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Purpose: To adapt the non-uniform Self-Gating (nuSG) method and compare it to established self-gating approaches for lung imaging in uniform and highly irregular respiratory patterns.

OPEN ACCESS

Edited by:

Ciprian Catana, Massachusetts General Hospital and Harvard Medical School, United States

rontiers

in Physics

Reviewed by:

Christian Herbert Ziener, German Cancer Research Center (DKFZ), Germany Christoph Stefan Aigner, Physikalisch-Technische Bundesanstalt, Germany Peter Niedbalski, University of Kansas Medical Center, United States Matthew Willmering, Cincinnati Children's Hospital Medical Center, United States

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Specialty section:

This article was submitted to Medical Physics and Imaging, a section of the journal Frontiers in Physics

Received: 15 December 2021 Accepted: 03 February 2022 Published: 04 March 2022

Citation:

Metze P, Frantz H, Straubmüller F, Speidel T, Stumpf K, Beer M, Rottbauer W and Rasche V (2022) Non-Uniform Self-Gating in 2D Lung Imaging. Front. Phys. 10:836178. doi: 10.3389/fphy.2022.836178 **Methods:** Six healthy volunteers underwent free breathing lung MRI using a radial tiny golden angle ultrashort echo-time sequence. Acquisitions were performed with the volunteer breathing as uniformly as possible and with a deliberately non-uniform respiratory pattern. The acquired data was reconstructed with the nuSG method, previously introduced for cardiac imaging and imaging of the temporomandibular joint (TMJ) and compared to established k-space based and image-based self-gating approaches. Residual motion blur, SNR and functional values were assessed and compared to reference breath-hold acquisitions.

Results: nuSG is capable of reconstructing high-quality images for uniform and nonuniform breathing patterns and is furthermore capable of resolving motion in cases where additional motion is superimposed or no clear motion surrogate exists. Derived functional values do not differ significantly from other image-based gated reconstructions - and in the case of non-uniform respiratory patterns replicate the reference BH values.

Conclusion: Image based approaches are computationally more demanding but yield better results in all aspects. In scenarios with a direct surrogate for respiratory motion (i.e. the lung-liver interface) the extraction of a one-dimensional navigator is sufficient. When there is no direct surrogate for the motion of the target structure available (e.g., considerable through-plane motion or a different source of motion), the two-dimensional correlation-based measure used in nuSG is able to track the motion more accurately.

Keywords: lung MRI, self-gating, free breathing, pulmonary imaging, UTE imaging

1 INTRODUCTION

Over the last decade, magnetic resonance imaging (MRI) has emerged as a promising alternative to high resolution computed tomography in lung imaging [1, 2]. Its capabilities in the visualization and assessment of functional and morphological information without ionizing radiation makes lung MRI attractive in paediatrics [1, 3], in the early detection of diseases [4], as well as in longitudinal imaging studies [5]. However, its clinical use is still limited due to very short T_2^* relaxation times and low proton densities leading to intrinsically low signal to noise ratios (SNR) and respiratory and cardiac

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motion. The short transverse relaxation times have been addressed by ultrashort echo time (UTE) [6] and zero echo time (ZTE) [7] sequences, which have been optimized for lung imaging [8–12]. Where cardiac motion is neglected in most cases, in healthy and cooperative volunteers, breath-holds (BH) in combination with rapid data acquisition have proven feasible for high-quality lung imaging [13–15]. However, patients with lung diseases often exhibit limited breath-hold capabilities, leading to the necessity of acquisitions during free breathing in combination with motion compensation strategies.

According to [16], motion correction strategies are divided into three groups, comprising 1) respiratory gating, which utilizes a respiratory gating signal to acquire and reconstruct only data of one specific motion state [17]; 2) image registration techniques, which align all motion states to one image via registration and iterative reconstruction techniques [16, 18] and 3) motion resolved reconstruction, which groups continuously acquired defined motion states. Motion resolved data into reconstructions can generally be accomplished using selfgating techniques, which can either exploit data from the spatial frequency domain (k-space) [19-21] or from navigatorlike signals, extracted from low spatial and high temporal resolution images, for which a superior performance was reported [12, 22]. However, self-gating performs best for uniform motion and image quality is compromised in patients with irregular breathing patterns, e.g., due to shortness of breath or coughing.

