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# Cyclic resistance evaluation of marine clay based on CPTu data: a case study of Shaba Wind Farm

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The offshore wind farm industry has recently experienced significant global growth. This study presents a thorough site investigation and analysis of the cyclic resistance of marine clay for offshore foundation design, using the Shaba wind farm in southern China as a case study. *In-situ* cone penetrometer (CPTu) tests and borehole sampling are conducted to explore the geotechnical characteristics of the soils. However, the soil conditions are characterized by multiple layers and complex sedimentary components. The classification and mechanical properties, such as water content and cyclic resistances, are compared through CPTu interpretation and laboratory tests. The findings indicated that a single physical indicator cannot determine cyclic resistance. In addition, the well-established method in existing literature proved unsuitable for marine clay. Consequently, multiple regression analysis shows that a linear relationship exist between cyclic resistance and depth-corrected CPTu index  $[EXP(q_E/f_c)^{0.3}/H]$ , hence a new evaluation method is developed to predict the cyclic resistance of marine clay based on CPTu data. This research aims to provide more reliable guidance for geotechnical investigations, supporting the rapid expansion of offshore wind farms.

## KEYWORDS

site investigation, CPTU, cyclic resistance, marine clay, offshore wind farms

## 1 Introduction

Wind energy, a sustainable and environmentally friendly energy source, offers an innovative path for global efforts in addressing energy shortages. The installed capacity of offshore wind turbines has shown consistent annual growth. These turbines are typically anchored to the seabed, often involving marine clay layers. Foundation costs, comprising approximately 25%–35% of total costs (Bhattacharya, 2014), necessitate

various marine clay parameters for the geotechnical design of diverse foundation solutions. Under complex marine environmental loads, such as wind, waves, storms, and earthquakes, marine clay demonstrates notable stiffness degradation characteristics (Yang et al., 2018; Pan et al., 2021; Xiao et al., 2023) and can even lose strength entirely. Thus, understanding the cyclic resistance of marine clay is crucial for foundation design. However, its precise determination and rational design pose significant challenges in engineering construction (Lunne et al., 2006; Berre et al., 2022; Gao et al., 2024).

The predominant method to determine marine clay's cyclic resistance involves laboratory cyclic tests, including cyclic triaxial tests, cyclic torsional shear tests, and cyclic direct simple shear tests. These tests demand high-quality soil samples and skilled testers. Nevertheless, soil sample disturbance during drilling and transport can compromise the accuracy of these tests in representing marine clay's *in-situ* cyclic resistance. In addition, the requirement for a large volume of soil samples substantially increases the cost. Consequently, the engineering community urgently seeks effective methods to ascertain marine clay's cyclic resistance with fewer tests.

Comprehensive *in-situ* and laboratory tests are indispensable in acquiring site geotechnical properties and soil parameters. Cone penetrometer (CPTu) tests are preferred for *in-situ* testing due to their high accuracy, convenience, and speed (Cai et al., 2012; Cai et al., 2016; Duan et al., 2017; Meng and Pei, 2023). CPTu data comprehensively represent soil strength and deformation capacity under static and dynamic loading. Over the past decades, CPTu-based undrained shear strength evaluation methods for soils have been extensively developed (Sandven, 1990; Eslami, 1997). Subsequently, researchers began developing cyclic strength evaluation methods based on *in-situ* and laboratory tests (Olsen, 1994; Robertson and Wride, 1998; Robertson, 2009). Juang et al. (2008) developed a deterministic CPTu-based cyclic resistance evaluation method applicable to various soil types. Juang et al. (2012) and Ku and Juang (2012) refined this model. Notably, these

models were formulated using CPTu data for terrestrial soils. However, marine clays, influenced by factors like high salt content, low-temperature seawater environments, unique cementitious materials, and complex hydrodynamics, develop flocculated structures distinct from terrestrial soils. Thus, terrestrial clay cyclic resistance models are not directly transferable to marine clays. Limited research focuses on evaluating marine clay cyclic resistance. He et al. (2021) and Wang et al. (2022) explored the cyclic behavior of marine soils using CPTu tests, but they did not develop predictive models. Therefore, creating and refining a cyclic resistance evaluation method for marine clay based on *in-situ* and laboratory tests is essential.

This study conducts a case study involving CPTu tests at the Shaba offshore wind farm in southern China to establish a cost-effective method to evaluate marine clay cyclic resistance. Soil stratigraphy is delineated, highlighting the soil's multilayered and complex sedimentation. In addition, consolidated undrained cyclic triaxial tests on marine clay are conducted to assess cyclic resistance, a vital parameter for foundation design. The well-established CPTu-based cyclic resistance evaluation methods in existing literature are not fully applicable to marine clays. Ultimately, this research develops a CPTu-based cyclic resistance evaluation method for marine clay at the Shaba offshore wind farm. The study's findings will offer assistance and guidance for constructing offshore wind farms in China.

## 2 Site description

The Yangjiang Shaba Offshore Wind Farm is situated in the southern sea area of Shaba Town, Yangxi County, Yangjiang City, Guangdong Province, as illustrated in Figure 1. This wind farm lies approximately 20 kilometers offshore. The area's sea is expansive, lacks islands, and features relatively flat terrain. Water depths vary

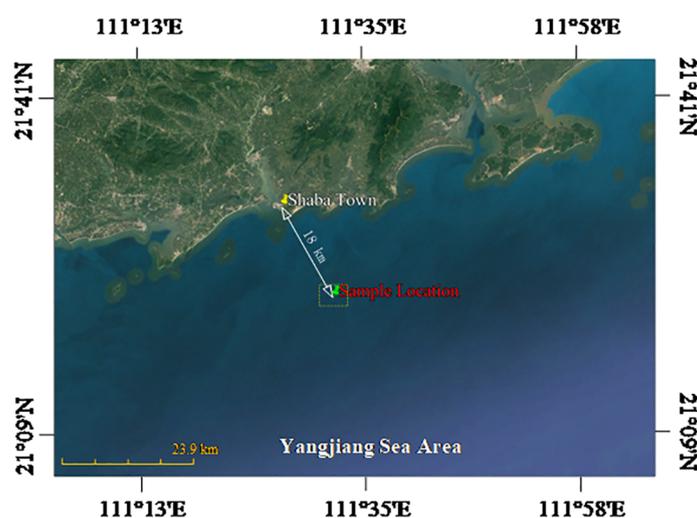


FIGURE 1  
Site location (Base map data © 2023 Google).

from 23 to 27 meters, with an average tidal range of around 2.73 meters. Summer months bring significant typhoon impacts, leading to maximum wave heights near 8 meters.

