Exploring the function of neural oscillations in early sensory systems

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Neuronal oscillations appear throughout the nervous system, in structures as diverse as the cerebral cortex, hippocampus, subcortical nuclei and sense organs. Whether neural rhythms contribute to normal function, are merely epiphenomena, or even interfere with physiological processing are topics of vigorous debate. Sensory pathways are ideal for investigation of oscillatory activity because their inputs can be defined. Thus, we will focus on sensory systems as we ask how neural oscillations arise and how they might encode information about the stimulus. We will highlight recent work in the early visual pathway that shows how oscillations can multiplex different types of signals to increase the amount of information that spike trains encode and transmit. Last, we will describe oscillation-based models of visual processing and explore how they might guide further research.

Keywords: LGN, retina, visual coding, oscillations, multiplexing

NEURAL OSCILLATIONS IN EARLY SENSORY SYSTEMS

Oscillatory neural activity has been observed in the early stages of virtually every sensory system of animals, including insects, frogs and primates. For example, periodic activity has been recorded from olfactory organs (Bressler and Freeman, 1980; Laurent, 2002) as well as somatosensory (Ahissar and Vaadia, 1990; Ahissar et al., 2000), visual (Laufer and Verzeano, 1967; Neuenschwander and Singer, 1996; Arai et al., 2004) and auditory pathways (Langner, 1992; Eguiluz et al., 2000; Roberts and Rutherford, 2008).

DETECTING NEURAL OSCILLATIONS

The strength of **neural oscillations** can be assessed in the time and frequency domains. In the time domain, oscillations in spike trains are often characterized by means of periodic peaks in the autocorrelogram (**Figures 1A,B**); in the frequency domain, they are defined on the basis of peaks in the power spectrum (**Figures 1C,D**).

However, the autocorrelograms are subject to confounds caused by the refractory period and spectral peaks often fail to reveal weak rhythms. A new method, the *oscillation score* (Muresan et al., 2008) reduces these problems. It combines analyses in the time and frequency domains to indicate the strength of oscillations as one dimensionless number. Also, oscillations shared by local groups of cells can be detected in population responses, such as the local field potential (LFP) or in patterns of synaptic input.

SOURCES OF OSCILLATIONS

The mechanisms that generate oscillations are specific to sensory modality and brain region. Oscillations can be inherited from the temporal structure of the stimulus, as in the auditory system (**Figure 2A**); originate from periodic movements of mechanical sensors, as in the rodent's whisker system (**Figure 2B**); be generated or amplified by electrical resonances of individual cells, as in auditory hair cells (**Figure 2C**); or arise from intrinsic activity in recurrent neural networks, as in the

Figure 1 | Detecting neural oscillations. (A,B) Oscillations in autocorrelations in two example recordings (spike trains recorded from LGN in cat) with oscillation score 10 and 29, respectively. **(C,D)** Oscillations in spectral power (same spike trains as used for panel **A**).

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olfactory system (**Figure 2D**). At times, these mechanisms operate cooperatively to generate oscillations.

FUNCTIONAL ROLES OF OSCILLATIONS

Many studies have explored functional roles of neural oscillations. Work in sensory systems suggests that oscillations might improve the chance that neural activity propagates from one stage to the next. For example, oscillations might synchronize convergent inputs to a single postsynaptic cell (Usrey et al., 1998; Bruno and Sakmann, 2006) or drive downstream neurons at their preferred input frequency (Nowak et al., 1997; Hutcheon and Yarom, 2000; Fellous et al., 2001). Further, work in the auditory, somatosensory, olfactory and visual systems suggest that oscillations carry specific types of information about the stimulus.

Auditory system

Neural oscillations in the auditory system can be directly induced by the stimulus (**Figure 2A**) and are often amplified by mechanical and electrical resonances (**Figures 2B,C**). The periodicity of the spikes is phase-locked to the acoustic signal, and can be used to increase sensitivity to different features in the stimulus and sharpen tuning to specific frequencies (Eguiluz et al., 2000; Roberts and Rutherford, 2008). Information about the phase of the acoustic signal is also essential for spatial localization (Gerstner et al., 1996). Further, psychophysical studies suggest that the representation of acoustic phase in periodic spike trains forms the basis for the dual quality of pitch perception (Licklider, 1951; Langner, 1992; Patterson, 2000).

