



# Evaluating the Effect of Repetitive Transcranial Magnetic Stimulation on Disorders of Consciousness by Using TMS-EEG

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**Background:** The modulation efficacy of Transcranial magnetic stimulation (TMS) on consciousness improvement of patient with disorder of consciousness (DOC) has not been definitely confirmed.

**Objective:** This study proposes TMS-EEG to assess effects of repetitive TMS (rTMS) on brain modulation of DOC.

**Methods:** Twenty sessions of 10 Hz rTMS were applied over the dorsolateral prefrontal cortex for a patient with DOC. Measures of Coma Recovery Scale-Revised (CRS-R) score, TMS-evoked potential (TEP), perturbation complexity index (PCI), and global mean field power (GMFP) were used to evaluate the consciousness level of the patient at three intervals: before the rTMS protocol (T0), immediately after one session rTMS (T1), and immediately after 20 sessions (T2).

**Results:** It was found that the patient was diagnosed of a minimally conscious state minus (MCS-) by means of CRS-R at the interval of T0, however the TEP and PCI indicated the patient was vegetative state (VS). At the interval of T1, there was not any clinical behavioral improvement in CRS-R, but we could find significant changes in TEP, PCI, and GMFP. At the interval of T2 there was a significant increase of consciousness level according by CRS-R score, PCI value, TEP, and GMFP after 20 sessions of 10 Hz rTMS on the patient with DOC.

**Conclusions:** We demonstrated that TMS-EEG might be an efficient assessment tool for evaluating rTMS protocol therapeutic efficiency in DOC.

**Keywords:** TMS-EEG, disorder of consciousness, rTMS, perturbation complexity index, EEG

## INTRODUCTION

Although, some studies have attempted to demonstrate some pharmacologic or nonpharmacologic effects, until now there were no evidence-based guidelines regarding the treatment of patients with DOC (Bernat et al., 2006). Recently, few case reports have addressed the application of rTMS on consciousness improve in patients of vegetative state (VS) or minimally consciousness state (MCS) (Lefaucheur et al., 2014). Effects of high frequency rTMS on MCS have been reported in several patients. A recent case report demonstrated that a patient in MCS has meaningful behaviors increase after received three sessions 20 Hz rTMS on the primary motor cortex (M1), it suggested that the rTMS might improve consciousness of MCS patient (Piccione et al., 2011). In a previous study (Manganotti et al., 2013), six patients in VS and MCS received one session of 20 Hz rTMS on M1 region, and one MCS patient has significant clinical and EEG modification. In a study (Naro et al., 2015), by using a protocol of 10 Hz rTMS over dorsolateral prefrontal cortex (DLPFC), three of ten patients with MCS have significant clinical improvement. Also, it was found that there was a significant improvement for VS patients after rTMS modulation. Until now, a case study was only reported that, when TMS deliver to DLPFC, a 30 sessions rTMS protocol could improve neurobehavioral change of VS patient (Louise-Bender Pape et al., 2009). Basically, rTMS modulation for DOC patient might be available.

How to evaluate the modulation of rTMS on the DOC patient is a critical issue. The current gold standard for assessing consciousness state is based on the standard behavioral assessment (Monti and Sannita, 2016). However, possible confounding factors and mechanisms underlying impaired brain function may not be fully considered. The absence of behavioral evidence of command following was not necessarily indicative of the true absence of consciousness (Owen et al., 2006). It was reported that the behavioral abilities could fluctuate across time which would cause mis-diagnostic (Monti and Sannita, 2016). Recently, several “stimulate-response” methods, such as short-latency evoked potentials (Cavinato et al., 2009; Ragazzoni et al., 2013), event-related potentials (Kotchoubey et al., 2005; Rohaut et al., 2015), and motor evoked amplitude (Naro et al., 2015) have been used as more objective assessment methods for the consciousness level of patient with DOC. However, the proposed methods did not consider the integrity of sensory or peripheral nerve pathways of DOC. Thus, it is necessary to develop a new and reliable method to accurately assess the clinical variety in DOC treatment.

