



Autistic Spectrum Disorder Detection and Structural Biomarker Identification Using Self-Attention Model and Individual-Level Morphological Covariance Brain Networks

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Autism spectrum disorder (ASD) is a range of neurodevelopmental disorders, which brings enormous burdens to the families of patients and society. However, due to the lack of representation of variance for diseases and the absence of biomarkers for diagnosis, the early detection and intervention of ASD are remarkably challenging. In this study, we proposed a self-attention deep learning framework based on the transformer model on structural MR images from the ABIDE consortium to classify ASD patients from normal controls and simultaneously identify the structural biomarkers. In our work, the individual structural covariance networks are used to perform ASD/NC classification via a self-attention deep learning framework, instead of the original structural MR data, to take full advantage of the coordination patterns of morphological features between brain regions. The self-attention deep learning framework based on the transformer model can extract both local and global information from the input data, making it more suitable for the brain network data than the CNN- structural model. Meanwhile, the potential diagnosis structural biomarkers are identified by the self-attention coefficients map. The experimental results showed that our proposed method outperforms most of the current methods for classifying ASD patients with the ABIDE data and achieves a classification accuracy of 72.5% across different sites. Furthermore, the potential diagnosis biomarkers were found mainly located in the prefrontal cortex, temporal cortex, and cerebellum, which may be treated as the early biomarkers for the ASD diagnosis. Our study demonstrated that the self-attention deep learning framework is an effective way to diagnose ASD and establish the potential biomarkers for ASD.

Keywords: autism spectrum disorder, individual morphological covariance brain networks, self-attention based neural networks, deep learning, biomarker

INTRODUCTION

Autism spectrum disorder (ASD) is a developmental disability that can affect significant communications, behavior, and social interactions. The term "spectrum" in ASD is because of the variation in the type and severity of symptoms people experience. The main symptoms of ASD are abnormal emotional regulation and social interaction, limited interest, repetitive behavior,

OPEN ACCESS

Edited by:

Bochao Cheng, West China Second University Hospital, Sichuan University, China

Reviewed by:

Jingliang Peng, University of Jinan, China Yi-Fei Pu, Sichuan University, China

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Specialty section:

This article was submitted to Brain Imaging Methods, a section of the journal Frontiers in Neuroscience

Received: 11 August 2021 Accepted: 06 September 2021 Published: 08 October 2021

Citation:

Wang Z, Peng D, Shang Y and Gao J (2021) Autistic Spectrum Disorder Detection and Structural Biomarker Identification Using Self-Attention Model and Individual-Level Morphological Covariance Brain Networks. Front. Neurosci. 15:756868. doi: 10.3389/fnins.2021.756868

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and hypo- or hyper reactivity to sensory stimuli (Guze, 1995). Symptoms will hurt their ability to function properly in school, work, and other areas of life. ASD has caused a severe burden on patients and their families. Therefore, early diagnosis and intervention of ASD are critical. However, the current clinical diagnosis of ASD is mainly based on the doctor's subjective scale assessment and lacks objective diagnostic methods. The diagnosis based on medical images, especially MRI images, has a certain degree of objectivity, but lacks credible imaging markers. Therefore, objective imaging-based diagnosis of ASD and the provision of reliable imaging markers are significant research trends.

Existing ASD diagnosis methods on structural MRI images are mainly traditional machine learning methods. The handcrafted features in these methods are extracted from morphological structure, such as the cortical thickness of brain gray matter and other geometric features at each cerebral vertex (Ecker et al., 2010b; Sato et al., 2013; Zheng et al., 2019). Jiao et al. (2010) constructed a small-scale dataset that contains 22 ASD and 16 normal control subjects (NC), and defined voxel-based and surface-based features. Four machine-learning techniques: support vector machines (SVMs), multilayer perceptrons (MLPs), functional trees (FTs), and logistic model trees (LMTs) were employed to classify ASD. LMT achieved the best accuracy of 87.0% for surface-based classification. Ecker et al. (2010a) proposed a five-dimensional feature followed by SVM to distinguish ASD from NC. It achieved the classification accuracy of 79.0% in the left hemisphere, 65.0% in the right on a single-site dataset. Although these methods reach a satisfactory diagnosis, the handcrafted features they used mainly come primarily from experience, also are bound by the experience.

