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EDITED BY

Andreas R. Luft,
University of Zurich, Switzerland

REVIEWED BY

Shuo-Hsiu Chang,
University of Texas Health Science
Center at Houston, United States
Birgitta Langhammer,
Oslo Metropolitan University, Norway

*CORRESPONDENCE

Shraddha Srivastava
srivasts@muscu.edu

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The relationship between motor pathway damage and flexion-extension patterns of muscle co-excitation during walking

Shraddha Srivastava^{1,2*}, Bryant A. Seamon^{1,2,3},
Barbara K. Marebwa⁴, Janina Wilmskoetter²,
Mark G. Bowden^{1,2,3}, Chris M. Gregory^{1,2,3}, Na Jin Seo^{1,2,5},
Colleen A. Hanlon⁶, Leonardo Bonilha⁴, Truman R. Brown⁷,
Richard R. Neptune⁸ and Steven A. Kautz^{1,2,3}

¹Ralph H. Johnson VA Medical Center, Charleston, SC, United States, ²Department of Health Sciences and Research, College of Health Professions, Medical University of South Carolina, Charleston, SC, United States, ³Division of Physical Therapy, Department of Rehabilitation Sciences, College of Health Professions, Medical University of South Carolina, Charleston, SC, United States, ⁴Department of Neurology, College of Medicine, Medical University of South Carolina, Charleston, SC, United States, ⁵Division of Occupational Therapy, College of Health Professions, Medical University of South Carolina, Charleston, SC, United States, ⁶Department of Cancer Biology, Wake Forest School of Medicine, Winston-Salem, NC, United States, ⁷Department of Radiology and Radiological Science, College of Medicine, Medical University of South Carolina, Charleston, SC, United States, ⁸Walker Department of Mechanical Engineering, The University of Texas at Austin, Austin, TX, United States

Background: Mass flexion-extension co-excitation patterns during walking are often seen as a consequence of stroke, but there is limited understanding of the specific contributions of different descending motor pathways toward their control. The corticospinal tract is a major descending motor pathway influencing the production of normal sequential muscle coactivation patterns for skilled movements. However, control of walking is also influenced by non-corticospinal pathways such as the corticoreticulospinal pathway that possibly contribute toward mass flexion-extension co-excitation patterns during walking. The current study sought to investigate the associations between damage to corticospinal (CST) and corticoreticular (CRP) motor pathways following stroke and the presence of mass flexion-extension patterns during walking as evaluated using module analysis.

Methods: Seventeen healthy controls and 44 stroke survivors were included in the study. We used non-negative matrix factorization for module analysis of paretic leg electromyographic activity. We typically have observed four modules during walking in healthy individuals. Stroke survivors often have less independently timed modules, for example two-modules presented as mass flexion-extension pattern. We used diffusion tensor imaging-based analysis where streamlines connecting regions of interest between the cortex and brainstem were computed to evaluate CST and CRP integrity. We also used a coarse classification tree analysis to evaluate the relative CST and CRP contribution toward module control.

Results: Interhemispheric CST asymmetry was associated with worse lower extremity Fugl-Meyer score ($p = 0.023$), propulsion symmetry ($p = 0.016$), and fewer modules ($p = 0.028$). Interhemispheric CRP asymmetry was associated with worse lower extremity Fugl-Meyer score ($p = 0.009$), Dynamic gait index ($p = 0.035$), Six-minute walk test ($p = 0.020$), Berg balance scale ($p = 0.048$), self-selected walking speed ($p = 0.041$), and propulsion symmetry ($p = 0.001$). The classification tree model revealed that substantial ipsilesional CRP or CST damage leads to a two-module pattern and poor walking ability with a trend toward increased compensatory contralesional CRP based control.

Conclusion: Both CST and CRP are involved with control of modules during walking and damage to both may lead to greater reliance on the contralesional CRP, which may contribute to a two-module pattern and be associated with worse walking performance.

KEYWORDS

stroke, gait, corticospinal tract (CST), corticoreticular pathways (CRP), EMG, muscle modules, abnormal synergies

Introduction

The post-stroke loss of full ability to independently activate groups of muscles from a mass flexion or extension co-excitation pattern, typically referred to as an “abnormal flexion or extension muscle synergy” by clinicians (1, 2), often limits functional walking ability after stroke (3). The Fugl-Meyer Assessment (FMA) (4) is the current gold standard measure of motor impairment post-stroke. FMA was developed to assess a person’s progressive ability to move outside of flexor or extensor synergies, based on the original work on stages of motor recovery presented by Twitchell and Brunnstrom (4). Specifically, in this framework the most severely affected stroke survivors are believed to be dependent on the flexor or extensor synergies and only have the ability to produce a stereotypical mass flexion or extension co-excitation pattern. Although, previous literature has consistently demonstrated correlations of FMA with walking performance (5–7), the FMA has limitations in evaluating lower extremity motor impairments as an indicator of ability to move outside of mass flexion or extension co-excitation during walking because it assesses motor impairments in the context of performance of discrete volitional tasks upon command and not during walking (5). Previous work from our lab has shown that co-excitation of independent muscle groups or normal muscle synergies that are associated with specific biomechanical functions, can explain motor coordination during walking (8, 9). We refer to these synergies as modules to avoid confusion with the clinical definition of flexor or extensor synergies. A reduction in the number of independent modules used by a person occurs following stroke as a result of lesser independently timed muscle

activity i.e., merging of modules (3, 10). For example, healthy individuals often use four modules during walking, but stroke survivors may present with two or three from merging of modules (3, 10). Reduced number of modules result in poorly executed biomechanical functions and hence poor walking ability (3, 11). These modules can evaluate motor coordination during post-stroke walking more appropriately than the FMA because number of modules correlates more strongly with a wide range of walking performance measures than does FMA (5). Establishing a better understanding of the relationships between the health of the underlying neural pathways, the reduced number of independent modules and the associated gait disturbances following stroke will likely facilitate identifying appropriate approaches for enhancing motor recovery and restoring gait function in stroke survivors.

The corticospinal tract (CST) is the major descending motor pathway involved in control of independent muscle activation in skilled movements (12), but its role in producing either the independent modules in neurologically healthy walking or the reduced number of modules often seen during post-stroke walking may be limited, as it is known that non-CST pathways and spinal interneuronal networks also contribute to the control of muscle activity during walking that is quantified by the modules (13, 14). Despite the contributions of other neural pathways and structures, evidence suggests that CST is involved in control of muscle activity during walking in individuals without a neurological injury (15–17). Furthermore, animal studies have demonstrated involvement of cortical control in regulating modules during reaching and walking tasks (12, 18, 19). Activation of the pyramidal tract neurons that are the origin of the CST is associated with modulating activity of small groups

of muscles at different times of the gait cycle in cats (12, 18). Therefore, descending commands from an intact CST may shape module activation patterns during walking. Research in human participants has shown that a sub-population of stroke survivors are able to walk following complete unilateral CST damage (20–22). Therefore, it appears that the CST may not be necessary to control steady-state walking (20, 23). But it is not known whether the full range of independently timed modules seen during the gait cycle of neurologically intact persons would still be present without CST involvement. It is likely that activation of muscle modules (i.e., locomotor coordination) is an interplay of CST and non-CST pathways, as well as brainstem and spinal networks (13, 14). Drew et al. (24) proposed that the corticoreticular-reticulospinal-spinal interneuronal (CRSI) network is responsible for a general motor pattern during walking that is augmented by CST tracts for modification of muscle activity specific to the task demands. Thus, we expect non-CST pathways and spinal networks may also have substantial involvement in producing muscle activity following stroke, although the modules produced by such pathways may not be the same as those used for walking by neurologically intact persons (i.e., they may be a merged combination of the intact modules).

