



## Factors Associated With Neural Tube Defects Among Newborns Delivered at Debre Berhan Specialized Hospital, North Eastern Ethiopia, 2021. Case-Control Study

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Mulu GB, Atinafu BT, Tarekegn FN, Adane TD, Tadese M, Wubetu AD and Kebede WM (2022) Factors Associated With Neural Tube Defects Among Newborns Delivered at Debre Berhan Specialized Hospital, North Eastern Ethiopia, 2021. Case-Control Study. Front. Pediatr. 9:795637. doi: 10.3389/fped.2021.795637 **Background:** Neural tube defects are severe congenital malformations secondary to an abnormal closure of the neural tube between third and fourth weeks of gestational ages. Neural tube defects affect birth outcomes worldwide, with an occurrence of 18.6 per 10,000 live births. In addition, neural tube defects are associated with considerable mortality, morbidity, disability, and socio-economical cost.

**Objective:** To identify factors associated with neural tube defects among newborns delivered at Debre Berhan Comprehensive Specialized Hospital, 2021.

**Methods:** Facility-based case-control study design was conducted among 381 (127 cases and 254 controls) newborns delivered from June 2019 to June 2021 at Debre Berhan Specialized Hospital. Consecutive and systematic random sampling techniques were used to select cases and controls, respectively. Data were collected using semi-structured checklists. Finally, data were entered using Epidata version 4.2.1 and analyzed using SPSS version 25. In the bivariable logistic regression model, factors with a p < 0.20 were entered into multivariable logistic regressions. Statistical significance was declared at a p < 0.05.

**Result:** In this study, 381 newborns (127 cases and 254 controls) participated with a response rate of 100%. In the logistic regression model, mothers who took medication during pregnancy [AOR 1.83 (95% Cl 1.08–3.08)], mothers who did not take a balanced diet during pregnancy [AOR 13.46 (95% Cl 7.83–23.13)], and mothers who did not take folic acid before and during the first trimester of pregnancy [AOR 1.71 (95% Cl 1.01–2.94)] were significantly associated with neural tube defect.

**Conclusion and Recommendation:** Mothers who took medication during pregnancy, mothers who did not take balanced diets during pregnancy, and mothers who did not take folic acid during pregnancy were the significant factors of neural tube defects.

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Health care professionals should focus on maternal safe drug prescription, maternal folate intake, and a balanced diet before and during pregnancy.

#### Keywords: neural tube defect, newborn, associated factors, Debre Berhan, Ethiopia

### INTRODUCTION

Neural tube defects (NTDs), classified as a common group of central nervous system anomalies, comprise a major public health problem (1). NTDs bring about structural defects in the spinal cord, brain, and spine of a developing fetus (2). The etiology of neural tube defects is generally believed to be multifactorial. Genetics and environmental factors have been implicated and shown to be important in their occurrence and recurrence (1).

The neural tube closure usually occurs between the third and fourth weeks of intrauterine life. NTDs are the second most common class of malformations after cardiac anomalies (3). Spinal bifida, encephalocele, and anencephaly are major subgroups of these congenital disabilities (4). Early screening programs are beneficial to decrease mortality and morbidity; furthermore, it allows the families to make informed reproductive choices and design appropriate prenatal care and delivery (5). As a result, in the last previous three decades, the prevalence of NTD has declined in developed countries (5–7).

The incidence of NTDs in 18 low- and middle-income countries of six WHO regions based on live births was 1.67 per 1,000 births for total NTD incidence, 1.13 per 1,000 births for spina bifda, 0.25 per 1,000 for anencephaly, and 0.15 per 1,000 for encephalocele (8). The global estimation indicates that 270,000 neonatal deaths were related to congenital anomalies, while NTDs are one of the most severe and predominant defects as the 2010 WHO report revealed (2, 7). In addition, WHO 2015 report nearly 300,000 babies are born each year with NTDs, resulting in 88,000 newborn deaths and 8.6 million DALYs (3).

