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# Elemental fragmentation cross sections for a <sup>16</sup>O beam of 400 MeV/u kinetic energy interacting with a graphite target using the FOOT △E-TOF detectors

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> The study of nuclear fragmentation plays a central role in many important applications: from the study of Particle Therapy (PT) up to radiation protection for space (RPS) missions and the design of shielding for nuclear reactors. The FragmentatiOn Of Target (FOOT) collaboration aims to study the nuclear reactions that describe the interactions with matter of different light ions (like <sup>1</sup>H , <sup>4</sup>He , <sup>12</sup>C , <sup>16</sup>O) of interest for such applications, performing double differential fragmentation cross section measurements in the energy range of interest for PT and RPS. In this manuscript, we present the analysis of the data collected in the interactions of an oxygen ion beam of 400 MeV/u with a graphite target using a partial FOOT setup, at the GSI Helmholtz Center for Heavy Ion Research facility in Darmstadt. During the data taking the magnets, the silicon trackers and the calorimeter foreseen in the final FOOT setup were not yet available, and hence precise measurements of the fragments kinetic energy, momentum and mass were not possible. However, using the FOOT scintillator detectors for the time of flight (TOF) and energy loss ( $\Delta E$ ) measurements together with a drift chamber, used as beam monitor, it was possible to measure the elemental fragmentation cross sections. The reduced detector set-up and the limited available statistics allowed anyway to obtain relevant results, providing statistically significant measurements of cross sections eagerly needed for PT and RPS applications. Whenever possible the obtained results have been compared with existing measurements helping in discriminating between conflicting results in the literature and demonstrating at the same time the proper functioning of the FOOT  $\Delta$ E-TOF system. Finally, the obtained fragmentation cross sections are compared to the Monte Carlo predictions obtained with the FLUKA software.

KEYWORDS

fragmentation, cross section, timing detectors, particle therapy, space radioprotection

# **1** Introduction

The understanding of nuclear processes behind the interaction with matter of protons and heavier ions (with nuclear charge  $Z \le 26$ ) are of interest for several applications, ranging from Particle Therapy (PT) to Radio Protection in Space (RPS) and shielding development for nuclear experiments [1–3]. In particular, in the energy range of interest for PT and RPS applications (depending on the ion,

in the 100–800 MeV/u kinetic energy range), the fragmentation cross sections of many nuclear processes are missing or known with a limited precision, mainly due to the lack of experimental data, as highlighted in a database developed for the NASA [4]. These fragmentation cross sections are the key point to refine and benchmark the nuclear models implemented in both the deterministic and MC codes used for precise dose computations in the above mentioned applications [5, 6]. Whenever a significant contribution is expected from fragmentation events, the MC

predictions are hence suffering from uncertainties that affect the planning of PT treatments [7] or prevent an efficient and reliable development of shielding for astronauts [8–12]. The interest and the social impact of these applications renewed the effort of the scientific community in measuring the needed nuclear cross sections in the last 20 years with modern experimental setups. While some key measurements have been already recently performed [13–21], the aforementioned energy range still requires dedicated experimental campaigns.

In this context, the aim of the FragmentatiOn Of Target (FOOT) collaboration is to provide the needed experimental input, in the energy range of interest for PT and RPS applications, to refine the knowledge of double differential fragmentation cross sections related to the nuclear interactions of protons and heavier ions with matter [22]. In particular, FOOT aims at measuring the fragmentation induced by protons in the target during PT treatments. To do so, the FOOT collaboration pursues an inverse kinematic approach in which the basic constituents of the human body (O, C, Ca atoms) are delivered to an hydrogen enriched material and the cross sections of interest are obtained by means of a relativistic transformation [22]. Since the direct measurement of cross sections against a hydrogen target would be challenging, the measurement is performed evaluating both the cross sections on graphite and polyethylene targets and computing the cross section on hydrogen ions as a weighted difference of the former two [23].