Given the drawbacks of machine learning, some deep neural networks automatically acquire effective feature representation from sMRI data (Heinsfeld et al., 2018; Lian et al., 2018). However, the conflict between a small sample size and huge model parameters will lead to overfitting or other erratic model behavior. Thus, it is necessary to outline critical features from the MRI data before being fed into the networks. The morphological brain networks measuring the intracortical similarities in the gray matter play a crucial role in investigating abnormalities in neurological diseases (He et al., 2007; Yu et al., 2018).

Kong et al. (2019) proposed a simple individual brain network to express connectivity features between each pair of regions of interest (ROIs). Then the connectivity features are ranked by F-score in descending order. Finally, 3,000 top features were selected to perform classification *via* a DNN network. It achieved an accuracy of 90.39% in a subset of 182 subjects. However, it only carried out bi-level (ASD/TC) classification, neither was a large dataset from a multi-site included, nor the biomarker considered. To fix the problems, (Gao et al., 2021) used a Res-Net and Grad-CAM on individual structural covariance networks to perform the ASD diagnosis and biomarker identification. They achieved an accuracy of 71.8% on the ABIDE dataset and confirmed the prefrontal cortex and cerebellum as the biomarkers for ASD.

Though these methods achieved remarkable performance, they still have the following drawbacks: (1) The small sample size leads to overfitting and generalization problems, not to mention a small sample size from a single site. The singlesite datasets can neither represent the variance of disease and control samples, nor establish stable generalization models for replication across different sites, participants, imaging parameters, and analysis methods (Nielsen et al., 2013). (2) So far, most machine learning methods for ASD diagnosis on sMRI data have considered morphological features extracted at different ROIs independently, ignoring the integrality of brain structure, and even in Gao et al. (2021), although the individual structural covariance networks are fed into the deep learning framework, the CNN framework only extracts the local feature by the kernel, which is not suitable for the brain network data. (3) The classification results from the deep learning model are hard to interpret in the absence of the contributions of the classification features leading to a lack of clinical significance. Although some biomarkers were found in Gao et al. (2021) by Grad-CAM (Selvaraju et al., 2017), it is fit for CNN-based models to produce the decisional explanations. The residual learning in Gao et al. (2021) is not suitable for the covariance networks, which leads to the biomarkers obtained from Grad-CAM being narrowly acceptable. Furthermore, there still exist gradient saturation and false confidence problems in Grad-CAM (Wang et al., 2020).

In view of the drawbacks, to explore an efficient ASD diagnosis method, we propose a self-attention deep learning framework to diagnose ASD and identify biomarkers on a multi-site dataset. This work is divided into two steps: first, we construct the individual morphological brain network from sMRI to characterize the interregional morphological relationship, and then, the output of morphological networks, instead of sMRI, is fed into a self-attention deep learning model to classify ASD from NCs. Meanwhile, the regional biomarkers are identified by the attention weight presenting the degree of contribution of the corresponding regional feature.

In the following sections, we will present our materials and methods in section "Materials and Methods," results in section "Results," discussion in Section "Discussion," and conclusion in section "Conclusion."

MATERIALS AND METHODS

The Dataset

The ABIDE dataset (Di Martino et al., 2014), a large open access data repository, is used in this study, which is accessed from 17 international sites with no prior coordination. It includes structural MRI, corresponding rs-fMRI, and phenotype information for individuals with ASD and TC, which allows for replication, secondary analyses, and discovery efforts. Even if all data in it were collected with 3T scanners, the sequence parameters and the type of scanner varied across sites. In our work, the structural MR images we used were aggregated from all 17 international sites, which contain 518 ASD patients and 567 age-matched normal controls (ages 7–64 years, median 14.7 years across groups). In addition, the data we used contains 926 males and 159 females.

Data Preprocessing

We used DRAMMS (Ou et al., 2011) to process all structural MR images in the preprocessing step, in which the cross-subject registration, motion correction, intensity normalization, and skull stripping are included. Furthermore, all T1W MRI images were registered to the SRI24 atlas (Rohlfing et al., 2010) for subsequent analysis. Then, We used the multiplicative intrinsic component optimization (MICO) method (Li et al., 2014) to segment the T1W images into the cerebrospinal fluid (CSF), white matter (WM), and gray matter (GM).

