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# A novel radiomics model combining GTVp, GTVnd, and clinical data for chemoradiotherapy response prediction in patients with advanced NSCLC

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**Background:** Numerous radiomic models have been developed to predict treatment outcomes in patients with NSCLC receiving chemotherapy and radiation therapy. However, computed tomography (CT) radiomic models that integrate the Gross Tumour Volume of the primary lesion (GTVp), the Gross Tumour Volume of nodal disease (GTVnd), and clinical information are relatively scarce and may offer greater predictive accuracy than models focusing on GTVp alone. This study aimed to evaluate the efficacy of a CT radiomic model combining GTVp, GTVnd, and clinical data for predicting treatment response in unresectable stage III–IV NSCLC patients undergoing concurrent chemoradiotherapy.

**Methods:** A total of 101 patients with unresectable stage III–IV NSCLC were included. GTVp was delineated using lung windows, and GTVnd was delineated using mediastinal windows. Radiological features were extracted using Python 3.6, then subjected to F-test and Lasso regression for feature selection. Logistic regression was performed on the selected radiological features. Clinical information was analysed with univariate and multivariate logistic regression to identify significant clinical variables. Five models were developed and evaluated, incorporating GTVp, GTVnd, and clinical data.

**Results:** The GTVp-based radiomics model achieved an area under the curve (AUC) of 0.855 in the training cohort and 0.775 in the validation cohort. The multimodal composite model (integrating GTVp, GTVnd, and clinical parameters) significantly outperformed the GTVp-only model, with a training AUC of 0.862 and validation AUC of 0.863, demonstrating superior predictive performance for concurrent chemoradiotherapy response in this patient population.

KEYWORDS

NSCLC, radiomics, chemoradiotherapy, GTVp, GTVnd

# 1 Introduction

Lung cancer has a high incidence and mortality rate, with an estimated five-year survival of only around 23% (1). It is classified into non-small cell lung cancer (NSCLC) and small cell lung cancer (SCLC) based on pathological features, with NSCLC accounting for approximately 85% of cases (2). For patients with inoperable stage III–IV NSCLC, concurrent chemoradiotherapy (CCRT) is a vital treatment approach (3). However, treatment sensitivity varies among individuals (4, 5), affecting prognosis. Notably, the response to cancer therapy is closely linked to prognosis. Notably, patients who respond more favourably to therapy often experience longer progression-free and overall survival then those with poorer responses (6–8).

Imaging remains the primary method for tumour evaluation in clinical practice (9), and radiomics has emerged as a non-invasive, effective tool for prognostic prediction (10-14). Several radiological models have been developed to predict treatment response and outcomes in patients with NSCLC undergoing CCRT (15-17). Approximately 60% of patients with NSCLC present with advanced or locally advanced disease at diagnosis (18), often because of late detection of non-specific symptoms (19), which can lead to mediastinal lymph node metastasis. In such cases, radiation oncologists typically delineate the Gross Tumour Volume of the primary lesion (GTVp) and nodal disease (GTVnd) for chest radiation therapy. However, when extracting CT radiomic features, many researchers focus solely on GTVp while overlooking GTVnd (20, 21). This omission is notable because pre- and post-treatment changes in GTVnd are equally critical for tumour staging (22). Moreover, prior research has shown that combining mediastinal window CT images with lung window CT images can improve both the malignancy of a nodule and its potential indolence (23, 24). Thus, incorporating GTVnd CT images may be crucial for assessing CCRT efficacy.

Despite the demand for multimodal biomarkers in NSCLC management, no prior study has simultaneously integrated CT radiomics features of GTVp (lung window) and GTVnd (mediastinal window) with clinical parameters to predict CCRT response. Therefore, this study aims to develop and validate a composite model, specifically evaluating its performance in predicting short-term CCRT efficacy among patients with unresectable stage III-IV NSCLC.

## 2 Methods

The study received approval from the Ethics Committee of the Second Affiliated Hospital of Guizhou Medical University (SAHGMU; approval number 2020-LS-03) and was conducted in strict accordance with the Declaration of Helsinki. Informed consent was obtained from all participants.

