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EDITED BY

Tomohiro Nabekura,
Aichi Gakuin University, Japan

REVIEWED BY

Songxiao Xu,
University of Chinese Academy of Sciences,
China
Hesong Wang,
Fourth Hospital of Hebei Medical University,
China

*CORRESPONDENCE

Liyan Miao,
✉ miaolysuzhou@163.com
Xiaojun Zhou,
✉ chowxj@126.com

[†]These authors have contributed equally to this work and share first authorship

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Study of genetic polymorphisms and steady-state trough concentrations of imatinib and its active metabolite in predicting efficacy in gastrointestinal stromal tumors

Menghua Zhang^{1†}, Zhiyao Chen^{1†}, Xiaoxue Liu¹, Xiaojun Zhou^{2*} and Liyan Miao^{1,3,4,5*}

¹Department of Pharmacy, The First Affiliated Hospital of Soochow University, Suzhou, Jiangsu, China, ²Department of General Surgery, The First Affiliated Hospital of Soochow University, Suzhou, Jiangsu, China, ³Institute for Interdisciplinary Drug Research and Translational Sciences, Soochow University, Suzhou, Jiangsu, China, ⁴College of Pharmaceutical Sciences, Soochow University, Suzhou, Jiangsu, China, ⁵National Clinical Research Center for Hematologic Diseases, The First Affiliated Hospital of Soochow University, Suzhou, Jiangsu, China

The imatinib (IMA) steady-state trough concentration (C_{min}) plays a critical role in the treatment outcomes of patients with gastrointestinal stromal tumors (GISTs), yet the effective concentration range in the Chinese population remains unclear. Additionally, few studies have investigated the effects of N-desmethyl imatinib (NDI) and genetic polymorphisms in metabolic enzymes and transporters on GIST treatment efficacy. Therefore, the aim of this study was to determine the value of the IMA and total (IMA + NDI) C_{min} for the prediction of treatment outcomes in advanced GIST patients and to assess the influence of genetic polymorphisms on the IMA and total (IMA + NDI) C_{min} and treatment efficacy. Twenty-one IMA-treated patients with advanced GIST were enrolled. An IMA $C_{min} \geq 950$ ng/mL and an IMA + NDI $C_{min} \geq 956$ ng/mL were associated with a reduced PD risk, with area under the receiver operating characteristic curve (AUC) values of 0.944 and 0.967, respectively. Higher IMA and IMA + NDI C_{min} and higher risks of PD were observed in C allele carriers of rs2231137 and A allele carriers of rs2725252 in *ABCG2* and in G allele carriers of rs2631372 in *SLC22A5*. In conclusion, the IMA and IMA + NDI C_{min} can serve as effective indicators of advanced GIST treatment outcomes. Drug efficacy should be monitored in patients with an IMA $C_{min} < 950$ ng/mL or a total (IMA + NDI) $C_{min} < 956$ ng/mL. Furthermore, genetic polymorphism testing is recommended before dosing to appropriately adjust the IMA dose for carriers of the C allele in rs2231137, the A allele in rs2725252 in *ABCG2*, and the G allele in rs2631372 in *SLC22A5*.

KEYWORDS

gastrointestinal stromal tumors, imatinib, N-desmethyl imatinib, efficacy, steady-state trough concentration, genetic polymorphisms

