



Functorial Evolution of Quantum Fields

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We present a compositional algebraic framework to describe the evolution of quantum fields in discretised spacetimes. We show how familiar notions from Relativity and quantum causality can be recovered in a purely order-theoretic way from the causal order of events in spacetime, with no direct mention of analysis or topology. We formulate theory-independent notions of fields over causal orders in a compositional, functorial way. We draw a strong connection to Algebraic Quantum Field Theory (AQFT), using a sheaf-theoretical approach in our definition of spaces of states over regions of spacetime. We introduce notions of symmetry and cellular automata, which we show to subsume existing definitions of Quantum Cellular Automata (QCA) from previous literature. Given the extreme flexibility of our constructions, we propose that our framework be used as the starting point for new developments in AQFT, QCA and more generally Quantum Field Theory.

Keywords: causality, quantum field theory, relativity, algebraic quantum field theory, quantum cellular automata

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1 INTRODUCTION

Like much of classical physics, the study of Relativity and quantum field theory has deep roots in topology and geometry. However, recent years have seen a steady shift from the traditional approaches to a more abstract algebraic perspective, based on the identification of spacetime structure with causal order.

This new way of looking at causality finds its origin in a much-celebrated result by Malament [1], itself based on previous work by Kronheimer, Penrose, Hawking, King and McCarthy [2, 3]. If M is a Lorentzian manifold, we say that M is future- (resp. past-) distinguishing iff two events $x, y \in M$ (i.e. two spacetime points) having the same exact causal future (resp. past) are necessarily identical¹. Given a Lorentzian manifold M , we can define a partial order \leq_M between its events—the causal order—by setting $x \leq_M y$ iff x causally precedes y in M , i.e. iff there exists a future-directed causal curve—a smooth curve in M with everywhere future-directed time-like or light-like tangent vector—from x to y . The 1977 result by Malament [1] can then be stated as follows.

Theorem 1: *Let M and M' be two Lorentzian manifolds, both manifolds being future-and-past-distinguishing. The associated causal orders (M, \leq_M) and $(M', \leq_{M'})$ are order-isomorphic if and only if M and M' are conformally equivalent.*

While the result by Malament guarantees that future-and-past-distinguishing manifolds (up to conformal equivalence) can be identified with their causal orders, it does not provide a characterization of which partial orders arise as causal orders on manifolds (or restrictions thereof to manifold subsets). This lack of exact correspondence between topology and order is the motivation behind many past and current lines of enquiry. Notable mention in this regard is deserved by the work of [4, 5], which aims to formulate causal order in terms of partial orders and domain theory. Within that framework, a complete characterization of which partial orders arise as the causal orders of Lorentzian manifolds is still an open question.

¹The requirement for a manifold M to be future- and past-distinguishing is essentially one of well-behaviour, e.g. excluding causal violations such as closed timelike curves (all points of which necessarily have the same causal past and future).

A different approach to the order-theoretic study of spacetime is given by the causal sets research program (cf [6, 7]). A causal set is a poset which is locally finite, i.e. such that for every $x, y \in C$ the subset $\{z \in C | x \leq z \leq y\}$ is finite.² Causal sets arise as discrete subsets of Lorentzian manifolds (under the causal order inherited by restriction) and a fundamental pursuit for the community is a characterisation of the large-scale properties of spacetime as emergent from a discrete small-scale structure. In particular, the question whether a causal set can always be (suitably) embedded as a discrete subset of a Lorentzian manifold is central to the programme and—as far as we are aware—one which is still to be completely answered [7].

When it comes to incorporating quantum fields into the spacetimes, efforts have mostly been focused in three directions: algebraic approaches, topological approaches and quantum cellular automata.

The algebraic approaches take a functorial and sheaf-theoretic view of quantum fields, studying the local structure of fields through the algebras of observables—usually C^* -algebras or von Neumann algebras—over the regions of spacetime. Prominent examples include Algebraic Quantum Field Theory (AQFT) [9, 10] and the topos-theoretic programmes [11, 12]. Presheaves are special functors used to associate (field) data to spacetime regions, in a way which is guaranteed to respect causality and locality constraints imposed by space-time topology. We will take a deeper look at this approach in **Section 5**.

The topological approaches focus instead on global aspects of relativistic quantum fields, foregoing any possibility of studying local structure by requiring that field theories be topological, i.e. invariant under large scale deformations of spacetime. The resulting Topological Quantum Field Theories (TQFTs) [13–15] have achieved enormous success in fields such as condensed matter theory and quantum error correction. Like AQFT, TQFTs have a categorical formulation as functors from a category of spacetime “pieces” to categories of Vector spaces and algebras. The difference is in the nature of those “pieces”: in AQFT a spacetime is given and the order structure of its regions is considered; in TQFT, on the other hand, (equivalence classes of) basic topological manifolds are given, which can be combined together to form myriad different spacetimes.

The approaches based on Quantum Cellular Automata (QCA) [16–18], finally, attempt to tame the issues with the formulation of quantum field theory by positing that full-fledged quantum fields in spacetime can be understood as the continuous limit of much-more-manageable theories, dealing with quantum fields living on discrete lattices and subject to discrete time evolution (known as Quantum Cellular Automata).

In this work, we propose to use tools from category theory to unify key aspects of the approaches above under a single generalized framework. Specifically, our work is part of an effort to gain an operational, process-theoretic understanding of the relationship between quantum theory and Relativistic causality

[8, 19–21]. Our key contribution, across the next four sections, will be the formulation of a functorial and theory-independent notion of field theory based solely on the order-theoretic structure of causality. To exemplify the flexibility of our construction, in **Section 5** we will build a strong connection to Algebraic Quantum Field Theory, based on a sheaf-theoretic formulation of states over regions. In **Section 6**, finally, we will formulate a notion of cellular automaton which encompasses and greatly generalizes notions of QCA from existing literature.

2 CAUSAL ORDERS

In this work, we will consider posets as an abstract model of causally well-behaved spacetimes. This means that we will be working in the category Pos of posets and monotone maps between them, with Malament’s result [1] showing that future-and-past-distinguishing conformal Lorentzian manifolds embed into Pos . To highlight the intended relationship to spacetimes, we will refer to partial orders as causal orders for the remainder of this work.

Definition 2: By a causal order we mean a poset $\Omega = (|\Omega|, \leq)$, i.e. a set $|\Omega|$ equipped with a partial order \leq on it. We refer to the elements of Ω as events. Given two events $x, y \in \Omega$ we say that x causally precedes y (equivalently that y causally follows x) iff $x \leq y$. We say that x and y are causally related iff $x \leq y$ or $y \leq x$. A causal sub-order Ω' of a causal order Ω is a subset $|\Omega'| \subseteq |\Omega|$ endowed with the structure of a poset by restriction.³

As we now proceed to demonstrate, several familiar concepts from Relativity can be defined in a purely combinatorial manner on partial orders.

2.1 Causal Paths

Definition 3: Let Ω be a causal order and let $x, y \in \Omega$ be two events. A causal path from x to y is a maximal totally ordered subset $\gamma \subseteq \Omega$ such that $x = \min \gamma$ and $y = \max \gamma$. Maximality of the subset $\gamma \subseteq \Omega$ here means that there is no total order $\gamma' \subseteq \Omega$ strictly containing γ and such that $x = \min \gamma'$ and $y = \max \gamma'$. We write $\gamma : x \rightsquigarrow y$ to denote that γ is a causal path from x to y .

The causal diamond from x to y in a causal order Ω is the union of all causal paths $x \rightsquigarrow y$ in Ω . Furthermore, causal paths in Ω can be naturally organized into a category as follows:

- The objects are the events $x \in \Omega$;
- The morphisms from x to y are the paths $x \rightsquigarrow y$;
- The identity morphism on x is the singleton path $\{x\} : x \rightsquigarrow x$;
- Composition of two paths $\gamma : x \rightsquigarrow y$ and $\xi : y \rightsquigarrow z$ is the set-theoretic union of the subsets $\gamma, \xi \subseteq \Omega$:

$$\xi \circ \gamma := (\xi \cup \gamma) : x \rightsquigarrow z. \quad (1)$$

²The local finiteness condition for a causal set can equivalently be stated as the requirement that the partial order arises as the reflexive-transitive closure of a non-transitive directed graph, its Hasse diagram (see e.g. [8]).

³I.e. such that for all $x, y \in |\Omega'|$ we have that $x \leq y$ in Ω' if and only if $x \leq y$ in Ω .

Definition 4: Let Ω be a causal order and let $x \in \Omega$ be an event. The causal future $J^+(x)$ of x is the set of all events y which causally follow it:

$$J^+(x) := \{y \in \Omega \mid x \leq y\}. \tag{2}$$

Similarly, the causal past $J^-(x)$ of x is the set of all events y which causally precede it:

$$J^-(x) := \{y \in \Omega \mid y \leq x\}. \tag{3}$$

We also define causal future and past for arbitrary subsets $A \subseteq \Omega$ by union:

$$J^+(A) := \bigcup_{x \in A} J^+(x) \quad J^-(A) := \bigcup_{x \in A} J^-(x). \tag{4}$$

Remark 5: A causal order Ω is automatically future-and-past-distinguishing. To see this, assume that $J^+(x) = J^+(y)$ for some $x, y \in \Omega$: then both $x \in J^+(x) = J^+(y)$, implying $y \leq x$, and $y \in J^+(y) = J^+(x)$, implying $x \leq y$, so that $x = y$ by antisymmetry of the partial order \leq . The assumption that $J^-(x) = J^-(y)$ analogously implies that $x = y$.

Definition 6: Let Ω be a causal order and let $x \in \Omega$ be an event. By a causal path $\gamma : x \rightsquigarrow +\infty$ (resp. $\gamma : -\infty \rightsquigarrow x$) we denote a maximal totally ordered subset $\gamma \subseteq \Omega$ such that $x = \min \gamma$ (resp. $x = \max \gamma$). If Ω has a global maximum (resp. global minimum), then we denote it by $+\infty$ (resp. $-\infty$) for consistency with our previous definition of causal paths, otherwise the symbol $+\infty$ (resp. $-\infty$) is never used to denote an actual element of \mathcal{C} .

The causal future (resp. causal past) of an event x is the union of all causal paths $x \rightsquigarrow +\infty$ (resp. $-\infty \rightsquigarrow x$).

2.2 Space-Like Slices

Definition 7: Let Ω be a causal order and let $A \subseteq \Omega$ be any subset. The future domain of dependence $D^+(A)$ of A is the subset of all events $x \in \Omega$ which “necessarily causally follow A ,” in the sense that every causal path $-\infty \rightsquigarrow x$ intersects A :

$$D^+(A) := \{x \in \Omega \mid \forall \gamma : -\infty \rightsquigarrow x. \gamma \cap A \neq \emptyset\}. \tag{5}$$

The past domain of dependence $D^-(A)$ of A is the subset of all events $x \in \Omega$ which “necessarily causally precede A ,” in the sense that every causal path $x \rightsquigarrow +\infty$ intersects A :

$$D^-(A) := \{x \in \Omega \mid \forall \gamma : x \rightsquigarrow +\infty. \gamma \cap A \neq \emptyset\}. \tag{6}$$

The domains of dependence of a subset A are related to its past and future by the following two Propositions.

Proposition 8: Let Ω be a causal order and let $A \subseteq \Omega$ be any subset. Then $D^+(A) \subseteq J^+(A)$ and $D^-(A) \subseteq J^-(A)$.

Proof: Let $x \in D^+(A)$ be any event in the future domain of dependence of A . The set of causal paths $-\infty \rightsquigarrow x$ is necessarily non-empty, because there must be at least one such path extending the singleton path $\{x\} : x \rightsquigarrow x$. Let $\gamma : -\infty \rightsquigarrow x$ be one such path. Because $x \in D^+(A)$, γ must intersect A at some point $y \leq x$, and we define $\gamma' := \gamma \cap J^+(\{y\}) \neq \emptyset$. By definition, $y = \min \gamma'$. Because $J^+(\{y\})$ is upward-closed, $x = \max \gamma'$ and $\gamma' :$

$y \rightsquigarrow x$ is such that $\gamma' \subseteq J^+(\{y\}) \subseteq J^+(A)$, so we conclude that $x \in J^+(A)$. The proof that $D^-(A) \subseteq J^-(A)$ is analogous. \square

Proposition 9: Let Ω be a causal order and let $A \subseteq \Omega$ be any subset. If $B \subseteq D^+(A)$ then $J^+(B) \subseteq J^+(A)$ and $J^-(B) \subseteq J^-(A) \cup J^+(A)$. Dually, if $B \subseteq D^-(A)$ then $J^-(B) \subseteq J^-(A)$ and $J^+(B) \subseteq J^-(A) \cup J^+(A)$.

Proof: Without loss of generality, assume $B \subseteq D^+(A)$ —the case $B \subseteq D^-(A)$ is proven analogously. From Proposition 8 we have that $B \subseteq D^+(A) \subseteq J^+(A)$, so we conclude that $J^+(B) \subseteq J^+(A)$ by upward-closure of $J^+(A)$. Now consider $x \in J^-(B)$. Let $\gamma : x \rightsquigarrow y$ be any path with $y \in B$ and let $\gamma' : -\infty \rightsquigarrow y$ be any path extending γ . Because $B \subseteq D^+(A)$, the intersection $\gamma' \cap A$ contains at least some point z . Because γ' is totally ordered, we have two possible cases: $z \leq x$ and $z \geq x$. If $z \leq x$, then $\gamma' \cap J^+(\{z\}) \cap J^-(\{x\}) : z \rightsquigarrow x$ shows that $x \in J^+(A)$. If $z \geq x$, then $\gamma' \cap J^-(\{z\}) \cap J^+(\{x\}) : x \rightsquigarrow z$ shows that $x \in J^-(A)$. \square

Definition 10: Let Ω be a causal order. We say that two events x, y are space-like separated if they are not causally related, i.e. if neither $x \leq y$ nor $y \leq x$. Consequently, we define a (space-like) slice Σ in Ω to be an antichain, i.e. a subset $\Sigma \subseteq \Omega$ such that (Σ, \leq) is a discrete partial order (equivalently, any two distinct $x, y \in \Sigma$ are space-like separated).

