



# Heavy Neutral Leptons and High-Intensity Observables

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New Physics models in which the Standard Model particle content is enlarged via the addition of sterile fermions remain among the most minimal and yet most appealing constructions, particularly since these states are present as building blocks of numerous mechanisms of neutrino mass generation. Should the new sterile states have non-negligible mixings to the active (light) neutrinos, and if they are not excessively heavy, one expects important contributions to numerous high-intensity observables, among them charged lepton flavor violating muon decays and transitions, and lepton electric dipole moments. We briefly review the prospects of these minimal SM extensions to several of the latter observables, considering both simple extensions and complete models of neutrino mass generation. We emphasise the existing synergy between different observables at the Intensity Frontier, which will be crucial in unveiling the new model at work.

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# **1. INTRODUCTION**

Several observational problems fuel the need to extend the Standard Model (SM): among them, the baryon asymmetry of the Universe (BAU), the absence of a dark matter candidate, and neutrino oscillation phenomena (i.e., neutrino masses and mixings). Many well-motivated New Physics (NP) scenarios have been proposed to overcome the observational (and theoretical) caveats of the SM: the beyond the Standard Model (BSM) constructions either rely on extending the particle content, enlarging the symmetry group, or then embedding the SM into larger frameworks. Interestingly, a common ingredient of many of the previously mentioned possibilities is the presence of additional neutral leptons, sterile states (singlets under the SM gauge group) with a mass  $m_{\nu_s}$ , which only interact with the active neutrinos and possibly the Higgs. Such sterile fermions can be simply added to the SM content, as is the case of right-handed (RH) neutrinos in type I seesaw mechanisms of neutrino mass generation [1–5], or emerge in association with extended gauge groups—as occurs in Left-Right (LR) symmetric models [6–8].

Additional sterile fermions have been proposed at very different scales, aiming at addressing very distinct observational problems: very light states, with a mass around the eV, have long been considered to explain the so-called "oscillation anomalies" (reactor, Gallium, and LSND); for a recent update, see [9]. Sterile fermions with masses around the keV are natural warm dark matter candidates. They are subject to stringent constraints, concerning their stability, indirect detection (via X-ray emission), phase space constraints, successful production in the early Universe, and finally, impact for structure formation. Recent reviews of the cosmological appeal of these states can be found in [10, 11]. The MeV regime opens the first window to searches at the high-intensity frontier: several observables are already sensitive

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to sterile fermion masses around the MeV. However, cosmological constraints remain severe, in particular those arising from Big-Bang nucleosynthesis (BBN); the latter are particularly stringent for  $m_{\nu_s} \lesssim 200$  MeV.

Heavy neutral leptons (HNL), with masses ranging from the GeV to the tenths of TeV are among the phenomenologically most exciting extensions of the SM, as they can give rise to numerous phenomena. Sterile fermionic states with a mass between 100 MeV and a few GeV can be produced in muon and tau decays, as well as in meson leptonic and semileptonic decays, giving rise to deviations from SM expectations, or to signatures which would otherwise be forbidden in the SM, such as violation of lepton number, of lepton flavor, or of lepton universality. These processes can be looked for in laboratory and high-intensity experiments. Provided their mass is not much larger than the electroweak (EW) scale, sterile fermions can also be present in the decays of Z and Higgs bosons, typically produced in highenergy colliders, and thus induce new experimental signatures. Finally, heavy sterile states can also be directly produced at colliders ( $m_{\nu_s} \sim$  a few TeV). In all cases, they can contribute to numerous processes as virtual intermediate states. In addition, sterile fermions in the GeV-TeV range also open the door to explaining the BAU via low-scale scenarios of leptogenesis (some relying on resonant mechanisms) without conflict with other cosmological observations, such as BBN, for example.

Depending not only on their mass regime but also on their couplings to the "active" neutrinos, the new neutral fermions can lead to very distinctive phenomenological features, which in turn identify the possible means to explore their presence: additional neutral leptons can be searched for in cosmology and astrophysics, in high energy colliders, or in high-intensity experiments. Concerning the latter, the fermionic singlets can be responsible for contributions to electric and magnetic leptonic dipole moments, and be responsible for numerous rare transitions and decays, including charged lepton flavor violating (cLFV), lepton number violating (LNV), and lepton flavor universality violating (LFUV) observables.

Observables involving muons offer numerous possibilities to look for imprints of the HNL; this is the case of cLFV channels (rare decays and transitions) [12, 13], and contributions to leptonic moments (electric and magnetic) [14]. The advent of very intense beams renders the muon system one of the best laboratories to look for NP states capable of contributions to the above mentioned rare decays and transitions.

The phenomenological appeal of HNL is thus manifest: their non-negligible contributions might either lead to ease some existing tensions between SM predictions and experimental data, or then render these SM extensions testable and even falsifiable. In what follows, we focus on these NP candidates, and discuss the impact that they might have for numerous observables which can be probed at the high-intensity frontier. We will consider two complementary approaches, firstly discussing the phenomenological impact of minimal SM extensions via a number  $n_S$  of heavy sterile states (bottom-up approach, or "3 +  $n_S$  toy-models"), and subsequently consider contributions of the HNL to several observables when the latter are naturally embedded in the framework of complete models of New Physics. This contribution is organized as follows: in section 2 we present the modified leptonic interaction Lagrangian (due to the presence of the heavy neutral leptons), and detail the contributions of the HNL to several observables; we also briefly describe the constraints that these SM extensions must comply with. Section 3 is devoted to discussing the impact of these new sterile fermionic states, first under a model-independent bottomup approach, and then for several well-motivated New Physics models embedding them. Our final comments and discussion are collected in the Conclusions.

# 2. MUON HIGH-INTENSITY OBSERVABLES

As mentioned in the Introduction, the existence of heavy neutral leptons is a well-motivated hypothesis. The particular case of sterile fermions—i.e., SM singlets which only interact with light active neutrinos, other singlet-like states, and/or the Higgs sector—has received increasing attention in recent years, due to the extensive impact they can have, regarding both particle physics and cosmology.

## 2.1. Impact of HNL on Muon Observables

Depending on their masses and mixings with the light (active) neutrinos, sterile fermions have a potential impact on a number of high-intensity observables, in particular those involving the muon sector; high-intensity muon beams may thus offer a unique window to probe and to indirectly test SM extensions via HNL.

In order to address their phenomenological effects, it is convenient to consider a modified SM Lagrangian, which reflects the addition of  $n_S$  sterile neutral fermions that mix with the active neutrinos. In order to simplify this first approach, we further hypothesize that:

- (a) The new states are Majorana fermions;
- (b) The interactions responsible for their mixing with the lefthanded (active) states lead to a generic mass term of the form

$$\mathcal{L}_{\text{mass}} = \frac{1}{2} \nu_L'^T C^{\dagger} M_{\nu_L \nu_s} \nu_L' + \text{H.c.}, \qquad (1)$$

which is written in the "flavor basis" (denoted with an " $\prime$ " superscript); in the above, *C* denotes the charge conjugation matrix<sup>1</sup>. The fields have been assigned as

$$\nu_{L}^{\prime} = \left(\nu_{L}^{\ell}, \nu_{R}^{s\,c}\right)^{T}, \text{ with } \nu_{L}^{\ell} = \left(\nu_{eL}, \nu_{\mu L}, \nu_{\tau L}\right)^{T}, \text{ and}$$
$$\nu_{R}^{s\,c} = \left(\nu_{s_{1}R}^{c}, \dots, \nu_{s_{n}R}^{c}\right)^{T}.$$
(2)

In the above,  $M_{\nu_L\nu_s}$  is a  $(3 + n_S) \times (3 + n_S)$  matrix, in general complex symmetric. The diagonalisation of the latter allows to identify the  $(3 + n_S)$  physical (Majorana) neutrino fields,

$$U_{\nu}^{T} M_{\nu_{L}\nu_{s}} U_{\nu} = \operatorname{diag}(m_{\nu_{1}}, ..., m_{\nu_{3+n_{s}}}), \qquad (3)$$

