



## Echocardiographic Diagnosis and Hemodynamic Evaluation of Patent Ductus Arteriosus in Extremely Low Gestational Age Newborn (ELGAN) Infants

#### Yogen Singh<sup>1,2\*</sup>, Alain Fraisse<sup>3,4</sup>, Omer Erdeve<sup>5</sup> and Begum Atasay<sup>5</sup>

<sup>1</sup> Department of Pediatrics - Pediatric Cardiology and Neonatal Medicine, Cambridge University Hospitals NHS Foundation Trust, Cambridge, United Kingdom, <sup>2</sup> University of Cambridge Clinical School of Medicine, Cambridge, United Kingdom, <sup>3</sup> Pediatric Cardiology Services, Royal Brompton Hospital, London, United Kingdom, <sup>4</sup> Imperial College London, National Heart and Lung Institute, London, United Kingdom, <sup>5</sup> Division of Neonatology, Department of Pediatrics, Ankara University School of Medicine, Ankara, Turkey

#### **OPEN ACCESS**

Edited by:

Gunnar Naulaers, KU Leuven, Belgium

#### Reviewed by:

Shazia Bhombal, Stanford University, United States Ruth Heying, University Hospital Leuven, Belgium

> \*Correspondence: Yogen Singh yogen.singh@nhs.net

#### Specialty section:

This article was submitted to Neonatology, a section of the journal Frontiers in Pediatrics

Received: 17 June 2020 Accepted: 16 October 2020 Published: 19 November 2020

#### Citation:

Singh Y, Fraisse A, Erdeve O and Atasay B (2020) Echocardiographic Diagnosis and Hemodynamic Evaluation of Patent Ductus Arteriosus in Extremely Low Gestational Age Newborn (ELGAN) Infants. Front. Pediatr. 8:573627. doi: 10.3389/fped.2020.573627 Persistent Patent ductus arteriosus (PDA) is a common finding in extremely low gestational age newborn infants and its prevalence is inversely proportional to the gestational age. The presence of a persistent PDA is associated with increased mortality and several significant morbidities including intraventricular hemorrhage, pulmonary hemorrhage, necrotizing enterocolitis, and chronic lung disease or bronchopulmonary dysplasia. However, treating PDA has not been demonstrated to have beneficial impact on the long term outcomes. Currently there is no consensus on whether to treat the PDA or not, and if treat, when to treat and how to treat. The echocardiography is the investigation of choice to diagnose PDA, estimating the magnitude of shunt volume and assessing its hemodynamic significance, and to exclude/diagnose any associated congenital heart defect before any intervention. Various echocardiographic parameters and staging/scoring systems have been described to help the clincians making the clinical decisions and some of theses scoring systems are quite complex to apply in a busy day to day clinical practice. This concised review paper is focused to help the clinicians in making a clinical decision based upon clincial and echocardiography parameters. Hence, only the parameters which are commonly used and helpful in making the clinical decisions in day to day clincial practice have been described in this paper.

Keywords: patent ductus arteriosus (PDA), extreme preterm infants, clinical decision making, echocardiographic evaluation of PDA, ELGAN extremely low gestational age newborn

## INTRODUCTION

Patent ductus arteriosus (PDA) is an essential component of the fetal circulation and in most of the term infants it closes soon after birth. However, PDA is known to remain persistent in large proportion of extremely low gestational age newborn (ELGAN) infants and its prevalence is inversely proportional to the gestational age (1). The incidence of a persistent PDA in infants born <1,000 g or those under 28 weeks of gestation is around 66% (1, 2).

1

The important risk factors for persistent PDA include lower gestational age, lack of antenatal steroids, and need for mechanical ventilation. The risk of persistent PDA increases with decreasing gestational age (GA) and lower birth weight  $(3)^1$  (4). Closure is also less likely to occur in infants who have neonatal respiratory distress syndrome needing mechanical ventilation and in those who did not receive antenatal corticosteroids (4, 5).

The presence of a persistent PDA is associated with increased mortality and several significant morbidities including intraventricular hemorrhage (IVH), pulmonary hemorrhage, necrotizing enterocolitis (NEC), and chronic lung disease (CLD) or bronchopulmonary dysplasia (BPD) (6-8). Despite large number of trials, research studies and scientific efforts in making an evidence based consensus on how best to manage the PDA in this vulnerable group of patients, there has been no agreement on how best to treat or even how best to assess the PDA and its impact (9). There continues to be a clinical dilemma who should we treat, how to treat and what the best strategy in the ELGAN infants is. Different treatment strategies have been studied over the years. These include prophylactic treatment, early targeted treatment, treatment of a clinically symptomatic PDA, the conservative approach of "wait and watchful policy," surgical ligation and recently described percutaneous transcatheter closure of PDA (9-12).

This review focuses at clinical decision making in managing PDA in the ELGAN infants. The aim of the paper is not to advice on the type of treatment, but primarily looking on the role of echocardiography in hemodynamic evaluation of PDA which can help in making clinical decision on the bedside.