Figure 1 presents the study flowchart. The inclusion criteria were: (1) pathologically confirmed NSCLC; (2) no surgical indications; (3) no prior therapies (including neoadjuvant chemotherapy, interventional therapy, immunotherapy, or targeted therapy) before CCRT; (4) stage III or IV disease with confirmed mediastinal lymph node metastasis (N2/N3) based on the 8th edition UICC

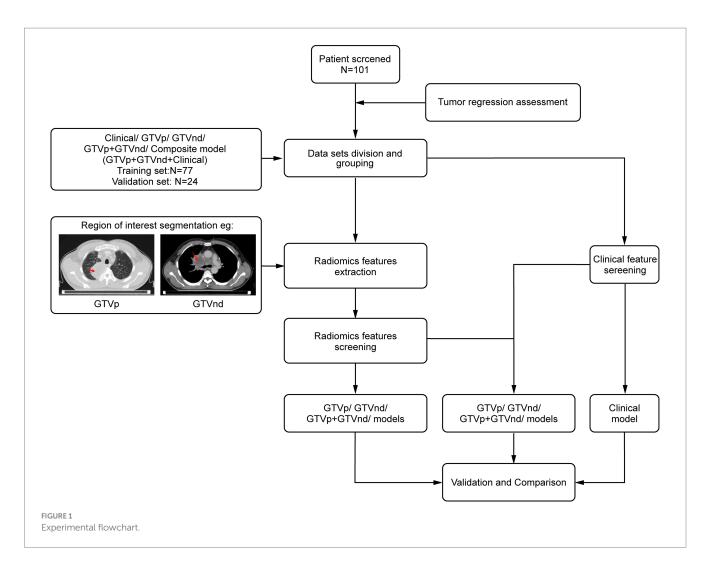
Tumor-Node-Metastasis staging system; (5) availability of standard contrast-enhanced chest CT images obtained within 1 month before and 3 months after treatment completion; and (6) receipt of conventional fractionated radiotherapy (target dose: 60–66 Gy/30–33\ u00B0F, intensity-modulated radiotherapy) combined with chemotherapy. For squamous cell carcinoma, weekly paclitaxel plus cisplatin was used, whereas for non-squamous cell carcinoma, pemetrexed was administered every 3 weeks alongside cisplatin (25). The exclusion criteria were: (1) concomitant malignancies, (2) incomplete or poor-quality CT images, and (3) insufficient follow-up data.

This multicentre retrospective study enrolled patients from two distinct cohorts: (1) 77 patients treated at SAHGMU; (2) 24 patients from three regional hospitals (Guiyang Pulmonary Hospital, Qiandongnan People's Hospital, Qiannan Traditional Chinese Medicine Hospital). All cases were recruited consecutively between January 2019 and July 2023. Treatment outcomes were categorized as complete response (CR), partial response (PR), stable disease (SD), or progressive disease (PD) according to RECIST 1.1 (26). Patients with CR or PR were classified into the treatment-sensitive group, while those with SD and PD were classified as treatment-insensitive.

Chest contrast-enhanced CT images were preprocessed using MATLAB 2014b1 with: (1) Spatial normalization: Rigid registration to the INHALE chest CT atlas via ANTs (v2.3.3) using mutual information; (2) Isotropic resampling: Resampling normalized images to 1 mm isotropic voxels using B-spline interpolation. Following the guidelines of ICRU 83 (27), a radiation oncologist with 10 years of experience in lung cancer treatment delineated the GTVp and GTVnd without access to patient information. ITK-SNAP (version 3.8.0; http://www.itksnap.org) was used to manually label slices layer-bylayer (28). GTVp was delineated in the lung window (WW 1600 HU, WL – 600 HU), and GTVnd in the mediastinal window (WW 250 HU, WL 50 HU). The criteria for defining GTVnd included: (1) shortaxis diameter  $\geq 1$  cm, (2) presence of  $\geq 3$  clustered lymph nodes within a single station, (3) pathological confirmation of metastasis in mediastinal lymph nodes (in select patients), or (4) PET-CT  $SUV_{max} > 2.5$  in the region (in select patients). After completing the annotations were completed, the region of interest (ROI) was designated. For each patient, 1,834 radiological features were extracted from the ROIs. These features were standardized using the Z-score and then screened by an F-test in ANOVA, where F is defined as the ratio of between-group variance to within-group variance. To avoid overfitting, LASSO regression with 10-fold cross-validation (via glmnet in R) was performed on each training subset to select the  $\lambda$ minimizing mean square error. Only features selected in ≥80% of folds were retained for the final model. Finally, logistic regression was used to construct the radiological models.

