

# Head-to-Head Comparison of the Expression Differences of NECTIN-4, TROP-2, and HER2 in Urothelial Carcinoma and Its Histologic Variants

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Fan Y, Li Q, Shen Q, Liu Z, Zhang Z, Hu S, Yu W, He Z, He Q and Zhang Q (2022) Head-to-Head Comparison of the Expression Differences of NECTIN-4, TROP-2, and HER2 in Urothelial Carcinoma and Its Histologic Variants. Front. Oncol. 12:858865. doi: 10.3389/fonc.2022.858865 **Background:** Antibody–drug conjugates (ADC), such as enfortumab vedotin (EV), sacituzumab govitecan (SG), and RC-48, have shown outstanding response rates to local advanced or metastatic urothelial carcinoma (UC). However, their corresponding target expression characteristics in UC and its histologic variants were unknown.

**Methods:** We detected the expression of NECTIN-4, TROP-2, and HER2, which are the corresponding targets of ADCs EV, SG, and RC-48 in muscle-invasive UC through immunohistochemistry.

**Results:** 161 consecutive samples from 2017 to 2021 of muscle-invasive UC and its histologic variants were obtained in Peking University First Hospital. Variant histology types included 72UC, 10 squamous carcinomas, 23 glandular carcinomas, 19 small cell carcinomas, 19 micropapillary variants, and 18 nested variants. NECTIN-4 expression was found to be 57/72 (79.2%), 10/10 (100%), 15/23 (65.2%), 4/19 (21.1%), 15/19 (78.9%), and 16/18 (88.9%) in conventional UC, squamous carcinoma, glandular carcinoma, small cell carcinoma, micropapillary, and nested variant, respectively, compared with 65/72 (90.3%), 8/10 (80.0%), 13/23 (56.5%), 3/19 (15.8%), 16/19 (84.2%), and 15/18 (83.3%) of TROP-2, and 26/72 (36.1%), 0, 5/23 (21.7%), 6/19 (31.6%), 5/19 (26.3%), and 7/18 (38.9%) of HER2.

Keywords: urothelial carcinoma, ADC, nectin-4, Trop-2, HER2, histologic variants

# INTRODUCTION

Urothelial carcinoma (UC) is the second most common genitourinary tract cancer, affecting >80,000 new patients and causing >17,000 deaths every year in the United States (1). UC commonly arises from the urinary bladder but also involves the renal pelvis, the ureter, or the urethra. Conventional UC is the most common histologic type and accounts for around 90% of all

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UC, and the remaining 10% show different histologic variants such as squamous carcinoma, glandular carcinoma, small cell carcinoma, micropapillary variant, and nested variant (2, 3). Squamous carcinoma is the most common subtype, accounting for 3%-5% of all UC, followed by glandular carcinoma of 1.5% and small cell carcinoma of 0.7% (4). Furthermore, Chinese people are reported to have different clinicopathological characteristics and oncologic outcomes of UC in the United States, with more adverse pathological features (5). However, despite multiple histologic subtypes, UC has been managed similarly. For advanced or metastatic UC, cisplatin-based chemotherapy is the first-line therapy due to its high response rate. For the cisplatin-ineligible patients, carboplatin-based chemotherapy combined with an immune checkpoint inhibitor (ICI) is recommended (6). Recently, antibody-drug conjugates (ADC), the emerging agents that combine a cytotoxic agent with a monoclonal antibody (mAb) as a delivery molecule, have been promising as the new treatment approach for advanced or metastatic UC (7).