<sup>&</sup>lt;sup>1</sup>We follow the conventions under which  $C\gamma_{\mu}^{T}C^{-1} = -\gamma_{\mu}$ , with  $C^{T} = -C$ . The fields transform as  $\psi^{c} = C\bar{\psi}^{T}$ , changing chirality under the action of the operator, i.e.,  $\psi_{R}^{c}$  is a left-handed field.

with the corresponding basis transformations,

$$v'_{L} = U_{v} v_{L}$$
, where  $v_{L}^{T} = (v_{1L}, ..., v_{(3+n_{S})L})$ . (4)

In the physical basis, the Lagrangian mass term of Equation (1) can be rewritten as

$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} \sum_{k=1}^{3+n_s} m_k \, \bar{\nu}_k \, \nu_k \,, \quad \text{with} \quad \nu_k = \nu_{kL} + \nu_{kL}^c \qquad (\nu_k = \nu_k^c) \,,$$
(5)

while the SM Lagrangian is modified (in the Feynman-'t Hooft gauge) as follows<sup>2</sup>:

$$\mathcal{L}_{W^{\pm}} = -\frac{g_{w}}{\sqrt{2}} W_{\mu}^{-} \sum_{\alpha=1}^{3} \sum_{j=1}^{3+n_{S}} \mathbf{U}_{\alpha j} \bar{\ell}_{\alpha} \gamma^{\mu} P_{L} \nu_{j} + \text{H.c.}, \qquad (6)$$

$$\mathcal{L}_{Z^0} = -\frac{g_w}{4\cos\theta_w} Z_\mu \sum_{i,j=1}^{3+n_s} \bar{\nu}_i \gamma^\mu \left( P_L \mathbf{C}_{ij} - P_R \mathbf{C}_{ij}^* \right) \nu_j , \qquad (7)$$

$$\mathcal{L}_{H^0} = -\frac{g_w}{2M_W} H \sum_{i,j=1}^{3+n_S} C_{ij} \bar{\nu}_i \left( P_R m_i + P_L m_j \right) \nu_j + \text{H.c.}$$
(8)

$$\mathcal{L}_{G^{0}} = \frac{ig_{w}}{2M_{W}} G^{0} \sum_{i,j=1}^{3+n_{S}} \mathbf{C}_{ij} \bar{\nu}_{i} \left( P_{R}m_{j} - P_{L}m_{i} \right) \nu_{j} + \text{H.c.},$$
  
$$\mathcal{L}_{G^{\pm}} = -\frac{g_{w}}{\sqrt{2}M_{W}} G^{-} \sum_{\alpha=1}^{3} \sum_{j=1}^{3+n_{S}} \mathbf{U}_{\alpha j} \bar{\ell}_{\alpha} \left( m_{\ell_{\alpha}} P_{L} - m_{j} P_{R} \right) \nu_{j} + \text{H.c.}.$$
(9)

in which  $P_{L,R} = (1 \mp \gamma_5)/2$ ,  $g_w$  and  $\theta_w$  respectively denote the weak coupling constant and weak mixing angle, and  $m_j$  are the physical neutrino masses  $(j = 1, ..., 3 + n_S)$ . We have also introduced  $C_{ij} = \sum_{\alpha=1}^{3} U_{\alpha i}^* U_{\alpha j}$ , where **U** is a  $3 \times (3 + n_S)$ (rectangular) matrix, which can be decomposed as

$$\mathbf{U} = \left( \tilde{U}_{\text{PMNS}}, U_{\nu S} \right) \,. \tag{10}$$

In the above,  $\tilde{U}_{\rm PMNS}$  is a 3 × 3 matrix and  $U_{\nu S}$  is a 3 ×  $(n_s)$  matrix. The matrix  $U_{\nu S}$  encodes the information about the mixing between the active neutrinos and the sterile singlet states (which can be often approximated, in particular in type I seesaw-like models, as  $U_{\nu S} \approx \sqrt{m_{\nu}/m_N}$ ); the left-handed mixings are parameterized by a non-unitary  $\tilde{U}_{\rm PMNS}$  introduced in Equation (10), which can be cast as [16]

$$U_{\rm PMNS} = (1 - \eta) U_{\rm PMNS}$$

where the matrix  $\eta$  encodes the deviation of  $U_{PMNS}$  from unitarity [17, 18], due to the presence of extra fermion states. In the limiting case of three neutrino generations (the 3 light active neutrinos), and assuming alignment of the charged lepton's weak and mass bases, **U** can be identified with the (unitary) PMNS matrix,  $U_{PMNS}$ . In summary, the presence of the additional states leads to the violation of lepton flavor in both charged and neutral current interactions. The above modified interactions are at the source of new contributions to many observables, which we proceed to discuss.

# 2.1.1. Lepton Dipole Moments: Muon EDM and $(g - 2)_{\mu}$

Should the model of NP involving heavy sterile fermions further include sources of CP violation, then one expects that there will be non-negligible contributions to electric dipole moments (EDMs), which violate both T and CP conservation. Likewise, one also expects new contributions to flavor conserving observables—as for example the anomalous magnetic moment of the muon.

### 2.1.1.1. Electric dipole moments

The current bound for the muon EDM is  $|d_{\mu}|/e \lesssim 1.9 \times 10^{-19}$  cm (Muon g - 2 [19]), and the future expected sensitivity should improve to  $\mathcal{O}(10^{-21})$  cm (J-PARC g - 2/EDM [20]). In general, the contributions of heavy leptons to the EDMs occur at the two-loop level, and call upon a minimal content of at least 2 non-degenerate sterile states [21]. As shown in [21], in the presence of  $n_S$  new states, the EDM of a charged lepton  $\ell_{\alpha}$  can be written as

$$d_{\alpha} = -\frac{g_{2}^{4} e m_{\alpha}}{4 (4\pi)^{4} M_{W}^{2}} \sum_{\beta} \sum_{i,j} \left[ J_{ij\alpha\beta}^{M} I_{M} \left( x_{i}, x_{j}, x_{\alpha}, x_{\beta} \right) + J_{ij\alpha\beta}^{D} I_{D} \left( x_{i}, x_{j}, x_{\alpha}, x_{\beta} \right) \right], \qquad (11)$$

in which *e* is the electric charge,  $g_2$  is the SU(2) coupling constant, and  $m_{\alpha}$  ( $M_W$ ) denote the mass of the charged lepton (*W* boson mass). In the above  $J^{M,D}_{ij\alpha\beta}$  are invariant quantities - respectively sensitive to Majorana and Dirac CP violating phases, defined as

$$J_{ij\alpha\beta}^{M} = \operatorname{Im}\left(U_{\alpha j}U_{\beta j}U_{\beta i}^{*}U_{\alpha i}^{*}\right) \quad \text{and} \quad J_{ij\alpha\beta}^{D} = \operatorname{Im}\left(U_{\alpha j}U_{\beta j}^{*}U_{\beta i}U_{\alpha i}^{*}\right),$$
(12)

and  $I_{M,D}$  are the loop functions cast in terms of  $x_A \equiv m_A^2/m_W^2$  ( $A = i, j, \alpha, \beta$ ) (see [21]). As will be illustrated via the phenomenological analyses summarized in section 3, the "Majorana"-type contributions tend to dominate over the "Dirac" ones.

### 2.1.1.2. Anomalous magnetic moments

The muon anomalous magnetic moment induced at one-loop level by neutrinos and the *W* gauge boson is

$$a_{\mu} = \frac{\sqrt{2} G_F m_{\mu}^2}{(4 \pi)^2} \sum_{i=1}^{3+n_S} \left| U_{\mu i} \right|^2 F_M \left( \frac{m_i^2}{M_W^2} \right), \qquad (13)$$

in which  $G_F$  is the Fermi constant,  $m_{\mu}$  the muon mass, and  $m_i$  refers to the mass of the neutrinos in the loop; the loop function  $F_M(x)$  is defined in the **Appendix A.2**, Equation (36). Subtracting the SM-like contribution from the full expression of

 $<sup>^2</sup>$  See, for example, [15] for a detailed derivation starting from explicit lepton mass matrices.