The site is positioned at the Rudong River’s mouth, where the sedimentary environment is notably complex, shaped by the combined influences of river flow and ocean waves. Sediments primarily comprise marine, alluvial marine, and residual deposits, characterized by a swift sedimentation rate. Soil stratification will be elaborated upon based on CPTu and borehole sampling results. In addition, the sea area at this location is spacious, devoid of surrounding islands. The seafloor topography is predominantly gentle, showing a trend of higher elevation in the northwest and lower in the southeast. No potential submarine geological hazards, such as underwater landslides, have been identified.

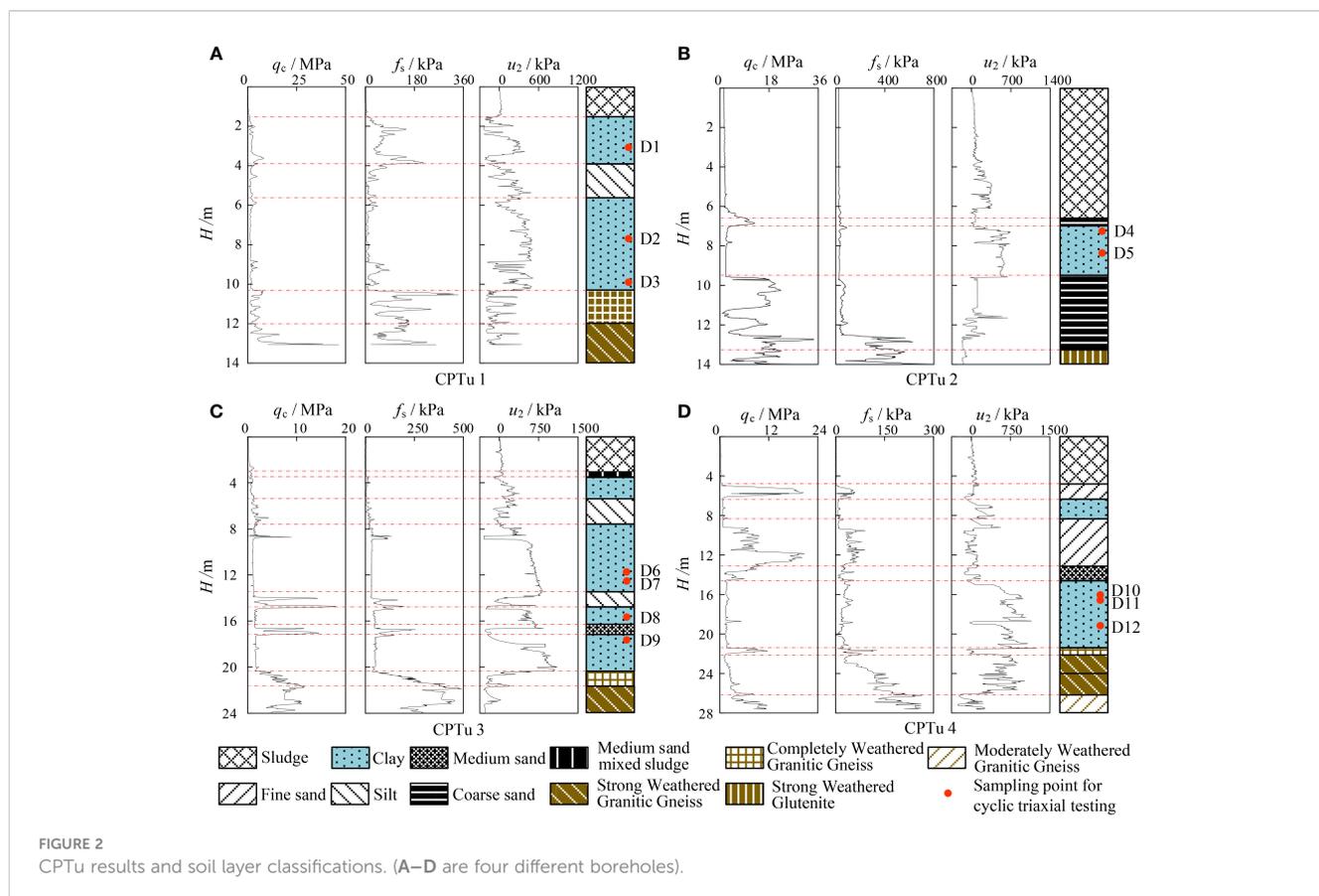
### 3 CPTu tests and soil characteristic

#### 3.1 CPTu test results

This project entailed offshore field investigations, encompassing 4 CPTu tests (CPTu1, CPTu2, CPTu3, and CPTu4) and four borehole samplings (Y1, Y2, Y3, and Y4), with each borehole sampling site situated about 1 meter from its corresponding CPTu test hole. The CPTu tests utilized the ROSON seabed digital CPT penetration equipment by Van Den Berg, Netherlands. This device operates at a penetration speed of 20

mm/s, boasts a maximum thrust of 50 kN, and can penetrate up to 40 meters deep. It can perform continuous CPTu tests in seabeds with water depths reaching 1500 meters. Borehole sampling employed hydrostatic pressure-driven methodology. Standard Shelby tube samplers were used for soft clay, while thick-walled tube samplers were applied for silty mud and sandy soil. The depths of CPTu1, CPTu2, Y1, and Y2 are 14 meters; CPTu3 and Y3 are 24 meters deep; and CPTu4 and Y4 are 28 meters.

Figure 2 displays the CPTu test results for the four boreholes. Notable fluctuations in  $q_c$  (cone resistance),  $f_s$  (sleeve friction), and  $u_2$  (pore water pressure) are evident with depth in each borehole, signifying multiple soil layers. Utilizing the CPTu data and laboratory test outcomes, the stratigraphic details of soil layers were determined following the ASTM D2487 (ASTM, 2017) standard. A simplified diagram representing this information accompanies the CPTu test results. Wu et al. (2023) comprehensively described soil stratification methods. The geological strata mainly consist of marine-terrestrial transitional sedimentary layers. The upper part includes Holocene marine deposits, encompassing sludge and medium sand mixed sludge. The lower part comprises the Holocene sea-land transitional sedimentary layer and the late Pleistocene sea-land alternating sedimentary layer, containing clay, silt, fine sand, medium sand, and coarse sand. This study focuses on clay; hence, emphasis is placed on CPTu data pertinent to clay layers. Compared to cohesionless soil and rock layers, the  $q_c$  and  $f_s$  values for clay layers are relatively low and show little depth dependence, while the  $u_2$  values are higher and typically increase with depth. In addition,



an inverse relationship exists between  $u_2$  and  $q_c$ , indicating that higher  $u_2$  values correspond to lower  $q_c$  values. This aligns with the principles of effective stress.

