



Sc-ncDNAPred: A Sequence-Based Predictor for Identifying Non-coding DNA in *Saccharomyces cerevisiae*

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With the rapid development of high-speed sequencing technologies and the implementation of many whole genome sequencing project, research in the genomics is advancing from genome sequencing to genome synthesis. Synthetic biology technologies such as DNA-based molecular assemblies, genome editing technology, directional evolution technology and DNA storage technology, and other cutting-edge technologies emerge in succession. Especially the rapid growth and development of DNA assembly technology may greatly push forward the success of artificial life. Meanwhile, DNA assembly technology needs a large number of target sequences of known information as data support. Non-coding DNA (ncDNA) sequences occupy most of the organism genomes, thus accurate recognizing of them is necessary. Although experimental methods have been proposed to detect ncDNA sequences, they are expensive for performing genome wide detections. Thus, it is necessary to develop machine-learning methods for predicting non-coding DNA sequences. In this study, we collected the ncDNA benchmark dataset of Saccharomyces cerevisiae and reported a support vector machine-based predictor, called Sc-ncDNAPred, for predicting ncDNA sequences. The optimal feature extraction strategy was selected from a group included mononucleotide, dimer, trimer, tetramer, pentamer, and hexamer, using support vector machine learning method. Sc-ncDNAPred achieved an overall accuracy of 0.98. For the convenience of users, an online web-server has been built at: http://server.malab.cn/Sc_ ncDNAPred/index.jsp.

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INTRODUCTION

After the implementation of many whole genome sequencing projects, more and more researches showed that non-coding DNA (ncDNA) is a major component of the biological genome. Numerous studies (Vogel, 1964; Thomas, 1971; Eddy, 2012; Puente et al., 2015; Liu et al., 2017a; Yao et al., 2018) have shown that the complexity of organisms is related to the length of non-coding regions, which are specially transcribed in physiological and disease states. Although the function of most ncDNAs is still unknown(Khurana et al., 2016), some studies (Horn et al., 2013; Huang et al., 2013; Vinagre et al., 2013; Puente et al., 2015; Hu et al., 2017, 2018; Rheinbay et al., 2017; Liao et al., 2018; Zhang W. et al., 2018) have shown that most cancer-related gene mutations are located in

ncDNA regions. How ncDNAs specifically affect tumor formation is also an urgent problem to be solved. In addition, ncDNAs in the genome play an important role in gene expressing, regulatory, and inheritance (Khurana et al., 2016).

Especially, with the rapid growth and development of synthetic biology, research in the genomics is advancing from genome sequencing to genome synthesis (Erlich and Zielinski, 2017; Jain et al., 2018; Liu B. et al., 2018). In recent years, various DNA assembly technologies (Ni et al., 2017; Wu et al., 2017; Xie et al., 2017; Zhang et al., 2017b) have been developed according to the principles of atypical enzyme cut connection (Engler et al., 2009; Sleight et al., 2010), single strand annealing and splicing (Gibson et al., 2009; Li and Elledge, 2012) and PCR (Warrens et al., 1997), which provide more rapid technical support for synthetic biology. In the following years, people are committed to improving the efficiency of large scale DNA assembly technologies. With the rapid development of the computer network and the popularity of the Internet, the number of digital information, such as network data, audio data, and video data, is increasing rapidly. It is urgent to establish a new system which has more efficiency than the existing storage system. DNA storage technology (Baum, 1995; Davis, 1996; Carr and Church, 2009) can meet the requirements above. In a new study (Shipman et al., 2017), the researchers introduced a method that encode images and video images into the genome of the Escherichia coli and read the corresponding images and videos from the genome of living bacterial cells. All the above studies require a large amount of DNA data.

As a complex type of genetic information, DNA sequences have specific characteristics not only in the coding sequence (cDNA) but also in the ncDNA sequences. Currently, the identification of cDNAs and ncDNAs relies mainly on experimental methods. However, traditional experimental methods are time-consuming and laborious, and the amount of genomic data is large and the sequence types are complex. In this context, there is an urgent need to establish accurate and efficient prediction methods to mine the information and knowledge of ncDNAs and cDNAs. Computational methods, which achieve a complementary effect, indeed effectively improved the recognition accuracy (Zhou et al., 2016).