The study about ADCs could be dated from the late 1950s, when polyclonal and murine monoclonal antibodies were detected preclinically with conjugates comprising radionuclides, toxin, and drugs (8). However, these first-generation ADCs suffered from immune responses to the xenogeneic antibodies, limiting their clinical application. Recently, second- and third-generation ADCs such as enfortumab vedotin (EV), sacituzumab govitecan (SG), and RC-48, using monoclonal mAbs with better-defined precision targets, combined with more toxic payloads have emerged as a new line of approved ADCs. Enfortumab vedotin (EV), a novel ADC composed of an anti-NECTIN-4 antibody with the microtubule-disrupting cytotoxic agent monomethyl auristatin E (MMAE), binds to cells that express NECTIN-4, a cell adhesion molecule highly expressed in many solid tumors including UC. Then, MMAE is internalized and released into the target cells and impairs the formation of the microtubule network (9, 10). TROP-2 is a transmembrane glycoprotein overexpressed in many solid tumors, including UC, and linked with worse prognosis (11, 12). Sacituzumab govitecan (SG) is an ADC composed of SN-38 conjugated to an anti-Trop-2-humanized mAb, resulting in double-stranded DNA breaks during the mitotic S phase of affected cells (13). HER2 is a growth-promoting tyrosine kinase receptor, whose overexpression, though uncommonly, is highly associated with tumor progression and poor prognosis in UC (14, 15).RC48-ADC is a novel humanized anti-HER2 antibody conjugated with MMAE via a cleavable linker, impairing the formation of the microtubule network of target cells (16).

During the immunotherapy era, the PD-L1 expression situation was proven to be an important prognostic factor in both bladder cancer and upper tract urothelial carcinoma undergoing immunotherapy (17–19). Although it is still unknown whether a high expression of the ADC-corresponding targets is linked to a better efficacy, the expression of these proteins is believed to be essential for the response to ADC as it is the port of entry to tumor cells. The expression of NECTIN-4 in the muscle-invasive UC is reported to be 68.2% (20), compared with 8.7% of HER2 (14), and TROP-2 is known to be expressed in normal urothelium and in ≤83% of urothelial carcinoma (21). However, it is unknown whether the protein expression is related to the clinicopathologic features of the patient. The head-to-head comparison regarding the expression differences of these targets in UC and its histologic variants is rare, which could have potential implications in therapeutic strategies. In the present study, we conducted a head-to-head comparison of expression differences of NECTIN-4, TROP-2, and HER2 in muscle-invasive UC and its histologic variants, discussing the possible tendency of ADC choice in different pathologic subtypes of UC.

### MATERIALS AND METHODS

161 consecutive samples from 2017 to 2021 of muscle-invasive UC and its histologic divergent types were obtained from the patients who underwent radical cystectomy and radical nephroureterectomy without adjuvant or neoadjuvant therapy before in the Department of Urology, Peking University First Hospital. Variant histology types included 10 squamous carcinomas, 23 glandular carcinomas, 19 small cell carcinomas, 19 micropapillary variants, and 18 nested variants. The histopathology of tumors was graded according to the World Health Organization histologic grading system and staged according to the TNM staging system (22, 23). The slides were reviewed by 3 expert urologic pathologists (QH, QS, and SH), and a representative section was chosen and recut to perform immunohistochemical stains. The study was approved by the ethics committee of Peking University First Hospital.

Moreover, the samples with muscle-invasive bladder cancer (MIBC) of conventional pathological type were grouped into luminal and basal/squamous subtypes based on expressions of GATA3 and KRT5/6 through immunohistochemistry (24, 25). Tissues that were KRT5/6-positive and GATA3-negative were considered of basal-like phenotype, while tissues that were GATA3-positive were deemed of luminal-like phenotype. KRT5/6-positive and GATA3-positive were defined as KRT5/6 2+/3+ and GATA3 2+/3+, respectively.

### Immunohistochemistry

The expressions of NECTIN-4, TROP-2, and HER2 were evaluated according to standard immunohistochemistry protocols. Briefly, 4-µm-thick sections from formalin-fixed paraffin-embedded specimens were deparaffinized in xylene, rehydrated in decreasing concentrations of ethanol, and washed in distilled water. Following antigen retrieval with Tris-EDTA buffer, endogenous peroxidase blocking with 3% hydrogen peroxidase was performed. Sections were incubated with 10% normal blocking serum in Tris-buffered saline at room temperature for 20 min. The commercially available primary antibodies used in this study were anti-human NECTIN-4, TROP-2, and HER2 rabbit monoclonal antibodies (1:2000, EPR 15613-68, Abcam, Cambridge, MA, USA; 1:500, EPR20043, Abcam; 1:800, D8F12, CST, Danvers, MA, USA; respectively). After being incubated at 4°C for 16 h, the secondary antibodies were added. Next, the sections were

counterstained with hematoxylin at room temperature for 3 min, dehydrated, and covered with a coverslip. According to the guideline protocol, positive controls were human skin tissue, human placenta tissue, and human urothelial carcinoma tissue for NECTIN-4, TROP-2, and HER2, respectively, and negative controls were UC tissues without primary antibodies.

