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# The impact of airway management guided by Protection Motivation Theory on the prevention and prognosis of post-stroke pneumonia

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**Background:** Stroke remains a leading cause of morbidity and mortality worldwide, with post-stroke pneumonia significantly impacting patient outcomes. Despite progress in stroke management, there was a lack of emphasis on targeted preventive measures for pneumonia. This study evaluates the impact of airway management guided by Protection Motivation Theory (PMT) on preventing post-stroke pneumonia.

**Methods:** A retrospective study was conducted with 100 stroke patients admitted to the general neurology ward between January and December 2023. Patients were divided into two groups based on chronological admission order: 50 received standard airway management (January–June 2023), and 50 received PMT-guided intervention (July–December 2023). The PMT group engaged in structured educational sessions (30 min daily for 7 days) and actionable coping strategies to enhance adherence to airway management. Outcomes assessed included incidence of post-stroke pneumonia (diagnosed by chest CT within 7 days post-admission), respiratory function, length of hospital stay, and cognitive and psychological measures.

**Results:** The PMT group showed a lower incidence of pneumonia (16% vs. 34%,  $p = 0.038$ ) and reduced hospital stay ( $13.47 \pm 3.86$  days vs.  $15.72 \pm 4.36$  days,  $p = 0.007$ ). The absolute risk reduction was 18% with a number needed to treat (NNT) of 5.6. Improvements were noted in respiratory function, with higher forced vital capacity ( $2.46 \pm 0.68$  L vs.  $2.15 \pm 0.56$  L,  $p = 0.013$ ). Cognitive function, as measured by the Montreal Cognitive Assessment, was enhanced ( $23.58 \pm 4.06$  vs.  $21.35 \pm 3.84$ ,  $p = 0.006$ ), with both groups remaining below the normal threshold of 26 points. Depression levels were reduced (PHQ-9:  $12.05 \pm 3.12$  vs.  $13.46 \pm 3.56$ ,  $p = 0.038$ ).

**Conclusion:** PMT-guided airway management significantly enhances post-stroke outcomes through improved respiratory function, reduced pneumonia incidence, and better cognitive and psychological wellbeing. Future prospective studies with larger sample sizes are warranted to validate these findings.

## KEYWORDS

airway management, Protection Motivation Theory, prevention, prognosis, post-stroke pneumonia

## 1 Introduction

Stroke remains a leading cause of morbidity and mortality globally, with a substantial number of patients experiencing complications that worsen their prognosis and quality of life (1). Among these complications, post-stroke pneumonia represents a significant clinical concern, contributing to extended hospital stays, increased healthcare costs, and raised mortality rates (2). The pathophysiology of post-stroke pneumonia was complex, involving impaired swallowing and respiratory function, reduced mobility, and altered immune responses (3). Despite advancements in stroke management, preventive strategies targeting these complications were urgently needed to improve patient outcomes (4).

Conventional management of stroke patients often focuses on medical interventions for thromboprophylaxis and rehabilitation exercises (5). However, less emphasis was placed on preventive measures tailored toward reducing the risk of pneumonia (6). Airway management was a critical component in this context, given the vulnerability to aspiration and the potential for respiratory dysfunction (7). Existing research highlights the importance of meticulous airway care, yet standardized approaches incorporating cognitive and behavioral theories have not been thoroughly investigated (8).

The Protection Motivation Theory (PMT), originally developed within the field of health psychology, offers a robust framework for motivating protective behaviors through cognitive appraisal processes (9). PMT posits that the intention to engage in protective actions was influenced by perceived severity and vulnerability to a health threat, alongside the perceived efficacy and self-efficacy of the preventive behavior (10). This cognitive model provides a structured approach for motivating patients and caregivers to adhere to medical guidelines, especially in chronic disease management (11).

In recent years, PMT has been increasingly applied in healthcare settings to enhance patient compliance and behavioral adherence (12). Its utility in airway management could be transformative, particularly in the context of stroke, where patient adherence to therapeutic regimes was often suboptimal due to cognitive impairment and reduced motivation (13). By integrating PMT into airway management protocols, there was potential to foster proactive health behaviors and mitigate the risk of post-stroke pneumonia (14).

This study explores the impact of airway management strategies guided by PMT principles on the prevention and prognosis of post-stroke pneumonia. Grounded in behavioral science, the intervention was designed to engage both patients and caregivers through structured educational sessions and actionable coping strategies.

## 2 Materials and methods

### 2.1 Study design

This retrospective study evaluates stroke patients admitted to the general neurology ward of our hospital between January 2023 and December 2023. Among these patients, 50 underwent standard airway management (admitted January–June 2023), while the other 50 received airway management guided by the principles of the PMT (admitted July–December 2023). Patients were enrolled within 48 h of stroke onset and observed for 7 days with a study endpoint at discharge or 30 days post-admission, whichever came first.

### 2.2 Ethics statement

This study was conducted in accordance with the Declaration of Helsinki and approved by the Ethics Committee of Nanjing Gaochun People's Hospital (JS-NJ-010). Because the research relied solely on de-identified patient data and did not pose any risk or affect patient care, informed consent was not required for this retrospective study. This consent waiver was granted by the Institutional Review Board and Ethics Committee, adhering to the regulatory and ethical standards applicable to retrospective research.

### 2.3 Eligibility and grouping criteria

Inclusion criteria for the study were as follows: patients must meet the diagnostic criteria for stroke confirmed by MRI, CT, or other imaging modalities; be 18 years of age or older; voluntarily consent to participate in the study; possess a stable general condition and be conscious; have complete clinical data available; and be admitted to the general neurology ward within 48 h of stroke onset.