At the same time FOOT will measure the direct double differential cross sections of light ions like  ${}^{4}\text{He}$ ,  ${}^{16}\text{O}$ ,  ${}^{12}\text{C}$  interacting with targets of C, polyethylene (C<sub>2</sub>H<sub>4</sub>) and polymethyl methacrylate (PMMA, C<sub>5</sub>O<sub>2</sub>H<sub>8</sub>) in order to extract the cross sections on the main elements of the human body H, C and O [22]. Such cross sections are interesting on their own as they directly enter in the planning of  ${}^{12}\text{C}$  and  ${}^{16}\text{O}$  PT treatments. At the same time the polyethylene target is of particular interest for shielding in RPS [2, 3].

To perform these measurements, the FOOT collaboration is building an experimental setup [22] composed of a scintillator system for  $\Delta$ E-TOF measurements, ions counting and fragment identification, a drift chamber to monitor the beam direction, a tracking system made of pixel and strip silicon detectors and permanent magnets to reconstruct the fragments momentum, and a calorimeter that will provide the fragments energy measurement. In addition, the large angle interactions will be studied using emulsion chambers acting both as active target and detector. The details about the emulsion setup and the preliminary results obtained so far can be found elsewhere [24, 25].

In this contribution, we present the results obtained by the FOOT collaboration with an oxygen beam of 400 MeV/u kinetic energy interacting with a graphite target. This measurement was part of a detector testing campaign aiming at integrating the FOOT scintillator detectors for the time of flight (TOF) and energy loss ( $\Delta E$ ) measurement, the start counter (SC) and the ToF-Wall (TW) detector (see Section 2) and a drift chamber, used as beam monitor (BM), in the data acquisition system. At that time, these detectors were the only ones already available for testing, but they were sufficient to perform the identification of the nuclear charge Z of the different produced fragments and measure the elemental fragmentation cross sections, presented hereafter. The measurements, integrated over the fragments production angles and kinetic energy spectra, provide new results for the fragmentation of <sup>16</sup>O on graphite target, especially of interest for the case of light ions production (Z < 5). At the same time the measurements can be considered as an interesting input for the comparison with the available published data and with the cross sections already implemented in Monte Carlo simulation software.

In Section 2 we discuss in detail the experimental apparatus used at GSI for the cross section measurement, Section 3 presents the data sample and the Monte Carlo simulation used to perform the efficiency evaluations and the background studies. In Section 4 we report the analysis strategy and all the needed steps for cross section computation. Finally the obtained results and the level of agreement with the available experimental results and the FLUKA Monte Carlo code are presented in Section 5.

# 2 Experimental setup

The full FOOT experimental setup, as already published elsewhere [22], will consist of an upstream region composed of the pre-target detectors, aimed to monitor the incoming beam, and of a region, including the target, for the fragments tracking and identification. Two alternative and complementary setups will be adopted in FOOT in order to measure all the fragments with the accuracy required by PT and RSP applications: a setup based on a magnetic spectrometer, optimized for the identification of fragments heavier than <sup>4</sup>He and a setup based on an emulsion spectrometer, optimized for low Z fragments identification. The setup based on the magnetic spectrometer will exploit a tracking system of pixel and strip silicon detectors in a magnetic field for the fragments momentum measurement, coupled to a  $\Delta$ E-TOF system of plastic scintillators and a BGO calorimeter for the reconstruction of the fragments charge Z and mass.

Only a partial FOOT setup has been used during the data taking at the GSI Heavy Ion Research Center in Darmstadt (Germany) discussed in this manuscript. The detectors ready to be tested, at that time, were the Start Counter, the Beam Monitor and the Tof-Wall (see Figure 1). The system composed by the Start Counter and the Tof-Wall provides the  $\Delta$ E-TOF measurements needed for the identification of the fragments charge Z, while the system composed of Start Counter and Beam Monitor provides the number of primary ions interacting with the target. In the following paragraphs detailed descriptions of these detectors are provided.



Beside what is shown in Figure 1, also 4 layers of 50 µm thick pixel silicon detector (MIMOSA-28 technology [22]), positioned 0.5 cm downstream the target, were present during the data acquisition. Such system is the vertex detector (VTX) of the FOOT experiment, able to reconstruct the fragmentation vertex with micrometric precision [26, 27]. During the data acquisition it was not possible to synchronize the data collected by the VTX with the rest of the apparatus. For this reason such detector is not used for the analysis reported in this manuscript, and it is only taken into account at the MC simulation level to account for the dead material crossed by the beam and the fragments while computing the fragment reconstruction efficiencies, as discussed in Section 4.2.2.