## **CLINICAL DIAGNOSIS OF PDA**

The clinical signs of PDA depend upon amount of the shunt volume passing across the ductus arteriosus; which primarily depends upon the systemic and pulmonary vascular resistance, ability of the myocardium to adapt to increased shunt volume and size of the ductus arteriosus (13–15) (**Figure 1**).

Infants with even a large PDA often have no clinical signs in the first few days after birth because of persistently high pulmonary vascular resistance (PVR) leading to decreased amount of PDA shunt volume. As PVR drops, shunt volume increases and infant develops the signs and symptoms of PDA. Initially, heart murmur may be heard and often the infant is noted to have fleeting desaturations. As PDA persists then further signs such as hyperactive precordium, bounding pulses and widened pulse pressure are recognized and when myocardium fails to adapt to this increased shunt volume the signs of heart failure develop (15, 16). However, a small proprotion of ELGAN infants with decreased myocardial adaptability may develop hypotension and acidosis even during first few days (16).

Clinical factors and biomarkers can be used both to diagnose PDA and assess its hemodynamic significance In hemodynamically significant PDA (hsPDA), signs and symptoms of pulmonary overcirculation and systemic hypoperfusion are rated by looking at the oxygenation difficulty, number and severity of apnea and desaturations, need and extent of noninvasive or invasive respiratory support, feeding intolerance, radiologic evidence of cardiomegaly and pulmonary edema, presence of oliguria, low mean or diastolic hypotension with or without metabolic acidosis requiring cardiotropic or vassopressor drugs (17). However, most clinical signs have limited sensitivity in the first day of life and hence, there is a few days lag in clinical diagnosis of PDA as compared to echocardiography (15, 17).

Moreover, it is very difficult to diagnose or rule out underlying congenital heart defect on clincial examination alone (18). Hence, echocardiographic diagnosis is mandatory before any clincial intervention.

## ECHOCARDIOGRAPHIC EVALUATION OF PDA: DIAGNOSIS AND ASSESSMENT OF HEMODYNAMIC IMPACT OF SHUNT VOLUME

The echocardiography is the gold standard bedside investigation to diagnose PDA. In addition to make a confirmative diagnosis of PDA and exclude/diagnose any associated congenital heart defect (CHD), it can help in estimating the magnitude of shunt volume and assessing its hemodynamic significance—it can be used to assess the hemodynamic impact from pulmonary overcirculation and systemic hypoperfusion due to shunt volume (13–15). This could be systematically achieved by studying: (a) ductal characteristics, (b) parameters of pulmonary overcirculation, and (c) signs of systemic hypoperfusion (**Figure 2**).

Various echocardiographic parameters have been described in the research setting and complex staging/scoring systems (17, 19). This review paper is focused to help the clinicians in making a clinical decision on the bedside and hence the parameters which are commonly used and helpful in day to day common clinical practice have been described.

# Echocardiographic Assessment of Ductal Characteristics

The echocardiography can be used to assess the size of PDA by measuring transductal diameter, interrogate shunt direction, and velocity of blood flow across the ductus arteriosus can be measured by using Doppler technique.

#### Measuring Transductal Diameter

Although the PDA can be visualized from many windows, the high left-sided parasternal "ductal" view is the preferred window to obtain a clear 2D image and accurately measure size of the ductus arteriosus. PDA size is measured from the transductal diameter at the site of maximum constriction (narrowest dimension), which is usually at the pulmonary end (20). Most studies have described measuring PDA size using color Doppler, although with new ultrasound machines and through proper training it can be easily measured on 2D image. If color Doppler is used to measure the duct size the gain setting should

<sup>&</sup>lt;sup>1</sup>Available online at: https://www.uptodate.com/contents/patent-ductusarteriosus-in-preterm-infants-pathophysiology-clinical-manifestations-anddiagnosis/abstract/55.









be adequately optimized to minimize the risk of over-estimation. Color compare or simultaneous mode, which allows putting 2D and color Doppler image side by side, can be applied to measure ductal size in both modes using frame by frame technique (**Figure 3**).

#### **Direction of Shunt Across Ductus Arteriosus**

The direction of the ductal shunt depends upon the relationship between the pulmonary and systemic pressures. It is assessed using color Doppler and direction of blood flow across ductus arteriosus is normally left to right, from the aorta (high systemic pressure) to the pulmonary artery (low pulmonary pressure) but it can be right to left or bi-directional when there is high pulmonary vascular resistance or when there is anatomical cause (due to certain CHDs). With conventional setting left to right shunt is seen as red jet while right to left shunt is seen as blue (21). A right-to-left shunt across the PDA is more difficult to see because color Doppler will show it as a blue jet, blood going toward aorta from pulmonary end, similar to branch pulmonary arteries. Color compare or simultaneous mode can be very helpful in such situation. Bi-directional flow is often seen during transitional circulation or when the pulmonary



FIGURE 3 | Measurement of ductal size on 2D and color Doppler on high left parasternal "ductal view." (A) Showing significant discrepency between ductal diameter measurement on 2D and color Doppler—over-estimation of ductal size on color Doppler because of gain setting; (B) showing no significant discrepency between ductal diameter measurement on 2D and color Dopple after optimisation of gain setting. LPA, left pulmonary artery; RPA, right pulmonary artery; PDA, patent ductus arteriosus.