## 3.2 Index properties of marine clay

The natural water content ( $w_0$ ), density ( $\rho$ ), plasticity index ( $I_p$ ), and initial void ratio ( $e_0$ ) of the clay samples (D1~D12, as shown in Figure 2) in the stratum were determined in accordance with ASTM D2216 (ASTM, 2019), D1556/D1556M (ASTM, 2015), and D4318 (ASTM, 2017), respectively. The results are depicted in Figure 3. The properties of the clay layers in the four boreholes exhibit considerable uniformity. The natural water content remains relatively consistent within each borehole, while  $I_p$  and  $\rho$  gradually increment with depth. Concurrently,  $e_0$  exhibits a steady decrease with increasing depth. The red points in Figure 3 symbolize the samples utilized for conducting undrained cyclic triaxial tests. Table 1 summarizes their fundamental physical properties, whereas Figure 4 depicts their positions on the plasticity chart. These clays are categorized as CH and CL based on ASTM D2487 (ASTM, 2017).

## 4 Consolidated undrained triaxial test

### 4.1 Test program

The undrained cyclic triaxial tests were conducted using a dynamic triaxial system provided by GDS Instruments Ltd., UK. Chen et al. (2020) and Ma et al. (2023) offer more comprehensive

details. Table 2 lists the primary technical specifications of the controller parameters, sensor range, accuracy, deviation, and other pertinent details. The following must be considered to conduct undisturbed marine clay cyclic triaxial tests following ASTM D5311 (ASTM, 2013): (1) Mold undisturbed marine clay samples into solid cylindrical specimens measuring 50 mm in diameter and 100 mm in height. (2) Situate the prepared specimens in a saturation vessel within a vacuum saturation chamber, initiating specimen saturation via the vacuum method (Lu et al., 2021). (3) Once vacuum saturation concludes, position the sample atop the pedestal in the dynamic triaxial system. (4) Implement backpressure saturation; after each stage, determine the  $B$  value, continuing until  $B$  exceeds 0.95, signifying complete saturation. (5) Apply uniform consolidation to the fully saturated specimen, selecting the confining pressure based on *in-situ* effective stresses. Based on ASTM D4767 (ASTM, 2020), consolidation is deemed complete when the average strain rate of the specimen falls below  $1 \times 10^{-3}\%$ /min. Assessing sample quality or disturbance degree prior to laboratory testing is crucial. Lunne et al. (1997) index, assessing sample quality based on void ratio alterations due to loading relative to *in-situ* effective stresses, was employed in this study, as indicated in Table 1. The findings categorize all examined samples as either “very good to excellent” or “good to fair,” with evaluation criteria detailed in Table 3.

Post-consolidation, sinusoidal wave loading at a frequency of 0.1 Hz is applied to the specimen. The specific test plan is listed in Table 1. Several tests involving three distinct cyclic stress ratio (CSR) levels are conducted on specimens sharing the same identification. As shown in Equation (1), the CSR is defined as follows:

$$CSR = \sigma_d / 2\sigma'_{c0} \quad (1)$$

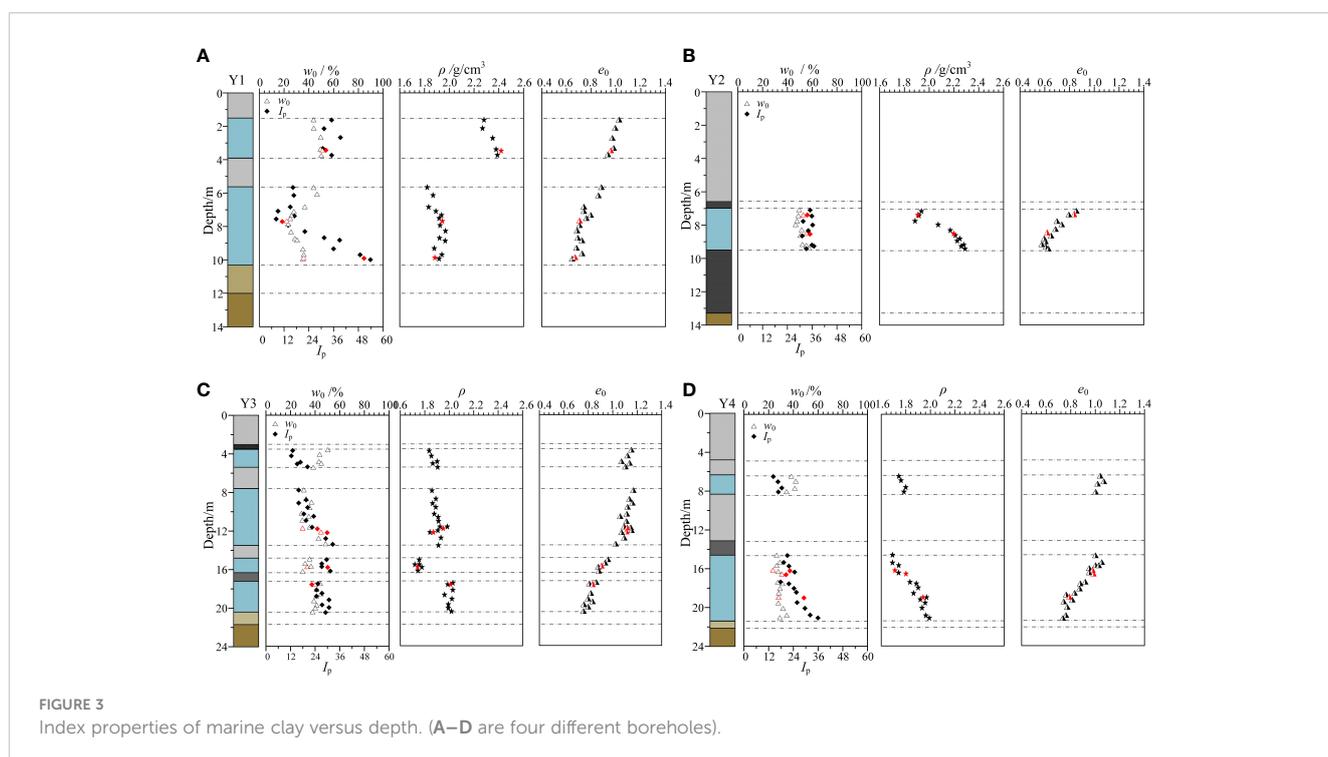


TABLE 1 Basic physic properties and scheme of undisturbed marine clay.