The corticoreticular pathway (CRP) is one of the non-CST or extra-pyramidal pathways involved with normal locomotor control and recovery following a neurological injury and given that it coactivates multiple muscles bilaterally it has the potential to play a role in the expression of mass flexion and extension co-excitation of modules during walking (24). In the stroke population, Jang et al. (22) demonstrated that 34 of 54 individuals with a complete unilateral CST injury (determined *via* diffusion tensor tractography) were able to walk independently. Out of the 34 independent walkers, four subjects had an intact CRP in the affected hemisphere. Independent walkers also had significantly greater CRP fiber volume in the unaffected hemisphere than healthy individuals or stroke survivors who could not walk independently. These observations suggest possible compensation of contralesional CRP demonstrated as increased connectivity in those with complete CST damage, which may then be associated with recovery of walking. Individuals with severe motor impairment after stroke show that unilateral pedaling by the non-paretic leg evokes rhythmic muscle activity in a resting paretic leg that is of a magnitude similar to that seen during bilateral pedaling (25). This is consistent with increase in the expression of contralesional CRP control following decreased ipsilesional CST and CRP drive. As part of the CRSI network, CRP is involved with control of motor function of the trunk and legs (24, 26–28). Animal research shows that the CRSI network has bilateral projections at brainstem and spinal cord levels and modulates the flexor and extensor muscle coordination at different phases of a gait cycle during steady state walking as well as during challenging walking tasks

(26, 29–31). Research in human participants has shown that severely affected stroke survivors often present with a two-module pattern. This pattern includes one module that is active during stance typically including activity of hamstrings, hip and knee extensors, and plantarflexors (all of which are typically represented in separate modules in the neurologically healthy), and a second module active during swing that includes primarily dorsiflexor activity, as well as additional activity in rectus femoris and hamstrings (3). Therefore, after damage to CST there may be an increased expression of the ipsilateral and/or contralateral CRP and/or SI network which could lead to fewer independent modules and more reliance on alternating flexion-extension patterns, i.e., a two-module pattern.

The purpose of the current study was to investigate the relationship between the use of a two-module flexion-extension pattern during walking and motor pathway integrity (both CST and CRP bilaterally) as a consequence of damage and increased compensatory connectivity due to a stroke lesion. We hypothesized that increased damage to ipsilesional CST or both ipsilesional CST and ipsilesional CRP, leads to more dependence upon contralesional CRP that would produce a less fractionated two-module pattern (a mass flexion-extension co-excitation pattern) of the paretic leg and poor walking ability. Establishing the relationships between motor pathway integrity and fewer independently timed modules used by post-stroke individuals during walking will improve our underlying models of hemiparetic motor control during walking and allow us to better inform rehabilitation approaches that can target the individual's motor control issues (i.e., identifying responders and non-responders for contralesional inhibitory or excitatory neuromodulation approaches).

Methods

Subjects

The research database registry of the Center of Biomedical Research Excellence in Stroke Recovery (IRB approved for data sharing) was queried for all participants with chronic stroke (>6 months) for whom we had neuroimaging, clinical, kinetic, and electromyography (EMG) data collected during walking from various IRB approved studies. Forty-four stroke survivors were included in this convenience sample. Neuroimaging data for seventeen similarly-aged healthy individuals were also included. All subjects signed a written informed consent approved by the institutional review board at Medical University of South Carolina to have their data included in the database. All assessments were done by a team of licensed physical therapists and study staff.

Clinical and biomechanical data acquisition

Clinical assessments included the lower extremity Fugl-Meyer (FMA-LE), Berg Balance Test (BBS), Dynamic Gait Index (DGI) and six-minute walk test (SMWT). Participants completed three trials of walking across a GaitRite (CIR Systems, Inc.; Franklin, NJ) to determine overground walking speed and three 30 s trials of walking on a split belt instrumented treadmill (Bertec Instrumented treadmill; Columbus, OH) at their self-selected walking speed to collect neuromechanical data. Ground reaction force (GRF) data sampled at 2,000 Hz were used to define gait events. Surface EMG data were recorded (Motion Lab Systems; Baton Rouge, LN, USA) from the following eight muscles bilaterally during treadmill walking assessments: tibialis anterior (TA), soleus (SO), medial gastrocnemius (MG), vastus medialis (VM), rectus femoris (RF), medial hamstrings (MH), lateral hamstrings (LH) and gluteus medius (GM). However, only data from the paretic leg are reported for the current analysis. EMG sampling rate was set at 2,000 Hz. Our previous work has determined that treadmill walking was appropriate for studying propulsion generation (32) and other neuromechanical measures of motor control deficits (33) after stroke.

Biomechanical data analysis

Ground reaction force data from the instrumented treadmill were used to calculate Paretic propulsion (Pp) and Paretic Step Ratio (PSR). Pp is a quantitative measurement of the coordinated output of the paretic leg in comparison to the non-paretic leg for creating a forward propulsive force during walking. Pp was derived by dividing the positive anterior impulse from the paretic leg with the sum of positive anterior impulse from both legs (34). The positive anterior impulse is the time integral of the anterior GRF sampled during walking on the treadmill and is that component which accelerates the body forward. PSR is the percentage of stride length that is completed by the paretic leg and is used to quantify step length asymmetries. PSR is calculated by dividing the paretic step length by the stride length (35). We then derived the deviation from symmetry of Pp and PSR by subtracting Pp and PSR values from 0.5 and taking the absolute value. Therefore, values closer to 0 would represent greater symmetry in both cases.

Module-based analysis

Electromyography data were high-pass filtered (40 Hz) with zero lag fourth-order Butterworth filter, demeaned, rectified and low-pass filtered (4 Hz) with zero lag fourth-order Butterworth filter. EMG amplitude for each muscle was averaged within each bin (or region of the gait cycle: first double support, first half

of ipsilateral single leg stance, second half of ipsilateral single leg stance, second double support, first half of ipsilateral swing, second half of ipsilateral swing) during self-selected treadmill walking. The average value of the bin with the highest average was used to normalize EMG amplitude across all trials for an individual. This method of normalization was used because normalizing to the mean amplitude during the activity of interest rather than peak amplitude has been reported as a better method in reducing variability between subjects (36, 37). The EMG data were then time normalized to the gait cycle (0–100%). Average of the time normalized gait cycles were used for further analysis. Nonnegative matrix factorization (NNMF) was used to extract modules from the paretic leg for each participant using post-processed EMG signals (3). NNMF was used to calculate a variability accounted for (VAF) for each muscle and a cumulative VAF for all muscles in each bin. Modules were added until VAF for all muscles and bins of the gait cycle were $\geq 90\%$ or until adding a module did not increase VAF $> 5\%$ in any individual muscle or gait cycle bin (3). All EMG post-processing was done in MATLAB 8 (Mathworks, Inc.; Natick, MA, USA).

MRI data acquisition

The MRI examination for all subjects included standard anatomical images and diffusion tensor imaging (DTI). Images were acquired using a 3T Siemens Trio or Prisma scanner (Siemens Healthcare, Erlangen, Germany) with a 12-channel head coil. A whole brain T1-weighted magnetization-prepared rapid acquisition gradient-echo (MPRAGE) sequence (TR: 1,900 ms, TE: 2.26 ms, T1: 900 ms, acquired isotropic voxel size: 1 mm \times 1 mm \times 1 mm, SNR: 1), T2-weighted FLAIR (TR: 9,000 ms, TE: 93 ms, T1: 2,500 ms, acquired voxel size: 0.9 mm \times 0.9 mm \times 4 mm, SNR: 1), and Diffusion weighted images were acquired (TR: 6,400 ms, TE: 96 ms, acquired isotropic voxel size: 2.7 mm \times 2.7 mm \times 2.7 mm, SNR: 1, $b = 0, 1,000,$ and 2,000 s/mm²).

Image processing

Lesion masks

Brain lesions for stroke survivors were manually traced on the T2-weighted FLAIR using MRIcron under the guidance of a neurologist (Bonilha). The stroke lesion maps were spatially normalized to standard space using SPM12 (Functional Imaging Laboratory, Wellcome Trust Center for Neuroimaging Institute of Neurology, University College London; <http://www.fil.ion.ucl.ac.uk/spm/software/spm12/>) and MATLAB scripts developed inhouse (38). In the first step, the T2 scan was co-registered with the individual's T1 scan, and the transforms were used to reslice the lesion map into native T1 space. Next, the resliced lesion map was smoothed with a 3 mm full-width half

maximum Gaussian kernel to remove jagged edges associated with manual drawing. The smoothed lesion map was then binarized (lesioned vs. non-lesioned tissue) with a threshold of zero. SPM12's unified segmentation-normalization (39) for an enantiomorphic normalization approach (40) was applied to normalize the T1-weighted images onto the standard space. For this, we used a chimeric T1-weighted image where the area corresponding to the stroke lesion was replaced by the mirrored equivalent region in the intact hemisphere. The volume of the overall stroke lesion was calculated based on the number of lesioned voxels.