Equation (13) (arising from one-loop diagrams, dominated by the mostly active light neutrino contribution), one obtains

$$\Delta a_{\mu} \approx -\frac{4\sqrt{2} G_F m_{\mu}^2}{(4\pi)^2} \sum_{i=4}^{3+n_S} |U_{\mu i}|^2 G_{\gamma} \left(\frac{m_i^2}{M_W^2}\right), \quad (14)$$

where one neglects the light neutrino masses  $m_i$  (i = 1, 2, 3) and  $G_{\gamma}(x)$  is also given in **Appendix A.2**, Equation (37). The experimental value of the muon anomalous magnetic moment has been obtained by the Muon g - 2 Collaboration [22], and the discrepancy between the experimental value and the SM prediction is given by  $[23]\Delta a_{\mu} \equiv a_{\mu}^{exp} - a_{\mu}^{SM} = 2.88 \times 10^{-9}$ . As shown in [24], the new contributions from HNL to the muon anomalous magnetic moment cannot account for the discrepancy between the experimental measured value and the SM theoretical prediction.

# 2.1.2. Charged Lepton Flavor Violation: The Muon Sector

Many new contributions to cLFV rare decays and transitions involving muons can be induced by the modified neutral and charged lepton currents; examples of Feynmann diagrams mediated by HNL at the origin of the cLFV transitions can be found in **Figure 1**. On **Table 1**, we summarize the experimental status (current bounds and future sensitivities) of several processes involving muons which can be studied at high-intensity frontier.

### 2.1.2.1. Muon radiative decays: $\mu \rightarrow e\gamma$

In a framework with a total number of  $3 + n_S$  physical neutral leptons, the contributions to the cLFV radiative decays  $\ell_i \rightarrow \ell_j \gamma$  can be written as

$$BR(\ell_i \to \ell_j \gamma) = \frac{\alpha_w^3 \sin \theta_w}{256 \pi^2} \frac{m_{\ell_i}^4}{M_W^4} \frac{m_{\ell_i}}{\Gamma_{\ell_i}} \left| G_{\gamma}^{\ell_i \ell_j}(x_k) \right|^2, \quad (15)$$

with  $\alpha_w = g_w^2/(4\pi)$ , ( $s_w$  corresponding to the sine of the weak mixing angle), and where  $m_{\ell_i}$  and  $\Gamma_{\ell_i}$  denote the mass and decay width of the decaying lepton. For the case of the muon, the latter is given by [38]

$$\Gamma_{\mu} = \frac{G_F^2 m_{\mu}^5}{192\pi^3} \left( 1 - 8\frac{m_e^2}{m_{\mu}^2} \right) \left[ 1 + \frac{\alpha_{\rm em}}{2\pi} \left( \frac{25}{4} - \pi^2 \right) \right], \quad (16)$$

In Equation (15),  $G_{\gamma}^{\ell_i \ell_j}(x_k)$  denotes a composite form factor which encodes the lepton mixing angles and which is given in **Appendix A.1**, while the corresponding loop function, written in terms of  $x_k = m_{\nu_k}^2/M_W^2$ , can be found in **Appendix A.2** [see Equation (37)]. The limits for the form factors, as well as for the different loop functions, which apply in extreme regimes (e.g.,  $x \gg 1$ , or strong hierarchy in the sterile spectrum) can be found in the pioneering study of [15].

### 2.1.2.2. Muon 3-body decays: $\mu \rightarrow 3e$

The full formulae, detailing the most general decay  $\ell_i \rightarrow \ell_j \ell_k \ell_m$ in the presence of sterile states can be found in [15]; here we mostly focus on cases with same-flavor final states. The branching ratio for the decay  $\ell_i \rightarrow 3\ell_j$  is given by

$$BR(\ell_{i} \rightarrow 3\ell_{j}) = \frac{\alpha_{w}^{4}}{24576\pi^{3}} \frac{m_{\ell_{i}}^{4}}{M_{W}^{4}} \frac{m_{\ell_{i}}}{\Gamma_{\ell_{i}}} \left\{ 2 \left| \frac{1}{2} F_{box}^{3body} + F_{Z}^{3body} - 2 \sin^{2} \theta_{w} (F_{Z}^{3body} - F_{\gamma}^{3body})^{2} \right|^{2} + 4 \sin^{2} \theta_{w} \right. \\ \left. \left| F_{Z}^{3body} - F_{\gamma}^{3body} \right|^{2} + 16 \sin^{2} \theta_{w} \operatorname{Re} \left[ \left( F_{Z}^{3body} + \frac{1}{2} F_{box}^{3body} \right) G_{\gamma}^{3body*} \right] - 148 \sin^{2} \theta_{w} \operatorname{Re} \left[ \left( F_{Z}^{3body} - F_{\gamma}^{3body} \right) G_{\gamma}^{3body*} \right] + 32 \sin^{2} \theta_{w} \\ \left. \left| G_{\gamma}^{3body} \right|^{2} \left[ \ln \frac{m_{\ell_{i}}^{2}}{m_{\ell_{j}}^{2}} - \frac{11}{4} \right] \right\},$$
(17)

where one has included the contributions from (non-local) dipole, photon and Z penguins as well as box diagrams, corresponding to the composite form factors  $G_{\gamma}^{3\text{body}}$ ,  $F_{\gamma}^{3\text{body}}$ ,  $F_{Z}^{3\text{body}}$  and  $F_{\text{box}}^{3\text{body}}$  (see **Appendix A.1**).

### 2.1.2.3. Neutrinoless muon-electron conversion in nuclei

Muonic atoms are formed when a negatively charged muon is stopped inside matter, and after cascading down in energy level becomes bound in the 1s state; in the presence of NP, the muon can be converted into an electron without neutrino emission (neutrinoless muon capture, or conversion). The observable can be defined as

$$CR(\mu - e, N) = \frac{\Gamma(\mu^- + N \to e^- + N)}{\Gamma(\mu^- + N \to \text{ all captures})}; \quad (18)$$

the rate of the coherent conversion (spin-independent process)<sup>3</sup> increases with the atomic number (*Z*) for nuclei with  $Z \leq 30$ , being maximal for  $30 \leq Z \leq 60$  [40]. For heavier elements, one finds a reduction of the corresponding conversion rate (due to Coulomb distortion effects of the wave function).

In the framework of the SM extended by sterile neutrinos, the contributions to the muon-electron conversion rate can be written as (see, for example, [41, 42])

$$CR(\mu - e, N) = \frac{2 G_F^2 \alpha_w^2 m_\mu^5}{(4\pi)^2 \Gamma_{\text{capt}}(Z)} \left| 4 V^{(p)} \left( 2 \tilde{F}_u^{\mu e} + \tilde{F}_d^{\mu e} \right) + 4 V^{(n)} \right. \\ \left. \left( \tilde{F}_u^{\mu e} + 2 \tilde{F}_d^{\mu e} \right) + D G_\gamma^{\mu e} \frac{s_w^2}{2\sqrt{4\pi\alpha}} \right|^2,$$
(19)

in which  $\alpha = e^2/(4\pi)$  and  $\Gamma_{\text{capt}}(Z)$  is the capture rate of the nucleus (with an atomic number Z) [40], and the form factors  $\tilde{F}_q^{\mu\nu}(q=u,d)$  are given by

$$\tilde{F}_{q}^{\mu e} = Q_{q} s_{w}^{2} F_{\gamma}^{\mu e} + F_{Z}^{\mu e} \left( \frac{I_{q}^{3}}{2} - Q_{q} s_{w}^{2} \right) + \frac{1}{4} F_{\text{Box}}^{\mu eqq}, \quad (20)$$

<sup>&</sup>lt;sup>3</sup>For a recent study of spin-dependent contributions to muon-electron conversion in nuclei, see [39].