| Test number | Physical properties |        |       |                   |                |                |                |                |           | Test scheme         |      |                |
|-------------|---------------------|--------|-------|-------------------|----------------|----------------|----------------|----------------|-----------|---------------------|------|----------------|
|             | H/m                 | $\rho$ | w/%   | w <sub>L</sub> /% | I <sub>p</sub> | e <sub>0</sub> | e <sub>c</sub> | $\Delta e/e_0$ | Soil code | $\sigma'_{c0}$ /kPa | CSR  | N <sub>f</sub> |
| D1-1        | 3.2-3.6             | 2.42   | 49.25 | 52.32             | 32.3           | 0.960          | 0.916          | 0.046          | CH        | 50                  | 0.12 | 341            |
| D1-2        |                     |        |       |                   |                |                | 0.911          | 0.051          |           |                     | 0.14 | 102            |
| D1-3        |                     |        |       |                   |                |                | 0.923          | 0.039          |           |                     | 0.15 | 40             |
| D2-1        | 7.2-7.6             | 1.91   | 27.67 | 42.15             | 18.5           | 0.707          | 0.679          | 0.039          | CL        | 50                  | 0.15 | 114            |
| D2-2        |                     |        |       |                   |                |                | 0.685          | 0.031          |           |                     | 0.16 | 43             |
| D2-3        |                     |        |       |                   |                |                | 0.688          | 0.027          |           |                     | 0.18 | 26             |
| D3-1        | 7.5-7.9             | 1.95   | 22.10 | 26.80             | 11.1           | 0.671          | 0.650          | 0.031          | CL        | 50                  | 0.3  | 237            |
| D3-2        |                     |        |       |                   |                |                | 0.630          | 0.061          |           |                     | 0.32 | 21             |
| D3-3        |                     |        |       |                   |                |                | 0.638          | 0.049          |           |                     | 0.33 | 6              |
| D4-1        | 8.3-8.7             | 2.20   | 30.15 | 39.80             | 19.8           | 0.838          | 0.813          | 0.030          | CL        | 55                  | 0.35 | 423            |
| D4-2        |                     |        |       |                   |                |                | 0.800          | 0.046          |           |                     | 0.36 | 10             |
| D4-3        |                     |        |       |                   |                |                | 0.788          | 0.060          |           |                     | 0.38 | 3              |
| D5-1        | 9.7-10.1            | 1.89   | 36.00 | 66.65             | 50.73          | 0.614          | 0.589          | 0.041          | CH        | 65                  | 0.16 | 585            |
| D5-2        |                     |        |       |                   |                |                | 0.578          | 0.059          |           |                     | 0.18 | 151            |
| D5-3        |                     |        |       |                   |                |                | 0.594          | 0.033          |           |                     | 0.19 | 82             |
| D6-1        | 11.6-12.0           | 1.95   | 29.85 | 48.51             | 25.1           | 1.116          | 1.085          | 0.028          | CL        | 80                  | 0.13 | 1219           |
| D6-2        |                     |        |       |                   |                |                | 1.075          | 0.037          |           |                     | 0.15 | 473            |
| D6-3        |                     |        |       |                   |                |                | 1.074          | 0.038          |           |                     | 0.17 | 5              |
| D7-1        | 12.0-12.4           | 1.87   | 44.85 | 51.55             | 29.9           | 1.119          | 1.068          | 0.046          | CH        | 80                  | 0.13 | 587            |
| D7-2        |                     |        |       |                   |                |                | 1.062          | 0.051          |           |                     | 0.15 | 87             |
| D7-3        |                     |        |       |                   |                |                | 1.074          | 0.040          |           |                     | 0.16 | 8              |
| D8-1        | 15.6-16.0           | 1.74   | 33.60 | 53.64             | 30.1           | 0.908          | 0.876          | 0.035          | CL        | 105                 | 0.14 | 486            |
| D8-2        |                     |        |       |                   |                |                | 0.875          | 0.036          |           |                     | 0.16 | 18             |
| D8-3        |                     |        |       |                   |                |                | 0.867          | 0.045          |           |                     | 0.17 | 4              |
| D9-1        | 16.0-16.4           | 1.71   | 23.54 | 47.99             | 22.4           | 0.836          | 0.803          | 0.039          | CL        | 105                 | 0.13 | 440            |
| D9-2        |                     |        |       |                   |                |                | 0.796          | 0.048          |           |                     | 0.14 | 215            |
| D9-3        |                     |        |       |                   |                |                | 0.798          | 0.045          |           |                     | 0.16 | 30             |
| D10-1       | 16.4-16.8           | 1.80   | 30.74 | 43.35             | 20.5           | 0.981          | 0.920          | 0.062          | CL        | 110                 | 0.12 | 530            |
| D10-2       |                     |        |       |                   |                |                | 0.929          | 0.053          |           |                     | 0.15 | 90             |
| D10-3       |                     |        |       |                   |                |                | 0.933          | 0.049          |           |                     | 0.17 | 80             |
| D11-1       | 17.2-17.6           | 2.01   | 40.00 | 46.58             | 22.4           | 0.984          | 0.945          | 0.039          | CH        | 115                 | 0.08 | 223            |
| D11-2       |                     |        |       |                   |                |                | 0.934          | 0.050          |           |                     | 0.11 | 26             |
| D11-3       |                     |        |       |                   |                |                | 0.929          | 0.056          |           |                     | 0.13 | 21             |
| D12-1       | 18.8-19.2           | 1.94   | 28.10 | 52.36             | 29.2           | 0.788          | 0.754          | 0.043          | CH        | 125                 | 0.19 | 213            |
| D12-2       |                     |        |       |                   |                |                | 0.735          | 0.067          |           |                     | 0.23 | 40             |
| D12-3       |                     |        |       |                   |                |                | 0.736          | 0.066          |           |                     | 0.29 | 20             |

H is the depth below the seabed,  $\rho$  is the natural density, w is the natural water content, w<sub>L</sub> is the liquid limit, I<sub>p</sub> is the plasticity index, e<sub>0</sub> is the initial void ratio, e<sub>c</sub> is the void ratio after consolidation, and N<sub>f</sub> is the number of cycles to failure.

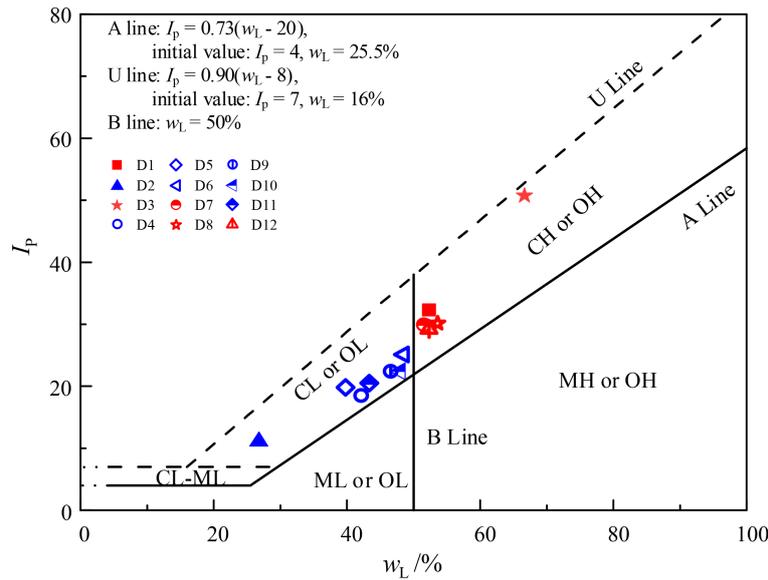


FIGURE 4 Soil classification lines.

where  $\sigma_d$  is the dynamic Stress Amplitude,  $\sigma'_{c0}$  is the initial effective consolidation stress.