1 Introduction

Imatinib (IMA) is the first-line treatment for unresectable and metastatic gastrointestinal stromal tumors (GISTs) (Poveda et al., 2017) and significantly improves patient outcomes and prolongs survival (Cavnar et al., 2021; Heinrich et al., 2017; Raut et al., 2018; Reichardt, 2018). The steady-state trough concentration (C_{\min}) of the IMA considerably impacts clinical outcomes in patients with advanced GIST (Bouchet et al., 2016). Demetri et al. (2009) suggested that patients with advanced GIST experience a significantly shorter time to disease progression when the C_{\min} of the IMA falls below 1,110 ng/mL. N-desmethyl imatinib (NDI), the active metabolite of IMA, exhibits biological activity similar to that of IMA, with plasma concentrations reaching 20%–25% of that of the parent drug at steady state (Al-Hadiya et al., 2014; Delbaldo et al., 2006; Peng et al., 2005). It is hypothesized that the NDI may also play an important role in influencing the efficacy of GIST treatment. However, the effective concentration range of IMA in the Chinese population has not been reported. Recent studies have focused primarily on the IMA, with fewer studies investigating the impact of total IMA + NDI concentrations on GIST patients. Moreover, studies have shown significant individual variability in the pharmacokinetics of IMA, and genetic polymorphisms in metabolic enzymes and transporters involved in drug absorption, distribution, metabolism, and excretion may play crucial roles in these processes. Therefore, the aims of this study were to determine the value of the IMA and total IMA + NDI C_{\min} for the prediction of treatment outcomes in advanced GIST patients and to investigate how genetic polymorphisms in metabolic enzymes and transporters affect IMA and NDI concentrations and treatment efficacy, with the goal of providing clinical insights for the use of the IMA in the treatment of advanced GIST.

2 Methods

2.1 Patients

GIST outpatients who received imatinib mesylate (Gleevec, Novartis, Switzerland) between July 2020 and March 2021 at the First Affiliated Hospital of Soochow University were selected. Upon enrollment, patients were interviewed in person via a self-designed case registration follow-up form to record their name, sex, age, weight, admission diagnosis, IMA dose, total duration of regular medication use up to enrollment, comorbidities, and any concurrent medications. The inclusion criteria were as follows: (1) age ≥ 18 years; (2) a diagnosis of GIST confirmed by pathological examination (Li et al., 2017); (3) monotherapy with IMA, with normal liver and kidney function before treatment; (4) an IMA treatment duration of ≥ 28 days, with regular medication use as prescribed, no missed doses in the last 28 days, and no medication taken on the day of follow-up examination; (5) adherence to computed tomography (CT) and other examinations during treatment; and (6) willingness to undergo plasma concentration testing and follow-up 28 days after the test. The exclusion criteria were as follows: (1) used IMA in combination with other antitumor drugs or drugs affecting *CYP3A4* metabolic enzymes; (2) had taken IMA for less than 28 days or had missed doses or interrupted treatment in the last 28 days; (3) were pregnant or lactating; and (4)

failed to undergo CT or other required tests during the treatment period. This study was approved by the Ethics Committee of the First Affiliated Hospital of Soochow University. All enrolled patients were informed of the study protocol and provided signed informed consent.

2.2 Determination of plasma IMA and NDI concentrations

For each enrolled patient, 4 mL of peripheral venous blood was collected into K_3 -ethylene diamine tetraacetic acid (EDTA) anticoagulant tubes during follow-up. Blood collection was standardized to occur between 22 and 26 h after the last dose of medication. The concentrations of IMA and NDI in the blood samples were then quantified via ultra-performance liquid chromatography–tandem mass spectrometry (UPLC–MS/MS), as described in our previous publication (Zhang et al., 2022).

2.3 DNA extraction and genotyping

For the extraction of genomic DNA from blood samples, the protocol outlined in the instructions provided with the Blood Genomic DNA Rapid Extraction Kit (Sangon, China) was followed. The concentration of the extracted DNA was subsequently determined with a One Drop™ UV Spectrophotometer (Wuyi Technology, China) to evaluate the quality of the obtained genomic DNA. Polymerase chain reaction (PCR) was carried out via T100™ PCR (Bio-Rad, United States). The forward and reverse primer sequences were list in Supplementary Table S1.

2.4 Evaluation of treatment effects

According to Chinese consensus guidelines for diagnosis and management of gastrointestinal stromal tumor, contrast-enhanced CT scans should be performed at minimum intervals of 3 months for patients with recurrent/metastatic/unresectable GIST (Li et al., 2017). The effectiveness of IMA treatment was evaluated on the basis of the CT results of GIST patients, and the Choi criteria were used for periodic assessment (Choi, 2008): (1) complete response (CR): all lesions disappeared, and no new tumor lesions appeared; (2) partial response (PR): CT revealed lesion shrinkage of $\geq 10\%$ or a 15% reduction in the CT value of the tumor, with no new lesions found; (3) stable disease (SD): the maximum diameter of the lesion did not increase enough to meet the criteria for progressive disease (PD), nor did shrinkage meet the criteria for PR; (4) PD: the sum of the largest diameters of the lesions on CT increased by $\geq 20\%$, the change in density did not meet the criteria for PR, and new nodules appeared or the volume of existing tumor nodules increased.