In this study, a SVM-based computational method was first established to recognize the ncDNA sequences in *Saccharomyces cerevisiae* (*S. cerevisiae*). Totally several types of features, such as mononucleotide composition (MNC), dimer nucleotide composition (DNC), trimer nucleotide composition (TNC), tetramer nucleotide composition (TrNC), pentamer nucleotide composition (PNC), and hexamer nucleotide composition (HNC) were extracted. The optimal feature extraction strategy was selected using SVM machine learning method. The workflow of constructing the Sc-ncDNAPred model is shown in **Figure 1**.

METHODS

Benchmark Dataset

In this study, the benchmark dataset was derived from the Ensembl genome database project (Hubbard et al., 2002), which is one of several well-known genome browsers for the retrieval of genomic information. Experimentally validated cDNA sequences of *S. cerevisiae* were extracted from their database, which contains 6713 samples. Intercepting the ncDNAs of the *S. cerevisiae* based on the initial marker information of the coding region provided by the original genomic data. By doing so, we obtained 6410 ncDNA samples. To get rid of redundancy, the CD-HIT (Li and Godzik, 2006) was adopted to remove those sequences that had \geq 75% sequence identity. Finally, we obtained 6030 and 6251 samples in ncDNAs and cDNAs, respectively. Thus, the benchmark dataset can be formulated as

$$S = S^+ \cup S^- \tag{1}$$

where S^+ contained 6030 ncDNA samples, S^- contained 6251 cDNA samples and the symbol \cup means the 'union' in the set theory.

The length distribution of ncDNA samples was shown in **Figure 2**. According to the graph, the length distribution of ncDNA is mainly between 100 and 800.

Feature Vector Construction

A sample can be simplified by a convenience form as:

$$P = R_1 R_2 R_3 R_4 \dots R_{L-1} R_L$$
 (2)

where R_i ($i = 1, 2, 3 \dots L$) represents the nucleotide at *i*-th position in one sequence.

K-mer Composition

K-mer nucleotide composition has been applied in many fields of bioinformatics (Liu et al., 2015b,c; Kim et al., 2017; Matias Rodrigues et al., 2017; Orenstein et al., 2017; Liu, 2018; Liu X. et al., 2018; Rangavittal et al., 2018). MNC equate to k = 1, DNC equate to k = 2, TNC equate to k = 3, TrNC equate to k = 4, PNC equate to k = 5, HNC equate to k = 6. The occurrence frequency of k - mer(i) can be represented as:

$$f_i^k = f(k - mer(i)) = \frac{n_i^k}{L - k + 1}$$

(i = 1, 2, ..., 4^k; k = 1, 2, 3, 4, 5, 6) (3)

where n_i^k denote the number of the *i*-th *k*-mer, *L* is the length of the sample sequence. Thus, each DNA sample can be defined feature vectors in different dimension of size 4^k . The generalized form of whole feature vectors *X* can be given by:

$$X = [f_1^k, f_2^k, \cdots, f_i^k, \cdots f_{4^k}^k]^T$$
(4)

Feature Ranking

Each sample sequence was represented by a large set of features, which leads to the redundant information (Wei and Billings, 2007; Senawi et al., 2017). In order to distinguish the contribution of different features to the prediction model. To analyze these feature vectors, *F-score* method (Chen W. et al., 2016; Jia and He, 2016; Tang et al., 2016, 2018; He and Jia, 2017) was adopted to





rank the feature, in this study. The *F*-score value of the *i*-th feature is defined as:

$$F-score(i) = \frac{(\bar{x}_{i}^{(+)} - \bar{x}_{i})^{2} + \bar{x}_{i}^{(-)} - \bar{x}_{i}^{2}}{\frac{1}{n^{+}-1} \sum_{k=1}^{n^{+}} (x_{k,i}^{(+)} - \bar{x}_{i}^{(+)})^{2} + \frac{1}{n^{-}-1} \sum_{k=1}^{n^{-}} (x_{k,i}^{(-)} - \bar{x}_{i}^{(-)})^{2}}$$
(5)

where \bar{x}_i , $\bar{x}_i^{(+)}$ and $\bar{x}_i^{(-)}$ are the average values of the *i*-th feature in whole, ncDNA and cDNA datasets, respectively. n^+ represents the number of ncDNA training samples, n^- represents the number of cDNA training samples, $x_{k,i}^{(+)}$ represents the *i*-th feature of the *k*-th ncDNA sample and $x_{k,i}^{(-)}$ represents the *i*-th feature of the *k*-th cDNA sample. Obviously, the feature with a greater score value indicates that it has a better discrimination ability.