### 4.2 Representative cyclic responses

Figure 5 displays typical results for the excess pore water pressure ratio  $r_u$ , axial strain ( $\epsilon$ ) curves, cyclic axial stress, deviator stress-axial strain curve, and effective stress path for D11-2. In these results, the excess pore water pressure ratio ( $r_u$ ) is the ratio of excess pore pressure to initial confining stress. The double amplitude axial strain ( $\epsilon_{DA}$ ) is the difference between the maximum and minimum axial strains in each cycle.  $N_f$  is the number of cycles needed for the specimen to meet the failure criterion, with this criterion being  $\epsilon_{DA}$  reaching 15% in this test. Figure 5A indicates that the development of  $\epsilon$  exhibits progressive characteristics during the cyclic loading process. Initially,  $\epsilon$  increases slowly in a linear manner. As the number of cyclic loading cycles  $N$  increases,  $\epsilon$  grows rapidly,

reaching the failure criterion after a relatively small number of cycles. Figure 5B shows that under cyclic loading, the rise in excess water pore pressure in the clay specimen is gradual, and it is challenging for  $r_u$  to increase to 1.0. At failure,  $r_u$  is only 0.48, attributed to the lower permeability of marine clay and the ongoing disruption of its cohesive structure due to cyclic loading. Figure 5C shows the relationship between the number of cycles and the axial stress. Figure 5D demonstrates that as  $N$  increases, the inclination of the hysteresis loop gradually diminishes, indicating a progressive decrease in the specimen's stiffness and strength. The shape evolves from "elliptical" to "Z" type. Concurrently, the vertical effective stress decreases, reflecting the development of pore water pressure during the cyclic process. The effective stress path shifts to the left with increasing cycle numbers, as shown in Figure 5E.

### 4.3 Cyclic resistance in laboratory tests

Ishihara et al. (1980) proposed using a power function to describe the relationship between CSR and  $N_f$ . The relationship Equation (2) is as follows:

$$CSR = a \cdot N_f^{-b} \tag{2}$$

where  $a$  and  $b$  are the fitting parameters.

Figure 6 depicts the relationship curve between the CSR and  $N_f$  of the clay samples from the Shaba wind farm, with a dashed line representing the fitting curve. The results indicated that as CSR increases,  $N_f$  decreases, demonstrating that the marine clay is more prone to damage under high cyclic loading conditions. However, the change pattern in cyclic resistance for each specimen remains unclear.

$N_f$  directly correlates with the seismic moment. Based on Idriss and Boulanger (2008), an  $N_f$  of 15 typically corresponds to a seismic

TABLE 2 The main technical specifications of the GDS dynamic triaxial test apparatus.

| Sensor                                  | Range               | Deviation | Accuracy              |
|---|---------------------|-----------|-----------------------|
| Axial Force                             | 5 kN                | 0.1% FS   | 0.2 N                 |
| Axial Displacement                      | ± 50 mm             | 0.15% FS  | 0.2 μm                |
| Axial Loading Frequency                 | ≤ 2 Hz              | -         | -                     |
| Confining Pressure/Back Pressure        | 2 MPa               | 0.15% FS  | 1 kPa                 |
| Confining Pressure/Back Pressure Volume | 200 mm <sup>3</sup> | 0.25% FS  | 0.001 mm <sup>3</sup> |
| Pore Water Pressure                     | 2 MPa               | 0.15% FS  | 1 kPa                 |

FS (Full Scale) = Maximum Range.

TABLE 3 Criteria for evaluation of soil sample quality (Lunne et al., 1997).

| OCR | $\Delta e/e_0$         |              |           |           |
|-----|------------------------|--------------|-----------|-----------|
|     | Very good to excellent | Good to fair | Poor      | Very poor |
| 1-2 | <0.04                  | 0.04-0.07    | 0.07-0.14 | >0.14     |
| 2-4 | <0.03                  | 0.03-0.05    | 0.05-0.10 | >0.10     |

$\Delta e$  is the change in void ratio reconsolidated to in-situ stress, and  $e_0$  is the initial void ratio.

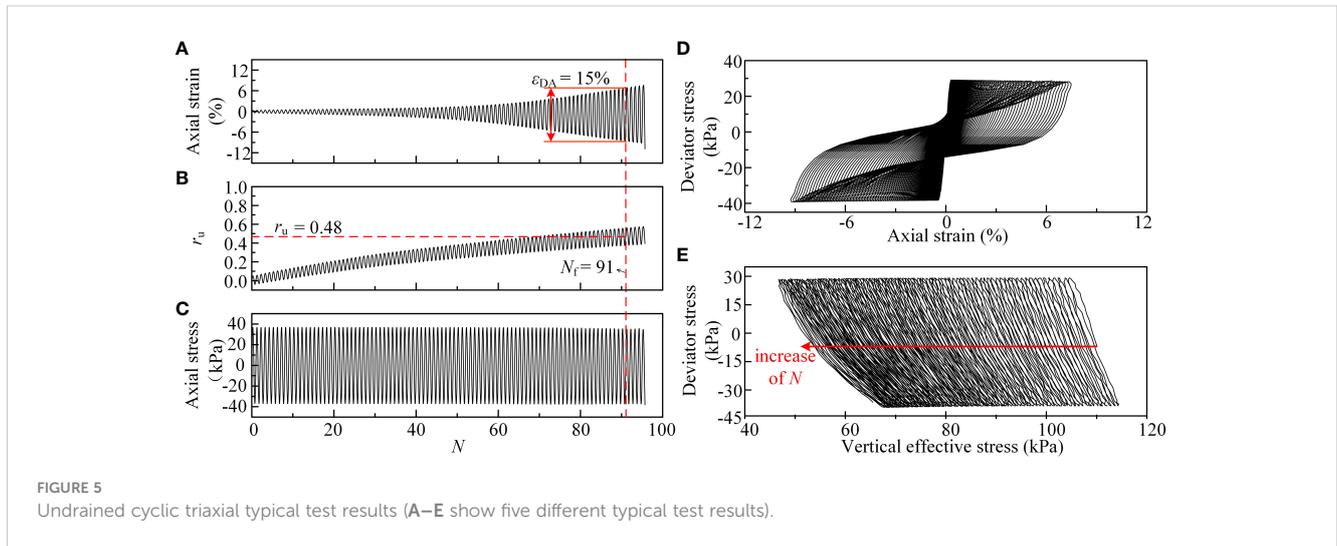


FIGURE 5 Undrained cyclic triaxial typical test results (A–E show five different typical test results).