Tracing of sub-cortical regions of interest

As described in previous studies (22, 28, 41–43), the region of interest (ROI) to track the CST was manually traced in the pyramid of the medulla and the ROI to track the CRP was manually traced in the reticular formation of the medulla on each individual's B0 diffusion image using MRICron.

Structural connectome

We used a previously published connectome-based streamline approach to identify cortical and sub-cortical connectivity (44, 45). Each participant's individual connectome was built from structural and diffusion weighted neuroimaging data. T1 weighted images in standard space were segmented into probabilistic gray and white matter maps using SPM12's unified segmentation-normalization. Each individual's gray matter map was divided into 384 regions using the Atlas of Intrinsic Connectivity of Homotopic Areas (AICHA) brain atlas. The gray matter parcellation maps were non-linearly registered into the DTI space. The manually traced subcortical ROIs were then merged with specific cortical ROIs selected from the AICHA atlas in DTI space, creating individualized atlases (henceforth referred to as the AICHA-hybrid brain atlas) consisting of cortical and subcortical regions of interest. Diffusion images were undistorted using Eddy (46), and pairwise probabilistic DTI fiber tracking was performed. Tractography was estimated using FSL's FMRIB's Diffusion Toolbox (FDT) probabilistic method (47) with FDT's accelerated BEDPOST (48) used to assess default distributions of diffusion parameters at each voxel. Specifically, tractography was performed using FDT's probtrackX (parameters: 5,000 individual pathways drawn through the probability distributions on principal fiber direction, curvature threshold set at 0.2, 200 maximum steps, step length 0.5 mm, and distance correction) and streamlines connecting ROIs were computed. The number of streamlines connecting each pair of ROIs was corrected for the distance and size to compensate for the distance between ROIs and unequal size of gray matter ROIs respectively. The weighted CST connections were then determined by selecting streamlines

passing through the seed ROI in the pyramidal tract portion of the medulla and cortical regions of the AICHA-hybrid brain atlas corresponding to Brodmann area 4. The weighted CRP fibers were determined by placing the seed ROI in the reticular formation of the medulla and cortical regions of the AICHA-hybrid brain atlas corresponding to Brodmann area 6 (41). Inter-hemispheric asymmetry for CST and CRP was computed as the ratios of CST and CRP streamlines respectively between the two hemispheres.

Inter-hemispheric asymmetry in healthy controls:

$$Assym_{Healthy} = \left(\frac{\text{Streamline from right hemisphere}}{\text{Streamline from left hemisphere}} \right) \quad (1)$$

Inter-hemispheric asymmetry in stroke survivors:

$$Assym_{Stroke} = \left(\frac{\text{Streamline from lesioned hemisphere}}{\text{Streamline from non-lesioned hemisphere}} \right) \quad (2)$$

Inter-hemispheric asymmetry value of 1 would indicate an equal number of streamlines in both hemispheres, a value of >1 would suggest more streamlines of right/lesioned hemisphere and a value <1 would mean more streamlines of left/non-lesioned hemisphere. Previous literature has shown that a leftward or rightward asymmetry is observed in healthy individuals with no neurological disorders (45). Therefore, we believe that inter-hemispheric streamline ratio is a better representation of asymmetry in comparison to a matrix that would assume a perfect symmetry between hemispheres and normalize by the sum of streamlines in each hemisphere.

Voxel-based lesion symptom mapping

Voxel-based lesion symptom mapping (VLSM) (49) was performed to define the relationship between lesion location and number of modules where lesioned voxels were the independent variables. Based on previous literature only voxels lesioned in $\geq 10\%$ of the patients were included (50–52), i.e., more than 4 individuals in the current study. We used the NiiStat toolbox in MATLAB version R2019b and SPM12 to perform the lesion symptom mapping analyses. All right sided lesions were flipped to the left hemisphere for VLSM.

Statistical analysis

To control for the potential effect of scanner type (the scanner was upgraded during the time of data collection), connectivity strengths were harmonized by applying ComBat (53, 54). Although, such an adjustment allows us to increase our statistical power by integrating data from the two scanners it may result in negative values for smaller connectivity

strengths. Mann-Whitney test was used to identify differences in inter-hemispheric asymmetry between healthy controls ($Asymm_{Healthy}$) and stroke survivors ($Asymm_{Stroke}$) for CST and CRP streamlines. Spearman's correlation was used to identify the relationship between modules and $Asymm_{Stroke}$ for CST and CRP. Spearman's correlation was also used to explore the relationship of CST and CRP $Asymm_{Stroke}$ with our secondary outcome measures of stroke survivors' walking ability, including self-selected over-ground walking speed, FMA-LE, BBS, DGI, SMWT, Pp, and PSR. In all cases, significance was set at $p < 0.05$. We did not adjust for multiple comparisons because the walking ability measures are interrelated and not independent comparisons. We believe that the associations of secondary outcome measures with motor pathways can provide useful exploratory data for future dedicated studies on these measures to confirm the results. For VSLM one-tailed statistical tests were applied based on the assumption that lesioned tissue will lead to impairment (fewer modules).

To further understand the relationship between CST and CRP asymmetry in producing two module patterns, a Coarse Classification tree algorithm with five-fold cross validation in MATLAB was used to classify the module categories with $Asymm_{Stroke}$ for CST and CRP as predictor variables. Although, this particular model will need validation, the preliminary findings can be a useful direction for future research to establish biomarkers and neuromodulation targets to improve mass flexion-extension patterns. Because we wanted to evaluate the role of motor pathway integrity in generating mass flexion-extension co-excitation patterns, we dichotomized the stroke survivors into either individuals with two modules or individuals with more than two modules (three or four) for both the classification tree model and lesion mapping. To further, understand the characteristics of correctly classified individuals with more than two modules ($groupA_{Correct>2Modules}$), incorrectly classified individuals with two modules ($groupB_{Incorrect2Modules}$), and correctly classified individuals with two modules ($groupC_{Correct2Modules}$), we performed a *post-hoc* analysis to evaluate differences in their walking ability and CRP and CST streamlines using One-way ANOVA.

Results

One stroke survivor's streamline data were extreme outliers and could not be explained by the typical variability. Upon further investigation they were excluded from the analysis due to lack of clear evidence of a liquefactive necrosis on the T2-weighted image following a single stroke. All remaining stroke survivors had a lesion in supratentorial/infratentorial regions. [Figure 1](#) shows a

lesion overlay of stroke survivors dichotomized by their use of a mass flexion-extension co-excitation patterns (i.e., either two or more than two modules). Participant demographic data for both groups are presented in [Table 1](#).

VSLM analysis revealed that one voxel survived the corrected threshold of $z < -3.96$. This voxel was in the cerebral peduncle that contains CST, suggesting significant association between CST lesion within the cerebral peduncle and a two-module pattern ([Figure 2](#)). However, the data should be interpreted with caution as only one out of 262,481 voxels survived the threshold.

