#### Check for updates

#### **OPEN ACCESS**

EDITED BY Francesco Sabbatino, University of Salerno, Italy

#### REVIEWED BY Jelena Stojsic, University of Belgrade, Serbia Giovanna Polcaro, University of Salerno, Italy

\*CORRESPONDENCE Meng Wang Wangmeng@hrbmu.edu.cn

<sup>†</sup>These authors have contributed equally to this work

RECEIVED 03 April 2024 ACCEPTED 21 June 2024 PUBLISHED 26 September 2024

#### CITATION

Zhang M, Hao J, Wu Y, Gao Z and Wang M (2024) Value of the lung immune prognostic index in patients with advanced small cell lung cancer treated with programmed death-ligand 1 and programmed death-1 inhibitors in the Chinese alpine region. *Front. Oncol.* 14:1411548. doi: 10.3389/fonc.2024.1411548

#### COPYRIGHT

© 2024 Zhang, Hao, Wu, Gao and Wang. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.

# Value of the lung immune prognostic index in patients with advanced small cell lung cancer treated with programmed deathligand 1 and programmed death-1 inhibitors in the Chinese alpine region

Meiling Zhang<sup>†</sup>, Jingwei Hao<sup>†</sup>, Yunjiao Wu<sup>†</sup>, Ziyi Gao and Meng Wang<sup>\*</sup>

Department of Respiratory Medical Oncology, Harbin Medical University Cancer Hospital, Harbin, Heilongjiang, China

**Purpose:** To assess the potential added value of the lung immune prognostic index (LIPI) in patients with small cell lung cancer (SCLC), treated with programmed death-ligand 1 (PD-L1)/programmed death-1 (PD-1) inhibitors, who lived in the Chinese alpine region.

**Methods:** 120 SCLC patients treated with PD-L1/PD-1 inhibitors were divided into three LIPI groups, from July 2018 to April 2021. Cox regression models were used to evaluate the prognostic effect of three LIPI groups on overall survival (OS) and progression-free survival (PFS). Logistic regression analysis was conducted to explore the association between immune-related adverse events (irAEs) and the pretreatment of neutrophil-to-lymphocyte ratio (dNLR), lactate dehydrogenase (LDH), and LIPI.

**Results:** The median OS was 4.5, 6.3, and 10.0 months (p=0.001) and the median PFS was 2.5, 4.3, and 5.3 months (p=0.049) for Poor, Intermediate, and Good LIPI, respectively. The disease control rate (DCR) was also higher in the Good LIPI group (p=0.003). Moreover, multivariate analysis confirmed that worse LIPI was correlated with shorter OS and PFS. dNLR was associated with the onset of irAEs, not LIPI. Conclusion: The LIPI might be a promising predictive and prognostic biomarker in SCLC patients treated with PD-L1/PD-1 inhibitors in the Chinese Alpine region.

KEYWORDS

lung immune prognostic index (LIPI), small cell lung cancer (SCLC), programmed deathligand 1 (PD-L1), programmed death-1 (PD-1), immune-related adverse events (irAEs), prognostic factor

# **1** Introduction

Globally, lung cancer is the second most commonly diagnosed cancer and remains the leading cause of cancer-related death (1).In China, lung cancer is the most common cancer which is the leading cause of new cases and deaths (2). Small cell lung cancer (SCLC) is a highly aggressive neuroendocrine tumor, which is strongly associated with smoking and accounts for approximately 15% of all lung cancers and 7% five-year survival (3). The preferred regimen for SCLC patients is platinum-based doublet chemotherapy, and the etoposide plus platinum (EP) combination has been the standard first-line treatment of SCLC for many years (4). Although SCLC is highly sensitive to chemoradiotherapy in the early stages, most patients develop tumor progression or recurrence due to resistance. Encouragingly, the application of immunotherapy has revolutionized the therapeutic paradigm of SCLC in recent years.

Immunotherapy, particularly immune checkpoint inhibitors (ICIs), plays an important role in the treatment of SCLC. The combination of PD-L1 and EP in the treatment of SCLC was proposed for the first time in 2019, and the addition of PD-L1 can increase the survival rate by 3 times (5). Several large clinical trials indicated SCLC patients treated with PD-L1/PD-1 inhibitors could benefit with respect to progression free survival (PFS) or overall survival (OS) (6–8). However, the benefit with PD-L1/PD-1 inhibitors is not seen in all SCLC patients. With or without ICIs, the response rate of first-line treatment for SCLC patients remains at 60%-65% (9).

Currently, it is known that PD-L1 expression is one of the most frequently discussed biomarkers and is used to guide the clinical efficacy of PD-L1/PD-1 inhibitors. Although NCCN guidelines indicate that PD-L1 expression can predict the efficacy of immunotherapy, the level of PD-L1 expression in SCLC is likely to be an unreliable predictive biomarker for ICIs. Many clinical trials have confirmed there was no significant correlation between the expression of PD-L1 and ICIs efficiency in SCLC patients (6, 7), including many cases with PD-L1 positive tumors. Hellmann et al. found the tumor mutational burden (TMB) might be a potential biomarker for identifying SCLC patients who tended to respond to ICIs (10). Nevertheless, the disadvantage is that the TMB doesn't have a clear cutoff value. Therefore, there is an urgent need to determine an appropriate biomarker to identify the beneficial population with PD-L1/PD-1 inhibitor treatment in SCLC patients.