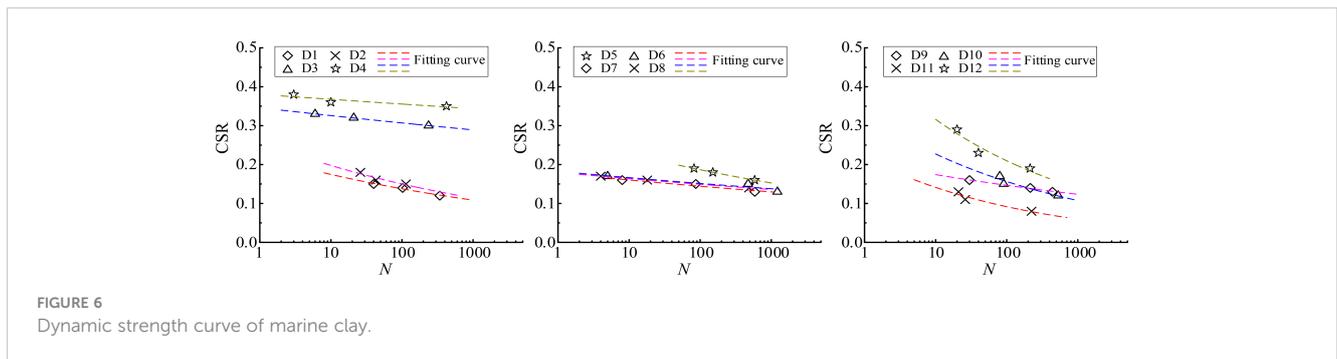


FIGURE 6 Dynamic strength curve of marine clay.

moment of 7.5. Hence, the CSR value corresponding to 15 cycles of uniform loading, extracted from the  $N_f$  vs. CSR correlation curve, represents its cyclic resistance ( $CRR_{lab}$ ), as displayed in Table 4. Figure 7 illustrates the correlations between  $H$ ,  $\rho$ ,  $w$ ,  $I_p$ , and  $CRR_{lab}$  of the tested sample. It indicates that  $CRR_{lab}$  does not significantly correlate with  $H$ ,  $\rho$ ,  $w$ , and  $I_p$ . Thus, it cannot evaluate  $CRR_{lab}$  by a single physical index of marine clay.

### 5 CPTu-based evaluation method for cyclic resistance of marine clay

Considering cone resistance as an indicator of the failure strength of soils *in situ* (Yu, 2006) and sleeve friction  $f_s$  as a measure of soil strength post-failure, Robertson and Wride (1998) proposed a

complex cyclic resistance evaluation method. This approach considers *in-situ* vertical stress, soil behavior type index  $I_c$ , and modified cone resistance  $q_{t1N}$ . Juang et al. (2008) simplified the parameters and developed a method using the soil behavior type index  $I_{c,BJ}$  and modified cone resistance  $q_{t1N}$ . Compared to Robertson and Wride’s model, Juang et al.’s approach accounts for the influence of excess pore water pressure, offering insights into soil consolidation and permeability properties (Chai et al., 2011). In contrast, Olsen’s method (Olsen, 1994) does not consider soil type and excess pore water pressure. These three models, based on CPTu tests on terrestrial soils, are summarized in Table 5. Figure 8 presents the field cyclic resistance ratio ( $CRR_{field}$ ) calculated using these three models for four borehole locations. It reveals generally consistent trends in results calculated by each model with depth, albeit with notable differences in numerical values. At borehole locations CPTu

TABLE 4 Cyclic resistance of marine clay.

| Test number | D1    | D2    | D3    | D4    | D5    | D6    | D7    | D8    | D9    | D10   | D11   | D12   |
|-------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| $CRR_{lab}$ | 0.161 | 0.185 | 0.322 | 0.366 | 0.204 | 0.164 | 0.157 | 0.161 | 0.166 | 0.177 | 0.120 | 0.267 |

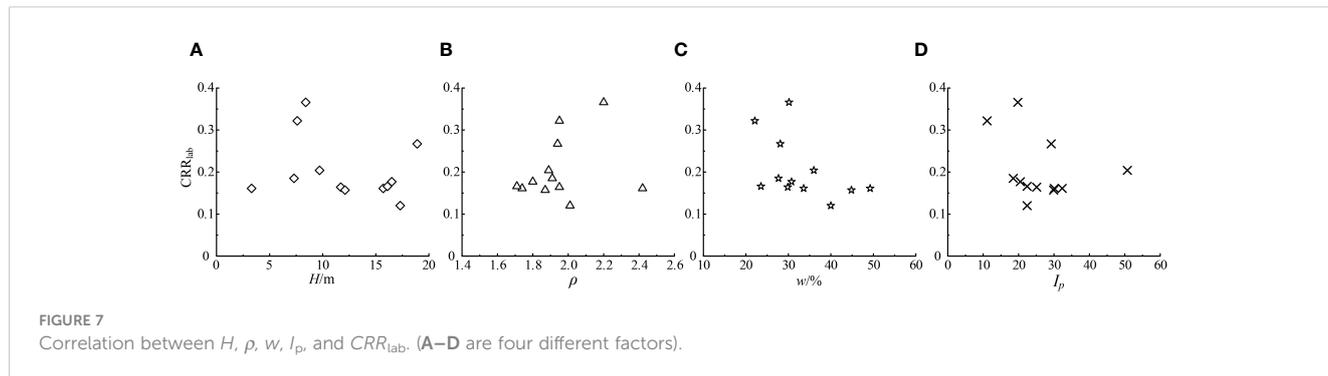


TABLE 5  $CRR_{field}$  calculation model based on CPTu.