Due to the very slow readout time of 185.6  $\mu$ s of the VTX, the typical <sup>16</sup>O beam rate during the data taking was in the range 1–3 kHz, in order to reduce pile-up effects in the VTX. Such time is needed to read the about 10<sup>6</sup> pixels per MIMOSA-28 chip. For the others detectors (Start Counter, Beam Monitor and Tof-Wall) pile-up effects are completely negligible to these beam rates.

The remaining FOOT detectors were still in a phase of development, assembling and testing and for this reason will not be reviewed hereafter.

### 2.1 Start counter

The Start Counter (SC) is made of a thin squared foil (5 cm side,  $250 \ \mu m$  thickness) of EJ-228 plastic scintillator. The transverse dimensions have been chosen to cover the beam width available in both treatment and research centres where ion beams of interest for

FOOT are delivered, while the thickness was kept to a minimum to reduce the pre-target fragmentation. The scintillator foil is held by means of an aluminum frame enclosed in a black 3D printed box to provide the light tightness needed for the detector operation (see Figure 2 left). In the black box, two squared windows are placed in correspondence of the scintillator field of view and closed with thin (4 µm) aluminized mylar. The light produced in the scintillator is collected laterally by 48 3  $\times$  3 mm² SiPMs, 12 per side, bundled in 8 channels, each reading an array of six SiPMs. The readout and powering of the SiPMs is handled by the WaveDAQ system [28], capable of sampling signals at rates up to five Gsamples/s in a dynamic range of 1V. The acquired waveforms are analyzed offline with a constant fraction discriminator technique to extract the event time  $t_0$ . The SC, placed 44 cm upstream of the target, provides the trigger signal to the whole experiment and the measurement of incoming ion flux to be used for the cross section measurement. It provides the reference time for all the other detectors and allows the time of flight (TOF) measurement in combination with the TW. The time resolution of the SC has been measured by means of dedicated test beams and for different ions and energies. Values of ~ 72 ps are expected when using the  $^{16}$ O beam of 400 MeV/u kinetic energy used for the data taking [29, 30].

## 2.2 Beam monitor

The Beam Monitor (BM) is a drift chamber composed of twelve wire layers, with three drift cells per layer (Figure 2 right) [31]. Planes with wires oriented along the horizontal and vertical



axes are alternated to allow for the beam profile reconstruction in the transverse plane. The cell shape is rectangular (16 mm × 10 mm) with the long side orthogonal to the beam. In each view two consecutive layers are staggered by half a cell to solve leftright ambiguities in track reconstruction. The BM was operated at atmospheric pressure, at the working voltage of 1.8 kV while using an Ar/CO<sub>2</sub>, 80/20% gas mixture. The BM efficiency was measured to be ~90% and the mean track spatial resolution was measured to be of the order of  $\leq 100 \,\mu m$  [32]. The BM detector is placed between the SC and the target and is used to measure the direction and incident point of the ion beam on the target, a crucial information needed to address the pile-up ambiguity in the FOOT Vertex detector and to evaluate the pre-target fragmentation [22].