In this work we adapted the non-uniform self-gating (nuSG) framework [23] to motion resolved lung imaging. The nuSG algorithm was initially introduced to resolve

"cyclic motion with a nonuniform pace", as encountered during arrhythmias in cardiac imaging or the voluntary movement of the temporomandibular joint (TMI). For lung application, the approach was extented for coping with nonreproducible motion amplitudes and rare motion states. Application of nuSG to lung imaging thus enables dynamic imaging of the (non-uniform) respiratory motion for subsequent quantification of relevant lung function parameters [15, 24, 25] from one single, continuously acquired UTE dataset. Quantitative morphological and functional lung parameters were evaluated for uniform and non-uniform respiratory patterns and directly compared to established self-gating and breath-hold methods. Further, qualitative assessment of applying nuSG to improve reconstruction fidelity of structures (e.g., large vessels), likely experiencing more complex motion patterns (e.g., cardiac and lung motion) is presented.

2 MATERIALS AND METHODS

All experiments were performed on a clinical 1.5T MRI system (Achieva 1.5 T, Philips, Best, Netherlands) with a dedicated 32 channel anterior/posterior cardiac receive coil. Six healthy volunteers (all male, non-obese, mean age: 27 years) underwent the same MR protocol in supine position (Figure 1). Coronal images were acquired for each volunteer in two slices. For each slice four acquisitions were performed: two breath-hold acquisitions (inspiratory and expiratory) and two free-breathing acquisitions for which each volunteer was instructed to once breathe uniformly and once to breathe nonuniformly for the duration of the acquisition. No further instructions were given on the nature of non-uniform breathing, but it was left to the volunteer to vary the frequency and/or depth of breathing. For a more general assessment of gating strategies, axial images (free breathing only) were additionally acquired with the same acquisition protocol in a single volunteer. The free-breathing acquisitions were reconstructed with the proposed nuSG method (see below) and compared to reconstructions with image-based self-gating using navigator-like signals and two different binning strategies (Figure 2), as well as a k-space based self-gating approach (Figure 3). All images were reconstructed off-line using an inhouse built software framework implemented in MATLAB (MathWorks, Natick, Massachusetts, United States). Data from different receive coils were combined by a root-sum-of-squares calculation to avoid influence of erroneous coil sensitivities in the low signal lung regions.

2.1 UTE Sequence

A radial 2D spoiled center-out ultrashort echo time (UTE) sequence (TE: 0.39 ms, TR: 2.20 ms, FOV: 450 mm, FA: 3° , Slice Thickness: 8 mm, Resolution: 2 mm) was combined with tiny golden angle [22, 26, 27] angular increments to facilitate a sliding window (SW) reconstruction for retrospective gating. Data were acquired continuously for 7 s (BH) or 120 s (SG) for each slice.



2.2 nuSG Reconstruction

In general, the nuSG reconstruction process follows the detailed description given in [23]. In short (**Figure 2**), low spatial resolution images with a temporal resolution of ~ 100 ms (50 TR) were reconstructed using a SW technique. For coronal image orientation, a region of interest (ROI) covering the lung-liver interface (LLI) was manually identified and the pairwise correlation of all frames, yielding the correlation coefficients leading from the top row to the bottom right-hand side of M_c were identified using a contour fit approach [23, 28] to avoid mixing of data acquired during inspiration and expiration. The contour fit algorithm was

adapted to bridge bands of low correlation in M_c , caused by rare respiratory stages such as deep inspiration. In a further step, the contours were grown to achieve greater efficiency. Growing of the contours refers to a search in direct proximity of the contour for further frames with high correlation coefficients (bright areas in M_c), which mostly occur around resting phases. To reconstruct a certain motion state, all data corresponding to the intersection of this motion state (row in M_c) and all grown contour fits were combined. Of note, it would likewise be possible to combine intersecting data from consecutive rows of M_c to increase SNR at the cost of a decreased temporal resolution. Instead of using a region containing the LLI, in axial image orientation the ROI was



chosen to cover as much of the right lung as possible while carefully excluding the larger vessels and the heart to reduce the influence of cardiac motion on M_c . Hence, the 2D deformation of the lung governs the correlation matrix and finally determines matching frames. The application of nuSG to a more complex motion pattern was further evaluated by placing the ROI over a vascular structure close to the heart, thus ensuring local cardiac and respiratory motion induced displacement. The correlation of two frames is thus highest, when they are in the same cardiac and respiratory phase. The effects of different ROIs on the M_c are shown in the **Supplementary Material S1**.