Systemic inflammation is closely associated with disease progression of most tumors, including lung cancer (11). Over the past few years, several studies have affirmed the predictive value of various inflammatory biomarkers in cancer, such as neutrophillymphocyte ratio (NLR) and platelet-lymphocyte ratio(PRL) (12, 13).In 2018, Mezquita et al. described a novel biomarker, the lung immune prognostic index (LIPI), which integrates baseline derived neutrophil-to-lymphocyte ratio (dNLR) and lactate dehydrogenase (LDH) (14). Then, the relationship between the LIPI and survival prognosis in patients was reported in some solid tumors, such as metastatic urothelial carcinoma (mUC) (15)and hepatocellular carcinoma (HCC) (16). The research has also shown that there is a strong correlation between LIPI and early death under the new invasive progression model (17). LIPI has more advantages than other inflammatory biomarkers.

Based on the above, we hypothesize that there is a correlation between LIPI and the response to treatment in SCLC patients. In this study, for the first time, LIPI was used to explore the prognosis of SCLC patients treated with PD1/PD-L1 inhibitors in the Chinese Alpine region.

# 2 Methods

# 2.1 Patients

We conducted a retrospective study in a cohort of 120 patients with SCLC receiving PD-L1/PD-1 inhibitor therapy in the Chinese Alpine region. The inclusion criteria of SCLC patients at the Harbin Medical University Cancer Hospital treated with PD-L1/PD-1 inhibitors therapy from July 2018 to April 2021 were as follows: (1) patients who were diagnosed with SCLC by histopathology or cytopathology; (2) at least one cycle of PD-L1/PD-1 inhibitor therapy, either monotherapy or combination therapy; (3) patients in whom the complete blood cell counts and LDH level were obtained at baseline before ICI therapy. Then, basic clinical and pathological data were collected from patients who met these criteria. Missing values regarding characteristics and tumor response were ignored.

## 2.2 Evaluation

Imaging examination was performed every 8–12 weeks, and tumor responses were assessed by two clinical oncologists independently according to the Response Evaluation Criteria in Solid Tumors guidelines version 1.1 (RECIST1.1). Overall survival (OS) was defined as the time from the start of treatment with PD-L1/PD-1 inhibitors to death. Progression free survival (PFS) was defined as the time from the start of treatment with PD-L1/PD-1 inhibitors to disease progression. The disease control rate (DCR) was defined as complete plus partial response plus stable disease, and the overall response rate (ORR) was defined as complete plus partial response.

# 2.3 The LIPI

The LIPI is a marker that combines the level of dNLR and serum LDH. The LIPI was calculated, as previously described by Mezquita et al (14). The calculation formula of dNLR was as follows: absolute neutrophil count (white blood cell count absolute neutrophil count). Patients were divided into three LIPI groups according to the cutoff value of dNLR and the upper limit of normal range of LDH before treatment. Afterward, patients with dNLR greater than 3 (high-dNLR) and LDH higher than the upper limit of normal (ULN) (high-LDH) were categorized into the "Poor LIPI group," patients with dNLR greater than 3 and LDH lower than ULN or dNLR less than 3 and LDH higher than ULN were categorized into the "Intermediate LIPI group," and patients with dNLR less than 3 (low-dNLR) and LDH lower than ULN (low-LDH) were categorized into the "Good LIPI group".

## 2.4 Statistical analysis

Statistical analyses were performed using IBM SPSS Statistic 25.0. The chi-squared or Fisher's exact test was used to compare clinicopathological data among the Poor, Intermediate, and Good LIPI groups. Continuous variables were compared using the t-test. Survival analyses were performed using the Kaplan–Meier method and the log-rank test. Univariate and multivariate Cox regression analyses were used to investigate the prognostic factors associated with OS and PFS. The impact of the baseline LIPI on the DCR and ORR was represented by a column diagram. Logistic regression models were used to analyze the correlation between peripheral blood markers and the onset of irAEs. A p value < 0.05 was considered statistically significant.

# **3** Results

# 3.1 Patient clinical characteristics and outcomes

Table 1 summarizes the clinical characteristics and outcomes of the patients. A total of 120 patients across the Chinese Alpine region were enrolled in this study. Further, 48.3% (n=58) of the patients had good LIPI, while 42.5% (n=51) and 9.2% (n=11) had intermediate and poor LIPI, respectively. Eastern Cooperative Oncology Group Performance Status (ECOG PS) was 0 in 12 patients (10.0%), 1 in 87 patients (72.5%), and 2 in 21 patients (17.5%). Extended disease accounted for the majority of cases (94.2%). Moreover, 31.7% (n=38) of patients received first-line therapy, 30.8% (n=37) received second-line therapy, and 36.5% (n=45) received third-line and above therapies. Patients receiving PD-L1 inhibitors accounted for 60.0% (n=72) of the cases. Further, 99 patients (82.5%) received ICIs combined with chemotherapy, and the remaining 21 patients (17.5%) received ICIs as monotherapy. A total of 22.5% of patients had brain metastases, 35.8% had liver metastases, 41.7% had bone metastases, 45.0% had pleural or pericardial metastases, and 14.2% had adrenal metastases.

