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A retrospective analysis for investigating the relationship between FIGO stage IVA/IVB and cytoreductive surgery with prognosis in epithelial ovarian cancer

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Objective: To investigate the effect of primary debulking surgery (PDS), NACT followed by interval debulking surgery (NACT-IDS), and chemotherapy alone on the prognosis of FIGO stage IV epithelial ovarian cancer (EOC) with different metastatic patterns.

Methods: We retrospectively analyzed 133 cases of FIGO stage IV EOC with pleural effusion (stage IVA), parenchymal metastases (stage IVB), or extraabdominal lymph node metastases (stage IVB) at our Hospital between January 2014 and July 2021.

Results: Among 133 cases with stage IV disease, 16.5% (n=22) presented with pleural effusion, 46.6% (n=62) with parenchymal metastases, and 36.9% (n=49) with extraabdominal lymph node metastases. Regardless of the metastatic patterns, the 90.2% (n=120) of cases who underwent PDS/NACT-IDS exhibited a significantly superior overall survival (OS) compared to the 9.8% cases (n=13) who received chemotherapy alone (32 vs 17 months, p=0.000). The cohort was further stratified into 58 cases (48.3%) with R0, 41 cases (34.2%) with R1, and 21 cases (17.5%) with R2. The median OS of cases with R0 was significantly better than that of cases with R1/R2 (74 vs 27 months, p=0.000). There was no significant difference in median OS between PDS and NACT-IDS (43 vs 31 months, p=0.676), as well as between FIGO IVA and IVB (35 vs 31 months, p=0.582). Additionally, the metastatic patterns and the number of neoadjuvant chemotherapy cycles (\leq 4 or >4) did not demonstrate any prognostic significance for median OS (p=0.820 and 33 vs 26 months, p=0.280, respectively). **Conclusion:** Regardless of FIGO IVA and IVB stages or metastatic patterns, patients diagnosed with stage IV EOC may benefit from cytoreductive surgery with abdominal R0, compared with chemotherapy alone.

KEYWORDS

ovarian cancer, FIGO stage IV, diagnosis, therapy, prognosis

Introduction

Epithelial ovarian cancer (EOC) is the eighth leading cause of death in women worldwide. The latest global cancer data show that EOC burden rises to 31 0,000 new cases and 21 0,000 deaths among women worldwide in 2020 (1). In the majority (up to 70%) of EOC patients, the disease is diagnosed in an advanced stage, disseminated intra- and/or extra abdominally. Stage IV ovarian cancer (FIGO 2014), defined as tumor spread outside the abdominal cavity (malignant pleural effusion, stage IVA) and/or (parenchymal metastases or extra-abdominal lymph node metastasis, stage IVB) is present in 12-33% of the patients at initial diagnosis (2-4). Overall, median survival for patients with stage IV disease ranges from 15 to 29 months, with an estimated 5year survival of approximately 20% (5, 6). Stage IV patients' 5-year survival rates were 8% and 39% with suboptimal and optimal debulking surgery (5, 7), respectively, because their survival is predominantly influenced by the residual tumor load and the response to chemotherapy.

The current standard treatment is primary debulking surgery (PDS) followed by six courses of platinum-based chemotherapy or neoadjuvant chemotherapy followed by interval debulking surgery (NACT-IDS) for FIGO stage IV EOC. Nonetheless, it is debated whether women with FIGO stage IV EOC should be offered PDS or NACT-IDS (5, 8, 9). and the impact of complete resection of intraabdominal disease (R0) despite their extra-abdominal metastases is controversial (10–12). Moreover, there is limited data on the prognostic significance of the sub-classification of stage IV EOC (IVA and IVB). Therefore, more needs to be done to advance the understanding of the optimum course of treatment aiming at the complete cytoreduction of all macroscopically visible diseases for patients with stage IV EOC.

In this study, we investigated the impact of intra-abdominal residual diseases, FIGO IVA vs IVB, the pleural effusion, parenchymal metastases, or extra-abdominal lymph node metastases defining stage IV disease on overall survival (OS) comparing PDS, NACT-IDS, and chemotherapy in FIGO stage IV EOC.