## 2.3 TOF wall

The Tof-Wall detector (TW) is composed of two orthogonal layers of 20 plastic scintillator bars (EJ-200 by Eljen Technology) wrapped with reflective aluminum and darkening black tape [30, 33]. Each bar is 0.3 cm thick, 2 cm wide and 44 cm long. The two x-y layers form a 40 × 40 cm<sup>2</sup> active area detector that provides the deposited energy ( $\Delta E$ ) measurements, the needed information to compute the time of flight (using as input the  $t_0$  from SC), and the hit position. The simultaneous measurement of  $\Delta E$  and TOF allows to identify the charge Z of the interacting ions [22, 30]. The TW transverse dimensions have been chosen to match the angular aperture of the heavy fragments, the TW granularity was chosen to minimize the occurrence of multiple fragments interactions in the same bar, while the bar thickness was chosen as a trade-off between a higher scintillation signal (and hence a better timing and energy resolution) and a lower secondary fragmentation probability in the bars, that would spoil the particle identification and tracking. Each TW bar edge is coupled to 4 SiPM with a  $3 \times 3 \text{ mm}^2$  active area and  $25 \,\mu\text{m}$ microcell pitch. The signals of each channel (two channels per bar) are digitized at rates of three to four Gsamples/s by the WaveDAQ system [28]. A total of 1,024 samples are collected for each signal allowing to record the whole waveform, and to extract offline the time and charge information. Thanks to the high number ( $4 \times 14,400$ ) of pixels per SiPM channel, this setup is able to guarantee a dynamic range spanning over two orders of magnitude and allow the identification of fragments with significantly different energy release (from proton up to oxygen fragments of different kinetic energies).

An extensive campaign of measurements has been carried out to calibrate the charge released in the TW detector bars in terms of the energy lost  $\Delta E$  by the incident particles and the time difference between SC and TW in terms of TOF [30]. Several tests with different ion beams and energies have been performed to study the TW detector performances. An energy loss resolution  $\frac{\sigma(\Delta E)}{\Delta F} \sim 5\%$  was obtained for different combinations of beam ions and energies, while the best time resolution value (20 ps) was reached with an <sup>16</sup>O beam of 400 MeV/u kinetic energy, like the one used to collect the data discussed in this manuscript. This extremely low value, combined with SC contribution, provides an overall TOF resolution of ~ 75 ps [30]. Such resolution on the time measurement allows for the hit position reconstruction along the bar achieving a spatial resolution  $\sigma_{pos}$  of ~ 8 mm for the heavier ions [33], even better than the granularity obtainable from the bar crossing of 2 cm. To discard signals from the bars in TABLE 1 Available data and MC statistics.

Sample	Target	Events
Data: calibration	no	$83  imes 10^3$
Data: physics	graphite	$53 \times 10^3$
MC	graphite	$2.5 \times 10^{6}$

which the fragments did not interact (zero suppression), a low amplitude threshold to reject noise has to be set for each TW channel directly at DAQ level. During the GSI data taking this threshold was not fully optimized and the measured proton yield was heavily affected and reduced. For this reason the proton production cross section could not be measured and is not be reported in this manuscript.

## 3 Data sample and MC simulation

Two different data samples have been collected during the GSI data taking. A sample of *physics runs*, collecting the interactions of a <sup>16</sup>O beam interacting with a 5 mm thick graphite target, for cross section measurements and a sample of *calibration runs* using the same beam but removing the target, to perform alignment and calibration studies and allow the background subtraction to account for the out-of-target fragmentation.

A detailed Monte Carlo simulation of the <sup>16</sup>O beam interactions with the 5 mm graphite target has been developed with the FLUKA simulation software [34, 35]. The full detector geometry used at GSI, as described in Section 2 (see Figure 1) is modelled in detail to properly evaluate the interactions in all the active detectors and the production of secondary particles in the fragmentation processes. The high degree of detail is fundamental to evaluate with high accuracy the acceptances and the efficiencies needed in the cross-section measurement (see Section 4.2). The amount of available acquired data and the number of simulated MC events are shown in Table 1.

### 3.1 Geometrical setup

To correctly measure the angles of the emitted tracks and evaluate the angular acceptance needed when computing the integrated cross section, the detectors have been properly aligned taking into account small shifts and rotations with respect to the global FOOT reference frame in which the beam is directed along the z axis and centered at x,y=(0,0). For this purpose the calibration runs acquired without target were used.

Figure 3 shows the intersection points of the beam tracks reconstructed using the BM information with the target plane after performing the alignment procedure. The beam profile,



although not Gaussian, is centered at the expected value of (x,y) = (0,0) in the global reference frame.

The measured incoming oxygen ion incident position on the target (TG) and the fragment position reconstructed by the TW are used to compute the fragment exit angle from the target with a resolution better than  $0.2^{\circ}$ . The broadening of the distribution measured on the TW, located at 1.8 m from the TG position, observed in calibration runs, without target, showed a divergence of the beam of ~5 mrad (about  $0.3^{\circ}$ ) along the *x* and *y* directions.