Recently TMS-EEG was proposed to evaluate the consciousness state in severely brain-injured and disable of communication patients (Rosanova et al., 2012), and it could invariably trigger complex activations that sequentially involved distant cortical areas ipsi- and contralateral to the site of stimulation in MCS which was different from VS. TMS-EEG technique could directly detect the relationship between non-invasive stimulation and cortical response, and it should not depend on the condition of participant.

Although, TMS-EEG has been demonstrated helpful in differentiating MCS from VS, there were rarely studies using this

technology to assess the efficiency of present therapy in DOC. The primary aim of this study was to explore TMS-EEG evidence in consciousness recovery during a therapy of rTMS protocol. The second aim was to support an example of using TMS-EEG to assess the therapy efficiency in DOC.

## BACKGROUND

The patient is a woman age 47 with brain injury induced by hypertensive cerebral hemorrhage of right basal ganglia region. Post-injury, the patient remained behaviorally unresponsive for a period of 2 months based on available records and appeared unstable source location of pain after 8 weeks of injury. At about 10 weeks post-injury, the patient was transferred to a comprehensive inpatient brain injury rehabilitation program where physical, occupational, speech, and related therapies were performed for the next 7 months. But no distinct behaviorally improvement during this period. It was 9 months after-injury when she began to accept rTMS therapy, she was diagnosed as MCS- by CRS-R. She could open her eyes spontaneously, and blink when received big sound stimulation like clap but can't locate the sound source, noxious stimulation withdrawing the respective limb from the pain source. She can't sound and had no any commands following response, her mouth had reflex movement. Her caregivers reports that, she had relative stable sleeping time in afternoon and after midnight.

## MATERIALS AND METHODS

### Subjects

Written informed consent to participate in the study was obtained from the patient's caregivers. In order to indicate the difference of TMS-evoked potential (TEP) between the patient with DOC and controls, five age matched female healthy subjects age 43–50 participated to this study to obtain the mean control TEP. Written informed consent to subjects in the study was obtained. The present study was approved by ethics committee of Beijing Army General Hospital.

### Stimulation Protocol

The process of the intervention and evaluation could be found in **Figure 1**. The patient received active 10 Hz rTMS over left DLPFC. Different from similar study (Naro et al., 2015), our protocol lasted 20 consecutive days. Daily sessions of intervention consisted of 1000 pulses (10 Hz) at an intensity of 90% RMT. The stimulation of one session included 10 trains, each train lasted 10 s with a 60 s inter-train pause. The rise time of the magnetic monophasic stimulus was about 100  $\mu$ s and time to zero was about 800  $\mu$ s. TMS pulses were delivered using a Magstim R<sup>2</sup> stimulator with a 70 mm figure-of-eight coil (Magstim Company Limited, Whitland, UK). Stimulation intensity varied across this experiment was determined relative to the resting motor threshold (RMT), defined as the lowest TMS intensity which could evoke at least 5 out of 10 EMG with an amplitude >50  $\mu$ V peak-to-peak in the relaxed first dorsal interosseous muscle of the right hand. To avoid



## EEG Analysis

### Evoked Potential by TMS

Off-line analysis was performed with EEGLAB 12.0.2.5b, running in a MATLAB environment (Version 2013b, MathWorks Inc., Natick, USA). The continuous EEG signal was segmented into epochs starting the TMS pulse onset and ending 300 ms (Massimini et al., 2005; Ferrarelli et al., 2010; Ferreri et al., 2011) after it. After this, data 20 ms after TMS pulse were removed from each trial to exclude the TMS artifact through the cubic interpolation function of MATLAB (Thut et al., 2011). Independent component analysis (ICA) function was used to identify the TMS unrelated artifacts (such as eye movement and muscle artifacts). Then each component was visually inspected in terms of scalp distribution, frequency, timing, and amplitude. The components deemed as artifact were removed with ICA (Casula et al., 2014). The 50 Hz artifact was removed from remaining trials using a notch filter. Then, EEG data were average referenced; down-sampled to 500 Hz, band pass filtered (1–80 Hz), and baseline corrected over 300 ms pre-stimulus. Single trails were carefully inspected to ensure absence of residual TMS artifacts. Each TMS-evoked response was obtained by averaging 150–200 artifact-free trials.