Materials and methods

Patients

Data of patients with epithelial ovarian cancer (EOC) were extracted from Sichuan Cancer Hospital Database. We included 133 EOC patients with FIGO stage IV (the 2014 FIGO staging system) from January 2014 to July 2021 in our hospital. Follow-up started from the date of EOC diagnosis to the date of death, last follow-up, or July 31, 2022.

Patient characteristic information, including age, histologic type, ascites condition, serum CA125 level, patterns of metastasis at initial diagnosis, treatment, postoperative residual size of abdominal disease and first recurrence site, etc. were collected. Metastatic adenocarcinoma of unknown origin, borderline tumor, concomitant other malignant disease, and non-epithelial histology were excluded in this study. All patients were evaluated with 'Suidan' criteria (age, ASA score, CA125, CT results) for primary debulking (13). Among EOC patients included in this study, those with predictive score \geq 3 before surgery and uncertain R0 tumor resection for PDS received NACT, those with response to NACT received IDS, and those with an inoperable tumor and progresses during NACT received chemotherapy only. In addition, all tumors, and isolated parenchymal and lymph node metastases in EOC patients were resected completely without macroscopic visible residual disease via cytoreductive surgeries at least including total hysterectomy, bilateral salpingo-oophorectomy, total omentectomy, and appendicectomy +/- pelvic and para-aortic lymphadenectomy.

All patients at initial treatment received platinum based intravenous chemotherapy. Among them, 108 patients received paclitaxel (135–175 mg/m²) plus carboplatin (AUC 5-6), 25 patients received docetaxel (60-70 mg/m²) plus carboplatin (AUC 5-6).Patients with platinum sensitivity relapse received paclitaxel/ carboplatin, gemcitabine/carboplatin, liposome doxorubicin/ carboplatin, docetaxel/carboplatin, and in patients with resistant or refractory relapse (PFI<6 months) received monotherapy with a non-platinum drug, including liposome doxorubicin, albumin paclitaxel, irinotecan, isocyclic phosphoramide, topotecan and oxaliplatin.

FIGO stage IV disease was defined as: localization of metastases, pleural malignant effusion (stage IVA) with positive cytology, parenchymal metastases (either intra-abdominal or extraabdominal), or extra-abdominal lymph node metastasis (stage IVB), cytologically and/or histologically verified, or diagnosed by imaging alone. Data regarding stage IVA and IVB, localization of metastases, method of diagnosis, and possible reason for refraining from surgery were obtained or validated through patient records.

Statistical analysis

Clinicopathological factors were analyzed by χ^2 test or Fisher's exact test for categorical variables. Survival analysis was performed

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using the Kaplan-Meier method or Cox proportional hazard models. Overall survival (OS) was defined as the interval ranging from the date of the primary surgery or the first cycle of neoadjuvant chemotherapy to the date of death or the last follow-up. All analyses were performed using SPSS version 27.0 (IBM Corp., Armonk, NY, USA), with P<0.05 considered to be significant.

Results

Patients and clinicopathological features

The clinical features of 133 patients are shown in (Table 1). The median age at diagnosis was 53 years (30~75 years) and the World Health Organization performance status (WHO PS) was 0 to 1. Histologic subtype was as follows: 126 cases of high-grade serous adenocarcinoma, 5 cases of clear cell carcinoma (among them, 3 cases received PDS followed by chemotherapy with paclitaxel/ carboplatin for 6-8 cycles, 2 cases received IDS after neoadjuvant chemotherapy with paclitaxel/carboplatin for 3-4 cycles, and then continued chemotherapy with paclitaxel and carboplatin for 4-5 cycles). 2 cases of low-grade serous adenocarcinoma received PDS followed by chemotherapy with paclitaxel/carboplatin for 6 cycles. Among 133 cases, 22 cases (16.5%) were stage IVA with pleural effusion and 62 cases (46.6%) with parenchymal metastases (among them, there were 20 cases with liver metastasis, 11 cases with gastrointestinal tract metastasis, 2 cases with abdominal wall puncture hole metastasis, 1 case with bone metastasis, 8 cases with lung metastasis, 4 cases with pleural metastasis, 1 case with spleen metastasis, 15 cases with multiple site metastasis) and 49 cases (46.6%) with extra-abdominal lymph node metastases (among them, there were 5 cases with mediastinum node metastasis, 2 cases with axillary node metastasis, 12 cases with cervical lymph nodes node metastasis, 4 cases with inguinal lymph nodes node metastasis, 5 cases with supraclavicular lymph nodes node metastasis, 7 cases with cardiophrenic angle lymph nodes metastasis, 14 cases with multiple site lymph node metastasis) were stage IVB. 19 cases (14.3%) underwent PDS, 101 cases (75.9%), underwent NACT-IDS, 13 cases (9.8%) with comorbidities were considered unresectable and poorer response to chemotherapy.

