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# Case report: Ketogenic diet alleviated anxiety and depression associated with insulin-dependent diabetes management

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Differentiating between an irrational versus a rational fear of hypoglycemia has treatment implications and presents significant challenge for clinicians facing patients with type 1 diabetes, illustrated in this case. A 39-year-old woman with autoimmune-positive insulin-dependent diabetes sought help to alleviate severe diabetes distress, and symptoms of depression and anxiety, associated with unpredictable drastic blood glucose drops. After exhausting conventional methods, she adopted a ketogenic diet (KD). Her glucose values decreased from around 20 mmol/L to 12 mmol/L (360 mg/dL to 216 mg/dL) in the first days. Then, by combining a KD with an insulin pump, her time in optimal glucose range increased from 8 to 51% after 2 months, reducing her HbA1c with 25 mmol/mol (2.2%). This reduced biological and psychological stress, immediately improving her mental health and renewing her hope for the future. The main concerns regarding KD in patients with comorbid type 1 diabetes is the assumed increased risk of ketoacidosis, theoretical depletion of glycogen stores, and a potential adverse effect of saturated fat on cardiovascular risk factors. These concerns are evaluated against existing empirical evidence, suggesting instead that a KD may protect against acidosis, hypoglycemia, and cardiovascular risk. The present case, together with available data, indicate that patients with type 1 diabetes experiencing high levels of biological and psychological stress should be informed of the expected benefits and possible risks associated with a KD, to ensure their right to take informed decisions regarding their diabetes management.

#### KEYWORDS

ketogenic diet, type 1 diabetes mellitus, diabetes distress, anxiety, depression, glycogen depletion, ketoacidosis, eating disorders

# **1** Introduction

Although the hallmark of type 1 diabetes is the autoimmune destruction of insulin producing  $\beta$ -cells, necessitating exogenous insulin, living with diabetes comes with a high psychological burden. This "diabetes distress" can include feelings of being overwhelmed, powerless and hopeless, along with fears of acute and long-term consequences. It includes the stress and frustration of daily management and conflicts with family and health care providers (1, 2). This can develop into a vicious cycle of increasing distress leading to emotional numbing and deteriorated glycemic control, which then in turn increases the psychological burden (3). Approximately 40–60% of individuals with type 1 diabetes experience moderate to high levels of this distress (4). This group is especially at risk for "diabetes burnout"; a state characterized by feeling exhausted, seen in combination with reduced self-care and diabetes management (2). These symptoms may be intense enough to fulfill diagnostic criteria for anxiety and

depression. Individuals with type 1 diabetes are believed to have at least double the risk of various mental disorders and even suicide (5–7).

The situation is further complicated as about 50% of people with type 1 diabetes develop insulin resistance (8), worsening prognosis. To further complicate the picture; insulin resistance in the blood-brain barrier can occur without whole body insulin resistance, making it harder to detect, but with potential harm to the brain (9, 10). Alarmingly, there is an estimated loss of 100 days of life for each year a person's HbA1c exceeds 58 mmol/mol (7.5%) (11).

Insulin resistance, and high levels of insulin and glucose are associated with mitochondrial dysfunction, neuroinflammation, neurotransmitter imbalances, glucose hypometabolism, and lower volume in parts of the brain (5, 9, 12, 13), all of which are also associated with mental disorders (10, 14–16).

Numerous factors complicate the estimation of appropriate insulin dosage. Two key factors are the variability in the effect of insulin administered subcutaneously (17), and miscalculations of the carbohydrate content in food, which may lead to a mismatch with the estimated insulin dosage (18). For example, assuming a combined error of 25%, the absolute value of the error will be lower with lower insulin doses. For instance, a 25% error at a "true" carbohydrate intake of 80 g will result in a miscalculation of 20 g in either direction. In contrast, a "true" carbohydrate intake of 10 g will result in a miscalculation of just 2.5 g in either direction. An insulin pump might compensate for the 2.5 g miscalculation, while a miscalculation of 20 g will significantly interfere with daily life.

Studies of various designs show that a low-carbohydrate diet (under about 100 g/day) lowers HbA1c, even approaching the prediabetic values of <48 mmol/mol (<6.5%), reduces glucose variability, and time spent in hypoglycemia without reducing quality of life (19–23). The ketogenic diet (about 20- 50 g/day of carbohydrates) appears even more beneficial, with HbA1c levels approaching non-diabetic values <42 mmol/mol (<6.0%) and normalize weigh (24–32). In addition, nutritional ketosis protects against the potentially detrimental effects of insulin resistance, high levels of insulin, and high/variable levels of glucose, thereby probably preventing and even treating symptoms of psychiatric disease and neurodegeneration (33). See overviews of the proposed mechanisms elsewhere, for example (34–38).