In order to set the integration angle for the cross section measurement, the angular acceptance of the TW has to be properly taken into account. The TW detector was centered, having the central bars of both layers (bar Id equal to 9) aligned with the (0,0) cm beam position (see Figure 4). Requiring the tracks to come from a square region of the TG within [-1.0,1.0] cm with respect to the beam centre in x and y, the angular acceptance results to be  $5.7^{\circ}$ . For this reason only fragments exiting the selected target region with a polar angle less than  $5.7^{\circ}$  will be considered for the cross section measurement in the following.

## 3.2 FLUKA simulation

The simulation of the <sup>16</sup>O beam interactions on a 5 mm graphite target was carried out with the FLUKA MC code implementing the geometry of the FOOT reduced setup used in the GSI data taking and the characteristics of the beam (transverse size and kinetic energy). The geometry implemented in the simulation includes also the rotations and shifts described in the previous Section 3.1. The final goal



of the MC simulation is the evaluation of the fragment reconstruction efficiencies needed to compute the cross section (see Section 4.2, Eq. 1). For this purpose, the MC simulation has been used to tune the fragment charge (Z) identification algorithm, implemented using the information from the TW detector (as explained in Section 4.1.1), and to verify its performances in terms of purity of the reconstructed charge Z. To have a reliable charge Z identification algorithm, which translates in reliable efficiencies, the agreement between the MC simulation and the collected data distributions has been carefully studied, in particular for TW detector, whose information was used in the fragment identification (see Section 4.1.1 and Section 4.1.2). To improve the data/MC agreement, a calibration of the MC energy response was implemented (using the detector response for known energies to find the calibration function) and a Gaussian smearing was applied to the energy loss and time of flight observables computed with FLUKA. To do that, the resolution curves for energy loss and time of flight, obtained by means of dedicated detector calibration data acquisition campaigns, were used [30, 33]. The dead channels and the experimental thresholds set on the signal amplitude measured in the TW detector during the data taking were also included in the MC simulation. Finally the case of multiple fragments hitting the same bar of the TW detector in the same event has been properly taken into account: multiple hits in the same bar are clusterized as a single hit, in order to mimick the collected data in which multiple fragments hitting the same bar cannot be distinguished.

# 4 Analysis strategy

## 4.1 Event reconstruction

The fragments reconstruction is carried out using the information available from the active detectors: the SC, the BM and the TW. The BM has been used primarily to identify and remove the events where more than one primary fragment was interacting with the target or the events in which the fragmentation occurred before the target (inside the SC or the BM itself). Using the projection of the BM reconstructed track on the target plane and measuring the particles interaction position on the TW, it was possible to measure the fragments angle. The SC and TW have been used to compute the fragments time of flight (TOF), the  $\Delta E$  and their charge Z, which is the most important quantity in the computation of the elemental fragmentation cross sections.

The TW is the only detector of the GSI setup capable of identifying the fragments. The next paragraphs detail the strategy employed to identify and reconstruct the fragments. The implemented reconstruction and identification algorithms have been tested and optimised against the developed MC simulation. Finally the simulation results have been benchmarked against the available data distributions.



layer) using the Monte Carlo truth information from a simulation of a 400 MeV/u <sup>16</sup>O beam interacting with a C target. Only primary fragments, produced in the target by an inelastic interaction of the incoming beam particles, are shown.

#### 4.1.1 Z identification algorithm

The fragment charge Z is evaluated for each TW hit, i.e. for each energy release in a TW bar, using both the energy loss ( $\Delta E$ , measured in TW detector) and the time of flight (TOF, measured using both the TW and the SC). As already discussed in [22], the Z identification (ZID) algorithm has been developed to provide the fragment charge Z on an event by event basis, to be used as a seed for the tracking of each fragment in the final full FOOT setup when measuring the fragment rigidity p/Z. The ZID algorithm exploits the correlation between  $\Delta E$  and TOF that, for a particle of charge Z, can be expressed by means of the Bethe-Bloch (BB) formula.