TABLE 1 | Current experimental bounds and future sensitivities of cLFV processes relying on intense muon beams.

cLFV process	Current bound	Future sensitivity
$BR(\mu^+ \to e^+ \gamma)$	4.2 × 10 <sup>-13</sup> (MEG [25])	$6 \times 10^{-14}$ (MEG II [26])
$BR(\mu^+ \to e^+ e^- e^+)$	1.0 × 10 <sup>-12</sup> (SINDRUM [27])	10 <sup>-15(16)</sup> (Mu3e [28])
$CR(\mu^ e^-, N)$	7 × 10 <sup>-13</sup> (Au, SINDRUM [29])	10 <sup>-14</sup> (SiC, DeeMe [30])
		10 <sup>-15(-17)</sup> (AI, COMET [31, 32])
		3 × 10 <sup>-17</sup> (Al, Mu2e [33, 34])
		10 <sup>-18</sup> (Ti, PRISM/PRIME [35])
$CR(\mu^- + Ti \rightarrow e^+ + Ca^*)$	3.6 × 10 <sup>-11</sup> (SINDRUM [36])	-
$CR(\mu^- + Ti \rightarrow e^+ + Ca)$	1.7 × 10 <sup>-12</sup> (SINDRUM [36])	
P(Mu - Mu)	8.3 × 10 <sup>-11</sup> (PSI [37])	_

where  $Q_q$  corresponds to the quark electric charge  $(Q_u = 2/3, Q_d = -1/3)$  and  $I_q^3$  is the weak isospin  $(I_u^3 = 1/2, I_d^3 = -1/2)$ . The form factors *D*,  $V^{(p)}$  and  $V^{(n)}$  encode the relevant nuclear information. The quantities  $F_{\gamma}^{\mu e}$ ,  $F_Z^{\mu e}$  and  $F_{\text{Box}}^{\mu eqq}$  denote the form factors of the distinct diagrams contributing to the process (see examples in **Figure 1**), their expressions being given in Equations (32–35) of **Appendix A.1**, and those of the involved loop factors can also be found in **Appendix A.2**.

### 2.1.2.4. LNV muon-electron conversion: $\mu^- - e^+$ , N

Should the heavy leptons be of Majorana nature, then they can induce a cLFV and LNV conversion process in the presence of nuclei,  $\mu^- + (A, Z) \rightarrow e^+ + (A, Z - 2)^*$ . Contrary to the lepton number conserving process, in this case the final state nucleus can be in a state different from the initial one (in particular, it can be either in its ground state or in an excited one—thus preventing a coherent enhancement). We will not address this process here (for model-independent recent approaches, see [43, 44]).

# 2.1.2.5. Coulomb enhanced muonic atom decay: $\mu^-e^- \rightarrow e^-e^-$

 $\mu e \rightarrow e e$ 

In the presence of NP, another cLFV channel can be studied for muonic atoms: their Coulomb enhanced decay into a pair of electrons [45, 46],

$$\mu^- + e^- \to e^- e^-, \qquad (21)$$

in which the initial fermions are the muon and the atomic 1s electron, bound in the Coulomb field of the nucleus. (This is a "new" observable, which has not yet been experimentally searched for; thus no experimental bounds are currently available.) In SM extensions via heavy neutral fermions, the dominant contributions arise from contact interactions, which include photon- and *Z*-penguins as well as box diagrams<sup>4</sup>. Neglecting the interference between contact terms (which can be sensitive to CP violating phases), the new contributions of the sterile states to the cLFV decay of a muonic atom, with an atomic number *Z*, can be written as

$$BR(\mu^{-}e^{-} \rightarrow e^{-}e^{-}, N) \equiv \tilde{\tau}_{\mu} \Gamma(\mu^{-}e^{-} \rightarrow e^{-}e^{-}, N)$$

$$= 24\pi f_{Coul.}(Z) \alpha_{w} \left(\frac{m_{e}}{m_{\mu}}\right)^{3} \frac{\tilde{\tau}_{\mu}}{\tau_{\mu}} \left(16 \left|\frac{1}{2} \left(\frac{g_{w}}{4\pi}\right)^{2} \left(\frac{1}{2}F_{Box}^{\mu eee} + F_{Z}^{\mu e}\right)\right)\right|^{2} + 4 \left|\frac{1}{2} \left(\frac{g_{w}}{4\pi}\right)^{2} 2\sin^{2}\theta_{w} \left(F_{Z}^{\mu e} - F_{\gamma}^{\mu e}\right)\right)\right|^{2} + -F_{\gamma}^{\mu e} \left|\frac{1}{2} \left(\frac{g_{w}}{4\pi}\right)^{2} 2\sin^{2}\theta_{w} \left(F_{Z}^{\mu e} - F_{\gamma}^{\mu e}\right)\right)\right|^{2} \right).$$

$$(22)$$

In the above,  $F_{\gamma,Z}^{\mu e}$  correspond to the contributions from photonand Z-penguins (as previously introduced in Equation 19);  $\tilde{\tau}_{\mu}$  denotes the lifetime of the muonic atom, that depends on the specific element from which it is formed (always smaller than the lifetime of free muons,  $\tau_{\mu}$ ). The function  $f_{\text{Coul.}}(Z)$  encodes the effects of the enhancement due to the Coulomb attraction from the nucleus (which increases the overlap of the 1s electron and muon wavefunctions); typically,  $f_{\text{Coul.}}(Z) \propto (Z-1)^3$ , or even more than  $(Z-1)^3$  for large Z nuclei [46].

<sup>&</sup>lt;sup>4</sup>In this class of models, and in the regimes associated with significant cLFV contributions, the "long-range" photonic interactions are typically subdominant.

# 2.1.2.6. Muonium channels: $Mu - \overline{Mu}$ oscillation and $Mu \rightarrow e^+e^-$ decay

The Muonium (Mu) atom is a Coulomb bound state of an electron and an anti-muon  $(e^-\mu^+)$  [47]; strongly resembling an hydrogen-like atom, its binding is purely electromagnetic, and thus can be well described by SM electroweak interactions, with the advantage of being free of hadronic uncertainties. The Muonium system is thus an interesting laboratory to test for the presence of new states and modified interactions. Concerning cLFV, two interesting channels can be studied: Muonium-antimuonium conversion Mu- $\overline{Mu}$  [48], and the muonium's decay to an electron-positron pair, Mu  $\rightarrow e^+e^-$ .

Under the assumption of  $(V - A) \times (V - A)$  interactions, the Mu- $\overline{\text{Mu}}$  transition can be described by an effective four-fermion interaction with a coupling constant  $G_{\overline{\text{MM}}}$ ,

$$\mathcal{L}_{\text{eff}}^{\overline{\text{M}}\overline{\text{M}}} = \frac{G_{\overline{\text{M}}\overline{\text{M}}}}{\sqrt{2}} \left[ \overline{\mu} \gamma^{\alpha} (1 - \gamma_5) e \right] \left[ \overline{\mu} \gamma_{\alpha} (1 - \gamma_5) e \right].$$
(23)

Searches for Mu- $\overline{\text{Mu}}$  conversion at PSI have allowed to establish the current best bound on  $G_{\overline{\text{MM}}}$  [37]:  $|\text{Re}(G_{\overline{\text{MM}}})| \leq 3.0 \times 10^{-3}G_F$ , at 90%C.L. [37]. In SM extensions including HNL, Mu- $\overline{\text{Mu}}$  conversion receives contributions from four distinct types of box diagrams (mediated by Dirac and Majorana neutrinos, see [42]). In a unitary gauge, the computation of these diagrams leads to the following expression for the effective coupling  $G_{\overline{\text{MM}}}$  [42, 49–51]:

$$\frac{G_{\mathrm{M}\overline{\mathrm{M}}}}{\sqrt{2}} = -\frac{G_F^2 M_W^2}{16\pi^2} \left[ \sum_{i,j=1}^{3+n_S} (\mathbf{U}_{\mu i} \, \mathbf{U}_{ei}^{\dagger}) \left( \mathbf{U}_{\mu j} \, \mathbf{U}_{ej}^{\dagger} \right) \mathrm{G}_{\mathrm{Muonium}}(x_i, x_j) \right],$$
(24)

in which  $x_i = \frac{m_{v_i}^2}{M_W^2}$ ,  $i = 1, ..., 3 + n_S$  and  $G_{\text{Muonium}}(x_i, x_j)$  is the loop function arising from the two groups of boxes (generic and Majorana), and is given in **Appendix A.2**.