NECTIN-4 expression was evaluated through the histochemical scoring system (H-score), which is defined as the product of intensity (score, 0–3), and percentage of stained cells (0–100). Then the specimens were classified as negative (0; H-score, 0–14), weak (1+; H-score, 15–99), moderate (2+; H-score, 100–199), and strong (3+; H-score, 200–300) (10). TROP-2 staining results were determined as follows: samples were deemed as positive if >10% tumor cells had membranous staining. Positive expression was scored as weak (+1), moderate (2+), and strong (3+). Tumors were classified as negative if <10% of tumor cells had membranous staining (26). For HER2, the staining scores were assessed according to the HER2 test guideline for breast cancer, and HER2 2+ and 3+ were defined as HER2-positive (16, 27).

#### **Statistics**

SPSS software (version 26.0; SPSS, Inc., Chicago, IL, USA) was used for statistical analysis of all data, and P < 0.05 was considered as statistical significance. A Venn diagram was made through VENNY 2.1 (28).

### RESULTS

The cohort included a total of 161 patients: 141 patients with bladder cancer and 20 patients with upper-tract urothelial carcinoma (ratio: 7.05:1); there were 126 men and 35 women

TABLE 1 | Clinicopathologic characteristics of patients enrolled.

(ratio 3.6: 1). The average age at diagnosis was 67.1 years (range 37 to 91 y) (**Table 1**). The samples were grouped based on the presence of divergent differentiation of pathological components into UC (n = 72); squamous carcinoma (n = 10); glandular (n = 23); small cell carcinoma (n = 19); micropapillary (n = 19); and nested (n = 18). Immunohistochemical results of NECTIN-4, TROP-2, and HER2 in different pathological types of UC are shown in **Figure 1** and **Supplementary 1**. Overall, the expressions of NECTIN-4, TROP-2, and HER2 were associated with histologic subtypes, but not to age, year, gender, tumor diameter, tumor location, and TNM grade (**Supplementary 2**).

#### **Urothelial Carcinoma**

Overall, 57/72 (79.2%), 65/72 (90.3%), and 26/72 (36.1%) of UCs were positive for NECTIN-4, TROP-2, and HER2, respectively (**Figure 2**). 18 of 72 tissues (25.0%) were positive for all three targets, and 1 of 72 tissues (1.4%) was negative for the three. 52/72 (72.2%) were positive for both TROP-2 and NECTIN-4, 23/72 (31.9%) for both HER2 and TROP-2, and 20/72 (27.8%) for both HER2 and NECTIN-4 (**Figure 1B**). After being grouped by molecular classification, 53 luminal subtypes and 8 basal/squamous subtypes were obtained. The positive rates of NECTIN-4, TROP-2, and HER2 were 41/53 (77.35%), 47/53 (88.7%), and 23/53 (43.4%) in luminal subtypes, and 7/8 (87.5%), 8/8, and 0/6 in basal/squamous subtypes, respectively (**Figures 1C, D**).

#### **Squamous Carcinoma**

There were 10 samples with at least 50% of the tumor displaying squamous differentiation, defined histologically by the presence of intracellular bridges or keratin (29). 10/10 (100%) for NECTIN-4, 8/10 (80%) for TROP-2, and 0/10 (0) for HER2 were positive, respectively (**Figures 1B**, **3**).