Recovery of walking ability is significantly dependent on inter-hemispheric asymmetry of CST and CRP motor pathways

Correlation analyses support associations of motor pathway integrity with altered modules and walking ability. There was significantly larger interhemispheric asymmetry ($Asymm_{Stroke} = \text{Lesioned/Non-lesioned}$; $Asymm_{Healthy} = \text{Right/Left}$) of CST and CRP streamlines in the stroke population compared to healthy individuals (CST for $Asymm_{Healthy}: 0.96$, $Asymm_{Stroke}: 0.53$, $p = 0.010$), (CRP for $Asymm_{Healthy}: 0.91$, $Asymm_{Stroke}: 0.69$, $p = 0.040$). Since the research database registry of the COBRE in Stroke Recovery was used to aggregate data from several individual studies for the current study, not all outcome measures were available for each subject. We found a positive correlation between $Asymm_{Stroke}$ for CST and the number of modules ($df = 41$, $r = 0.33$, $p = 0.028$), FMA-LE ($df = 41$, $r = 0.34$, $p = 0.023$), as well as negative correlation with deviation from symmetric Pp ($df = 39$, $r = -0.37$, $p = 0.016$; [Figure 3](#)). $Asymm_{Stroke}$ for CRP were positively correlated with FMA-LE ($df = 41$, $r = 0.39$, $p = 0.009$), DGI ($df = 40$, $r = 0.33$, $p = 0.035$), SMWT ($df = 36$, $r = 0.38$, $p = 0.020$), BBS ($df = 40$, $r = 0.31$, $p = 0.048$), self-selected walking speed ($df = 41$, $r = 0.31$, $p = 0.041$), and negatively correlated with deviation from symmetric Pp ($df = 39$, $r = -0.51$, $p = 0.001$; [Figure 4](#)). There were no significant correlations between $Asymm_{Stroke}$ for CST and self-selected walking speed ($df = 41$, $r = 0.29$, $p = 0.055$), DGI ($df = 40$, $r = 0.17$, $p = 0.287$), SMWT ($df = 36$, $r = 0.20$, $p = 0.216$), BBS ($df = 40$, $r = 0.18$, $p = 0.265$) and deviation from symmetric PSR ($df = 37$, $r = -0.02$, $p = 0.921$; [Table 2](#)). Additionally, no significant correlations were seen between $Asymm_{Stroke}$ for CRP and number of modules ($df = 41$, $r = 0.08$, $p = 0.594$; [Figure 5](#)) and deviation from symmetric PSR ($df = 37$, $r = -0.03$, $p = 0.831$; [Table 2](#)).

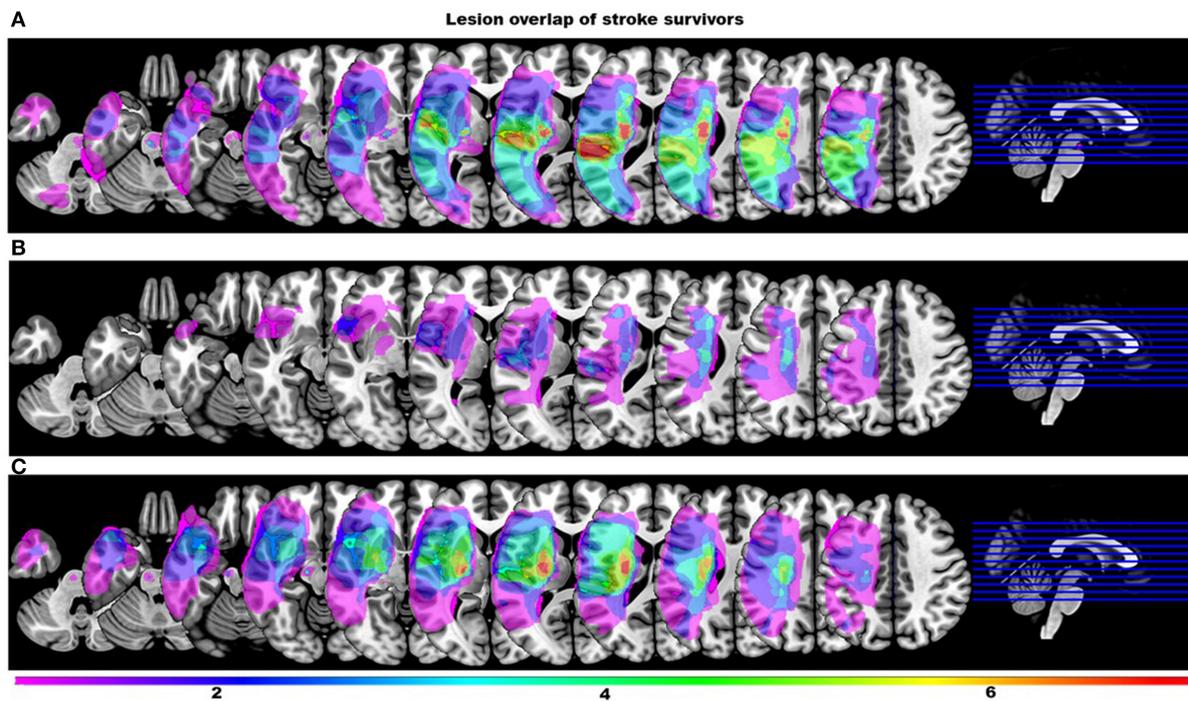


FIGURE 1
Lesion overlay maps of stroke survivors stratified by the number of modules. Color scale indicates the number of stroke survivors having a lesion in every voxel (all right sided lesions are flipped to the left hemisphere for clarity). (A) Overlay maps of stroke survivors with more than two modules. (B) Overlay maps of stroke survivors with two modules that were incorrectly classified by the classification tree as individuals with more than two modules. (C) Overlay maps of stroke survivors with two modules correctly classified by the classification tree.

TABLE 1 Summary data for stroke participant demographics.

Sample size (<i>n</i>)	44
Age (years)	61.8 (11.2)
Sex	
Female	14 (32%)
Male	30 (68%)
Lesion side	
Right	18 (41%)
Left	26 (59%)
Months post-stroke	50.5 (48.8)
FMA-LE	26.3 (5.03)

Continuous variables are presented as mean (standard deviation).
Categorical variables are presented as a frequency count (percentage).

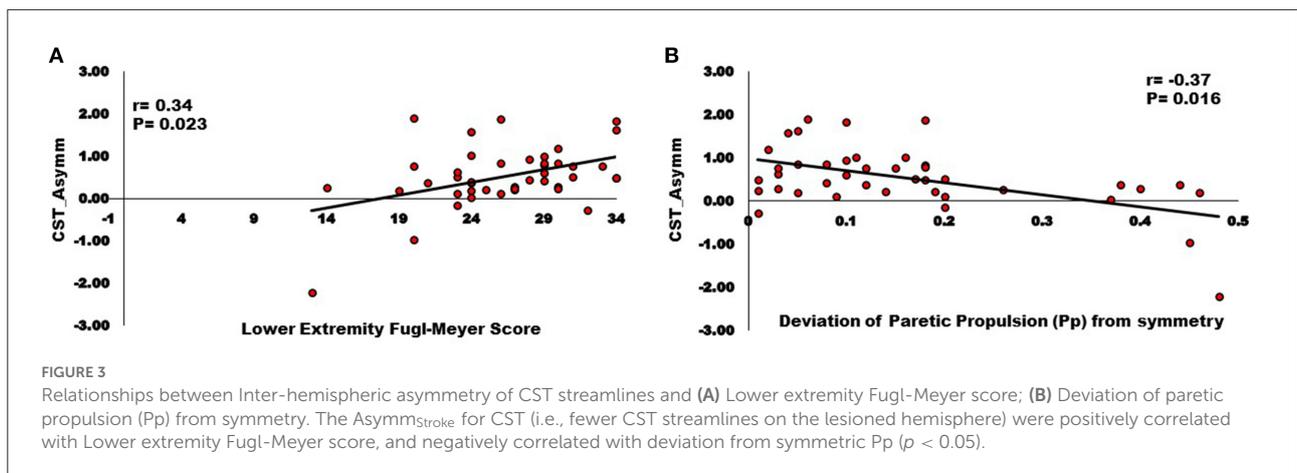
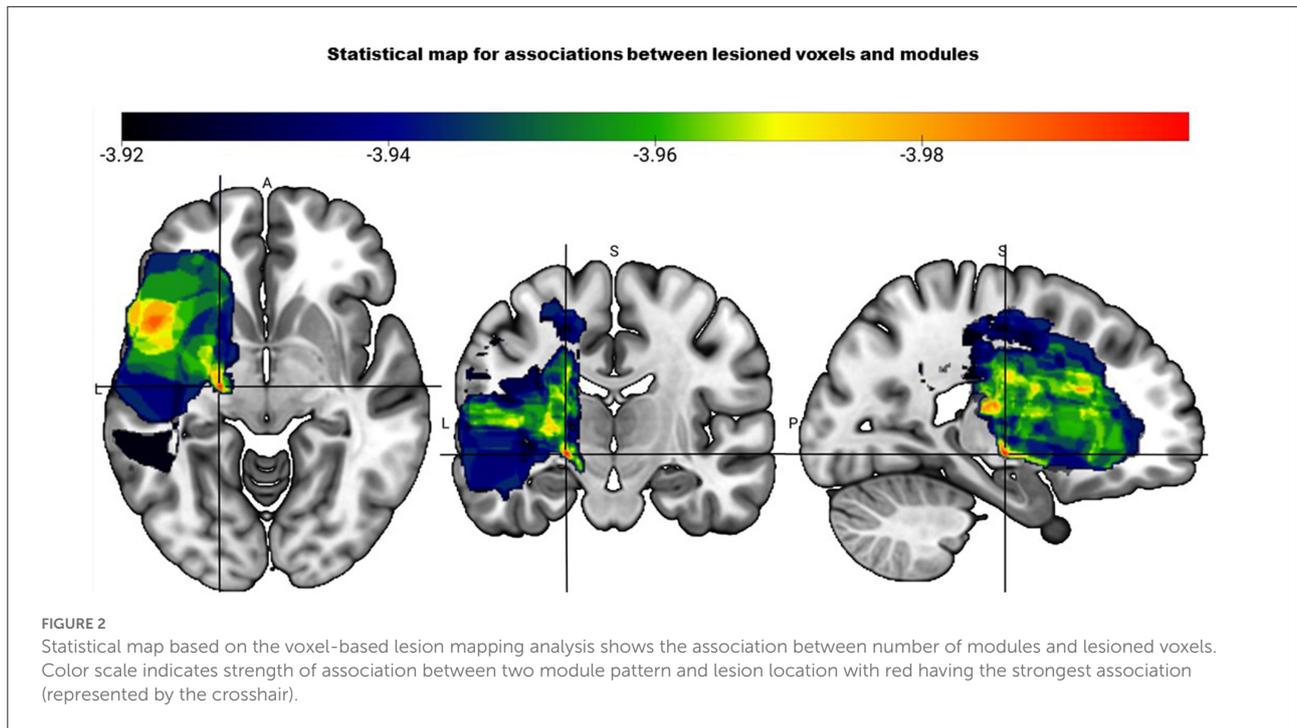
Impaired walking ability is associated with fewer modules