The presence of HNL can also be at the origin of the cLFV Muonium decays [42, 52]; the decay ratio can be written as

$$BR(Mu \to e^+ e^-) = \frac{\alpha_{em}^3}{\Gamma_{\mu} 32\pi^2} \frac{m_e^2 m_{\mu}^2}{(m_e + m_{\mu})^3} \sqrt{1 - 4 \frac{m_e^2}{(m_e + m_{\mu})^2}} |\mathcal{M}_{tot}|^2, \quad (25)$$

with  $\Gamma_{\mu}$  the muon decay width, and where  $|\mathcal{M}_{tot}|$  denotes the full amplitude, summed (averaged) over final (initial) spins [52]. The full expression for  $|\mathcal{M}_{tot}|$  can be found in [42]. At present, no bounds exist on this cLFV observable, nor are there prospects for searches in the near future.

### 2.1.2.7. In-flight (on-target) conversion: $\mu \rightarrow \tau$

The advent of high-intensity and sufficiently energetic muon beams (for instance at muon and future neutrino factories) allows the study of another muon cLFV observable: in-flight (elastic) conversion of muons to taus,  $\mu + N \rightarrow \tau + N$  (with *N* denoting a generic nucleus) [53]. The  $\ell_i \rightarrow \ell_j$  on-target conversion can be mediated (for example) by photon and *Z* boson exchanges; the

differential cross sections for  $\gamma$  -dominated and Z -only mediation can be respectively cast as

$$\frac{d\sigma^{i \to j}}{dQ^2}\Big|_{\gamma} = \frac{\pi Z^2 \alpha^2}{Q^4 E_{\text{beam}}^2} H_{\mu\nu}^{\gamma} L_{ij}^{\gamma\mu\nu}, \quad \frac{d\sigma^{i \to j}}{dQ^2}\Big|_Z$$

$$= \frac{G_F^2}{32 \pi E_{\text{beam}}^2} H_{\mu\nu}^Z L_{ij}^{Z\mu\nu}, \quad (26)$$

in which  $Q^2$  is the momentum transfer, Z denotes the target atomic number,  $H_{\mu\nu}^{\gamma,Z}$  denotes the hadronic tensor and the leptonic tensors can be decomposed as  $L_{ij}^{\gamma(Z)\mu\nu} = L_{ij}^{\gamma(Z)}L^{\gamma(Z)\mu\nu}(k,q)$ , with  $L_{ij}^{\gamma(Z)}$  encoding the cLFV (effective) couplings (for a complete discussion and detailed list of contributing diagrams, see [54]). In the presence of new heavy sterile fermions  $L_{ij}^{\gamma}$  and  $L_{ij}^{Z}$  can be cast (we consider the case when the target is made of nucleons) as

$$L_{ij}^{\gamma} = \frac{\alpha_w^3 s_w^2}{64 \pi e^2} \frac{m_{\ell_j}^2}{M_W^4} \left| G_{ji}^{\gamma} \right|^2,$$
  

$$L_{ij}^Z = \frac{\alpha_w^4}{G_F^2 M_W^4} \frac{2(-1/2 + \sin_w^2)^2 + \sin_w^4}{64} \left| F_{ji}^Z \right|^2, (27)$$

with the associated cLFV form factors already having been introduced for other observables.

# 2.2. The Several Constraints on HNL

The impact of the additional neutral leptons concerns not only potentially observable contributions to the muonic processes discussed above, but also to several other observables, possibly in conflict with current data. It is thus mandatory to evaluate the impact of these SM extensions in what concerns many available constraints obtained from high-intensity, high-energy, as well as from cosmology.

In addition to complying with neutrino data, i.e., mass differences and bounds on the PMNS mixing matrix [55, 56], sterile fermions can induce important contributions to several EW observables due to the modification of the charged and neutral currents. Other than respecting the perturbative unitarity condition [57–62],  $\frac{\Gamma_{v_i}}{m_{v_i}} < \frac{1}{2} (i = 1, 3 + n_S)$ ,<sup>5</sup> bounds from electroweak precision tests [63–66] and non-standard interactions [67–69] must also be taken into account.

The so-far negative searches for rare cLFV lepton decays and transitions (among which those discussed in the previous section), already put severe constraints on SM extensions with additional HNL [15, 18, 41, 42, 70–74]; likewise, at higher energies, searches for cLFV Higgs decays [75–80] and for neutral Z boson decays [81–84] give rise to further constraints, which must then be taken into account. Several observables associated with leptonic and semi-leptonic meson decays (cLFV, LNV, and LFUV) are also sensitive to new contributions from sterile fermions, and the corresponding bounds must thus be taken

<sup>&</sup>lt;sup>5</sup>Since the dominant contribution to  $\Gamma_{\nu_i}$  arises from the charged current term, one can rewrite the perturbative unitarity condition as:  $m_{\nu_i}^2 \sum_{\alpha=1}^3 \mathbf{U}_{\alpha i}^* \mathbf{U}_{\alpha i} < 8\pi M_W^2/g_w^2$  ( $i \ge 4$ ), with U the lepton mixing matrix.

into account [66, 85–89]. Finally, and as discussed previously, there might be non-negligible contributions from Majorana HNL to CP violating observables, such as charged lepton EDMs [21, 24, 90], and to neutrinoless double beta decays  $(0\nu 2\beta)$  [91]<sup>6</sup>. The additional mixings and possible new CP-violating Majorana phases might enhance the effective mass, potentially rendering it within experimental reach, or even in conflict current bounds. Further constraints arise from peak searches in meson decays [85, 86, 93–95]; one should also apply the bounds arising from negative searches for monochromatic lines in the spectrum of muons from  $\pi^{\pm} \rightarrow \mu^{\pm}\nu$  [87, 96], as well as those from direct searches at the LHC.

Finally, HNL are also subject to constraints of cosmological origin: a wide variety of cosmological observations [96, 97] has been shown to lead to severe bounds on heavy neutral leptons with a mass below the TeV (obtained under the assumption of a standard cosmology). Mixings between the active neutrinos and the sterile fermions can lead to radiative decays  $v_i \rightarrow v_i \gamma$ , well constrained by cosmic X-ray searches; Large Scale Structure and Lyman- $\alpha$  data further constrain the HNL states, since these can constitute a non-negligible fraction of the dark matter of the Universe (thus impacting structure formation). Further bounds on the HNL masses and mixings with the active states can be inferred from Lyman- $\alpha$  limits, the existence of additional degrees of freedom at the epoch of Big Bang Nucleosynthesis, and Cosmic Microwave Background data (among others). Notice however, that in scenarios of "non-standard cosmology" (for instance, in the case of low reheating temperatures [98], or when the heavy neutral leptons couple to a dark sector [99]), all the above cosmological bounds can be evaded.

# 3. PHENOMENOLOGICAL IMPLICATIONS OF HNL FOR MUON OBSERVABLES

Sterile neutrinos are well-motivated New Physics candidates, and their existence is considered at very different mass scales, as motivated by distinct observations. As mentioned before, heavier states, with a mass ranging from the MeV to a few TeV, are particularly appealing, as they can give rise to numerous phenomena which can be looked for in laboratory, high-energy colliders and high-intensity experiments - as the one explored in this contribution.

From a theoretical point of view, (heavy) sterile fermions play an important role in several SM extensions which include well-motivated mechanisms of neutrino mass generation; among these, one finds low-scale realisations of the seesaw mechanism (including, for example, low-scale type I and its variants, distinct realisations of the Inverse Seesaw, as well as the Linear Seesaw), and their embedding into larger frameworks, as for instance supersymmetrisations of the SM, or Left-Right symmetric models.

Before considering the contributions of these complete frameworks (which typically call upon the heavy neutral leptons as a key ingredient of the mechanism of neutrino mass generation) to the distinct high-intensity muon observables previously described, it proves convenient—and insightful—to first carry a phenomenological bottom-up approach. Without any formal assumption on the underlying mechanism of mass generation, the addition of a massive sterile state to the SM content allows to encode into a simple "toy model" the effects of a larger number of HNL states, possibly present in complete models.