Rate of R0 resection for cytoreductive surgery

Following cytoreductive surgery, 120 cases (90.2%) were evaluated for complete resection (R0, no macroscopic visible intra-abdominal residual disease) or (R1, residual tumor >0 and ≤ 1 cm) or (R2, residual tumor >1 cm). Among them, there were 58 cases (48.3%) with R0, 41 cases (34.2%) with R1, and 21 cases (17.5%) with R2; the rates of R0 resection in 6 of 19 PDS patients (15.8%) and 52 of 101 NACT-IDS patients (84.2%) were 31.6% and 51.5%, respectively, no significant difference was shown between them ($\chi^2 = 2.538$, *p*=0.111).

TABLE 1 Patient characteristics.

Parameters	N (%)			
Age, median (range)	53 (30-75 ys)			
FIGO stage				
IVA	22(16.5%)			
IVB	111(83.5%)			
atterns of metastasis				
Pleural effusion	22(16.5%)			
Extra-abdominal lymph node metastases	49(36.9%)			
Parenchymal metastases	62(46.6%)			
CA125 (U/mL)				
<500	34(25.6%)			
≥500	99(74.4%)			
Ascites				
No	25(18.8%)			
<500 mL	47(35.3%)			
≥500 mL	61(45.9%)			
Residual disease				
R0	58(48.3%)			
R1	41(34.2%)			
R2	21(17.5%)			
Treatment				
IDS	101(75.9%)			
PDS	19(14.3%)			
Chemotherapy only	13(9.8%)			
Histologic type				
High grade serous	126(94.7%)			
Other	7(5.3%)			
NACT cycles (IDS)				
≤4	76(75.2%)			
>4	25(24.8%)			

NACT, neoadjuvant chemotherapy; IDS, interval debulking surgery.

Recurrence of platinum resistance

The platinum-resistant relapse is defined as disease progression within 6 months after the last platinum-based chemotherapy. In terms of incidence of platinum resistant recurrence, the relapse rate was 6 (40%) of 15 patients for PDS compared to 50 (72.5%) of 69 patients for NACT-IDS ($\chi^2 = 5.843$, p=0.016). Among 101 cases for NACT-IDS, 76 (75.2%) received ≤ 4 cycles of NACT followed by early IDS and 25 (24.8%) received >4 cycles of NACT before delayed IDS. In 69 cases with relapse of platinum resistance for NACT-IDS, the relapse rate was 36 (72%) of 50 patients who

received ≤ 4 cycles of NACT compared to 14 (73.7%) of 19 patients who received >4 cycles of NACT ($\chi^2 = 0.020$, *p*=0.889).

Survival

The median follow-up time was 23 months (range, 4~92 months). The patients for PDS or NACT–IDS had better overall survival (OS) compared to those for chemotherapy only (p=0.001) (Figure 1). The median OS of patients for cytoreductive surgery was 32 months compared to 17 months of those for chemotherapy only (p=0.000). However, there was no significant difference in median OS between PDS and NACT-IDS (43 vs 31 months, p=0.676). Also, the median OS of patients receiving ≤4 NACT followed by early IDS was not statistically superior to that of those receiving >4 cycles NACT followed by delayed IDS (33 vs 26 months, p=0.272).

As shown in Figure 2, the median OS of stage IVA and IVB patients were 35 and 31 months, respectively, and no significant difference (p=0.582). The median OS of patients with pleural effusion, parenchymal metastases, and extra-abdominal lymph nodes were 35, 30, and 31 months, respectively, also no significant difference among three different metastasis patterns (p=0.820, Figure 3). Nevertheless, the median OS of cases with abdominal R0 was better than that of those with R1/R2 (74 vs 27 months, p=0.000, Figure 4), regardless of IVA and IVB stages or metastatic patterns.