Consistent with previous literature NMF based analysis revealed a two, three, or four modules pattern in individuals with stroke (3, 5, 10, 55, 56). We also found that number

of modules had a significant positive correlation with several clinical outcome measures, effectively reproducing some of the results of previous published relationships (3) on a second large dataset. A positive correlation was seen between number of modules and FMA-LE ($df = 41, r = 0.55, p < 0.001$), DGI ($df = 40, r = 0.32, p = 0.039$), and BBS ($df = 40, r = 0.37, p = 0.017$). However, we did not see any significant correlation between number of modules and deviation from symmetric Pp ($df = 39, r = -0.13, p = 0.419$), deviation from symmetric PSR ($df = 37, r = 0.07, p = 0.687$), SMWT ($df = 36, r = 0.25, p = 0.125$), and self-selected walking speed ($df = 41, r = 0.25, p = 0.100$).

Stroke survivors with greater inter-hemispheric asymmetry of CST and CRP are likely to have mass flexion-extension co-excitation patterns

Classification tree analysis suggests that individuals had a mass flexion-extension pattern of co-excitation (i.e., two modules) if they had either significant asymmetry of CRP or had more moderately asymmetric CRP but significant asymmetry



of CST streamlines (Figure 6). Specifically, stroke survivors with asymmetry of CRP streamlines < 0.27 ($Asymm_{Healthy} = 0.91$) or asymmetry of CST streamlines < -0.02 ($Asymm_{Healthy} = 0.96$) were predicted to have two modules (Figure 6). The model correctly classified 28 of 30 individuals (93%) with more than two modules (i.e., $groupA_{Correct>2Modules}$ defined as those who had CRP ratio > 0.27 and CST ratio > -0.02 and were correctly classified as individuals with more than two modules). The model also correctly classified seven of 13 individuals (53%) with two modules (i.e., $groupC_{Correct2Modules}$ defined as those who had CST ratio < -0.02 and/or CRP ratio < 0.27 and were correctly classified as individuals with two

modules). The model incorrectly classified eight individuals, six of whom had only two modules but were classified as more than two modules (i.e., $groupB_{Incorrect2Modules}$ defined as those individuals who had CRP ratio > 0.27 and CST ratio > -0.02 and were incorrectly classified as individuals with two modules). The overall accuracy of the model was 81%.

Post-hoc analysis to characterize differences between correctly classified individuals with more than two modules ($groupA_{Correct>2Modules}$), incorrectly classified individuals with two modules ($groupB_{Incorrect2Modules}$), and correctly classified individuals with two modules ($groupC_{Correct2Modules}$)