# 3.1. Bottom-Up Approach: $3 + n_S$ Toy Models

The "toy models" strongly rely on the assumption of having uncorrelated neutrino masses and leptonic mixings (or in other words, that one does not consider a specific mechanism of  $\nu$  mass generation, for instance a seesaw). The model is described by a small set of physical parameters, which include the masses of the 3 mostly active light neutrinos, the masses of the (mostly sterile) heavy neutral leptons, and finally the mixing angles and the CP-violating phases encoded in the mixing matrix which relates the physical neutrino to the weak interaction basis; for  $n_S$  additional neutral leptons, the matrix U can be parameterized by  $(3 + n_S)(2 + n_S)/2$  rotation angles,  $(2 + n_S)(1 + n_S)/2$  Dirac phases and  $2 + n_S$  Majorana phases<sup>7</sup>. For instance, in the simplest case where  $n_S = 1$  (the "3 + 1" model), the matrix  $U_4$  can be constructed as follows

$$U_{4} = R_{34}(\theta_{34}, \delta_{43}) \cdot R_{24}(\theta_{24}) \cdot R_{14}(\theta_{14}, \delta_{41}) \cdot \tilde{U} \cdot \text{diag}\left(1, e^{i\varphi_{2}}, e^{i\varphi_{3}}, e^{i\varphi_{4}}\right),$$
(28)

in which the Majorana CP-violating phases are factorized in the last term. In the above,  $R_{ij}$  is a unitary rotation matrix describing the mixing between *i* and *j* generations, parameterized in terms of the mixing angle  $\theta_{ij}$  and of the Dirac CP-violating phase  $\delta_{ij}$ . For example,  $R_{14}$  can be cast as

$$R_{14} = \begin{pmatrix} \cos \theta_{14} & 0 & 0 & \sin \theta_{14} e^{-i\delta_{14}} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\sin \theta_{14} e^{i\delta_{14}} & 0 & 0 & \cos \theta_{14} \end{pmatrix}.$$
 (29)

In the above,  $\tilde{U}$  is a 4 × 4 matrix whose upper 3 × 3 block encodes the mixing among the left-handed leptons, and includes the "standard" Dirac CP phase. In the case in which the HNL decouples, this sub-matrix would correspond to the usual unitary PMNS lepton mixing matrix,  $U_{PMNS}$ . In the case of  $n_S = 2$ , the definition of U given in Equation (28) can be extended as

$$U_{5} = R_{45} \cdot R_{35} \cdot R_{25} \cdot R_{15} \cdot R_{34} \cdot R_{24} \cdot R_{14} \cdot R_{23} \cdot R_{13} \cdot R_{12}$$
  
$$\cdot \operatorname{diag} \left( 1, e^{i\varphi_{2}}, e^{i\varphi_{3}}, e^{i\varphi_{4}}, e^{i\varphi_{5}} \right) .$$
(30)

<sup>&</sup>lt;sup>6</sup> Working in the framework of the SM extended by *n<sub>s</sub>* sterile fermions, one must generalise the definition of the effective mass (to which the 0ν2β amplitude is proportional to) as  $m_{ee} = \sum_{i=1}^{3+n_i} \frac{U_{ei}m_iU_{ei}}{1-m_i^2/p^2 + im_i\Gamma_i/p^2}$ , where  $p^2 \simeq -(125 \text{ MeV})^2$  is the virtual momentum of the propagating neutrino (obtained from average estimates over different decaying nuclei) [92]. The new mixings (and the possibility of additional CP-violating Majorana phases) can have a sizeable impact on the effective mass.

<sup>&</sup>lt;sup>7</sup>In the case of a complete model of neutrino mass generation, the neutrino masses and the  $(3 + n_s) \times (3 + n_s)$  lepton mixing matrix  $U_{3+n_s}$  would be formally derived from the diagonalisation of the full  $(3 + n_s) \times (3 + n_s)$  neutrino mass matrix and thus be related; it is important to emphasize that in such a case the model must necessarily account for  $\nu$  oscillation data.

## 3.1.1. Flavor Conserving Observables

As mentioned before, if the HNL are Majorana particles, they can have an impact regarding LNV  $0\nu 2\beta$  decays, since the new contributions to the effective mass can translate into enlarged ranges for  $m_{ee}$ . The experimental implications are striking, given that the interpretation of a future signal can no longer be associated to an inverted ordering of the light neutrino spectrum [24, 100]. Interestingly, the HNL can also be at the origin of contributions to a distinct class of (lepton flavor conserving) observables, as is the case of lepton EDMs, discussed in section 2.1.1. The contributions of the HNL to the twoloop diagrams are dominated by the terms associated with the new Majorana CP phases (the Dirac contribution being in general sub-dominant), and become important provided that there are at least two non-degenerate states, with masses in the [100 GeV, 100 TeV] range [21]; the predictions obtained in a minimal "3 + 2" model are displayed in **Figure 2** (left). As can be inferred, in such a minimal setup, one can have at best  $|d_{\mu}|/e \sim$  $10^{-26}$  cm, which is far below the future sensitivity of J-PARC g-2/EDM Collaboration [20],  $|d_{\mu}|/e \sim 10^{-21}$  cm. By increasing the number of HNL ( $n_S > 2$ ) one could have an enhancement of a few orders of magnitude for the maximal values of  $|d_{\mu}|/e$ ; however, and since the charged lepton EDMs approximately scale as  $\frac{|d_e|}{m_e} \sim \frac{|d_\mu|}{m_\mu} \sim \frac{|d_\tau|}{m_\tau}$  [21], any future observation of  $\sim 10^{-21}$  cm for the muon EDM must necessarily be interpreted in the light of another new physics scenario.

As mentioned before, sterile states can also have an impact on other flavor-conserving observables, as is the case of the muon anomalous magnetic moment. Once all the experimental constraints discussed in section 2.2 are imposed, in generic " $3 + n_S$ " scenarios, the predicted value of the muon anomalous magnetic moment is found to be  $|\Delta a_{\mu}| \leq 10^{-12}$  for  $|U_{\mu i}|^2 \sim 10^{-3}$  (with  $i \geq 4$ ) [24], and thus additional contributions to the anomalous magnetic moment are still required.

## 3.1.2. cLFV Observables

Muon cLFV channels are in general very sensitive probes to the presence of sterile fermions, in particular HNL with masses above the electroweak scale. Beginning with radiative muon decays, the contributions to BR( $\mu \rightarrow e\gamma$ ) can be very large, well above the current bounds, as can be confirmed from the right panel of **Figure 2**; however, these regimes are already excluded by other experimental constraints - in particular they are in conflict with bounds arising from other cLFV muon channels, as is the case of 3-body decays and  $\mu - e$  conversion in Nuclei. The contributions of the HNL (obtained in a simple "3 + 1" extension) to these two observables are displayed in the left panel of **Figure 3**, as a function of the mass of the heavy, mostly sterile, state,  $m_4$ . Especially for  $m_4 \gtrsim M_Z$ , one can verify that the contributions are sizeable, within the sensitivity of future  $\mu - e$  conversion dedicated facilities (Mu2e and COMET) and of Mu3e.

In the  $\mu - e$  sector, neutrinoless conversion in nuclei (Aluminium) appears to be the cLFV observable offering the most promising experimental prospects; nevertheless, the Coulomb-enhanced decay of a muonic atom into a pair of electrons might prove to be also very competitive, especially for

heavy target nuclei (such as Lead or Uranium), since it has been shown that the associated decay widths can be enhanced in this case [46]. Still in the framework of a minimal "3+1" model, the comparison of the expected contributions to these observables can be found in the right panel of **Figure 3**. For HNL states heavier than the EW scale, both observables are within reach of COMET (should the  $\mu e \rightarrow ee$  decay be included in its Phase II programme).

It is interesting to notice that in the regime in which the mass of the HNL is heavier than the electroweak scale, the dominant contributions to processes such as  $\mu e \rightarrow eee$ ,  $\mu - e$  conversion and  $\mu e \rightarrow ee$  decays arise from Z-penguin exchange; this is at the source of a strong correlation between the corresponding cLFV decays and the lepton flavor violating decays of the Z boson,  $Z \rightarrow \mu \ell$ . Although marginal to the present discussion, we notice that as pointed out in [82], the cLFV Z decays allow to probe  $\mu - \tau$ flavor violation beyond the reach of Belle II.