The most common site of the first recurrence in stage IV patients with different metastatic diseases was intra-abdominal. In

22 cases with pleural effusions, 5 (26.3%) of 19 cases with pleural effusions had their first recurrence in the pleura alone, compared with 12 (63.2%) of 19 cases in the abdomen; in 49 cases with extraabdominal lymph nodes, 9 (27.3%) of 33 cases had their first recurrence in extra-abdominal lymph nodes whilst 22 (66.7%) in the abdomen; Of the 62 cases with parenchymal metastases,12 (28.6%) of 42 cases in their first recurrence was a parenchymal metastasis compared to 25 (59.5%) of 42 cases in the abdomen.

Univariate analysis revealed that chemotherapy alone, large ascites volume (\geq 500 mL), and postoperative macroscopic residual disease, CA125 \geq 500 U/mL were significantly correlated with worse prognostic factors for OS (p<0.05), but FIGO stage IVA/ IVB or the pattern of metastasis and \leq 4 or >4 NACT cycles for IDS were not (Table 2). In multivariate analysis, the post-operative macroscopic residual disease remained independently associated with worse OS in EOC patients with FIGO stage IV (p=0.001) (Table 2).

Discussion

The most important independent prognostic factor in EOC is the residual disease after cytoreductive surgery. It remains unclear whether patients with stage IV should undergo PDS or NACT-IDS. Also, if postoperative residual disease is a prognostic factor stage IV EOC remains uncertain because of less study addressing this issue. Our study found that the patients for PDS had better OS compared to those for NACT-IDS (43 months vs 31 months), though this was



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not statistically significant (p=0.676) (Figure 1). However, it should be noted that our study is a retrospective study with a small sample size, and the results need to be further verified by large prospective control studies. Our study also showed that complete intraabdominal tumor resection (R0) could significantly improve OS (Figure 4) in the patients with stage IV EOC, consistent with previous studies (14, 15). Additionally, it has been reported that for stage IV patients undergoing complete removal of intrahepatic metastases, or even unresectable liver metastases and postoperative residual disease, optimal debulking of extrahepatic disease prolonged their survival and benefited from the reduction surgery (16). As shown in Figure 1, our findings showed that cytoreductive surgery PDS or NACT-IDS but not chemotherapy alone could improve the survival of stage IV patients.

The four prospective randomized controlled studies published since 2010 showed that there was no significant difference in PFS and OS in either stage IIIc or IV ovarian cancer patients who underwent PDS or NACT-IDS. The optimal cytoreduction rate was greater with NACT-IDS, and there were fewer postoperative complications and lower perioperative mortality (17–20). The pooled analysis of the results of the European EORTC 55917 and UK CHORUS studies suggested that giving NACT to high-risk patients (stage IV or IIIC with high tumor load) had a survival benefit (9). However, stage IV illness patient data are rare, and many publications typically combine analysis with stage IIIC patient data (10, 11, 16).. The initial treatment of advanced ovarian cancer is still controversial. A study found that women who received NACT had decreased OS compared to those who had PDS in the younger group, stage III disease, and lesser disease extent cases (21). Thus, large prospective population-based studies comparing PDS and IDS in stage IV should be done.

As we know, debulking intra-abdominal tumor disease to no macroscopic visible residual diseases is the most important prognostic factor. Several studies indicated that the number of neoadjuvant chemotherapy cycles did not seem to affect OS in Stage IIIC or IV patients adopting NACT-IDS (12, 22, 23). Other studies, however, found that patients who had >4 cycles of NACT had a poor prognosis regardless of complete resection or a reduced rate of complete cytoreduction (24, 25). One multi-center retrospective study observed that advanced EOC patients receiving ≤4 cycles of NACT had better outcome compared to those patients receiving >5 cycles of NACT (26). Our study showed that the median OS of patients receiving ≤4 NACT followed by early IDS was better than that of those receiving >4 cycles NACT followed by delayed IDS (33 vs 26 months), though this was not statistically significant (p=0.272) (Table 2). The recurrence of platinum resistance in NACT-IDS was significantly higher than that in PDS (P=0.016) which suggests that the primary chemotherapy-sensitive tumor cells could enrich more chemoresistant clones after >4 cycles of NACT, resulting in a higher incidence of non-surgically detectable minimal residual diseases (27, 28). Previous studies have shown that platinum resistance recurrence (~88%) occurs in patients with advanced EOC for IDS following NACT (29, 30).