Somatosensory system

Cyclical movements of the whiskers are reflected in neural oscillations in the rodent somatosensory system (**Figure 2B**). The neural oscillations form a reference signal such that the spatial location of an obstacle is encoded in the phase at the time the whisker registers a contact (Ahissar et al., 2000; Szwed et al., 2003). In addition, high frequency oscillations have been proposed to encode the textures of surfaces (Brecht, 2006). Thus, work from the somatosensory system suggests that spatial structure in the stimulus is transformed to temporal structure in the spike train.
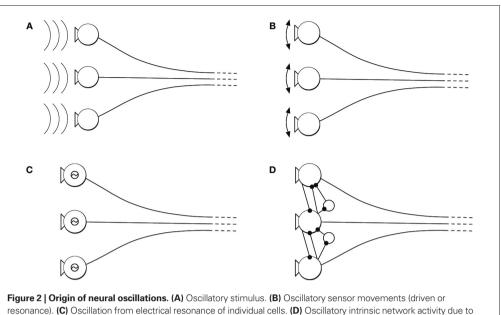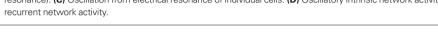
Olfactory system

Finally, in the olfactory system, neural oscillations are internally generated by recurrent feedback among neurons in sensory organs (**Figure 2D**) (Bressler and Freeman, 1980). Thus, this case is different from the two previous examples, in which the neural oscillations represent external variables. Exploring the role of intrinsic versus extrinsic dynamics poses unique difficulties, but nonetheless has proven key to understanding how some forms of sensory information are analyzed (Freeman and Viana Di Prisco, 1986; Laurent, 2002). The olfactory bulb of zebra fish provides a good illustration. Information about complementary features of odors, identity and category, is encoded in the

Figure 2 | Origin of neural oscillations. (A) Oscillatory stimulus. **(B)** Oscillatory sensor movements (driven or resonance). **(C)** Oscillation from electrical resonance of individual cells. **(D)** Oscillatory intrinsic network activity due to recurrent network activity.

oscillating spike trains of single populations of neurons (Friedrich et al., 2004).

INFORMATION IN SPIKE TRAINS

The discovery that the amount of force applied to the skin modulates the firing rate of peripheral nerves provided great insight into **neural coding** (Adrian and Zotterman, 1926). It led to the realization that features of sensory stimuli, like pressure, sound level or visual contrast are encoded by instantaneous spike rate. **Rate coding** of sensory information is, perhaps, the most successful paradigm for understanding how neurons convey information.

Techniques to estimate the amount of information transmitted by changes in spike rate (MacKay and McCulloch, 1952; Grüsser et al., 1962; Rieke et al., 1999; Brenner et al., 2000) are well established. But what if neural firing patterns are shaped not only by stimulus-evoked changes in rate, but also by intrinsic or extrinsic oscillations? How might one separate and measure the contribution of each component to the transmission of neural information? The next section discusses how to address this question.

INFORMATION IN SPIKE RATE

The influence of a stimulus on the spike rate of a sensory neuron is usually estimated by recording neural responses to multiple repeats of the same stimulus. Firing rate during repeats of the stimulus is then averaged to obtain a **peri-stimulus time histogram** (PSTH). Thus, struc-

ture in the PSTH reflects temporal changes in the features of the stimulus to which the cell responded (**Figure 3A**).

By applying Shannon's information theory, it is possible to quantify how much information the averaged spike rate (PSTH) conveys about the stimulus (MacKay and McCulloch, 1952; Grüsser et al., 1962; Rieke et al., 1999; Brenner et al., 2000). The result of this analysis, the information rate I (*spike at t|stimulus*), is usually given in bits per spike (or bits per second). In simple terms, the information rate corresponds to the mean number of yes/no questions one would have to ask in order to gather the amount of information conveyed by each action potential, or each second of the spike train.

INFORMATION IN NEURAL OSCILLATIONS

Now consider the case of an oscillating neuron in a sensory pathway. The spike rate encodes the temporal structure of a stimulus and is also modulated by an oscillation that is not phase-locked to the onset of the stimulus (**Figure 3B**). Therefore, the spikes are **phase-locked rather than stimulus-locked**. The PSTH, which reports averaged stimulus-evoked changes in spike timing, will not show evidence of the oscillation. This is because the phase of the oscillation varies randomly with respect to the stimulus onset; the periodic component evident during single trials of the stimulus is lost in the average. Thus, the information that the spikes carry about the oscillatory signal cannot be estimated by the standard methods.

