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SPECIALTY SECTION

This article was submitted to Nuclear Physics, a section of the journal Frontiers in Physics

RECEIVED 29 September 2022 ACCEPTED 05 December 2022 PUBLISHED 05 January 2023

CITATION

Casey DT, Weber CR, Zylstra AB, Cerjan CJ, Hartouni E, Hohenberger M, Divol L, Dearborn DS, Kabadi N, Lahmann B, Gatu Johnson M and Frenje JA (2023), Towards the first plasma-electron screening experiment. *Front. Phys.* 10:1057603. doi: 10.3389/fphy.2022.1057603

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Towards the first plasma-electron screening experiment

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The enhancement of fusion reaction rates in a thermonuclear plasma by electron screening of the Coulomb barrier is an important plasma-nuclear effect that is present in stellar models but has not been experimentally observed. Experiments using inertial confinement fusion (ICF) implosions may provide a unique opportunity to observe this important plasma-nuclear effect. Herein, we show that experiments at the National Ignition Facility (NIF) have reached the relevant physical regime, with respect to the density and temperature conditions, but the estimated impacts of plasma screening on nuclear reaction rates are currently too small and need to be increased to lower the expected measurement uncertainty. Detailed radiation hydrodynamics simulations show that practical target changes, like adding readily available high-Z gases, and significantly slowing the inflight implosion velocity, while maintaining inflight kinetic energy, might be able to push these conditions to those where plasma screening effects may be measurable. We also perform synthetic data exercises to help understand where the anticipated experimental uncertainties will become important. But challenges remain, such as the detectability of the reaction products, non-thermal plasma effects, species separation, and impacts of spatial and temporal gradients. This work lays the foundation for future efforts to develop an important platform capable of the first plasma electron screening observation.

KEYWORDS

astrophysics, nuclear physics, plasma physcis, high-energy density astrophysics, inertial confinement fusion (ICF)

1 Introduction

For decades, numerous research groups have identified plasma-electron screening as an important physical process worth pursuing in high-energy-density (HED) experiments. For example, the National Research Council [1] describes a "raging debate" surrounding questions related to plasma screening models and that HED experiments may be well suited to help. In fact, ideas emerge periodically from the literature challenging the established models [2–7], but the debate is hampered by the complete lack of experimental data. This situation is exacerbated by the "electron screening puzzle" caused by discrepancies observed in terrestrial laboratory target experiments [8–15] when compared to screening theory [5, 6]. This makes measurements made directly in the plasma environments of stellar interiors particularly important so that stellar plasma screening models can be tested against data.

The screening process becomes important when nuclei undergo a fusion reaction as their kinetic energy overcomes the repulsive Coulomb force and exploits favorable binding energy. In many HED environments where these reactions are occurring, such as in stellar cores or inertial confinement fusion implosions, the nuclei are embedded in a plasma. The background electrons in this plasma can lower the Coulomb barrier, enhancing the fusion reactivity.

Plasma screening of nuclear reactions occurs when ions within the plasma interact with a Coulomb potential energy of $U(r) = \frac{Z_1 e^2}{r}$. Due to this potential, electrons will cluster around the ion and reduce the potential by a factor of $U_{screen} = \frac{Z_1 e^2}{\lambda_D}$, where λ_D is the Debye length, $\lambda_D^2 = \frac{\varepsilon_0 k_B T}{n e^2}$. This results in an enhancement (appropriate in the weak screening limit) of the cross section of [2, 7, 16]

$$\frac{\sigma_{screened}(E)}{\sigma(E)} \approx \exp\left(Z_1 Z_2 \tilde{Z} \Lambda_0\right)$$
$$\approx \exp\left(\frac{Z_1 Z_2 e^2}{4\pi \epsilon_0 T \lambda_D}\right)$$

)

where Λ_0 is the charge-free plasma parameter, $(4\pi)^{\frac{1}{2}}e^3n^{\frac{1}{2}}/(kT)^{3/2}$.

A similar screening effect occurs in accelerators that study nuclear reactions. In accelerator experiments, the bound electrons of the target screen the target nuclei and enhance the reaction rate. In this bound-electron screening scenario, the targets are not sufficiently hot to fully ionize the target nuclei. This effect, differing from plasma screening, must be removed to compute the bare nuclear cross section. This effect is significant for low center-of-mass energy cross-sections and has in some cases been observed to be \sim 1.7–1.8 times larger than expected [10, 11, 17].