2.5 Data analysis

Data processing and analysis were conducted via SPSS 26.0 statistical software. Spearman correlation analysis was

TABLE 1 Comparison of clinical characteristics between advanced GIST patients with and without PD (n = 21).

Characteristics	With PD (n = 6)	Without PD (n = 15)	P-value
Sex [number (%)]			0.590
Male	4 (67)	9 (60)	
Female	2 (33)	6 (40)	
Age (years)	53 ± 9	62 ± 11	0.063
BMI (kg/m ²)	21 ± 2	22 ± 3	0.413
Primary tumor site [number (%)]			0.701
Stomach	2 (33)	5 (33)	
Intestinal tract	4 (67)	10 (67)	
Dose [number (%)]			0.550
400 mg/d	5 (83)	11 (73)	
600 mg/d	1 (17)	4 (27)	
C _{min} of IMA (ng/mL)	742 ± 297	1,684 ± 636	<0.001
C _{min} of IMA + NDI (ng/mL)	936 ± 359	2050 ± 655	<0.001
ABCG2 (rs2231137) [number (%)]			0.007
CC	5 (63)	3 (38)	
CT + TT	1 (8)	12 (92)	
ABCG2 (rs2725252) [number (%)]			0.038
CC	1 (9)	10 (91)	
CA + AA	5 (50)	5 (50)	
SLC22A5 (rs2631372) [number (%)]			0.002
GG	5 (71)	2 (29)	
GC + CC	1 (7)	13 (93)	

employed to assess the correlation between different parameters. Comparisons of count data were performed via the χ^2 test. The nonparametric Mann–Whitney U test was used to compare continuous variables. The predictive and warning values of the IMA and total IMA + NDI trough concentrations for the risk of PD in patients with advanced GIST were analyzed via receiver operating characteristic (ROC) curves. A *P* value of <0.05 was considered to indicate statistical significance.

3 Results

3.1 Patient characteristics

A total of 21 advanced patients, including 13 (62%) males and 8 (38%) females, with a median age of 60 years (range, 38–76 years), were enrolled, and the IMA and NDI trough concentration tests were completed. The median body mass index (BMI) was 22 kg/m² (range, 20–25 kg/m²). The primary GIST site was the intestinal tract in 14 (67%) patients and the stomach in 7 (33%) patients. The daily dose of IMA was 400 mg in 16 (85%) patients and 600 mg in 5 (7%) patients. The mean duration of regular medication was 4.9 years (range, 36 days to 18 years). There were six patients with PD, 14 patients with SD, one patient with a PR, and no patients with a CR during treatment. Advanced GIST patients with PD had significantly lower IMA and IMA + NDI C_{min} values than did

those without PD (742 ng/mL vs. 1,684 ng/mL, *P* < 0.001; 936 ng/mL vs. 2050 ng/mL, *P* < 0.001, respectively) (Table 1).