Definition 11: Let Ω be a causal order and let $\mathcal{A} \subseteq \mathcal{P}(\Omega)$ be a collection of subsets of Ω . We say that the subsets in \mathcal{A} are space-like separated if the following conditions holds for all distinct $A, B \in \mathcal{A}$:

$$A \cap (J^+(B) \cup J^-(B)) = \emptyset. \tag{7}$$

In particular, a space-like slice is the union of a collection of space-like separated singleton subsets. See Figure 1 for examples.

More than diamonds or paths, slices are the focus of this work. Space-like slices are a generalization of space-like surfaces from Relativity: the term “slice” is used here in place of “surface” because the latter traditionally implies some topological conditions.

Definition 12: Let Ω be a causal order. The category of all slices on Ω , denoted by $\text{Slices}(\Omega)$, is the strict partially monoidal category [22] defined as follows.

- Objects of $\text{Slices}(\Omega)$ are the slices of Ω .
- The category is a poset and the unique morphism from a space-like slice Σ to another space-like slice Γ is denoted $\Sigma \rightarrow \Gamma$ if it exists. Specifically, we say that $\Sigma \rightarrow \Gamma$ if and only if $\Gamma \subseteq D^+(\Sigma)$, i.e. iff Γ lies entirely into the future domain of dependence of Σ . See Figures 2, 3 for examples.
- The monoidal product on objects $\Sigma \otimes \Gamma$ is only defined when Σ and Γ are space-like separated, in which case it is the disjoint union $\Sigma \sqcup \Gamma$.
- The unit for the monoidal product is the empty space-like slice $\emptyset \subseteq \Omega$.
- The partial monoidal product on objects extends to morphisms because whenever $\Sigma' \subseteq D^+(\Sigma)$ and $\Gamma' \subseteq D^+(\Gamma)$ —i.e. whenever $\Sigma \rightarrow \Sigma'$ and $\Gamma \rightarrow \Gamma'$ —we necessarily have:

$$\Sigma' \sqcup \Gamma' \subseteq D^+(\Sigma) \cup D^+(\Gamma) \subseteq D^+(\Sigma \sqcup \Gamma), \quad \text{i.e. } \Sigma \otimes \Gamma \rightarrow \Sigma' \otimes \Gamma'. \tag{8}$$

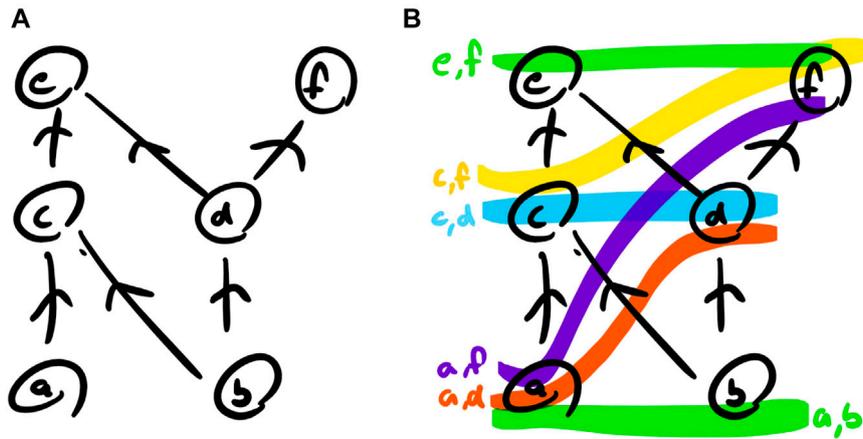


FIGURE 1 | (A): the Hasse diagram for a causal order on six events $\{a, b, c, d, e, f\}$. **(B):** the maximal slices for the causal order highlighted (all other slices can be obtained as subsets of the maximal slices).

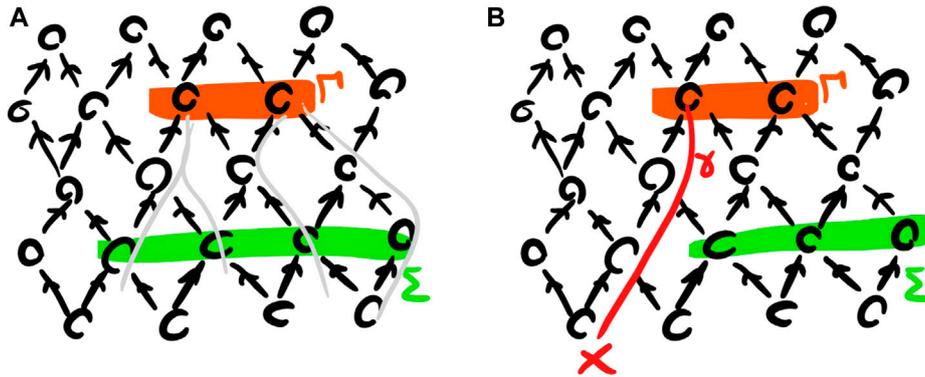


FIGURE 2 | (A): two slices Σ, Γ such that $\Sigma \rightarrow \Gamma$. **(B):** two slices Σ, Γ such that $\Sigma \rightarrow \&doublehyphen; 9pt/ \Gamma$, highlighting a past-directed path γ starting from an event of Γ and not intersecting Σ at any point.

The partial monoidal product is strict, i.e. strictly associative and unital⁴ when all products are defined. The partial monoidal product is also commutative, i.e. it is symmetric (wherever defined) with an identity $\Sigma \otimes \Gamma = \Gamma \otimes \Sigma$ as the symmetry isomorphism.

The order relation $\Sigma \rightarrow \Gamma$ on slices has been defined in such a way as to ensure that the field state local to the codomain slice Γ will be entirely determined by evolution and marginalization of the field state on the domain slice Σ . In particular, the definition is such that any sub-slice $\Sigma' \subseteq \Sigma$ necessarily satisfies $\Sigma \rightarrow \Sigma'$, since the field state on Σ' can be obtained from the field state on Σ by marginalization/discarding. The connection to marginalisation will be discussed in further detail in **Section 4.3** below.

2.3 Diamonds and Regions

Let Ω be a causal order. If x, y are two events in Ω , the causal diamond from x to y in Ω is the causal sub-order $(\diamond_{x,y}, \leq) \hookrightarrow \Omega$ defined as follows:

$$\diamond_{x,y} := \{z \in \Omega \mid x \leq z \leq y\} = \bigcup_{\gamma: x \rightsquigarrow y} \gamma. \tag{9}$$

Definition 14: Let Ω be a causal order. A region in Ω is a causal sub-order $(R, \leq) \hookrightarrow \Omega$ which is convex, i.e. one such that for all events $x, y \in R$ the causal diamond from x to y in Ω is a subset of R (i.e. R contains all paths $\gamma : x \rightsquigarrow y$ in Ω).

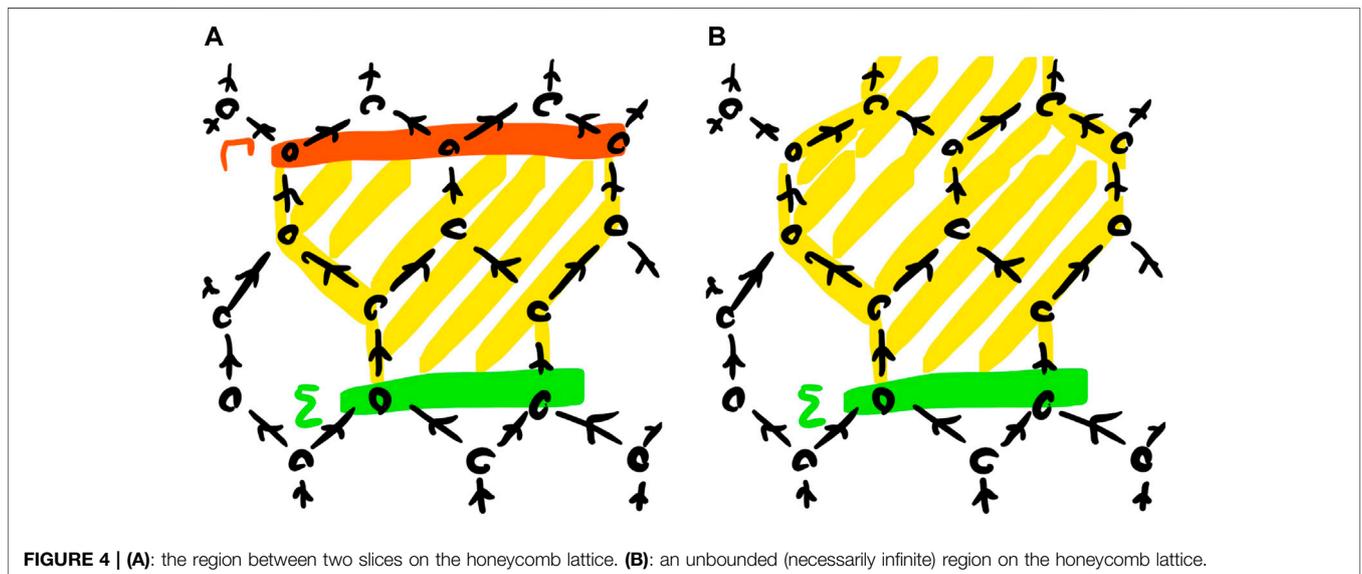
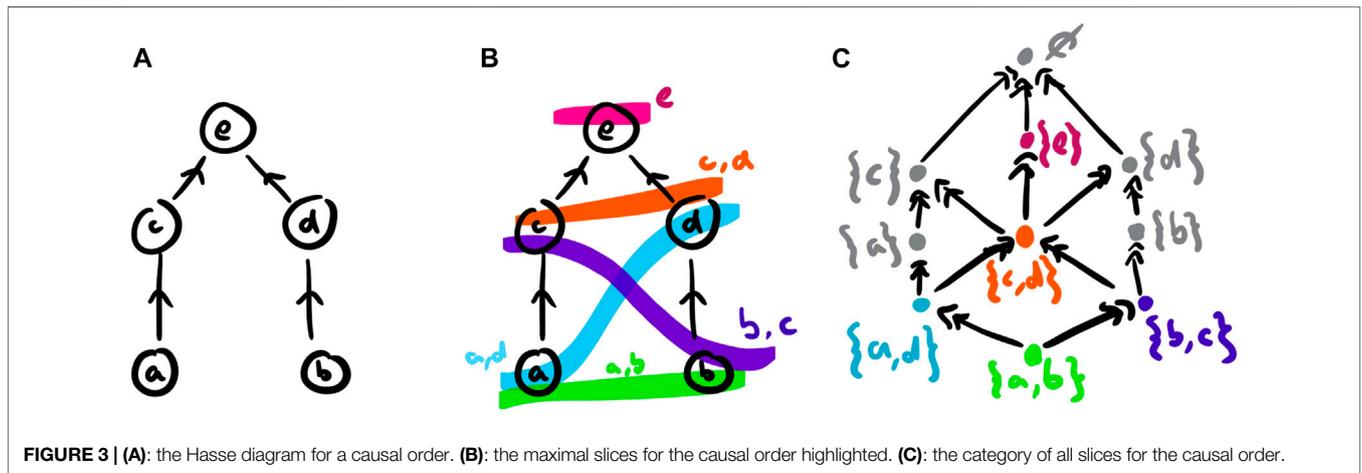
Definition 14 is the order-theoretic incarnation of the requirement that causal diamonds generate the topology of Lorentzian manifolds: we could have equivalently stated it as saying that regions in Ω are all the possible unions of causal diamonds in Ω (including the empty one). A special case of region of particular interest is the region between two slices $\Sigma \rightarrow \Gamma$.

Definition 15: Let Ω be a causal order and consider two slices $\Sigma \rightarrow \Gamma$. We define the region between Σ and Γ as follows:

$$\diamond_{\Sigma, \Gamma} := \bigcup_{x \in \Sigma} \bigcup_{y \in \Gamma} \diamond_{x,y} \tag{10}$$

In particular, a causal diamond $\diamond_{x,y}$ is the region between the slices $\{x\}$ and $\{y\}$. More generally, a region between slices Σ and Γ is the intersection $\diamond_{\Sigma, \Gamma} = J^+(\Sigma) \cap J^-(\Gamma)$ of their future and past respectively.

⁴I.e. we have that $\Sigma \otimes \emptyset = \Sigma = \emptyset \otimes \Sigma$, for all slices Σ .



The slices Σ and Γ bounding the region $\diamond_{\Sigma,\Gamma}$ can be obtained respectively as the sets of its minima $\Sigma = \min \diamond_{\Sigma,\Gamma}$ and of its maxima $\Gamma = \max \diamond_{\Sigma,\Gamma}$. As a special case, a slice Σ is the region between Σ and Σ . Conversely, every closed bounded region R —and in particular every finite region—is in the form $R = \diamond_{\min R, \max R}$. See **Figure 4** for examples.

3 CATEGORIES OF SLICES

Because we didn't impose any topological constraints on the slices, it is possible that the category $\text{Slices}(\Omega)$ will, in practice, contain objects which are too irregular or exotic for physical fields to be defined over (such as fractal slices with low topological dimension). To obviate this issue, we consider more general categories of slices on a given causal order: this will allow us to restrict our attention to slices with any properties we desire, as long as we retain enough slices to reconstruct the structure of the causal order Ω , both 1) globally and 2) locally.

