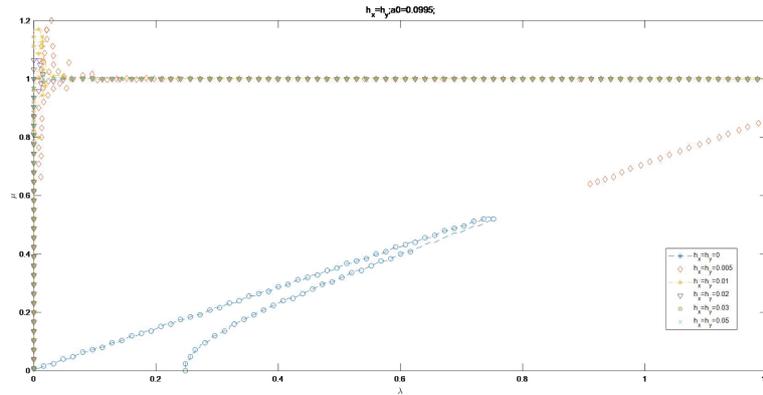


FIGURE 14 | Curves of $\text{Im } \Omega = 0$ for $a_0 = 0.0995$ and $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y}$.

parallel to λ axis for $\frac{\partial h}{\partial x} < \frac{\partial h}{\partial y}$. The curve of $\text{Im } \Omega = 0$ is a broken line combined with $\mu = 1$ and μ axis for the value of $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y}$ from 0.01 to 0.1.

For $a_0 = 0.0995$, **Figure 25** to **Figure 60** in **supplementary material** indicate curves of $|\text{Im } \Omega|$ and corresponding scatter diagrams of $\text{Im } \Omega = 0$ for the value of $\frac{\partial h}{\partial x}$ and the value of $\frac{\partial h}{\partial y}$ both varying from 0 to 0.3. It is indicated that $\frac{\partial h}{\partial x}$ begins to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.000001 and to affect the value of $|\text{Im } \Omega|$ on the order of 0.01. It is shown $\frac{\partial h}{\partial y}$ begins to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.00001 and affect the value of $|\text{Im } \Omega|$ on the order of 0.01. They show similar properties to the curves and corresponding scatter diagrams for $a_0 = 0.196$, with little value of $|\text{Im } \Omega|$ than that for $a_0 = 0.196$.

It is concluded the curve for $\text{Im } \Omega = 0$ is more sensitive to depth variation terms than the curve of $|\text{Im } \Omega|$. The curve for $\text{Im } \Omega = 0$ is more sensitive to depth variation terms as $a_0 = 0.0995$ than that as $a_0 = 0.196$. The curve for $\text{Im } \Omega = 0$ is more sensitive to $\frac{\partial h}{\partial x}$ than that to $\frac{\partial h}{\partial y}$.

Scatter maps of $\text{Im } \Omega = 0$ for $a_0 = 0.0995$, $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = 0$ are indicated in **Figure 13**. The values of a_0 and $\frac{\partial h}{\partial y}$

determine the characteristics of curves of $\text{Im } \Omega = 0$. The value of a_0 determines the intercept in λ axis, with larger intercept for $a_0 = 0.196$ than for $a_0 = 0.0995$. The value of $\frac{\partial h}{\partial y}$ changes the amplitude of curve, with little amplitude for larger value of $\frac{\partial h}{\partial y}$.

Figures 14, 15 show the curves of $\text{Im } \Omega = 0$ as $a_0 = 0.196$ and $a_0 = 0.0995$ for the value of $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y}$ varying from 0 to 0.05. The scatters of $\text{Im } \Omega = 0$ form smooth curves for $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y} = 0$. The scatters gather to be groups for $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y} \neq 0$. A broken line is formed as $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y} = 0.05$, in the line of $\mu = 1$ and μ axis.

CONCLUSIONS

On a finite slowly varying depth, the surface gravity wave equation is expanded to the fourth order by multiscale expansion in the narrowband range, and the TMNLS equation is obtained. When the time scale is longer than ϵ^{-3} times of the dominant wave period or the space scale is larger than ϵ^{-3} times of the dominant wavelength, the second depth derivative and square of the first depth derivative influence on the first-order wave height.