Clinicopathological features	Conventional UC (N = 72)	Squamous carcinoma (N = 10)	Glandular carcinoma (N = 23)	Small cell carcinoma (N = 19)	Nested variants (N = 18)	Micropapillary variants (N = 19)
Gender, n (%)						
м	57 (79.2)	7 (70.0)	17 (73.9)	15 (78.9)	14 (77.8)	16 (84.2)
F	15 (20.8)	3 (30.0)	6 (26.1)	4 (21.1)	4 (22.2)	3 (15.8)
Tumor diameters, cm	3.27 (1.40,	5.32 (3.15, 1.5–12.0)	3.71 (2.70, 1.0–14.0)	4.56 (3.16, 1.2–12.0)	3.76 (1.61, 1.5–	3.30 (1.54. 1.2-6.0)
(SD, range)	1.0-9.0)				7.0)	
Tumor site (%)						
Bladder cancer	61 (84.7)	9 (90.0)	21 (91.3)	17 (89.5)	17 (94.4)	16 (84.2)
Upper-tract urothelial	11 (15.3)	1 (10.0)	2 (8.7)	2 (10.5)	1 (5.6)	3 (15.8)
carcinoma						
T-stage distribution (%)						
T2	30 (41.7)	2 (20.0)	11 (47.8)	4 (21.1)	9 (50.0)	5 (26.3)
тз	29 (40.3)	5 (50.0)	11 (47.8)	14 (73.7)	8 (44.4)	6 (31.6)
T4	13 (18.1)	3 (30.0)	1 (4.3)	1 (5.3)	1 (5.6)	8 (42.1)
Lymph node						
metastasis (%)						
NO	61 (84.7)	6 (60.0)	18 (78.3)	14 (73.7)	14 (77.8)	10 (52.6)
N1	4 (5.6)	1 (10.0)	2 (8.7)	2 (10.5)	3 (16.8)	3 (15.8)
N2	7 (9.7)	3 (30.0)	3 (13)	3 (15.8)	1 (5.6)	6 (31.6)







FIGURE 2 | HE and immunohistochemistry for NECTIN-4, TROP-2, and HER2 in conventional urothelial carcinoma. Scale bar: 50 µm. (A) HE-stained section of conventional urothelial carcinoma. (B) Immunohistochemistry for NECTIN-4 in the same tumor showing moderate staining. (C) Immunohistochemistry for TROP-2 in the same tumor showing strong staining. (D) Immunohistochemistry for HER2 in the same tumor showing strong staining.

# Glandular Carcinoma

Glandular differentiation is histologically characterized by the presence of glandular spaces within the urothelial tumor (30). In all 23 specimens, 13/23 (56.5%) for NECTIN-4, 16/23 (69.5%) for TROP-2, and 5/23 (21.7%) for HER2 were positive, respectively. 4 of 23 tissues (17.4%) were positive for all three targets, and 5 of 23 tissues (21.7%) were negative for the three. 10/23 (43.5%) were positive for both TROP-2 and NECTIN-4, 5/23 (21.7%) for both

HER2 and TROP-2, and 4/23 (17.4%) for both HER2 and NECTIN-4 (**Figure 1B**). The clinicopathologic characteristics of samples with three negative ADC targets and samples with at least one positive target are shown in **Supplementary 3**.

# **Small Cell Carcinoma**

There were 19 specimens of small cell carcinoma, characterized by pathological features of spindle cells with scant cytoplasm and



hyperchromatic nuclei with "salt and pepper" chromatin (31). 4/ 19 (21.1%) for NECTIN-4, 3/19 (15.8%) for TROP-2, and 6/19 (31.6%) for HER2 were positive, respectively (**Figure 4**). Only 1 of 19 tissues (5.3%) was positive for all three targets, and 10 of 19 tissues (52.6%) were negative for the three. 2/19 (10.5%) were positive for both TROP-2 and NECTIN-4, 2/19 (10.5%) for both HER2 and TROP-2, and 1/19 (5.3%) for both HER2 and NECTIN-4 (**Figure 1B**). The clinicopathologic characteristics of samples with three negative ADC targets and samples with at least one positive target are shown in **Supplementary 4**.