No requirement is made for all products that exist in $\text{Slices}(\Omega)$ to also exist on members of a more general category of slices: it is the case that certain properties desirable in practice may not be closed under arbitrary union of space-like separated slices themselves satisfying the property.⁵ However, we impose the requirement 3) that these more general categories of slices be partially monoidal sub-categories of $\text{Slices}(\Omega)$.

Definition 16: Let Ω be a causal order. A category of slices on Ω is the full sub-category \mathcal{C} of $\text{Slices}(\Omega)$ defined by a given set

⁵An example of this phenomenon is given by constant-time partial Cauchy slices in Minkowski spacetime: the union of two disjoint constant-time partial Cauchy slices having the same time parameter yields another constant-time partial Cauchy slice, but the union of two space-like separated constant-time partial Cauchy slices having different time parameters does not yield a constant-time partial Cauchy slice as a result.

$obj(\mathcal{C})$ of slices chosen in such a way that the following three conditions hold.

- (1) For any two events $x, y \in \Omega$ with $x \leq y$, there exist slices $\Sigma, \Gamma \in obj(\mathcal{C})$ such that $x \in \Sigma, y \in \Gamma$ and $\Sigma \rightarrow \Gamma$.
- (2) If Σ, Γ and Δ are three slices in \mathcal{C} , then the restriction $(\Delta \cap \diamond_{\Sigma, \Gamma})$ of Δ to the region $\diamond_{\Sigma, \Gamma}$ is also a slice in \mathcal{C} .
- (3) The category of slices \mathcal{C} is a partially monoidal subcategory of $Slices(\Omega)$. In particular, $\emptyset \in obj(\mathcal{C})$ and whenever $\Sigma \otimes \Gamma$ exists in \mathcal{C} for some $\Sigma, \Gamma \in obj(\mathcal{C})$ then $\Sigma \otimes \Gamma$ also exists in $Slices(\Omega)$ (Associativity and unitality of \otimes are strict in \mathcal{C} as they are in $Slices(\Omega)$).

In particular, $Slices(\Omega)$ is itself a category of slices on Ω .

Condition (2) in the definition above tells us that we can talk about regions directly within a given category \mathcal{C} of slices, without first having to reconstruct the causal order Ω : this will form the basis of the connection to AQFT in **Section 5** below.

As an example of particularly well-behaved slices, we define a notion of Cauchy slices—akin to that of Cauchy surfaces from Relativity—and remark that any “foliation” of a causal order in terms of such slices gives rise to what is arguably the simplest non-trivial example of category of slices.

Definition 17: A slice Σ on Ω is a Cauchy slice if every causal path $\gamma : -\infty \rightsquigarrow +\infty$ in Ω intersects Σ at some (necessarily unique) event. Cauchy slices are in particular maximal slices. A category of Cauchy slices on Ω is a category \mathcal{C} of slices on Ω such that every slice $\Sigma \in obj(\mathcal{C})$ is a subset $\Sigma \subseteq \Gamma$ of some Cauchy slice $\Gamma \in obj(\mathcal{C})$.

Proposition 18: A foliation on a causal order Ω is a set \mathcal{F} of Cauchy slices on Ω such that:

- (1) The slices in \mathcal{F} are totally ordered according to \rightarrow ;
- (2) Every event $x \in \Omega$ is contained in some slice $\Sigma \in \mathcal{F}$;
- (3) The slices in \mathcal{F} are pairwise disjoint.

If \mathcal{F} is a foliation, write $CauchySlices(\mathcal{F})$ for the full subcategory of $Slices(\Omega)$ generated by all slices which are subsets of some Cauchy slice in \mathcal{F} . Then $CauchySlices(\mathcal{F})$ is a category of Cauchy slices on Ω .

Proof: Let $CauchySlices(\mathcal{F})$ denote the full sub-category of $Slices(\Omega)$ generated by all slices which are subsets of some Cauchy slice.

For any two events $x \leq y$ in Ω , let $\Sigma, \Gamma \in obj(CauchySlices(\mathcal{F}))$ be two Cauchy slices such that $x \in \Sigma$ and $y \in \Gamma$, the existence of such slices guaranteed by the definition of foliation. Because the foliation is totally ordered, we have that $\Sigma \rightarrow \Gamma$ or $\Gamma \rightarrow \Sigma$ (or both, if $\Sigma = \Gamma$ and $x = y$). If $x = y$, either works, while if $x < y$ then necessarily $\Sigma \rightarrow \Gamma$. Either way, condition (1) for $CauchySlices(\mathcal{F})$ to be a category of slices is satisfied. \square

Let Σ', Γ' and Δ' be three slices, respectively contained in three Cauchy slices Σ, Γ and Δ inside the foliation. Because of total ordering and disjointness of slices in \mathcal{F} , the only instance in which $\Delta \cap \diamond_{\Sigma, \Gamma} \neq \emptyset$ is when $\Sigma \rightarrow \Delta \rightarrow \Gamma$. In this case,

$\Delta \cap \diamond_{\Sigma, \Gamma} = \Delta \in obj(CauchySlices(\mathcal{F}))$. Otherwise, $\Delta \cap \diamond_{\Sigma, \Gamma} = \emptyset \in obj(CauchySlices(\mathcal{F}))$. Either way, condition (2) for $CauchySlices(\mathcal{F})$ to be a category of slices is satisfied when Σ, Γ and Δ are Cauchy slices. This result immediately generalises to Σ', Γ' and Δ' : we have that $\Delta' \cap \diamond_{\Sigma', \Gamma'} \subseteq \Delta \cap \diamond_{\Sigma, \Gamma} \subseteq \Delta$, so that $\Delta' \in obj(CauchySlices(\mathcal{F}))$ and condition (2) for $CauchySlices(\mathcal{F})$ to be a category of slices is satisfied.

Finally, if Σ, Γ are two slices such that $\Sigma \otimes \Gamma$ is defined in $CauchySlices(\mathcal{F})$, then Σ, Γ are necessarily disjoint subsets of the same Cauchy slice Δ . It is then immediate to conclude that condition (3) for $CauchySlices(\mathcal{F})$ to be a category of slices is satisfied.

3.1 The category of Causal Orders

As objects, causal orders have been defined simply as posets. However, causal orders are not simply posets, and this should be reflected in the kind of morphisms that can be used to relate them to one another. Malament’s result [1] may seem at first to indicate that order-preserving maps are the correct choice, but upon closer inspection one realises that the result itself only talks about order-preserving isomorphisms, giving no indication about other maps.

A prototypical example of the behavior we wish to avoid is that where $\Omega' \hookrightarrow \Omega$ is a sub-poset such that $x \leq y$ in Ω for some $x, y \in \Omega'$ but $x \not\leq y$ in Ω' . The issue above is the reason behind the rather specific formulation of the notion of causal sub-order in **Definition 2**, prompting us to choose a special subclass of order-preserving maps as morphisms between causal orders.

Definition 19: The category $CausOrd$ of causal orders is the symmetric monoidal category defined as follows:

- Objects of $CausOrd$ are causal orders, i.e. posets.
- Morphisms $\Omega \rightarrow \Theta$ in $CausOrd$ are the order-preserving functions $f : \Omega \rightarrow \Theta$ such that we have $x < y$ in Ω whenever we have $f(x) < f(y)$ in Θ .
- The monoidal product on objects $\Omega \otimes \Theta$ is the (forcedly) disjoint union $\Omega \sqcup \Theta := \Omega \times \{0\} \cup \Theta \times \{1\}$.
- The unit for the monoidal product is the empty causal order \emptyset .
- The monoidal product extends to the disjoint union of morphisms. If $f : \Omega \rightarrow \Omega'$ and $g : \Theta \rightarrow \Theta'$, then the monoidal product $f \otimes g : \Omega \otimes \Theta \rightarrow \Omega' \otimes \Theta'$ is defined as follows:

$$f \otimes g := f \sqcup g = (x, i) \mapsto \begin{cases} (f(x), 0) \in \Omega' \times \{0\} & \text{if } i = 0 \\ (g(x), 1) \in \Theta' \times \{1\} & \text{if } i = 1 \end{cases} \tag{11}$$

The monoidal product is not strict nor commutative, but symmetric under the symmetry isomorphisms $s : \Omega \sqcup \Theta \rightarrow \Theta \sqcup \Omega$ defined by $s(x, i) = (x, 1 - i)$.

It is easy to check that the causal sub-orders Ω' of a causal order Ω according to **Definition 2** are all sub-objects $\Omega' \hookrightarrow \Omega$ in the category $CausOrd$, so that the notion of causal sub-order is consistent with the usual notion of categorical sub-object. As discussed above, the regions in a causal order Ω are examples of causal sub-orders, but not all sub-orders are regions: e.g. paths are always sub-orders but not necessarily regions. In general, if we

have $\Omega' \hookrightarrow \Omega$ then it is not necessary for Ω' to be convex, i.e. it is not necessary for Ω' to contain all paths $x \rightsquigarrow y$ in Ω for any two events $x, y \in \Omega'$: in the sense, the causal sub-order Ω' can “coarsen” the causal order Ω by dropping events “in between” events of the latter. As the following proposition shows, this “coarsening” of causal orders is the only other case we need to consider when talking about causal sub-orders.

Definition 20: Let Ω be a causal order and let the morphism $i : \Omega' \hookrightarrow \Omega$ be a causal sub-order of Ω . We say that the morphism $i : \Omega' \hookrightarrow \Omega$ is a region if the image $i(\Omega') \subseteq \Omega$ is a region in Ω . We say that the morphism $i : \Omega' \hookrightarrow \Omega$ is a coarsening if the image $i(\Omega') \subseteq \Omega$ is such that for all $x \leq y \in \Omega$ there exist $x', y' \in \Omega'$ with $i(x') \leq x \leq y \leq i(y')$.

Proposition 21: Let Ω be a causal order and let $i : \Omega' \hookrightarrow \Omega$ be a causal sub-order of Ω . Then i factors (essentially) uniquely as $i = r \circ f$ for some region $r : \Theta \hookrightarrow \Omega$ and some coarsening $f : \Omega' \hookrightarrow \Theta$.

Proof: Let Θ be the region of Ω obtained as the union of the causal diamonds $\diamond_{x,y}$ for all $x, y \in i(\Omega')$. Let $r : \Theta \hookrightarrow \Omega$ be the injection of Θ into Ω as a sub-poset and let $f : \Omega' \hookrightarrow \Theta$ be the restriction of the codomain of i to Θ : clearly $i = r \circ f$, r is a region and f is a coarsening (because of how Θ was constructed).

Now let Θ' be such that $r' : \Theta' \hookrightarrow \Omega$ is a region and $f' : \Omega' \hookrightarrow \Theta'$ is a coarsening with $i = r' \circ f'$: to prove essential uniqueness, we want to show that there is some isomorphism $\theta : \Theta' \rightarrow \Theta$ such that $f = \theta \circ f'$ and $r' = r \circ \theta$. Because $r' \circ f' = i$, the image $r'(\Theta')$ is the region Θ itself, so that the restriction $\theta : \Theta' \rightarrow \Theta$ of the codomain of r' to Θ is an isomorphism with $r' = r \circ \theta$. Now we have $r \circ f = i = r' \circ f' = r \circ (\theta \circ f')$: but r is a monomorphism (i.e. it is injective), so necessarily $f = \theta \circ f'$. \square

The category CausOrd also has epi-mono factorization, i.e. every morphism $f : \Omega' \rightarrow \Omega$ can be factorised

(essentially) uniquely as an epimorphism (i.e. a surjective map) $q : \Omega' \rightarrow \Theta$ and a monomorphism (i.e. an injective map) $i : \Theta \hookrightarrow \Omega$. We have already adopted the nomenclature of causal suborder for the latter form of morphism, while we will henceforth use causal quotient to refer to the former. Causal quotients are surjective morphisms which “collapse” several events into one, in a way which respects the causal order: as an example, a snippet of the causal quotient $q : H \rightarrow D$ from the (infinite) honeycomb lattice to the (infinite) diamond lattice is shown in **Figure 5**.

If Σ is a slice in Ω , we can define its pullback $f^*(\Sigma)$ to be the causal suborder of Θ generated by $\{x \in \Theta \mid f(x) \in \Sigma\}$, i.e. largest causal sub-order of Θ mapped onto Σ . The pullback of a slice Σ has a rather simple structure: the slices Γ in the pullback $f^*(\Sigma)$ are exactly the disjoint unions $\Gamma := \bigsqcup_{x \in \Sigma} \Gamma_x$ for all possible choices $(\Gamma_x)_{x \in \Sigma}$ of slice sections of f over the individual events x of Σ .

$$(\Gamma_x)_{x \in \Sigma} \in \prod_{x \in \Sigma} \text{obj}(\text{Slices}(f^*(\{x\}))) \tag{12}$$

A depiction of the pullback under the causal quotient $q : H \rightarrow D$ described above can be seen in **Figure 6**.

If \mathcal{C} is a category of slices on Ω , we can define its pullback along f to be the full sub-category $f^*(\mathcal{C})$ of $\text{Slices}(\Theta)$ spanned by all slices Γ in Θ such that $\Gamma \in \text{obj}(\text{Slices}(f^*(\Sigma)))$ for some $\Sigma \in \text{obj}(\mathcal{C})$. The relationship \rightarrow between slices in pullbacks is a little complicated and its full characterization is left to future work.