Despite widespread interest, the realization of a nuclear plasma screening experiment has been elusive because of several daunting challenges. First, extreme density and temperature conditions must be produced and diagnosed. Second, precise nuclear cross-section measurements must be made where these conditions are produced, implying the presence of strong density and temperature gradients. Finally, as the effect on fusion rates is often weak in the regimes that can be reproduced in the laboratory, care must be exercised to develop a test where the magnitude of the measurement is expected to exceed the experimental uncertainties. Significant



progress has recently made resolving the first two of these challenges by using gas-filled indirect-drive experiments at the NIF [18]. Likewise, the ${}^{3}\text{He} + T$ gamma-branch cross-section was obtained [19] and the emitted ${}^{3}\text{He} + T$ and ${}^{3}\text{He} + {}^{3}\text{He}$ reaction product spectra have been recovered from ICF experiments at OMEGA [20]. This demonstrates that nuclear physics and cross-section experiments can be conducted in the complex environments of ICF implosions, while also carefully diagnosing the plasma using nuclear diagnostic and neutron and x-ray imaging. Here, we seek to partly address the third challenge by assessing whether these ICF implosions can produce conditions where this screening effect is expected to be large enough to be measured.

This paper is organized as follows, Section 1 introduces the problem, Section 2 discusses the approach to measuring plasma screening, Section 3 overviews detailed design considerations and offers a potential experimental design solution, and Section IV concludes and summarizes.

2 Approach to measuring plasma screening

The generation of thermonuclear plasmas at the National Ignition Facility (NIF) may enable an observation of plasma screening of nuclear reactions. Inertial Confinement Fusion (ICF) experiments at NIF use 192 laser beams, with a maximum 1.9 MJ of energy delivered, to irradiate the inside of a gold or uranium cylindrical enclosure ("hohlraum"). This creates an x-ray environment that ablatively implodes a spherical capsule. When the capsule compresses radially by a factor of 20–40, the central hot-spot typically reaches temperatures of 2–6 keV and densities of 1–100 g/cm³.

Figure 1A shows the stellar-core density and temperature trajectories of relevant stars with metallicity of Z = 0.02 and with 1, 4, 10, and 40 solar mass (where 1 solar mass or $M_{sun} =$ 1 corresponds to the Sun's mass) calculated with a 1D stellar evolution code [21]. These stars spend the majority of their time in the main hydrogen burning phase of their lifetime, as indicated by the largest jump in the corresponding color scale that illustrates the age of the star in years. As the stars begin to extinguish the hydrogen in their core, they contract and heat to the point where helium can begin to burn. Likewise, as the star evolves, hydrogen in regions outside the depleted core (shell regions) can achieve the conditions that can sustain burn.

Figure 1A also compares these stellar conditions to ICF implosions by plotting inferences of density and temperature from a wide range of NIF experiments. The experimental data from the NIF (points) are plotted in terms of the burnaveraged temperature, as observed from the width of the neutron spectrum in time-of-flight measurements [22-24], and the burn-averaged density, as inferred from the yield, hotspot size, and burn-width [25]. Each NIF experiment features a gas (on the order of 10 mg/cm³ fill density) or an ice-layer filled capsule (on the order of ~100 µm thick) made of highdensity carbon, plastic, or beryllium. The green "+" symbols show implosions that have a cryogenically frozen DT ice layer inside the shell. The cryogenic layer allows the implosion to achieve higher compression and larger densities. We are more interested in the other points which are gas-filled capsules (symcaps) as they provide more flexibility in the gas composition and because the ratio method requires multiple reactants that is enabled by mixed species. Mixed species are especially challenging to field in solid layers because of differences in species freezing temperatures. Each solid symbol represents a different gas-fill class

(squares utilize $D^{3}He$ gas, diamonds pure D gas, circles DT gas, downward triangles deuterated propane, and upward triangles Kr doped D). The comparison between the NIF data and the stellar calculations shows that implosion conditions on NIF are very similar to that of stars during most of the stellar lifetime.