Exclusion criteria were as follows: patients with psychiatric or cognitive impairments; those with concurrent conditions such as intracranial tumors, meningiomas, head trauma, intracranial infections, shock, or a history of head surgery; patients with cardiac or renal insufficiency; those with local oral or pharyngeal lesions; and patients requiring immediate ICU admission.

### 2.4 Post-hoc power analysis

Prior to data analysis, a post-hoc power analysis was conducted using G\*Power 3.1.9.7 for t-tests under the “Means: Difference between two independent means (two groups)” option. The settings included a two-tailed test with an effect size ( $d$ ) of 0.6 and an alpha error probability ( $\alpha$ ) of 0.05. After inputting the sample sizes for the two groups ( $n = 50$  per group), the calculated power ( $1 - \beta$  error probability) was determined to be 0.844, indicating adequate statistical power for detecting meaningful differences between groups.

### 2.5 Treatment approach

**Control group (standard airway management):** The control group received comprehensive standard care including: (1) dietary guidance with texture modification based on dysphagia severity; (2) positioning with 30-degree head-of-bed elevation; (3) oral hygiene performed twice daily using chlorhexidine solution; (4) regular suctioning every 4–6 h or as needed; (5) chest physiotherapy including percussion and vibration; (6) repositioning every 2–3 h to alternate sides for bedridden patients; and (7) continuous monitoring of vital signs and oxygen saturation.

**PMT group (PMT-guided airway management):** Prior to intervention, a multidisciplinary team was formed comprising at least two attending physicians and three nurses trained in PMT principles. The PMT intervention included all standard care components plus:

- Structured educational sessions:** Daily 30-min sessions for 7 days covering: threat appraisal (understanding pneumonia

risks), coping appraisal (learning preventive strategies), self-efficacy building (practicing airway clearance techniques), and response efficacy (understanding intervention benefits).

- 2 **Enhanced monitoring protocol:** Oral cavity assessment every 2–4 h; tracheal humidity monitoring with pH detection every 6–12 h; increased inspection frequency to every 1–3 h for fixation verification.
- 3 **Family engagement program:** Training family members in basic respiratory assessment, mucus evaluation, and documentation using structured forms; provision of bedside educational materials and emergency contact protocols.
- 4 **Individualized risk assessment:** Comprehensive evaluation considering obesity (BMI > 30), existing pressure ulcers, frequent suctioning needs (>6 times/day), and other risk factors with tailored intervention protocols.
- 5 **Quality improvement activities:** Weekly team meetings to review adverse events, protocol adherence, and continuous skill enhancement through case discussions.

## 2.6 Diagnostic criteria for post-stroke pneumonia

Post-stroke pneumonia was diagnosed based on the following criteria within 7 days of admission: (1) new or progressive infiltrate on chest CT scan performed at clinical suspicion; (2) at least two of the following: fever >38 °C, leukocytosis >12,000/ $\mu$ L or leukopenia <4,000/ $\mu$ L, purulent sputum; (3) onset >48 h after stroke admission. Chest CT was typically performed within 3–5 days post-stroke or earlier if clinical symptoms developed.

## 2.7 General information

Demographic and disease-related characteristics of the patients were extracted from the medical records system. These included age, gender, body mass index (BMI), diabetes status, hypertension, smoking history, education level, marital status, employment status, stroke type and severity, level of consciousness, history of previous stroke, atrial fibrillation, hyperlipidemia, chronic kidney disease, coronary artery disease, antithrombotic treatment, dysphagia, and chronic obstructive pulmonary disease.

Statistical analyses were conducted on various treatment-related factors, including the average length of hospital stay, antibiotic usage, duration of intubation and oxygen therapy, incidence and onset time of pneumonia, pneumonia severity, rate of mechanical ventilation, duration of ICU stay, and occurrence of postoperative complications.

## 2.8 Pulmonary function

Pulmonary function and respiratory muscle strength were assessed post-care using a spirometer (Master Screen, CareFusion, Germany). During pulmonary function testing, patients stood upright with their heads in a neutral position, wearing a nose clip and holding the mouthpiece securely. The recorded parameters included forced expiratory volume in one second (FEV1), forced vital capacity (FVC), the ratio of FEV1 to FVC (FEV1/FVC), and peak expiratory flow rate.

For assessing respiratory muscle strength, patients were seated upright. They performed a maximal inspiration immediately following a maximal expiration, maintaining an uninterrupted effort, and then exhaled slowly. This process was repeated three times with a 10-s interval between attempts, and the highest value was recorded as the maximal inspiratory pressure (MIP). After a 10-min rest, patients quickly performed a maximal exhalation following a maximal inhalation, repeating this three times. The highest value obtained was recorded as the maximal expiratory pressure (MEP).

## 2.9 Assessment of stroke severity and level of consciousness

The National Institutes of Health Stroke Scale (NIHSS) was used to assess neurological impairment, with scores ranging from 0 to 42. Higher scores denote greater impairment. Specifically, a score of 0–1 suggests normal or near-normal status; 1–4 indicates a mild stroke or small stroke; 5–15 suggests a moderate stroke; 15–20 denotes a moderately severe stroke; and 21–42 reflects a severe stroke. The NIHSS has demonstrated a Cronbach's alpha coefficient of 0.689, indicating acceptable internal consistency (15).

The level of consciousness for both groups was assessed using the Glasgow Coma Scale (GCS), which has a total score of 15. Higher scores indicate greater alertness. The GCS has a Cronbach's alpha of 0.78, demonstrating good internal consistency (16).

## 2.10 Functional independent measurement (FIM)

The FIM was widely used to evaluate post-stroke participation. It encompasses six domains of daily function: self-care, sphincter control, transfer, locomotion, communication, and social cognition. The FIM consists of 18 items, each scored based on the level of assistance required, from 1 (complete dependence) to 7 (complete independence). The total score ranges from 18 to 126. The FIM demonstrates high internal consistency, with a Cronbach's alpha of 0.973 (17).