The FIGO staging system revised to sub-classification stage IV EOC into IVA and IVB disease according to different metastatic patterns or localizations in 2014 (4), however, there has been considerable debate and concern about whether this sub-

TABLE 2 Univariate and multivariableanalysisfor OS in EOC patients with FIGO stage IV.

Variables	Univariable analysis		Multivar	Multivariable analysis	
	HR (95% CI)	<i>p</i> -value	HR (95% CI)	<i>p</i> -value	
Age (years)					
≤60	1				
>60	0.926(0.502-1.706)	0.804			
FIGO stage		ł			
IVA	1				
IVB	0.839(0.446-1.578)	0.586			
Patterns of metastasis					
Pleural effusion	1				
Extra-abdominal lymph node metastases	0.882(0.436-1.784)	0.727			
Parenchymal metastases	0.809(0.411-1.591)	0.539			
CA125 (U/mL)					
<500	1		1		
≥500	2.225(1.050-4.715)	0.037	1.698(0.774-3.722)	0.186	
Ascites					
<500ml	1		1		
≥500 mL	1.875(1.134-3.100)	0.014	1.544(0.914-2.609)	0.104	
Treatment					
Chemotherapy only	1		1		
Cytoreductive surgery	0.292 (0.147-0.580)	0.000	0.353(0.176-0.708)	0.003	
IDS	1				
PDS	0.865(0.473-1.712)	0.676			
or R0	1		1		
R1/R2	2.991(1.643-5.444)	0.000	2.751(1.486-5.094)	0.001	
Histologic type					
High grade serous	1				
Other	0.988(0.358-2.731)	0.982			
NACT cycles (IDS)				· · · · · · · · · · · · · · · · · · ·	
≤4	1				
>4	1.472(0.730-2.969)	0.280			

HR (95% CI), hazard ratio (95% confidence interval); RD, residual disease; R0, complete intra-abdominal tumor resection; R1, residual tumor >0 and \leq 1 cm); R2, residual tumor >1 cm); IDS, interval debulking surgery; PDS, Primary debulking surgery; NACT, neoadjuvant chemotherapy.

classification affects the prognosis of stage IV EOC. Several studies reported that there was no prognostic advantage for pleural metastases only as compared to other stage IV disease manifestations (31–33). Nonetheless, the other study reported that stage IV patients with pleural effusion (stage IVA) displayed a survival advantage compared with other extra-abdominal diseases or parenchymal metastases (34). In addition, patients with inguinal lymph node metastasis and transmural bowel infiltration with mucosal involvement are now considered FIGO stage IVB. How does the prognosis of patients with solely transmural bowel infiltration differ from those of patients with distant extraabdominal metastasis (e.g., lung, bone, breast, diaphragmatic angle, mediastinum, axillary, or cervical lymph nodes)? There is also a controversial discussion about whether patients with abdominal wall metastases, including puncture hole transfer metastases, should more appropriately be classified as stage IIIC than IVB. It has been reported that the prognosis of these patients seems to be better than that of those patients with distant extraabdominal metastasis (35). In our study, neither the subclassification into FIGO IVA and IVB nor metastatic patterns of stage IV EOC provided meaningful prognostic information (Table 2, Figures 2, 3).