Remark 22: The two notions of pullback defined above—for slices and for categories of slices—are related by the observation

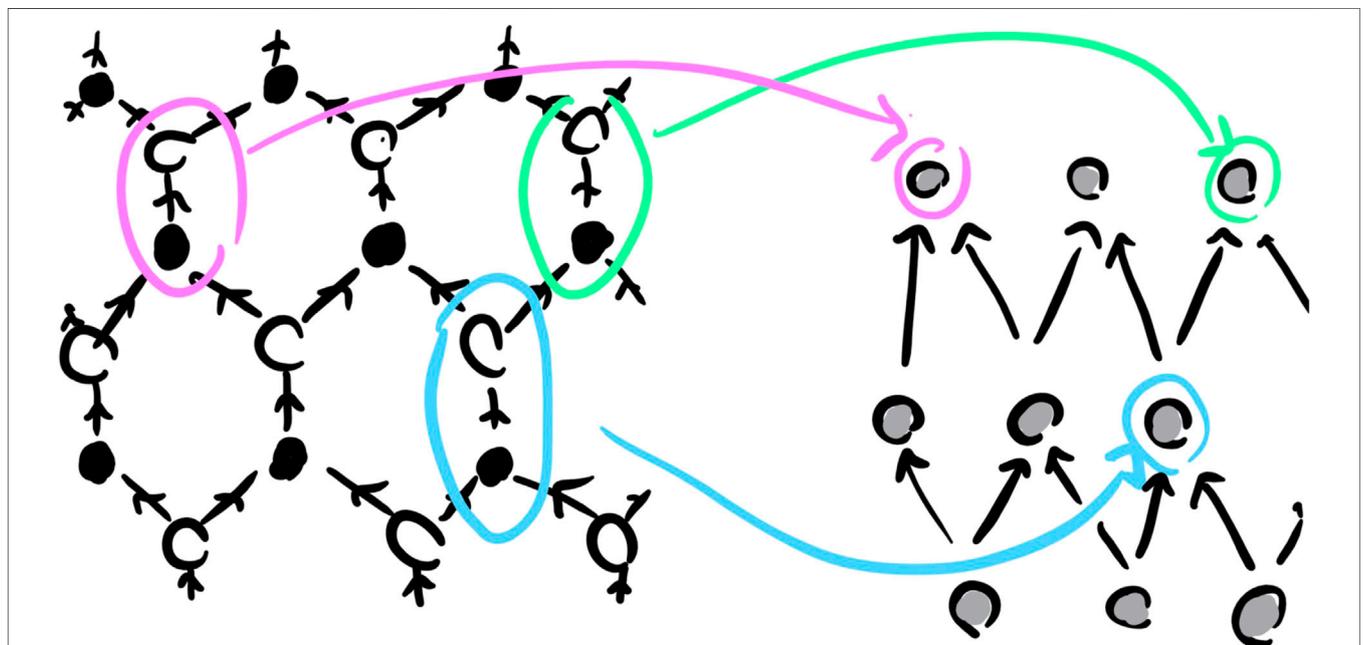


FIGURE 5 | Causal quotient from the honeycomb lattice to the diamond lattice. The pre-images of three events from the diamond lattice are highlighted.

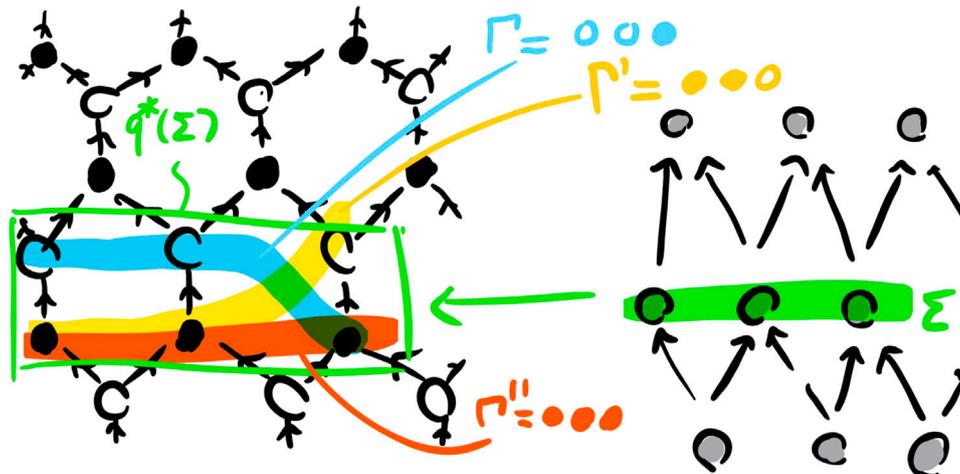


FIGURE 6 | A slice Σ on the diamond lattice and three maximal slices Γ , Γ' and Γ'' in its pullback $q^*(\Sigma)$ on the honeycomb lattice.

that $\text{Slices}(f^*(\Sigma)) = f^*(\text{Slices}(\Sigma))$ for any slice Σ of Ω (which can equivalently be seen as a causal sub-order $\Sigma \hookrightarrow \Omega$).

4 CASUAL FIELD THEORIES

In the previous Section, we have defined several commonplace notions from Relativity in the more abstract context of causal orders. In this Section, we endow our causal order with fields, living in an appropriate symmetric monoidal category.

4.1 Categories for Quantum Fields

Depending on the specific applications, there are many symmetric monoidal categories available to model quantum fields.

- If the context is finite-dimensional, quantum fields can be taken to live in the category $\text{CPM}[\text{fHilb}]$ of finite-dimensional Hilbert spaces and completely positive maps between them.
- If the context is finite-dimensional and super-selected systems are of interest, quantum fields can be taken to live in the category $\text{CP}^*[\text{fHilb}] \cong \text{fC}^*\text{alg}$ of finite-dimensional C^* -algebras and completely positive maps between them.
- If the context is finite-dimensional, an even richer playground available for quantum fields is the category $\text{Split}[\text{CPM}[\text{fHilb}]]$: this is the Karoubi envelope of the category $\text{CPM}[\text{fHilb}]$, containing $\text{CP}^*[\text{fHilb}]$ and a number of other systems of operational interest (such as fixed-state systems and constrained systems, see e.g. [23]).
- If the context is infinite-dimensional, e.g. in the case of AQFT [10, 11], the categories usually considered for quantum fields are the category Hilb of Hilbert spaces and bounded linear maps, the category $C^*\text{alg}$ of C^* -algebras and its subcategories $W^*\text{alg}$ of W^* -algebras (sometimes known as “abstract” von Neumann algebras) and vNA of (concrete) von Neumann algebras.

- The categories Hilb , $C^*\text{alg}$, $W^*\text{alg}$ and vNA have some annoying limitations, so in an infinite-dimensional context one can alternatively work with hyperfinite quantum systems [24], which incorporate infinities and infinitesimals to offer additional features—such as duals, traces and unital Frobenius algebras—over plain Hilbert spaces and C^* -algebras.

The framework we present here is agnostic to the specific choice of process theory (aka symmetric monoidal category) for quantum fields. In fact, it is agnostic to the specific physical theory considered for the fields: any causal process theory can be considered.

4.2 Causal Field Theories

Let Ω be a causal order. A causal field theory Ψ on Ω is a monoidal functor $\Psi : \mathcal{C} \rightarrow \mathcal{D}$ from a category \mathcal{C} of slices on Ω to some symmetric monoidal category \mathcal{D} , which we refer to as the field category.

Remark 24: It may sometimes be desirable to add a requirement of injectivity on objects for the functor Ψ . This has two main motivations, one of physical character and one of mathematical character. Physically, injectivity means that the field spaces corresponding to distinct events have distinct identities (although they can be isomorphic). Mathematically, injectivity means that the image of the functor is itself a subcategory of \mathcal{D} , matching the style used by other works on compositional causality [8, 19, 20, 23]. While we do not require this as part of our definition, we will take care for the constructions hereafter to be sufficiently general to accommodate the possibility that such a requirement be imposed.

We now ask ourselves: what physical information does the functor Ψ encode? On objects, Ψ associates each space-like slice Σ to the space $\Psi(\Sigma)$ of fields over that slice: every point in $\Psi(\Sigma)$ is a valid initial condition for field evolution in the future domain of dependence for Σ .

Remark 25: If Σ is finite and the singleton slices $\{x\}$ for the individual events $x \in \Sigma$ are all in the chosen category \mathcal{C} of slices,

then the action of Ψ on Σ always factorizes into the tensor product of its action on the individual events:

$$\Psi(\Sigma) = \bigotimes_{x \in \Sigma} \Psi(\{x\}) \tag{13}$$

On morphisms, Ψ associates $\Sigma \rightarrow \Gamma$ to a morphism $\Psi(\Sigma) \rightarrow \Psi(\Gamma)$: this is a specification of how the field evolves from Σ to Γ , i.e. this defines the map sending a field state $|\phi\rangle$ over the initial slice $\Psi(\Sigma)$ to the evolved field state $\Psi(\Sigma \rightarrow \Gamma)|\phi\rangle$ over the final slice $\Psi(\Gamma)$. This identification of functorial action with field evolution is the core idea of our work. In particular, it explains our specific definition of morphisms in $\text{Slices}(\Omega)$, and hence in all categories of slices: $\Sigma \rightarrow \Gamma$ if and only if the field data on Σ is sufficient to derive the field data on Γ , assuming causal field evolution. Monoidality of the functor on objects says that the space of fields on the union of disjoint slices is the monoidal product—the tensor product, when working in the familiar linear settings of Hilbert spaces, C^* -algebras, von Neumann algebras, etc.—of the spaces of fields on the individual slices. Note that this requirement is stronger than the requirement imposed by AQFT, where field algebras over space-like separated diamonds are only required to commute as sub-algebras of the global field algebra, not necessarily to take the form of a tensor product sub-algebra.

Functoriality and monoidality on morphisms have some interesting consequences, which we now discuss in detail. Let $\Sigma \rightarrow \Sigma'$ and $\Gamma \rightarrow \Gamma'$ for a pair of space-like separated slices Σ and Γ and another pair of space-like separated slices Σ' and Γ' . Consider the field evolution between the two disjoint unions of slices:

$$\Psi((\Sigma \otimes \Gamma) \rightarrow (\Sigma' \otimes \Gamma')) : \Psi(\Sigma) \otimes \Psi(\Gamma) \rightarrow \Psi(\Sigma') \otimes \Psi(\Gamma') \tag{14}$$

Monoidality on morphisms implies that the field evolution above factors as the product of the individual field evolutions $\Psi(\Sigma) \rightarrow \Psi(\Sigma')$ and $\Psi(\Gamma) \rightarrow \Psi(\Gamma')$:

$$\Psi((\Sigma \otimes \Gamma) \rightarrow (\Sigma' \otimes \Gamma')) = \Psi(\Sigma \rightarrow \Sigma') \otimes \Psi(\Gamma \rightarrow \Gamma') \tag{15}$$

This may look surprising at first, but it becomes entirely natural upon observing the following.

Proposition 26: *Let Ω be a causal order. If Σ and Γ are space-like separated slices in Ω and $\Sigma \rightarrow \Sigma'$, then Σ' and Γ are also space-like separated slices.*

Proof: If Σ and Γ are space-like separated, then $\Gamma \cap (J^+(\Sigma) \cup J^-(\Sigma)) = \emptyset$. Because $\Sigma \rightarrow \Sigma'$, furthermore, **Proposition 9** tells us that $J^+(\Sigma') \cup J^-(\Sigma') \subseteq J^+(\Sigma) \cup J^-(\Sigma)$. We conclude that $\Gamma \cap (J^+(\Sigma') \cup J^-(\Sigma')) = \emptyset$, i.e. that Σ' and Γ are also space-like separated. \square

Proposition 26 above tells us that in our factorization scenario the entire region between Σ and Σ' on one side and the entire region between Γ and Γ' on the other side are space-like separated. Thus any causal field evolution from $\Sigma \otimes \Gamma$ to $\Sigma' \otimes \Gamma'$ would physically be expected to factor: this can be seen as a manifestation of the principle of locality for field theories, sometimes also known as “clustering.”

Remark 27: *Please note that the principle of locality obtained above only implies that the evolution of fields must factorize over*

space-like separated regions. This imposes no constraints on the field state, which can be any state of the space of fields. In particular, if the field category has entanglement (e.g., categories of Hilbert spaces with the usual tensor product) then the field state can entangle space-like separated regions, while field evolution cannot.

4.3 Causality and No-Signalling

Because any category \mathcal{C} of slices on a causal order Ω is a partially monoidal subcategory of $\text{Slices}(\Omega)$, in particular it necessarily contains the empty slice (the monoidal unit). We define the following family of effects, indexed by all slices $\Sigma \in \text{obj}(\mathcal{C})$:

$$\dagger_{\Sigma} := \Psi(\Sigma \rightarrow \emptyset) \tag{16}$$

By monoidality we have that \dagger_{\emptyset} is some scalar in the field category \mathcal{D} and that the family respects the partial monoidal structure:

$$\dagger_{\Sigma \otimes \Gamma} = \Psi((\Sigma \otimes \Gamma) \rightarrow \emptyset) = \Psi((\Sigma \rightarrow \emptyset) \otimes (\Gamma \rightarrow \emptyset)) = \Psi(\Sigma \rightarrow \emptyset) \otimes \Psi(\Gamma \rightarrow \emptyset) = \dagger_{\Sigma} \otimes \dagger_{\Gamma} \tag{17}$$

By functoriality, furthermore, the family of effects above is respected by the image of the functor:

$$\dagger_{\Gamma} \circ \Psi(\Sigma \rightarrow \Gamma) = \Psi(\Gamma \rightarrow \emptyset) \circ \Psi(\Sigma \rightarrow \Gamma) = \Psi(\Sigma \rightarrow \emptyset) = \dagger_{\Sigma} \tag{18}$$

This means that the family of effects $(\dagger_{\Sigma})_{\Sigma \in \text{obj}(\mathcal{C})}$ defined above is an environment structure and that—as long as injectivity of Ψ is imposed—the image of the causal field theory Ψ is a causal category [19, 20].⁶ Physically, this means that the field evolution happens in a no-signalling way: if the effects $(\dagger_{\Sigma})_{\Sigma \in \text{obj}(\mathcal{C})}$ are used as discarding maps—generalizing the partial traces of quantum theory—then the field state over a given slice Σ does not depend on the field state over slices which are in the future of Σ or are space-like separated from Σ .