# 3.2 Association between the LIPI and the prognostic utility of SCLC patients treated with ICIs

The median OS was 4.5 months [95% confidence interval (CI), 2.1–6.8 months], 6.3 months (95% CI, 5.1–7.5 months), and 10.0 months (95% CI, 7.2–12.8 months) in the Poor, Intermediate, and Good LIPI groups, respectively (p=0.001) (Figure 1A). The median PFS was 2.5 months (95% CI, 1.3–3.7 months), 4.3 months (95% CI, 3.1–5.4 months), and 5.3 months (95% CI, 4.3–6.2 months) in the Poor, Intermediate, and Good LIPI groups, respectively (p=0.049) (Figure 1B). These results suggested that OS and PFS in the Good

LIPI group were significantly higher than those in the Poor or Intermediate LIPI group.

# 3.3 Association between the LIPI and the predictive utility of SCLC patients treated with ICIs

As shown in Figure 2, of the 58 patients in the Good LIPI group, 4 experienced a complete response (CR), 15 experienced a partial response (PR), 21 experienced stable disease (SD), and 18 experienced progressive disease (PD). Among the 51 patients in the Intermediate LIPI group, 2 experienced a CR, 10 experienced a PR, 9 experienced SD, and 30 experienced PD. Among the 11 patients in the Poor LIPI group, 1 experienced a PR, 2 experienced SD, and 8 experienced PD. The DCRs in the Poor, Intermediate, and Good LIPI groups were 27.3%, 41.2%, and 69.0%, respectively (p=0.003) (Table 2). The ORRs in the Poor, Intermediate, and Good LIPI groups were 9.1%, 23.5%, and 32.8%, respectively (p=0.225) (Table 2). These results indicated that the DCR was higher in the Good LIPI group than the Poor or Intermediate LIPI group; however, there was no significant correlation between the LIPI and ORR.

# 3.4 Univariate and multivariate analyses of OS and PFS

Univariate analysis showed that stage (p=0.040), therapy line (p=0.005), regimen (p=0.012), liver metastases (p=0.030), and LIPI (p<0.001) significantly affected OS (Figure 3A). In the multivariate analysis, worse LIPI was significantly associated with shorter OS [hazard ratio (HR)=2.372; 95% CI: 1.608–3.498; p<0.001] (Figure 4A). These results suggested that LIPI was an independent prognostic factor for OS.

Similarly, univariate analysis showed that stage (p=0.035), therapy line (p<0.001), regimen (p=0.014), liver metastases (p=0.008), and LIPI (p=0.017) significantly affected PFS (Figure 3B). In the multivariate analysis, worse LIPI was significantly related to shorter PFS (HR=1.752; 95% CI: 1.238–2.480; p=0.002) (Figure 4B). These results indicated that LIPI was an independent prognostic factor for PFS.

### 3.5 Immune-related adverse events

In total, 46 patients (38.3%) experienced six different irAEs of any grade, which included rash (n=17, 37.0%), liver dysfunction (n=10, 21.7%), hypothyroidism (n=8, 17.4%), diarrhea (n=7, 15.2%), infusion reaction (n=3, 6.5%), and impaired glucose regulation (n=1, 2.2%). The most common severe irAEs (grade≥3) were rash (n=4, 8.7%). The median OS of the 46 patients with irAEs (9.8 months, 95% CI: 7.5–12.0 months) was significantly better than that of the 74 patients without irAEs (5.9 months, 95% CI: 4.7–7.0 months). Similarly, the median PFS of the patients with irAEs (6.3 months, 95% CI:5.5–7.0 months) was significantly preferable to that of patients without irAEs (3.2 months, 95% CI: 2.3–4.0 months) (Figures 5A, B). It also showed that the patients with lower LDH significantly had better median OS and PFS