2.3 Self-Gating Reconstruction

k₀-**based** (**SG**_{ksp}): the self-gating signal was derived from the temporal evolution of the amplitude of the center of k-space (DC-signal), which was intrinsically acquired at the beginning of each radial spoke. Coil elements showing high signal intensity at the LLI were identified manually from a time-averaged reconstruction. The raw DC-amplitudes from the selected coil elements were subsequently filtered with a bandpass filter, the cut-off frequencies of which were derived from a power spectrum analysis individually for each volunteer. The filtered signals were combined by principal component analysis yielding the final respiratory navigator signal. The different

respiratory stages were identified by equidistant sectioning of the navigator signal on the time axis.

Image-based (SG_{img}): a respiratory navigator signal was derived from the same low-spatial high-temporal resolution images as used for the nuSG approach. E.g., for coronal image orientation a line over the LLI (Figure 2) was manually identified and the actual location of the LLI in each temporal snapshot used as respiratory navigator. The location of the LLI was identified automatically by a gradient analysis. Respiratory stages were identified either by a histogram-based binning approach (SG_{img,hist}) ensuring the same amount of data in each stage; or an absolute valuebased approach (SG_{img,abs}), in which the total displacement was equidistantly subdivided. For axial image orientation, instead of the LLI a line perpendicular to the anterior chest wall was used for deriving the respiratory navigator signal.

2.4 Data Analysis

The performance of the investigated gating algorithms was assessed by analysis of the residual motion blur, SNR, lung parenchyma proton fraction (PF) and fractional ventilation (FV) in direct comparison with the breath-hold technique. Further analysis of the gating performance by assessing "pseudo"-2D-volume differences between inspiration and expiration is presented in **Supplementary Material S1**.



Image sharpness was quantified by assessment of the intensity profile of a single line across the LLI in end-inspiration and end-expiration, as shown in **Figure 4B**. A standard metric (used e.g., in [23, 29]) identifies the position of pixels corresponding to 25 and 75% of the maximum signal intensity and uses the distance as a measure for image sharpness.

Prior to calculation of the SNR, PF and FV, the parenchyma was segmented semi-automatically by first drawing approximate contours around the left and right lungs and then applying a threshold to exclude the vessels and remaining non-lung parenchyma tissue. SNR (of lung parenchyma) was estimated according to [30] by

$$SNR = \sqrt{2 - \frac{\pi}{2}} \frac{SI_{\rm ROI}}{\sigma_{\rm BG}},\tag{1}$$

where SI_{ROI} is the mean intensity value within a selected ROI and σ_{BG} is the noise standard deviation of all pixel intensities in a selected background ROI_{BG} and the pre-factor correcting for the Rayleigh noise distribution in magnitude images. It should be noted, that SNR estimation with multi-element receiver coils is quite challenging [31]. The calculated SNR should not be seen as absolute value, but rather a means to compare the performance of the gating algorithms within the same setup. As the gated reconstructions have the same underlying raw data and the BH acquisitions are performed with the same geometry parameters, differences in SNR reflect data efficiency or possible confounding factors of the gating algorithms (e.g. preference of certain angular orientations).

For further quantitative analyses, the amount of data used for reconstruction of the selected frames was equalized, i.e., for each slice of each volunteer an approximately equal number of profiles was used to reconstruct inspiration and expiration images with all gating approaches and the BH acquisition. For further details, please refer to the **Supplementary Material S1**.

The PF was calculated pixelwise according to [32].

$$PF = \frac{SI_{\text{lung}}}{SI_{\text{muscle}}} \exp\left(\frac{TE}{T_2^{\star}}\right),\tag{2}$$

with SI_{lung} being the signal intensity of the lung parenchyma, SI_{muscle} the mean signal intensity of a ROI placed in the intercostal muscles, and $T_2^* = 2.11 \text{ ms}$ [33]. Prior to calculating the PF, the noise floor SI_{noise} , defined as the mean intensity of the selected ROI_{BG}, was subtracted to avoid any related impact [32, 34].

After non-rigid image registration of exhaled and inhaled motion states using the Medical Image Registration Toolbox for MatLab (MIRT) [35], FV maps were calculated without noise correction according to [36].

$$FV = \frac{SI_{\rm EX} - SI_{\rm IN}}{SI_{\rm EX}},\tag{3}$$

with SI_{EX} and SI_{IN} being the pixel intensities during expiration and inspiration, respectively.