FIGURE 4 | Assessment of PDA shunt direction on color flow and with Doppler application. (A) Left to right shunt seen as red (blood coming toward probe) while blood in branch pulmonary arteries seen as blue (blood going away from probe); (B) showing right to left shunt seen as blue color—similar to branch pulmonary arteries in a view "three legged trouser;" (C) Doppler assessment showing left to right shunt (above the baseline as blood coming toward the probe); and (D) Doppler assessment showing right to left shunt (below the baseline as blood going away from the probe). LPA, left pulmonary artery; RPA, right pulmonary artery; PDA, patent ductus arteriosus.

artery pressures are equal to systemic pressures (21). Shunt direction can also be assessed using pulse or continuous wave Doppler where left to right shunt is seen above the baseline (blood coming toward the probe) while right to left shunt is seen below the baseline (blood going away from the probe) (15, 21) (**Figure 4**).



FIGURE 5 | Assessment of restrictive and non-restrictive (pulsatile) flow pattern on Doppler assessment of PDA. (A) Showing non-restrictive (pulsatile) flow pattern with end-diastolic velocity (EDV) less than half of the peak systolic velocity; and (B) showing restrictive flow pattern with end-diastolic velocity (EDV) more than half of the peak systolic velocity.



FIGURE 6 | Assessment of left heart volume overloading on visual inspection "eyeballing." (A) Apical 4 chamber view in 2D showing dilated left side of the heart (dilated left atrium and left ventricle); (B) Mitral regurgitation on color flow mapping as blue jet going back to left atrium (see explanation in text); (C) "Crab view" showing dilated pulmonary veins reflecting increased pulmonary venous return and (D) Dilated left atrium in parasternal short axis view—on visual inspection LA looks almost the double the size of aortic valve (Ao). LA, left atrium; LV, left ventricle; RA, right atrium; RV, right ventricle; Ao, aortic valve; RUPV, right upper pulmonary vein; RLPV, right lower pulmonary vein.

#### Velocity of Shunt Across PDA and Its Significance

The shunt velocity across the PDA during the cardiac cycle can be obtained by applying pulse or continuous wave Doppler

in the ductus arteriosus. The maximum velocity during systole and diastole can be measured. Non-restrictive shunts have a low peak systolic velocity with a high systolic to end-diastolic



velocity gradient while restrictive shunts have a high peak systolic velocity and a low systolic to diastolic velocity gradient. If the ratio between peak systolic and end-diastolic velocity is >2 then it is considered as a pulsatile flow pattern while a ratio of <2 is described as restrictive shunt suggestive of a closing PDA (22, 23) (**Figure 5**).

## Echocardiographic Evaluation of Pulmonary Overcirculation

The increased pulmonary blood flow from a significant left-toright ductal shunt leads to pulmonary blood flow and hence increased pulmonary venous return. This leads to increased volume overload in the left atrium (LA) which gradually gets dilated and if this process of significant left to right shunt persists then it leads to dilatation of left ventricle from increased preload, especially in absence of a large intra-atrial shunt. As aortic valve annulus (Ao) is a relatively fixed structure and it does not get dilated due to left heart overloading. Hence, a ratio of LA/Ao can be used as a surrogate of increased pulmonary venous return (24, 25). Similarly, the left ventricular end diastolic diameter (LVEDD) can be used as surrogate markers for pulmonary venous return. In clinical practice the volume overloading of the left heart can be subjectively assessed by "eyeballing" (15) (**Figure 6**).

Both LA/Ao ratio and LVEDD can be measured from the parasternal long axis view using M-mode with the cursor perpendicular to the aorta at the level of the aortic valve or at the septum at the tip of the mitral valve leaflets, respectively (**Figure 7**). LA/Ao ratio of >1.4 is considered significant and has been used as a cut-off value in many clinical trials (25). The normal reference ranges for LVEDD in preterm infants in relation to body weight and postnatal age have been published and *z*-scores should be used for LVEDD (26).

Variable degree of mitral valve insufficiency is often seen in infants with persistent large PDA and significant left heart



dilatation (**Figure 6**). It occurs due to left atrial dilatation resulting in stretching of mitral valve and left ventricular volumeoverloading. The mitral valve regurgitation usually improves significantly with normalization of left atrial size and resolves completely within weeks after PDA closure (14, 26, 27).

While assessing left heart volume overloading one should be mindful of intra-atrial shunt. A large left-to-right shunt through the foramen ovale or septal defect can "offload" the left side of the heart even in the presence of a significant ductal shunt leading to an artificially low/normal LA/Ao ratio or low LVEDD.