Individual-Level Morphological Covariance Brain Networks

In our study, the individual level morphological covariance brain network (Wang et al., 2016) is used to extract interregional structural variations to characterize the interregional morphological relationship. The detailed procedures are described below. First, a GM volume map was acquired for each participant in the template space. Second, the individual-level morphological covariance brain network was constructed from their GM volume images, which refers to the literature (Wang et al., 2016). Although the SRI24 atlas parcellates the whole brain into 116 subregions, with 58 subregions in each hemisphere, due to the low signal-to-noise ratio and blank values of the gray matter volume in the Vermis, eight regions in the Vermis (the cerebellar Vermis labeled from 108 to 115) were excluded to ensure the reliability of our study. Finally, a 108 $\,\times\,$ 108 matrix was obtained according to SRI24 atlas. That is, a vector X_p for each region and a matrix X for the whole brain were obtained for each subject for further analyses.

To be specific, the variation x_{pq} is calculated as follows: the probability density function (PDF) of the extracted GM volume values is first estimated by the kernel density estimation (KDE) (Parzen, 1962).

Then, the variation of the KL divergence (KLD) between the region *P* and *Q* is calculated subsequently from the above PDFs as Eq. 1:

$$D_{KL}(P, Q) = \sum_{i=1}^{N} \left(P(i) \log \frac{P(i)}{Q(i)} + Q(i) \log \frac{Q(i)}{P(i)} \right)$$
(1)

where P(i) and Q(i) are the PDFs of the region P and Q. N is the number of PDF sample points. The element of variation matrix is formally defined as the structural variation between two regions, which is quantified by a KL divergence-based similarity (KLS) measure (Kong et al., 2014) with the calculated variation of KLD. Thus, the variation between the region P and Q can be defined as Eq. 2:

$$x_{PO} = KLS(P, Q) = e^{-D_{KL}(P, Q)}$$
⁽²⁾

Finally, the structural variation feature vector for the region *P* can be described as Eq. 3:

$$X_{p} = (x_{p0}, x_{p1}, \dots, x_{p(M-1)})^{T} \in \mathbb{R}^{M \times 1}$$
(3)

The structural variation matrix X can be described as Eq. 4:

$$X = (x_{pq}) = (X_0, X_1, \dots, X_{M-1}) \in \mathbb{R}^{M \times M}$$
(4)

where M is the number of regions, which is set as 108 in our study. In the classification task, the matrix X can be fed into the

In the classification task, the matrix X can be fed into the neural networks to replace the structural MR images.

Self-Attention Neural Network Classifier

Transformer was first applied to machine translation tasks and has achieved great success in the field of natural language processing (Vaswani et al., 2017). The tremendous success in NLP has led researchers to adapt it to computer vision, where it has achieved great performance on the tasks of image classification (Dosovitskiy et al., 2020) and general-purpose backbone for computer vision (Liu et al., 2021). Especially, the transformer is designed for sequence modeling and transduction tasks, and the self-attention mechanism is notable for modeling long-range dependencies in the data (Wang et al., 2018; Cao et al., 2019; Liu et al., 2021). As the basis for powerful architectures, the self-attention mechanism in transformer has displaced CNN and RNN across a variety of tasks (Vaswani et al., 2017; Zhao et al., 2020; Han et al., 2021; Liu et al., 2021; Radford et al., 2021; Touvron et al., 2021; Wang et al., 2021; Yuan et al., 2021).

Morphological brain network refers to the intracortical variations in gray matter morphology. It is presented as the structural variation matrix among brain regions. In our work, the information of a brain region is represented by a feature vector to characterize its variation with other regions, and we expect to extract global information from the feature vectors of brain regions for the diagnosis. Thus, an optimal arrangement of data and feature extraction method are important for our work. Gao et al. (2021) viewed all region features as a matrix and fed it into a CNN framework. However, the CNN model only utilizes the local representation property of the extracted features by the convolution kernel; neither the dependency relationships between non-local regions are considered. The self-attention mechanism is adept at handling non-local dependencies in the data, which is able to take the place of the CNN model and extract the nonlocal feature from the data. Thus, it is suitable for the morphological brain network.

The output vectors of a self-attention layer are the weighted sum of input vectors, and the weight assigned to each vector is computed by the similarity of two vectors.

In the classification step, the vectors $X = (X_0, X_1, ..., X_{M-1})$ are first fed into the self-attention layer, and the query Q_p , keys K_p and values V_p for the region P are defined as Eqs 5–7:

$$Q_p = W_Q X_p \tag{5}$$

$$K_p = W_K X_p \tag{6}$$

$$V_p = W_V X_p \tag{7}$$

where X_p is the variation feature vector for the region P, W_Q , W_K , and W_V are the parameters to be learned.