The ³He + D mixture has some promising features, including two branches, a gamma (³He + D \rightarrow ⁵Li+ γ) and proton (³He + D \rightarrow ⁴He + p) branch and the presence of deuterium enables the observation of neutrons from the D + D \rightarrow ³He + n (note we expect the proton branch is not be measurable because of highplasma densities) reaction within the same experiment. These features will be considered in greater detail later in the manuscript. In following sections, we will detail the feasibility of fielding D-³He filled capsules, but a couple of other interesting cases stand out here. The red points show the effect of the addition of krypton gas (0.01-0.03 atomic percent), which cools the implosion temperature and increases the effective Z of the background plasma. A similar effect is seen in the C3D8 (deuterated propane) implosions, which have cooler temperatures than the other cases. This effect pushes the screening enhancement up to 3%.

A successful plasma screening experiment requires the following: 1) the expected screening enhancement is larger than fusion product yield (proton, neutron, or gamma) measurement uncertainties, 2) the total yield (proton, neutron, or gamma) is at a measurable level, and 3) if measuring protons, the areal density must be low enough that they will escape and reach the proton detector. At the NIF, wedge-range-filter proton detectors are routinely employed to detect protons between the energies of ~6–15 MeV [26, 27]. A modified step-range filter detector [28] is also being developed to extend the measurement to lower energies (~1 MeV), and thus enabling higher ρR measurements.

To find conditions in density-temperature space where a plausible screening measurement could occur, consider an inertially confined sphere of plasma with a density ρ , temperature T, and radius r. The total yield between two reactants 1 + 2 is $Y_{12} = \int \frac{f_1 f_2}{1+\delta_{12}} \frac{\rho(\vec{r},t)^2}{\vec{m}^2} \sigma v_{12} d\vec{r} dt$, where f_1 and f_2 are the atomic fractions reactants 1 and 2, respectively, \bar{m} is the average reactant mass, and σv_{12} is the reactivity. This sphere will disassemble in a timescale $\tau \sim r/c_s$, where c_s is the speed of sound. Using this disassembly time as the burn duration, we can estimate the yield, the areal density (ρr) , and screening enhancement, shown in Figure 1B. The screening enhancement increases as one travels vertically along a contour of constant yield. For a measurable proton signature, the ρR must be kept below ~0.2–0.25 g/cm². For a yield of 10⁷, this limits the maximum screening amount to ~15% enhancement. The arrow in Figure 1B notionally represents the direction that current experiments need to be pushed in order to reach the density and temperature where enough signal will escape the implosion and where the screening impact is calculated to be non-neglegable (>10%). The star shows our



plasma screening experiment design goal. It is also worth noting that increasing the number of plasma electrons by adding a high Z dopant to the gas can also increase the screening at a given density and temperature (an effect not accounted for in Figure 1B).

A. Observation Using the Ratio Method

To observe the impact of screening, we propose to observe the relative ratio of fusion products from D³He (protons or gammas) and DD (neutrons) reactions emitted in the same ICF implosion. Then, following the same procedure developed in [18, 29, 30], we plan to use this to infer the relative reactivities (R is the D3He/DD reactivity ratio $R = \sigma v_{12}/\sigma v_{11}$) in the presence of plasma-electron screening. The procedure developed in [18] should be modified with the inclusion of an additional term for very low temperatures where a screening measurement would likely operate. This term brings in the effect of temporal and spatial gradients from the temperature dependence of R in the range of interest, unlike the DT/DD reactivity in the prior study. The result is: $\frac{Y_{DD}}{Y_{DDHe}} = \frac{1}{2} \frac{f_D}{f_{DHe}} R_0 [1 + \frac{1}{R_0} \frac{\partial R}{\partial T} [T_{D3He} - T_{DD}]],$

And that

$$T_{\rm D3He} - T_{\rm DD} = \frac{1}{2} \left[\frac{1}{R_0} \frac{\partial R}{\partial T} \right]^{-1} \left[1 - \sqrt{1 - \left(2 \frac{1}{R_0} \frac{\partial R}{\partial T} \sigma_{T-\rm D3He} \right)^2} \right]$$

where σ_T is the width of the burning temperature distribution, and the terms R_0 and $\frac{\partial R}{\partial T}$ are evaluated at $T_{\rm DD}$. This implies that if the quantity $T_{\rm D3He} - T_{\rm DD}$ can be measured, the impacts of gradients can be directly accounted for. However, the second equation may become important since $T_{\rm D3He}$ may prove difficult to measure but $T_{\rm D3He} - T_{\rm DD}$ could be inferred if $\sigma_{T-\rm D3He}$ can be determined from moments of the DD neutron spectrum [31] or estimated from models of the platform.