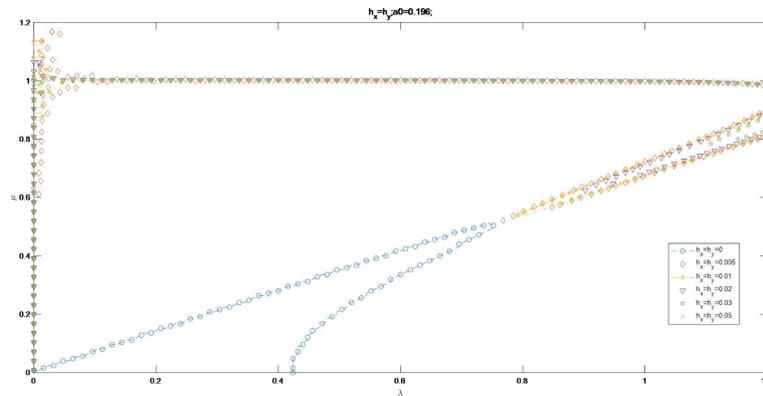


FIGURE 15 | Curves of $\text{Im } \Omega = 0$ for $a_0 = 0.196$ and $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y}$.

Compared with the MNLS perturbation analysis results on the flat bottom when $h_x = h_y = 0$, the small perturbation's instability area by TMNLS is the entire wavenumber space, except for the curve satisfying Equation (28), which means that TMNLS increases the small perturbation's instability area by including depth variation terms to MNLS.

For $a_0 = 0.196$, $\frac{\partial h}{\partial x}$ starts to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.00001 and to affect the curve of $|\text{Im } \Omega|$ on the order of 0.01. $\frac{\partial h}{\partial y}$ starts to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.0001 and affect the curve of $|\text{Im } \Omega|$ on the order of 0.01.

For $a_0 = 0.0995$, $\frac{\partial h}{\partial x}$ begins to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.000001 and to affect the curve of $|\text{Im } \Omega|$ on the order of 0.01. $\frac{\partial h}{\partial y}$ begins to influence the shape of curve for $\text{Im } \Omega = 0$ on the order of 0.00001 and affect the curve of $|\text{Im } \Omega|$ on the order of 0.01.

The curve for $\text{Im } \Omega = 0$ is more sensitive to depth variation terms than the curve of $|\text{Im } \Omega|$. The curve for $\text{Im } \Omega = 0$ is more sensitive to depth variation terms as $a_0 = 0.0995$ than that as $a_0 = 0.196$. The curve for $\text{Im } \Omega = 0$ is more sensitive to $\frac{\partial h}{\partial x}$ than that to $\frac{\partial h}{\partial y}$.

As the value of $\frac{\partial h}{\partial x}$ increases, the curve for $\text{Im } \Omega = 0$ approximates to μ axis as $\frac{\partial h}{\partial y} < \frac{\partial h}{\partial x}$. The increment of the value for depth variation in x direction contributes the Stokes wave to be stable in or paralleling μ axis disturbed by small perturbation for $\frac{\partial h}{\partial y} < \frac{\partial h}{\partial x}$. The curve of $\text{Im } \Omega = 0$ is the broken line composed by $\mu = 1$ and μ axis for $\frac{\partial h}{\partial y} = \frac{\partial h}{\partial x} \geq 0.05$. As the value of $\frac{\partial h}{\partial y}$ increases, the curve approximates to λ axis for $\frac{\partial h}{\partial x} < \frac{\partial h}{\partial y}$. The

increment of the value for depth variation in y direction contributes the Stokes wave to be stable in or paralleling λ axis for $\frac{\partial h}{\partial x} < \frac{\partial h}{\partial y}$. The curve of $\text{Im } \Omega = 0$ is a broken line combined by $\mu = 1$ and μ axis for $\frac{\partial h}{\partial x} = \frac{\partial h}{\partial y} \geq 0.05$.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

AUTHOR CONTRIBUTIONS

XZ, YZ contributed to conception and design of the study. XZ performed the statistical analysis, wrote the first draft of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.928096/full#supplementary-material>