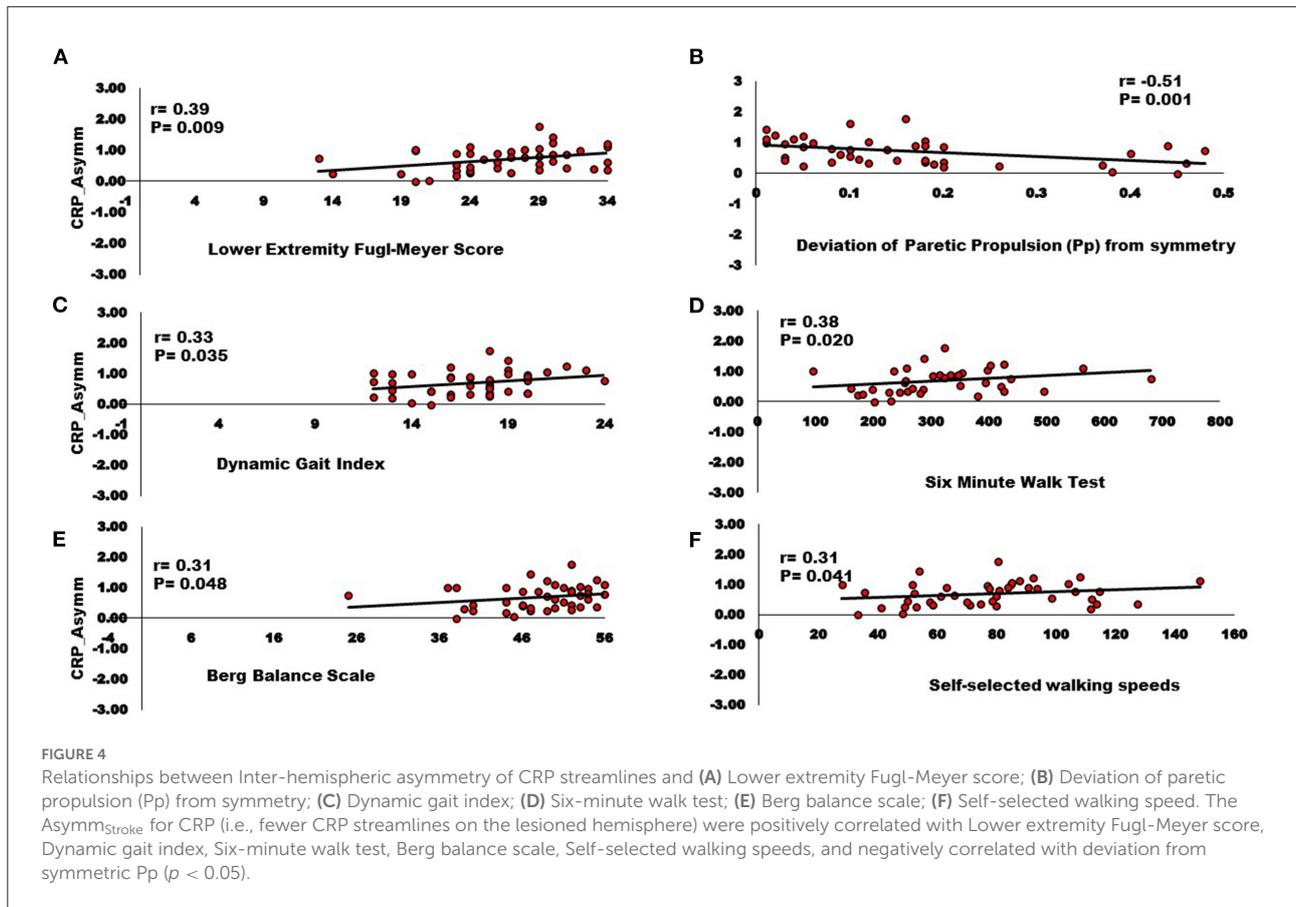


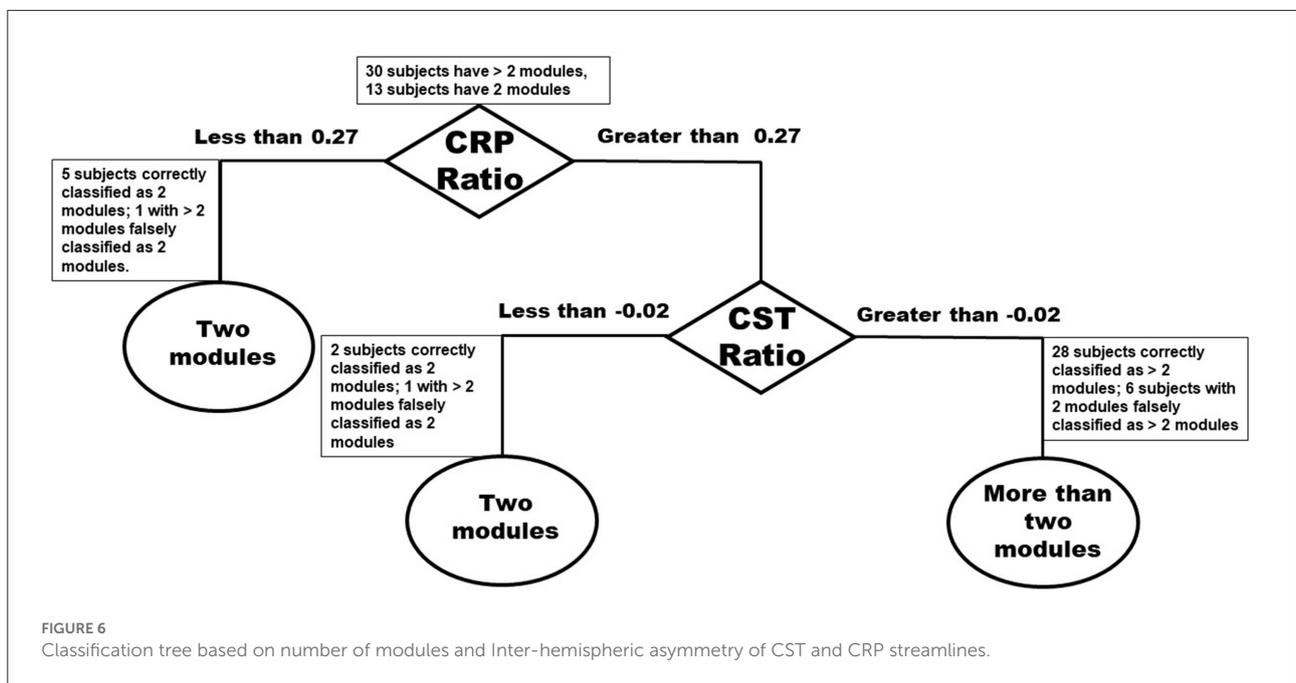
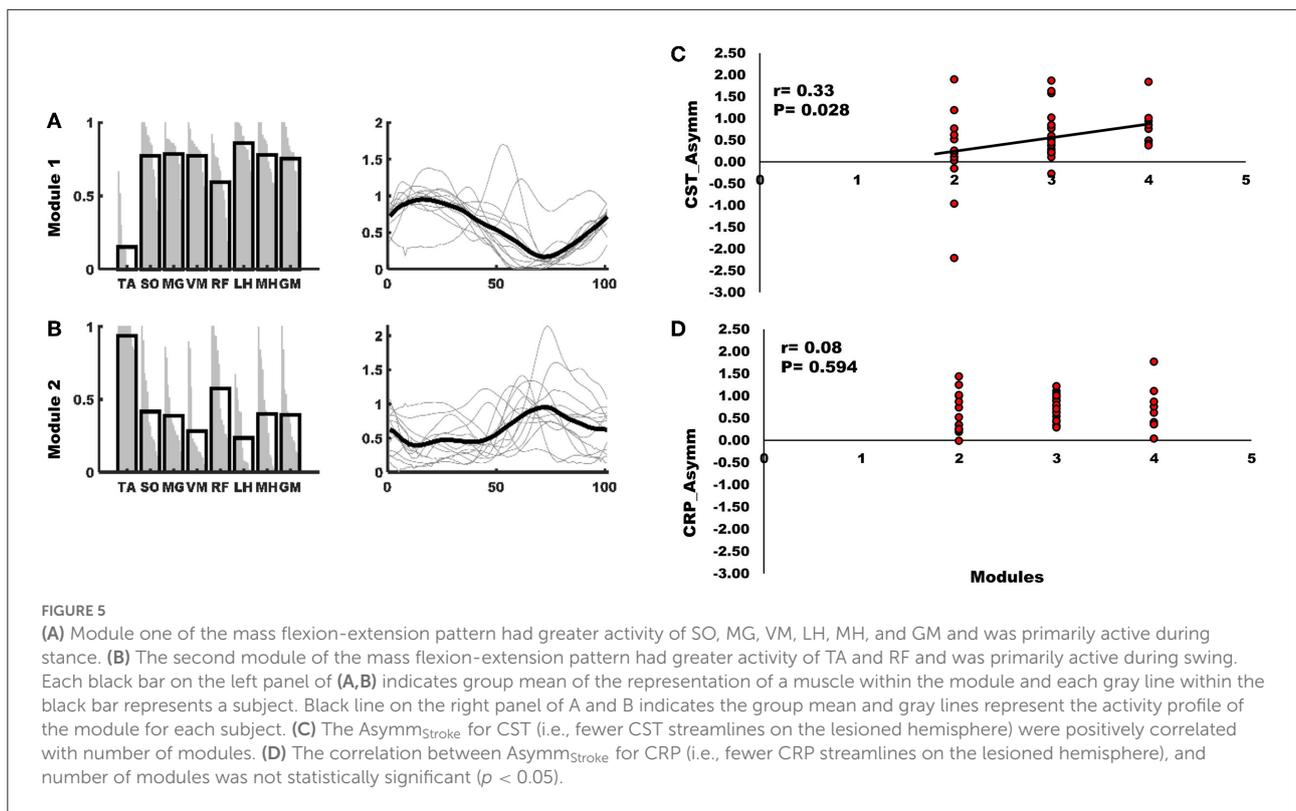
TABLE 2 Correlations of motor pathways with biomechanical and clinical measures.

	DGI	FMA_LE	SMWT	BBS	Pp _{deviation}	PSR	SS
$Asymm_{Stroke}$ CST	$r = 0.17$ $p = 0.287$	$r = 0.34$ $p = 0.023^*$	$r = 0.20$ $p = 0.216$	$r = 0.18$ $p = 0.265$	$r = -0.37$ $p = 0.016^*$	$r = -0.02$ $p = 0.921$	$r = 0.29$ $p = 0.055$
$Asymm_{Stroke}$ CRP	$r = 0.33$ $p = 0.035^*$	$r = 0.39$ $p < 0.009^*$	$r = 0.38$ $p = 0.020^*$	$r = 0.31$ $p = 0.048^*$	$r = -0.51$ $p = 0.001^*$	$r = -0.03$ $p = 0.831$	$r = 0.31$ $p = 0.041^*$

*Indicate significant correlations ($p < 0.05$).