FIGURE 1 | The overall flow chart of our study. Briefly, the individual level morphological covariance brain network is first constructed according to the SRI24 atlas and gray matter volume map of each subject. The above morphological covariance brain network is used to extract interregional structural variation vectors to characterize the interregional morphological relationship. Then the vectors are fed into two self-attention layers classification neural networks. Meanwhile, the contribution of each region for classification is obtained from the self-attention coefficients map of each layer. Finally, two heat maps are averaged to obtain a mean output heat map for diagnosis biomarker identification.

TABLE 1 Comparison of the classification performances between our method
and other methods.

Method	Accuracy	Sensitivity	Specificity	F1 score
Self-attention(ous)	0.7248	0.7581	0.6809	0.7581
RF	0.6091	0.4902	0.7119	0.5376
SVM	0.5818	0.3726	0.7627	0.4524
Xgboost	0.6091	0.5294	0.6780	0.5567
AE	0.6727	0.6875	0.8750	0.5714
2D CNN	0.7182	0.8125	0.6875	0.6869
3D CNN	0.5596	0.5714	0.4545	0.7000

SVM, support vector machine; XGB, Xgboost; AE, autoencoder. The bold values indicate maximum value of each index.

Then, the self-attention coefficients α_{pq} are computed *via* dot product attention as Eq. 8:

$$\alpha_{pq} = Softmax \left(\frac{Q_p^T K_q}{\sqrt{d_K}} \right) \tag{8}$$

where d_K is the dimension of K_q .

Finally, the output vector x_{p}^{1} of the region p after the selfattention layer is computed as Eq. 9:

$$X_p^1 = \sum_{q=0}^{M-1} \alpha_{pq} V_q \tag{9}$$

Biomarker Identification Based on Self-Attention Model

As the weight of the input feature vectors, the self-attention coefficients α can indicate the contribution of the input vector to the output. Therefore, the self-attention coefficient map can be considered as the basis for the identification of biomarkers. The larger the weight α of a feature vector is, the higher its contribution to the classification task is, and the more likely the corresponding brain region is the biomarker for ASD diagnosis.

Implementation

An overview of our proposed ASD/NC classification framework is shown in **Figure 1**, and two self-attention layers were adopted in the networks. First, we constructed an individuallevel morphological covariance brain network according to the SRI24 atlas to obtain the structural variation feature vectors for each region. Then, the vectors were fed into two self-attention layers classification neural networks. In this work, the structural variation feature vector $x_p \in R^{108 \times 1}$ covers 108 regions, and the size of the output vectors x_p^1 and x_p^2 of each self-attention layer is $R^{32 \times 1}$. Meanwhile, the contribution of each region for classification was obtained from the self-attention coefficients map of each layer. After each self-attention layer, Leaky ReLU activation and layer-normalization (Ba et al., 2016) were adopted



 TABLE 2 | Comparison of the classification performances between the different number of heads in the self-attention layer.

TABLE 3 Comparison of our networks with the different number of
self-attention layers.

Method	Accuracy	Sensitivity	Specificity	F1 score
1-Head	0.7248	0.7581	0.6809	0.7581
2-Head	0.6881	0.7549	0.6154	0.7167
4-Head	0.6789	0.7000	0.6410	0.7369
8-Head	0.6697	0.6667	0.6786	0.7500

The bold values indicate maximum value of each index.

to ensure the training was stable and efficient. The negative slope of the Leaky ReLU activation layer is settled as $_{1e-2}$, and the input feature size of each linear layer is $_{R^{32\times1}}$. After the first linear layer, a ReLU activation and a batch-normalization (Ioffe and Szegedy, 2015) layer were adopted. We employed an Adam optimizer (Kingma and Ba, 2014) with the learning rate of $_{6e-6}$. A batch size of 32 and a weight decay of 0.01 are used. After initializing the weights randomly, the binary cross-entropy loss is chosen to supervise the training for the ASD/NC classification.