Figure 3 | Modeling spike trains of sensory neurons. (A) *Conventional rate coding*: The spike train is modeled by a point process with an instantaneous rate that is modulated by the magnitude of the stimulus feature (Kuffler et al., 1957; Perkel et al., 1967). **(B)** *Multiplicative model*: The spike rate is modeled by a point process that is modulated by the product of the value of the sensory feature and an independent oscillatory signal. Thus, the spike train contains information about sensory stimulus and about oscillation phase (Berman, 1981; Koepsell and Sommer, 2008). **(C)** LGN spikes relative to oscillations detected in synaptic events originating from retinal ganglion cell spikes (Koepsell et al., 2009). **(D)** Phase distribution of LGN spikes. The peak indicates phase locking. The inset depicts how the degree of phase-locking is parametrized by the so-called *concentration parameter* κ of the circular Von Mises distribution. **(E)** The phase distribution of LGN spikes relative to oscillation phase from previous trials (shift predictor) is flat, reflecting the fact that the oscillations are not locked to the stimulus.

Stimulus versus phase locking

Changes in spike rate that reliably occur with a fixed latency following the stimulus are "stimulus-locked." If changes in firing are stimulus-locked, then the PSTH represents sensory information encoded by spike rate. When neurons are more likely to fire during one phase of an ongoing (reference) oscillation than during others, then their behavior is "phase-locked." Phase-locking is visible in histograms of spike counts as a function of the oscillation phase (**Figure 3**).

We developed a new technique to estimate the amount of information that oscillating spike trains transmit (Koepsell and Sommer, 2008). It uses an oscillatory reference signal that can be extracted from various sources, for example, the simultaneously recorded LFP or presynaptic inputs. The reference signal is used to assign each spike in the train at time t a phase $\phi(t)$ (**Figure 3C**). If there is a fixed relationship between the phase of the oscillation and the generation of spikes, the histogram of spike phases has a certain structure. Specifically, the histogram has a single peak if spikes are locked to a particular phase of the oscillation (**Figure 3D**). Similarly, the shift-predictor of the histogram is flat (**Figure 3E**) if the phase of oscillation varies relative to the onset of the stimulus.

We illustrate this technique by analyzing an oscillating spike train (**Figures 3B–E**) that carries information about two messages: the stimulus feature that the spike rate encodes and the phase of the oscillation (**Figures 3C,D**). Further, changes in firing rate evoked by the stimulus versus those induced by the oscillation occupy separate bands of the power spectrum of the spike train, so the two messages do not interfere with each other.

Conventional methods of estimating information in spike rates do not account for information encoded by oscillation phase, since they depend solely on the PSTH (which only reports changes in spike timing with respect to the stimulus). Our technique, the *multiconditional direct method*, measures both the stimulus and oscillation based information (Koepsell and Sommer, 2008). It uses a two-dimensional response histogram whose bins contain the average response for a given latency between the onset of the stimulus and a particular phase of the oscillation. The method yields estimates of I (*spike at t|stimulus,$\phi(t)$*), the information contained in a single spike about the stimulus *and* the oscillation.

Because the multiconditional direct method relies on a higher dimensional response histogram than conventional PSTH-based methods, it requires substantial amounts of data. Such large datasets are difficult to acquire in the laboratory. Thus, we developed an alternative method to analyze small datasets, the *phase de-jittering method* (Koepsell et al., 2009). This method, in essence, uses the reference signal to align, or de-jitter, the oscillation phase across different repeats of the stimulus. This is done

Information multiplexing
This term refers to the case of a single signal, such as spike train, that carries different messages, each encoded in separate information channels. If different channels are displaced in the frequency or time domains, they will not interfere with each other.

Frequency division multiplexing
This is a subtype of "information multiplexing" in which the separate channels occupy different frequency bands in the spectrum of the signal, such as a spike train. Information can be encoded in the amplitude, phase or frequency modulation of a oscillatory reference signal.

Spike phase coding
In this scheme, information is encoded by the timing of spikes relative to the phase of a reference oscillation. The phase of the oscillation, at which a given spike is fired, is the "spike-phase."

Time division multiplexing
This is a subtype of "information multiplexing" in which the separate channels occupy alternating time windows, or temporal segments, of the signal. The time windows can be defined with respect to the reference oscillation.

by shifting spikes in time, but with displacements so small that the structure of the spike train at low frequencies is unaltered. After de-jittering, the oscillation is retained in the PSTH and therefore it is possible to use conventional PSTH-based methods to estimate the information. Comparisons of the full multiconditional method and the de-jittering method applied to a surrogate dataset, show that both yield comparable results (Koepsell and Sommer, 2008).