Heavy sterile fermions can also lead to cLFV in association with the Muonium system; the predictions for the contributions of an additional sterile state (in a minimal "3+1" model) to Mu-Mu oscillation are displayed in the left panel of **Figure 4**; in view of the present experimental roadmap, it remains unclear whether or not the HNL contribution could be within future experimental reach.

Finally, we comment on the prospects for cLFV in-flight conversion of future intense muon beams, in particular focusing on the mode  $\sigma(\mu \rightarrow \tau)$ . Larger values of the cross-section, which could potentially be within reach of a future Muon Collider (for nominal values of  $10^{20}\mu/\text{year}$ ), are in fact already excluded, as the associated regimes (mass and mixings of the additional sterile) lead to values of BR( $\tau \rightarrow 3\mu$ ) already in conflict with experimental bounds [54]. This is a consequence of having again dominant contributions from Z-mediated penguins in both cases; this is visible in the right panel of **Figure 4**, in which we illustrate the prospects of  $\sigma(\mu \rightarrow \tau)$  vs. the expected contributions to BR( $Z \rightarrow \mu \tau$ ). The correlation of the observables is clear, and further serves to illustrate the probing power of flavor violating Z decays (albeit at the high energy frontier).

# **3.2. Complete NP Frameworks and HNL:** Contributions to Muon Observables

To conclude our brief overview, we thus consider a few illustrative examples of complete SM extensions calling upon heavy neutral fermions, focusing our attention on "low-scale" ( $\lesssim$  TeV) NP models. Other than low-scale realisations of a type I seesaw, we will refer to many of its variations including well-motivated realisations such as the Inverse Seesaw (ISS) [17, 18, 101], the Linear Seesaw (LSS) [102, 103] and the  $\nu$ -MSM [104–106]. In addition, we also briefly comment on larger frameworks also including HNL, and which have an important impact for the muon observables here addressed. When relevant, we shall also discuss how the synergy of the distinct observables might be instrumental in unveiling the NP model at work.

## 3.2.1. Low-Energy Variants of Type I Seesaw

The type I Seesaw relies in extending the SM content by at least two additional "heavy" right-handed neutrinos.



**FIGURE 2** Contributions to the muon EDM in a "3 + 2" model as a function of  $\theta_{24}$  (left panel); blue and black lines respectively denote the current upper bounds and future experimental sensitivity. From [21], reproduced with permission from the Authors. On the (right), BR( $\mu \rightarrow e\gamma$ ) as a function of  $m_4$ ; gray points correspond to the violation of at least one experimental bound and the horizontal line the current MEG bound.



**FIGURE 3** [ On the (left), predictions for  $CR(\mu - e, AI)$  and  $BR(\mu \rightarrow eee)$  as a function of  $m_4$ ; the former is displayed in dark blue (left axis), while the latter is depicted in cyan (right axis). A thick (thin) solid horizontal line denotes the current experimental bound on the  $CR(\mu - e, AU)$  [29] ( $\mu \rightarrow eee$  decays [27]), while dashed lines correspond to future sensitivities to  $CR(\mu - e, AI)$  [31, 33, 34]. On the (right),  $BR(\mu^-e^- \rightarrow e^-e^-)$  (cyan, left axis) and  $CR(\mu - e, AI)$  (dark blue, right axis) as a function of  $m_4$ ; dashed horizontal lines denote the (expected) future sensitivity of COMET to both observables. Both figures were obtained in the "3+1" model, and in both panels gray points correspond to the violation of at least one experimental bound (from [42], reproduced with permission from the Authors).

The light neutrino masses are given in terms of the Yukawa couplings and of the RH neutrino mass matrix by the "seesaw relation",  $m_{\nu} \sim -v^2 Y_{\nu}^{\dagger} M_R^{-1} Y_{\nu}$ . The low-scale seesaw (and its different variants) consists in a realisation of a type I seesaw in which the (comparatively light) heavy mediators have non-negligible mixings with the active neutrinos, and do not decouple. Just as in the case of the simple "toy-models" described in the previous section, the modification of the leptonic currents can lead to contributions to numerous observables [41, 73]. One such example - concerning contributions to cLFV muon radiative and 3-body decays, as well as  $\mu$  – e conversion in nuclei-can be found in the left panel of Figure 5, in which the contributions to the distinct observables (and the associated experimental bounds/future sensitivities) are displayed as a function of the average seesaw mediator mass.

The  $\nu MSM$  consists in a specific low-energy realisation of a type I seesaw, which aims at simultaneously addressing the problems of neutrino mass generation, the BAU and providing a viable dark matter candidate [104–107]. The  $\nu MSM$  spectrum contains the three light (mostly active) neutrinos, with masses given by a type I seesaw relation, as well as three heavy states (with masses  $m_{\nu_{4-6}}$ ). In view of the model's goal to comply with the above requirements, the couplings and masses of the new states are severely constrained. In particular, and due to the smallness of the active-sterile mixings, the expected contributions of the  $\nu MSM$ in what concerns cLFV observables are found to lie beyond experimental sensitivity. This has been discussed in [42, 54].

Other than extending the SM by RH neutrinos, the *Inverse* Seesaw [17, 18, 101] calls upon the introduction of additional



**FIGURE 4** | On the (left), effective coupling  $G_{M}\overline{M}$  ( $|\text{Re}(G_{M\overline{M}})|$ ) for Mu -  $\overline{\text{Mu}}$  conversion as a function of  $m_4$  (within the framework of a simple "3+1 model"). Dark blue points are in agreement will all available bounds (the horizontal lines denote the evolution of the experimental bounds and constraints); from [42], reproduced with permission from the Authors. On the (right), correlation of cLFV in-flight  $\sigma(\mu \to \tau)$  vs. BR( $Z \to \tau \mu$ ) in the "3+1 model"; blue (gray) points denote allowed (excluded) regimes, vertical green lines denote the future sensitivities; from [54], reproduced with permission from the Authors. In both panels, gray points correspond to the violation of at least one experimental bound.



**FIGURE 5** | On the (left), maximal allowed cLFV rates compatible with current searches in a low-scale seesaw; horizontal full (dashed) lines denote present (future) experimental sensitivity. From [41], reproduced with permission from the Authors. On the (right), logarithm of BR( $\mu^-e^- \rightarrow e^-e^-$ , AI), displayed on ( $|U_{\mu5}|^2, m_5$ ) parameter space of a (3,3) ISS realisation; the shaded surfaces correspond to the exclusion from BBN (rose) or from the violation of at least one experimental bound (gray), while solid lines delimit the expected sensitivity of several facilities (from [42], reproduced with permission from the Authors).

sterile fermion<sup>8</sup> states, X. In the case of 3 generations of each, the spectrum of the (3,3) ISS realisation contains 6 heavy neutral fermions, which form 3 pseudo-Dirac pairs; the smallness of the light (active) neutrino masses is explained by the suppresion due to the only source of LNV in the model ( $\mu_X$ ), as given by the following modified seesaw relation:  $m_{\nu} \approx \frac{(Y_{\nu}\nu)^2}{(Y_{\nu}\nu)^2 + M_R^2} \mu_X$ . This allows for a theoretically natural model, in which one can have sizeable Yukawa couplings for a comparatively light seesaw scale. On the right panel of **Figure 5** we illustrate the (3,3) ISS contributions to a muonic atom observable: the Coulomb enhanced decay into a pair of electrons, displaying the predictions for the corresponding BR in terms of the mass of the lightest sterile state ( $m_5$ ) and  $|U_{\mu5}|^2$ . As can be seen, the contributions for these observables can be sizeable, well within experimental reach. Particularly interesting is the fact that these HNL states are within reach of future facilities such as DUNE, FCC-ee and SHiP. Likewise, one expects important contributions to other observables [42].

Another low-scale seesaw mechanism relying on an approximate conservation of lepton number is the *Linear* 

<sup>&</sup>lt;sup>8</sup>The minimal realisations of the Inverse Seesaw mechanism have ben discussed in [108].