Figure 5 shows the  $\Delta E$  vs. TOF distribution for fragments for each TW hit in the front TW layer obtained in a MC simulation. The eight regions corresponding to the eight possible fragment charges (from Z = 1 up to Z = 8) are well identified. Using the MC truth, only fragments produced inside the graphite target by an inelastic interaction of the <sup>16</sup>O beam are selected, excluding the contribution of primary ions and out of target fragmentation. For each region a simplified Bethe-Bloch curve, parametrized as a function of TOF, has been used to fit the MC simulation results to describe the average energy loss of same charge Z fragments interacting with the TW with different angles, kinetic energies, TOF and path lengths L. The MC used to extrapolate the BB curves for each Z did not include any detector response: only the MC truth information has been used. This procedure is performed independently for both TW front an rear layers to take into account, in the rear layer BB fit, the non-negligible energy loss occurring in the front layer (dependent on the ion energy and charge).

The ZID algorithm proceeds assigning the Z value, in each TW layer, to each hit in the ( $\Delta E$ , TOF) plane using a simple dichotomy algorithm to identify the closest Bethe-Bloch curve among the ones related to each charge hypothesis. The algorithm is applied in the same way both to the MC simulation, once the TW experimental  $\Delta E$  and TOF resolutions are included, and to the data-set collected at GSI. To do so, the  $\Delta E$  and TOF have to be properly calibrated, as discussed in Section 2.3 and shown in [30, 33]. In Figure 6 the BB curves extracted from the MC truth, as discussed above, are superimposed to the ( $\Delta E$ , TOF) distribution in the case of the reconstructed MC (left) and in the case of data after the calibration procedure (right).

To check the data-MC agreement, the quantities reconstructed by the TW, i.e.  $\Delta E$  and TOF, have been compared in data and MC. In Figure 7 the comparison is displayed for lithium (Z = 3) and carbon (Z = 6) fragments. The data-MC agreement obtained demonstrate the correct implementation of the ZID algorithm. This agreement is strongly dependent on the  $\Delta E$  and TOF calibration procedure and on the  $\Delta E$  and TOF resolutions extracted from data and implemented in MC. Varying these resolutions within the statistical experimental error could have an impact on the fragment charge selection and such variation has therefore been considered in the systematic study discussed in Section 5.

#### 4.1.2 TW clustering algorithm

To reconstruct the space position of a fragment that interacts with the TW detector, the front and rear TW hits that provide respectively the (x,z) and (y,z) separate information, have to be matched. The clustering algorithm is used to pair the hits detected in the two layers and to find the proper match, corresponding to the single fragment that crossed the TW in a unique point. This matching procedure is fundamental to retrieve the correct number of ions that hit the scintillator wall, assigning to them unique values of  $\Delta E$ , TOF, position and charge Z. To combine the hits from the two layers, a simple method that assigns to each hit of a given layer the closest hit of the other one can be implemented.

While the case of a single particle is straightforward the case of two or more fragments impinging on the TW is more complex. Considering for example the case of two fragments: 2 bars in the front and 2 bars in the rear layers are expected to be hit. The simple bar crossing provides in this case 4 possible positions, but only two are related to the real fragment hitting positions, while the other two are the result of the combinatorial algorithm that computes all the possible pairs. In that case, to select the closest hit among orthogonal bars the propagation of the light signal along a given bar and the time difference between the bar edges, as calibrated in [33], is exploited to provide an additional



#### FIGURE 6

(Left)  $\Delta E$  vs. TOF distribution for the MC simulation, once the detector response is included. (Right)  $\Delta E$  vs. TOF distribution for the GSI data. The Bethe-Bloch curves are superimposed.



# Comparison of $\Delta E$ and TOF extracted from GSI data and $\Delta E$ and TOF extracted from the MC simulations of a <sup>16</sup>O beam at 400 MeV/u on a C target, for Z = 3 and Z = 6. The MC distributions have been normalized to the peak of the data distributions.



information about where the fragment hit the bar. Such information is crucial to reduce the impact of the possible geometrical hit combinations that increases with the square of the number of detected hits in both layers.