The presence of forward pulmonary flow in diastole in the left pulmonary artery (LPA) has been described as a sign of significant left to right shunt through the PDA. Using pulsed wave Doppler in the LPA mean and end-diastolic velocity can be measured and cut-off points of 0.42 and 0.20 m/s, respectively, have been described as indicative of significant ductal shunt (24) (**Figure 8**).

The mitral valve E/A ratio refers to the ratio of the velocity of the early (E) diastolic phase of ventricular filling vs. the late atrial (A) contraction component. Mitral valve E/A ratio can be obtained from apical 4-chamber view with the pulsed Doppler range gate set slightly below the mitral valve annulus. In preterm infants, mitral valve E/A ratio is usually <1 due to poor compliance of the myocardium leading to moderate impairment of diastolic performance and low early diastolic filling velocity. In the presence of a hsPDA, atrial pressure increases because high pulmonary venous return and this leads to a reversal of the E/A ratio >1. Various other echocardiographic parameters have been studied and described to assess pulmonary circulation such as left ventricular output (LVO) to superior vena cava flow (SVC) ratio, and decreased isovolumic relaxation time (IVRT) using tissue Doppler Imaging (TDI) (13, 24, 28). There may be limited expertise in doing TDI assessment accurately on neonatologist performed echocardiography. On the other hand, echocardiographic assessments (such as SVC flow and LVO estimation) needing multiple parameters are not only time consuming but also has potential to make errors in measurement



FIGURE 9 | Doppler assessment of blood flow in descending aorta (post-ductal flow). (A) Showing forward blood flow during disatole; and (B) showing retrograde blood flow during disatole indicating "ductal steal" in presence of a large PDA.



arteries indicating "ductal steal" in presence of a large PDA.

and significant intra- and inter-observer variability (29–32). Hence, the common echocardiographic parameters often used in clinical decision making on the bedside remain qualitative assessment on visual inspection "eyeballing," LA/Ao ratio and LVEDD measurement. Mitral E/A ratio is easy to measure but one should be mindful that even in preterm infants with no hsPDA E/A ratio gradually become >1 with time as myocardium compliance improves.

## Echocardiographic Evaluation of Systemic Hypoperfusion

In the presence of a large PDA, blood shunts away from the systemic circulation throughout the cardiac cycle, however, this becomes more apparent during diastole and it can be studied using Doppler on echocardiography (15, 31). Retrograde or absent blood flow during diastole in descending aorta below the ductal ampulla or in the coeliac axis or superior mesenteric artery have been described as indicator of significant PDA shunt leading to systemic steal (systemic hypoperfusion) (24, 32).

Doppler flow patterns from the descending aorta can be obtained from a suprasternal or high parasternal view with the pulsed wave Doppler sample gate placed distal to the origin of ductus arteriosus (ductal ampulla) (**Figure 9**).

Similarly, celiac trunk or superior mesenteric artery can be interrogated using pulsed wave Doppler in the sagittal abdominal view (**Figure 10**). Doppler assessment of the anterior cerebral artery in the mid-sagittal view of brain ultrasound can be performed and retrograde flow during diastole would suggest significant ductal shunt—similar to coeliac or superior mesentery artery Doppler assessment. However, to date the clinical relevance and long term outcomes of the deranged cerebral Doppler flow patterns remain unknown (33).

Based upon the clinical and echocardiographic criteria various staging systems been described and have been shown to help in decision making for intervention (17, 19). However, in authors experience they have not been widely adopted in the clinical practice which could be possibly because of their extensive number of parameters. Recently, van Laere et al.

**TABLE 1** | Summary of essential parameters used for echocardiographic

 assessment and hemodynamic evaluation of PDA in ELGAN infants.

PDA evaluation criteria	Essential echocardiographic parameters for assessment of PDA and hemodynamic evaluation
Ductal characteristics	• PDA size (small <1.5 mm, moderate 1.51–2 mm, large >2 mm) and flow direction (left to right, right to left, or bi-directional) and Doppler assessment with maximum velocity (Vmax) in systole and end-diastole
Assessment of pulmonary over-circulation	<ul> <li>Dilated left side of the heart on visual inspection "eyeballing" and LA/Ao ratio (mild &lt;1.4, moderate 1.41–1.6, severe &gt;1.6) OR LVEDD (correlate with z-scores) OR LPA diastolic velocity, mean velocity &gt;0.42 m/s, end-diastolic velocity &gt;0.2 m/s OR Reversal of mitral E/A ratio "Document presence or absence and magnitude of intra-atrial shunt</li> </ul>
Assessment of systemic hypoperfusion	<ul> <li>Retrograde or absent blood flow during diastole in: -descending aorta OR</li> <li>-coeliac trunk or superior mesenteric artery (SMA) OR</li> <li>-anterior or middle cerebral artery</li> </ul>

A comprehensive echocardiographic assessment should be performed to rule out any underlying congenital heart defect or pulmonary hypertension and delineate orientation of arch (left or right sidedness) before any intervention to close the PDA.