# **Nested Variant**

All 18 cases of nested urothelial carcinoma were detected, which are defined as bland nests of urothelial carcinoma [17]. 16/18

(88.9%) for NECTIN-4, 15/18 (83.3%) for TROP-2, and 7/18 (38.9%) for HER2 were positive, respectively (**Figure 5**). 6 of 18 tissues (33.3%) were positive for all three targets, and 1 of 18 tissues (5.6%) was negative for the three. 14/18 (77.8%) were positive for both TROP-2 and NECTIN-4, 6/18 (33.3%) for both HER2 and TROP-2, and 7/18 (38.9%) for both HER2 and NECTIN-4 (**Figure 1B**).

### **Micropapillary Variant**

A micropapillary variant was diagnosed by the presence of multiple nests of tumor within a single lacuna demonstrating small branching papillae or tufts without fibrovascular cores (32). In all 19 specimens, 15/19 (78.9%) for NECTIN-4, 16/19



section of urothelial carcinoma with small cell carcinoma. (B) Immunohistochemistry for NECTIN-4 in the same tumor showing moderate staining. (C) Immunohistochemistry for TROP-2 in the same tumor showing negative.



(84.2%) for TROP-2, and 5/19 (26.3%) for HER2 were positive, respectively (**Figure 6**). 3 of 19 tissues (15.8%) were positive for all three targets, and 1 of 19 tissues (5.3%) was negative for the three. 14/19 (73.7%) were positive for both TROP-2 and NECTIN-4, 4/19 (21.1%) for both HER2 and TROP-2, and 3/19 (15.8%) for both HER2 and NECTIN-4 (**Figure 1B**).

# DISCUSSION

Locally advanced and metastatic urothelial carcinoma often has a poor prognosis, with a median survival chemotherapy of approximately 13 to 15 months (33). The first-line treatment has been cisplatin-based cytotoxic chemotherapy for decades. In cisplatin-ineligible patients, carboplatin is an inferior alternative with a relatively worse objective response rate and median overall survival (34). The appearance of ADC represents a promising therapeutic approach for advanced patients or cisplatin-and carboplatin-ineligible patients. This novel technology targets surface proteins highly enriched in tumor to improve the delivery of cytotoxic molecules to tumor cells and reduce off-tumor toxicity. Three ADCs presented high activity in pretreated local advanced and metastatic UC, namely, EV, SG, and RC-48, targeting at the proteins of NECTIN-4, TROP-2, and HER2, respectively. Cells expressing these transmembrane proteins internalize them through endocytosis, resulting in the delivery and release of



cytotoxic payload (35). So far, while EV and SG are FDAapproved in locally advanced and metastatic UC, RC-48 is still in clinical trials, although it has shown promising activity.

The expression of corresponding antigens on tumor is essential for appropriate functional delivery of the ADCs as it is the port of entry to tumor cells. However, except for the requirement for HER2-positive in RC-48 treatment, there is no requirement for testing the expressions of NECTIN-4 and TROP-2 in EV and SG treatment (16, 36, 37). In the phase 1 trial of EV, NECTIN-4 expression using an anti NECTIN-4 antibody clone was initially a protocol requirement, which was later removed due to high NECTIN-4 expression in most UC samples. However, these high expression rates are generally based on the UC. After being classified by histologic subtypes, the expression differences of these proteins were unknown.

So far, UC has been treated similarly regardless of its histologic subtypes, which mainly owes to the similar survival outcomes of most histologic variants (38). However, studies have shown that some histologic variants, such as squamous carcinoma and small cell carcinoma, have worse prognosis than UC and have a poorer response to standard chemotherapy (MVAC—methotrexate, vinblastine, doxorubicin, and cisplatin, or GC-gemcitabine and cisplatin) (39, 40), while other variants, such as urachal glandular carcinoma, have superior survival outcome and could be managed more conservatively (41). These suggest that UC should be managed selectively and individually according to its histologic features.