Clinical data—including sex, ethnicity, age, smoking history, pathological type, tumour stage, and haematological markers measured 1 week before treatment (such as carcinoembryonic antigen,

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neuron-specific enolase [NSE], white blood cell count, haemoglobin, and platelet levels)—were collected and initially analysed via univariate regression. Factors with p < 0.05 underwent multivariate regression, and variables remaining significant (p < 0.05) were incorporated into a clinical prediction model built through logistic regression. PyRadiomics was used for radiomic feature extraction (v3.0.1; https://github.com/radiomics/pyradiomics) (29). Statistical modeling was conducted in R (v3.5.1; https://www.r-project.org/). SPSS (v26.0, IBM Corp., Armonk, NY, USA) handled descriptive statistics.

Combination models were constructed using logistic regression with selected radiological and clinical features. Model performance was evaluated through Receiver Operating Characteristic (ROC) curves, Area Under the Curve (AUC), accuracy, precision, recall, and Decision Curve Analysis (DCA). Statistical significance was defined as p < 0.05 for all hypothesis tests.

#### 3 Results

A total of 101 participants met the inclusion criteria. Patients were recruited from the SAHGMU (n = 77), Guiyang Pulmonary Hospital (n = 13), Qiandongnan Prefecture People's Hospital (n = 5), Qiannan Prefecture Traditional Chinese Medicine Hospital

(n=6). Table 1 shows the clinical information. Guizhou—an ethnically diverse province in southwest China—is home to all four treatment centres included in this study. The principal ethnic groups were Han (39.60%), Miao (29.70%), and Dong (25.74%). The training cohort and external validation cohort exhibited comparable treatment efficacy rates (p>0.05). Table 2 presents the relationship between clinical features and CCRT treatment sensitivity. After screening, only haemoglobin was significantly correlated with CCRT treatment sensitivity. However, as shown in Table 3, the haemoglobin-based clinical model underperformed among the models, with an AUC of 60.65% in the training set and 65.00% in the validation set.

Following the F-test and Lasso regression feature selection, six radiomic features were selected for GTVp (lung window) and four for GTVnd (mediastinal window). Figure 2 and Table 4 illustrate the distribution of these selected features. The predictive performance of the radiological models is shown in Figure 3 and Table 3. In the training set, the composite model—incorporating GTVp, GTVnd, and clinical features—achieved the highest AUC (0.862). The second-ranked model was the GTVp-only model (AUC: 0.853). The GTVnd-only model yielded the lowest performance (AUC: 0.734). In the external validation set, the composite model again demonstrated the highest accuracy (AUC: 0.863). The GTVp + GTVnd combination ranked

TABLE 1 Baseline characteristics of patients.

