

Resistivity of Surface Steps in Bulk-Insulating Topological Insulators

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Electron transport in topological insulators usually involves both topologically protected surface states and trivial electronic states in the bulk material. The surface transport is particularly interesting; however, it is also susceptible to atomic defects on the surfaces, such as vacancies, impurities, and step edges. Experimental determination of scattering effects of these surface defects requires both nanoscale spatial resolution and the ability to decipher surface transport from bulk transport. Here we directly measure the resistivity of individual surface steps in the surface dominating transport process of topological insulator Bi₂Te₂Se. A variable probe-spacing transport spectroscopy with a multiprobe scanning tunneling microscope is used to differentiate the surface conductance from bulk conductance, allowing the identification of a surface dominant transport regime. The technique also reveals a deviation from ideal 2D transport at atomic steps. Then, a multiprobe scanning tunneling potentiometry is employed to visualize the electrochemical potentials across individual step edges. A quantitative analysis of the potential distributions enables us to acquire a resistivity of 0.530 m $\Omega \cdot$ cm for the one quintuple-layer atomic step. The result indicates that atomic defects, despite preserving the time-reversal symmetry, can still significantly affect the transport in topological insulators.

Keywords: topological phase of matter, four-probe transport spectroscopy, atomic step, defect, topological insulator, electrical transport, topological surface states, scanning tunneling microscopy

INTRODUCTION

Charge transport in topological insulators is distinct from the transport in trivial materials due to the topological surface states. Although the bulk is insulating, the metallic surface states are created by the non-trivial topology of the bulk band and guaranteed to exist regardless of the surface geometry (Hasan and Kane, 2010; Qi and Zhang, 2011). The topological surface states further differ from trivial states as the electrons occupying them behave like massless Dirac fermions and possess a unique spin texture from spin-momentum locking. Because deflection of charge carrier momentum by defects should be accompanied by the rotation of spin, backscattering is strictly prohibited when the defects preserve the time-reversal symmetry. Hence, it is predicted that the scattering of charge carriers by defects on the surface of topological insulators are disparate from other 2D electron systems, and intensive theoretical and experimental studies were prompted on intrinsic, time-reversal-symmetry preserving defects such as vacancies, antisites, and step edges (Alpichshev et al., 2010; Biswas and Balatsky, 2010; Alpichshev et al., 2012; Black-Schaffer and Balatsky, 2012).

Scanning tunneling microscopy (STM) can resolve atomic and electronic structures in atomic resolution, so it is widely used to study the atomic steps and defects on the surface of topological

OPEN ACCESS

Edited by:

Cheng-Rong Hsing, Academia Sinica, Taiwan

Reviewed by:

Fanming Qu, Institute of Physics (CAS), China Michael Dreyer, University of Maryland, College Park, United States

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Specialty section:

This article was submitted to Quantum Materials, a section of the journal Frontiers in Materials

Received: 01 March 2022 Accepted: 04 April 2022 Published: 19 May 2022

Citation:

Ko W, Hus S, Kim H, Kim JS, Zhang X-G and Li A-P (2022) Resistivity of Surface Steps in Bulk-Insulating Topological Insulators. Front. Mater. 9:887484. doi: 10.3389/fmats.2022.887484

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insulators (Yin et al., 2021; Lüpke et al., 2022). However, understanding and quantifying the role of defects on transport through topological surface states by STM are still challenging for two main reasons with respect to materials and STM measurement techniques. First, most of the topological insulators have substantial bulk carriers due to the relatively small bulk band gap and unintentional doping from intrinsic defects that significantly hamper the transport through the surface (Ando, 2013). One way to cope with this problem is to reduce the sample thickness down to a few tens of nanometers so the ratio of bulk to surface carriers becomes minimal (Koirala et al., 2015; Bauer and Bobisch, 2016; 2017; Lüpke et al., 2017). However, transport in thin-film topological insulators incorporates the multiple surface states at the top and bottom of the surface which makes the current distribution more complicated between these two surfaces (Lüpke et al., 2018; Just et al., 2020). Furthermore, when films are too thin, the top and bottom surface states can couple and change their topology (Zhang et al., 2010). Secondly, understanding charge transport across the atomic defects requires a in situ and operando atomic-scale mapping of topography and surface potential during the current flow. For the traditional single-probe STM, flowing current to the sample requires additional electrodes deposited by lithography which significantly contaminates the surface of topological insulators to deteriorate the surface transport properties (Checkelsky et al., 2011).