3.2 Effects of genetic polymorphisms on disease status

The genes examined in this study include 18 members of the CYP450-metabolizing enzyme family and transporters, in which SNPs have been reported to be potentially relevant in the *in vivo* processing or efficacy of IMA and in the occurrence of adverse effects (Adeagbo et al., 2016; Angelini et al., 2013a; Angelini et al., 2013b; Bouchet et al., 2016; Delord et al., 2013; Di Paolo et al., 2014; Harivenkatesh et al., 2017; Kassogue et al., 2014; Petain et al., 2008; Qiu et al., 2018; Singh et al., 2012; Skoglund et al., 2014; Verboom et al., 2019; Zheng et al., 2015). These genes were as follows: (1) genes encoding CYP-metabolizing enzymes, including CYP1A2 (rs762551), CYP2B6 (rs3745274), CYP3A4 (rs2242480), and CYP3A5 (rs776746); and (2) genes encoding transporters, including ABCG2 (rs2725252), ABCG2 (rs2231137), ABCG3 (rs2231142), ABCB1 (rs28656907), ABCB1 (rs1128503), ABCB1 (rs1045642), ABCB4 (rs1202283), and ABCC2 (rs2273697) of the ABC family and SLC22A1 (rs628031), SLC22A2 (rs683369), SLC22A5 (rs2631372), SLC22A5 (rs274558), SLC19A1 (rs12659), and SLC19A1 (rs1051266) of the SLC family. As shown in Table 1, carriers

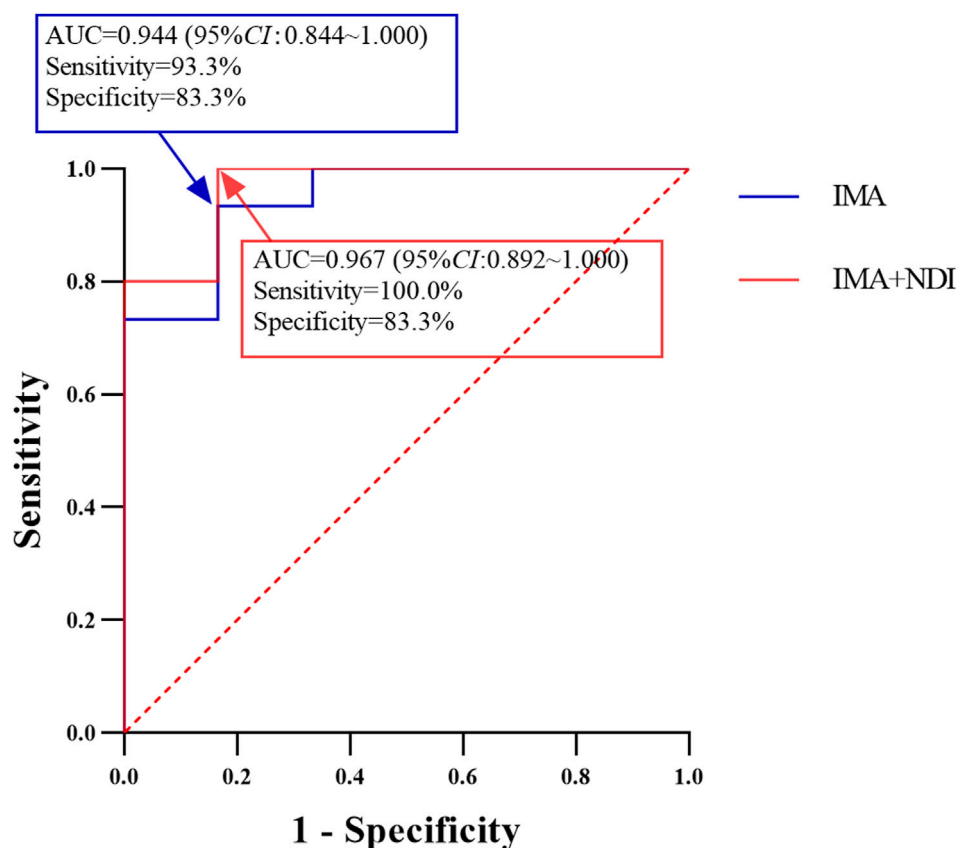


FIGURE 1
Performance of IMA and IMA + NDI C_{min} cutoff values in predicting the development of PD in patients with advanced GIST ($n = 21$).

of the C allele of rs2231137 in *ABCG2*, the A allele of rs2725252 in *ABCG2*, and the G allele of rs2631372 in *SLC22A5* had a greater chance of disease progression (63% vs. 8%, $P = 0.007$; 50% vs. 9%, $P = 0.038$; 71% vs. 7%, $P = 0.002$). For the other 15 selected SNPs, no significant differences were observed between the different disease state groups.