The death risks and the severity of the lesion are determined by factors such as the availability of medical and surgical resources (9). NTDs could result in substantial death, morbidity, disability, and other socioeconomic costs (10–12). Different studies conducted in Asia and Africa showed the highest proportion of NTD-associated stillbirths, accounting for 85% of all NTD-related stillbirths occurring worldwide. Furthermore, of the approximated NTD affected live births globally in 2015, about 80% were estimated to die before reaching 5 years (2).

The other retrospective study done in Black Lion tertiary care hospital indicates the most common defect was seen in myelomeningocele (64.4%), followed by meningocele (18.3%) and encephalocele (13.0%). In addition, associated anomalies

like clubfoot, undescended testis, different types of hernias, and hydroceles were noted in 28.7% of the patients (13).

Different studies revealed that routine *in utero* early diagnosis and termination of affected pregnancies decrease the prevalence of NTDs. Furthermore, advancement in folic acid provision widely reduces the prevalence of NTDs (7, 14). Globally, NTDs studies identified different factors including low socioeconomic status, maternal exposure to certain environmental factors (i.e., pesticides and chemicals), smoking habit during pregnancy, biological factors, pregnancy in late age, low folic acid supplementation before or during pregnancy, sex of the neonate, and no history of antenatal care (6, 7, 11, 15, 16). A study conducted in Addis Ababa indicates maternal fever, family history of NTDs, drinking coffee, and maternal age <19 and 25–29 were associated with the risk of NTD.

Factors associated with NTD in Ethiopia are not wellinvestigated, and the country has no national preventive strategies. Some studies recommend case-control and prospective follow-up studies to clearly understand determinants of neural tube defects (17, 18). Thus, the current study aimed to identify the factors associated with NTDs among newborns delivered in Debre Berhan's Comprehensive Specialized Hospital.

#### METHODS AND MATERIALS

#### Study Area, Period, and Setting

The study was conducted from July 1, 2021 to August 15, 2021, among newborns delivered at Debre Berhan Specialized Hospital (DBSH). Debre Berhan was one of the earliest capital cities of Ethiopia and the Kingdom of Shoa. This town has an estimated population size of 74,049: 17,221 households; 40,565 were female, 33,483 were male. There are now 10,026 children under the age of 5 years in the town. DBSH is a 200-bed facility with a catchment population of nearly 3 million people (19). More than 350 healthcare providers are employed in the hospital: 40 physicians, 200 nurses, 26 midwives, seven anesthetists, 31 laboratory technicians, two physiotherapists, four dentists, six radiographers, four optometrists, five psychiatrists, and 36 pharmacists (20).

#### **Study Design**

The institutional-based, un-matched case-control study design was conducted among newborns delivered in the Debre Berhan Comprehensive Specialized Hospital, Ethiopia 2021.

#### Population

By reviewing maternal medical records, the study population consisted of all newborns delivered at Debre Berhan Comprehensive Specialized Hospital from June 1, 2019 to June 1, 2021. According to the ICD-10 criteria, NTDs cases include anencephaly, spinal bifida, myelomeningocele, and

Abbreviations: AEDs, Anti-epileptic drugs; ANC, Ante Natal Care; APH, Anti PartumHemorrhage; BD, Birth Defect; CS, Cesarean Section; DALYS, Disability-Adjusted Life Years; EDHS, Ethiopian Demographic and Health Survey; FHB, Fetal Heart Beat; END, Early Neonatal Death; GA, Gestational Age; IHRERC, Institutional Health Research Ethics Review Committee; LBs, Live Births; NICU, Neonatal Intensive Care Unit; NTDs, Neural Tube Defect; UK, United Kingdom; WHO, World Health Organization; FA, Folic Acid.

encephalocele occurring either separately or in combination with other congenital malformations (21), whereas the controls are newborn babies without NTDs (22).