Variables	Categories	Total ( <i>n</i> = 101)	Training ( <i>n</i> = 77)	External validation (n = 24)	Р
Sex, n (%)	Female	17 (16.83)	15 (19.48)	2 (8.33)	0.583
	Male	84 (83.17)	62 (80.52)	22 (91.67)	
Age, n (%)	≤50	17 (16.83)	15 (19.48)	2 (8.33)	0.336
	>50	84 (83.17)	62 (80.52)	22 (91.67)	
Ethnicity, n (%)	Miao	30 (29.70)	27 (35.06)	3 (12.50)	0.005
	Dong	26 (25.74)	22 (28.57)	4 (16.67)	
	Han	40 (39.60)	23 (29.87)	17 (70.83)	
	Others	5 (4.95)	5 (6.49)	0 (0.00)	
Efficacy, n (%)	CR/PR	28 (27.72)	24 (31.17)	4 (16.67)	0.166
	SD/PD	73 (72.28)	53 (68.83)	20 (83.33)	
Histology, n (%)	LUSC	65 (64.36)	46 (59.74)	19 (79.17)	0.238
	LUAD	31 (30.69)	26 (33.77)	5 (20.83)	
	Other	5 (4.95)	5 (6.49)	0 (0.00)	
TNM, n (%)	III	67 (66.34)	53 (68.83)	14 (58.33)	0.342
	IV	34 (33.66)	24 (31.17)	10 (41.67)	
CEA, n (%)	Normal	59 (58.42)	45 (58.44)	14 (58.33)	0.993
	Elevated	42 (41.58)	32 (41.56)	10 (41.67)	
NSE, n (%)	Normal	72 (71.29)	55 (71.43)	17 (70.83)	0.955
	Elevated	29 (28.71)	22 (28.57)	7 (29.17)	
WBC, n (%)	Reduced	3 (2.97)	3 (3.90)	0 (0.00)	0.548
	Normal	86 (85.15)	66 (85.71)	20 (83.33)	
	Elevated	12 (11.88)	8 (10.39)	4 (16.67)	
Hb, n (%)	Reduced	71 (70.30)	53 (68.83)	18 (75.00)	0.564
	Normal	30 (29.70)	24 (31.17)	6 (25.00)	
PLT, n (%)	Reduced	5 (4.95)	3 (3.90)	2 (8.33)	0.567
	Normal	89 (88.12)	69 (89.61)	20 (83.33)	
	Elevated	7 (6.93)	5 (6.49)	2 (8.33)	

LUSC, Lung squamous cell carcinoma; LUAD, Lung adenocarcinoma; CEA, carcinoembryonic antigen; NSE, neuron specific enolase; WBC, White blood cell; Hb, Hemoglobin; PLT, Platelet; Alb, Albumin.

second (AUC: 0.800), the GTVp-only model placed third (AUC: 0.775), and the GTVnd-only model performed poorest (AUC: 0.375).

The DeLong test on the external validation set ROC data (Table 5) showed no statistically significant difference between the composite model and the conventional GTVp model (p=0.14). Considering the limited sample size of the validation cohort (n=24), we conducted clinical decision curve analysis to evaluate real-world utility. As shown in Figure 4, the composite model provided a superior net benefit across threshold probabilities compared to both the conventional clinical model and the GTVp model.

## 4 Discussion

In this study, our radiomic models outperformed the clinical factor model in predicting treatment outcomes. At present, the most commonly used guideline for tumour evaluation is RECIST 1.1; however, metabolic changes in tumour cells induced by chemotherapy

and radiation therapy may become apparent earlier than morphological changes (30, 31). While radiation and chemotherapeutic agents effectively inhibit tumour cell proliferation, their structural impact can manifest slowly, making it difficult to detect short-term treatment effects through conventional imaging. Unlike RECIST 1.1, radiomics extracts pre-treatment data from the tumour, thus enabling an earlier assessment of treatment sensitivity before therapy is complete.

Among the 101 patients analysed, decreased haemoglobin emerged as the only clinical feature associated with CCRT sensitivity. Haemoglobin is critical for oxygen transport to tissues. When haemoglobin levels are low, increased anoxia in tumour cells leads to reduced sensitivity to radiotherapy and chemotherapy, ultimately weakening the therapeutic effect (32). In our patient population, over 70% presented with low haemoglobin levels prior to treatment. This could be explained by several factors. First, dietary habits among middle-aged and elderly individuals in Guizhou, who tend to eat more vegetables than meat, can result in insufficient iron intake and anaemia. Second, compromised immunity in cancer patients elevates

TABLE 2 Clinical model: clinical features related to CCRT sensitivity.