(13) suggested essential various echocardiographic parameters including measuring left ventricular output in all infants needing assessment of PDA. However, in authors experience these staging systems needing extensive echocardiographic parameters assessment have not been widely adopted in the clinical practice. We have summarized the commonly used parameters which would help the clinicians assessing the PDA and its hemodynamic significance on echocardiography, and in making clinical decisions in the clinical practice.

## CLINICAL DECISION MAKING: IS THE PDA HEMODYNAMICALLY SIGNIFICANT?

The above echocardiographic parameters summarized in **Table 1** may help in addressing the issue of whether the PDA is hemodynamically significant or not. However, the definition of an hsPDA continues to evolve. In addition to multiple echocardiographic indices described above, the hemodynamic significance of a persistent PDA should be interpreted by considering the gestational and chronological age, and by assessing the vulnerability of organs at risk for overflow (the lungs), or hypoperfusion (e.g., the brain, intestines, and kidneys). Further work is needed to reach a consensus on how to define and manage a hemodynamically significant persistent PDA in extremely preterm infants (34).

A large PDA with some or all the signs of hemodynamic significance can be seen in a well infant needing no or minimal ventilatory support, and it may not be clinically significant. There is high rate of spontaneous closure of PDA, even in ELGAN infants, if they are left alone (35, 36). On the other hand, a PDA with similar parameters on echocardiography may be associated with significant hemodynamic instability and co-morbidities

(5, 6). The debate remains whether well asymptomatic infants with echocardiographic parameters suggestive of large or hemodynamically significant should be treated or not (37-40).

In the last few years, there has been move toward conservative "watchful" approach in infants with no significant clinical signs even when there are echocardiographic parameters suggestive of large hsPDA (9). The published evidence does not support treating an infant with an echocardiographic parameters suggestive of hsPDA without any significant clinical signs of PDA. Large trials have failed to demonstrate any long term benefit (such as death or BPD) from PDA treatment, although they demonstrated short term benefits in terms of decreasing incidence of large intraventricular hemorrhage or pulmonary hemorrhage (39). However, these trials were underpowered to study the long term effects and even more importantly most of these trials used very limited echocardiography dataset to enroll infants (39-43). Hence, in the absence of evidence the debate of whether to treat or not continues and in fact when to treat, how to treat and who to treat remains controversial. There is no consensus on management of PDA in the extremely preterm infants and even there is no consensus on the definition of the commonly used term "hsPDA."

Most clinicians would agree that ductus arteriosus with echocardiographic parameters suggestive of large PDA associated with pulmonary over-circulation and systemic hypoperfusion should be regarded as hsPDA (44). Infants with clinical signs of heart failure and echocardiographic signs of a hsPDA would benefit from treatment. They should be treated with diuretic therapy to offload the heart (decrease preload) and they may benefit from fluid restriction, especially if they are on fluid therapy. This approach would help in cardiovascular stabilization prior to definitive intervention (medical, surgical, or transcatheter approach). Whether all hsPDA should be treated or not, and if treated how best they should be treated, remains debatable. In authors' opinion, infants with echocardiographic signs of hsPDA should be either treated or carefully monitored with low threshold for intervention if clinical condition worsens or does not improve.

The treatment intervention varies from no intervention ("wait and watchful" policy) to treating all PDAs diagnosed on echocardiography. "One size may not fit all"-this truly applies on managing PDA in ELGAN infants with huge variation in clinical course and associated co-morbidities. The lack of evidence on how to best evaluate the PDA in these infants probably results in lack of consensus among the scientific bodies, or even among the clinicians within the same unit (45). The intervention options are: no intervention (conservative management), pharmacological treatment (prophylactic medication, early targeted intervention and treatment of symptomatic infants), transcatheter closure and surgical ligation of PDA.

Studies of prophylactic treatments using indomethacin show reduced rates acute pulmonary hemorrhage, intracranial hemorrhage, and surgical ligation (39). It does however expose large proportion of infants to indomethacin who do not need treatment because of spontaneous closure. Studies on prophylactic use of ibuprofen failed to show the benefits reported



on using indomethacin (46). Many ongoing studies (BabyOSCAR trial, French TRIOCAPI trial, Dutch BeNEDuCTUS trial, and Australian U-PDA trial focused on the short term and long term outcomes of early targeted treatment approaches<sup>2</sup>.

With advances in technologies and expertise, PDA can be closed via transcatheter route with faster recovery and avoiding the risk of complications from surgery. This can now be safely done in ELGAN infants weighing over 700 g (47, 48). A detailed discussion about the evidence for various treatment options is beyond the scope of this review article.

The authors recommend a comprehensive assessment of PDA and its hemodynamic significance before making any clinical decision to treat or not and the clinical decision making should be made in clinical context of the individual infant. A simple algorithm to clinical decision making based upon clinical and echocardiographic assessment, whether to treat or follow up, has been summarized in **Figure 11**. Moreover, this can be dynamic decision, especially in infants with conservative management and serial assessment on neonatologist performed echocardiography can help in making timely individualized decision making.