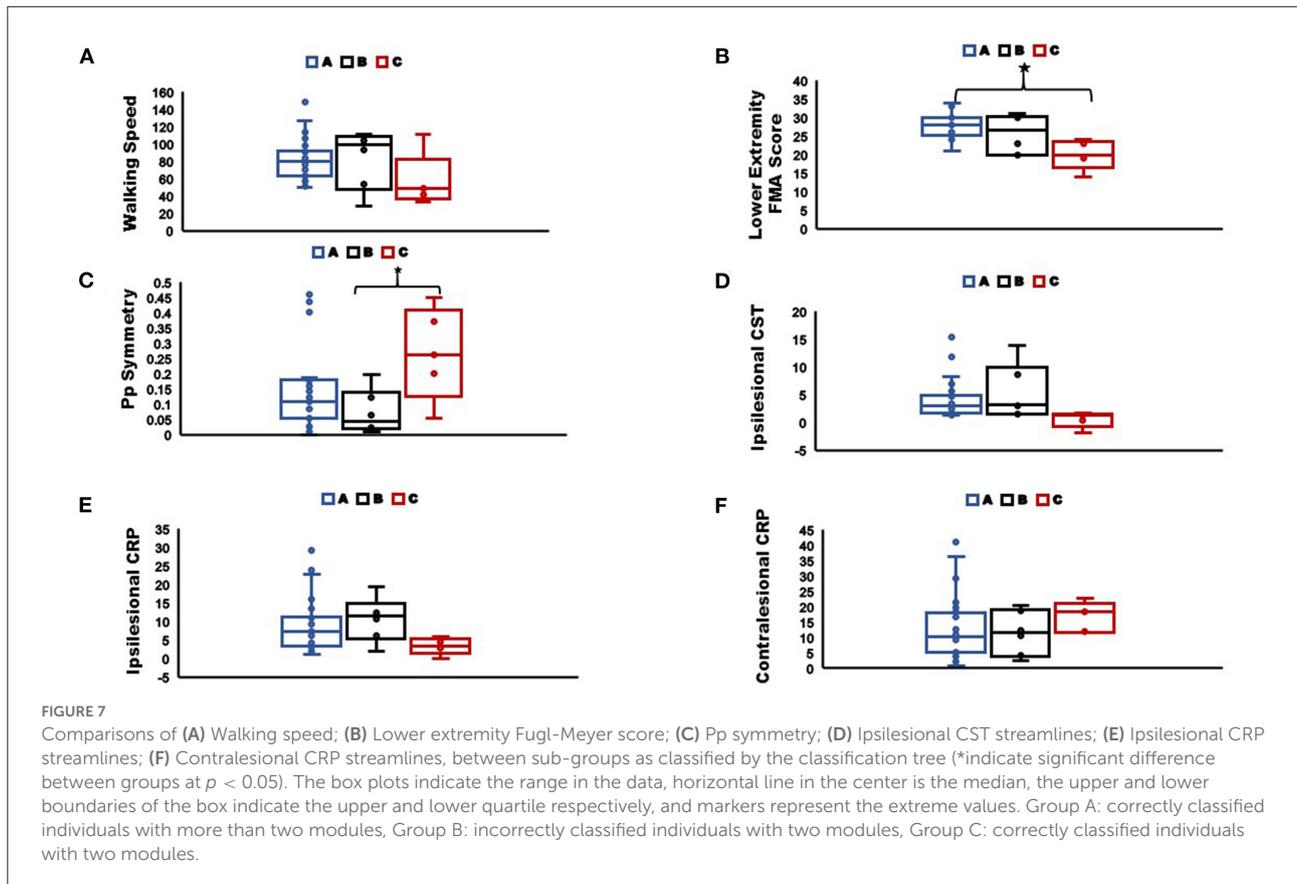
revealed that groupC_{Correct2Modules} had most impaired walking ability compared to groupB_{Incorrect2Modules} and groupA_{Correct>2Modules}. Specifically, GroupC_{Correct2Modules} (mean = 20) had significantly lower FMA-LE ($p < 0.001$) than groupA_{Correct>2Modules} (mean = 28.1) and a trend toward lower FMA-LE ($p = 0.064$) than groupB_{Incorrect2Modules} (mean = 25.6). We also found that groupC_{Correct2Modules} (mean = 0.26) were significantly less symmetric in Pp ($p = 0.031$) than groupB_{Incorrect2Modules} (mean = 0.07) and trended less symmetric in Pp than groupA_{Correct>2Modules} (mean = 0.14; $p = 0.131$). Although, not statistically significant we also found that individuals in groupC_{Correct2Modules} walked at slower speeds (mean = 0.57 m/s) than

groupA_{Correct>2Modules} (mean = 0.82 m/s; $p = 0.18$) and groupB_{Incorrect2Modules} (mean = 0.83 m/s; $p = 0.34$; Figure 7). We also found that groupC_{Correct2Modules} had higher number of contralesional CRP streamlines (mean = 16.8) than groupA_{Correct>2Modules} (mean = 13.2; $p = 1.0$) and groupB_{Incorrect2Modules} (mean = 11.5; $p = 1.0$). GroupC_{Correct2Modules} also had lower ipsilesional CST streamlines (mean = 0.5) than groupA_{Correct>2Modules} (mean = 4.1; $p = 0.14$) and groupB_{Incorrect2Modules} (mean = 5.5; $p = 0.79$). Additionally, groupC_{Correct2Modules} had lower ipsilesional CRP streamlines (mean = 3.3) than groupA_{Correct>2Modules} (mean = 8.6; $p = 0.30$) and groupB_{Incorrect2Modules} (mean = 10.7; $p = 0.23$). Greater



contralateral CRP streamlines in Group_{Correct2Modules} individuals is indicative of possible increased compensatory connectivity of contralateral CRP in response to fewer

ipsilateral CST and CRP streamlines as has been shown in previous literature for individuals with complete CST lesions (22).



FMA-LE based classification for number of modules may not work well for individuals with more than two modules

We also evaluated classification of modules based on the 22-point subsection of FMA-LE which assesses the ability to move out of flexor or extensor synergies to further understand the relationship between synergies and modules. FMA-LE is a reliable method (57) that is frequently used to evaluate (58, 59) or predict (6) motor function in stroke population. Therefore, we believe it is important to evaluate the performance of an FMA-LE based module classification in addition to the motor pathways based module classification to better understand the impact of the two measures. We used FMA-LE <15 as a cutoff for individuals likely to have two modules because these individuals are theoretically unable to move out of a mass flexion-extension co-excitation pattern and therefore would be expected to have a two-module pattern (5). This method correctly classified 10 out of 13 individuals with two modules (76%) and 24 out of 30 individuals with more than two modules (80%), for an overall accuracy of 79%. All misclassified individuals with two modules had moderately impaired walking ability. Thus, while the FMA-LE correctly identified a higher percentage of two module individuals (76 vs. 53%), it also had a

higher false positive rate in misidentifying individuals with three or four modules as having two modules (19 vs. 6%).

Discussion

In the current study, we investigated the relationship between walking using two modules and the integrity of motor pathways involved in locomotor control. We found that increased damage to both the CST and CRP were associated with walking using two modules. Although, previous literature in animal models and humans post-stroke has shown that steady state walking is possible without CST involvement, our results suggest that damage to the CST is associated with impaired motor coordination resulting in fewer independently timed modules and poorer walking performance. We found that CRP integrity was more strongly related to recovery of multiple clinical and biomechanical measures of walking ability than CST integrity. Although not statistically significant, we also found some evidence of increased contralateral CRP streamlines in a small subgroup of the most impaired individuals with two modules i.e., groupC_{Correct2Modules} (group mean = 16.8), compared to less impaired individuals with two modules i.e., groupB_{Incorrect2Modules} (group mean = 11.5),

and also to individuals with more than two modules i.e., $\text{groupA}_{\text{Correct}>2\text{Modules}}$ (group mean = 13.2; Figure 7). There is some indication that increased contralesional CRP may result in walking using two modules (i.e., less independence of usually independent modules from mass flexion-extension co-excitation pattern). Therefore, it appears that CST damage reduces the number of independent modules; furthermore, substantial ipsilesional CST and CRP damage may reveal an increased expression of contralesional CRP based control and result in impaired walking using only two modules.

Stroke survivors with greater inter-hemispheric CST asymmetry had fewer muscle modules and greater motor impairment. Stroke survivors had significantly greater inter-hemispheric asymmetry for CST streamlines in comparison to healthy individuals ($\text{CST Asymm}_{\text{Stroke}} = 0.53$ compared to $\text{CST Asymm}_{\text{Healthy}} = 0.96$), indicating fewer streamlines on the lesioned compared to non-lesioned hemisphere due to CST damage following a stroke lesion. Although theoretically there can be limited involvement of CST during steady-state walking, we found damage to CST is associated with worse FMA-LE scores, fewer modules, and poor propulsion symmetry suggesting that CST is a primary contributor to normal motor coordination during walking. Other studies with similar findings have shown that damage to CST leads to increased lower extremity motor impairment (60, 61), although those studies did not report on the number of modules used during walking. Our results support that CST damage is associated with a decreased ability to activate the full range of independent muscle modules normally used during steady state walking, which is likely a primary cause of poor walking ability.