#### TABLE 1 Clinical characteristics of the 120 patients treated with PD-L1/PD-1 inhibitors.

Clinical characteristics	Total [n (%)]	Good LIPI [n (%)]	Intermediate LIPI [n (%)]	Poor LIPI [n (%)]	p value
Total	120 (100)	58 (48.3)	51 (42.5)	11 (9.2)	
Age					0.895
≤60	61 (50.8)	29 (50.0)	27 (52.9)	5 (45.5)	
>60	59 (49.2)	29 (50.0)	24 (47.1)	6 (54.5)	
Gender					0.360
Male	74 (61.7)	32 (55.2)	34 (66.7)	8 (72.7)	
Female	46 (38.3)	26 (44.8)	17 (33.3)	3 (27.3)	
Smoking status					0.929
Current	60 (50.0)	30 (51.8)	25 (49.0)	5 (45.5)	
Former	27 (22.5)	14 (24.1)	11 (21.6)	2 (18.2)	
Never	33 (27.5)	14 (24.1)	15 (29.4)	4 (36.3)	
ECOG-PS					0.084
0	12 (10.0)	7 (12.1)	5 (9.80)	0 (0)	
1	87 (72.5)	36 (62.0)	40 (78.4)	11 (100)	
2	21 (17.5)	15 (25.9)	6 (11.8)	0 (0)	
Stage					0.849
Extended disease	113 (94.2)	55 (94.8)	47 (92.2)	11 (100)	
Limited disease	7 (5.8)	3 (5.2)	4 (7.8)	0 (0)	
Therapy line					0.327
1	38 (31.7)	18 (31.0)	19 (37.3)	1 (9.1)	
2	37 (30.8)	18 (31.0)	15 (29.4)	4 (36.4)	
≥3	45 (36.5)	22 (38.0)	17 (33.3)	6 (54.5)	
Immunotherapy drug					0.826
PD-L1	72 (60.0)	34 (58.6)	32 (62.7)	6 (54.5)	
PD-1	48 (40.0)	24 (41.4)	19 (37.3)	5 (45.5)	
Regimen					0.722
Combination therapy	99 (82.5)	46 (79.3)	43 (84.3)	10 (90.9)	
Monotherapy	21 (17.5)	12 (20.7)	8 (15.7)	1 (9.1)	
Brain metastases					0.144
Yes	27 (22.5)	9 (15.5)	16 (31.4)	2 (18.2)	
No	93 (77.5)	49 (84.5)	35 (68.6)	9 (81.8)	
Liver metastases					0.131
Yes	43 (35.8)	18 (31.0)	18 (35.3)	7 (63.6)	
No	77 (64.2)	40 (69.0)	33 (64.7)	4 (36.4)	
Bone metastases					0.043
Yes	50 (41.7)	31 (53.4)	16 (31.4)	3 (27.3)	
No	70 (58.3)	27 (46.6)	35 (68.6)	8 (72.7)	
Pleural or pericardial metastases					0.662

(Continued)

#### TABLE 1 Continued

Clinical characteristics	Total [n (%)]	Good LIPI [n (%)]	Intermediate LIPI [n (%)]	Poor LIPI [n (%)]	p value
Yes	54 (45.0)	27 (46.6)	21 (41.2)	6 (54.5)	
No	66 (55.0)	31 (53.4)	30 (58.8)	5 (45.5)	
Adrenal metastases					0.465
Yes	17 (14.2)	10 (17.2)	7 (13.7)	0 (0)	
No	103 (85.8)	48 (82.8)	44 (86.3)	11 (100)	

PD-L1/PD-1, programmed death-ligand 1/programmed death-1; LIPI, lung immune prognostic index; ECOG-PS, Eastern Cooperative Oncology Group Performance Status.



(p=0.003, p=0.025) (Figures 5E, F). However, the patients with lower dNLR did not show longer median OS and PFS (p=0.076, p=0.295) (Figures 5C, D).

The low-dNLR, low-LDH, Good LIPI, and Intermediate LIPI groups were composed of 41 (46.6%), 31 (39.2%), 28 (48.3%), and



The distribution between the response to immune checkpoint inhibitors and the LIPI groups. CR complete response, PR partial response, SD stable disease, PD progressive disease.

16 (31.4%) patients, respectively (Table 3). The univariate and multivariate logistic regression analyses indicated an association between low-dNLR and any grade of irAEs (p=0.004, p=0.035). However, the LIPI was not an independent predictor of the onset of irAEs in the multivariate analysis (p=0.675, p=0.936).

# 4 Discussion

In recent years, although ICIs have been successfully applied in SCLC patients, not all patients benefit from it. There are still challenges in identifying biomarkers which are effective, reliable and easy for SCLC patients treated with ICIs. Currently, more focus has been turned toward the correlation between inflammation and the clinical outcomes of Tumor patients who are undergoing PD-L1/PD-1 inhibitors treatment. The Inflammatory process has been confirmed to be closely related to the immune resistance in patients with cancer, which promotes cancer growth and dissemination, and it can activate a variety of oncogenic signaling pathways (18). Previous studies have shown that a higher NLR was associated with poor prognosis in a variety of cancers, including head and neck cancer, lung cancer, RCC, and breast cancer (17, 19-21). It is known to all that dNLR is superior to NLR. Similarly, more studies have found that a higher dNLR was correlated with worse prognosis (14, 22-24). LDH is also a classic inflammatory indicator in tumor patients, and it is a glycolytic enzyme that can be released by rapidly

#### TABLE 2 The relationship between clinical response and LIPI groups in SCLC patients treated with PD-L1/PD-1 inhibitors.

		All patients				
Indicator		[N=120, n (%)]	Good (N=58)	Intermediate (N=51)	Poor (N=11)	p value
Response rate	CR	6 (5.0)	4 (6.9)	2 (3.9)	0 (0)	0.051
	PR	26 (21.7)	15 (25.9)	10 (19.6)	1 (9.1)	
	SD	32 (26.7)	21 (36.2)	9 (17.7)	2 (18.2)	
	PD	56 (46.6)	18 (31.0)	30 (58.8)	8 (72.7)	
DCR	CR, PR or SD	64 (53.4)	40 (69.0)	21 (41.2)	3 (27.3)	0.003
ORR	CR or PR	32 (26.7)	19 (32.8)	12 (23.5)	1 (9.1)	0.225

LIPI, lung immune prognostic index; SCLC, small cell lung cancer; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; DCR, disease control rate; ORR, overall response rate.