This emergence of causality and no-signalling from functoriality is in fact a consequence of a breaking of time symmetry which happened in the very definition of the ordering between slices. Indeed, consider the “time-reversed” causal order Ω^{rev} , obtained by reversing all causal relations in Ω (i.e. $y \leq x$ in Ω^{rev} if and only if $x \leq y$ in Ω). The slices for Ω^{rev} are exactly the slices for Ω , i.e. the categories of all slices $\text{Slices}(\Omega^{rev})$ and $\text{Slices}(\Omega)$ have the same objects. If time symmetry were to hold, we would expect the arrows in $\text{Slices}(\Omega^{rev})$ to be exactly the reverse of the arrows in $\text{Slices}(\Omega)$. However, the conditions defining the arrows in both categories are as follows:

- $\Sigma \rightarrow \Gamma$ in $\text{Slices}(\Omega)$ iff $\Gamma \subseteq D^+(\Sigma)$ in Ω ;
- $\Gamma \rightarrow \Sigma$ in $\text{Slices}(\Omega^{rev})$ iff $\Sigma \subseteq D^+(\Gamma)$ in Ω^{rev} , i.e. iff $\Sigma \subseteq D^-(\Gamma)$ in Ω .

The two conditions that $\Gamma \subseteq D^+(\Sigma)$ and $\Sigma \subseteq D^-(\Gamma)$, both in Ω , are not in general equivalent: this shows that time symmetry is

⁶We have taken the liberty to extend the definition of environment structures to partially monoidal categories, such as the image of a Ψ injective on objects under the partial monoidal product induced by the partial monoidal product of the domain category \mathcal{C} .

broken by our definition of the relationship between slices, ultimately leading to the emergence of causality and no-signalling constraints on functorial evolution of quantum fields.

5 CONNECTION WITH ALGEBRAIC QUANTUM FIELD THEORY

The definition of causal field theories looks somewhat similar to that of Topological Quantum Field Theories (TQFTs) as functors from categories of cobordism to categories of vector spaces. The big difference between the causal field theories we defined above and TQFTs is that the latter take the basic building blocks for field theories to be defined over arbitrary topological spacetimes, while the former define the evolution over a single given spacetime. This difference is an aspect of a general abstract duality between compositionality and decompositionality.

In compositionality, larger objects are created by composing together given elementary building blocks in all possible ways: this is the approach behind an ever growing zoo of process theories (e.g., see [25] and references therein). In decompositionality, on the other hand, larger objects are given as a whole and subsequently decomposed into smaller constituents, with composition of the latter constrained by the context in which they live: this approach, based on partially monoidal structure, was recently introduced by [22] as a way to talk about compositionality in physical theories where a universe is fixed beforehand. While TQFTs are compositional [13, 26], causal field theories are more naturally understood from the decompositional perspective.

In fact, decompositionality is the key ingredient in a completely different family of approaches to quantum theory, including Algebraic Quantum Field Theory (AQFT) [10] and the topos-theoretic approaches [11, 12]. In AQFT, the relationship between fields and the topology of spacetime is encapsulated into the structure of a presheaf, having as its domain the poset formed by causal diamonds in Minkowski space under inclusion and as its codomain a category of C^* -algebras and $*$ -homomorphisms. Specifically, each region (causal diamond) of Minkowski spacetime is mapped to the C^* -algebra of “local” quantum observables (categorically: effects) on that region. From this perspective, locality and causality are formulated as the requirement that algebras of local observables over space-like separated regions commute within the algebra of global observables (that is, local effects cannot be entangling over space-like separated regions).

To understand the decompositional character of causal field theories, we draw inspiration from the AQFT approach and turn our functors, defined on slices, into presheafs defined on “regions” (generalising unions of causal diamonds in AQFT). However, our approach differs from the AQFT approach in a number of ways:

- We dispense of the algebras themselves: as mentioned earlier in **Section 4.1**, our approach is independent of the specific process theory chosen for the fields.

- Instead of looking at the space of local observables/effects, we take the (equivalent) dual perspective and work with the space of local states.
- Local states can be entangling, so the formulation of locality and causality as “commutativity” is no longer applicable, even in the case where the field category is a category of C^* -algebras. Instead, locality and causality arise as a consequence of factorization of field evolution over space-like separated slices.

We begin by showing that categories of slices can be restricted to regions, as long as we take care to define regions in such a way as to respect the restrictions imposed by a specific choice of category of slices.

Definition 28: A bounded region in a category of slices \mathcal{C} on a causal order Ω is a region on Ω in the form $\diamond_{\Sigma, \Gamma}$ for some $\Sigma, \Gamma \in \text{obj}(\mathcal{C})$. Bounded regions in \mathcal{C} form a poset $\text{Regions}_{\text{bnd}}(\mathcal{C})$ under inclusion.

Definition 29: A region in a category of slices \mathcal{C} is a region R on Ω which can be obtained as a union $R = \cup_{\lambda \in \Lambda} \diamond_{\Sigma_\lambda, \Gamma_\lambda}$ of a family $(\diamond_{\Sigma_\lambda, \Gamma_\lambda})_{\lambda \in \Lambda}$, closed under finite unions, of bounded regions in \mathcal{C} . Regions in \mathcal{C} also form a poset $\text{Regions}(\mathcal{C})$ under inclusion, with $\text{Regions}_{\text{bnd}}(\mathcal{C})$ as a sub-poset.

Note that if $\mathcal{C} = \text{Slices}(\Omega)$ then the regions in \mathcal{C} are exactly the regions on Ω : by definition, a region R on Ω is the union of the bounded regions $\diamond_{x,y}$ for all $x, y \in R$.

Proposition 30: Let \mathcal{C} be a category of slices and R be a region in it. The restriction $\mathcal{C}|_R$ of \mathcal{C} to the region R , defined as the full subcategory of \mathcal{C} spanned by the slices $\Delta \in \text{obj}(\mathcal{C})$ such that $\Delta \subseteq R$, is itself a category of slices.

Proof: If $R = \diamond_{\Sigma, \Gamma}$ is a bounded region in \mathcal{C} , then the statement is an immediate consequence of requirement (2) for categories of slices. Now assume that $R = \cup_{\lambda \in \Lambda} \diamond_{\Sigma_\lambda, \Gamma_\lambda}$ is a union of bounded regions in \mathcal{C} .

If $x \leq y$ are two events in R , then it must be that $x \in \diamond_{\Sigma_x, \Gamma_x}$ and $y \in \diamond_{\Sigma_y, \Gamma_y}$ for some $\lambda_x, \lambda_y \in \Lambda$: closure under union of the family $(\diamond_{\Sigma_\lambda, \Gamma_\lambda})_{\lambda \in \Lambda}$ then guarantees that there exists some $\lambda_{x,y} \in \Lambda$ with $x, y \in \diamond_{\Sigma_{\lambda_{x,y}}, \Gamma_{\lambda_{x,y}}}$. Because \mathcal{C} is a category of slices, we can find two slices $\Delta_x \rightarrow \Delta_y$ in \mathcal{C} such that $x \in \Delta_x$ and $y \in \Delta_y$. Then the restrictions $(\Delta_x \cap \diamond_{\Sigma_{\lambda_{x,y}}, \Gamma_{\lambda_{x,y}}})$ and $(\Delta_y \cap \diamond_{\Sigma_{\lambda_{x,y}}, \Gamma_{\lambda_{x,y}}})$ satisfy requirement (1) for $\mathcal{C}|_R$ to be a category of slices.

If Σ, Γ and Δ are three slices in $\mathcal{C}|_R$, then in particular the diamond $\diamond_{\Sigma, \Gamma}$ is a subset of R (the latter is a region) and so is the intersection $\Delta \cap \diamond_{\Sigma, \Gamma}$, which exists in \mathcal{C} because the latter is a category of slices. Hence requirement (2) for $\mathcal{C}|_R$ to be a category of slices is satisfied.

Requirement (3) for $\mathcal{C}|_R$ to be a category of slices is satisfied, because if $\Sigma, \Gamma \subseteq R$ then also $\Sigma \otimes \Gamma \subseteq R$ whenever the latter is defined. \square

Given a causal field theory $\Psi : \mathcal{C} \rightarrow \mathcal{D}$, the restrictions $\Psi|_R : \mathcal{C}|_R \rightarrow \mathcal{D}$ are again causal field theories. To match the spirit of AQFT, we need two more ingredients: the definition of a space of states $\text{States}_\Psi(R)$ over a region R and the definition of restrictions $\text{States}_\Psi(R) \rightarrow \text{States}_\Psi(R')$ between spaces of states associated with inclusions $R' \subseteq R$ of regions.

Definition 31: Given a region R in a category of slices \mathcal{C} , the space of states $\text{States}_\Psi(R)$ over the region is defined to be the set

comprising all families ρ of states over the slices in $C|_R$ which are stable under the action of Ψ , i.e. comprising all the families

$$\rho \in \prod_{\Delta \in \text{obj}(C|_R)} \text{States}_{\mathcal{D}}(\Psi(\Delta)), \quad (19)$$

such that for all $\Delta, \Delta' \in \text{obj}(C|_R)$ with $\Delta \rightarrow \Delta'$ the following condition is satisfied:

$$\Psi(\Delta \rightarrow \Delta') \circ \rho_{\Delta} = \rho_{\Delta'}. \quad (20)$$

By $\text{States}_{\mathcal{D}}(\Psi(\Delta))$ we have denoted the states on the object $\Psi(\Delta)$ of the symmetric monoidal category \mathcal{D} , i.e. the homset $\text{Hom}_{\mathcal{D}}[I, \Psi(\Delta)]$ where I is the monoidal unit of \mathcal{D} .

Proposition 32: Given a causal field theory $\Psi : \mathcal{C} \rightarrow \mathcal{D}$, we can construct a presheaf $\text{States}_{\Psi} : \text{Regions}(\mathcal{C})^{op} \rightarrow \text{Set}$ by associating each region $R \in \text{obj}(\text{Regions}(\mathcal{C}))$ to the space of states $\text{States}_{\Psi}(R)$ over the region, and each inclusion $i : R' \subseteq R$ to the restriction function $\text{States}_{\Psi}(R) \rightarrow \text{States}_{\Psi}(R')$ defined by sending a family $\rho \in \text{States}_{\Psi}(R)$ to the family $\text{States}_{\Psi}(i)(\rho) \in \text{States}_{\Psi}(R')$ given as follows:

$$\text{States}_{\Psi}(i)(\rho)_{\Delta'} = \rho_{i(\Delta')}. \quad (21)$$

We refer to States_{Ψ} as the presheaf of states over regions of \mathcal{C} .

Proof: The only thing to show is functoriality of States_{Ψ} . If $i = id_R : R \subseteq R$ is the identity on a region R , then we have:

$$\text{States}_{\Psi}(i)(\rho)_{\Delta} = \rho_{i(\Delta)} = \rho_{\Delta}, \quad (22)$$

i.e. $\text{States}_{\Psi}(i) = id_{\text{States}_{\Psi}(R)}$ is the identity on the space of states over the region. If now $j : R'' \subseteq R'$ and $i : R' \subseteq R$, then $i \circ j : R'' \subseteq R$ and we have:

$$\begin{aligned} \text{States}_{\Psi}(j)(\text{States}_{\Psi}(i)(\rho))_{\Delta''} &= \text{States}_{\Psi}(i)(\rho)_{j(\Delta'')} = \rho_{i(j(\Delta''))} \\ &= \text{States}_{\Psi}(i \circ j)(\rho)_{\Delta''}. \end{aligned} \quad (23)$$

Hence States_{Ψ} is a presheaf $\text{States}_{\Psi} : \text{Regions}(\mathcal{C})^{op} \rightarrow \text{Set}$. \square

Definition 33: A global state ρ for a causal field theory $\Psi : \mathcal{C} \rightarrow \mathcal{D}$ is a global compatible family for States_{Ψ} , i.e. a family $\rho = (\rho^{(R)})_{R \in \text{Regions}(\mathcal{C})}$ such that $\text{States}_{\Psi}(i)(\rho^{(R)}) = \rho^{(R')}$ for all inclusions $i : R' \subseteq R$ in $\text{Regions}(\mathcal{C})$. We refer to the set of all global states as the space of global states.

Remark 34: If Ω is a region in \mathcal{C} , i.e. if $\Omega \in \text{Regions}(\mathcal{C})$, then the states in $\text{States}_{\Psi}(\Omega)$ (Ω as a region) are in bijection with the global states as follows:

$$\left\{ \begin{array}{l} \rho \in \text{States}_{\Psi}(\Omega) \mapsto (\text{States}_{\Psi}(R \subseteq \Omega)(\rho))_{R \in \text{Regions}(\mathcal{C})} \\ (\rho^{(R)})_{R \in \text{Regions}(\mathcal{C})} \mapsto \rho^{(\Omega)} \end{array} \right. \quad (24)$$

Because of this, we consistently adopt the notation $\text{States}_{\Psi}(\Omega)$ to denote the space of global states. If R is a region in \mathcal{C} , we also adopt the notation $\text{States}_{\Psi}(R \subseteq \Omega)$ for the map $\text{States}_{\Psi}(\Omega) \rightarrow \text{States}_{\Psi}(R)$ sending a global state $\rho = (\rho^{(R)})_{R \in \text{Regions}(\mathcal{C})}$ to its component $\rho^{(R)}$ over the region R . To unify notation, we will also adopt ρ_{Σ} to denote $(\rho^{(R)})_{\Sigma}$, taking the same value for any region R containing Σ (e.g., for $R = \Sigma$).