A key contributor to reduced mental health is the unpredictable nature of type 1 diabetes. A typical experience is that glucose levels tend to drop significantly during even light physical activity and exercise. This is likely due to heightened insulin mediated activation of the signaling pathway controlling GLUT4 glucose transporter activity (39). This effect may be amplified by (i) absence of the glucose sparing effect of nutritional ketosis (40, 41), and (ii) the slightly higher insulin levels by subcutaneous injected insulin compared to the release of endogenous insulin by the pancreas into the portal vein (17). Insulin levels can therefore often become high enough to cause uncomfortable drops during normal daily activities like house cleaning or short walks. This might increase diabetes distress and induce a fear of the very real threat associated with hypoglycemia. However, most cases of hypoglycemia can be easily corrected, often resulting in different threat assessments between healthcare personnel and their patients. Therefore, distinguishing between an anxiety and a rational fear of hypoglycemia has treatment implications, but may be challenging in clinical practice.

This case-report illustrates how introducing a KD mitigated intense diabetes distress and even symptoms of severe anxiety and depression.

# 2 Case description

#### 2.1 Patient information and evaluation

A 39-year-old woman with a history of trauma and autoimmune-positive insulin-dependent diabetes for about 2 years was referred to an experienced licensed clinical psychologist familiar with diabetes treatment. Past severe and unpredictable hypoglycemia had frightened the patient, and as a single mother of two, she was particularly concerned about dangerous hypoglycemia. Despite numerous interventions from several diabetes teams, including psychological and dietary approaches, unpredictable glucose levels remained a threat. The expected results did not materialize when she intervened as instructed, leading to increased frustration and fear. Consequently, she felt safe only at high glucose levels (15-20 mmol/L; 270-360 mg/dL). She felt blamed for her fear and failure to control her diabetes. Increasingly desperate, she began to accept the prospect of rapidly deteriorating health and death within a few years, even making plans for her children's care after her death. She reluctantly agreed to start an insulin pump but was terrified of having more insulin and being dependent on a machine.

An emergency session was arranged on a Tuesday as she was scheduled to commence pump treatment on the following Friday.

Based on the patient's descriptions in the clinical assessment interview, the psychologist concluded that the patient's psychological pain was severe, with high levels of diabetes distress, approaching panic. This distress fulfilled the diagnostic criteria for F 40.2 and F 32; specific phobia and depression. Her anxiety symptoms included intense fear of hypoglycemia and insulin, causing her to omit and avoid necessary insulin doses. She felt trapped and a loss of control, becoming agitated and autonomically hyperactivated. Her depression was marked of by a sense of surrender to the prospect of dying due to her diabetes, alongside a loss of energy, interest and joy. She experienced negative thoughts about the future, feelings of guilt, unworthiness, and cognitive difficulties such as problems with concentration, attention and memory. These symptoms had persisted for at least 1 year, had rendered her unable to work and limited her social life. In combination with the fatigue caused by the hyperglycemia, she could barely manage to take care of herself and her children. These symptoms are closely related to her life with diabetes, and most likely exacerbated by hyperglycemia and the unpredictable and uncontrollable glucose drops.

By accepting the patient's narrative, the logical course of action appeared to be to minimize any unnecessary insulin requirement due to "normal" carbohydrate consumption and harness the advantages of a ketogenic diet (KD). The current KD was defined as a diet allowing her body to produce ketones.

### 2.2 Intervention

Due to the patient's severe pain and time constraints, the psychologist promptly provided information on how a KD could mitigate sudden glucose drops, thus addressing psychological distress and biological stress. The psychoeducation included possible benefits and risks of utilizing a KD for mental health with comorbid diabetes, and practical tips on how to implement it, allowing for individualization of the diet. Generally, important aspects of a KD to consider for insulin-dependent diabetes includes:

- 1 Ensure sufficient insulin: Use a continuous glucose monitor (CGM) with "high alarm" at 10–12 mmol/L (180–215 mg/ dL). Consider adding insulin if glucose values exceed 10 mmol/L (180 mg/dL). Expect decreased insulin need of up to 50%. Bolus for 5-10g carbohydrates when eating a keto meal, to cover protein and notify the pump of the meal. Always have an extra insulin pen handy, in case of pump malfunctions.
- 2 Prevent and rescue hypoglycemia: The CGM should alert when glucose falls below 4 mmol/L (72 mg/dL). Consider consuming 2-5 g glucose if the alarm sounds, preferably in tablet form. This prevents overeating and subsequent hyperglycemia.
- 3 Enter nutritional ketosis: Base food intake decisions on three guidelines: (i) Prioritize protein: include meat, fish, egg in all/most meals. (ii) Reduce carbohydrates: avoid sugar, starch, rice, potatoes, bread, and pasta. Aim for 25-50 g carbohydrate per day. Foods with less than 5% carbohydrate per 100 g are typically acceptable. (iii) Add fat to satiety and taste: feel free to include saturated fat, but limit highly processed vegetable oils.
- 4 Monitor ketones: Aim for blood ketones between 0.5-3 mmol/L (42). Initially measure a few times a week, then two times or less a month may suffice. If ketone levels are 3 mmol/L or higher, consume about 10-30 g of carbohydrates and administer matched insulin. Test ketone levels if you get

sick, unusually thirsty, headache, nausea, stomachache, or the like.

5 Regarding other medication: Notify relevant healthcare personnel of the change in diet. Do not combine with SGLT-2 inhibitor. Regularly assess the need to adjust blood pressure medication and psychopharmaceuticals.

# **3** Results

The patient embraced the KD after her first session on the Tuesday, choosing food based on the third guideline above. By the following Thursday, she reported a steady decline in her glucose from about 20 mmol/L to 12 mmol/L (360 to 216 mg/dL). She reported feeling safe for the first time in years and a renewed hope for the future, even her children noticed a positive change in her during these first few days on the new diet. This signified a relief in her depressive state and a reduced threat assessment, including her autonomic overactivation, allowing her to start the hybrid closed-loop insulin pump the following Friday. Thus, this had mitigated her avoidance to insulin, relieved her state of panic and severe depression.

The following months, the combination of her reduced insulin need and the insulin pump, her glucose levels significantly stabilized, with increased time in range [glucose values between 4 and 10 mmol/L (72–180 mg/dL)] from 3 to 51%, reducing her HbA1c from 83 to 58 mmol/mol (9.7 to 7.5%) (See Table 1).

From 2 days after the emergency session and onwards, the clinician observed the patient's amazement and joy of her reduced glucose variability, her relief of being believed in her motivation and efforts to manage her diabetes, and a clinically significant reduction in fear and depression.

# 4 Discussion

Although fulfilling formal criteria for depression and anxiety, the patient's mental state and behavior was interpreted as logical

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Time spent in:	T1 16th September to 29th September 2023	T2 20th November to 4th December 2023
Hypoglycemia %	0	0
3-3.8 mmol/L (54-64.4 mg/dL)		
Aim %	3	51
3.9-10 mmol/L (70.2-180 mg/dL)		
Hyperglycemia %	19	49
10.1–13.9 mmol/L (181.8–250.2 mg/dL)		
Hyperglycemia %	78	0
>13,9 mmol/L (>250.2 mg/dL)		
Mean glucose mmol/L (mg/dL)	16.7 (300.6)	9.9 (178.2)
Standard deviation	No info	1.2
Coefficient of variation %	22.1	12.5
HbA1c mmol/mol (%)	83 (9.7)	58 (7.5)

T1 is the 14 days before starting the ketogenic diet, the insulin pump and a HbA1c test. T2 is the 14 days before the second HbA1c test, about 2 months after T1.

reactions to a dangerous situation, not as irrational fear or poor coping. By addressing the diet, the psychological and biological stress on the brain could be reduced.

Despite the promising effects on diabetes management and mental health outlined in the introduction, both regulatory bodies and the low-carbohydrate community have urged caution when considering nutritional ketosis for people with diabetes. There are three main concerns delaying the implementation of the KD in clinical practice, all of which can be mitigated by evidence. Addressing these concerns is crucial to ensure that patients receive the information they need to make informed dietary choices. Had the current patient received this information earlier, she may not have developed her anxiety and depression, which lead to her avoidance of pump treatment.