MULTIPLEXING WITH PERIODIC SPIKE TRAINS

In the previous section we explained how **information multiplexing** can occur in a single neuron, that is, the spike train conveys information about two different messages. We found that the two signals occupy separate frequency bands – a scheme called **frequency division multiplexing**. Spike trains that encode dual messages in this form are common in sensory pathways. Usually, information encoded by spike rate occupies the lower part of the frequency spectrum. This position reflects the temporal structure in the stimulus; the spectral power of natural signals decays with increasing temporal frequency (Ruderman and Bialek, 1994). By contrast, information encoded by the oscillations often resides in a separate high-frequency band, such as the gamma frequency range. For example, the frequency band of the stimulus to which auditory neurons phase-lock is usually higher than the acoustic modulation spectrum (Langner, 1992).

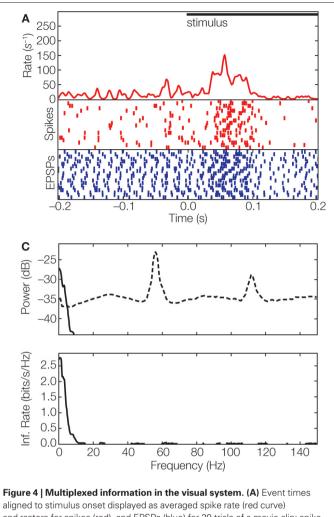### EXAMPLES FOR MULTIPLEXING OF SENSORY INFORMATION

The transmission of oscillatory signals in spike trains can be used in various ways to convey sensory information. The frequency, phase or coherence of the oscillatory signal can convey sensory information in itself, as in the auditory system (Langner, 1992). The hippocampus provides an example of **spike phase coding**, in which the relative phases between spikes and an oscillatory signal carry sensory information. Specifically, the location of the animal can be resolved by decoding the relative phase between spikes fired by a place cell and the activity that produces the theta rhythm (OKeefe and Recce, 1993). Further, oscillatory signals can enable **time division multiplexing** within individual spike trains. Here, the idea is that different phases of the oscillation define temporal windows in which particular features of the stimulus are selectively transmitted. Thus, the rates measured in these different time windows encode different types of sensory signals. Such a coding scheme has been found in the olfactory

system (Friedrich et al., 2004) and has also been proposed in theoretical work for other sensory systems (Masquelier et al., 2009; Nadasdy, 2009; Panzeri et al., 2010).

MULTIPLEXING IN THE VISUAL SYSTEM

Ongoing oscillations, those generated by the internal dynamics of the system, have been found at all stages of visual processing, from the retina to the cortex (Munk and Neuenschwander, 2000). In the cortex, oscillations reflect visual information in several different ways. Information about the stimulus is conveyed by the synchrony between two oscillating spike trains (Eckhorn et al., 1988; Gray and Singer, 1989; Samonds et al., 2006), in the relative phase between spikes and oscillations in the LFP (Montemurro et al., 2008; Kayser et al., 2009) and in the oscillations themselves (Kayser and Konig, 2004; Berens et al., 2008; Mazzoni et al., 2008). In addition to endogenous rhythms, the cortex also seems to inherit oscillations that emerge at earlier stages of the visual system (Neuenschwander and Singer, 1996; Castelo-Branco et al., 1998). The oscillations are observed in the absence (Doty et al., 1964; Heiss and Bornschein, 1966) and the presence of anesthetics [barbiturates (Heiss and Bornschein, 1965; Laufer and Verzeano, 1967), N_2O and halothane (Neuenschwander and Singer, 1996; Castelo-Branco et al., 1998), propofol (Koepsell et al., 2009)].

We recently asked how oscillations in the retina might be used by the thalamus to transmit information downstream. In particular, we asked how the spike trains of a single thalamic relay cell can transmit two separate streams of information, one encoded by firing rate and the other in oscillations (Koepsell et al., 2009). We used the technique of whole-cell recording *in vivo*, which allowed us to detect retinothalamic synaptic potentials and the action potentials they evoked from single relay cells. In other words, we were able to reconstruct the spike trains of the inputs and outputs of single relay cells. Often, we found that both spike trains had an oscillatory component. To explore whether these oscillations were able to encode information, we used the phase of the oscillation of the retinal inputs to de-jitter the timing of thalamic spikes across repeated trials of the stimulus (see **Figures 1 and 3C–E**). The result of the realignment was dramatic, as illustrated in **Figure 4A**. Although the oscillation was not visible in the raw PSTH, it generated a pronounced modulation in the amplitude of the PSTH made from the de-jittered signal (**Figure 4B**).