## CONCLUSION

While the best treatment option to treat the PDA in the extremely low gestational age newborn infants remains debatable and lacks

## REFERENCES

 Koch J, Hensley G, Roy L, Brown S, Ramaciotti C, Rosenfeld CR. Prevalence of spontaneous closure of the ductus arteriosus in neonates at a birth weight of 1000 grams or less. *Pediatrics*. (2006) 117:1113–21. doi: 10.1542/peds.2005-1528 consensus at this stage, there has been significant progress in assessing hemodynamic significance of the ductal shunt. Before making a clinical decision to treat a PDA or not, all infants should have a comprehensive structural assessment to rule out any underlying CHD and a meticulous hemodynamic evaluation to assess the impact of PDA shunt on the pulmonary overcirculation and systemic hypoperfusion. In absence of clear evidence, the clinical decision should be individualized based upon clinical concerns and echocardiographic assessment. While in some infants a careful "wait and watchful" strategy may be the best option, other infants may need early intervention to minimize the co-morbidities. There is an urgent need of studying the long term outcomes in the sub-set of infants with hsPDA identified on meticulous echocardiographic assessment.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary materials, further inquiries can be directed to the corresponding author.

## **AUTHOR CONTRIBUTIONS**

YS conceptualized the idea and prepared initial manuscript including all images. AF, OE, and BA edited the manuscript and helped in finalizing the manuscript. All authors contributed to the article and approved the submitted version.

- Bose CL, Laughon MM. Patent ductus arteriosus: lack of evidence for common treatments. Arch Dis Child Fetal Neonatal Ed. (2007) 92:F498–502. doi: 10.1136/adc.2005. 092734
- 3. Pourarian S, Farahbakhsh N, Sharma D, Cheriki S, Bijanzadeh F. Prevalence and risk factors associated with the patency of ductus arteriosus in premature

<sup>&</sup>lt;sup>2</sup>Available online at: https://clinicaltrials.gov/ct2/results?term=pDA&Search=Apply&recrs=a&age.

neonates: a prospective observational study from Iran. J Matern Fetal Neonatal Med. (2017) 30:1460–4. doi: 10.1080/14767058.2016.1219991

- 4. Costa S, Zecca E, De Luca D, De Carolis MP, Romagnoli C. Efficacy of a single dose of antenatal corticosteroids on morbidity and mortality of preterm infants. *Eur J Obstet Gynecol Reprod Biol.* (2007) 131:154–7. doi: 10.1016/j.ejogrb.2006.05.006
- Noori S, McCoy M, Friedlich P, Bright B, Gottipati V, Seri I, et al. Failure of ductus arteriosus closure is associated with increased mortality in preterm infants. *Pediatrics*. (2009) 123:e138–44. doi: 10.1542/peds.2008-2418
- Jaillard S, Larrue B, Rakza T, Magnenant E, Warembourg H, Storme L. Consequences of delayed surgical closure of patent ductus arteriosus in very premature infants. *Ann Thorac Surg.* (2006) 81:231–4. doi: 10.1016/j.athoracsur.2005.03.141
- Schena F, Francescato G, Cappelleriet A, Picciolli I, Mayer A, Moscaet F, et al. Association between hemodynamically significant patent ductus arteriosus and bronchopulmonary dysplasia. *J Pediatr.* (2015) 16:1488–92. doi: 10.1016/j.jpeds.2015.03.012
- Express-Group. Incidence of and risk factors for neonatal morbidity after active perinatal care: extremely preterm infants study in Sweden (EXPRESS). *Acta Paediatr.* (2010) 99:978–92. doi: 10.1111/j.1651-2227.2010.01846.x
- Benitz WE. Learning to live with patency of the ductus arteriosus in preterm infants. J Perinatol. (2011) 31(Suppl. 1):S42–8. doi.org/10.1038/jp.2010.175 doi: 10.1038/jp.2010.175
- Clyman RI, Liebowitz M, Kaempf J, Erdev O, Bulbul A, Håkansson S, et al. PDA-TOLERATE trial: an exploratory randomized controlled trial of treatment of moderate-to-large patent ductus arteriosus at 1 week of age. J Pediatr. (2019) 205:41–8.e6. doi: 10.1016/j.jpeds.2018.09.012
- Heuchan AM, Clyman RI. Managing the patent ductus arteriosus: current treatment options. Arch Dis Child Fetal Neonatal Ed. (2014) 99:F431–6. doi: 10.1136/archdischild-2014-306176
- Sathanandam S, Balduf K, Chilakala S, Washington J, Allen K, Knott-Craig C, et al. Role of transcatheter patent ductus arteriosus closure in extremely low birth weight infants. *Catheter Cardiovasc Interv.* (2019) 93:89– 96. doi: 10.1002/ccd.27808
- van Laere D, van Overmeire B, Gupta S, El Khuffash A, Savoia M, McNamara PJ, et al. Application of NPE in the assessment of a patent ductus arteriosus. *Pediatric Res.* (2018) 84:S46–56. doi: 10.1038/s41390-018-0077-x
- Hebert A, Lavoice PM, Giesinger RE, Ting JY, Finan E, Singh Y, et al. Evolution of training guidelines for echocardiography performed by the neonatologist: toward hemodynamic consultation. *J Am Soc Echocardiogr.* (2019) 32:785–90. doi: 10.1016/j.echo.2019.02.002
- Singh Y, Katheria A, Tissot C. Functional echocardiography in the neonatal intensive care unit. *Indian Pediatr.* (2018) 14:417–25. doi.org/10.1007/s13312-018-1286-4 doi: 10.1007/s13312-018-1286-4
- Rolland A, Shankar-Aguilera S, Diomandé D, Zupan-Simunek V, BoileauP, et al. Natural evolution of patent ductus arteriosus in the extremely preterm infant. Arch Dis Child Fetal Neonatal Ed. (2015) 100:F55–8. doi: 10.1136/archdischild-2014-306339
- McNamara PJ, Sehgal A. Towards rational management of the patent ductus arteriosus: the need for disease staging. *Arch Dis Child Fetal Neonatal Ed.* (2007) 92:F424–7. doi: 10.1136/adc.2007.118117
- Singh Y. Evaluation of a child with suspected congenital heart disease. *Paediatr Child Health.* (2018) 28:556–61. doi: 10.1016/j.paed.2018.10.002
- El-Khuffash A, James AT, Corcoran JD, Dicker P, Franklin O, Elsayed YN, et al. A patent ductus arteriosus severity score predicts chronic lung disease or death before discharge. *J Pediatr.* (2015) 167:1354–61. doi: 10.1016/j.jpeds.2015.09.028
- Tschuppert S, Doell C, Arlettaz-Mieth R, Baenziger O, Rousson V, Balmer C, et al. The effect of ductal diameter on surgical and medical closure of patent ductus arteriosus in preterm neonates: size matters. *J Thorac Cardiovasc Surg.* (2008) 135:78–82. doi: 10.1016/j.jtcvs.2007.07.027
- Singh Y, Tissot C. Echocardiographic evaluation of transitional circulation for the neonatologists. TINEC research article. *Front Pediatr.* (2018) 6:79. doi: 10.3389/fped.2018.00140
- Condo M, Evans N, Bellu R, Kluckow M. Echocardiographic assessment of ductal significance: retrospective comparison of two methods. *Arch Dis Child Fetal Neonatal Ed.* (2012) 97:F35–8. doi: 10.1136/adc.2010.207233