The matching algorithm results are affected by many physical processes that are contributing with unavoidable background sources to the fragments signal: multiple interactions of fragments in a single bar in the same event, secondary fragmentation, fragments crossing multiple bars on the same layer. The impact of all these sources was studied using the FLUKA MC simulation and is detailed here-after.

 The reconstruction of a TW cluster (point in space obtained matching an hit in the front and an hit in the rear layers) starts using the hits from the layer with the highest occupancy, in a given event. In this way, it is possible to improve the handling of the cases in which multiple fragments hit the same bar in one of the 2 TW layers. Such events occur in ~ 12% of the total fragmentation events, nearly equally divided between the front and the rear layer, according to the simulation study. The cases that cannot be handled by the algorithm are those in which multiple fragments hit both a front bar and a rear one. This occurs in an additional  $\sim$ 5% of the cases. Whenever the number of hits is the same in the two layers, the algorithm starts from the front hits.

- The second process affecting the fragments position reconstruction is the secondary fragmentation occurring within the TW detector itself. This process accounts for ~ 5% of the total fragmentation events, of which the ~ 4% is the case of multiple bars hit in rear layer from products of fragmentation in the front one.
- Another possibility that was considered is the case in which a fragment releases its energy across 2 bars of the same layer. Such event occurs with a  $\sim 2\%$  probability, nearly equally divided between multiple hits occurring in the front or rear layers.



To reduce the impact of this background, the clustering implements an additional algorithm requirement, constraining both hits in the front and rear layer to have the same charge Z when performing the matching. In the final FOOT setup [22] the tracking system and the global reconstruction algorithm will be able to reduce this spurious reconstructed points in the TW. As the data presented in this manuscript were taken in the absence of any tracking system, the charge Z matching between the front and rear hits was required to increase the sample purity. The impact of this choice has been evaluated through the efficiencies study (see Section 4.2.2). To check the data-MC agreement for each TW point the differences between the values of the  $\Delta E$  and TOF of the two matched hits have been computed for MC and data events, and are shown in Figure 8.

To evaluate both the combination of ZID and clustering algorithm efficiency and the fraction of fragments whose charge is not correctly identified, the MC simulation was used. For each reconstructed fragment, the MC information has been accessed to check whether the reconstructed charge was matching the true one. The results are shown in Figure 9 that displays the reconstructed charge (x axis) vs. the true one (y axis, extracted matching the reconstructed energy release with the particle responsible for it). The non-diagonal elements of the matrix have been used to compute the fraction of misreconstructed charges for each fragment type.

As shown in Figure 9 the matrix is almost diagonal. The contribution of mis-reconstructed charges has been considered in

this manuscript as negligible, being of the order of few percent for  $(Z_{rec} = 2; Z_{true} = 1)$  and less of 0.2% for all the other elements of the matrix, well below the relative statistical uncertainties on the final fragmentation cross-sections (see Section 4.2). The elements of the matrix that are closest to the diagonal, are easily explained by the reconstruction method limited resolution. The elements in the top left corner are related to the events in which an initial fragment hits, and releases a part of its energy, in two adjacent bars resulting in two distinct hits that can have very low and different charges. All these last contributions, well below 2%, can be neglected when considering the cross section measurements presented in this manuscript.

### 4.2 Cross section measurement

The fragmentation cross section was computed for each Z population using the following equation:

$$\sigma(Z) = \int_{E_{\min}}^{E_{\max}} \int_{0}^{\Delta\theta} \left( \frac{\partial^2 \sigma}{\partial \theta \partial E_{\min}} \right) d\theta dE_{\min} = \frac{N_{\text{frag}}(Z)}{N_{\text{prim}} \cdot N_{\text{TG}} \cdot \varepsilon(Z)}$$
(1)

where  $\Delta\theta$  is the experimental setup angular acceptance (5.7°),  $E_{\rm min}$  and  $E_{\rm max}$  are the fragments minimum and maximum kinetic energies,  $N_{\rm frag}(Z)$  is the number of identified fragments,  $N_{\rm prim}$  is the number of initial <sup>16</sup>O ions impinging on the target,  $\varepsilon(Z)$  are the charge related reconstruction efficiencies that include also the detector acceptance and  $N_{\rm TG}$  is the number of target scattering centers per unit surface. This latter term was computed according to the following equation:

$$N_{\rm TG} = \frac{\rho \cdot dx \cdot N_A}{A} \tag{2}$$

in which:

- 1)  $\rho = 1.83 \text{ g/cm}^3$  is, for example, the graphite target density;
- 2) dx = 0.5 cm is the target thickness;
- 3)  $N_A$  is the Avogadro's number;
- 4) A = 12.0107 g/mol is the graphite mass number.