#### 4.1 Diabetic ketoacidosis

Diabetic Ketoacidosis (DKA) is a potentially lethal condition marked by hyperglycemia [serum glucose >13.9 mmol/L (>250 mg/ dL)], increased anion cap metabolic acidosis (anion gap >10-12, serum bicarbonate <18 mEq/L and/or pH <7.3), and ketosis (> 2 mmol/L) (43). Usually, DKA is seen in combination with insulin deficiency and/or increased amounts of counter-regulatory hormones like catecholamines, glucagon, cortisol, and growth hormone (44). The acidosis has been attributed to the increased level of ketones (43). However, the ketones acetoacetate and beta-hydroxybutyrate are not produced as acids but as conjugate bases, and acetone is neither an acid nor a base (45). The acidosis can therefore not be directly caused by the presence of ketone bodies. The acidosis is more likely a related consequence linked to the Krebs cycle's maximum oxidation rate of Acetyl-CoA. Further lipolysis after the maximum oxidation is reached, will result in the release of a substantial number of protons per triglyceride molecule. And when these are not consumed by complex 1 in the electron transport chain, acidification may occur (45).

In contrast to DKA, in nutritional ketosis the blood pH remains withing normal limits and, glucose values are normal, but ketones are about 0.5–3 mmol/L (40, 46). Surprisingly, keto-adaptation has been observed to enhance the oxidation rate in ultra-athletes (47, 48). This could mean that the acidotic process associated with ketone production may be deferred through a KD, and possibly further postponed by including exercise (41, 46, 49).

Information is scarce on the incidence, prevalence, and risk factors of acidosis in type 1 diabetes patients in nutritional ketosis. One study reported a 1% incidence (24), compared to an estimated annual 5–8% incidence in the broader type 1 diabetes population (not on KD) (44). This information is relevant for this case, as it means that the patient is actually safer from a DKA on a KD.

In our opinion, a more pressing concern for type 1 diabetes patients is an increased risk of DKA during acute infections or pump malfunctions. However, if a pump with fast acting insulin malfunctions, the body's insulin reserve depletes within hours. It is unclear how much ketones, induced by nutritional ketosis, will impact DKA development, as it is not the ketones themselves that are acidic. More research is needed. In addition, near normal glucose levels decreases tolerance for glucose fluctuations, thereby rapidly alerting the individual if insulin is needed due to hyperglycemia. And importantly, the improved predictability by combining KD with an insulin pump significantly reduces the mental burden related to diabetes, which was exactly what the current patient needed to reduce her anxiety and depression.

Euglycemic DKA involves acidosis with normal glucose levels and accounts for about 2.6–7% of known DKA cases (43). The main contributor appears to be the off-label use of SGLT-2 inhibitors. Other risk factors could include low-fat zero-carb diets, combined with prolonged fasting and intense exercise (50). Thus, the main contributor is not a KD, which is a high-fat low-carb diet that encourages the individual to eat when hungry and match insulin to maintain normal glucose levels. Ketoadapted people with type 1 diabetes often experience increased energy, satiety, and can thrive during intensive multi-day exercise, even when fasted (31, 32). As the current patient was not on SGLT-2 inhibitors but adhered to the KD aiming to better match her insulin injections to her need, the risk of euglycemic DKA was low.

Regardless of their diet and treatment, all individuals with type 1 diabetes must be vigilant about the risk of DKA.

# 4.2 Hypoglycemia and empty glycogen stores?

Intensive insulin treatment, leading to lower HbA1c levels, has been associated with a threefold increase in hypoglycemia frequency (51). Transitioning to a KD significantly reduces insulin needs, potentially causing a slight increase in hypoglycemic episodes, indicating that further insulin reductions are necessary. As the current patient suffered from severe hyperglycemia, the clinician calculated that the reduced insulin need due to KD would resolve the hyperglycemia without triggering anxiety, avoidance of insulin, nor lead to hypoglycemia. The insulin levels the patient managed to inject would be sufficient.

A primary concern other clinicians has is the belief that a KD depletes glycogen stores before ketone production occurs, potentially rendering glucagon injections ineffective during severe hypoglycemia. A small study (n = 10) found that glucagon injection resulted in a higher glucose rise after a high-carb week compared to a low-carb week. However, the rise was sufficient to rescue hypoglycemia in both groups (52), thereby rendering this finding irrelevant.

Three counterpoints to the glycogen storage total "depletion" notion include: (i) Similar levels of resting muscle glycogen stores and glycogen depletion after 180 min running were observed in keto-adapted endurance athletes and athletes consuming "high-carbohydrate" diet (49), potentially due to increased gluconeogenesis rates and a glucose-sparing effect of ketones (41, 46). (ii) During physical activity, muscles primarily use fat for fuel, and increased gluconeogenesis rates contribute to stabilize blood glucose (41). (iii) If hypoglycemia occurs, the brain uses ketones for fuel, mitigating the impact of reduced glucose availability in keto-adapted individuals (32, 53).