If the S-factor is non-resonant within the region of the reacting nuclei, the term $\frac{1}{R_0} \frac{\partial R}{\partial T}$ can be estimated simply assuming barrier penetrability and geometric factors dominate the energy dependance over the burn. The result is: $\frac{1}{R_0} \frac{\partial R}{\partial T} = \frac{K}{9} \left[\frac{4}{T_1^{7/3}} + \frac{K}{T_1^{8/3}}\right]$, where $K = \frac{-3}{2} \left(\frac{\pi \alpha_f}{T_1^{9/2}} \left(\frac{p_1 + K}{T_2^{8/3}}\right)\right)^{1/3} - \left(\frac{(Z_1 Z_2)^2 A_1 A_2}{A_1 + A_2}\right)^{1/3}\right]$. Here, the subscripts 1 and 2 label each reactant, Z_1 and Z_2 are the atomic numbers, A_1 and A_2 are the atomics masses, T is the burn averaged temperature of reaction between the identical reactants 1 + 1 ($T_{\rm DD}$ in the example above), α_f is the fine structure constant, and $m_p c^2$ is the rest mass energy of the proton. This expression is especially useful, because it enables an estimate of how the relative energy dependance of the cross-sections burn-weight with the reacting ion energy distributions without needing to know the absolute cross-sections themselves. $\frac{1}{R_0} \frac{\partial R}{\partial T}$ is shown in the region of interest in Figure 2 below.

B. Estimating the Impact of Gradients on the Ratio Method

To evaluate how spatial and temporal profiles impact burn weighted quantities like yield and temperature, a simple hotspot model developed by Betti [32] can be used. Figure 3 shows the results of reactant distributions in space at peak compression (a and c) and evolutions in time (b and d) for two example implosions. One example is representative of the conditions required for a screening measurement (Figures 3A, B) with burn averaged temperatures of $T_{D3He} = 1.7 \ keV$, $T_{DD} = 1.5 \ keV$, a DD yield $Y_{DD} = 1.1 \times 10^{11}$, and a D³He yield of $Y_{D3He} = 4.0 \times 10^8$. The other example is more typical of experiments that are routinely conducted (Figures 3C, D) $T_{D3He} = 3.9 \ keV$, $T_{DD} = 3.3 \ keV$, a DD yield $Y_{DD} = 9.0 \times 10^{11}$, and a D³He yield of $Y_{D3He} = 4.7 \times 10^{10}$. This sort of synthetic data exercise can enable tests of the equations presented earlier and provides at least some indication on whether the presence of temperature and density gradients are an issue.

Figure 4A shows the results of comparing the analytic equation for $T_{D3He} - T_{DD}$ versus a numeric calculation with the hotspot model. The results show at five different representative temperatures the analytic equation works very well if the reactant distribution width σ_{T-D3He} is known. However, σ_{T-D3He} is not yet directly measurable and so it may need to be inferred or estimated from calculations to help constrain the role of gradients. Figure 4B shows the results of comparing $T_{DT} - T_{DD}$ versus actual experimental data from a variety of implosion platforms including gas filled HDT symcap implosions [33, 34], gas filled indirect drive exploding pusher implosions [35], and layered DT experiments [36, 37]. Here, the $\sigma_{T-\mathrm{DT}}$ was calculated from 1D radiation hydrodynamic simulations using the code HYDRA [38] for two representative cases, an indirect drive exploding pusher [35] and a DT filled symcap [39]. The curve with predicted σ_{T-DT} (dotted black) appears to be significantly less than the data, an



DD and D³He reactant distribution in space (A,C) and time (B,D) using a numeric hotspot model to estimate the impact of temporal and spatial gradients on burn-weighted quantities for two different example implosions.



observation in line with those of Gatu-Johnson [36]. However, if σ_{T-DT} is increased by a factor of 1.6 (dashed black), then a good match to the measured trend is reproduced. This indicates that the gradients are more important in the experiments than the 1D simulations would suggest. The exact reasons for this are unclear

but it could be due to the enhancement of σ_{T-DT} from 2D and 3D effects not captured by 1D simulations and so high resolution 2D and 3D simulations are required. This also strongly motivates the need for specific experiments to determine σ_T in a future plasma screening experiment where T_{D3He} cannot be directly. For



FIGURE 5

Comparison of the reaction ratio for DD and D3He vs. the extracted reactivity ratio using a synthetic data set calculated using a Betti 1D hotspot profile [32].



example, adding trace tritium in a predominantly D³He gas fill may allow the measurement of the $T_{\rm DT}$ and $T_{\rm DD}$ in a threecomponent plasma providing a constraint on the unmeasured $T_{\rm D3He}$.