Support Vector Machine

Support vector machine (SVM) (Hearst et al., 1998) is a widely used two-class classification algorithm based on statistical learning theory. It has been proven to be powerful in many fields of pattern recognition and data classification (Byun and Lee, 2002; Nasrabadi, 2007; Zhang N. et al., 2018;). More and more applications also proved that SVM also has strong data processing capabilities in the fields of bioinformatics (Xiong et al., 2011; Jia et al., 2013, 2017; Cao et al., 2014; Liu et al., 2014, 2017b; Wei et al., 2015; Chen X. X. et al., 2016; Jia and He, 2016; Yang et al., 2016; Zou et al., 2016; Xiao et al., 2017; Qiao et al., 2018; Su et al., 2018). A set of ncDNA samples and cDNA samples were represented by the feature vectors. The SVM classifies the data by mapping the input feature vectors to a high-dimensional feature space using a kernel function. In this study, the public LIBSVM package (Chang and Lin, 2011) was implemented to train models for discriminating between ncDNA sequences and cDNA sequences. Here, the radial basis function (RBF) $K(S_i, S_j) = exp(-\gamma ||S_i - S_j||^2)$ was set as the

 TABLE 1 | The 10-fold cross-validation results by different feature methods on the benchmark dataset.

Methods	Sn (%)	Sp (%)	ACC (%)	мсс
MNC	80.56	87.02	83.85	0.678
DNC	92.64	92.62	92.64	0.853
TNC	96.62	97.22	96.93	0.939
TrNC	98.01	98.51	98.26	0.965
PNC	95.25	95.84	95.56	0.911
HNC	90.71	92.25	91.49	0.830
All Features	95.99	96.08	96.03	0.921

The experiments have been executed 5 times and the results were the mean values.



kernel function. The penalty parameter *C* and kernel parameter were preliminarily optimized through a grid search strategy.

Performance Evaluation

K-fold cross-validation (Chou and Zhang, 1995; Kohavi, 1995; Zhang et al., 2012a,b, 2015; Liu et al., 2015a; Chen X. et al., 2016; Li et al., 2016; Luo et al., 2016; Chen et al., 2017b, 2018a,b; Pan et al., 2017a; Xu et al., 2017; He et al., 2018) is one of the widely used approach to examine the ability of prediction model, and other approaches: independent dataset test and jackknife test (Chou and Shen, 2008) are also used in many applications. To reduce the computational cost, 10-fold cross validation was used to examine each model for its effectiveness in identifying ncDNA sequences. The training dataset were randomly divided into 10 subsets of approximately the same size. In each iteration, one subset was chosen as the test set and the remaining 9 subsets were used to train the model. For a complete cycle of a 10-fold crossvalidation, the process was repeated 10 times until each subset was chosen as a test set. This 10-fold cross-validation procedure was repeated five times, then the results were averaged.

To evaluate the prediction performance of the models, five classic metrics were computed (Chou, 2001; Qiu et al., 2015, 2016; Liu et al., 2017; Pan et al., 2017b; Zhang et al., 2017a; Tang et al., 2018; Yang et al., 2018), including sensitivity (Sn), specificity (Sp), accuracy (Acc), Matthew correlation coefficient (MCC), and the receiver operating characteristic (ROC). These measurements were defined as:

$$Sn = 1 - \frac{N_{-}^{+}}{N^{+}}$$

$$Sp = 1 - \frac{N_{+}^{-}}{N^{-}}$$

$$Acc = 1 - \frac{N_{-}^{+} + N_{+}^{-}}{N^{+} + N^{-}}$$

$$MCC = \frac{1 - (\frac{N_{-}^{+}}{N^{+}} + \frac{N_{+}^{-}}{N^{-}})}{\sqrt{(1 + \frac{N_{+}^{-} - N_{+}^{-}}{N^{+}})(1 + \frac{N_{-}^{+} - N_{+}^{-}}{N^{-}})}$$
(6)



TABLE 2 | Rules of composition of heat map.