Characteristics		Hazard Ratio(95%CI)	p value	Characteristics	Hazard Ratio(95%CI) p value
Age (>60 vs. ≤60)	F . 4	0.895(0.584-1.372)	0.610	Age (>60 vs. ≤60)	0.840(0.567-1.246) 0.386
Gender (female vs. male)	+ <b>•</b> ••	0.769(0.493-1.198)	0.245	Gender (female vs. male)	0.817(0.542-1.231) 0.335
Smoking status (never vs. former vs. current)	1 <b>•</b> 1	0.872(0.677-1.122)	0.286	Smoking status (never vs. former vs. current)	1.007(0.797-1.274) 0.951
ECOG PS (2 vs. 1 vs. 0)	I- •I	0.989(0.642-1.523)	0.960	ECOG PS (2 vs. 1 vs. 0)	1.207(0.813-1.792) 0.351
Stage (Limited disease vs. Extended disease)	·····•	2.434(1.043-5.678)	0.040	Stage (Limited disease vs. Extended disease)	2.312(1.062-5.031) 0.035
Therapy line (4 vs. 3 vs. 2 vs. 1)	1. <b>.</b>	1.328(1.091-1.617)	0.005	Therapy line (4 vs. 3 vs. 2 vs. 1)	1.438(1.192–1.736) <0.001
Immunotherapy drug (PD-1 vs. PD-L1)	1-11	1.118(0.717-1.742)	0.623	Immunotherapy drug (PD-1 vs. PD-L1)	1.123(0.746-1.689) 0.579
Regimen (monotherapy vs. combination therap	py)	1.990(1.160-3.415)	0.012	Regimen (monotherapy vs. combination therapy)	1.858(1.131-3.052) 0.014
Brain metastases (No vs. Yes)	⊨• <del>.</del>	0.747(0.452-1.236)	0.256	Brain metastases (No vs. Yes)	1.032(0.631-1.688) 0.900
Liver metastases (No vs. Yes)	F•	0.609(0.389-0.952)	0.030	Liver metastases (No vs. Yes)	0.570(0.377-0.864) 0.008
Bone metastases (No vs. Yes)	1	1.033(0.674-1.585)	0.880	Bone metastases (No vs. Yes)	0.980(0.659-1.459) 0.921
Pleural or pericardial metastases (No vs. Yes)	1- +1	1.008(0.659-1.541)	0.971	Pleural or pericardial metastases (No vs. Yes)	0.904(0.609-1.343) 0.617
Adrenal metastases (No vs. Yes)	••••	0.584(0.334-1.023)	0.060	Adrenal metastases (No vs. Yes)	0.641(0.373-1.101) 0.107
LIPI (poor vs. intermediate vs. good)	I	1.848(1.322-2.583)	< 0.001	LIPI (poor vs. intermediate vs. good)	1.460(1.070-1.992) 0.017
	0.5 1.0 1.5 2.0 2.5 3.0 3.8 4.0 4.8 Hazard Ratio(95%CI)	5.0 5.5		0.5 1.0 1.5 2.0 2.5 3.0 3.5 Hazard Ratio(95%CI	4.0 4.5 5.0
3					
ate analysis of factors asso					

growing tumors. Some studies have shown that there was a correlation between LDH and the prognosis of tumors, including lung cancer (12, 14, 25, 26). Therefore, patients with a higher dNLR and LDH are more likely to accelerate tumor progression, and the specific underlying mechanisms need to be studied further. The study revealed that there were statistical differences in median OS and PFS among the Good, Intermediate, and Poor LIPI groups of melanoma patients receiving immunotherapy (p < 0.0001). Moreover, the

Intermediate and Poor LIPI groups were significantly associated with worse prognosis compared to the Good LIPI group in a multivariate analysis, with an HR of 3.3 (95% CI, 2.0–5.3; p<0.001) and 7.9 (95% CI, 4.1–15.2; p<0.001), respectively (27)However, it is not clear whether LIPI can be used as a strong prognostic factor for patients with SCLC. The purpose of this study was to verify the predictive value of LIPI on survival rate, remission rate and irAEs of patients with SCLC treated with ICIs in the Chinese alpine region.

Stage (Limited disease vs. Extended disease)	k	4.639(1.415-15.20)	0.011	Stage (Limited disease vs. Extended disease)	·•	3.182(1.061-9.542)	0.039
Therapy line (4 vs. 3 vs. 2 vs. 1)	201	1.467(1.101-1.956)	0.009	Therapy line (4 vs. 3 vs. 2 vs. 1)	101	1.605(1.237-2.081)	<0.001
Regimen (monotherapy vs. combination therapy)	P <b>o</b> - 1	1.549(0.789-3.042)	0.203	Regimen (monotherapy vs. combination therapy	) <b>•••</b> •	1.293(0.694-2.407)	0.418
Liver metastases (No vs. Yes)	-	0.547(0.314-0.950)	0.032	Liver metastases (No vs. Yes)	•	0.499(0.298-0.835)	0.008
LIPI (poor vs. intermediate vs. good)	<b>i ●</b> -1	2.372(1.608-3.498)	< 0.001	LIPI (poor vs. intermediate vs. good)	I • 1	1.752(1.238-2.480)	0.002
	<sup>2</sup> Hazard Ratio(95%CI) <sup>14</sup>				1 2 3 4 5 6 7 8 9 Hazard Ratio(95%CI)		