Remark 35: If the field category \mathcal{D} is suitably enriched (e.g., in a category with all limits), then a natural choice is for the the space of states to be defined by a presheaf valued in the enrichment category. For example, quantum theory is enriched over positive cones, i.e. \mathbb{R}^+ -modules, and the \mathbb{R}^+ -linear structure of states in quantum theory extends to a \mathbb{R}^+ -linear structure on the spaces of states of causal field theories having quantum theory as their field category. We will not consider such enrichment in this work, though all constructions we present can be readily extended to such a setting.

Spaces of states according to **Definition 31** encode a lot of redundant information, because we don't want to look into the specific structure of regions. However, there are certain special cases in which an equivalent description of the space of states over a region can be given.

To start with, consider two slices $\Sigma \rightarrow \Gamma$ and note that the state on any slice $\Delta \subseteq \diamond_{\Sigma, \Gamma}$ in a bounded region $\diamond_{\Sigma, \Gamma}$ is uniquely determined by applying $\Psi(\Sigma \rightarrow \Delta)$ to the state on Σ :

$$\rho_{\Delta} = \Psi(\Sigma \rightarrow \Delta)(\rho_{\Sigma}). \quad (25)$$

This is, for example, the case for all bounded regions between Cauchy slices in a category of slices $\text{CauchySlices}(\mathcal{F})$ generated by some foliation \mathcal{F} . If the foliation \mathcal{F} has a minimum Σ_0 —an initial Cauchy slice—then any global state $\rho \in \text{States}_{\Psi}(\Omega)$ is entirely determined by its component ρ_{Σ_0} over the initial slice Σ_0 :

$$\rho_{\Delta} = \Psi(\Sigma_0 \rightarrow \Delta) \circ \rho_{\Sigma_0}, \quad (26)$$

for any $\Delta \in \mathcal{F}$ and any region R in $\text{CauchySlices}(\mathcal{F})$ such that $\Delta \subseteq R$. This extends to all slices in $\text{CauchySlices}(\mathcal{F})$ by restriction.

Inspired by Relativity, we would like the state on any Cauchy slice in the foliation to determine the global state, not only that on an initial Cauchy slice (which may not exist). For this to happen, we need to strengthen our requirements on the causal field theory, which needs to be causally reversible.

Definition 36: Let Ω be any causal order. By the causal reverse of Ω we mean the causal order Ω^{rev} on the same events as Ω and such that $x \leq y$ in Ω^{rev} if and only if $x \geq y$ in Ω .

Definition 37: A category of slices \mathcal{C} on a causal order Ω is said to be causally reversible if the full sub-category of $\text{Slices}(\Omega^{rev})$ spanned by $\text{obj}(\mathcal{C})$ is a category of slices on the causal reverse Ω^{rev} . If this is the case, we write \mathcal{C}^{rev} for said category of slices over Ω^{rev} and refer to it as the causal reverse of \mathcal{C} . We write \xrightarrow{rev} for the morphisms of \mathcal{C}^{rev} .

Definition 38: Let $\Psi : \mathcal{C} \rightarrow \mathcal{D}$ be a causal field theory on a causal order Ω . If \mathcal{C} is causally reversible, a causal reversal of Ψ is a causal field theory $\Phi : \mathcal{C}^{rev} \rightarrow \mathcal{D}$ such that:

- (1) The functors Ψ and Φ agree on objects, i.e. for all $\Sigma \in \text{obj}(\mathcal{C})$ we have that $\Psi(\Sigma) = \Phi(\Sigma)$;
- (2) Whenever we have two chains of alternating morphisms in \mathcal{C} and \mathcal{C}^{rev} which start and end at the same slices Σ, Γ , say in the form

$$\begin{aligned} \Sigma &\rightarrow \Delta_1 \xrightarrow{\text{rev}} \Delta_2 \rightarrow \dots \Delta_{2n} \rightarrow \Gamma, \\ \Sigma &\rightarrow \Delta'_1 \xrightarrow{\text{rev}} \Delta'_2 \rightarrow \dots \Delta'_{3m} \rightarrow \Gamma, \end{aligned} \tag{27}$$

for some $n, m \geq 0$, the composition of the images of the morphisms under Ψ and Φ always yield the same morphism $\Psi(\Sigma) \rightarrow \Psi(\Gamma)$:

$$\begin{aligned} &\Psi(\Delta_{2n} \rightarrow \Gamma) \circ \dots \circ \Phi(\Delta_1 \xrightarrow{\text{rev}} \Delta_2) \circ \Psi(\Sigma \rightarrow \Delta_1) \\ &= \Psi(\Delta'_{2m} \rightarrow \Gamma) \circ \dots \circ \Phi(\Delta'_1 \xrightarrow{\text{rev}} \Delta'_2) \circ \Psi(\Sigma \rightarrow \Delta'_1). \end{aligned} \tag{28}$$

We say that $\Psi : \mathcal{C} \rightarrow \mathcal{D}$ is causally reversible—or simply reversible—if \mathcal{C} is causally reversible and Ψ admits a causal reversal.

Proposition 39: Let $\text{CauchySlices}(\mathcal{F})$ be the category of slices on a causal order Ω generated by some foliation \mathcal{F} . Then $\text{CauchySlices}(\mathcal{F})$ is always causally reversible and for any two Cauchy slices Δ, Σ we have that $\Delta \rightarrow \Sigma$ if and only if $\Sigma \xrightarrow{\text{rev}} \Delta$. Furthermore, if a causal field theory $\Psi : \text{CauchySlices}(\mathcal{F}) \rightarrow \mathcal{D}$ is reversible, then a global state ρ is entirely determined by the state ρ_Σ on any Cauchy slice $\Sigma \in \mathcal{F}$ as follows:

$$\rho_\Delta = \begin{cases} \Psi(\Sigma \rightarrow \Delta) \circ \rho_\Sigma & \text{if } \Sigma \rightarrow \Delta \\ \Phi(\Sigma \xrightarrow{\text{rev}} \Delta) \circ \rho_\Sigma & \text{if } \Delta \rightarrow \Sigma \end{cases} \tag{29}$$

where $\Phi : \text{CauchySlices}(\mathcal{F})^{\text{rev}} \rightarrow \mathcal{D}$ is any causal reversal of Ψ .

Proof: The main observation behind this result is as follows: if Σ, Δ are two Cauchy slices, then the conditions $\Delta \subseteq D^+(\Sigma)$ and $\Sigma \subseteq D^-(\Delta)$ are equivalent. Hence $\text{CauchySlices}(\mathcal{F})$ is always causally reversible and $\Delta \rightarrow \Sigma$ if and only if $\Sigma \xrightarrow{\text{rev}} \Delta$ for any two Cauchy slices Δ, Σ .

Now let Ψ be causally reversible, let $\Sigma \in \mathcal{F}$ be a Cauchy slice in the foliation and consider any global state ρ . If $\Sigma \rightarrow \Delta$ for some other Cauchy slice $\Delta \in \mathcal{F}$, then the definition of a global state implies that $\rho_\Delta = \Psi(\Sigma \rightarrow \Delta) \circ \rho_\Sigma$. If instead $\Delta \rightarrow \Sigma$, then $\Sigma \xrightarrow{\text{rev}} \Delta$ and the definition of a global state implies that $\rho_\Sigma = \Psi(\Delta \rightarrow \Sigma) \circ \rho_\Delta$. But the definition of a causal reverse also implies that:

$$\begin{aligned} \Phi(\Sigma \xrightarrow{\text{rev}} \Delta) \circ \rho_\Sigma &= \Phi(\Sigma \xrightarrow{\text{rev}} \Delta) \circ \Psi(\Delta \rightarrow \Sigma) \circ \rho_\Delta = \Psi(\Sigma \rightarrow \Sigma) \circ \rho_\Delta \\ &= \text{id}_{\Psi(\Sigma)} \circ \rho_\Delta = \rho_\Delta. \end{aligned} \tag{30}$$

Hence the value ρ_Σ completely determines the global state ρ (since the value on all other slices in $\text{CauchySlices}(\mathcal{F})$ is determined by restriction from the value on a corresponding Cauchy slice). \square

It is an easy check that not only the global states $\rho \in \text{States}_\Psi(\Omega)$ are determined—under the conditions of **Proposition 39**—by their component $\rho_\Sigma \in \text{States}_\mathcal{D}(\Psi(\Sigma))$ over any Cauchy slice Σ in the foliation, but also that **Eq. 29** can be used—under the same conditions—to construct a global state $\rho \in \text{States}_\Psi(\Omega)$ from a state $\rho_\Sigma \in \text{States}_\mathcal{D}(\Psi(\Sigma))$ on any Cauchy slice Σ in the foliation.

Before concluding this Section, we would like to remark that a succinct description of spaces of states over regions can be obtained in settings much more general than those of foliations: for example, in all those cases where the every region admits a suitable Cauchy

slice and the causal field theory is reversible. The careful formulation of this more general setting is key to the further development of the connection between causal field theory and AQFT and it is left to future work.

6 CONNECTION TO QUANTUM CELLULAR AUTOMATA

The idea of a cellular automaton was first introduced by von Neumann, aimed at designing a self replicating machine [18]. A Cellular Automaton (CA) over some finite alphabet A has its state stored as a d -dimensional lattice of values in A , i.e. as a function $\psi : \mathbb{Z}^d \rightarrow A$. The state is updated at discrete time steps, each step updated as $\psi^{(t+1)} := F(\psi^{(t)})$ according to some fixed function $F : (\mathbb{Z}^d \rightarrow A) \rightarrow (\mathbb{Z}^d \rightarrow A)$. The function F acts locally and homogeneously: there is some fixed finite subset $\mathcal{N} \subset \mathbb{Z}^d$ (typically a neighborhood of $\underline{0} \in \mathbb{Z}^d$) and some function $f : \mathcal{N} \rightarrow A$ such that the value of each lattice site \underline{x} at time step $t + 1$ only depends on the finitely many values in the subset $\underline{x} + \mathcal{N}$ at time t :

$$F(\psi) := \underline{x} \mapsto f(\psi|_{\underline{x} + \mathcal{N}}). \tag{31}$$

A Quantum Cellular Automaton (QCA) is a generalization of a CA where the lattice states $\psi : \mathbb{Z}^d \rightarrow A$ are replaced by (pure) states in the tensor product of Hilbert spaces $\otimes_{\underline{x} \in \mathbb{Z}^d} \mathcal{H}_{\underline{x}}$ (all $\mathcal{H}_{\underline{x}}$ finite-dimensional and isomorphic) and the function F is replaced by a unitary $U : \otimes_{\underline{x} \in \mathbb{Z}^d} \mathcal{H}_{\underline{x}} \rightarrow \otimes_{\underline{x} \in \mathbb{Z}^d} \mathcal{H}_{\underline{x}}$, with requirements of locality and homogeneity.

Remark 40: There are several slightly different formulations of the infinite tensor product above that can be used, each with its own advantages and disadvantages: though it is not going to be a concern for this work, the authors are partial to the construction by von Neumann [27].

An early formulation of the notion of QCA is due to Richard Feynman, in the context of simulations of physics using quantum computers [28]. More recent work on quantum information and quantum causality has shown that the evolution of certain free quantum fields can be recovered as the continuous limit of certain quantum cellular automata (cf [16, 17], and references therein). In the final section of this work, we show that our framework is well-suited to capture notions of QCA such as those appearing in the literature. Specifically, our construction encompasses and greatly generalises that presented in [17].

6.1 Causal Cellular Automata

The first requirement in the definition of a QCA is that of homogeneity—called “translation invariance” in [17]—i.e. the requirement that the automaton act the same way at all points of spacetime. Because presentations of QCAs are usually given in terms of discrete updates of states on a lattice by means of a unitary U , only the requirement of homogeneity in space is usually mentioned. However, such presentations also have homogeneity in time as an implicit requirement, namely in

the assumption that the same unitary U be used to update the state at all times.

Instead of updating the state time-step by time-step in a compositional fashion, our formulation of quantum cellular automata will see the entirety of spacetime at once, with states over slices and regions recovered in a decompositional approach. Nevertheless, the requirement of homogeneity for a QCA can still be formulated as a requirement of invariance under certain symmetries of spacetime, so we begin by formulating such a notion of invariance for causal field theories.

Definition 41: A symmetry on a causal order Ω is an action of a group G on Ω by automorphisms of causal orders, i.e. a group homomorphism $G \rightarrow \text{Aut}_{\text{CausOrd}}[\Omega]$. If \mathcal{C} is a category of slices on Ω , a symmetry on \mathcal{C} is a symmetry on Ω which extends to an action on \mathcal{C} by partially monoidal functors, i.e. one such that the following conditions are satisfied:

- (1) for all $g \in G$, if $\Sigma \in \text{obj}(\mathcal{C})$ then $g(\Sigma) \in \text{obj}(\mathcal{C})$;
- (2) for all $g \in G$ and all $\Sigma, \Gamma \in \text{obj}(\mathcal{C})$, if $\Sigma \rightarrow \Gamma$ then $g(\Sigma) \rightarrow g(\Gamma)$;
- (3) for all $g \in G$ and all $\Sigma, \Gamma \in \text{obj}(\mathcal{C})$, if $\Sigma \otimes \Gamma$ is defined in \mathcal{C} then $g(\Sigma \otimes \Gamma) = g(\Sigma) \otimes g(\Gamma)$ is also defined in \mathcal{C} .

Note, for all $g \in G$, that $g(\emptyset) = \emptyset$ and that $g(\Sigma)$ is automatically a slice whenever Σ is a slice.