By informing the current patient of the benefits of becoming keto-adapted, including the additional fuel for the brain, the patient was able to accept the prospect of more insulin on board delivered by the upcoming pump treatment.

#### 4.3 Cardiovascular disease (CVD)

CVD is the leading cause of death in type 1 diabetes patients (54). The main concern is that a KD, often relatively high in saturated fat, can increase low-density lipoprotein cholesterol (LDL-C) and therefore presumably the risk of CVD. However, there are five counter arguments: (i) Individuals with lower levels of LDL-C can become just as atherosclerotic as people with high LDL-C, if not more (55-57). (ii) A recent study found LDL-C not to be a significant risk factor in type 1 diabetes patients (58), suggesting that interventions should not be based solely on theory, but tested in the relevant populations. (iii) The understanding of CVD is evolving, now attributing more atherosclerotic properties to insulin resistance, inflammation and a subgroup of LDL particles, e.g., small dense LDLs (59, 60). (iv) A KD has been found to redistribute the fractions of small dense and big fluffy LDL, to lower circulating triacylglycerols, and to reduce other more important risk factors associated with high glucose levels and insulin resistance (59, 61). (v) The heart might benefit from running on ketones (62). Furthermore, patients with a normal BMI might be more likely to develop a lean-mass hyperresponder phenotype (LMHR) of very high LDL in combination with high HDL and low triacylglycerols (61), related to the carbohydrate restriction rather than the high saturated fat intake (63). This phenotype may not promote CVD risk in the same way as normal dyslipidemia, and there is therefore consensus that CVD risk assessment needs to be individualized according to metabolic state and other factors (64). The CVD risk was a not a current concern for this patient. In her state, her immediate priority was finding relief to take care of her children. Her main goal was to overcome her fear of insulin and resolve the toxic hyperglycemia. However, her HDL, triacylglycerol, and LDL levels did not indicate signs of LMHR, but her values will be closely monitored.

#### 4.4 Strength and weaknesses

This report illustrates how a KD can be used in a normal clinical setting. A weakness is a lack of assessment scales for diabetes distress, anxiety, and depression pre and post the KD intervention, and a relatively short follow-up time.

#### 5 Take-away lessons

Insulin avoidance due to anxiety and depression may be relieved through a KD. This observation supports the emerging view that a KD is a viable option for some individuals with type 1 diabetes. Despite concerns about KD for individuals with type 1 diabetes, there exist counterarguments and contradictory evidence, suggesting that the perceived risks might not be as significant as initially thought. On the contrary, being keto-adapted might protect against DKA, hypoglycemia, and CVD, in addition to improve mental health both through biological and psychological mechanisms. Patients struggling to live with diabetes should be provided with comprehensive information about potential benefits and risks of adopting a KD, to ensure their right to make informed treatment decisions, including dietary interventions.

## 6 Patient perspective

Pre KD: In the summer of 2023, I was severely ill, overwhelmingly fatigued and could no longer function properly. Often, I was haunted by the thought that I may not have much time left and filled with despair at the thought of not being there for my children. I began to plan for their care and well-being if I were to die soon. Consequently, I realized that my only option was to try an insulin pump, a prospect that filled me with dread. I got an emergency phone appointment with a new psychologist. During the call, I was so affected by hyperglycemia that I struggled to think clearly and concentrate. I shared my challenges with managing diabetes and my fear about starting the insulin pump. I immediately felt understood and got quickly reassured by the psychologist's guidance on initiating insulin pump therapy and transitioning to a KD.

On a KD: In a remarkably short period, I noticed significant improvements. With each passing day, I felt I was regaining my vitality. Thanks to the guidance and support of my psychologist, I have been immersing myself in learning about diabetes and KD, gaining new knowledge every day. The patient has continued the KD for 1 year and is closely followed up by her diabetes team.

#### Data availability statement

The original contributions presented in this paper are based on the patient's medical records, which are not publicly available due to privacy restrictions. Inquiries can be directed to the corresponding author.

# **Ethics statement**

Ethical approval was not required for this paper as the information presented was not collected through scientific methods for research purposes, but rather as part of routine clinical practice. The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## Author contributions

EW: Writing – review & editing, Writing – original draft, Project administration, Methodology, Investigation, Data curation, Conceptualization. IL: Writing – review & editing, Writing – original draft, Validation, Supervision, Conceptualization. SD: Writing  review & editing, Writing – original draft, Validation, Supervision, Methodology.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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