## 2.11 Barthel Index (BI)

The BI was an ordinal scale designed to assess performance in activities of daily living. Total scores range from 0, indicating total dependence, to 100, indicating total independence. Specifically, scores between 0 and 20 reflect complete dependence, 21–60 indicate severe dependence, 61–90 suggest moderate dependence, and 91–99 denote mild dependence. The scale has a Cronbach's alpha of 0.81, indicating good internal consistency (18).

## 2.12 State–trait anxiety inventory (STAI)

The STAI comprises 40 items, divided into the State Anxiety Scale (S-AI) and the Trait Anxiety Scale (T-AI). Each item was rated on a 1–4 scale, with reverse scoring applied to positively worded items. Both the S-AI and T-AI have maximum scores of

80, with higher scores reflecting more severe anxiety levels in patients. The scale's Cronbach's alpha was 0.842, indicating high reliability (19).

## 2.13 Patient health Questionnaire-9 (PHQ-9)

The PHQ-9 consists of 9 items, each rated on a 4-point scale from 0 to 3, resulting in a total score ranging up to 27. Higher scores indicate more severe levels of depression. The scale has a Cronbach's alpha of 0.78, demonstrating good reliability (20).

## 2.14 Montreal cognitive assessment (MoCA)

The MoCA scale comprises 11 items across eight cognitive domains: attention and concentration, executive functions, memory, language, visuospatial skills, abstract thinking, calculation, and orientation. The total score was 30 points, with scores of 26 or above signifying normal cognitive function. Scores between 21 and 25 suggest moderate cognitive impairment, while scores from 0 to 20 indicate severe cognitive impairment. The MoCA scale has a Cronbach's alpha coefficient of 0.87, reflecting strong internal consistency (21).

## 2.15 36-item short form health survey (SF-36)

The SF-36 was a health survey instrument divided into eight dimensions: physical functioning (PF), role limitations due to physical health (RP), bodily pain (BP), general health perceptions (GH), vitality (VT), social functioning (SF), role limitations due to emotional problems (RE), and mental health (MH). Each dimension was scored out of 100 points, with higher scores signifying better quality of life in that specific area. The SF-36 has a Cronbach's alpha coefficient of 0.87, indicating strong internal consistency (22).

## 2.16 Statistical analysis

The data were analyzed using SPSS 29.0 statistical software (SPSS Inc., Chicago, IL, USA). Categorical data were represented as [*n* (%)]. The chi-square test was applied in its standard form when the sample size was  $\geq 40$  and the theoretical frequency (*T*) was  $\geq 5$ , with the test statistic denoted by  $\chi^2$ . If the sample size was  $\geq 40$  but the theoretical frequency was between  $1 \leq T < 5$ , the chi-square test was adjusted using a correction formula. For sample sizes  $< 40$  or theoretical frequencies  $T < 1$ , Fisher's exact probability method was utilized for statistical analysis.

Continuous variables were tested for normal distribution using the Shapiro–Wilk method. For normally distributed data, results were presented in the format ( $\bar{X} \pm s$ ). Non-normally distributed data were analyzed using the Wilcoxon rank-sum test, and results were expressed as the [median (25% quantile, 75% quantile)]. A *p*-value of less than 0.05 was considered statistically significant.

## 3 Results

### 3.1 Demographic and basic data

In this study examining the impact of airway management guided by PMT on post-stroke pneumonia, we analyzed the baseline demographic characteristics of the participants across the conventional group and the PMT group, each consisting of 50 individuals (Table 1). The mean age of participants was similar between the groups, with the conventional group at  $68.24 \pm 7.54$  years and the PMT group at  $67.43 \pm 8.13$  years ( $p = 0.607$ ). Gender distribution was also comparable, with 50.00% males in the conventional group and 56.00% males in the PMT group ( $p = 0.548$ ). BMI variations were minor between groups ( $p = 0.777$ ). The prevalence of hypertension ( $p = 0.673$ ) and diabetes mellitus ( $p = 0.648$ ) showed no statistically significant differences. Smoking status was nearly identical with 28.00% in the conventional group compared to 26.00% in the PMT group ( $p = 0.822$ ). Additionally, no significant differences were found concerning education level, marital status, or employment status ( $p > 0.05$ ). These results suggest homogeneity in demographic characteristics across the study groups, indicating a balanced allocation of participants.

### 3.2 Baseline disease-related features

The distribution of stroke types was similar, with 82% ischemic and 18% hemorrhagic in the conventional group, compared to 80% ischemic and 20% hemorrhagic in the PMT group ( $p = 0.799$ ) (Table 2). Stroke severity, as measured by the NIHSS, averaged  $7.52 \pm 2.35$  in the conventional group and  $7.35 \pm 2.14$  in the PMT group ( $p = 0.720$ ). Consciousness levels were assessed using the GCS, with scores of  $11.24 \pm 1.87$  and  $11.64 \pm 1.54$  for the conventional and PMT groups, respectively ( $p = 0.251$ ). Additionally, previous stroke history, atrial fibrillation, hyperlipidemia, chronic kidney disease, coronary artery disease, and antithrombotic therapy usage were comparable between the groups. Dysphagia was present in 42% of the conventional group and 36% of the PMT group ( $p = 0.539$ ), while chronic obstructive pulmonary disease was noted in 12 and 16% of participants, respectively ( $p = 0.564$ ). These findings indicate that the two groups were well-matched with respect to baseline disease-related characteristics.