| Reference                  | Empirical formula  | Note  |
|----------------------------|--|---|
| Robertson and Wride (1998) | $CRR_{field} = 93 \left( \frac{q_{t1N,cs}}{1000} \right)^3 + 0.08, (50 \leq q_{t1N,cs} < 160)$                       | $\sigma_{vo}$ = <i>in-situ</i> total vertical stress.<br>$\sigma'_{vo}$ = <i>in-situ</i> effective vertical stress.<br>$n$ = stress exponent.<br>$p_a$ = atmospheric pressure.<br>$q_{t1N}$ = modified cone resistance<br>$q_t$ = total cone resistance<br>$Q_m, q_{t1N,cs}, F_r$ = cone parameters.<br>$f_s$ = sleeve friction.<br>$I_c$ = soil behavior type index.<br>$K_c$ is a function of $I_c$ . |
|                            | $CRR_{field} = 0.833 \left( \frac{q_{t1N,cs}}{1000} \right) + 0.05, (q_{t1N,cs} < 50)$                               |   |
|                            | $q_{t1N,cs} = K_c q_{t1N}$   |   |
|                            | $K_c = 1.0, I_c \leq 1.64$   |   |
|                            | $K_c = -0.403I_c^2 + 5.58I_c - 21.63I_c^2 + 33.75I_c - 17.88$  |   |
|                            | $q_{t1N} = \left( \frac{q_t - \sigma_{vo}}{P_a} \right) \times \left( \frac{P_a}{\sigma'_{vo}} \right)^n$            |   |
|                            | $n = 0.381I_c + 0.05(\sigma'_{vo}/P_a) - 0.15 \leq 1.0$  |   |
|                            | $I_c = \sqrt{(3.47 - \lg Q_m)^2 + (1.22 + F_r)^2}$   |   |
| Juang et al. (2008)        | $CRR_{field} = 0.05 + \exp[A + B \times (q_{t1N}/100)^C]$  | $A, B, C$ = fitting parameter.<br>$I_{c,BJ}$ = soil behaviour type index.<br>$q_c$ = modified cone resistance.<br>$Q_b, q_{c1}, F_r$ = cone parameters.<br>$B_q$ = excess pore pressure ratio.  |
|                            | $A = I_{c,BJ}(q_{t1N}/100) - 14.7$   |   |
|                            | $B = 0.909I_{c,BJ}^3 - 7.47I_{c,BJ} + 19.28$   |   |
|                            | $C = 0.059 + 0.015I_{c,BJ}^2$  |   |
|                            | $I_{c,BJ} \sqrt{\{3 - \lg[Q_t(1 - B_q) + 1]\}^2 + [1.5 + 1.3(\lg F_r)]^2} B_q = \frac{u_2 - u_0}{q_t - \sigma_{vo}}$ |   |
| Olsen (1994)               | $CRR_{field} = 0.00128q_{c1} - 0.025 + 0.17R_f - 0.02R_f^2 + 0.0016R_f^3$  | $R_f$ = friction ratio.<br>$q_c$ = modified cone resistance.  |
|                            | $q_{c1} = \frac{q_c}{(\sigma'_{vo})^{0.7}}$  |   |

1, CPTu 3, and CPTu 4, Olsen’s model yields the highest  $CRR_{field}$ , followed by the Robertson model, with Juang’s model providing the lowest values. In contrast, at borehole locations CPTu 2, Olsen’s model results in the lowest  $CRR_{field}$ , Juang’s model is intermediate, and the Robertson model calculates the highest values.

The laboratory test conditions are a simplified representation of field conditions. Differences often arise when applying results from laboratory cyclic triaxial tests to field situations. Seed (1979) proposed a conversion factor,  $C_r$ , to modify  $CRR_{lab}$ , yielding  $CRR_{field}$ , as demonstrated in the subsequent Equation (3):

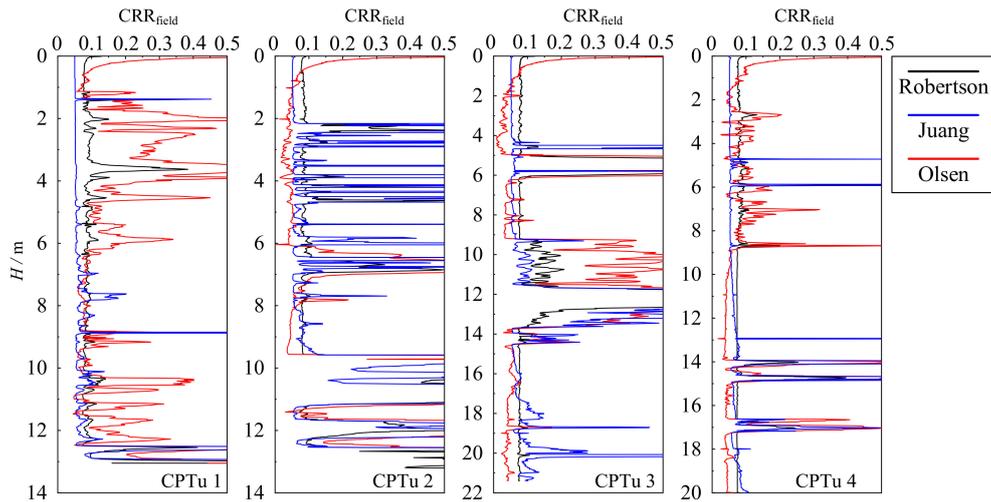


FIGURE 8  $CRR_{field}$  calculation curve based on CPTu data.

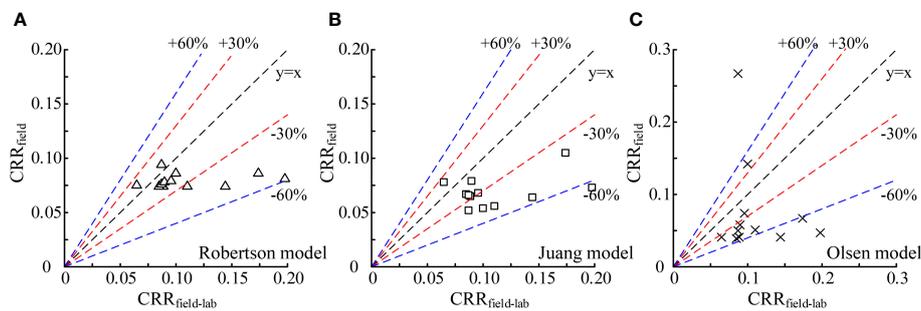


FIGURE 9 Comparison of CPTu-based  $CRR_{field}$  calculation results with indoor results  $CRR_{lab}$ . (A–C are three different methods).

$$CRR_{field-lab} = 0.9 \cdot C_r \cdot CRR_{lab} \tag{3}$$

where 0.9 is the correction factor for converting the laboratory cyclic resistance ratio under unidirectional loading to the cyclic resistance ratio under multiple-direction loading conditions in the field,  $C_r$  is taken as 0.7.

Comparisons of  $CRR_{field}$ , as calculated by various models at respective depths, with  $CRR_{field-lab}$  determined by the test results are depicted in Figure 9. This figure reveals that Robertson’s and Juang’s approaches exhibit comparable effectiveness, with most errors remaining under 30% relative to  $CRR_{field-lab}$ . However, Olsen’s method, which does not account for soil type and excess pore water pressure, shows the least accuracy, with errors surpassing 60%.

Accordingly, while  $CRR_{field}$  models derived from CPTu data show some applicability, the overall errors are significant. In addition, these models lack a clear functional relationship, potentially limiting their utility in practical engineering projects.