Definition 42: Let \mathcal{C} be a category of slices with a symmetry action of a group G . A G -invariant (or simply symmetry-invariant) causal field theory on \mathcal{C} is a causal field theory $\Psi : \mathcal{C} \rightarrow \mathcal{D}$ equipped with a family of natural isomorphisms $\alpha_g : \Psi \Rightarrow \Psi \circ g$ such that $\alpha_{hg} = \alpha_h \circ \alpha_g$, where we have identified elements $g \in G$ with their action as partially monoidal functors $g : \mathcal{C} \rightarrow \mathcal{C}$.

Remark 43: The spirit behind the definition of symmetry-invariant causal field theories is that the functors Ψ (sending slices \mapsto fields) and $\Psi \circ g$ (sending slices \mapsto g -translated slices \mapsto fields) should be the same. However, we have remarked when first defining causal field theories that—be it for ease of physical interpretation or for conformity with existing literature on causal categories—it may sometimes be desirable that the images $\Psi(\Sigma)$ of different slices be different. Not being able to impose the equality $\Psi = \Psi \circ g$ in such a setting, the next best thing is to ask for natural isomorphism $\Psi \cong \Psi \circ g$.

Because we are dealing with symmetries, however, it is sensible to require for the natural isomorphisms α_g themselves to respect the group structure. Again, the first instinct might be to require something in the form $\alpha_{hg} = \alpha_h \circ \alpha_g$, but this expressions does not type-check: we have a natural transformation $\alpha_{hg} : \Psi \Rightarrow \Psi \circ h \circ g$, a natural transformation $\alpha_g : \Psi \Rightarrow \Psi \circ g$ and a natural transformation $\alpha_h : \Psi \Rightarrow \Psi \circ h$. In order to compose α_h and α_g we instead have to take the action of α_h translated to $\Psi \circ g$:

$$\alpha_{hg} : \Psi \circ g \Rightarrow (\Psi \circ h) \circ g. \quad (32)$$

Explicitly, the natural transformation α_{hg} is defined by $(\alpha_{hg})(\Sigma) := \alpha_h(g(\Sigma))$.

The second requirement in the definition of a QCA is that of locality (or causality). When quantum cellular automata are considered in a relativistic context—e.g. as discrete

models of quantum field theories—the requirement of locality is meant to capture the idea that the action of the automaton should respect the causal structure of spacetime (so that the state on a point \underline{x} at time $t + \Delta t$ should not depend on the state at the previous time t on points \underline{y} which are “too far away”, i.e. such that $(\underline{x}, t + \Delta t)$ and (\underline{y}, t) are space-like separated).

In [17], the requirement of locality is formulated as the requirement that the output state of the automaton over a point \underline{x} of the lattice at time $t + 1$ only depend on the state over a finite neighborhood $\underline{x} + \mathcal{N}$ at time t : this is both in terms of local state (causality) and in the stronger sense that the field evolution should factor into a product of local maps (localisability). In our framework, on the other hand, causality and localisability are both automatically enforced: the field evolution always factors over space-like separated regions, as a consequence of monoidality, and the local state over a slice never depends on the state on any other slice which is space-like separated from it (as a consequence of factorisation).

Remark 44: The causal order Ω which captures the causality requirement from [17] with finite neighborhood $\mathcal{N} \subset \mathbb{Z}^d$ can be constructed by endowing the set $|\Omega| := \mathbb{Z}^d \times \mathbb{Z}$ with the reflexive-transitive closure of the relation $(\underline{y}, t) \leq (\underline{x}, t + 1)$ for all times $t \in \mathbb{Z}$, for all points of the lattice $\underline{x} \in \mathbb{Z}^d$ and for all points $\underline{y} \in \underline{x} + \mathcal{N}$ in the neighborhood of \underline{x} .

The third and final requirement in the definition of a QCA is that of unitarity. In our framework, this is a problem for two (mostly unrelated) reasons.

- Our formulation of causal field theories aims to be agnostic to the choice of process theory. On the other hand, unitarity is a strongly quantum-like feature, the formulation of which would require a significant amount of additional structure on the field category.
- The usual formulation of quantum cellular automata only considers global evolution, never directly dealing with restrictions—situations e.g., in which the state is evolved unitarily but part of the output state is discarded as environment. Our framework instead treats such restrictions as an integral part of evolution.

Luckily, unitarity per se is not necessary from an abstract foundational standpoint: the real feature of interest is reversibility, a feature of causal field theories which we have already explored. For the sake of generality, we will not include reversibility in the definition below, leaving it as an explicit desideratum.

Remark 45: In categories of Hilbert spaces and completely positive maps, it is legitimate to imagine that causality and reversibility would jointly imply that the cellular automata also be unitary. This is indeed the case under the conditions of **Proposition 39**: because the state on any Cauchy slice automatically determines the state on all the other slices—and because that state on a single slice is arbitrary—evolution between Cauchy slices must be unitary.

Definition 46: A Causal Cellular Automaton (CCA) consists of the following ingredients.

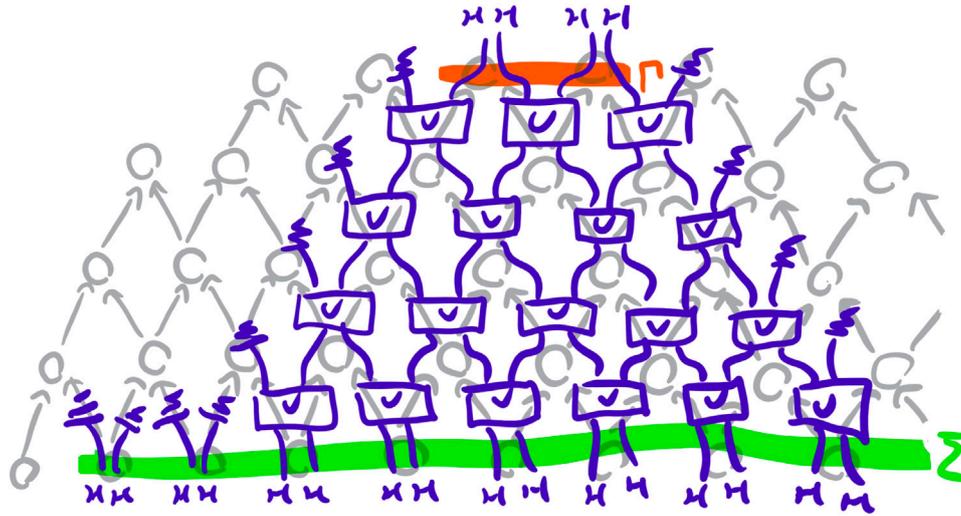


FIGURE 7 | Action of a partitioned causal cellular automaton over a complicated morphism $\Sigma \rightarrow \Gamma$ in the $(1 + 1)$ -dimensional example of the diamond lattice. Here $\mathcal{N} = \{\pm 1\}$, so each event in the causal order is associated to a copy of $\mathcal{H}^{\otimes \mathcal{N}} \cong \mathcal{H} \otimes \mathcal{H}$. The restriction action of the CCA (Eq. 45) can be seen on the two events at the bottom left. The pure evolution action of the CCA (Eq. 46) can be seen on the central pyramid of ten events, as the application of U without discarding. The evolution + marginalisation action of the CCA (Eq. 48) can be seen on the eight events at the sides of the central pyramid, as the application of U followed by discarding of one of the two outputs. The input of the morphism depicted consists of eight copies of $\mathcal{H} \otimes \mathcal{H}$, one for each event of Σ , while the output of the morphism depicted consists of two copies of $\mathcal{H} \otimes \mathcal{H}$, one for each event of Γ .

- (1) A foliation \mathcal{F} on a causal order Ω .
- (2) A category of Cauchy slices \mathcal{C} such that each slice in \mathcal{C} is a subset of some Cauchy slice in \mathcal{F} .⁷
- (3) A symmetry action of a group G on \mathcal{C} , inducing—via the G -action on Ω —a transitive action of G on the Cauchy slices in the foliation \mathcal{F} .
- (4) A G -invariant causal field theory $\Psi : \text{CauchySlices}(\mathcal{F}) \rightarrow \mathcal{D}$.

A reversible CCA is one where the causal field theory Ψ is reversible.

Definition 46 is much more general than the definition of QCA from [17] and hence captures more sophisticated examples. However, its ingredients are directly analogous to those appearing in that definition of a QCA.

- The foliation \mathcal{F} on Ω generalizes the discrete time steps in the definition of a QCA.
- The slices in \mathcal{C} generalize the equal-time hyper-surfaces which support the state of a QCA at fixed time.
- The symmetry action of G on $\text{CauchySlices}(\mathcal{F})$ and its transitivity on the foliation \mathcal{F} generalise homogeneity in both space and time of the lattices supporting a QCA.
- The G -invariance of the causal field theory Ψ generalizes both the translation symmetry in space and the time-translation symmetry of a QCA.

A different approach to QCAs in non-homogeneous spacetimes appears in [29, 30], in terms of graph dynamics. The graph

dynamics models and the models described in this work present a significant overlap—in the specific case of quantum theory—but are ultimately incomparable: on the one end, graph dynamics impose certain structural requirements on spacetime slices for the foliation, requirements which are not necessary in this work; on the other end, quantum graph dynamics allow a superposition of graphs at each slice of the foliation, a possibility which is not considered in this work.

6.2 Partitioned Causal Cellular Automata

We now proceed to construct a large family of examples of CCAs based on the partitioned QCAs of [17]. In doing so, we generalise the scattering unitaries to arbitrary processes and allow for the definition of state restriction to non-Cauchy equal-time surfaces. We refer to the resulting CCA as partitioned CCA.

6.2.1 Causal Order

As our causal order Ω we consider the following subset of $(1 + d)$ -dimensional Minkowski spacetime (setting the constant c for the speed of light to $c = \sqrt{d}$):

$$\Omega := \{(t, \underline{x}) \mid t \in \mathbb{Z}, \underline{x} \in (t, \dots, t) + 2\mathbb{Z}^d\}. \quad (33)$$

where $(t, \dots, t) + 2\mathbb{Z}^d$ is the set of all $\underline{x} \in \mathbb{Z}^d$ such that $x_i = t \pmod{2}$. For $d = 1$ we get the $(1 + 1)$ -dimensional diamond lattice discussed before. In general, the immediate causal predecessors of a point (t, \underline{x}) are the following 2^d points:

$$(t - 1, \underline{x} - \mathcal{N}) = \{(t - 1, \underline{x} - \underline{\delta}) \mid \underline{\delta} \in \mathcal{N}\}, \quad (34)$$

where we defined the “neighborhood” $\mathcal{N} := \{\pm 1\}^d$. Similarly, the immediate successors of (t, \underline{x}) are the following 2^d points:

⁷Each Cauchy slice Σ in \mathcal{F} is then automatically the union of all slices $\Delta \in \text{obj}(\mathcal{C})$ such that $\Delta \subseteq \Sigma$.

$$(t + 1, \underline{x} + \mathcal{N}) = \{(t + 1, \underline{x} + \underline{\delta}) \mid \underline{\delta} \in \mathcal{N}\}. \tag{35}$$

6.2.2 Foliation and Category of Slices

The causal order Ω admits a foliation \mathcal{F} where each slice is a constant-time Cauchy slice Σ_t for some $t \in \mathbb{Z}$:

$$\Sigma_t := \{(t, \underline{x}) \mid \underline{x} \in (t, \dots, t) + 2\mathbb{Z}^d\}. \tag{36}$$

A suitable category of slices \mathcal{C} to associate to this foliation is given by taking as slices all the finite sets $\Sigma_{t,\mathcal{X}} \subset \Sigma_t$ of events having the same time coordinate t :

$$\Sigma_{t,\mathcal{X}} = \{(t, \underline{x}) \mid \underline{x} \in \mathcal{X}\}, \tag{37}$$

where $\mathcal{X} \subset (t, \dots, t) + 2\mathbb{Z}^d$ is some finite subset. The morphisms \rightarrow of \mathcal{C} are given as follows for $k \geq 0$:

$$\Sigma_{t,\mathcal{X}} \rightarrow \Sigma_{t+k,\mathcal{Y}} \quad \text{if and only if} \quad \bigcup_{\underline{y} \in \mathcal{Y}} ((t, \underline{y} + \mathcal{N}^{(k)}) \subseteq \mathcal{X}, \tag{38}$$

where the “iterated neighborhood” $\mathcal{N}^{(k)}$ is defined as $\mathcal{N} + \dots + \mathcal{N}$ by adding together $k \geq 0$ copies of \mathcal{N} (and we set $\mathcal{N}^{(0)} := \{0\}$). Explicitly we have:

$$\mathcal{N}^{(k)} := \begin{cases} \{-k, -k+2, \dots, -1, +1, \dots, k-2, k\} & \text{if } k \text{ odd} \\ \{-k, -k+2, \dots, -2, 0, +2, \dots, k-2, k\} & \text{if } k \text{ even} \end{cases} \tag{39}$$

It is easy to check (by a $t \mapsto -t$ symmetry argument) that \mathcal{C} is reversible.

6.2.3 Symmetry

The category \mathcal{C} admits a symmetry action of the group $G := \mathbb{Z}^N \cong \mathbb{Z}^{2^d}$. We index the coordinates of vectors in \mathbb{Z}^N by the 2^d points $\underline{\delta} \in \mathcal{N} = \{\pm 1\}^d$. We denote by $\tau_{\underline{\delta}}$ the vector in \mathbb{Z}^N which is 1 at the coordinate labeled by $\underline{\delta}$ and 0 at all other coordinates. The action is then specified by setting:

$$\tau_{\underline{\delta}}(t, \underline{x}) := (t + 1, \underline{x} - \underline{\delta}), \tag{40}$$

that is, the 2^d generators of \mathbb{Z}^N send a generic event (t, \underline{x}) to each of its 2^d immediate causal successors in Ω , one for each possible choice of sign ± 1 along each of the d directions of the space lattice \mathbb{Z}^d .⁸ Each generator $\tau_{\underline{\delta}}$ for the symmetry action sends a Cauchy slice Σ_t in the foliation to the next Cauchy slice Σ_{t+1} , so the action of G on the foliation is transitive.