3.3 Value of the IMA and IMA + NDI trough concentrations in predicting PD

ROC curve analysis of the IMA trough concentration revealed an area under the curve of 0.944 (95% confidence interval [CI]: 0.844–1.000) (Figure 1). The cutoff point for predicting the development of PD in advanced GIST patients was 950 ng/mL, with a sensitivity of 93.3% and specificity of 83.3%. Using this cutoff, the 21 GIST patients were divided into two groups: those with IMA trough concentrations ≥ 950 ng/mL had a PD incidence of 6.7% (1/15), whereas those with IMA trough concentrations < 950 ng/mL had a PD incidence of 83.3% (5/6), with a statistically significant difference between the groups ($P < 0.001$).

Similarly, ROC curve analysis of the IMA + NDI trough concentration revealed an area under the curve of 0.967 (95% CI: 0.892–1.000). The cutoff value for predicting the development of PD was 956 ng/mL, with a sensitivity of 100.0% and a specificity of 83.3%. Using this cutoff, the patients were divided into two groups:

those with trough concentrations ≥ 956 ng/mL had a PD incidence of 6.3% (1/16), whereas those with trough concentrations < 956 ng/mL had a PD incidence of 100.0% (5/5), with a statistically significant difference between the groups ($P < 0.001$).

3.4 Impacts of genetic polymorphisms on IMA and IMA + NDI C_{min}

The effects of genetic polymorphisms in the three metabolic enzymes and transporters associated with the outcomes of advanced GIST patients on the IMA and total IMA + NDI C_{min} were further analyzed. To account for variations in IMA dosing, the C_{min} values of IMA and NDI were normalized to the trough concentration corresponding to a single milligram of IMA. As shown in Figure 2, IMA and IMA + NDI C_{min} were significantly greater in C allele carriers of rs2231137 in *ABCG2*, A allele carriers of rs2725252 in *ABCG2*, and G allele carriers of rs2631372 in *SLC22A5*. Although no significant difference in IMA + NDI C_{min} was observed for A allele carriers of rs2725252 in *ABCG2*, a trend toward higher concentrations was noted (IMAs: 2.32 ng/mL vs. 3.60 ng/mL, $P = 0.035$; 2.45 ng/mL vs. 3.71 ng/mL, $P = 0.049$; 2.21 ng/mL vs. 3.56 ng/mL, $P = 0.023$; IMA + NDI: 2.88 ng/mL vs. 4.40 ng/mL, $P = 0.025$; 3.09 ng/mL vs. 4.48 ng/mL, $P = 0.061$; 2.80 ng/mL vs. 4.33 ng/mL, $P = 0.038$).