### Perturbation Complexity Index

An index of consciousness, called the perturbation complexity index (PCI), was applied to evaluate the consciousness level of the patient. The PCI was proposed before (Casali et al., 2013), the calculation mainly includes three steps. Firstly, TMS evoked cortical perturbation (300 ms after TMS pulse) which was recorded by high-density EEG (62 channels in this study). Then source modeling was performed and nonparametric statistics extracted a binary matrix  $[SS(x, t)]$  which describes the spatiotemporal pattern of activation caused by the TMS perturbation. At last, the Lempel-Ziv complexity index was used to compress the matrix. The PCI index was calculated as the normalized Lempel-Ziv complexity. The PCI is expected to be low if there is reduced interaction among cortical areas and will be high if interaction of the cortical areas increased. As suggested (Casali et al., 2013), the PCI values in VS were range of 0.19–0.31 and in MCS were range of 0.32–0.49.

### Global Mean Field Power

In order to obtain the overall amount of electrical activity induced by TMS, the global mean field power (GMFP) was calculated with the multichannel average signals as follows (Lehmann and Skrandies, 1980):

$$GMFP(t) = \sqrt{\frac{\sum_i^k (V_i(t) - V_{mean}(t))^2}{k}} \quad (1)$$

where  $k$  means the number of channels,  $V_i$  means the amplitude of channel  $i$ , and  $V_{mean}$  is the mean value of the amplitude across all channels.

## RESULTS

### CRS-R

CRS-R were used to assess the consciousness level of the patient (Table 1). CRS-R score of 8 was marked at baseline. With the rTMS protocol starting, the score remained unchanged for the first 8 days. Although the patient care claimed that the patient showed more excitation, more sensitive to stimulation and less sleeping time, there were no significant clinical behavioral improvement expressed in CRS-R. In the day 9, some simple finger movements were found, and the CRS-R score increased to 10. From the day 15 to 20, the patient represented stable simply movement following the command with score of 13, and her eyes could track movement of objects like mobile phone with video. In the last week of this protocol, there was one score increased for her concentration on something for a time. The shadow area in the Table 1 shows the CRS-R values in 20 days with 10 Hz rTMS. In the shadow area, the consciousness level of the patient arose from MCS– to MCS+ with score from 8 to 13.