6.2.4 Causal Field Theory—Field Over Slices

As our field category we consider a generic causal process theory \mathcal{D} , i.e. a symmetric monoidal category equipped with a family of discarding maps $\mathring{\tau}_{\mathcal{H}} : \mathcal{H} \rightarrow I$ for all objects $\mathcal{H} \in \text{obj}(\mathcal{D})$, respecting the tensor product \otimes and tensor unit I of \mathcal{D} : $\mathring{\tau}_{\mathcal{H} \otimes \mathcal{K}} = \mathring{\tau}_{\mathcal{H}} \otimes \mathring{\tau}_{\mathcal{K}}$ and $\mathring{\tau}_I = \mathbb{1}$. Discarding maps generalize the partial trace of quantum theory: normalized states $\rho : I \rightarrow \mathcal{H}$ —generalizing density matrices—are defined to be those such that $\mathring{\tau}_{\mathcal{H}} \circ \rho = \mathbb{1}$ and normalized morphisms

$U : \mathcal{H} \rightarrow \mathcal{K}$ —generalizing CPTP maps—are defined to be those such that $\mathring{\tau}_{\mathcal{K}} \circ U = \mathring{\tau}_{\mathcal{H}}$. See e.g. [20, 23, 25] for more information.

To create a G -invariant causal field theory Ψ , we consider some object $\mathcal{H} \in \text{obj}(\mathcal{D})$ together with some endomorphism $U : \mathcal{H}^{\otimes 2^d} \rightarrow \mathcal{H}^{\otimes 2^d}$, which we will refer to as the scattering map. For reasons that will soon become clear, it is more convenient to index the factors of $\mathcal{H}^{\otimes 2^d}$ by the 2^d points in the neighborhood \mathcal{N} , hence writing $U : \mathcal{H}^{\otimes \mathcal{N}} \rightarrow \mathcal{H}^{\otimes \mathcal{N}}$.

We define the action of Ψ on the slices in \mathcal{C} as follows:

$$\Psi(\Sigma_{t,\mathcal{X}}) := (\mathcal{H}^{\otimes \mathcal{N}})^{\otimes \mathcal{X}} = \mathcal{H}^{\otimes (\mathcal{N} \times \mathcal{X})}. \tag{41}$$

The tensor product is well-defined in all symmetric monoidal categories, since \mathcal{X} is always finite. Physically, the field takes values in a copy of $\mathcal{H}^{\otimes \mathcal{N}}$ over each event (t, \underline{x}) of spacetime, each individual \mathcal{H} factor of $\mathcal{H}^{\otimes \mathcal{N}}$ encoding the contribution to the field state at (t, \underline{x}) from the field state at each of its immediate causal predecessors in $(t - 1, \underline{x} + \mathcal{N})$.

6.2.5 Causal Field Theory - Restriction and Evolution

From their definition in Eq. 38, it is easy to see that morphisms $\Sigma_{t,\mathcal{X}_0} \rightarrow \Sigma_{t+k,\mathcal{X}_k}$ on \mathcal{C} can always be factored in the following way:

$$\Sigma_{t,\mathcal{X}_0} \rightarrow \Sigma_{t,\mathcal{Y}_0} \rightarrow \Sigma_{t+1,\mathcal{X}_1} \rightarrow \Sigma_{t+1,\mathcal{Y}_1} \rightarrow \dots \rightarrow \Sigma_{t+k,\mathcal{X}_k}, \tag{42}$$

where $\mathcal{Y}_i \subseteq \mathcal{X}_i$ for all $i = 0, \dots, k - 1$ and the following holds for each $i = 1, \dots, k$:

$$\mathcal{Y}_{i-1} = \bigcup_{\underline{x} \in \mathcal{X}_i} \{(t + i - 1, \underline{x} + \underline{\delta}) \mid \underline{\delta} \in \mathcal{N}\}. \tag{43}$$

This means that we only need to care about the action of Ψ on two kinds of morphisms:

- The restrictions $\Sigma_{t,\mathcal{X}} \rightarrow \Sigma_{t,\mathcal{Y}}$, where $\mathcal{Y} \subseteq \mathcal{X}$;
- The 1-step evolutions $\Sigma_{t,\mathcal{Y}} \rightarrow \Sigma_{t+1,\mathcal{X}}$, where $\mathcal{Y} = \bigcup_{\underline{x} \in \mathcal{X}} \{(t, \underline{x} + \underline{\delta}) \mid \underline{\delta} \in \mathcal{N}\}$.

The existence of the factorisation above can be proven by induction, observing that any morphism $\Sigma_{t,\mathcal{X}_0} \rightarrow \Sigma_{t+1,\mathcal{X}_1}$ factors into the product:

$$(\Sigma_{t,\mathcal{Y}_0} \rightarrow \Sigma_{t+1,\mathcal{X}_1}) \otimes (\Sigma_{t,\mathcal{X}_0 \setminus \mathcal{Y}_0} \rightarrow \emptyset), \tag{44}$$

where \mathcal{Y}_0 is defined as before so that Σ_{t,\mathcal{Y}_0} is exactly the set of immediate causal predecessors of the codomain $\Sigma_{t+1,\mathcal{X}_1}$.

On restrictions $\Sigma_{t,\mathcal{X}} \rightarrow \Sigma_{t,\mathcal{Y}}$, where $\mathcal{Y} \subseteq \mathcal{X}$, the functor Ψ is defined to act by marginalization, discarding the field state over all those events in the larger slice $\Sigma_{t,\mathcal{X}}$ which don't belong to the smaller slice $\Sigma_{t,\mathcal{Y}}$:

$$\Psi(\Sigma_{t,\mathcal{X}} \rightarrow \Sigma_{t,\mathcal{Y}}) := \bigotimes_{\underline{x} \in \mathcal{X}} F_{\underline{x}} \quad \text{where} \quad F_{\underline{x}} := \begin{cases} id_{\mathcal{H}^{\otimes \mathcal{N}}} & \text{if } \underline{x} \in \mathcal{Y} \\ \mathring{\tau}_{\mathcal{H}^{\otimes \mathcal{N}}} & \text{if } \underline{x} \notin \mathcal{Y} \end{cases} \tag{45}$$

On 1-step evolutions $\Sigma_{t,\mathcal{Y}} \rightarrow \Sigma_{t+1,\mathcal{X}}$, where $\mathcal{Y} = \bigcup_{\underline{x} \in \mathcal{X}} \{(t, \underline{x} + \underline{\delta}) \mid \underline{\delta} \in \mathcal{N}\}$, the functor Ψ is defined to act by a combination of evolution by U and marginalization. The evolution component is simply an application of U to the state at each event of \mathcal{Y} :

⁸The reason for the negative sign in $\underline{x} - \underline{\delta}$ is that \mathcal{N} was originally defined to be the neighborhood in the past.

$$U^{\otimes \mathcal{Y}} : \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})} \rightarrow \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})}. \quad (46)$$

The marginalization component then needs to go from the codomain $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})}$ of the map above to the desired codomain $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{X})}$. To do this, we recall that the \mathcal{H} factor of $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{X})}$ corresponding to a given $\underline{\delta} \in \mathcal{N}$ and a given $\underline{x} \in \mathcal{X}$ is intended to encode the component of the state at $(t+1, \underline{x})$ coming from $(t, \underline{x} + \underline{\delta})$. Analogously, the \mathcal{H} factor of $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})}$ corresponding to a given $\underline{\delta} \in \mathcal{N}$ and a given $\underline{y} \in \mathcal{Y}$ is intended to encode the component of the evolved state going to $(t+1, \underline{y} - \underline{\delta})$. Hence to go from $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})}$ to $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{X})}$ we need to discard all factors in $\mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})}$ corresponding to components of the evolved state which are not going to some $(\underline{y} - \underline{\delta}) \in \mathcal{X}$:

$$\left(\bigotimes_{(\underline{\delta}, \underline{y}) \in \mathcal{N} \times \mathcal{Y}} F_{\underline{\delta}, \underline{y}} \right) : \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})} \rightarrow \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{X})} \quad \text{where} \quad F_{\underline{\delta}, \underline{y}} := \begin{cases} id_{\mathcal{H}} & \text{if } (\underline{y} - \underline{\delta}) \in \mathcal{X} \\ \dagger_{\mathcal{H}} & \text{if } (\underline{y} - \underline{\delta}) \notin \mathcal{X} \end{cases} \quad (47)$$

Putting the evolution and marginalization components together we get the action of Ψ on 1-step evolutions:

$$\begin{aligned} \Psi(\Sigma_{t, \mathcal{Y}} \rightarrow \Sigma_{t+1, \mathcal{X}}) &:= \left(\left(\bigotimes_{(\underline{\delta}, \underline{y}) \in \mathcal{N} \times \mathcal{Y}} F_{\underline{\delta}, \underline{y}} \right) \circ U^{\otimes \mathcal{Y}} \right) \\ &: \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{Y})} \rightarrow \mathcal{H}^{\otimes(\mathcal{N} \times \mathcal{X})}. \end{aligned} \quad (48)$$

By construction, the above is a G -invariant causal field theory, completing the definition of our partitioned causal cellular automaton. If U is an isomorphism, the same construction on C^{rev} using U^{-1} provides a causal reversal for Ψ , showing that the partitioned causal cellular automaton above is reversible under those circumstances. Finally, **Figure 7** below depicts an example of action on morphisms for a $(1+1)$ -dimensional partitioned causal cellular automaton.

6.3 Sketch of the Continuous Limit for the Dirac Quantum Cellular Automata

To conclude, we note how in [17] it is argued that the Dirac equation for free propagation of an electron can be recovered in the continuous limit of a specific $(1+1)$ -dimensional partitioned QCA. The original argument could not be made fully rigorous, because the QCAs defined therein were discrete and no setting was available to the author in which to make proper sense of the infinite tensor product arising from the limiting construction. A rigorous analysis of the limit is presented in [31], but the limit itself exists outside of the QCA framework.

Our definition of CCA, on the other hand, has no requirement of discreteness. Furthermore, the freedom left in the choice of field category for a CCA allows us to benefit from the full power of the non-standard approach to categorical quantum mechanics [24, 32]. As a consequence, we are able to sketch below a formalization within our framework of the continuous limit for the Dirac QCA, following the same lines as the construction of a $(1+d)$ -dimensional partitioned CCA above.

The key to obtain a continuous limit for the Dirac QCA is to rescale the discrete lattice Ω to one with infinitesimal mesh ε :

$$\varepsilon\Omega := \{ \varepsilon(t, \underline{x}) \mid t \in {}^*\mathbb{Z}, \underline{x} \in (t, \dots, t) + 2^* \mathbb{Z}^d \}, \quad (49)$$

where ${}^*\mathbb{Z}$ are the non-standard integer numbers. The slices are now allowed to contain an infinite number of points and can be used to approximate all equal-time partial Cauchy hypersurfaces in $(1+d)$ -dimensional Minkowski spacetime. Unfortunately, the infinite number of points in our slices now requires infinite tensor products to be taken: to deal with this, we use as our field category the dagger compact category ${}^*\text{Hilb}$ of non-standard hyperfinite-dimensional Hilbert spaces, where such infinite products can be handled safely.

We set the scattering map to be the following non-standard unitary

$$U = 1 \oplus \sigma_X \exp(-im\varepsilon\sigma_X) \oplus 1, \quad (50)$$

where σ_X is the X Pauli matrix: this is the same unitary used in the Dirac QCA, but with the real parameter ε turned into an infinitesimal. Each application of U only inches infinitesimally further from the identity, but in the non-standard setting we are allowed to consider the cumulative effect across infinite sequences of infinitesimally close slices. The first order approximations to the Dirac equation derived in [17] turn into legitimate infinitesimal differentials, connecting the state on each slice to the state on the (infinitesimally close) next slice: once the standard part is taken, the lattice $\varepsilon\Omega$ ends up covering the entirety of $(1+d)$ -dimensional Minkowski spacetime, the differentials get integrated and Ψ turns into a continuous-time field evolution following the Dirac equation.

Remark 47: *The power to express limiting constructions algebraically, without exiting the original framework, is one of the most attractive aspects of non-standard analysis. The dagger compact category ${}^*\text{Hilb}$ (and other categories derived from it) can be used to make categorical sense of constructions from quantum field theory [24, 32], including other cellular automata with field-theoretic continuous limits. The formulation of such limits within our framework is an point of great interest, but is left to future work.*

7 CONCLUSION AND FUTURE WORK

In this work, we have defined a functorial, theory-independent notion of causal field theory founded solely on the order-theoretic structure of causality. We have seen how the causality requirement for such field theories is automatically satisfied as a consequence of symmetry-breaking in the ordering on space-like slices. In an effort to connect to Algebraic Quantum Field Theory (AQFT), we have constructed complex spaces of states over regions of spacetime and discussed how the associated information redundancy can be reduced in selected cases. We have introduced symmetries in our framework and shown that Quantum Cellular Automata (QCA) can be modeled within it, both in their traditional discrete formulation and in their continuous limit.

Despite our efforts, we feel we have barely scratched the surface on the potential of this material. In the future, we envisage three lines of

research stemming from this work. Firstly, we believe that the connection with AQFT can be strengthened and honed to the point that the framework will be a tool for the construction of new models. This includes a thorough understanding of the structure of spaces of states for categories of slices more general than those induced by foliations. Secondly, we wish to further explore and fully characterise the possibilities associated with working in the continuous limit of QCAs, with an eye to applications in perturbative quantum field theory. Finally, we plan to extend the framework in a number of directions, including indefinite causal order—already achieved for QCAs by [30], at least in partial form—enrichment and the possibility of working with restricted classes of causal paths (in temporal analogy to categories of slices).

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