### 3.3 Respiratory function and rehabilitation

The PMT group demonstrated a higher FVC of  $2.46 \pm 0.68$  L compared to  $2.15 \pm 0.56$  L in the conventional group ( $t = 2.530$ ,  $p = 0.013$ ) (Table 3). Additionally, peak expiratory flow rate was significantly greater in the PMT group at  $380.47 \pm 50.26$  L/min, versus  $350.47 \pm 45.37$  L/min in the conventional group ( $t = 3.133$ ,  $p = 0.002$ ). Improvements were also noted in inspiratory muscle strength, with the PMT group achieving  $50.62 \pm 9.15$  cmH<sub>2</sub>O compared to  $45.26 \pm 8.12$  cmH<sub>2</sub>O in the conventional group ( $t = 3.096$ ,  $p = 0.003$ ), and in expiratory muscle strength, where the PMT group recorded  $67.29 \pm 5.75$  cmH<sub>2</sub>O against  $64.14 \pm 5.48$  cmH<sub>2</sub>O in the conventional group ( $t = 2.802$ ,  $p = 0.006$ ). There was no statistically significant difference in the FEV1/FVC ratio between the groups ( $t = 0.959$ ,  $p = 0.340$ ). Overall, the PMT-guided intervention was associated with improved respiratory function parameters.

### 3.4 Treatment related variables

The PMT group exhibited a shorter average hospital stay of  $13.47 \pm 3.86$  days compared to  $15.72 \pm 4.36$  days in the conventional group ( $t = 2.734$ ,  $p = 0.007$ ) (Table 4). Additionally, the duration of oxygen therapy was significantly reduced in the PMT group, averaging  $8.96 \pm 1.96$  days as opposed to  $10.28 \pm 2.15$  days in the conventional group ( $t = 3.212$ ,  $p = 0.002$ ). The necessity for intubation was markedly lower in the PMT group,

with only 10.00% requiring intubation compared to 26.00% in the conventional group ( $\chi^2 = 4.336$ ,  $p = 0.037$ ). There were no statistically significant differences in the rates of antibiotic use between the groups, with 80.00% in the conventional group and 70.00% in the PMT group utilizing antibiotics ( $\chi^2 = 1.333$ ,  $p = 0.248$ ). These findings suggest that the PMT-guided intervention may lead to improved treatment outcomes, characterized by reduced hospital stay, decreased duration of oxygen therapy, and less need for intubation.

TABLE 1 Demographic characteristics of the study population.

| Characteristic                 | Conventional group ( $n = 50$ ) | PMT group ( $n = 50$ ) | $t/\chi^2$ | $p$   |
|--------------------------------|---------------------------------|------------------------|------------|-------|
| Age (years)                    | $68.24 \pm 7.54$                | $67.43 \pm 8.13$       | 0.516      | 0.607 |
| Gender (male, %)               | 25 (50.00%)                     | 28 (56.00%)            | 0.361      | 0.548 |
| BMI ( $\text{kg}/\text{m}^2$ ) | $26.73 \pm 3.48$                | $26.54 \pm 3.26$       | 0.283      | 0.777 |
| Hypertension (%)               | 34 (68.00%)                     | 32 (64.00%)            | 0.178      | 0.673 |
| Diabetes mellitus (%)          | 14 (28.00%)                     | 12 (24.00%)            | 0.208      | 0.648 |
| Smoking status (%)             | 14 (28.00%)                     | 13 (26.00%)            | 0.051      | 0.822 |
| Education level (%)            |                                 |                        | 0.885      | 0.829 |
| No formal education            | 2 (4.00%)                       | 4 (8.00%)              |            |       |
| High school                    | 20 (40.00%)                     | 18 (36.00%)            |            |       |
| College/University             | 23 (46.00%)                     | 22 (44.00%)            |            |       |
| Postgraduate                   | 5 (10.00%)                      | 6 (12.00%)             |            |       |
| Marital status (%)             |                                 |                        | 0.672      | 0.714 |
| Single                         | 11 (22.00%)                     | 8 (16.00%)             |            |       |
| Married                        | 29 (58.00%)                     | 30 (60.00%)            |            |       |
| Widowed/divorced               | 10 (20.00%)                     | 12 (24.00%)            |            |       |
| Employment status (%)          |                                 |                        | 0.727      | 0.695 |
| Employed                       | 18 (36.00%)                     | 20 (40.00%)            |            |       |
| Retired                        | 23 (46.00%)                     | 24 (48.00%)            |            |       |
| Unemployed                     | 9 (18.00%)                      | 6 (12.00%)             |            |       |

TABLE 2 Baseline disease-related features of the study population.

| Feature  | Conventional group ( $n = 50$ ) | PMT group ( $n = 50$ ) | $t/\chi^2$ | $p$   |
|--|---------------------------------|------------------------|------------|-------|
| Type of stroke (%)                               |                                 |                        | 0.065      | 0.799 |
| Ischemic   | 41 (82.00%)                     | 40 (80.00%)            |            |       |
| Hemorrhagic                                      | 9 (18.00%)                      | 10 (20.00%)            |            |       |
| Stroke severity (NIHSS)                          | $7.52 \pm 2.35$                 | $7.35 \pm 2.14$        | 0.359      | 0.720 |
| Consciousness level (GCS)                        | $11.24 \pm 1.87$                | $11.64 \pm 1.54$       | 1.154      | 0.251 |
| Previous stroke history (%)                      | 18 (36.00%)                     | 17 (34.00%)            | 0.044      | 0.834 |
| Atrial fibrillation (%)                          | 13 (26.00%)                     | 11 (22.00%)            | 0.219      | 0.640 |
| Hyperlipidemia (%)                               | 29 (58.00%)                     | 32 (64.00%)            | 0.378      | 0.539 |
| Chronic kidney disease (%)                       | 8 (16.00%)                      | 9 (18.00%)             | 0.071      | 0.790 |
| Coronary artery disease (%)                      | 16 (32.00%)                     | 17 (34.00%)            | 0.045      | 0.832 |
| Antithrombotic therapy usage (%)                 | 31 (62.00%)                     | 29 (58.00%)            | 0.167      | 0.683 |
| Dysphagia present (%)                            | 21 (42.00%)                     | 18 (36.00%)            | 0.378      | 0.539 |
| Chronic obstructive pulmonary disease (COPD) (%) | 6 (12.00%)                      | 8 (16.00%)             | 0.332      | 0.564 |