RESULTS

In this group of experiments, we compare our framework with six competing methods in the task of ASD *versus* NC classification. Four parameters, namely accuracy (ACC), sensitivity (SEN), specificity (SPE), and F1 score, are calculated to evaluate

Number of Self- Attention Layers	Accuracy	Sensitivity	Specificity	F1 score
1	0.6697	0.6627	0.6923	0.7534
2	0.7248	0.7581	0.6809	0.7581
3	0.6606	0.6711	0.6364	0.7338
4	0.6147	0.6667	0.5435	0.6667
5	0.6055	0.7174	0.5238	0.6055

The bold values indicate maximum value of each index.

the performance of our proposed framework. The deep selfattention neural networks used in our work achieved a mean classification accuracy of 72.5%, mean sensitivity value of 75.8%, specificity value of 68.1%, and F1 score of 0.758. Our results improved the mean classification accuracy of the state-of-theart (Gao et al., 2021) from 71.8 to 72.5% in the ABIDE data. To evaluate the performance of our work, the result of our framework is compared with those of conventional machine learning methods [i.e., RF (Ho, 1995), SVM (Vapnik et al., 1998), and Xgboost (XGB) (Chen and Guestrin, 2016)] and deep learning methods [i.e., autoencoder (AE), 2D CNN (Gao et al., 2021) and 3D CNN]. Note that with the purpose of using structural variation matrix $X \in R^{108 \times 108}$ for subject classification by these conventional machine learning methods and AE, it is first collapsed in a one-dimension vector $_{Y \in \mathbb{R}^{11664 \times 1}}$. Specifically, the dimension of the vector y was first reduced by Principal



Component Analysis (PCA) in SVM classification, and the material sMRI images were fed into 3D CNN neural networks. The comparisons are presented in **Table 1**. Furthermore, the performance assessed by the area under the curve (AUC) values of these classifiers is shown in **Figure 2**. Our proposed framework has the best performances in classifying ASD from NC with the highest ACC, F1 score, and AUC values compared with the other methods.

In our work, the self-attention layer can be set as a multi-head self-attention layer. Through comparison of the experiments in **Table 2**, we found that the network with a single-head self-attention layer achieved the best performance. There is the same number of parameters to be learned in the experiments in **Table 2**. In addition, through comparison presented in **Table 3**, we found that our model with two self-attention layers achieved the best performance.

Furthermore, we evaluated the significance of the classification accuracy by the permutation test 10,000 times. During the permutation testing, we changed 20% of the labels of the samples randomly each time. The histogram of the accuracy of the permutation test is shown in **Figure 3**. The accuracy of our method (72.5%) is indicated by the red dotted line. As shown in **Figure 3**, the 72.5% accuracy of our method is higher than 96.4% of the permutated accuracy values.

In our proposed framework, the self-attention coefficients α were obtained through the self-attention layer, which can be seen as the contribution indicator of brain regions to the ASD/NC classification. In order to make our proposed model diagnose ASD more transparent and explainable, the self-attention coefficients map is obtained according to the following step. According to Eqs 8, 9, the self-attention coefficient α_{pq} indicates the contribution of the feature vector x_q to the output feature vector x_p^1 . Thus, the larger α_{pq} is, the larger the contribution of the region Q to the classification is. In our result, we found that the self-attention coefficients maps of the first and second layers are extremely similar, so we

average them to obtain a mean output coefficients map. In our work, the self-attention coefficients were first ranked in descending order. Then, the top coefficients were selected to determine the biomarker of regions. Three typical individual and final fused contributions supporting the correct classification of ASD patients are shown in Figures 4, 5. In these subfigures, the redder the regional color is, the more contribution the brain region affords. We selected the largest contributions of the regions by identifying the weights above the $_{mean+3SD}$. Finally, 53 coefficients about 42 different regions were found by the self-attention coefficients. The top 42 regions (see Figure 6) are significant for ASD/NC classification. Specifically, the feature vectors of these regions were selectively aggregated into the output feature vectors of two especial regions, which represent pallidum in the left and right hemispheres according to SRI24 atlas. It indicates that not only the 42 regions are significant for classification, but also the pallidum is more significant and specific. As seen in our result, the structure of pallidum has been found to be more significant than other regions for ASD, which is identical with the result in Turner et al. (2016).