AAAA	AAAC	AACA	AACC	ACAA	ACAC	ACCA	ACCC	CAAA	CAAC	CACA	CACC	CCAA	CCAC	CCCA	CCCC
AAAG	AAAT	AACG	AACT	ACAG	ACAT	ACCG	ACCT	CAAG	CAAT	CACG	CACT	CCAG	CCA	CCCG	CCCT
AAGA	AAGC	AATA	AATC	ACGA	ACGC	ACTA	ACTC	CAGA	CAGC	CATA	CATC	CCGA	CCGC	CCTA	CCTC
AAGG	AAGT	AATG	AATT	ACGG	ACGT	ACTG	ACTT	CAGG	CAG	CATG	CATT	CCGG	CCG	CCTG	CCTT
AGAA	AGAC	AGCA	AGCC	ATAA	ATAC	ATCA	ATCC	CGAA	CGAC	CGCA	CGCC	CTAA	CTAC	CTCA	CTCC
AGAG	AGAT	AGCG	AGCT	ATAG	ATAT	ATCG	ATCT	CGAG	CGAT	CGCG	CGCT	CTAG	CTAT	CTCG	CTCT
AGGA	AGGC	AGTA	AGTC	ATGA	ATGC	ATTA	ATTC	CGGA	CGGC	CGTA	CGTC	CTGA	CTGC	CTTA	CTTC
AGGG	AGGT	AGTG	AGTT	ATGG	ATGT	ATTG	ATTT	CGGG	CGGT	CGTG	CGTT	CTGG	CTGT	CTTG	CTTT
GAAA	GAAC	GACA	GACC	GCAA	GCAC	GCCA	GCCC	TAAA	TAAC	TACA	TACC	TCAA	TCAC	TCCA	TCCC
GAAG	GAAT	GACG	GACT	GCAG	GCAT	GCCG	GCCT	TAAG	TAAT	TACG	TACT	TCAG	TCAT	TCCG	TCCT
GAGA	GAGC	GATA	GATC	GCGA	GCGC	GCTA	GCTC	TAGA	TAGC	TATA	TATC	TCGA	TCGC	TCTA	TCTC
GAGG	GAGT	GATG	GATT	GCGG	GCGT	GCTG	GCTT	TAGG	TAGT	TATG	TATT	TCGG	TCGT	TCTG	TCTT
GGAA	GGAC	GGCA	GGCC	GTAA	GTAC	GTCA	GTCC	TGAA	TGAC	TGCA	TGCC	TTAA	TTAC	TTCA	TTCC
GGAG	GGAT	GGCG	GGCT	GTAG	GTAT	GTCG	GTCT	TGAG	TGAT	TGCG	TGCT	TTAG	TTAT	TTCG	TTCT
GGGA	GGGC	GGTA	GGTC	GTGA	GTGC	GTTA	GTTC	TGGA	TGGC	TGTA	TGTC	TTGA	TTGC	TTTA	TTTC
GGGG	GGGT	GGTG	GGTT	GTGG	GTGT	GTTG	GTTT	TGGG	TGGT	TGTG	TGTT	TTGG	TTGT	TTTG	πп

In these expressions, N^+ and N^- are the total number of ncDNA and cDNA samples, respectively, while N^+_- and N^-_+ are respectively the number of ncDNA samples incorrectly predicted as cDNA samples, and the number of cDNA samples incorrectly predicted as ncDNA samples.

RESULTS AND DISCUSSION

Prediction Results of Models

We used six types of effective feature extraction methods, such as MNC, DNA, TNC, TrNC, PNC, and HNC, as input of SVM to establish six models. The ability of each feature extraction method to discriminate between ncDNA and cDNA samples was compared by the 10-fold cross-validation (**Table 1**). As we can see from **Table 1**, the model for a combination SVM and TrNC yielded the best prediction performance, with the accuracy of 98.26%, the sensitivity of 98.01%, the specificity of 98.51%, and the MCC of 0.965, respectively. Then, the following second best prediction performance was yielded by TNC with the accuracy of 96.93%, the sensitivity of 96.62%, the specificity of 97.22%, and the MCC of 0.939, respectively. Besides, in the case of PNC, the corresponding model still obtained a good prediction results, which are 95.56% of accuracy, 95.25% of sensitivity, 95.84% of specificity and 0.911 of MCC, respectively.

To further investigate the overall prediction performance of each model, we showed the ROC curves and AUC values of different models for the 10-fold cross-validation in **Figure 3**. With the increase of k-mer value, the performance first increased and then decreased. Comparison demonstrated that the TrNC could produce the best results. Thus, the feature TrNC was adopted as the final model for Sc-ncDNAPred.

To further optimize the model, we performed multiple rounds of experiments on TrNC to select the appropriate subset of all 256 features (see Additional file 1: **Table S1** for full details); however, the results showed no significant improvement in the corresponding performance. The possible reason is that



the selected feature cannot burden enough information for the discrimination.