The significance of differences was assessed by a paired twosided Student's *t*-Test. Normal distribution of data was checked by applying a Shapiro-Wilk test. *p*-values below 0.05 were considered significant.

3 RESULTS

3.1 Sharpness

The results of the sharpness analysis are provided in **Figure 4A**, with example data provided in **Figure 4B**. Please note that the presented self-gating results are reconstructed from the same data set. The mean sharpness in the BH images is similar for inspiration and expiration, with a notably larger standard deviation for the exhaled state.

As most apparent difference, the significantly (p < 0.05) inferior performance of SG_{ksp} in inspiration (uniform, non-uniform) and expiration (non-uniform) is obvious. Amongst

the image-based approaches, resulting differences are less pronounced. SG_{img,abs} performs significantly (p < 0.05) better at resolving the inhaled state, while nuSG and SG_{img,hist} show superior (p > 0.05) sharpness in expiration.

3.2 SNR

The SNR analysis was performed on images reconstructed from the total amount of data after binning (**Figure 5A**) and from equalized data with similar number of projections per respiratory stage (**Figure 5B**). In non-uniform respiration, nuSG and SG_{img,abs} show lower SNR values for inspiration, most likely caused by the rare deep inspiration states and therefore less available data for reconstruction. While the SNR differences appear to be significant when the images are reconstructed from all available data for a certain state, the analysis for an equal amount of data exhibits less significant differences: SNR of all gated acquisitions differ significantly from the BH reference in uniform respiratory patterns. In non-uniform respiration, SG_{ksp} exhibits significantly higher SNR in inspiration, in expiration only nuSG differs significantly from the BH reference, but not from the other gated methods.

3.3 Proton Fraction and Fractional Ventilation

The results of the PF and FV analysis are summarized in **Figure 6**. Values do not significantly (p > 0.5) differ in expiration (uniform, non-uniform) between BH reference and any of the investigated self-gating techniques. Significant differences result between the BH reference and self-gating approaches for inspiration (uniform, non-uniform) with significant (p < 0.05) overestimation of the PF for SG_{ksp} when compared to the image-based approaches. The image-based approaches only show slight differences between each other (p > 0.05) for non-uniform respiration.

The FV values derived from non-uniform respiration and image-based gating methods are in better accordance to the reference BH than the values derived from uniform respiration. For uniform respiration, differences between all reconstructions and the BH reference are significant. For non-uniform respiration, only the FV values derived from SG_{ksp} are significantly different from the BH reference.

A table containing all statistical tests and significance levels is provided in **Supplementary Material S2**.

3.4 Non-LLI Based Gating

Figure 7A shows example images of a nuSG reconstruction with the correlation ROI placed across the vessels in the upper left lung, close to the heart in direct comparison to an $SG_{img,abs}$ gated image in end-expiration. Where in the conventional image-based reconstruction $SG_{img,abs}$ the cardiac motion component is lost, nuSG appears able to resolve the cardiac motion as well as the lung motion as visible in the close-ups.

The superior performance of nuSG in case of more complex motion patterns can be appreciated from **Figure 7B**, showing an axial slice (top left) with resulting intensity profiles over time (top



right) derived from two orthogonal lines as indicated in the axial image. As the ability to resolve non-uniform motion might not be visible in static images due to the smaller changes in the axial orientation, m-mode like plots were derived. For this purpose, the final gated frames were assigned to all profiles that were originally used to reconstruct the frame. This way, an image series could be created matching the original motion pattern from which intensity profiles could be extracted.

4 DISCUSSION

The use of breath-holds for quantitative lung imaging might be limited. Even though motion artefact free images might be obtained, the related low SNR often causes insufficient data fidelity for subsequent quantitative data analysis, especially in patients with impaired lung function. Acquisition over several breathing cycles may yield higher SNR, but to avoid severe image blur and to enable multi-phase reconstruction, retrospective gating is required. In this context, self-gating methods either based on intensity variations of the k-space center (SG_{ksp} [19]) or based on low-resolution images (SG_{img} [12, 22]) have been introduced to relate each spoke to a specific respiratory motion state. Where in case of uniform respiratory motion, sorting of the data into equally spaced bins (SG_{ksp}, SG_{img,hist}) works well, non-uniform motion such as deep breathes or irregular respiratory frequencies often impair the resulting