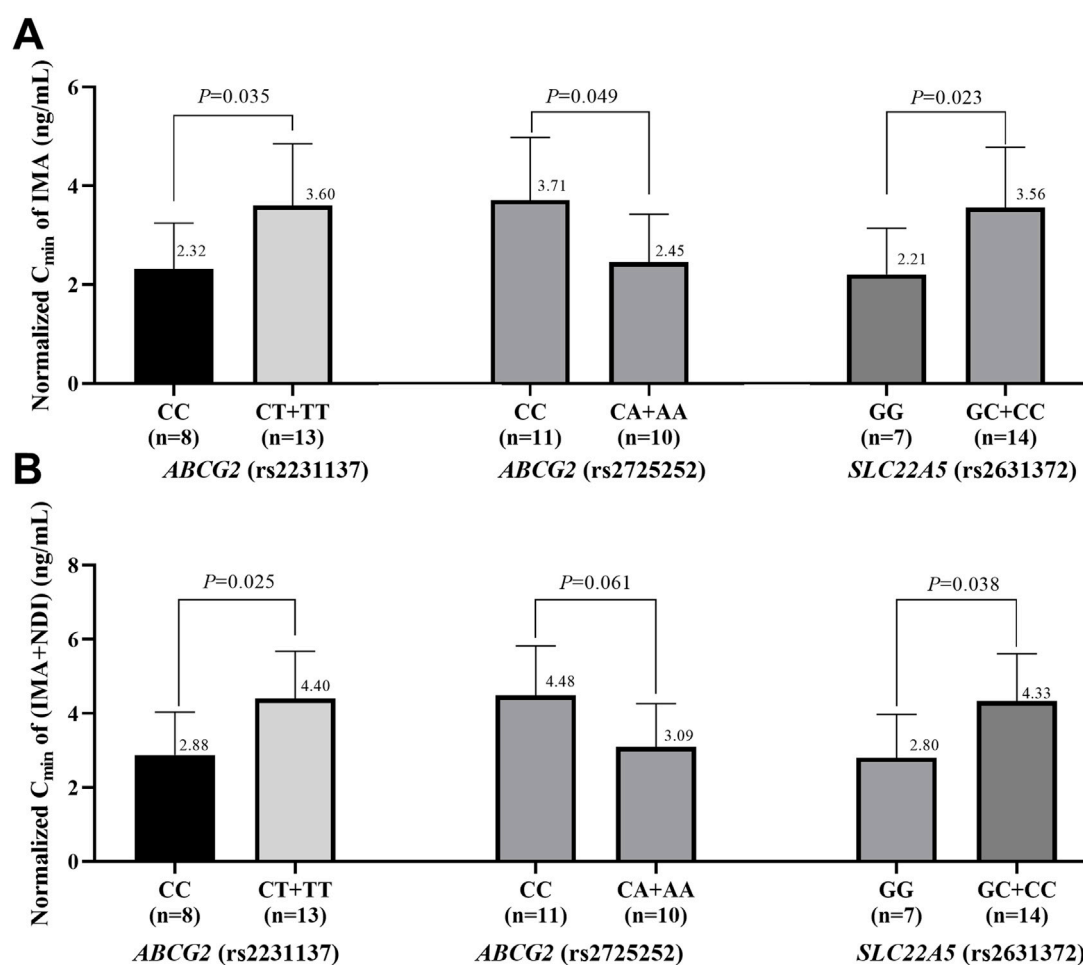


FIGURE 2
Impacts of gene polymorphisms on the C_{min} of IMA (A) and IMA + NDI (B).

4 Discussion

Numerous studies have shown a strong correlation between the C_{min} of the IMA and outcomes in patients with advanced GIST (Bouchet et al., 2016; Demetri et al., 2009; Teranishi et al., 2023). However, no reports have clarified the effective range of the IMA C_{min} in the Chinese population. Moreover, NDI, a metabolite with similar activity to IMA (Al-Hadiya et al., 2014), has rarely been included in safety and efficacy studies. Therefore, investigating the effective concentration ranges of IMA and IMA + NDI in the Chinese population is crucial. Among the 21 advanced GIST patients in this study, the mean IMA and IMA + NDI trough concentrations in the six patients with PD were 742 ± 297 ng/mL and 936 ± 359 ng/mL, respectively, which were significantly lower than those in the non-PD group ($1,684 \pm 636$ ng/mL and 2050 ± 655 ng/mL), indicating the feasibility of using the IMA and total IMA + NDI C_{min} as indicators of efficacy in patients with advanced GIST. The effective ranges of the IMA and total IMA + NDI C_{min} were further analyzed via ROC curves. The results indicated that the risk of PD was significantly reduced in GIST patients with an IMA C_{min} of ≥ 950 ng/mL or a total IMA + NDI C_{min} of ≥ 956 ng/mL ($P < 0.001$; $P < 0.001$). These findings suggest that advanced GIST

patients derive greater clinical benefits when the C_{min} of the IMA is ≥ 950 ng/mL or when the C_{min} of the IMA + NDI is ≥ 956 ng/mL. These thresholds are similar to those reported in a Japanese study, in which maintaining the IMA C_{min} above 917 ng/mL was recommended to increase progression-free survival (PFS) in advanced GIST patients (Teranishi et al., 2023). However, this IMA C_{min} threshold is greater than that reported in a French study, which revealed that an IMA C_{min} greater than 760 ng/mL was associated with prolonged PFS (Bouchet et al., 2016). Furthermore, this IMA C_{min} threshold is lower than the 1,110 ng/mL recommended in a U.S. study (Demetri et al., 2009). These differences suggest that ethnic variation may be an important factor influencing IMA pharmacokinetics.