- Smith A, Maguire M, Livingstone V, Dempsey EM. Peak systolic to end diastolic flow velocity ratio is associated with ductal patency in infants below 32 weeks of gestation. Arch Dis Child Fetal Neonatal Ed. (2015) 100:F132–6. doi: 10.1136/archdischild-2014-306439
- El Hajjar M, Vaksmann G, Rakza T, Kongolo G, Storme L. Severity of the ductal shunt: a comparison of different markers. *Arch Dis Child Fetal Neonatal Ed.* (2005) 90:F419–22. doi: 10.1136/adc.2003.027698
- Iyer P, Evans N. Re-evaluation of the left atrial to aortic root ratio as a marker of patent ductus arteriosus. Arch Dis Child Fetal Neonatal Ed. (1994) 70:F112–7. doi: 10.1136/fn.70.2.F112
- Abushaban L, Vel MT, Rathinasamy J, Sharma P. Normal reference ranges for left ventricular dimensions in preterm infants. *Ann Pediatr Cardiol.* (2014) 7:180–6. doi: 10.4103/0974-2069.140832
- Kheiwa A, Ross RD, Kobayashi D. Reversal of severe mitral regurgitation by device closure of a large patent ductus arteriosus in a premature infant. *Cardiol Young*. (2017) 27:189–92. doi: 10.1017/S1047951116000998
- Walther FJ, Kim DH, Ebrahimi M, Siassi B. Pulsed Doppler measurement of left ventricular output as early predictor of symptomatic patent ductus arteriosus in very preterm infants. *Biol Neonate*. (1989) 56:121–8. doi: 10.1159/000243112
- Lee A, Liestøl K, Nestaas E, Brunvand L, Lindemann R, Fugelseth D. Superior vena cava flow: feasibility and reliability of the off-line analyses. *Arch Dis Child Fetal Neonatal Ed.* (2010) 95:F121–5. doi: 10.1136/adc.2009.176883
- Singh Y. Hemodynamic evaluation on echocardiography in neonates and children. TINEC research paper. TINEC research paper. *Front Pediatr.* (2017) 5:201. doi: 10.3389/fped.2017.00201
- 31. Mertens L, Seri I, Marek J, Arlettaz R, Barker P, McNamara P, et al. Targeted neonatal echocardiography in the neonatal intensive care unit: practice guidelines and recommendations for training. Writing Group of the American Society of Echocardiography (ASE) in collaboration with the European Association of Echocardiography (EAE) and the Association for European Pediatric Cardiologists (AEPC). J Am Soc Echocardiogr. (2011) 24:1057–78. doi: 10.1016/j.echo.2011.07.014
- Broadhouse KM, Price AN, Durighel G, Cox DJ, Finnemore AE, Edwards AD, et al. Assessment of PDA shunt and systemic blood flow in newborns using cardiac MRI. NMR Biomed. (2013) 26:1135–41. doi: 10.1002/nbm.2927
- 33. Ecury-Goossen GM, Raets MMA, Camfferman FA, Vos RHJ, van Rosmalen J, Reiss IRM, et al. Resistive indices of cerebral arteries in very preterm infants: values throughout stay in the neonatal intensive care unit and impact of patent ductus arteriosus. *Pediatr Radiol.* (2016) 46:1291–300. doi: 10.1007/s00247-016-3615-x
- Shepherd JL, Noori S. What is a hemodynamically significant PDA in preterm infants? *Congen Heart Dis.* (2019) 14:21–6. doi: 10.1111/chd.12727
- Semberova J, Sirc J, Miletin J, Kucera J, Berka I, Sebkova S, et al. Spontaneous closure of patent ductus arteriosus in infants ≤1500 g. *Pediatrics*. (2017) 140:e20164258. doi: 10.1542/peds.2016-4258
- Herrman K, Bose C, Lewis K, Laughon M. Spontaneous closure of the patent ductus arteriosus in very low birth weight infants following discharge from the neonatal unit. *Arch Dis Child Fetal Neonatal Ed.* (2009) 94:F48–50. doi: 10.1136/adc.2007.129270
- Noori S. Patent ductus arteriosus in the preterm infant: to treat or not to treat? J Perinatol. (2010) 30:S31–7. doi: 10.1038/jp.2010.97
- Abdel-Hady H, Nasef N, Shabaan AE, Nour I. Patent ductus arteriosus in preterm infants: do we have the right answers? *Biomed Res Int.* (2013) 2013:676192. doi: 10.1155/2013/676192
- Schmidt B, Davis P, Moddemann D, Ohlsson A, Roberts RS, Saigal S, et al. Long-term effects of indomethacin prophylaxis in extremely low birth-weight infants. N Engl J Med. (2001) 344:1966–72. doi: 10.1056/NEJM200106283442602
- Kluckow M, Jeffery M, Gill A, Evans N. A randomised placebo-controlled trial of early treatment of the patent ductus arteriosus. *Arch Dis Child Fetal Neonatal Ed.* (2014) 99:F99–104. doi: 10.1136/archdischild-2013-304695
- Mosalli R, Alfaleh K. Prophylactic surgical ligation of patent ductus arteriosus for prevention of mortality and morbidity in extremely low birth weight infants. *Cochrane Database Syst Rev.* (2008) 1:CD006181. doi: 10.1002/14651858.CD006181.pub2