Compositional Analysis

To understand the 256 different tetramers bias in ncDNAs and cDNAs, a heap map was provided in **Figure 4**. Each square in the heat map corresponds to the *F-score* value of one tetramer (see **Table 2** for full details). Deep red in the heap map corresponds to a strong recognition ability.

Heap map analysis revealed that tetramers include TATA, TTTT, CAAG, CCAA, ATAT, TAAA, TGGA, TTTA, ATGG, ATAA, AATA, and CTGG are with the *F-score* values ranking



top twelve in all tetramers. In addition, we also analyzed the other *k*-mer components based on the *F*-score method, respectively. Among them, the two key nucleotides G and T from MNC, the top five key dimer nucleotide composition (TA, CG, GA, TT, and CA) from DNC, (TGG, ATA, CCA, TAT, and TTT) from TNC, (TTTTT, ATATA, TAAAA, TATAT, and TTTTA) from PNC, and (TTTTTT, ATATA, TAAAA, TATAT, TTTTTC and CTTTTT) from HNC. These key features are presented in a radar diagram (**Figure 5**). The study of these key features can deepen the understanding of the overall structure of the genome, which not only promotes the annotation of the genome, but also promotes the study of biological evolution.

Comparison With Other Classifiers

To the best of our knowledge, this is the first time that machine learning method has been used to identify ncDNA in S. cerevisiae. In order to further testify the superiority of proposed model Sc-ncDNAPred, the predictive results of it were compared with that of other powerful and widely used classifiers, i.e., k-Nearest Neighbor (KNN), Naïve Bayes, Random Forest, and J48 Tree as implemented in WEKA (Frank et al., 2004).The 10-fold cross validation results of these four classifier for identifying ncDNA in the same benchmark dataset were shown in Additional file 1: **Table S2**. The results showed that the four metrics as defined in Eq. 6 of the proposed model Sc-ncDNAPred are all higher than those of k-Nearest Neighbor (KNN), Naïve Bayes, Random Forest, and J48 Tree.

Web-Server

Based on the benchmark dataset defined in Eq.1, a predictor called Sc-ncDNAPred was established, where "Sc" stands for

S. cerevisiae and "Pred" stands for "Prediction." For conveniences of users' community, a step-by-step guide about how to use the web-server is provided as follows:

Step 1. Open the web-server at: http://server.malab.cn/Sc_ ncDNAPred/index.jsp, you will see the home page of ScncDNAPred, as shown in **Figure 6**. Click the "About" button to see a brief introduction of the server.

Step 2. Paste the query DNA sequences into the input box. The input sequence should be in FASTA format. For the example of DNA sequences in FASTA format, click the "example" button top above the input box.

Step 3. Click on the "Submit" button to start the prediction. If the prediction result of a sequence is positive, its output is "ncDNA." Otherwise, its output is "cDNA."

Step 4. Click on the "DataSet" button to download the benchmark dataset.

Step 5. Click on the "Contact" button to contact us.

CONCLUSIONS

DNA assembly technology needs a large number of target sequences of known information as data support. Non-coding DNA (ncDNA) sequences occupy most of the organism genomes, thus accurate recognizing of them is necessary. In this study, an efficient computational model was proposed to identify ncDNAs in *S. cerevisiae*. The tetramer nucleotide composition (TrNC) was adopted to extract features. The *F-score* method was used to analyze these feature vectors and find the key features. The high accuracy indicated that Sc-ncDNAPred was a powerful tool for predicting ncDNA. Finally, a free webserver was developed based on the proposed model. We hope that the predictor will provide convenience to most of scholars. Currently, annotations for the genomic sequences of most species are lacking or unavailable. To analyze the ncDNA data of these organisms, we can obtain data and methodological support in a cross-species manner from annotated species. For example, we could try to use the model built from *S. cerevisiae* dataset to analyze other species of bacteria that have not been explored in depth. In addition, we will also apply this computational model for the prediction of potential disease related non-coding DNA. In the future, we will apply this computational model for the prediction of potential disease related non-coding RNA (Chen and Huang, 2017; Chen et al., 2017a, 2018c,d; You et al., 2017).

AUTHOR CONTRIBUTIONS

WH, QZ, and XL wrote the paper. XZ and YJ participated in preparation of the manuscript. QZ, WH, XL, XZ, and YJ participated in the research design. WH and QZ developed the web server. WH, YJ, XZ, XL, and QZ read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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