#### TABLE 3 Levels of the peripheral blood markers by irAE development.

Blood marker	l r AEs (n (%))	Univariate OR (95% CI)	p value	Multivariate OR (95% CI)	p value
L-d NLR (n=88)	41 (46.6)	4.711 (1.661–13.356)	0.004	4.588 (1.109–18.975)	0.035
H-d NLR (n=32)	5 (15.6)	1		1	
L-LDH (n=79)	31 (39.2)	1.119 (0.513-2.441)	0.777	0.750 (0.106-5.324)	0.774
H-LDH (n=41)	15 (36.6)	1		1	
Good LIPI (n=58)	28 (48.3)	4.200 (0.834-21.147)	0.082	1.627 (0.189–13.989)	0.657
Intermediate LIPI (n=51)	16 (31.4)	2.057 (0.398-10.630)	0.389	0.915 (0.106-7.869)	0.936
Poor LIPI (n=11)	2 (18.2)	1		1	

OR, odds ratio; CI, confidence interval; irAEs, immune-related adverse events; dNLR, derived neutrophil-to-lymphocyte ratio; LDH, lactate dehydrogenase; LIPI, lung immune prognostic index; L-dNLR, low-dNLR; L-LDH, low-LDH; H-dNLR, high-dNLR; H-LDH, high-LDH.



In this study, retrospective analysis was used to collect patients with SCLC in the Chinese alpine region. As far as we know, tobacco and PM2.5 are unique regional differences in the Chinese alpine region, and they are also common causes of high incidence of lung cancer (28). As shown in Table 1 of this research, about 75% of people have smoking history. Our study found LIPI was significantly relevant to the DCR in these patients. There was a higher proportion of disease progression in the Intermediate and Poor LIPI groups than in the Good LIPI group. At the same time, research showed that preoperative LIPI could predict the prognosis of patients with bladder cancer (BC) undergoing radical cystectomy (29). Inflammation was able to induce or promote tumor formation or metastasis through the tumor microenvironment. In the tumor microenvironment, rapid generation of neutrophils might lead to massive release of immature or poorly differentiated neutrophils in the pro-inflammatory state with tumor-promoting effects (30, 31), in addition, the metabolic competition between T cells and tumor cells weakens the efficacy of PD-L1/PD-1 inhibitors (32). We also found multivariate analysis indicated that the LIPI was an independent prognostic factor for PFS and OS in SCLC patients through ICIs therapy. Therefore, LIPI can be used as an Inflammatory biomarker for patients with SCLC who were treated with ICIs in the Chinese alpine area and can be used to evaluate the survival rate and remission rate of patients of SCLC.

In addition, with the growing use of PD-L1/PD-1 inhibitors, irAEs also increased, which may cause treatment disruption and even death. The present study also explored the relationship between irAEs and the peripheral blood markers—dNLR, LDH, and LIPI; it also found only low-dNLR was significantly associated with the occurrence of irAEs. The study demonstrated the advanced esophageal cancer with irAEs had superior outcomes from ICIs, including better PFS and OS (33). Seiwert et al. also explored the association between development of irAEs and prolonged OS in patients with head and neck cancer

receiving ICIs (34). Simultaneously, our current research showed that the patients who experienced the relevant irAEs had longer OS and PFS. Overall, the results of this study, as well as others, showed that the LIPI was correlated with the efficacy and outcome of SCLC patients through ICIs therapy. However, the pretreatment LIPI may not be used as a convenient marker to distinguish irAEs in a timely manner.

This study shows that LIPI is a promising biomarker in SCLC patients treated with PD-L1/PD-1 inhibitors and it can be used to evaluate the efficacy and prognosis. Although the sample size of this study is small and there may be a deviation, the prediction and application value of this study in clinical practice should not be underestimated. In brief, LIPI is a simple and readily available global tool used to evaluate prognostic value in prospective studies with large tumor samples.

# **5** Conclusions

The LIPI based on dNLR and LDH is an independent prognostic factor for PFS and OS and is significantly relevant to the DCR. However, the LIPI is not an independent predictor of the occurrence of irAEs. LIPI appears to be a promising predictive and prognostic indicator in SCLC patients in the Chinese alpine region during ICIs treatment. Before ICIs treatment, the calculation of LIPI index in patients with SCLC may avoid or reduce the occurrence of irAEs and improve the prognosis.

# Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

# **Ethics statement**

The studies involving humans were approved by the Institutional Review Board of the Harbin Medical University Cancer Hospital. The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written

# References

1. Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global Cancer Statistics 2020: GLOBOCAN estimates of incidence and mortality worldwide for 36 Cancers in 185 Countries. *CA: A Cancer J Clin.* (2021) 71:209–49. doi: 10.3322/caac.21660

2. Han B, Zheng R, Zeng H, Wang S, Sun K, Chen R. Cancer incidence and mortality in China, 2022. J Natl Cancer Center. (2024) 4:47–53. doi: 10.1016/j.jncc.2024.01.006

3. Gazdar AF, Bunn PA, Minna JD. Minna. Small-cell lung cancer: what we know, what we need to know and the path forward. *Nat Rev Cancer.* (2017) 17:725–37. doi: 10.1038/nrc.2017.87