Variables	Univariate analysis		Multivariate analysis		
	OR (95%CI)	Р	OR (95%CI	) P	
Sex					
Female	1.00 (Reference)				
Male	0.77 (0.23 ~ 2.60)	0.673			
Age (years)					
≤50	1.00 (Reference)				
>50	0.13 (0.02 ~ 1.05)	0.055			
Ethnicity					
Miao	1.00 (Reference)				
Dong	2.10 (0.61 ~ 7.23)	0.239			
Han	1.17 (0.42 ~ 3.22)	0.766			
Others	2.00 (0.20 ~ 20.33)	0.558			
Histology					
LUSC	1.00 (Reference)				
LUAD	0.59 (0.23 ~ 1.50)	0.270			
Other	1.31 (0.14 ~ 12.55)	0.817			
TNM					
III	1.00 (Reference)				
IV	3.03 (1.04 ~ 8.88)	0.043			
CEA					
Normal	1.00 (Reference)				
Elevated	1.40 (0.57 ~ 3.46)	0.459			
NSE					
Normal	1.00 (Reference)				
Elevated	0.51 (0.20 ~ 1.28)	0.149			
WBC					
Reduced	1.00 (Reference)				
Normal	0.00 (0.00 ~ Inf)	0.991			
Elevated	0.00 (0.00 ~ Inf)	0.991			
Hb					
Normal	1.00 (Reference)		1.00 (Reference)		
Reduced	2.85 (1.14 ~ 7.16)	0.025	2.85 (1.14 ~ 7.16)	0.025	
PLT					
Reduced	1.00 (Reference)				
Normal	4.57 (0.72 ~ 29.14)	0.108			
Elevated	2.00 (0.19 ~ 20.61)	0.560			

OR: Odds Ratio, CI: Confidence Interval; LUSC, Lung squamous cell carcinoma; LUAD, Lung adenocarcinoma; CEA, carcinoembryonic antigen; NSE, neuron specific enolase; WBC, White blood cell; Hb, Hemoglobin; PLT, Platelet.

their risk of secondary infections, which may lead to the excessive destruction of red blood cells. Third, acute and chronic bleeding (e.g., haemoptysis) often associated with lung cancer can further exacerbate anaemia in these patients.

Although the validation set showed that the GTVnd radiomics model alone had a relatively poor predictive performance (AUC: 0.375) compared to the GTVp model (AUC: 0.775), these findings indicate that, in standard CT-based radiomics models for stage

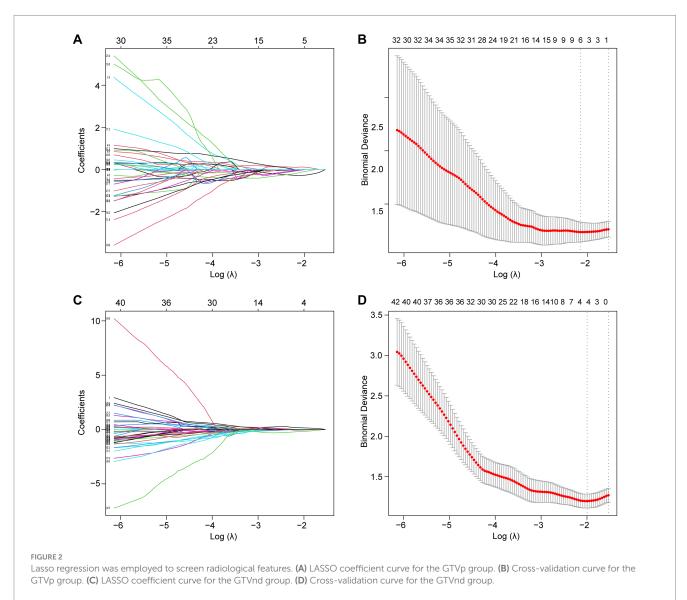
III - IV lung cancer, primary tumour features may be more influential than those of metastatic mediastinal lymph nodes. In our study, the radiological features of metastatic mediastinal lymph node lesions sensitive to CCRT all originated from "wavelets" a phenomenon that warrants further inquiry. Moreover, the absence of comprehensive PET/CT scans or mediastinal lymph node biopsies in some patients may have limited the precision of GTVnd delineation, as radiation oncologists relied solely on conventional imaging criteria (e.g., short

TABLE 3 Performance of the models.