In this paper, we used multi-probe STM and observed single crystal of bulk-insulating topological insulators to overcome these challenges (Kim et al., 2007; Hus et al., 2017). It has been known that Bi and Sb chalcogenides are topological insulators whose Fermi level can be adjusted by changing the ratio between the elements (Ren et al., 2011; Arakane et al., 2012). Among various compositions, we chose Bi2Te2Se for our measurement since its bulk-insulating properties are well-known by ex situ transport measurement (Ren et al., 2010). Then, two spectroscopic techniques of multi-probe STM were employed to confirm the surface dominant transport and scattering of surface charge carriers by atomic steps whose smallest unit is a quintuple layer (QL) composed of Te-Bi-Te-Bi-Te sub-layers. The variable probe-spacing transport spectroscopy (VPTS) was utilized to confirm the surface dominant transport at low temperature of 82 K and small source probe distance below 10 µm (Ko et al., 2018). Moreover, deviation from the ideal surface transport by atomic steps was observed by VPTS on stepped surface. More quantitative measurements of the effect of atomic steps on the surface transport have been achieved by scanning tunneling potentiometry (STP) (Clark et al., 2013; Li et al., 2013). STP enabled us to precisely map the surface potential during a current flow and measure the voltage drop across atomic steps that results in the quantitative determination of the step resistivity. The result shows that atomic defects, despite preserving the timereversal symmetry, can still significantly affects the transport through topological surface states.

MATERIALS AND METHODS

Sample Preparation

Single crystal of Bi_2Te_2Se was grown by the self-flux method following the previously reported procedure (Ren et al., 2010).

Bulk-insulating property of the Bi₂Te₂Se was confirmed by *ex situ* transport measurement with physical property measurements system (PPMS), which displayed increasing bulk resistivity with decreasing temperature (Ko et al., 2018). *In situ* transport and STP measurements were done at the cryogenic four-probe STM, operating at ultrahigh vacuum (< 8×10^{-10} Torr) and temperature of 82 K (Kim et al., 2007). The samples were cleaved inside the ultrahigh-vacuum chamber and then transferred to the STM stage without any exposure to the ambient environment. Etched tungsten tips were used as STM probes, whose metallic behavior was checked on gold foil before each measurement. The samples are confirmed to be thicker than 500 µm after cleaving which ensures no crosstalk between the top and bottom surface states or leakage current to the sample holder.

Variable Probe-Spacing Transport Spectroscopy

VPTS spectroscopy was acquired by performing four-probe transport measurements in collinear configuration while changing the position of two inner voltage probes (Durand et al., 2016; Hus et al., 2017; Ko et al., 2018). **Figure 1A** illustrates the measurement scheme over the surface with atomic steps. Here, two outer probes supply the source current while two inner probes measure the potential difference. The potential drop between the inner probes is measured for a large set of inter-probe distances measured by scanning electron microscope (SEM). In topological insulators, transport occurs through two conduction channels of the topological surface states and the trivial bulk states. Assuming uniform and isotropic 2D and 3D resistivity for surface and bulk, respectively, the fourprobe resistance can be expressed as a function of probe spacings (Durand et al., 2016) as

$$R = \frac{\Delta V}{I_s} = \rho_{2D} \cdot \frac{1}{2\pi} \ln \left[\frac{\left(g + \frac{s_{14}}{s_{12}}\right) \left(g + \frac{s_{14}}{s_{34}}\right)}{\left(g + \frac{s_{14}}{s_{13}}\right) \left(g + \frac{s_{14}}{s_{34}}\right)} \right] = \rho_{2D} \cdot X_g$$

where ΔV is the potential drop between the inner voltage probes, I_s is the source current applied through the outer current probes, ρ_{2D} is the surface resistivity, s_{ij} is the distance between the probes *i* and *j*, and $g = (\rho_{2D}/\rho_{3D})s_{14}$ is a dimensionless parameter which gives the ratio of the surface and bulk resistivity. The model indicates that *R* vs. X_g should be linear when the correct value of *g* is substituted.