The most common site of first or any recurrence in all three groups was the abdomen with only a small number of first recurrences arising in their extra-abdominal sites. Several studies showed that most recurrences occur intra-abdominally, which underlines the importance of controlling intra-abdominal disease (34, 36-38). We also observed the most common extra-abdominal site of recurrence was the initial site of stage IV disease, distant metastases seldom occur in absence of intra-abdominal disease and most of them died of progressive abdominal disease. In addition, the subgroup analysis of the SOLO1 study showed that patients with residual lesions after surgery had a reduced risk of disease progression or death by 56% (HR=0.44, 95% CI 0.25-0.77), and patients without residual lesions after surgery had a reduced risk of disease progression or death by 67% (HR=0.33, 95% CI 0.23-0.46) (39), suggesting that complete intra-abdominal tumor resection (R0) followed by first-line PARP inhibitor maintenance therapy would benefit ovarian cancer patient in survival. As suggested by several reports (40-42), the initial survival advantage among EOC patients with BRCA mutations may reflect a higher initial sensitivity of BRCA carriers to chemotherapy and short-term survival but this response does not predict long-term survival. The strongest predictor of long-term survival is status of no residual disease at resection. EOC patients with BRCA mutation were less likely to achieve a state of no residual disease than those without BRCA mutation and this difference was not statistically significant in advanced stage serous tumors. Women with no residual disease following PDS or IDS experience significantly superior survival compared to those with any residual disease (43-46). Thus, Irrespective of BRCA status, advanced-stage HGSOC patients have a superior prognosis with complete surgical cytoreduction and good histopathological response to chemotherapy. Therefore, surgical control of abdominal disease is still recognized as the most important measure in all stage IV diseases.

Women with stage IV ovarian cancer often have extensive extra-abdominal and intra-abdominal disease; thus, the feasibility and efficacy of primary debulking or ultra-radical surgery have been questioned in the past. Whether intraoperative and postoperative complications associated with complex procedure compromise the survival benefits of the procedure itself remains controversial. There is still no consensus on the optimal therapeutic strategies for patients with FIGO stage IV EOC. Previous studies have shown that more extensive surgery improves cytoreduction rates and survival, however these analyses have primarily focused on stage III patients with a limited proportion of stage IV patients, and contradictory results have also been presented. In our study, isolated extra-abdominal lymph nodes that could be totally resected were removed during surgery, and it was uncertain whether this surgical procedure may increase survival. R0 cytoreductive surgery (CRS) is the most favorable determinant for the prognosis of OC patients, and R0 liver resection (LR) is a component of R0 CRS. Studies have identified the feasibility of surgery to remove metastatic hepatocellular lymph nodes (HCLNs).

There is currently a lack of knowledge on the metastatic incidence of HCLNs and how it affects the prognosis of OC. Valerio Gal lotta et al. found that metastatic HCLN status as having an independent, unfavorable prognostic role for PFS, but there had no evidence indicated that HCLN resection might confer a survival advantage for advanced OC patients (47). As the same, though evidence confirmed the safety of cardiophrenic angle lymph node (CPLN) dissection, the correlation between this procedure and prognosis remains unclear. Several studies had found that CPLN dissection did not prove a statistically significant therapeutic benefit (48, 49). Up to date, the impact of HCLN and CPLN resection on survival remains uncertain, and no recommendation can be made based on evidence. So, more studies, especially prospective ones, are needed to evaluate the survival effects of maximal surgical effort among stage IV women and assess the role of HCLN and CPLN resection in advanced ovarian cancer.

Our study confirms that regardless of FIGO IVA and IVB stages or metastatic patterns, patients with stage IV EOC could benefit from cytoreductive surgery with abdominal R0, compared with chemotherapy alone. Surgical management of abdominal disease is still the most important measure in all stage IV diseases. Notable limitations of our study include its retrospective nature and small sample size. Another limitation is that histological diagnosis was not performed on all patients to confirm extra-abdominal lymph node involvement. The third limitation is that the number of people underwent genetic testing and maintenance therapy was few, all patients only underwent laparotomy and intravenous chemotherapy, so we did not include these influencing factors in the analysis. Future studies with larger sample size and more rigorous research designs will help to identify prognostic factors of stage IV EOC patients.

Conclusion

Patients with stage IV EOC may benefit from cytoreductive surgery with the best abdominal resection irrespective of PDS or NACT-IDS. The prognosis survival of stage IV patients could not be impacted by the sub-classification of IVA and IVB or metastatic patterns. The number of NACT cycles for IDS depends on whether the optimal abdominal resection can be accomplished.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

Author contributions

HL: conceptualization, methodology, writing - original draft. ML: statistical analysis, investigation. CP: investigation, data curation and follow-up work. JMeH: statistical analysis. DW: quality control of data and algorithms. JMiH: drafting of the manuscript, writing-reviewing and editing. GZ: conception, design, writing-reviewing and editing. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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