image quality. Similar limitations in cardiac imaging (arrhythmia) and imaging of the temporomandibular joint [23] have been addressed by using a two-dimensional correlation approach ensuring sorting of the data according to the real motion state. In both cases, however, the range of movement is more limited than for breathing. Rare motion states, such as deep inspiration, typically do not occur very often and lead to black bands (areas of low correlation) in the correlation matrix. The fitting of active contours has thus to be adapted to bridge these bands of low correlation. In this contribution we could show that the image quality of lung imaging can be increased in comparison with established selfgating strategies, especially in case of non-uniform motion by using a distance based metric (SG_{img,abs}) or the aforementioned two-dimensional correlation (nuSG). Where in this work the resulting multi-phase images were predominantly used for deriving functional information of the parenchyma, it might also enable the further analysis of clinically relevant respiratory patterns.

SG_{ksp}

In the presented measurements, SG_{ksp} performed worst, especially for non-uniform respiratory patterns. While on the one hand side, filtering of the signal with bandpass filters might cut off information from very short or long respiratory cycles, the method ultimately recognizes trigger-like signals (i.e., extrema in the gating signal) without information on the actual position of



the LLI. The exhaled motion state can be sufficiently well resolved, while the inhaled state proves to be more challenging, since only the exhaled motion state appears constant throughout almost all respiratory cycles (**Figure 8**). A blurred LLI leads to erroneous segmentations and general image blur, which will in turn distort PF and FV values.

SG_{img} and nuSG

The histogram-based binning SG_{img,hist} suppresses extreme states (outliers), by grouping this data with less extreme positions. If e.g., deep inspiration appears only once or twice, its impact on the final image quality might be negligible as most of the inspiration bin data stems from a "normal" inspiration state. However, as deep inspiration becomes more common (without being the main inhaled state), data over a larger number of displacements is averaged, leading to unsharp reconstructions. For rather uniform respiration, SG_{img,hist} provides a good gating option as the amount of data is equal for all states which facilitates analysis (same SNR level, easier calculation of PF and FV).

For coronal slices, $SG_{img,abs}$ can be considered as an excellent and simple to use approach, as the real displacement of the LLI is used for deriving the gating signal, which as such allows for simple identification of irregular motion cycles and a more accurate binning of the data. $SG_{img,abs}$ yields excellent results as long as the irregular motion is restricted to a single dimension. Results of similar quality were achieved with the nuSG approach for the image sharpness of the LLI. For uniform motion, SG_{img.abs} resolves the inhaled state significantly better than all other gating methods (18% mean advantage over nuSG). nuSG itself offers a mean improvement of 6.4% compared to SG_{img,hist} and 44.7% compared to SG_{ksp}. For the exhaled state, the sharpness of all gating methods is comparable (less than 10% deviation from nuSG's sharpness value). For non-uniform respiratory patterns, nuSG resolves the inhaled motion state better than SG_{img,hist} (14.1%) and SG_{ksp} (54.4%). While SG_{img,abs} once again has better sharpness values for the inhaled state (24.3%), nuSG exhibits better sharpness values for the exhaled state (11.4%). However, nuSG has the intrinsic further advantage of not relying on displacements in 1D intensity profiles, but considering general 2D motion and distortions as visible in the underlying images used for the correlation. This resulted in a clear advantage for the depiction of structures underlying a more complex motion pattern, such as e.g., the vasculature close to the heart. Further, with the introduced contours, the nuSG matrix formalism yields the advantage of intrinsically separating data acquired during inhalation from data acquired during exhalation.

All image-based approaches have in common that they rely on a sufficient temporal resolution of the SW reconstruction. Gating quality might be impaired by motion blur in the SW



SNR deteriorates. (B) shows an axial slice of the lung and the time evolution along the left-right (light blue) and anterior-posterior (turquoise) axis (nonuniform respiratory pattern). nuSG comes closest to the original motion, shown in the low-resolution sliding-window frames. While SG_{img,abs} and SG_{img,hist} are somewhat close to the original frames, SG_{ksp} completely fails to resolve the motion.

images due to very fast respiratory motion, and a rejection of blurred input images might be considered. Further, the amount of data used for the reconstruction of a certain motion state is not constant for the displacement and nuSG approaches. Especially extreme motion states, which can only be resolved with nuSG or the image-based method with absolute binning, appear less often, leading to less projections and hence lower SNRs even in case of perfect gating, as shown by the SNR comparison between the different gating approaches. This has to be carefully considered during subsequent quantitative data analysis, since especially for low SNR data, the noise floor impacts the resulting figures. As suggested previously ([32]), subtraction of the noise floor is highly recommended to minimize impact on the PF. Further discussion of the influence of noise correction is presented in the Supporting Information 3. In the presented comparison, similar amounts of data were used for the reconstruction of all images to guarantee comparable noise levels and to such minimize the impact of the noise floor. With equalized amounts of data, SNR resulted in similar values for all gating approaches.