DISCUSSION

In this manuscript, we propose a new framework for ASD detection and structural biomarkers identification from multisite sMRI datasets by individual brain networks and selfattention deep neural networks. Our method has achieved stateof-the-art on ASD/NC classification task in the ABIDE data. Compared with the majority of machine learning and deep learning methods, our method has the following advantages: First, our work is stable and generalized due to the multisite sMRI dataset with a large sample size, and the multisite dataset is able to overcome the inherent heterogeneity in neuroimaging datasets.



region affords.

the correct classification of ASD patients were mapped by self-attention coefficients in our framework. The redder the color is, the more contributions the brain

Second, interregional structural variations can be extracted by the individual level morphological covariance network to characterize the interregional morphological relationship of the brain. Compared with the group-level morphological network, the individual-level morphological brain networks can better reflect individual behavior differences in both typical and atypical populations (Gao et al., 2021). Furthermore, the individual level morphological covariance network provides further empirical evidence to support the theory that the human brain has evolved to support both specialized or modular processing in local regions and distributed or integrated processing over the entire brain (Bullmore and Sporns, 2012; Wang et al., 2016). Thus, it provides an alternative method for researchers to explore hubs of the brain under both healthy and pathological conditions.



Third, the self-attention neural networks adopted in our model can aggregate not only short-range but also long-range dependencies in the data, which solves the local problem in CNN (Wang et al., 2018; Cao et al., 2019; Fu et al., 2019; Lee et al., 2019; Yin et al., 2020; Liu et al., 2021). Meanwhile, the biomarkers are obtained from self-attention



coefficients without model architectural changes or retraining (Sarlin et al., 2020). Specifically, the heat maps of different layers obtained by Grad-CAM in Gao et al. (2021) have a clear hierarchical relationship, which is related to the feature extraction method of CNN. With the increase of the number of network layers, the receptive field becomes large, and the features extracted by CNN change from simple and local to complex and abstract (Wang et al., 2018). Therefore, the heat maps of different layers in Gao et al. (2021) vary greatly. However, the self-attention coefficients maps of the first and second layers in our method are extremely similar, which implies the consistency of the diagnosis. Furthermore, the diagnosis biomarker identification method based on selfattention coefficients is interpretable because the meaning of coefficients can be clearly obtained in Eqs 5-9 (Sarlin et al., 2020). In addition, due to the strong global feature extraction ability, the self-attention networks can achieve better performance than CNN with less training time and parameters in our work.

Moreover, with the self-attention explanation approach, the connectivity features of the morphological covariance network having the greatest contribution to classification were identified. The brain areas corresponding to these important connectivity features mainly include the prefrontal cortex, temporal cortex, and cerebellum. These brain areas have been reported to be implicated in ASD in previous studies indicating that the established classification model using deep learning and individual morphological covariance network may serve as a reliable tool to facilitate clinical diagnosis. For example, anatomically and functionally, there is considerable evidence that the medial prefrontal cortex is involved in basic conscious feelings, and the atypicality of it is associated with the emotional-social domain in autism (Shalom, 2009). The direct connections between the auditory association areas of the superior temporal gyrus with the medial temporal cortex have been demonstrated to underlie recognition memory for sounds (Muñoz-López et al., 2015). Furthermore, the cerebellum is not only involved in motor coordination but that it also intervenes in cognitive operations, emotion, memory, and language (Silveri and Misciagna, 2000). Thus, the prefrontal cortex, temporal cortex, and cerebellum may be related to social cognition processing in ASD.

CONCLUSION

In this work, we propose a classification neural network for ASD detection and structural biomarkers identification from multi-site sMRI datasets, which is based on selfattention neural networks and individual-level morphological covariance brain networks. Comparison by experiments, we found that our proposed method outperformed other conventional machine learning and deep learning classification methods for the classification of ASD. Moreover, the biomarker identification method based on self-attention coefficients is efficient and interpretable, which provides a new solution to the black-box problems of deep learning, and prefrontal cortex, temporal cortex, and cerebellum found by this method provide a good reference for ASD diagnosis. Meanwhile, the morphological alterations in the pallidum in autism are worthy of the attention of researchers.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: http://fcon_1000. projects.nitrc.org/indi/abide/abide_I.html.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethical approval was obtained from the St. James's Hospital/AMNCH (ref: 2010/09/07) and the Linn Dara CAMHS Ethics Committees (ref: 2010/12/07). Written informed consent

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to participate in this study was provided by the participants' legal guardian/next of kin.

AUTHOR CONTRIBUTIONS

All authors contributed to the article and approved the submitted version.

FUNDING

This work is supported by the grants from the National Natural Science Foundation of China (Nos. 61701078, 61872068, and 61720106004), Science & Technology Department of Sichuan Province of China (Nos. 2021YFG0126 and 2019YJ0193), and Medical-Engineering Cross Fund of UESTC (No. ZYGX2021YGLH014).

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