TABLE 3 Respiratory function and rehabilitation.

| Respiratory parameter                            | Conventional group ( <i>n</i> = 50) | PMT group ( <i>n</i> = 50) | <i>t</i> | <i>p</i> |
|--|-------------------------------------|----------------------------|----------|----------|
| Forced vital capacity (FVC, L)                   | 2.15 ± 0.56                         | 2.46 ± 0.68                | 2.530    | 0.013    |
| FEV1/FVC ratio                                   | 80.26 ± 5.14                        | 81.16 ± 4.15               | 0.959    | 0.340    |
| Peak expiratory flow rate (L/min)                | 350.47 ± 45.37                      | 380.47 ± 50.26             | 3.133    | 0.002    |
| Inspiratory muscle strength (cmH <sub>2</sub> O) | 45.26 ± 8.12                        | 50.62 ± 9.15               | 3.096    | 0.003    |
| Expiratory muscle strength (cmH <sub>2</sub> O)  | 64.14 ± 5.48                        | 67.29 ± 5.75               | 2.802    | 0.006    |

TABLE 4 Treatment related variables.

| Variable                       | Conventional group ( <i>n</i> = 50) | PMT group ( <i>n</i> = 50) | <i>t/χ<sup>2</sup></i> | <i>p</i> |
|--------------------------------|-------------------------------------|----------------------------|------------------------|----------|
| Average hospital stay (days)   | 15.72 ± 4.36                        | 13.47 ± 3.86               | 2.734                  | 0.007    |
| Use of antibiotics (%)         | 40 (80.00%)                         | 35 (70.00%)                | 1.333                  | 0.248    |
| Intubation necessity (%)       | 13 (26.00%)                         | 5 (10.00%)                 | 4.336                  | 0.037    |
| Oxygen therapy duration (days) | 10.28 ± 2.15                        | 8.96 ± 1.96                | 3.212                  | 0.002    |

TABLE 5 Incidence of post-stroke pneumonia.

| Outcome                         | Conventional group ( <i>n</i> = 50) | PMT group ( <i>n</i> = 50)         | <i>t/χ<sup>2</sup></i> | <i>p</i> |
|---------------------------------|-------------------------------------|------------------------------------|------------------------|----------|
| Pneumonia incidence (%)         | 17 (34.00%)                         | 8 (16.00%)                         | 4.320                  | 0.038    |
| Time to onset (days)            | 5.21 ± 1.56                         | 4.32 ± 1.24                        | 3.176                  | 0.002    |
| Severity (mild/moderate/severe) | 9 (18.00%)/29 (58.00%)/12 (24.00%)  | 19 (38.00%)/26 (52.00%)/5 (10.00%) | 6.617                  | 0.037    |
| Mechanical ventilation (%)      | 12 (24.00%)                         | 4 (8.00%)                          | 4.762                  | 0.029    |
| Length of ICU stay (days)       | 6.35 ± 2.54                         | 5.31 ± 1.83                        | 2.348                  | 0.021    |

TABLE 6 Cognitive outcomes.

| Cognitive measure           | Conventional group ( <i>n</i> = 50) | PMT group ( <i>n</i> = 50) | <i>t</i> | <i>p</i> |
|-----------------------------|-------------------------------------|----------------------------|----------|----------|
| Cognitive assessment (MoCA) | 21.35 ± 3.84                        | 23.58 ± 4.06               | 2.823    | 0.006    |
| Memory recall test (score)  | 7.63 ± 1.65                         | 8.41 ± 1.58                | 2.424    | 0.017    |
| Attention span (score)      | 6.54 ± 1.43                         | 7.36 ± 1.24                | 3.058    | 0.003    |
| Processing speed (sec)      | 39.23 ± 4.58                        | 38.16 ± 4.05               | 1.239    | 0.218    |
| Executive function (score)  | 8.67 ± 1.96                         | 9.62 ± 1.83                | 2.512    | 0.014    |

### 3.5 Incidence of post-stroke pneumonia

The incidence of pneumonia was significantly lower in the PMT group at 16.00% compared to 34.00% in the conventional group ( $\chi^2 = 4.320$ ,  $p = 0.038$ ) (Table 5). This represents an absolute risk reduction of 18% and a number needed to treat (NNT) of 5.6, indicating that approximately 6 patients need to be treated with PMT-guided management to prevent one case of post-stroke pneumonia. The average time to pneumonia onset was also reduced in the PMT group, recorded at  $4.32 \pm 1.24$  days, as opposed to  $5.21 \pm 1.56$  days in the conventional group ( $t = 3.176$ ,  $p = 0.002$ ). Pneumonia severity distribution favored the PMT group, with fewer severe cases (18.00% mild, 58.00% moderate, and 24.00% severe in the conventional group versus 38.00% mild, 52.00% moderate, and 10.00% severe in the PMT group;  $\chi^2 = 6.617$ ,  $p = 0.037$ ). Additionally, the need for mechanical ventilation was significantly lower in the PMT group, at 8.00% compared with 24.00% in the conventional group ( $\chi^2 = 4.762$ ,  $p = 0.029$ ). The length of ICU stay was also shorter in the PMT group, averaging  $5.31 \pm 1.83$  days versus  $6.35 \pm 2.54$  days in the conventional group ( $t = 2.348$ ,  $p = 0.021$ ). These results indicate that

PMT-guided management significantly reduces the incidence, early onset, and severity of post-stroke pneumonia, and decreases the need for mechanical ventilation and ICU stay duration.