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Model	Accuracy	Precision	Recall	F1-score	AUC
Clinical					
Training set	68.83%	68.83%	100.00%	81.54%	60.65%
Validation set	83.33%	83.33%	100.00%	90.91%	65.00%
GTVp					
Training set	83.12%	85.71%	90.57%	88.07%	85.53%
Validation set	79.17%	82.61%	95.00%	88.37%	77.50%
GTVnd					
Training set	79.22%	80.33%	92.45%	85.96%	73.43%
Validation set	83.33%	86.36%	85.00%	90.48%	37.50%
GTVp + GTVnd					
Training set	83.12%	84.48%	92.45%	88.29%	85.30%
Validation set	83.33%	83.33%	100.00%	90.91%	80.00%
Composite model (GTVp +	- GTVnd + clinical)				
Training set	83.12%	84.48%	92.45%	88.29%	86.16%
Validation set	83.33%	83.33%	100.00%	90.91%	86.25%

Data in parentheses are 95% CIs. AUC, area under the curve; GTVp, gross tumor volume of the primary lesion; GTVnd, gross tumor volume of nodal disease.



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diameter  $\geq 1$  cm or at least three clustered lymph nodes in one region), potentially resulting in a reduced diagnostic rate for positive mediastinal lymph nodes.

We also noted that integrating clinical features with radiological data led to superior predictive performance compared to radiological models alone. The radiomics model combining GTVp and GTVnd (AUC: 0.800) outperformed the individual GTVp and GTVnd models. We compared our model not only to our own previous models but also to similar studies, such as: 1. A 2022 study that used a radiomics nomogram based

TABLE 4 Selected radiological features.

GTVp	GTVnd
lbp.3D.k_glszm_ GrayLevelNonUniformityNormalized	wavelet. LHL_firstorder_10Percentile
lbp.3D.k_glrlm_ RunLengthNonUniformityNormalized	wavelet. LHL_glcm_Contrast
original_shape_Sphericity	wavelet. HLH_glszm_ SizeZoneNonUniformityNormalized
square_glcm_Imc2	wavelet. LLL_firstorder_ InterquartileRange
squareroot_glcm_Correlation	
exponential_glrlm_ RunLengthNonUniformity	

solely on CT-derived GTVp and clinical features to predict chemoradiotherapy efficacy in locally advanced non-small cell lung cancer, with a training set C-index of 0.796 and a validation set C-index of 0.756 (17); 2. A 2023 study developed a radiomics model based on CT-derived GTVp to predict concurrent chemoradiotherapy in patients with locally advanced non-small cell lung cancer. The study reported that the AUC for the GTV reduction (Criteria A) model was 0.767, while the AUC for the RECIST 1.1 standard (Criteria B) model was 0.771 (16). In contrast, our composite model (GTVp + GTVnd + clinical characteristics) achieved higher AUCs in both the training set (0.862) and the validation set (0.863). Further analysis revealed that the GTVnd features added critical information: (1) "wavelet. LHL\_firstorder\_10Percentile" quantifies low-intensity pixels in regions with vertical textural detail; (2) "wavelet. LHL\_glcm\_Contrast" captures roughness/heterogeneity of vertical textures and sensitivity to directional structures; (3) "wavelet. HLH\_ glszm\_SizeZoneNonUniformityNormalized" indicates lesion size heterogeneity; (4) "wavelet. LLL\_firstorder\_InterquartileRange" stably quantifies slow-varying grayscale distribution in anatomical structures. The inclusion of these GTVnd radiomic features enhanced the model's efficacy.

In conclusion, our composite model (AUC = 0.863) demonstrated notably better performance than the conventional GTVp model (AUC = 0.775), indicating that including GTVnd radiological features can significantly improve the predictive capacity of CT-based models for CCRT outcomes. Decision curve analysis further confirmed that the composite model provided higher accuracy than the GTVp model alone,