As a check on how the formula for extracting the reactivity ratio will work with these predicted gradients, the ENDF evaluated cross sections were input [40] with a 1D Betti [32] hotspot profile from which the yields and temperatures were calculated and used to recover the reactivity input in the calculation. The results are shown in Figure 5 and indicate that in the presence of this level of temperature variation, the extracted reactivity ratio is recovered.

3 Detailed experimental design considerations

To help understand the design space for a screening experiment, Figure 6 shows the results of an ensemble of 1D HYDRA simulations. These start with existing experimental design features and then perturb in directions to increase the calculated enhancement of the reaction rate from plasma screening, which is shown as the background color scale. The size of each individual point is proportional to the simulated D³He yield. Markers with solid outlines represent yield and ρR combinations that are promising for a screening experiment. The star points are the experimental results from gas-filled implosions, shown previously in Figure 1A.

To observe screening in an ICF experiment, two principal design paths are being actively explored. The first would use D³He protons as the primary measurement that would require the total areal density to not exceed the ~15 MeV proton range $(\sim 0.25 \text{ g/cm}^2)$ to be detectable with WRF or step range filters [27, 28] placed near the implosion. Existing data in gas filled implosions was examined to assess the ability to measure D³He protons from implosions with moderately high compression (or areal density) and then determine the reactivity <ov> D3He in density and temperature conditions where screening is expected to be weak. This work initially encountered significant detector analysis challenges with low energy protons (~7 MeV) and work is ongoing to attempt to resolve those issues [41]. The points with dark outlined circles are implosions that have proton yields high enough for a WRF measurement (>10⁵) and ρRs low enough for the protons to be detectable ($<0.2 \text{ g/cm}^2$).

An alternative design path would be to maximize the yield at high hotspot density for measurements of the D³He gamma ray (branching ratio ~ 1e-4) [42], since gammas and neutrons can escape high areal density implosions but carry with it increased uncertainty due to uncertainties in the gamma branching ratio [42] and reduced absolute signal levels. Therefore, this measurement would require a high solid angle Cherenkov detector [43, 44]. Since these detectors have high systematic uncertainties, we would measure the D³He/DD yield ratio at both screening-relevant conditions and in a low-density plasma (exploding pusher) to eliminate systematics. HYDRA simulations explored the potential parameter space and have found several interesting designs that look promising in each of these design directions. In Figure 6, the diamonds with dark outlines represent designs with D³He yields that are potentially high enough (>10⁹) for a gamma branch measurement.

The results in Figure 6 show that estimated screening corrections greater than 10% can be achieved, but most of these simulated designs would be significantly challenging to measure because of high ρ R. Of these, some designs show potential (marked as black-outlined points). In the next section, we delve into one of the most promising black-outlined diamond points, to understand what features make this an interesting potential design to observe plasma screening.



FIGURE 7

(A) HYDRA simulation of radius vs. time for an example screening experiment design candidate. The hotspot density reaches high conditions ~100 g/cm³ (B) Zoom in temperature dynamics showing a brief shock flash and extended compression phase. Also shown are the reaction rate and screening magnitude of this design.



A. The "Slow-Cooker" Radiative Cooling Design Proposal

One especially interesting HYDRA simulation in Figure 6 is explored in more detail in Figure 7. This design uses a large

1400 μ m inner radius capsule that is 200 μ m thick, and initially filled with a D³He mixture with added Kr. The large capsule ensures that high areal density will remain to produce a prominent compression phase. Figure 7B shows that after the

shock flash, the Kr mixed in with the gas cools the hot spot and so it reaches an iso-thermal state near 1 keV, lasting for almost 1 ns For perspective, typical NIF implosions are confined for about a 10th of a nanosecond. This interesting set of implosion dynamics is established as the temperature and density conditions are in a radiation dominated energy loss regime, rather than the more typical thermal conduction dominated regimes of most hotspot ICF designs. This design is called the "slow-cooker," because the conditions are advantageous for increasing the burn by maximizing the plasma confinement, while also favoring the conditions for finite screening of reacting nuclei. Furthermore, the radiation cooling dominated regime may also result in reduced spatial temperature gradients because the hotspot becomes nearly iso-thermal. This radiation dominated isothermal system is interesting enough to warrant study just to understand this radiation dominated physics regime for its own sake, but also to produce conditions where screening becomes nonnegligible, as shown in the subplot of the reaction rate and time dependent screening magnitude Figure 7.