### TMS-EEG

#### TEP

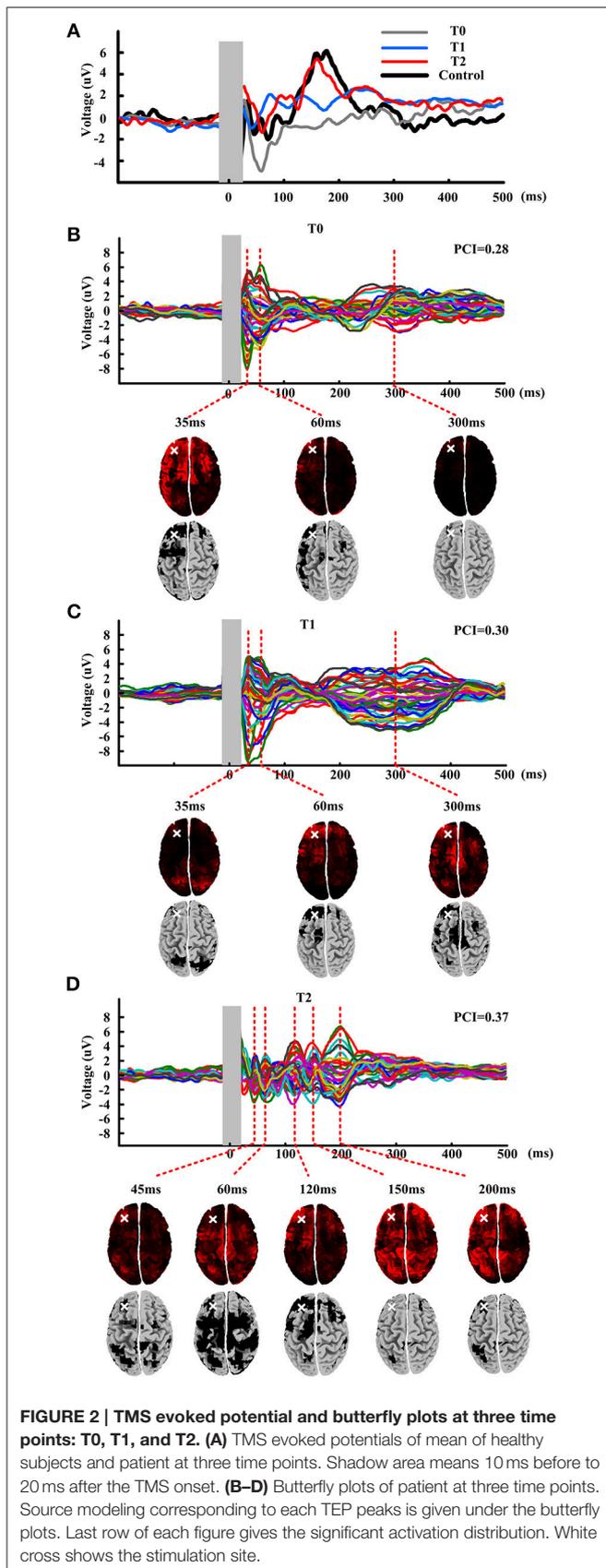
Single pulse evoked potential over DLPFC was calculated at T0, T1, and T2, could be seen in Figure 2A. Black line shows the TEP over DLPFC calculating of mean of healthy subjects. We could see that the TEP of T0 shows simple positive-negative EEG response with positive peak at about 35 ms and negative peak at about 60 ms. The TEP of the T1 shows more channels' response activity than T0 while the TEP of the T2 shows more complex than the T1 with bigger amplitude of the fluctuation. Comparing with the TEP of the healthy subjects, the TEP of T2 appears similar fluctuation: positive peak at about 180 ms, which never occurred before.

Figures 2B–D show the butterfly plots of the TEP at three time point, respectively. There have nearly same temporal distribution of the peaks for T0 and T1, but very different from T2. Then PCI was calculated for quantifying the TEP. The first row under the butterfly plot shows the source modeling of corresponding TEP peaks. And then nonparametric statistics was performed to obtain a significant activation distribution (last row of each figure), where the black regions in the cortical represent significant cortical activation induced by the stimulation. The

TABLE 1 | Data of the CRS-R score in this protocol.

Time (day)	CRS-R							CS
	Auditory	Visual	Motor	Oro-motor	Comm	Arousal	Total	
Baseline	1	1	3	1	0	2	8	MCS–
1–8	1	1	3	1	0	2	8	MCS–
9–14	3	1	3	1	0	2	10	MCS+
15–20	4	3	3	1	0	2	13	MCS+
20–22	4	3	3	1	0	2	13	MCS+
23–30	4	3	3	1	0	3	14	MCS+

Shadow area shows the score during rTMS sessions. Comm, communication; CS, consciousness state.



activation distribution of T0-T1-T2 indicate a trend of from local to global and from ipsilateral to contralateral. After compressing the binary matrix, the PCI was obtained. At T0, the PCI value was 0.28 and after one session rTMS, the PCI value raised to 0.30. After all the protocol, the PCI value raised to 0.37.