4. Evans WK, Shepherd FA, Feld R, Osoba D, Dang P, Deboer G. VP-16 and cisplatin as first-line therapy for small-cell lung cancer. *J Clin Oncol.* (1985) 3:1471–7. doi: 10.1200/JCO.1985.3.11.1471

5. Megyesfalvi Z, Gay CM, Popper H, Pirker R, Ostoros G, Heeke S, et al. Clinical insights into small cell lung cancer: Tumor heterogeneity, diagnosis, therapy, and future directions. *CA Cancer J Clin.* (2023) 73:620–52. doi: 10.3322/caac.21785

informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

# Author contributions

MZ: Data curation, Methodology, Investigation, Writing – original draft, Writing – review & editing. JH: Data curation, Resources, Formal analysis, Writing – original draft. YW: Data curation, Resources, Formal analysis, Writing – original draft. ZG: Software, Writing – original draft. MW: Visualization, Supervision, Conceptualization, Funding acquisition, Project administration, Writing – review & editing.

# Funding

The author(s) declare financial support was received for the research, authorship, and/or publication of this article. This work was supported by Grants from the National Natural Science Foundation of China (No. 81903070), the Natural Science Foundation of Heilongjiang Province (No. LH2019H039), and the Haiyan Foundation of Harbin Medical University Cancer Hospital (No. JJZD2020-09).

# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

# Publisher's note

All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

6. Horn L, Mansfield AS, Szczęsna A, Havel L, Krzakowski M, Hochmair MJ, et al. First-line atezolizumab plus chemotherapy in extensive-stage small-cell lung cancer. N Engl J Med. (2018) 379:2220–9. doi: 10.1056/NEJM0a1809064

7. Burtness B, Harrington KJ, Greil R, Soulières D, Tahara M, de Castro GJ, et al. Pembrolizumab alone or with chemotherapy versus cetuximab with chemotherapy for recurrent or metastatic squamous cell carcinoma of the head and neck (KEYNOTE-048): a randomized, open-label, phase 3 study. *Lancet.* (2019) 394:1915–28. doi: 10.1016/S0140-6736(19)32591-7

8. Paz-Ares L, Dvorkin M, Chen Y, Reinmuth N, Hotta K, Trukhin D, et al. Durvalumab plus platinum-etoposide versus platinum-etoposide in first-line treatment of extensive-stage small-cell lung cancer (CASPIAN): a randomized, controlled, open-label, phase 3 trial. *Lancet.* (2019) 394:1929–39. doi: 10.1016/S0140-6736(19)32222-6

9. Iams WT, Porter J, Horn L. Immunotherapeutic approaches for small-cell lung cancer. *Nat Rev Clin Oncol.* (2020) 17:300–12. doi: 10.1038/s41571-019-0316-z

10. Hellmann MD, Callahan MK, Awad MM, Calvo E, Ascierto PA, Atmaca A, et al. Tumor mutational burden and efficacy of nivolumab monotherapy and in combination with ipilimumab in small-cell lung cancer. *Cancer Cell.* (2019) 35:329. doi: 10.1016/j.ccell.2019.01.011

11. Mantovani A, Allavena P, Sica A, Balkwill F. Cancer-related inflammation. Nature. (2008) 454:436–44. doi: 10.1038/nature07205

12. Wu Y, Wu H, Lin M, Liu T, Li J. Factors associated with immunotherapy respond and survival in advanced non-small cell lung cancer patients. *Transl Oncol.* (2022) 15:101268. doi: 10.1016/j.tranon.2021.101268

13. Ksienski D, Wai ES, Alex D, Croteau NS, Freeman AT, Chan A, et al. Prognostic significance of the neutrophil-to-lymphocyte ratio and platelet-to-lymphocyte ratio for advanced non-small cell lung cancer patients with high PD-L1 tumor expression receiving pembrolizumab. *Transl Lung Cancer Res.* (2021) 10:355–67. doi: 10.21037/ttcr-20-541

14. Mezquita L, Auclin E, Ferrara R, Charrier M, Remon J, Planchard D, et al. Association of the lung immune prognostic index with immune checkpoint inhibitor outcomes in patients with advanced non-small cell lung cancer. *JAMA Oncol.* (2018) 4:351–7. doi: 10.1001/jamaoncol.2017.4771

15. Parent P, Auclin E, Patrikidou A, Mezquita L, Martínez Chanzá N, Dumont C, et al. Prognostic value of the lung immune prognosis index score for patients treated with immune checkpoint inhibitors for advanced or metastatic urinary tract carcinoma. *Cancers (Basel).* (2023) 15:1066. doi: 10.3390/cancers15041066

16. Sun T, Guo Y, Sun B, Chen L, Ren Y, Zhu L, et al. Association of the pretreatment lung immune prognostic index with immune checkpoint inhibitor outcomes in patients with advanced hepatocellular carcinoma. *Eur J Med Res.* (2023) 28:225. doi: 10.1186/s40001-023-01198-0

17. Pierro M, Baldini C, Auclin E, Vincent H, Varga A, Martin Romano P, et al. Predicting immunotherapy outcomes in older patients with solid tumors using the LIPI score. *Cancers (Basel)*. (2022) 14:5078. doi: 10.3390/cancers14205078