REFERENCES

- Agnon, Y., and Pelinovsky, E. (2001). Accurate Refraction-Diffraction Equations for Water Waves on a Variable-Depth Rough Bottom. *J. Fluid. Mech.* 449, 301–411. doi: 10.1017/S0022112001006280
- Benjamin, T. B., and Feir, J. E. (1967). The Disintegration of Wave Trains on Deep Water. *J. Fluid. Mech.* 27, 417–430. doi: 10.1017/S002211206700045X
- Benney, D. J., and Roskes, G. J. (1969). Wave Instabilities. *Stud. Appl. Math.* 48, 377–385. doi: 10.1002/sapm1969484377
- Berkhoff, J. C. W. (1972). "Computation of Combined Refraction-Diffraction," in *Proc. 13th Conf. Coastal Eng. ASCE*, Vol. 1. 471–491. doi: 10.9753/icce.v13.23
- Brinch-Nielsen, U., and Jonsson, I. G. (1986). Fourth Order Evolutions and Stability Analysis for Stokes Waves on Arbitrary Depth. *Wave. Motion.* 8, 455–472. doi: 10.1016/0165-2125(86)90030-2
- Chamberlain, P. G., and Porter, D. (1995). The Modified Mild-Slope Equation. *J. Fluid. Mech.* 291, 393–407. doi: 10.1017/S0022112095002758
- Chu, V. C., and Mei, C. C. (1970). On Slowly Varying Stokes Waves. *J. Fluid. Mech.* 41, 873–887. doi: 10.1017/S0022112070000988
- Chu, V. C., and Mei, C. C. (1971). The Nonlinear Evolution of Stokes Waves in Deep Water. *J. Fluid. Mech.* 47, 337–352. doi: 10.1017/S0022112071001095
- Craig, W., Guyenne, P., and Sulem, C. (2010). A Hamiltonian Approach to Nonlinear Modulation of Surface Water Waves. *Wave. Motion.* 47, 552–563. doi: 10.1016/j.wavemoti.2010.04.002
- Craig, W., Guyenne, P., and Sulem, C. (2011). Coupling Between Internal and Surface Waves. *Nat. Hazard.* 57, 617–642. doi: 10.1007/s11069-010-9535-4
- Craig, W., Guyenne, P., and Sulem, C. (2012). Hamiltonian Higher-Order Nonlinear Schrödinger Equations for Broader-Banded Waves on Deep Water. *Eur. J. Mechanics-B/Fluid.* 32, 22–31. doi: 10.1016/j.euromechflu.2011.09.008
- Davey, A. (1972). The Propagation of a Weak Nonlinear Wave. *J. Fluid. Mech.* 53, 769–781. doi: 10.1017/S0022112072000473
- Davey, A., and Stewartson, K. (1974). On Three-Dimensional Packets of Surface Waves. *Proc. R. Soc Lond.* A338, 101–110. doi: 10.1098/rspa.1974.0076
- Dysthe, K. B. (1979). Note on a Modification to the Nonlinear Schrödinger Equation for Application to Deep Water Waves. *Proc. R. Soc. Lond. Ser. A-Math. Phys. Eng. Sci. Lond.* 369, 105–114. doi: 10.2307/2398590
- Hasimoto, H., and Ono, H. (1972). Nonlinear Modulation Gravity Waves. *J. Phys. Soc. Jap.* 33, 805–811. doi: 10.1143/JPSJ.33.805
- Kirby, J. T. (1986). A General Wave Equation for Waves Over Ripple Beds. *J. Fluid. Mech.* 162, 171–186. doi: 10.1017/S0022112086001994
- Kirby, J. T., and Dalrymple, R. A. (1983). A Parabolic Equation for the Combined Refraction-Diffraction of Stokes Waves by Mildly Varying Topography. *J. Fluid. Mech.* 136, 453–466. doi: 10.1017/S0022112083002232
- Li, Y., Draycott, S., Adcock, T. A. A., and van den Bremer, T. S. (2021). Surface Wavepackets Subject to an Abrupt Depth Change. Part 2. Experimental Analysis. *J. Fluid. Mech.*, 1–22. doi: 10.1017/jfm.2021.49
- Lighthill, M. J. (1967). Some Special Cases by the Whitham Theory. *Proc. R. Soc Lond. A.* 299, 28–53. doi: 10.1098/rspa.1967.0121
- Li, Y., Zheng, Y., Lin, Z., Adcock, T. A. A., and van den Bremer, T. S. (2021). Surface Wavepackets Subject to an Abrupt Depth Change. Part 1. Second-Order Theory. *J. Fluid. Mech.* 915 (A72), 1–28. doi: 10.1017/jfm.2021.48
- Lo, E., and Mei, C. C. (1985). A Numerical Study of Water-Wave Modulation Based on a Higher-Order Nonlinear Schrödinger Equation. *J. Fluid. Mechanics.* 150, 395–416. doi: 10.1017/S0022112085000180
- Lo, E., and Mei, C. C. (1987). Slow Evolution for Nonlinear Deep Water Waves in Two Horizontal Directions: A Numerical Study. *Wave. Motion.* 9, 245–259. doi: 10.1016/0165-2125(87)90014-X
- Lozano, C. J., and Meyer, R. E. (1976). Leakage and Response of Waves Trapped Round Islands. *Phys. Fluid.* 19, 1075–1088. doi: 10.1063/1.861613
- Martin H. Yuen, D. (1980). Quasi-Recurring Energy Leakage in the Two-Dimensional Nonlinear Schrödinger Equation. *Phys. Fluids.* 23, 881–883. doi: 10.1063/1.863075
- McLean, J. W. (1982). Instabilities of Finite-Amplitude Water Waves. *J. Fluid. Mech.* 114, 315–330. doi: 10.1017/S0022112082000172
- Mei, C. C. (2005). "Theory and Applications of Ocean Surface Waves," in *World Scientific Publishing* (Singapore: World Scientific Publishing Co. Pte. Ltd.), 23, 747–756.