We then estimated the information in the de-jittered spike train. The results showed that most
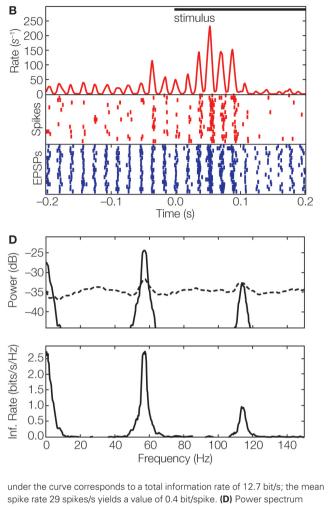
Figure 4 | Multiplexed information in the visual system. (A) Event times aligned to stimulus onset displayed as averaged spike rate (red curve) and rasters for spikes (red), and EPSPs (blue) for 20 trials of a movie clip; spike rasters were smoothed with a Gaussian window (σ = 2 ms) before averaging. **(B)** Responses corrected for variation in latency ±10 ms by using periodicity in the ongoing activity that preceded stimulus onset; conventions as in **(A)**. **(C)** Top, power spectrum of thalamic spike trains decomposed into signal (solid line) and noise (dashed line). Bottom, spectral information rate. The area under the curve corresponds to a total information rate of 12.7 bit/s; the mean spike rate 29 spikes/s yields a value of 0.4 bit/spike. **(D)** Power spectrum (top) of de-jittered spike train decomposed into signal (solid line) and noise (dashed line); spectral information rate (bottom). De-jittering increased the total information from 0.4 bit/spike **(C)** to 1.2 bit/spike (Koepsell et al., 2009). The movie stimulus was presented with 30 frames/s on a monitor with a high refresh rate (150 Hz). The neural response did not lock to the frame update or monitor refresh.

relay cells that received periodic synaptic inputs transmitted a significant amount of information in the gamma frequency band. For some cells, the amount of information in the oscillation-based (high frequency) channel was severalfold higher than that conveyed by rate-coded (low frequency) channel; compare **Figure 4C** with **Figure 4D**.

POTENTIAL NEW ROLES FOR OSCILLATIONS

Gamma oscillations in retina and thalamus provide a novel channel that is able to convey information to the cortex. How might this channel contribute to visual function? In the following we outline various hypotheses about the potential roles for the new channel and how they might be tested.

One possibility we explore is the case in which the oscillatory trend of the retinal cell does not contain information about the visual stimulus. Even in this situation, the oscillations might increase the amount of information about local retinal features transmitted by the thalamic rate code. They would do so by a process akin to *amplitude modulation*, in which information about the retinal feature is reproduced in the frequency band of the oscillations. This redundant information could be read out and decoded in the cortex by various mechanisms, such as coincidence detection of afferent inputs or by the relative phase of the thalamic and cortical oscillations. A specific role for the second channel could be de-noising. Further, the amplitude modulation of the afferent spike train generates a signal that might enable cortical oscillations (e.g.,
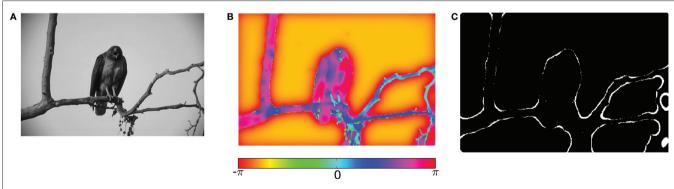
by adjusting relative phases of the two oscillations) to route sensory information or to direct attention to a particular feature. (For discussion of potential roles of cortical oscillations in analyzing afferent input, see Buzsaki and Draguhn, 2004; Sejnowski and Paulsen, 2006; Fries et al., 2007).

A second possibility is that retinal oscillations are influenced by the stimulus, specifically, by displacements of the retinal image caused by eye movements. Thus, periodic activity in the retina might encode spatial information in the temporal domain, as in the whisker system (Ahissar and Arieli, 2001; Rucci, 2008). This idea is supported, at least in part, by the strong similarity between the dominant frequency bands in the LFP recorded from primary visual cortex and fixational eye movements [also note that oscillatory fixational eye movements are found in species ranging from turtle to humans (Greschner et al., 2002; Martinez-Conde et al., 2004)]. Work that combines electrophysiology, measurements of eye-movements, and psychophysics should help to test this idea.