18. Hanahan D, Weinberg RA. Hallmarks of cancer: the next generation. *Cell*. (2011) 144:646–6.74. doi: 10.1016/j.cell.2011.02.013

19. Kumarasamy C, Tiwary V, Sunil K, Suresh D, Shetty S, Muthukaliannan GK, et al. Prognostic utility of platelet-lymphocyte ratio, neutrophil-lymphocyte ratio and monocytelymphocyte ratio in head and neck cancers: A detailed PRISMA compliant systematic review and meta-analysis. *Cancers (Basel)*. (2021) 13:4166. doi: 10.3390/cancers13164166

20. Jeyakumar G, Kim S, Bumma N, Landry C, Silski C, Suisham S, et al. Neutrophil lymphocyte ratio and duration of prior anti-angiogenic therapy as biomarkers in metastatic RCC receiving immune checkpoint inhibitor therapy. *J Immunother Cancer*. (2017) 5:82. doi: 10.1186/s40425-017-0287-5

21. Savioli F, Morrow ES, Dolan RD, Romics L, Lannigan A, Edwards J, et al. Prognostic role of preoperative circulating systemic inflammatory response markers in primary breast cancer: meta-analysis. *Br J Surg.* (2022) 109:1206–15. doi: 10.1093/bjs/znac319

22. Ferrucci PF, Ascierto PA, Pigozzo J, Del Vecchio M, Maio M, Antonini Cappellini GC, et al. Baseline neutrophils and derived neutrophil-to-lymphocyte

ratio: prognostic relevance in metastatic melanoma patients receiving ipilimumab. Ann Oncol. (2018) 29:524. doi: 10.1093/annonc/mdx059

23. Caputo D, Quagliarini E, Coppola A, La accara V, Marmiroli B, Sartori B, et al. Inflammatory biomarkers and nanotechnology: new insights in pancreatic cancer early detection. *Int J Surg.* (2023) 109:2934–40. doi: 10.1097/JS9.000000000000558

24. Kim CG, Kim MH, Kim JH, Kim SG, Kim GM, Kim TY, et al. On-treatment derived neutrophil-to-lymphocyte ratio and survival with palbociclib and endocrine treatment: analysis of a multicenter retrospective cohort and the PALOMA-2/3 study with immune correlates. *Breast Cancer Res.* (2023) 25:4. doi: 10.1186/s13058-022-01601-4

25. Weide B, Martens A, Hassel JC, Berking C, Postow MA, Bisschop K, et al. Baseline biomarkers for outcome of melanoma patients treated with pembrolizumab. *Clin Cancer Res.* (2016) 22:5487–96. doi: 10.1158/1078-0432.CCR-16-0127

26. Zhang Z, Li Y, Yan X, Song Q, Wang G, Hu Y, et al. Pretreatment lactate dehydrogenase may predict outcome of advanced non-small-cell lung cancer patients treated with immune checkpoint inhibitors: A meta-analysis. *Cancer Med.* (2019) 8:1467–73. doi: 10.1002/cam4.2024

27. Meyers DE, Stukalin I, Vallerand IA, Lewinson RT, Suo A, Dean M, et al. The lung immune prognostic index discriminates survival outcomes in patients with solid tumors treated with immune checkpoint inhibitors. *Cancers (Basel)*. (2019) 11:1713. doi: 10.3390/cancers11111713

28. Chen W, Xia C, Zheng R, Zhou M, Lin C, Zeng H, et al. Disparities by province, age, and sex in site-specific cancer burden attributable to 23 potentially modifiable risk factors in China: a comparative risk assessment. *Lancet Glob Health*. (2019) 7:257–69. doi: 10.1016/S2214109X(18)30488-1

29. Obayashi K, Miki J, Fukuokaya W, Yanagisawa T, Kimura S, Tsuzuki S, et al. The prognostic value of the preoperative lung immune prognostic index in patients with urothelial bladder cancer undergoing radical cystectomy. *Int J Clin Oncol.* (2022) 27:396–402. doi: 10.1007/s10147-021-02059-8

30. Selders GS, Fetz AE, Radic MZ, Bowlin GL. An overview of the role of neutrophils in innate immunity, inflammation and host-biomaterial integration. *Regener Biomater.* (2017) 4:55–68. doi: 10.1093/rb/rbw041

31. Sionov RV, Fridlender ZG, Granot Z. The multifaceted roles neutrophils play in the tumor microenvironment. *Cancer Microenviron*. (2015) 8:125–58. doi: 10.1007/s12307-014-0147-5

32. Kedia-Mehta N, Finlay DK. Competition for nutrients and its role in controlling immune responses. *Nat Commun.* (2019) 10:2123. doi: 10.1038/s41467-019-10015-4

33. Qin W, Yang L, Fan B, Zou B, Duan Y, Li B, et al. Association between immunerelated adverse events and the efficacy of PD-1 inhibitors in advanced esophageal cancer. *Front Immunol.* (2022) 13:931429. doi: 10.3389/fimmu.2022.931429

34. Foster CC, Couey MA, Kochanny SE, Khattri A, Acharya RK, Tan YC, et al. Immune-related adverse events are associated with improved response, progression-free survival, and overall survival for patients with head and neck cancer receiving immune checkpoint inhibitors. *Cancer.* (2021) 127:4565–73. doi: 10.1002/cncr.33780