B. Estimating the Uncertainty of the Yield and Ti at Low Yield

The design space of interest for observing screening is expected to produce neutron yields from DD fusion of order 107 to 108 neutrons. This low yield also presents a challenge to the neutron time-of-flight spectrometry that will be used to measure the yield and ion temperature of the implosions. To help enable measurements at these challenging yields, the current analysis process [22] was modified to include neutron elastic scattering from both the D3He and the ablator shell, to account for scattering effects on the lowenergy tail. This modified analysis was then performed on the higher yield shot data from shot N160410-001-999 [note that NIF experiments have the following naming convention N (Year) (Month) (day)-(Shot index of that day)-999, where-999 indicates a full system shot], and on the simulated HYDRA post-shot neutron spectrum. The post-shot spectrum was transformed into a synthetic neutron time-of-flight including the various features of the neutron transport, detection, and digitization. This time-of-flight data was then analyzed and compared to the shot data. Figure 8 shows the results of this process. This analysis will allow additional simulation generated neutron spectra to be generated and analyzed to investigate the statistical significance of both yield and ion temperature.

4 Conclusion and next steps

Plasma electron screening is an important fundamental process in the thermonuclear burn of astrophysical objects, like stars, but observing it in laboratory plasma experiments is a significant challenge. In this work, we show that ICF implosions have already reached the relevant conditions for stellar burn but that the magnitude of the plasma screening effect was too weak to directly measure in those experiments. However, there are clear design directions to increase the magnitude of the plasma screening effect by utilizing higher-Z reactions, higher-Z plasmas, reducing the plasma ion temperature, and increasing the plasma density. The ratio method is a powerful approach to infer the thermonuclear S-fact in the presence of strong temperature and density gradients. We show a simple treatment that includes a correction to the ratio method for these gradients that is needed at the very low temperatures for a screening measurement. Furthermore, to develop a credible experimental design, an ensemble of HYDRA simulations was run to increase the calculated level of plasma electron screening to levels >10%. A promising resultant design, called the "slow-cooker," features a very large (1400 µm inner diameter) and thick ablator (200 µm) filled with a mixture of Kr/D/³He. The slow-cooker enters the radiation dominated implosion regime, resulting in a relatively cold (1 keV) and dense (100 g/cm³) Kr/D/³He plasma that is confined for nearly 1 ns. These conditions are all favorable for plasma electron screening and are predicted to increase the D+³He reaction rate relative to D + D to levels that may be measurable on the NIF.

The present work assessed the issues with respect to a plasma screening measurement and identifies some credible solutions to long standing challenges. The next step is to test the null hypothesis of implosions where screening is expected to be negligible, an effort that is currently underway in the NIF discovery science program [45]. The physics of the radiation dominated "slow-cooker" implosion should also be explored and tested to see if the required conditions are achieved and assess whether the reaction products will be measurable. While substantial challenges remain, we have shown that experiments on NIF show promise and may enable the first observation of plasma screening of nuclear reactions, addressing one of the fundamental questions of high-energy-density physics. This work is performed under the auspices of the U.S. Department of Energy by LLNS, LLC, under contract no. DE-AC52-07NA27344.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Author contributions

DC lead author and screening project PI. CW capsule design and HYDRA simulations. AZ experiment analysis and

screening DS project PI. CC capsule design expert. EH nuclear and time-of-flight detector analysis. MH experiment and analysis. LD HYDRA simulations. DD stellar system simulations. NK experiment and particle detector analysis. BL particle detector analysis. MGJ nuclear and particle detector analysis. JF nuclear and particle detector analysis.

Funding

This work is performed under the auspices of the U.S. Department of Energy by LLNS, LLC, under contract no. DE-AC52-07NA27344.

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Conflict of interest

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