In this study, we confirmed that NECTIN-4 and TROP-2 were highly expressed in UC, while HER2 amplification was relatively low, which is consistent with the former clinical trials. Only 1.4% of conventional UCs were negative for the three targets, indicating that the majority of patients could benefit from the ADC therapy. Chu et al. reported that NECTIN-4 is enriched in luminal subtypes of muscle-invasive bladder cancer than in basal/squamous subtypes (42). In our study, the positive rate of NECTIN-4 in luminal subtypes and basal/squamous subtypes was 41/53 (77.35%) and 7/8 (87.5%), respectively. The difference was not statistically significant (P = 0.584). This may be due to the small number of basal/squamous subtypes. For histologic variants, we demonstrated that 0%, 21.7%, 52.6%, 5.6%, and 5.3% of squamous carcinoma, glandular carcinoma, small cell carcinoma, nested variant, and micropapillary variant were negative for the three targets, respectively, suggesting that therapeutic strategies for these subtypes should be made individually. HER2 expression was hardly in both histologic and molecular classifications of the squamous subtype, while TROP-2 and NECTIN-4 were expressed commonly, implying that these patients might benefit more from SG and NE rather than from RC-48. On the contrary, the expression levels of TROP-2 and NECTIN-4 decreased in small cell carcinoma, even being exceeded by that of HER2. Therefore, testing the expression of ADC targets should be considered before treatment and RC-48 may be the better choice for these patients. Furthermore, nested and micropapillary variants, whose survival outcomes and target distributions are similar

to UC, are recommended to be managed as UC of the same stage (38).

In addition, these ADCs are unlikely to be cross-resistant as they carry different cytotoxic agents and target different antigens. Therefore, combination or sequence therapy may become the novel approach due to their controllable toxicity. Our study found respectively 72.2%, 90%, 43.5%, 77.8%, and 73.7% of UC, squamous carcinoma, glandular carcinoma, nested variant, and micropapillary variant expressing both TROP-2 and NECTIN-4, while 10.5% of small cell carcinoma, which may have some implications for combination and sequence therapies.

Currently, these ADCs are only recommended in second- or third-line therapy of local advanced and metastatic UC, so the expression situation of their targets could be to some extent ignored as the therapy approaches for the advanced stage are limited. Clinical trials about their application in neoadjuvant therapy of UC are in progress (43, 44). For the early stage, the expression situation of corresponding targets may play an important role in the choice of ACD therapy.

The primary limitation in our study is the lack of relation with therapy outcomes.

Our study only focuses on the head-to head comparison of expression differences of ADC targets, not involved in therapy efficacy. For histologic variants, the therapy efficacy dose depends not only on the expression of corresponding targets but also on the effect of conjugated cytotoxic agents. For example, SN-38, the conjugated cytotoxic payload of SG and the active component of irinotecan, showed efficacy only in colorectal, pancreatic, and lung cancer before (45). Therefore, it is not clear whether SG could achieve desired therapy outcomes in UC even if these histologic subtypes highly express TROP-2. However, the expression of corresponding targets could be seen as the basics of response to ADCs as it is the port of cellular entry for the cytotoxic drug component. Second, we detected target expression only through immunohistochemistry, which was not affirmed by other methods, such as FISH, RNA sequencing, or Western blot. Third, it was a retrospective study with a relatively small sample. These limitations should be addressed in future studies. In addition, samples in our study were from muscle-invasive tumors. Therefore, it is unclear to what extent this applies to metastatic samples.

# CONCLUSION

In summary, through a head-to-head comparison of expression differences of NECTIN-4, TROP-2, and HER2 in UC and its histologic variants, we provided evidence for therapeutic strategies for UC in an upcoming ADC ear. We demonstrate that the majority of UC and its histologic variant expressed at least one ADC target, suggesting that ADC is a candidate approach for UC therapy. However, different targets are expressed disparately in different histologic subtypes. Specific intervention strategies should be conducted individually according to the histologic subtypes.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# **AUTHOR CONTRIBUTIONS**

QZ and YF contributed to the conception of the study. QL, ZL, QS, SH, and QH performed the experiment. YG, QL, and ZZ contributed significantly to analysis and manuscript preparation. YF and QL performed the data analyses and wrote the manuscript. WY and ZH helped perform the analysis with constructive discussions. All authors contributed to the article and approved the submitted version.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fonc.2022. 858865/full#supplementary-material

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