As detailed in Section 3, the geotechnical properties of soil layers vary considerably at different depths. Incorporating soil characteristics indicated by  $q_t$ ,  $u_2$ , and  $f_s$ , and amalgamating laboratory test outcomes with CPTu data, a discernible functional relationship emerges among the effective cone tip resistance  $q_E$  ( $= q_t - u_2$ ),  $f_s$ , and  $CRR_{field-lab}$ . Thus, an empirical model to predict  $CRR_{field-lab}$  for marine clay was formulated, using  $q_E$ ,  $f_s$ , and  $H$  as independent variables, with  $CRR_{field-lab}$  as the dependent variable, as delineated in Equation 4. Notably,  $H$  accounts for the *in-situ* stress of soils. Through multiple regression analysis, a new  $CRR_{field-lab}$  evaluation method based on depth-corrected CPTu index  $[EXP(q_E/f_s)^{0.3}/H]$  was developed, as Equation (4) shown, and its application to assess  $CRR_{field-lab}$  for marine clay in the Yangjiang Wind Farm offshore area has demonstrated a robust fit, as illustrated in Figure 10.

$$CRR_{field-lab} = 0.018 + \frac{0.103e^{(q_E/f_s)^{0.3}}}{H} \tag{4}$$

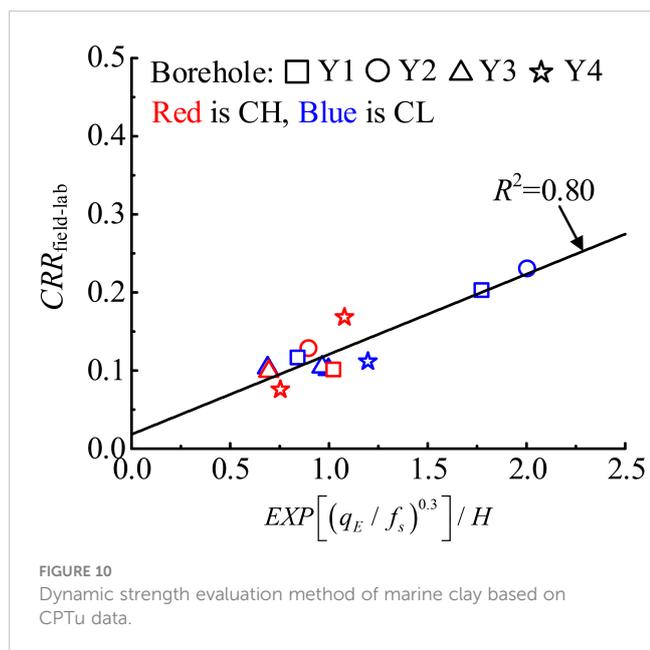


FIGURE 10  
Dynamic strength evaluation method of marine clay based on CPTu data.

Noted that the CPTu-based  $CRR_{field-lab}$  prediction model proposed in this study can effectively predict the cyclic resistance of marine clay, which makes up for the difficulty of sampling and high testing costs in offshore engineering. Also, compared with the above three well-developed prediction models (Olsen, 1994; Robertson and Wride, 1998; Juang et al., 2008), the  $CRR_{field-lab}$  prediction model contains just only fewer basal physical parameters, i.e., if the depth  $H$  and CPTu data of marine clay are determined, then the  $CRR_{field-lab}$  can be evaluated quickly and efficiently, which provides a significant advantage in the evaluation of liquefaction triggering of marine soils in practice.

## 6 Conclusion

This study presents the site investigation and cyclic resistance of marine clay, utilizing CPTu tests and advanced laboratory tests, taking the Shaba wind farm in southern China as a case study. An evaluation method for the cyclic resistance of marine clay, grounded in CPTu data, is introduced. The key conclusions are as follows:

1. The interpretation of CPTu data and index parameter tests depict the site conditions as having a multilayered and intricate sedimentary structure. The initial void ratio of clay layers shows a gradual increase with depth.
2. Under cyclic loading, the marine clay's hysteresis loop dip angle in the Yangjiang Sea region diminishes progressively, gradually reducing soil stiffness and strength. Concurrently, the maximum strain typically occurs post-peak stress, highlighting the delayed response between stress and strain in marine clay. In addition, the hysteresis curve's expansion toward the stretching direction suggests an elevated risk of tensile failure in the sample.

3. The  $CRR_{lab}$ , derived from consolidated undrained cyclic triaxial tests, was converted to an *in-situ*  $CRR_{field-lab}$ . Notable discrepancies were observed between the calculated results of the existing prediction methods based on CPTu data and the actual  $CRR_{field-lab}$ . Utilizing the CPTu data  $q_E$ ,  $f_s$ , and depth  $H$ , a linear relationship existed between cyclic resistance and depth-corrected CPTu index  $[EXP(q_E/f_s)^{0.3}/H]$ . Then an alternative evaluation method to determine the  $CRR_{field-lab}$  of marine clay was proposed. This method yielded prediction results that align well with engineering practice requirements.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Author contributions

QW: Conceptualization, Data curation, Project administration, Resources, Validation, Visualization, Writing – original draft, Writing – review & editing. EZ: Data curation, Investigation, Methodology, Validation, Writing – original draft, Writing – review & editing. XX: Data curation, Investigation, Methodology, Validation, Visualization, Writing – review & editing. YL: Conceptualization, Methodology, Resources, Supervision, Validation, Visualization, Writing – review & editing. GC: Conceptualization, Data curation, Funding acquisition, Supervision, Validation, Visualization, Writing – review & editing.

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## Conflict of interest

Author YX-L was employed by China Energy Engineering Group Guangdong Electric Power Design Institute Co., Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Glossary

|                   |   |
|-------------------|---|
| $q_c$             | cone resistance                                     |
| $f_s$             | sleeve friction                                     |
| $u_2$             | pore water pressure                                 |
| $w_0$             | natural water content                               |
| $\rho$            | natural density                                     |
| $I_p$             | plasticity index                                    |
| $e_0$             | initial void ratio                                  |
| $w_L$             | liquid limit  |
| $e_c$             | void ratio after consolidation                      |
| $N_f$             | number of cycles to failure                         |
| $r_u$             | excess pore water pressure ratio                    |
| $\epsilon_{DA}$   | double amplitude axial strain                       |
| $CRR_{lab}$       | laboratory cyclic resistance                        |
| $CRR_{field}$     | field cyclic resistance                             |
| $CRR_{field-lab}$ | $CRR_{lab}$ based on field correction               |
| $q_E$             | effective cone tip resistance                       |
| $C_r$             | correction factor                                   |
| $N$               | number of cyclic loading cycles                     |
| $\sigma'_{c0}$    | initial effective confining pressure                |
| $\epsilon$        | axial strain  |
| $\Delta e$        | change of void ratio before and after consolidation |
| $a, b$            | fitting parameters                                  |
| CSR               | cyclic stress ratio                                 |