#### **Eligibility Criteria**

The study included all mothers who delivered in Debre Berhan Comprehensive Specialized Hospital with complete records of patient's chart, whereas incomplete medical records were excluded.

# Sample Size Calculation and Sampling Technique

The sample size was determined using open Epi version 7.1 using the double population proportion difference formula by considering maternal age (controls exposed 46.1% with AOR 1.96) (6) as independent determinant variables, which gives the maximum sample size compared to other predictor variables. Considering the assumptions (95% CI, 80% power, and 1:2 case to control ratio), an estimated sample size was 345. After adding a 10% non-response rate, the final sample size was 381 (127 cases and 254 controls).

#### **Sampling Procedure**

Based on the pre-study maternal medical records, newborns delivered from June 1, 2019 to June 1, 2021 in Debre Berhan Specialized Hospital were 3,600. From this, 1,400 maternal medical records were incomplete medical records and were dropped out. From the remaining 2,200 maternal medical records, 150 were cases, and 2,050 were controls. Based on the case definition, NTDs include anencephaly, spinal bifida, myelomeningocele, and encephalocele, occurring either separately or in combination with other congenital malformations. The controls are newborn babies born without NTDs in the same hospital from which cases were drawn. Cases are selected by consecutive sampling, whereas two consecutive controls are sampled systematically every eight intervals (2050/254 = 8) from which cases were drawn.

#### Variables

**Dependent Variables** 

Neural tube defect (Yes/No).

#### **Independent Variables**

Socio-demographic factors: age, sex of the fetus, level of education, income, residence.

Maternal and neonatal: body mass index, comorbid illness, planned pregnancy, ANC follow-up, and previous history of NTD.

Medication-related factors: drug use, folic acid or multivitamin supplement.

Environmental factor: chemical exposure, radiation/heat exposure.

#### **Operational Definitions and Key Terms**

Neural tube defects are structural defects of the central nervous system that affect the brain, spine, and spinal column throughout embryonic development. Myelomeningocele is a state where the spinal cord and the tissues covering it protrude out of an opening in the back.

Encephalocele is a type of NTD that causes a sac-like protrusion of the brain and its surrounding membranes through an opening in the skull.

Drugs in this study means both prescribed medications and over the counter.

Periconceptional folic acid supplementation: standard recommendation of folic acid (400  $\mu$ g/day) for all women 1 month before conception until 12 weeks of gestation should take folic acid supplements (23).

Periconceptional period: a time 1 month before conception until 12 weeks of gestation (23).

Chemical exposure: maternal chemical exposure to aromatic solvents and chlorinated aliphatic solvents (menthanes, ethane, and ethenes) during early pregnancy (24).

Mothers who take enough balanced diet during pregnancy was assessed as intake of at least two additional meals per day during the pregnancy (25).

#### **Data Collection Tools and Procedures**

Trained midwives and nurses collected data from maternal medical records using a checklist. The structured checklist was adapted from a similar study (26–28). The checklist was designed to obtain information on factors associated with neural tube defects for socio-demographic, maternal, and other factors.

#### **Data Quality Control**

To maintain data quality obtained from the maternal medical records, checklists were used. The documentation of information in the checklists was done by midwives and nurses working at the delivery wards. The checklists were primarily prepared in English and translated to Amharic and back to English to check for language consistency by an independent academic translator. Then, experts in related fields evaluated the checklists. The data collection instruments have been pre-tested on 5% of the Mehal Meda General Hospital sample to check language clarity, appropriateness of data collection tools, and estimated time required. Based on the result, necessary amendments were considered. In addition, training has been given regarding the data collection tool and data collection process for both data collectors and supervisors. During the data collection time, close supervision was carried out by supervisors and investigators to ensure data quality. Lastly, data were checked by the supervisor and investigator for completeness and consistency. A random selection of questionnaires has done consistently.