Reconstruction times among the different image-based methods are similar, as the most time-consuming step is the reconstruction of intermediate low-resolution images.



Placement of the navigator column for classical gating and the ROI for nuSG has been performed manually but could potentially be automated in the future. Depending on the size of the ROI and its contents, the values of the correlation matrix might differ. While fitting of the active contours is independent, the subsequent growing step is implemented with a threshold and has to be specified for a given scenario (anatomy, temporal resolution of SW images). All coronal images used for quantitative evaluation were reconstructed with the same parameters.

Breath-Hold vs. Self-Gating

The direct comparison between the BH and SG acquisitions is difficult, since subjects naturally breathe deeper in breath-hold acquisitions than during continuous breathing. This effect is supposed to be more pronounced in inspiration than in expiration. This is nicely reflected in the outcome of the PF and FV figures. Where in expiration no significant differences are observed for the PF between the SG and BH method, inspiration values are significantly higher for the SG approaches. This is most likely related to the higher respiratory amplitude during BH acquisitions. The higher deviation for SG_{ksp} may be caused by the inferior image sharpness. The lower respiratory amplitude is also reflected in the FV figures. Deeper respiration in nonuniform motion causes FV values closer to the BH situation. As in PF, SG_{ksp} performs worse, most likely due to increased image blur.

General Limitations of PF and FV

In general, absolute PF values must be interpreted with care, since correction with an assumed T_2^* value is required. Of course, T_2^* is strongly dependent on the lung parenchyma condition and will likely vary depending on the different respiratory phases [37] and underlying disease. Ideally, a respiratory phase-resolved T_2^* map should be considered for compensation, which is clinically

unlikely to realize. Similar care has to be taken with the FV calculations in its current form, which is currently assuming a linear relation between enclosed air volume and signal intensity, which only holds true under neglection of any introduced T_2^* differences.

In case of a single dominant motion direction, as in the coronal slices, consideration of the real displacement (SG_{img,abs}) works well, even in case of non-uniform motion. In case of more complex motion and deformation as e.g. in case of axial imaging or imaging of the structures close to the heart, utilization of the full image information as done in nuSG appears clearly advantageous. In both cases, nuSG is able to resolve the motion. However, since this means that only less data can be considered, the SNR is reduced.

CONCLUSION

Image-based gating strategies perform superior to gating methods utilizing the center of k-space intensity. While in the case of uniform motion all investigated self-gating techniques provide almost reasonable results, strategies taking into account the actual displacement result in superior image quality for non-uniform motion. In this context, the presented nuSG approach represents an attractive alternative by full consideration of the 2D image information. This even allows complex movement patterns to be taken into account in the gating process.

DATA AVAILABILITY STATEMENT

The datasets presented in this article are not readily available because of the privacy of the volunteers. Requests to access the datasets should be directed to Volker Rasche, volker.rasche@uni-ulm.de.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee of Ulm University. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

PM, MB, WR, and VR contributed to conception and design of the study. FS adapted the nuSG algorithm to lung imaging. PM optimized and standardized the nuSG algorithm and all other gating techniques. PM, FS, and KS performed all measurements. PM, HF, and FS carried out all reconstructions, the statistical analysis and interpreted the results. PM and TS wrote the first draft of the manuscript. All authors contributed to manuscript revision, read and approved the submitted version.

FUNDING

This work was funded by the German Research Foundation under grant agreement 465599659.

ACKNOWLEDGMENTS

The authors thank the Ulm University center for Translational Imaging MoMAN for its support. The authors would like to acknowledge Stefan Wundrak, PhD, for providing the original cardiac/TMJ implementation of the non-uniform self-gating

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algorithm and for helpful discussions. The authors declare that this study received continuous technical support from Philips Healthcare. Philips Healthcare was not involved in the study design, collection, analysis, interpretation of data, the writing of this article or the decision to submit it for publication.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphy.2022.836178/ full#supplementary-material

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