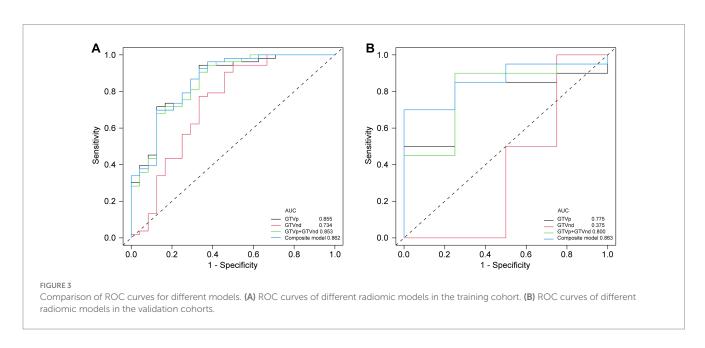
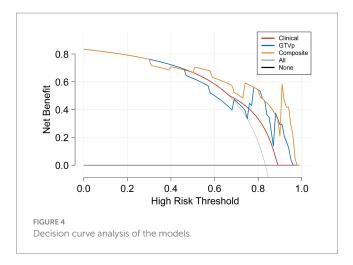


TABLE 5 DeLong test for AUC values of the validation set.

Model	Clinical	GTVp	GTVnd	GTVp+GTVnd	Composite model
Clinical	1	0.59	0.04	0.55	0.26
GTVp	0.59	1	0.23	0.57	0.14
GTVnd	0.04	0.23	1	0.23	0.09
GTVp + GTVnd	0.55	0.57	0.23	1	0.40
Composite model	0.26	0.14	0.09	0.40	1

GTVp, gross tumor volume of the primary lesion; GTVnd, gross tumor volume of nodal disease.



highlighting the importance of incorporating additional radiomic features and clinical data in treatment response predictions. This study is the first to show that CT-based radiomic models integrating GTVnd, GTVp, and clinical information can meaningfully enhance CCRT response prediction in unresectable stage III–IV NSCLC. By extracting a broader range of radiomic features, the composite model offers a more comprehensive assessment of the tumour's biological characteristics, potentially facilitating more individualized cancer treatment strategies. Overall, our findings emphasize the importance of including GTVnd in CT imaging analyses, reinforcing the need for a holistic approach to tumour evaluation.

Despite these promising results, our study has several limitations. First, the use of various CT scanners across four different institutions may have introduced variability in imaging parameters. To reduce this effect, all CT scans were normalized and reconstructed into 1-mm slices. Second, not all patients underwent PET/CT or mediastinal lymph node biopsies, potentially impacting the precision of GTVnd delineation. Previous research indicates that PET/CT is more accurate than conventional CT for detecting malignant lymph nodes (33, 34). Consequently, future research should incorporate PET/CT or biopsy before CCRT to better define GTVnd and improve model accuracy. Third, a single radiation oncologist performed all ROI delineations, restricting our ability to assess inter-observer consistency in radiomic feature extraction. Fourth, due to a relatively small sample size, larger studies are necessary to validate these findings.

# 5 Conclusion

This study demonstrates that a CT-based model integrating GTVp, GTVnd, and clinical data surpasses the conventional GTVp radiological model in predicting CCRT efficacy for patients with unresectable stage III–IV NSCLC. Such an approach may allow for earlier adjustments to treatment regimens for patients expected to have less favourable outcomes.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# **Ethics statement**

The studies involving humans were approved by the Second Affiliated Hospital of Guizhou Medical University Ethics Committee. The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

# **Author contributions**

YL: Conceptualization, Data curation, Investigation, Writing original draft, Writing - review & editing. MZhang: Conceptualization, Data curation, Investigation, Writing - original draft, Writing - review & editing. YH: Data curation, Writing - original draft, Investigation. DZ: Data curation, Investigation, Writing - original draft. BD: Data curation, Investigation, Writing - original draft. YM: Data curation, Investigation, Writing - original draft. TH: Data curation, Investigation, Writing - original draft. MZhao: Data curation, Investigation, Writing - original draft. BeL: Investigation, Writing original draft. JX: Investigation, Writing - original draft. ZH: Investigation, Writing - original draft. FL: Investigation, Writing original draft, Resources, Supervision. BiL: Investigation, Supervision, Writing - original draft, Conceptualization, Data curation, Methodology. JP: Conceptualization, Data curation, Supervision, Writing - original draft, Funding acquisition, Project administration, Resources, Software, Validation, Writing - review & editing.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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