### 3.6 Functional outcomes after treatment

The analysis of functional outcomes following treatment revealed that the PMT group had a significantly higher Functional Independence Measurement (FIM) score, averaging  $91.38 \pm 7.12$ , compared to  $87.82 \pm 7.25$  in the conventional group ( $t = 2.477$ ,  $p = 0.015$ ), indicating greater independence in daily activities (Table 6). The Barthel Index (BI) scores showed no statistically significant difference between the groups, with the PMT group scoring  $68.42 \pm 14.57$  and the conventional group scoring  $65.26 \pm 13.42$  ( $t = 1.126$ ,  $p = 0.263$ ). These results suggest that airway management guided by PMT positively influences functional independence post-treatment, although the overall daily living abilities, as measured by the Barthel Index, did not differ significantly (Figure 1).

### 3.7 Psychological assessment

Psychological assessments post-treatment indicated that the PMT group experienced a significantly lower level of depression, with a PHQ-9 score of  $12.05 \pm 3.12$  compared to  $13.46 \pm 3.56$  in the conventional group ( $t = 2.103$ ,  $p = 0.038$ ), suggesting a beneficial impact of the intervention on depressive symptoms (Table 7). However, there was no statistically significant difference in anxiety levels between the groups; the STAI score was  $40.26 \pm 7.65$  in the PMT group and  $42.15 \pm 8.38$  in the conventional group ( $t = 1.181$ ,  $p = 0.240$ ). These results imply that while the PMT-guided airway management approach can reduce depression, it does not significantly affect anxiety levels in post-stroke patients (Figure 2).

### 3.8 Cognitive outcomes

The MoCA score was higher in the PMT group, averaging  $23.58 \pm 4.06$  compared to  $21.35 \pm 3.84$  in the conventional group ( $t = 2.823$ ,  $p = 0.006$ ), demonstrating enhanced overall cognitive function (Table 6). While this difference is statistically significant, it should be noted that both groups remained below the normal cognitive threshold of 26 points, indicating persistent moderate cognitive impairment. Memory recall improved markedly in the PMT group, with a score of  $8.41 \pm 1.58$  versus  $7.63 \pm 1.65$  in the conventional

group ( $t = 2.424$ ,  $p = 0.017$ ). Additionally, the PMT group showed superior attention span scores ( $7.36 \pm 1.24$ ) compared to the conventional group ( $6.54 \pm 1.43$ ;  $t = 3.058$ ,  $p = 0.003$ ) and better performance in executive function ( $9.62 \pm 1.83$  versus  $8.67 \pm 1.96$ ;  $t = 2.512$ ,  $p = 0.014$ ). However, no statistically significant difference was observed in processing speed, with the PMT group recording  $38.16 \pm 4.05$  s and the conventional group  $39.23 \pm 4.58$  s ( $t = 1.239$ ,  $p = 0.218$ ). These findings suggest that airway management guided by PMT significantly enhances cognitive function in areas such as general cognition, memory, attention, and executive function in post-stroke patients.

### 3.9 Health-related quality of life (SF-36)

PF scores were notably higher in the PMT group, averaging  $50.24 \pm 7.82$  compared to  $45.62 \pm 8.91$  in the conventional group ( $t = 2.753$ ,  $p = 0.007$ ) (Table 7). MH was also enhanced, with PMT participants scoring  $46.83 \pm 8.51$  versus  $42.35 \pm 9.12$  in the conventional group ( $t = 2.542$ ,  $p = 0.013$ ). SF improved significantly in the PMT group, with scores of  $51.52 \pm 7.03$  compared to  $48.05 \pm 7.24$  in the conventional group ( $t = 2.434$ ,  $p = 0.017$ ). Additionally, BP scores were lower in the PMT group, indicating less perceived pain ( $4.98 \pm 1.85$  vs.  $5.87 \pm 2.16$ ;  $t = 2.223$ ,  $p = 0.029$ ). VT scores were higher as well, with the PMT group achieving  $44.76 \pm 7.31$  compared to  $40.16 \pm 7.65$  in the conventional group ( $t = 3.077$ ,  $p = 0.003$ ). These findings suggest that airway management guided by PMT significantly enhances several aspects of health-related quality of life in post-stroke patients.

### 3.10 Complications related to airway management

The incidence of aspiration events was reduced to 4.00% in the PMT group, compared to 18.00% in the conventional group ( $\chi^2 = 5.005$ ,  $p = 0.025$ ) (Table 8). Hypoxia occurred less frequently in the PMT group, with an incidence of 2.00% compared to 16.00% in the conventional group ( $\chi^2 = 4.396$ ,  $p = 0.036$ ). Similarly, infection at the insertion site was significantly lower in the PMT group at 2.00%, compared to 16.00% in the conventional group ( $\chi^2 = 4.396$ ,  $p = 0.036$ ). There were no statistically significant differences in the rates of tracheal injury ( $p = 0.610$ ) or intubation difficulty ( $p = 0.505$ ) between the groups. These results suggest that airway management guided by PMT effectively reduces the risk of certain complications associated with airway management in post-stroke patients.