A third potential role for retinal oscillations involves computational analysis of visual stimuli. To explore this possibility, one must address two questions at the same time. First, one must determine which features of the stimulus are encoded by the oscillations. To address this question, it is helpful to recall that retinal oscillations are formed by distributed networks, and thus might be sensitive to spatially extensive features and/or context. Second, one must identify which particular attributes of the oscillations are used to encode information. Reasonable guesses include frequency, phase, relative phase, modulation of coherence among cells, or combinations of these parameters. The possible answers to these two questions are numerous, and this uncertainty precludes the design of physiological experi-

ments. Thus, for the time being, the most promising approach to studying the computational roles of retinal oscillations in vision is to use computational models constrained by biological and psychophysical results. In fact, there are many models of oscillatory neural networks that are able to transform spatial structure of visual input into temporal structure of neural activity. These models, which were originally developed to simulate cortical computations, are built with phase-coupled oscillatory neurons (e.g., Baldi and Meir, 1990; Sompolinsky et al., 1991; Sporns et al., 1991; von der Malsburg and Buhmann, 1992; Schillen and Koenig, 1994; Wang and Terman, 1997; Ursino et al., 2006). It would be useful to develop such models to explore retinal and thalamic function.

Other families of models combine psychophysical results with approaches used in computer vision to determine how various image operations are able to reproduce visual perception and behavior. For example, recent work compared how well segmentation algorithms (Pal and Pal, 1993) matched the human ability to outline objects in images (Martin et al., 2004). State-of-the-art algorithms for edge detection and image segmentation approached human performance by combining local with non-local features of the image; algorithms based solely on local contrast were not successful.

Motivated by this work, we implemented the normalized cut algorithm for the computation of non-local features (Shi and Malik, 2000) in a network of oscillating neurons (**Figure 5**). Our preliminary results indicate that, over time, information about homogeneous image segments is encoded by different oscillation phases (**Figure 5B**). Further, oscillatory spike trains are able to transmit this information as well as that

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Figure 5 | Visual processing using coupled oscillators.
(A) Example image from the Berkeley segmentation database.
(B) Phase representation in network of coupled phase oscillators $\phi(x)$ evolving according to the dynamic equation $\dot{\phi}_i = \omega + \sum_j c_{ij} f(\phi_j - \phi_i)$

with oscillation frequency ω, coupling function $f(\phi_j - \phi_i)$ and local coupling constants c_{ij} that depend on similarity of pixel intensities. **(C)** Information represented by neurons spiking at a specific oscillation phase, a few cycles after the stimulus onset.

about local contrast. In addition, synchronized spikes in the oscillating network provide information about edges (**Figure 5C**). These results suggest that features like edge continuation, orientation, and border ownership – known to be represented by cortical firing rates – might already be available in the temporal structure of retinal activity.

Unlike the case for mammalian vision, in which the function of oscillations remain subjects of debate, the behavioral role of gamma oscillations has been clearly established in the frog. Specifically, looming stimuli designed to simulate shadows (cast by predators) evoke synchronous oscillatory discharges in neural "dimming detectors". By contrast, small dark spots that mimic prey fail to induce such activity (Ishikane et al., 1999). The consequence of the synchronous oscillations among retinal dimming detectors is important for an animal's survival – it triggers escape behavior (Arai et al., 2004). Further strengthening the link between synchronous retinal activity and behavior, pharmacological suppression of gamma oscillations abolishes escape responses, but spares the slower modulation of spike rate evoked by small objects (Ishikane et al., 2005). Thus, in the frog, information about different types of visual signals seems to be multiplexed in different frequency bands of neural spike trains.

SUMMARY

This review focused on research that explores the functional role of neural oscillations in the early stages of sensory pathways. We described neural oscillations that carry information about diverse sensory modalities, including olfaction, vision, audition and somatosensation. In particular, we discussed gamma oscillations in the early visual system, and showed how these form a novel channel that conveys information from the retina, via the thalamus, to the cortex. As well, we surveyed current techniques that are used to quantify the amount of information that oscillatory spike trains encode. Further, we summarized potential functions of oscillation-based channels in the periphery that are being actively explored by the community.

The work we have reviewed also bears on cortex (Eckhorn et al., 1988; Gray and Singer, 1989; Young et al., 1992; de Oliveira et al., 1997; Thiele and Stoner, 2003), where oscillations are generated by two sources: sensory afferents and intracortical networks. That is, we not only discussed the types of sensory information that oscillations carry downstream, but also described theoretical frameworks that can be applied to diverse cortical regions.

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