Scanning Tunneling Potentiometry

STP is a unique technique that combines transport with the scanning capability of STM (Clark et al., 2013; Li et al., 2013; Clark et al., 2014). The measurement schematic of STP is shown in **Figure 1B**. Here, two outer probes supply the source current while an inner probe scans the surface. To measure the potentiometry, we adopted the modified version of Feenstra and co-worker's approach (Feenstra et al., 1986; Fein et al., 1987; Lüpke et al., 2015), where the scanning probe first moved to the new point and measured the surface topography at finite DC bias voltage by traditional STM feedback based on tunneling current, and then the STM feedback was turned off and





a second feedback loop on the tip bias was turned on to track the surface potential by regulating tunneling current to zero. The resulting height and potential profile visualize the topography and potential of the scanned region.

RESULTS

Variable Probe-Spacing Transport Spectroscopy on Bi₂Te₂Se Surface With Atomic Steps

VPTS was applied to Bi₂Te₂Se to observe the effect of atomic steps on the transport through the topological surface states. To exclude the effect of bulk conduction, the surface dominant transport was achieved by lowering the measurement temperature to 82 K and reducing the source probe distance below 10 μ m (Ko et al., 2018). **Figure 2A** shows the SEM image

of the surface of Bi2Te2Se that does not display any distinguishable steps, and Figure 2C shows the results of VPTS taken on this pristine surface with source probe distance $s_{14} = 5.1 \,\mu\text{m}$. The best linear fitting between R and X_g was achieved when g = 0, indicating that 100% of the electrical current is carried by the surface states. The linear fit results in the zero offset at $X_g = 0$ and the coefficient of determination $r^2 = 0.9981$, showing that the measured fourprobe resistances follow the theoretical prediction of homogenous surface resistivity. From the slope, surface resistivity is estimated to be $\rho_{2D} = 1835 \pm 72 \Omega$. On the other hand, when there are two or more atomic steps between the probes (Figure 2B), measured resistance values become visibly deviated from the homogenous surface resistivity model (Figure 2D, also see Supplementary Figure S1), indicated by lower $r^2 = 0.9843$ and nonzero offset at $X_g = 0$. Nevertheless, the best linear fit of R vs. X_g was achieved when g = 0 and the surface resistivity is estimated to be $\rho_{2D} = 2,211 \pm 250 \Omega$, which is similar to the pristine surface. The value of fitting parameters indicates that the conduction is still dominated by the surface channel. The visible distortion of resistance values further supports the surface dominant transport, because the bulk conduction would not be affected by atomic steps due to their negligible thickness compared to the sample size (>500 μ m). The results clearly show that the atomic steps disturb the transport of charge carriers through the topological surface states, even though the steps do not break time-reversal symmetry.

Scanning Tunneling Potentiometry on Bi₂Te₂Se Surface With Atomic Steps

Further quantitative measurement is achieved by measuring the potential profile with STP across the single atomic step. **Figure 3A** shows the SEM image of a step edge and the probes placed for STP measurement. The distance between the outer probes was set to 7.9 μ m to guarantee the surface dominant transport as confirmed by VPTS. Even though the SEM image can only resolve a single step, STM topography shows that there are two adjacent steps with the height of four QL and one QL (**Figures 3B,E**), where one QL corresponds to the height of