## **Data Processing and Analysis**

Before beginning analysis, data were cleaned, edited, and coded. Any errors identified at this point were corrected after reviewing the original data using the code numbers. Then, data were entered using Epi-data version 4.2.1 and analyzed by using SPSS 25 statistical software. Frequency distribution and summary statistics such as mean and standard deviation have been calculated for both cases and the controls group. The regression model assumption was also checked to identify and exclude variables that cause poor fit in the model. Bivariable

	Controls ( $n = 254$ )		Cases (n = 127)		
Variables	N	(%)	N	(%)	
Maternal age					
18–26	97	38.2	45	35.	
27–35	120	47.2	62	49.2	
≥36	37	14.6	20	15.75	
Marital status					
Unmarried	34	13.4	5	3.93	
Married	210	82.7	117	92.12	
Divorced	10	3.9	5	3.94	
Residence					
Rural	90	35.4	45	35.4	
Urban	164	64.6	82	64.6	
Sex of fetus					
Male	164	64.6	82	64.5	
Female	90	35.4	45	35.5	

**TABLE 1** | Frequency distribution of socio-demographic characteristics among case and control groups in Debre Berhan Specialized Hospital, 2021.

regression models were fitted for each explanatory variable. Factors with a *p*-value less than or equal to 0.20 in the bivariable analysis were fitted to the final multivariable analysis model to control confounders and identify the independent effect of different factors for the occurrence of NTDs. Multicollinearity was checked and fitted by using tolerance and variance inflation factor. Furthermore, in the multivariable logistic regression models, the goodness of fit Hosmer Lemeshow was checked and fitted for the data with the *p*-value of 0.101. Factors having p < 0.05 in the multivariable logistic regression model were considered statistically significant. In the end, results were presented in the form of graphs and tables.

## RESULTS

### Socio-Demographic Characteristics of Mothers and Newborns

In this study, a total of 381 newborns (127 cases and 254 controls) were included, which made the response rate 100%. Nearly half of the participants were mothers aged 27–35 in both cases and controls. In addition, half of the newborns were equally females and males in both cases and the control group (**Table 1**).

## **Maternal and Obstetric Factors**

More than one-third of ANC follow-up visits (35.4%) were recorded among cases and controls group, whereas nearly two-thirds (64.6%) of the cases and controls group have no ANC follow-up visits (**Table 2**).

#### **Medication-Related Factors**

Regarding supplements, nearly one-third of the case group mothers (30.7%) used folic acid (FA) before and during the first trimester of pregnancy, whereas 44.5% control group mothers

TABLE 2   Frequency distribution of maternal and obstetric factors among case	
and control groups in Debre Berhan Specialized Hospital, 2021.	

0		,		
		Controls (N = 254)		ises = 127)
Variables	N	%	N	%
ANC follow-up				
Yes	90	35.4	45	35.4
No	164	64.6	82	64.6
Mother comorbid illness				
Yes	19	7.5	18	14.1
No	235	92.5	109	85.9
History of abortion				
Yes	120	47.2	60	47.2
No	134	52.8	67	52.8
History of stillbirth				
Yes	90	35.4	45	35.4
No	164	64.6	82	64.6
Status of the current pregnancy				
Planned	104	40.9	45	35.4
Unplanned	150	59.1	82	64.6
Number of gestation				
Singleton	224	88.2	112	88.2
Multiple	30	11.8	15	11.8
Gestational age				
<37	30	11.8	15	11.8
37–42	224	88.2	112	88.2
Mode of delivery				
SVD	194	76.4	97	76.4
By vacuum	60	23.6	30	23.6
Mothers who take enough balance	ed diet			
Yes	180	70.9	37	29.1
No	74	29.1	90	70.9

NB, nota bene; ANC, antenatal care; SVD, spontaneous vaginal delivery.

did not use FA before and during the first trimester of pregnancy (**Table 3**).

## **Types of Neural Tube Defect**

In this study, three-fourth of the cases, 95 (75%), were with encephalin; nearly half of the cases, 61 (48%), were with spina bifida; and the remaining, 5 (4%), were with anencephaly.