We found that increased CRP asymmetry was also significantly associated with poorer walking ability and that CRP asymmetry provided the primary decision tree criteria for whether a survivor walked using two modules. Specifically, we found that increased inter-hemispheric asymmetry of CRP was significantly correlated with poorer walking ability. Additionally, a greater number of measures of walking ability were significantly associated with CRP asymmetry than were associated with CST asymmetry (Table 2). However, unlike with CST asymmetry we did not see a significant correlation between CRP asymmetry and modules ($r = 0.08$, $p = 0.594$), perhaps indicating less CRP involvement with partial independence from mass flexion or extension co-excitation patterns (i.e., those who walked with three modules instead of two). Animal models have suggested varying levels of CST and CRP involvement in fractionation of movements that depends on task demands (24, 62). The sub-cortical reticulospinal-spinal interneuronal network (i.e., target for CRP) coordinates mass flexion-extension co-excitation patterns and motor cortex (i.e., CST) provides additional control for more fractionated muscle activation patterns (63). More recently it was demonstrated that in healthy humans both CST and CRP are involved in upper extremity movement control and

their relative contribution varies based on the complexity of tasks (64, 65). In stroke survivors, recovery of finger movements involves two network systems with one (presumably reticulospinal tracts) contributing predominantly to strength that represents a less fractionated muscle activation pattern and the second (presumably CST) providing additional fine motor control of finger movements (66). Our data appear to support a similar relationship for the lower extremity. The significant correlation between CST asymmetry and module number and lack of correlation between CRP asymmetry and module number could suggest that contralesional CRP produces a mass flexion-extension pattern with further fractionation of muscle activity into independent modules resulting predominantly from the CST.

We found evidence of increased compensatory connectivity of the contralesional CRP pathways in the most impaired stroke survivors. Stroke survivors had significantly greater inter-hemispheric asymmetry for CRP streamlines in comparison to healthy individuals ($\text{CRP Asymm}_{\text{Stroke}} = 0.69$; $\text{Asymm}_{\text{Healthy}} = 0.91$), indicating fewer streamlines on the lesioned compared to non-lesioned hemisphere, and those who were most impaired may have shown some increased compensatory connectivity of the contralesional CRP. Although this was not statistically significant, our *post-hoc* analysis indicated that individuals with two modules who had greater inter-hemispheric asymmetry for CST < 0.02 and/or CRP < 0.27 ($\text{groupC}_{\text{Correct}2\text{Modules}}$) had a trend toward more contralesional CRP streamlines than individuals with less asymmetric CRP and CST (Figure 7). Therefore, it is possible that significant increase in contralesional CRP connectivity (i.e., more streamlines) occurs only after severe ipsilesional CST and CRP damage. In studies of upper-extremity hemiparesis, greater impairment of reaching performance and exaggerated flexion synergy during shoulder abduction are associated with increased electroencephalography based contralesional activity in pre-motor cortex that is known to provide inputs to CRP (67). It has also been reported that increased contralesional CRP integrity at the brainstem and spinal cord level of stroke survivors is associated with lower upper-extremity FMA scores (68). Jang et al. (22) showed that stroke survivors who had a complete CST lesion had a positive correlation between their walking ability and increased compensatory connectivity of contralesional CRP. Furthermore, stroke survivors with a complete CST injury and inability to walk at the time of stroke are able to regain their walking ability following increased contralesional CRP connectivity (42). The nervous system prefers to rely on the undamaged tissue of the lesioned hemisphere following a stroke (69) with a secondary preference for involvement of the contralesional hemisphere (70). We speculate that following a complete CST lesion and possibly also much involvement of ipsilesional CRP, stroke survivors would mostly rely on contralesional CRP and would have likely walked using only two modules with poor walking ability. We believe that when ipsilesional CST and CRP

pathways are more intact, there may be less dependence on contralesional CRP and hence little change in connectivity of the pathway.

In addition to the imaging-based CST and CRP classification, we also looked at the FMA-LE threshold-based classification for flexor or extensor synergies. We found that FMA-LE correctly identified a higher percentage of two module individuals compared to the motor pathways based classification tree, but it misidentified a higher percentage of individuals with three or four modules as having two modules. Therefore, when evaluating stroke survivors' ability to move outside of mass flexion-extension patterns, CST and CRP can provide us a better classification for less impaired individuals i.e., individuals with more than two modules, in comparison to FMA-LE that is often used by clinicians. We believe that evaluating the integrity of motor pathways is also informative and provides additional useful information to clinicians for developing personalized neuromodulation rehabilitation protocols.

This study provides novel information regarding the differential involvement of motor pathways in recovery of walking and muscle coordination that could have significant future implications for identifying a subject specific target for neuromodulation or identifying responders and non-responders to gait rehabilitation protocols focused on improving muscle coordination. However, some methodological considerations should be discussed. Limitations in diffusion tractography such as difficulty in estimating crossing fibers should be considered when interpreting our results. Additionally, limited resolution of the B0 image for anatomical tracing of brainstem regions of interest for tractography may have led to some false or missing CST and CRP streamlines. While lesion location can affect motor function and/or recovery and it varied across subjects (supratentorial/infratentorial), our focus on including the number of ipsilesional as well as contralesional streamlines of motor pathways corrected for the distance between ROIs and unequal size of gray matter ROIs, offsets some concerns of heterogeneity in the lesion location. Nevertheless, future studies with a larger sample size will better establish the relationship between lesion location and modules. A previous study has demonstrated that the EMG pre-processing methods and number of gait cycles included in the NNMF analysis may influence the modules extracted from the data (71). As suggested by Oliveira et al. (71) we have included at least 20 gait cycles for each subject's NNMF analysis. Additionally, based on extensive research from our lab (3, 5, 33) we have used robust criteria to identify the number of modules that accounts for variability across muscles as well as across each of the six bins of gait cycle, minimizing any methodological impacts on the number of modules extracted (10). Lastly, it is important to note that the cutoffs identified by the classification tree algorithm are not intended to be used in a predictive model and applied

generally. A much larger sample size with more balanced subgroups of individuals with two, and more than two modules would be required to establish generalizability of the cutoffs to other datasets.

In conclusion, our findings suggest that both CST and CRP are involved with locomotor recovery and the production of modules during steady state walking. Previous literature on upper extremity motor recovery has demonstrated greater expression of flexor or extensor synergies associated with involvement of CRP on the lesioned (72) as well as non-lesioned hemisphere (67, 68, 73), and this increased reliance on CRP structures may be associated with the amount of CST damage (74). However, there is limited understanding of a clear relationship between the extent of CST damage and ipsilesional or contralesional CRP involvement in independent control of modules during walking. In the current study we suggest that individuals with severe damage to CST and CRP on the lesioned hemisphere are likely to rely on the contralesional CRP and have two modules with severely impaired walking ability. Also, there is an indication of CST involvement in fractionation of modules associated with moderately impaired walking ability. Our results are consistent with bilateral CRP control leading to the two-module pattern in individuals with an intact CRP but damaged CST on the lesioned hemisphere. However, future studies are needed to determine the relationship between the role of bilateral CRP control and mass flexion extension co-excitation patterns. Therefore, influence of motor pathways from the lesioned and non-lesioned hemisphere on fractionation of modules and walking ability varies with the motor pathways affected by the lesion and the extent of damage or altered compensatory connectivity in these pathways.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

The studies involving human participants were reviewed and approved by Institutional Review Board at Medical University of South Carolina. The patients/participants provided their written informed consent to participate in this study.

Author contributions

SS, SK, TB, and RN have developed the concept and design of the study. SS, BS, MB, CG, NS, and CH have been involved with acquisition of data. SS, BS, BM, and LB have analyzed the data. SS, SK, JW, and LB have interpreted the results. SS, BS, and BM have drafted the manuscript. All co-authors have revised

the manuscript critically to improve intellectual content and approved the final manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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