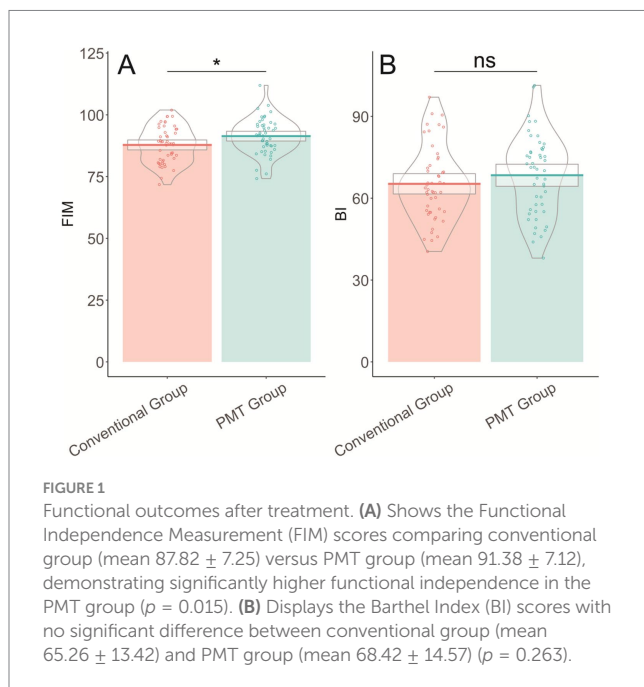


TABLE 7 Health-related quality of life (SF-36).

| Quality of life parameter    | Conventional group ( $n = 50$ ) | PMT group ( $n = 50$ ) | $t$   | $p$   |
|------------------------------|---------------------------------|------------------------|-------|-------|
| Physical functioning (score) | $45.62 \pm 8.91$                | $50.24 \pm 7.82$       | 2.753 | 0.007 |
| Mental health (score)        | $42.35 \pm 9.12$                | $46.83 \pm 8.51$       | 2.542 | 0.013 |
| Social functioning (score)   | $48.05 \pm 7.24$                | $51.52 \pm 7.03$       | 2.434 | 0.017 |
| Bodily pain (score)          | $5.87 \pm 2.16$                 | $4.98 \pm 1.85$        | 2.223 | 0.029 |
| Vitality (score)             | $40.16 \pm 7.65$                | $44.76 \pm 7.31$       | 3.077 | 0.003 |

## 4 Discussion

Central to the improved outcomes was the PMT approach's emphasis on enhancing both patient and caregiver engagement through structured education and empowerment. By focusing on the principles of threat appraisal and coping strategies inherent in PMT, the intervention effectively motivated patients and their families to adhere to airway management practices. This motivation was possibly key to the reduction in pneumonia incidences. Enhanced vigilance toward potential complications like extubation or airway obstruction fosters an environment of proactive healthcare, thus mitigating risks associated with pneumonia and mechanical ventilation.

The incorporation of PMT appears to significantly impact respiratory function, as evidenced by improvements in forced vital capacity, peak expiratory flow rate, and respiratory muscle strength. These enhancements could be attributed to the increased frequency of monitoring and the participatory role of family members in the intervention group (23). Encouraging active involvement in care routines, including repositioning and percussion, likely leads to improved secretion clearance and lung expansion (24). Additionally, the implementation of structured health education sessions enhances understanding of the pathophysiological implications of airway management, motivating adherence and potentially resulting in improved compliance with airway exercises (25).

The PMT framework establishes clear linkages between cognitive appraisal processes and physiological outcomes through several

mechanisms. First, threat appraisal enhances patients' understanding of pneumonia severity, leading to increased vigilance in performing preventive behaviors. Second, self-efficacy building through hands-on training enables patients to effectively perform airway clearance techniques, directly improving respiratory parameters. Third, response efficacy education helps patients understand how specific interventions (such as positioning and oral hygiene) directly prevent aspiration, thereby increasing adherence (26). These cognitive-behavioral changes translate into measurable physiological improvements through consistent practice of protective behaviors and early intervention when complications arise (27).

Furthermore, the structured PMT intervention resulted in significantly shorter hospital stays and a reduced need for intubation and oxygen therapy (28). The cognitive framework based on PMT likely drives more diligent adherence to care protocols, minimizing the occurrence and severity of conditions that necessitate such interventions (29). This framework promotes anticipatory guidance and decision-making that aids in promptly addressing adverse symptoms or conditions.

The reduced incidence and severity of post-stroke pneumonia in the PMT group can be partially explained by the intervention's tailored approach that factors in individual patient risks, such as obesity or existing ulcers, and adjusts management strategies accordingly. The meticulous assessment of each patient's condition allows for timely interventions that address specific vulnerabilities, thereby helping to preempt the development of pneumonia (30). The PMT approach also likely fosters a more robust immune response by minimizing stress and promoting effective coping mechanisms, which were known to inversely impact susceptibility to infections (31).

Cognitive and psychological improvements observed in the PMT group reflect the intervention's holistic approach, integrating cognitive-behavioral strategies with practical airway management techniques. The active involvement of patients in their care plan through self-scoring systems and regular discussions enhances cognitive engagement, which might correlate with improved cognitive outcomes like memory recall and executive function (32). The reduction in PHQ-9 scores in the PMT group suggests that empowerment through education and active participation has a positive impact on MH, potentially through increased self-efficacy and reduced anxiety about the disease process (33). While the reduction in depression scores was statistically significant, it should be noted that this improvement may be multifactorial, influenced not only by the PMT intervention but also by factors such as reduced hospital stay and improved functional outcomes (34).

The results indicate that PMT-guided interventions not only reduce the physical complications associated with post-stroke management but also promote enhanced psychological wellbeing and

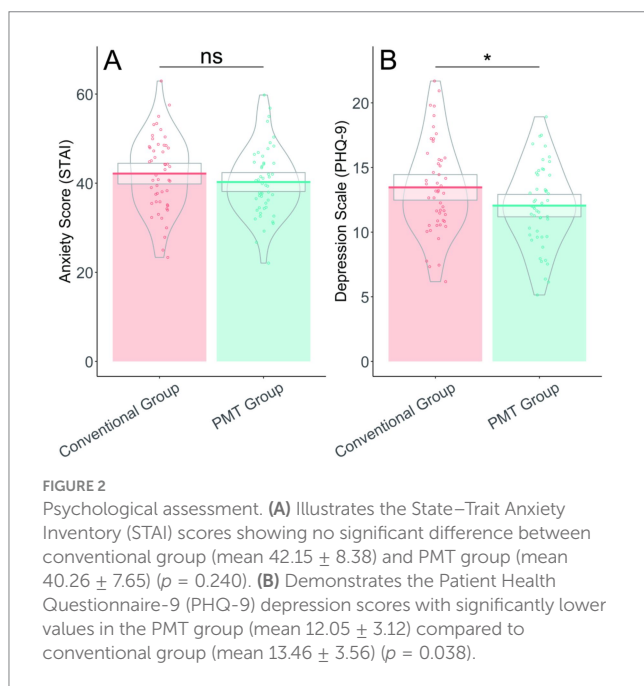


TABLE 8 Complications related to airway management.