**FIGURE 6** [ On the (left), muon cLFV rates in LRSM: rose-shaded areas denote the corresponding experimental regimes (exclusion and future sensitivity); solid lines denote the number of events with a signature  $e^{\pm}\mu^{\mp} + 2$  jets (no missing energy) at the LHC run 2 ( $\sqrt{s} = 14$  and  $\mathcal{L} = 30$  fb<sup>-1</sup>), while the dashed ones define regions with significances at 5 $\sigma$  (discovery) and 90% C.L. (exclusion). From [110], and appearing also in [111] (reproduced with permission from the Authors). On the (right), predictions for cLFV muon channels obtained in a SISS realisation: BR( $\mu \rightarrow e\gamma$ ), BR( $\mu \rightarrow 3e$ ), and CR( $\mu - e$ , AI,Ti) as a function of  $M_R = M_{SUSY}$ . The gray area roughly denotes regimes excluded by direct LHC searches. From Abada et al. [74], reproduced with permission from the Authors.

Seesaw [102, 103]. Similar to the case of the ISS, the Linear Seesaw also calls upon the addition of two types of fermionic singlets (RH neutrinos and other sterile states) with opposite lepton number assignments. However, in this case LNV is due to the Yukawa couplings  $Y'_{\nu}$  of the sterile states to the LH neutrinos. The resulting light neutrino masses are linearly dependent on these Yukawa couplings,  $m_{\nu} \approx (\nu Y_{\nu}) (M_R^{-1})^T (\nu Y'_{\nu})^T + (\nu Y'_{\nu}) M_R^{-1} (\nu Y_{\nu})^T$ . The obtained spectrum in the mostly sterile sector is composed by pairs of pseudo-Dirac neutrinos (almost degenerate in mass) - a consequence of having the mass splittings determined by the small LNV couplings  $(Y'_{\nu})$ , which are also responsible for the suppression of the active neutrino masses. This is similar to what occurs in the ISS scenario, with which the LSS shares many phenomenological features (notice that distinctive signatures can arise due to having two sources of flavor mixing,  $Y_{\nu}$  and  $Y'_{\nu}$ ).

# 3.2.2. Extended NP Frameworks: LR Models and SUSY

Restoring parity conservation in SM gauge interactions naturally leads to models of NP which include HNL (right-handed neutrinos). In *Left-Right symmetric models* [6–8], the SM gauge group is enlarged to  $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ , and the particle content now includes, in addition to the RH neutrinos, new  $W_R$  and  $Z_R$  bosons, as well as bi-doublet and triplet (Higgs) bosons. Not only RH neutrinos are automatically incorporated as part of an  $SU(2)_R$  doublet upon realisation of the extended gauge group (and thus interacting with the heavy right-handed bosons), but a hybrid type I-II seesaw mechanism is at work in this class of models. Dirac neutrino mass terms arise from the interactions of the RH neutrinos with the lepton doublets and the Higgs bidoublets, while Majorana mass terms are present for both leftand right-handed neutral fermions,

$$M_{\nu}^{\mathrm{LR}} = \begin{pmatrix} M_L & m_D \\ m_D^T & M_R \end{pmatrix}, \quad \text{with} \quad \begin{array}{l} m_D = Y_{\nu} \kappa + Y_{\nu}' \kappa', \\ M_L = f_L \nu_L, \quad M_R = f_R \nu_R, \\ \end{array}$$
(31)

in which  $Y^{(\prime)}$  and  $f_{L,R}$  denote 3  $\times$  3 complex Yukawa matrices in flavor space;  $\kappa$  and  $\kappa'$  are the vevs of the Higgs bidoublets, while  $v_{L(R)}$  is the vev of the triplet  $\Delta_{L(R)}$  (notice that  $v_R$  is the vev responsible for breaking  $SU(2)_R \times U(1)_{B-L}$ down to U(1)<sub>Y</sub>). In the "seesaw limit" (i.e., for  $|m_D| \ll |M_R|$ ), block-diagonalisation of  $M_{\nu}^{\text{LR}}$  in Equation (31) leads to a light neutrino mass matrix of the form  $m_{\nu} = M_L - m_D M_R^{-1} m_D^T$ , where both seesaw contributions are visible. The new states (in particular the HNL and the right-handed gauge bosons) lead to extensive contributions to many muonic channels and, interestingly, to strong correlations between high-intensity and high-energy cLFV and LNV observables (see, e.g., [109-111]). One such example (from [110, 111]) can be found on the left panel of Figure 6, in which the rose-shaded surfaces correspond to different regimes of contributions to  $\mu \rightarrow e\gamma$ ,  $\mu \rightarrow 3e$ , and  $\mu - e$  conversion in nuclei. Future sensitivities to  $\mu - e$ conversion already allow to cover most of the parameter space (here represented in  $m_N, m_{W_R}$  plane), and further important information can be inferred from cLFV decays at colliders: the blue lines denote the number of events with a signature  $e^{\pm}\mu^{\mp}$  + 2 jets (no missing energy) at the LHC run 2 (assuming nominal values of  $\sqrt{s} = 14$  and integrated luminosity  $\mathcal{L} = 30 \text{fb}^{-1}$ ), with dashed ones corresponding to  $5\sigma$  significance (discovery) and 90% C.L. (exclusion).

The seesaw (in its distinct realisations) can be embedded in the framework of supersymmetric (SUSY) extensions of the SM; in order to render less severe the so-called "SUSY CP and flavor problem", the seesaw is embedded in otherwise flavor and CP conserving SUSY models, as is the case of the constrained Minimal SUSY SM (cMSSM). These BSM constructions offer many new contributions to cLFV observables, as in general the different scales at work allow for sizeable Yukawa couplings, and new—not excessively heavy—exotic mediators (sleptons and gauginos).

For the "standard" *type I SUSY seesaw*, the right-handed neutrino superfields (neutrinos and sneutrinos) are in general very heavy—with masses  $O(10^{12-15})$  GeV. Interestingly, it has been emphasized that the synergy of cLFV observables (among which the muonic ones here discussed) might provide one of the best probes into the spectrum of the (extremely) heavy neutrinos (see, for example [112, 113]).

The supersymmetrisation of the ISS (in which case the HNL and their SUSY partners are significantly lighter, closer to the TeV scale)—SISS—also leads to abundant signatures in what concerns muon observables; a thorough study of several observables (for different regimes, and taking into account distinct contributions) was carried in [74]. Here, we illustrate the potential of the SISS via the contributions to several muon channels as a function of the SUSY and seesaw scales ( $M_R$ ), which are displayed on the right panel of **Figure 6**.

# 4. FINAL REMARKS AND DISCUSSION

In the coming years, the High Intensity Frontier will offer many opportunities to explore particle and astroparticle physics. In addition to testing some of the SM predictions, high-intensity experiments will open unique windows to probe New Physics models. Many current tensions between the SM and observation are currently associated with the lepton sector: in addition to neutrino oscillation data, several other observables (as is the case of the muon anomalous magnetic moment) call for NP ingredients. As common ingredient to many BSM constructions, neutral leptons (such as right-handed neutrinos, or other sterile fermions) play a key role in many mechanisms of neutrino mass generation. The motivations for these states are extensive: neutral fermions with masses in the GeV-TeV range ("heavy neutral leptons") are particularly appealing, as in addition to a possible role in light neutrino mass generation they might induce

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significant contributions to many high intensity observables, such as cLFV, LNV, or contributions to lepton dipole moments, which can be searched for with the advent of intense muon beams.

In this small overview, we have discussed the contributions of HNL to several observables which can be studied in high-intensity muon experiments. We have illustrated the potential of the heavy sterile states via two complementary approaches: considering ad-hoc "3 +  $n_s$ " toy models and well-motivated appealing NP models (seesaw mechanisms, LR models, supersymmetric extensions of the SM, ...). As seen from our discussion, the new states can easily give rise to significant contributions to many observables well within experimental sensitivity (in fact, some of the current bounds already heavily constraining the associated new degrees of freedom). Given their elusive nature, high-intensity muon observables might be a unique probe of SM extensions via additional heavy neutral leptons.

# **AUTHOR CONTRIBUTIONS**

AA and AT have equally contributed to the review, relying on their complementary expertise and on the results of several previous publications (many done working in collaboration).

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphy. 2018.00142/full#supplementary-material

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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