- Malviya MN, Ohlsson A, Shah SS. Surgical versus medical treatment with cyclooxygenase inhibitors for symptomatic patent ductus arteriosus in preterm infants. *Cochrane Database Syst Rev.* (2013) 3:CD003951. doi: 10.1002/14651858.CD003951.pub3
- Ohlsson A, Walia R, Shah SS. Ibuprofen for the treatment of patent ductus arteriosus in preterm or low birth weight (or both) infants. *Cochrane Database Syst Rev.* (2015) 2:CD003481. doi: 10.1002/14651858.CD003481.pub6
- Zonnenberg I, de Waal K. The definition of a haemodynamic significant duct in randomized controlled trials: a systematic literature review. *Acta Paediatr.* (2012) 101:247–51. doi: 10.1111/j.1651-2227.2011. 02468.x
- 45. Sathanandam S, Whiting S, Cunningham J, Zurakowski D, Apalodimas L, Waller BR, et al. Practice variation in the management of patent ductus arteriosus in extremely low birth weight infants in the United States: survey results among cardiologists and neonatologists. *Congenit Heart Dis.* (2019) 14:6–14. doi: 10.1111/chd.12729
- Dani C, Bertini G, Pezzati M, Poggi C, Guerrini P, Martano C, et al. Prophylactic ibuprofen for the prevention of intraventricular hemorrhage among preterm infants: a multicenter, randomized study. *Pediatrics*. (2005) 115:1529–35. doi: 10.1542/peds.2004-1178

- Backes CH, Cheetham SL, Deyo GM, Leopold S, Ball MK, Smith CV, et al. Percutaneous patent ductus arteriosus (PDA) closure in very preterm infants: feasibility and complications. *J Am Heart Assoc.* (2016) 5:e002923. doi: 10.1161/JAHA.115.002923
- Regan W, Benbrik N, Sharma SR, Auriau J, Bouvaist H, Bautista-Rodriguez C, et al. Improved ventilation in premature babies after transcatheter versus surgical closure of patent ductus arteriosus. *Int J Cardiol.* (2020) 311:22–7. doi: 10.1016/j.ijcard.2020.03.040

**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Copyright © 2020 Singh, Fraisse, Erdeve and Atasay. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.