### GMFP

The GMFP is depicted in **Figure 3**. The black line shows the mean GMFP of the healthy subjects and the red lines show the GMFP of the patient at three different time. The correlation coefficient (Matlab code: `corrcoef.m`) were calculated of the GMFP after stimulation between the patient and the healthy subjects. At T0, the correlation is 0.2 and T1 the correlation arose to 0.22. Different from the mean value of healthy subjects, the activation power of the global brain for the T0 and T1 mainly distributed within 100 ms after stimulation. And during the time window from 250 to 400 ms, the global brain power were activated at T0 and T1 while the healthy subject didn't show any activation. After all the rTMS protocol, the GMFP pattern of the patient was similar with the mean GMFP of healthy subjects with correlation 0.86. Meanwhile, the time window of main activation power of the patient was well-matched with the healthy subjects, and main power occurred within 300 ms after stimulation.

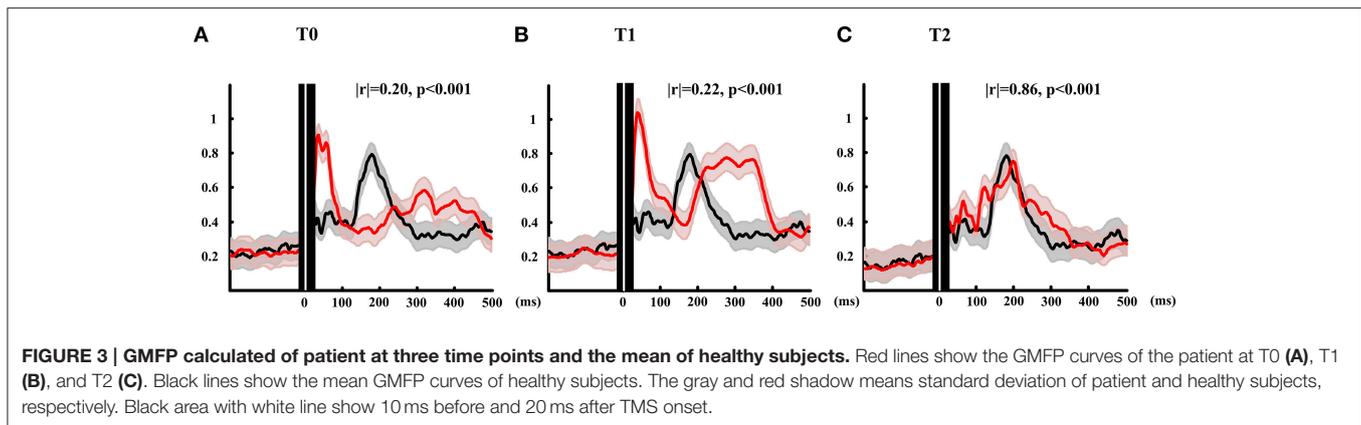
## DISCUSSION

### TEP

Measuring the EEG responses to TMS to differentiate different consciousness states had been proposed (Rosanova et al., 2012). Similar with that observed in unconscious sleeping or anesthetized subjects (Massimini et al., 2005; Ferrarelli et al., 2010), in awake VS patients, TMS triggered a simple, local slow response that indicated a breakdown in effective connectivity. While TMS triggered complex activations that sequentially involved distant cortical areas ipsilateral and contralateral stimulation site in MCS patients. The baseline TEP of the patient in this study showed a relative simple and slow curve similar with VS introduced by Rosanova et al. (2012). It did exactly matched the clinical behavioral result which was diagnosed as MCS- with CRS-R score of 8. The possible reason is due to the fluctuations in behavioral abilities across time. After one session rTMS, TMS triggered more channels' activity. After all the rTMS protocol, the TEP represented a more complex curve, which combined with the theory linking integration and differentiation to consciousness indicated a positive modulation effects of rTMS on this patient.