| Complication                    | Conventional group (n = 50) | PMT group (n = 50) | $\chi^2$ | p     |
|---------------------------------|-----------------------------|--------------------|----------|-------|
| Aspiration event (%)            | 9 (18.00%)                  | 2 (4.00%)          | 5.005    | 0.025 |
| Hypoxia incidence (%)           | 8 (16.00%)                  | 1 (2.00%)          | 4.396    | 0.036 |
| Tracheal injury (%)             | 3 (6.00%)                   | 1 (2.00%)          | 0.260    | 0.610 |
| Infection at insertion site (%) | 8 (16.00%)                  | 1 (2.00%)          | 4.396    | 0.036 |
| Intubation difficulty (%)       | 6 (12.00%)                  | 4 (8.00%)          | 0.444    | 0.505 |



cognitive recovery. Education and social support systems embedded in PMT could lead to patients experiencing reduced feelings of helplessness, which were known to exacerbate depression and anxiety in stroke patients (35). Thus, the psychological and cognitive benefits likely stem from the comprehensive engagement encouraged by PMT.

Comparing our findings with existing literature, several studies have demonstrated the efficacy of structured interventions in reducing post-stroke pneumonia. A recent systematic review by Chen et al. reported that multimodal interventions incorporating dysphagia screening and oral care reduced pneumonia incidence by 15–20%, similar to our 18% absolute risk reduction (36). However, our study is among the first to apply PMT specifically to airway management in stroke patients. Previous applications of PMT in chronic disease management, such as diabetes and hypertension, have shown improvements in medication adherence and self-care behaviors, with effect sizes ranging from 0.4 to 0.7 (37). Our findings extend this evidence to acute stroke care, demonstrating that theory-based interventions can effectively reduce complications even in cognitively impaired populations (38).

Notably, the study highlights an intriguing interplay between educational interventions and physiological outcomes. By promoting understanding and anticipation of potential complications, the PMT framework likely improves adherence to medical advice, enhancing overall patient outcomes (39). Family involvement, encouraged by the PMT intervention, acts as a supplementary system of care, offering emotional support and reinforcing adherence to prescribed health behaviors (40). This supplementary support structure can be crucial in maintaining motivation and consistent care practices, leading to reduced respiratory and functional complications.

An area of discussion centers on the potential scalability and generalizability of PMT-guided management. The structured nature and the ability to tailor interventions based on patient-specific factors suggest that such an approach could be effectively adapted across diverse clinical settings and patient populations. However, further research focusing on long-term outcomes, cost-effectiveness, and implementation barriers was necessary to delineate the broader applicability of PMT in clinical practice.

While this study provides valuable insights into the impact of PMT-guided airway management on post-stroke pneumonia outcomes, there were several limitations that must be acknowledged. Firstly, the study's sample size, though adequate for preliminary analysis based on our post-hoc power calculation (power = 0.844), may limit the generalizability of the findings across larger and more diverse populations. Additionally, the retrospective design introduces potential selection bias, as patients were grouped based on chronological admission periods rather than randomization. The comprehensive data collection in our study, while unusual for retrospective research, was facilitated by our institution's standardized stroke care protocols and electronic medical records system. Nevertheless, the study's design, relying largely on short-term follow-up (30 days maximum), does not capture long-term effects and sustainability of adherence to the PMT-guided protocols. The potential influence of unmeasured confounding variables, such as varying levels of caregiver support and differences in healthcare access, may also have impacted the outcomes. Furthermore, the reliance on self-reported adherence and psychological measures introduces the risk of response bias. The lack of detailed prospective sample size calculation, though

addressed through post-hoc analysis, represents a methodological limitation. Future research should aim to address these limitations by employing larger, multi-center randomized controlled trials with extended follow-up periods and incorporating objective measures of adherence and psychological wellbeing to validate the robustness and applicability of the PMT framework in a broader clinical context.

## 5 Conclusion

In conclusion, the study underscores the critical impact of PMT-guided airway management on the prognosis of post-stroke pneumonia. The results highlight the importance of patient and caregiver involvement, facilitated through structured educational interventions and personalized care strategies. Through enhancing respiratory, psychological, and cognitive outcomes, the PMT framework offers a promising approach to managing post-stroke complications. The findings support the integration of behavioral theories into clinical protocols and warrant further investigation through prospective, randomized controlled trials to establish the long-term efficacy and cost-effectiveness of PMT-guided interventions in stroke care.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors without undue reservation.

## Ethics statement

The studies involving humans were approved by the Ethics Committee of Nanjing Gaochun People's Hospital (Approval number: JS-NJ010). The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation was not required from the participants or the participants' legal guardians/next of kin in accordance with the national legislation and institutional requirements.

## Author contributions

QL: Software, Writing – original draft, Writing – review & editing. LW: Methodology, Writing – original draft, Writing – review & editing. PX: Project administration, Writing – original draft. LL: Software, Writing – original draft. CZ: Formal analysis, Writing – original draft. SL: Validation, Writing – original draft. SX: Conceptualization, Writing – original draft, Writing – review & editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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