## **Factors Associated With NTD**

Those factors like mothers who took medication during pregnancy, mothers who did not take a balanced diet during pregnancy, mothers who did not take FA before and during pregnancy, comorbid illness during pregnancy, past medication history, balanced diet history, history of stillbirth, folic acid supplements, history of abortion, the status of the current pregnancy, sex of the fetus, maternal ANC follow-up, and residence had a p < 0.25 in bivariable logistic regression analysis. Therefore, those factors were entered into a multivariable logistic regression to adjust confounding.

TABLE 3   Frequency distribution of medication-related factors among case and
control groups in Debre Berhan Specialized Hospital, 2021.

Variables	Contro	ols (n = 254)	Cases (n = 127)		
	N	(%)	N	(%)	
Folic acid supplement					
Yes	113	44.5	39	30.7	
No	141	55.5	88	69.3	
Past medication history	/				
Yes	100	39.4	94	74	
No	154	60.6	33	26	

Independent factors having a statistically significant association with neural tube defect in multivariable logistic regressions were mothers taking medication during pregnancy, mothers who did not take FA during pregnancy, and mothers who did not take a balanced diet.

In this study, neural tube defect was associated with mothers who took medication during pregnancy. Mothers who took medication during pregnancy were two times more likely to give birth NTDs than mothers who did not take medication during pregnancy [AOR 1.83 (95% CI 1.08–3.08), P = 0.02].

Furthermore, mothers who did not take a balanced diet during pregnancy were 13 times more likely to deliver newborns with NTDs than their counterparts [AOR 13.46 (95% CI 7.83–23.13), P = 0.001]. Likewise, the odds of giving birth NTD was nearly two times more likely among mothers who did not take folic acid during pregnancy than mothers who took FA during pregnancy [AOR 1.71 (95% CI 1.01–2.94), P = 0.04] (**Table 4**).

#### DISCUSSION

Neural tube defects remain of public health importance in the cause of morbidity and mortality among newborns and infants. Therefore, this study was conducted on factors associated with neural tube defects among newborns delivered at Debre Berhan Comprehensive Specialized Hospital.

Factors that have a significant association with NTD were mothers who took medication during pregnancy, mothers who did not take FA before and during the first 3 months of pregnancy, and mothers who did not take balanced diets during pregnancy.

In this study, mothers who took medication during pregnancy had nearly two times more likely to have a newborn with a neural tube defect than their counterparts [AOR 1.83 (95% CI 1.08– 3.08)]. This finding is consistent with studies conducted in Addis Ababa and the Amhara region (29) and the United States of America (13). This might be because private drug vendors are selling most drugs and shops with and without prescriptions. As a result, mothers are more likely to use medications/overthe-counter medicines during their early pregnancy, resulting in neural tube defects (29–35). **TABLE 4** | Bivariable and multivariable results for the factors associated withNTDs among newborns in Debre Berhan Specialized Hospital, 2021.