### PCI

The PCI is a measurement to quantify the complexity of TEP and it measures the amount of information integration and differentiation within the brain's response to perturbation. The PCI value of the patient in this study arose from 0.28 to 0.37. As described by Casali et al. (2013) the PCI ranged from 0.19 to 0.31 for a stable clinical diagnosis of VS, and ranged from 0.32 to 0.49 for MCS patients. Therefore, according to the PCI values, the patient was diagnosed as a VS before rolling in this TMS



**FIGURE 3 | GMFP calculated of patient at three time points and the mean of healthy subjects.** Red lines show the GMFP curves of the patient at T0 (A), T1 (B), and T2 (C). Black lines show the mean GMFP curves of healthy subjects. The gray and red shadow means standard deviation of patient and healthy subjects, respectively. Black area with white line show 10 ms before and 20 ms after TMS onset.

protocol, and it was still staying in VS after one rTMS session despite an increase of PCI value. After all the protocol rTMS, the PCI indicated that the patient was staying in MCS+. The TEP results and CRS-R score were consistent with the variation tendency of PCI and both indexes indicated an improvement of consciousness state. But the PCI values showed VS state of the patient at baseline which was not agreement with the CRS-R score. Consistent with the TEP results, the PCI values also showed that patient was still in vegetate state, although appeared much fast oscillation in TEP after one session rTMS, it indicated the patient didn't emerge from vegetate state. Indeed, the boundary of PCI used to differentiate VS from MCS was not perfectly accurate as the study just enrolled few patients for calculating, six for VS and six for MCS. But the PCI values might be useful as a significant potential diagnostic tool for consciousness evaluation.

## GMFP

The GMFP results of this study showed that, at a global level, one session rTMS over the left DLPFC increased cortical excitability in temporal windows of 30–100 and 200–400 ms after stimulation. Interestingly, when comparing with healthy subjects, there was a global activation after 300 ms of stimulation for baseline and one session TEP, which nearly impossibly occurred in healthy subjects even in consciousness reduced states such as anesthesia (Ferrarelli et al., 2010) and sleep state (Massimini et al., 2005). The possible reason is that the “overtime” activation may be induced by abnormally electrical transmission evoked by damaged brain region. Then after 20 sessions rTMS, the brain activation pattern (amplitude and time) was tend to well-matched with the healthy brain. Hence, combined with the clinical behavioral assessment in CRS-R scores, we suggested that GMFP might be also a significant marker for consciousness recovery of DOC.

Overall, although there had some diversity in evaluating the base line and one session, all the assessment methods proposed in this case study consistently indicated that the consciousness state was improved after all the rTMS protocol. This divergence of the baseline assessment might be induced by fluctuation of state of the patient and the sensitivity of assessment method should be tested in quantity application. On the other hand, as

demonstrated in Naro et al. (2015), we suggest that one session rTMS indeed has transiently improvement but it may difficult leading to permanent clinical behavioral change. Thereby, in this study, we used 20 sessions to use the accumulation efficacy of the rTMS modulation. The incubation time was 8 days in this study but 10 days was reported in a patient in Louise-Bender Pape et al. (2009), we think that this modification efficiency may be variable in individual level and depend on the time and intensity of rTMS.

## CONCLUDING REMARKS

This was first study on reporting TMS-EEG based characteristic of consciousness recovery during rTMS protocol. The results indicated that the TMS-EEG might lead to more objectively evaluation of consciousness and might be an efficient assessment tool for rTMS protocol therapeutic efficiency evaluation. Our study was an example of using TMS-EEG method to assess an therapy efficiency in DOC. And we suggest that methods of TMS-EEG supported in this study may facilitate therapy improvement in DOC.

## AUTHOR CONTRIBUTIONS

All authors listed, have made substantial, direct and intellectual contribution to the work, and approved it for publication. YB had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Study concept and design, acquisition, analysis, or interpretation of data: YB and XX. Administrative, technical, or material support: XX, JK, XY, and YY. Study supervision and obtained funding: JH and XL.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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