Substantial intraindividual and interindividual variabilities in IMA blood concentrations have been observed among patients with GIST (Peng et al., 2005). Genes involved in the absorption, distribution, metabolism, and excretion of drugs may significantly influence the pharmacokinetics of IMA. Owing to the presence of polymorphisms in many metabolic enzymes and transporters, we investigated the effects of polymorphisms in 18 IMA-related metabolic enzyme and transporter genes on the efficacy and plasma concentrations of IMA in advanced GIST patients

(Adeagbo et al., 2016; Angelini et al., 2013a; Angelini et al., 2013b; Bouchet et al., 2016; Delord et al., 2013; Di Paolo et al., 2014; Harivenkatesh et al., 2017; Kassogue et al., 2014; Petain et al., 2008; Qiu et al., 2018; Singh et al., 2012; Skoglund et al., 2014; Verboom et al., 2019; Zheng et al., 2015). As a result, carriers of the C allele in rs2231137 in *ABCG2*, the A allele in rs2725252 in *ABCG2*, and the G allele in rs2631372 presented a greater risk of disease progression and a lower C_{min} of both IMA and IMA + NDI. These findings suggest that *ABCG2* (rs2231137), *ABCG2* (rs2725252), and *SLC22A5* (rs2631372) polymorphisms may affect treatment outcomes in advanced GIST patients by modulating IMA and NDI concentrations. Therefore, it is recommended to assess genetic polymorphisms in patients prior to treatment. An appropriate IMA dosage can be determined based on the genetic results, and regular monitoring of IMA concentrations is recommended in advanced GIST patients.

A key limitation of this study is the modest sample size ($n = 21$) recruited from a single institution, which may introduce selection bias and constrain the generalizability of the conclusions. Future multi-center studies with larger cohorts are needed to validate these results.

5 Conclusion

In summary, the IMA and total IMA + NDI C_{min} can be used as effective indicators for assessing treatment efficacy in Chinese advanced GIST patients. Drug efficacy should be closely monitored in patients with an IMA $C_{min} < 950$ ng/mL or a total IMA + NDI $C_{min} < 956$ ng/mL, and the IMA dosing regimen should be adjusted accordingly to ensure optimal clinical outcomes. Furthermore, genetic polymorphism testing is recommended prior to dosing to appropriately adjust the IMA dose for carriers of the C allele in rs2231137 in *ABCG2*, the A allele in rs2725252 in *ABCG2*, and the G allele in rs2631372. The limitation of this study is the small sample size, which may be statistically biased in the results and will be followed up with further sample size expansion studies.

Data availability statement

The original contributions presented in the study are included in the **Supplementary Material**, further inquiries can be directed to the corresponding author. The SNP data presented in this study can be found in online repositories: <https://www.ncbi.nlm.nih.gov/snp/> rs762551, rs3745274, rs2242480, rs776746, rs2725252, rs2231137, rs2231142, rs28656907, rs1128503, rs1045642, rs1202283, rs2273697, rs628031, rs683369, rs2631372, rs274558, rs12659, and rs1051266.

Ethics statement

The studies involving humans were approved by the Ethics Committee of the First Affiliated Hospital of Soochow University. The studies were conducted in accordance with the local legislation

and institutional requirements. The participants provided their written informed consent to participate in this study.

Author contributions

MZ: Conceptualization, Formal Analysis, Methodology, Writing – original draft, Investigation. ZC: Data curation, Formal Analysis, Investigation, Writing – original draft. XL: Formal Analysis, Investigation, Writing – original draft. XZ: Supervision, Validation, Writing – review and editing. LM: Conceptualization, Supervision, Validation, Visualization, Writing – review and editing.

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Conflict of interest

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fphar.2025.1604619/full#supplementary-material>

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