Variables	Case (N = 127) N (%)	Control (N = 254) N (%)	COR (95% CI)	AOR (95% CI)
Comorbid illness during pregnancy				
Yes	18 (14.1)	19 (7.5)	2.04 (1.03–3.4)	1.68 (0.313–3.4)
No Past medication history	109 (85.9)	235 (92.5)	1	1
Yes	94 (74)	100 (39.4)	4.4 (1.95–6.67)	1.83 (1.08–3.08)
No <b>A balanced diet</b> during	33 (26)	154 (60.6)	1	
pregnancy	07 (00 4)	100 (70 0)		
Yes No	37 (29.1) 90 (70.9)	180 (70.9) 74 (29.1)	1 5.91 (2–8.6)	13.46 (7.83 23.13)**
History of stillbirth				20.10)
Yes	45 (34.5)	90 (35.4)	1 (0.63–1.4)	1.30 (0.96–2.4)
No <b>Folic acid</b>	82 (65.5)	164 (64.6)	1	1
supplements				
Yes No	39 (30.7) 88 (69.3)	113 (44.5) 141 (55.5)	1 1.8	1 1.71
	00 (09.0)	141 (00.0)	(1.15–2.83)	(1.01–2.94)
History of abortion				
Yes	60 (47.2)	120 (47.2)	1 (0.3–2.04)	1.07 (0.99–1.3)
No	67 (52.8)	134 (52.8)	1	1
Status of the current pregnancy				
Planed	45 (35.4)	104 (40.9)	1	1
Unplaned	82 (64.6)	150 (59.1)	1.26 (0.96–2.4)	0.924 (0.45–1.89
Sex of fetus				
Male	82 (64.6)	164 (64.6)	1	1
	45 (35.4)	90 (35.4)	1 (0.33–2.2)	1.06 (0.42–1.67
Maternal ANC follow-up				
Yes	45 (35.4)	90 (35.4)	1	1
No	82 (64.6)	164 (64.6)	1 (0.47–1.59)	2.05 (1.45–3.3)
Residence				
Rural	45 (35.4)	90 (35.4)	1.001 (0.64–1.43)	1.3 (0.136–2.6
Urban	82 (64.6)	164 (64.6)	1	1

\*Statistically significant with p < 0.05. \*\*Statistically significant with p < 0.01. \*\*\*Statistically significant with p < 0.001.

Moreover, mothers who did not take FA supplementation before and during first-trimester pregnancy were nearly two times more likely to deliver newborns with NTDs than mothers who took folic acid before and during pregnancy [AOR 1.71 (95% CI 1.01–2.94)]. This result is similar to a study conducted in Northwestern Ethiopia (36), Addis Ababa (7), Addis Ababa and Amhara region (29), Bale zone (37), and England, Wales (9). This may be because folic acid plays a vital role in synthesizing nucleic acid, lipids, and proteins required for cell division. In addition, iron folate/folic acid plays a role in amino acid metabolisms needed for DNA and RNA synthesis and plays a vital role as an antioxidant agent. Thus, it should be available to all women before and during pregnancy (29).

This study also revealed that NTDs were also predicted by maternal nutritional intake during pregnancy. Mothers who did not take balanced diets during pregnancy were 13.6 times more likely to deliver newborn babies with NTDs than mothers who took a balanced diet [AOR 13.46 (95% CI 7.83–23.13)]. This result is also equivalent to a study conducted in California (38). This may be due to maternal balanced diets like zinc supplements during pregnancy related to essential fetal growth and development. Those supplements also facilitate gene transcription and are needed for cell division, development, and differentiation (39, 40).

#### **CONCLUSION AND RECOMMENDATION**

Mothers who took medication during pregnancy, mothers who did not take a balanced diet during pregnancy, and mothers who did not take FA before and during the first trimester of pregnancy were significant predictors of neural tube defects. Therefore, health care professionals should focus on maternal safe drug prescription, maternal folate intake, and a balanced diet before and during pregnancy.

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#### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Institutional Review Board (5) of the College of Medicine and Health Sciences, Debre Berhan University, with IRB Protocol number 059/21/CHS. Further, a permission letter was taken from Debre Berhan comprehensive specialized Hospital administration to utilize the birth records and submitted to the documentation center. The study was conducted based on the STROBE guideline for a case-control study. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

#### **AUTHOR CONTRIBUTIONS**

GM, BA, FT, and TA conceived the study, performed the data analysis, contributed to data interpretation and drafting, and critically reviewed the manuscript. MT, AW, and WK designed the study, performed the data analysis, contributed to data interpretation, and critically reviewed the manuscript. In addition, GM, BA, FT, TA, MT, AW, and WK contributed to the performance of data, drafted the manuscript, and critically reviewed the manuscript. All authors read and approved the final manuscript.

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