The resistivity of the surface and atomic steps can be extracted from the current dependence of the overall potential slope and potential drops at the steps, respectively. Since the scanned area is located close to the midpoint between the source probes and the scanning size of 500 nm is much smaller than the source probe distance of 7.9 μ m, we can assume a constant current density \vec{j} across the scanned area and estimate its magnitude as

$$\left|\vec{j}\right| = \frac{1}{2\pi} \left| \frac{\widehat{s_{12}}}{s_{12}} + \frac{\widehat{s_{23}}}{s_{23}} \right| \cdot I_s = 79340 \cdot I_s (A/m)$$

where $\hat{s_{ij}}$ is the unit vector along the s_{ij} . Figure 4A shows the values of potential slope dV/dx extracted from the potential profiles in Figure 3F. The graph exhibits linear dependence





between dV/dx and I_s , and $\rho_{\rm 2D}$ can be extracted from the slope of the graph as

$$\rho_{\rm 2D} = \frac{E}{j} = \frac{dV/dx \cdot \frac{1}{\cos 55^{\circ}}}{79340 \cdot I_s} = 244 \pm 26 \,\Omega$$

where 55° is the angle between the current and scan directions. The value of ρ_{2D} is smaller than the one from VPTS, probably due to the aging of the surface that was exposed to a residual gas pressure of 2×10^{-9} torr for 4 days (Durand et al., 2016; Ko et al., 2018).

Figure 4B shows the measured potential drops at the step edges for different source currents. The voltage drops between the adjacent terraces were extracted by taking the average and standard deviation of the voltages inside the single terraces and then subtracted them to get the magnitude and error of the voltage drops (Supplementary Figure S3). Although the linear trend is less obvious due to the small signal-to-noise ratio, the general trend clearly shows an increasing magnitude of potential drop with the current. Linear fits result in the slope of $\Delta V_s/I_s = 0.421 \Omega$ for one QL step and $\Delta V_s/I_s = 0.512 \Omega$ for four QL step, which results in the step resistivity of $\rho_s = \Delta V_s / j =$ 0.530 m Ω · cm for one QL step and $\rho_s = 0.646$ m Ω · cm for four QL step. These results show that atomic steps as small as one QL can scatter charge carriers flowing through the topological surface states and behave as a potential barrier. The value of ρ_s is comparable to the previously reported value of ~ $1 \text{ m}\Omega \cdot \text{cm}$ for the steps on Bi chalcogenide thin films measured by STP (Bauer and Bobisch, 2016; 2017; Lüpke et al., 2017). Also, it is notable that the value of ρ_s is almost identical for one QL and four QL steps, which indicates that step resistivity is almost independent of the step height. Weak dependence of the resistivity on step height is in contrast with other trivial 2D metallic systems (Homoth et al., 2009; Ji et al., 2012; Clark et al., 2013; Clark et al., 2014) and might be related to the topological nature of the surface states. Revealing the origin of such behavior would require further systematic measurement of resistivity over various step heights and detailed theoretical modeling of atomic steps in topological insulators.

DISCUSSION

We utilized multiprobe STM to perform VPTS and STP on the surface of Bi_2Te_2Se and measured how surface steps affect the transport of charge carriers through the topological surface states. Because the sample is bulk-insulating topological insulators, surface dominant transport was achieved and confirmed by VPTS at low temperature of 82 K and a source probe distance of less than 10 µm. On the stepped surface, in contrast, VPTS exhibited deviation from the ideal homogeneous 2D resistivity, manifested as outlying data points and offset at $X_g = 0$. STP was used for more quantitative measurement, which displayed

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DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

WK and A-PL designed the experiment. WK and SH performed multiprobe STM measurement. HK and JK grew the single crystal samples. X-GZ provided theoretical framework to analyze data. All authors contributed to writing the manuscript.

FUNDING

This work was supported by Center for Nanophase Materials Sciences (CNMS), which is a US Department of Energy, Office of Science User Facility at Oak Ridge National Laboratory. The sample preparation at POSTECH was supported by the Institute for Basic Science (IBS) through the Center for Artificial Low Dimensional Electronic Systems (no. IBS-R014-D1). The potentiometry data analysis by X-GZ was supported by the Center for Molecular Magnetic Quantum Materials, an Energy Frontier Research Center funded by the U.S. Department of Energy, Office of Science, Basic Energy Sciences under Award No. DE-SC0019330.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmats.2022.887484/full#supplementary-material

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