- Miles, J. W., and Chamberlain, P. G. (1998). Topographical Scattering of Gravity Waves. *J. Fluid. Mech.* 361, 175–188. doi: 10.1017/S002211209800857X
- Trulsen, K., and Dysthe, K. B. (1996). A Modified Nonlinear Schrödinger Equation for Broader Bandwidth Gravity Waves on Deep Water. *Wave Motion.* 24, 281–289. doi: 10.1016/S0165-2125(96)00020-0
- Trulsen, K., Kliakhandler, I., Dysthe, K. B., and Velarde, M. G. (2000). On Weakly Nonlinear Modulation of Waves on Deep Water. *Phys. Fluid.* 12, 2432–2437. doi: 10.1063/1.1287856
- Whitham, G. B. (1967). Nonlinear Dispersion of Water Waves. *J. Fluid. Mech.* 27, 399–412. doi: 10.1017/S0022112067000424
- Xiao, R., and Lo, E. Y.M. (2004). On the Stability of Shoaling Stokes Waves Over a Slowly Varying Bottom. *Appl. Ocean. Res.* 26, 205–212. doi: 10.1016/j.apor.2005.03.003
- Yue, D. K.-P., and Mei, C. C. (1980). Forward Diffraction of Stokes Waves by a Thin Wedge. *J. Fluid. Mech.* 99, 33–52. doi: 10.1017/S0022112080000481
- Zakharov, V. E. (1968). Stability of Periodic Waves of Finite Amplitude on the Surface of a Deep Fluid. *J. Appl. Mech. Tech. Phys.* 2, 190–194.
- Zakharov, V. E., and Shabat, A. B. (1972). Exact Theory of Two-Dimensional Shelf-Focusing and One-Dimensional Shelf Modulation of Waves in Nonlinear Media. *Sov. Phys. JEPT.* 34, 62–69. doi: 10.1007/bf00913182
- Zhang, J., and Benoit, M. (2021). Wave–bottom Interaction and Extreme Wave Statistics Due to Shoaling and De-Shoaling of Irregular Long-Crested Wave Trains Over Steep Seabed Changes. *J. Fluid. Mech.* 912, 1–34. doi: 10.1017/jfm.2020.1125
- Zhang, Y. F., and Li, R. J. (2012). Numerical Solutions for Two Nonlinear Wave Equations. *Water Sci. Eng.* 5 (4), 410–418. doi: 10.3882/j.issn.1674-2370.2012.04.005

Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Publisher’s Note: All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

Copyright © 2022 Zhang, Zhang and Li. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.