The thickness of the target was measured accurately by the metrology department of LNS labs of INFN with an uncertainty well below 1%. For that reason, we have considered it totally negligible as far as the uncertainty budget in the measurement is concerned.

In the following paragraphs we will detail the measurements of all the quantities that appear in Eq. 1, the method used to reject the out of target fragmentation and the calculation of the reconstruction efficiencies.

#### 4.2.1 Trigger and event selection

A key quantity entering the cross section calculation is the number of primaries  $N_{\text{prim}}$  interacting with the target. The



primary ions count was provided by the Start Counter. Indeed a minimum bias trigger requiring a majority of five SC channels over the total eight (see Section 2.1) was implemented. During the GSI data taking, a total of 53  $\times$  $10^3$  events, for physics runs, and  $83 \times 10^3$  events, for calibration runs, have been collected (see Table 1). Further selections are applied to get the final number of primaries  $N_{\rm prim}$  used for the cross section measurement, as explained in the following. A single, well reconstructed track inside the BM has been required in order to reject the events in which multiple tracks are detected (as in the case of pre-target fragmentation events occurred in air or in detectors upstream the target (SC and BM)), and also to reject the events in which the BM was not efficient, reconstructing no tracks. This choice assure the selection of a very clean sample and a correct measurement of the produced fragments angle, impossible without a BM reconstructed track, in spite of a reduced overall statistics. A total of ~  $32 \times 10^3$  events in physics runs, and ~  $61 \times 10^3$  events in calibration runs, were finally selected requiring that the fragments originated from the centre  $(\pm 1 \text{ cm})$  of the target, corresponding to a forward angular coverage of the experimental setup of ~ 5.7°.

#### 4.2.2 Reconstruction efficiencies

The reconstruction efficiencies [ $\epsilon$ (Z) in Eq. 1] are defined according to the following equation:

TABLE 2 Integrated efficiencies for fragments with different charge.

Element	Efficiency (%)
Не	$67.8 \pm 0.2$
Li	$71.4 \pm 0.5$
Be	$78.0 \pm 0.6$
В	$79.8 \pm 0.6$
С	$79.0~\pm~0.4$
Ν	83.1 ± 0.3

$$\varepsilon(Z) = \frac{N_{\rm TW}(Z)}{N_{\rm prod}(Z)} \tag{3}$$

where  $N_{\rm TW}(Z)$  are the fragments with charge Z reconstructed by the TW (identified by the ZID and clustering algorithms) and  $N_{\rm prod}(Z)$  are the fragments with charge Z produced in the nuclear interaction between the primary beam and target material (TG), that exit the target and are produced within the TW acceptance. Such efficiency is used to compute the number of fragments that have enough energy to exit from the 5 mm thick target.

The efficiencies were evaluated using the full reconstructed Monte Carlo simulation of the 400 MeV/u  $^{16}$ O beam incident on the 5 mm graphite target, as described in Section 3.2.

The efficiencies were computed considering for both the numerator and the denominator of Eq. 3 only the primary fragmentation events occurring in the target. A selection performed using the MC truth information was hence implemented, requiring fragments produced in the phase space limited by the TW angular acceptance of  $\Delta \theta = 5.7^{\circ}$  and with a kinetic energy falling in the range (see Eq. 1) of  $[E_{\min}]$ ,  $E_{\text{max}}$ ] = [100, 600] MeV/u. Such range was identified to exclude the low energy fragments coming mainly from the target fragmentation process. This choice can be understood looking at Figure 10, where the production kinetic energy of He fragments is correlated to their production angle. The bulk of the produced Helium ions is contained in the selected phase space. The use of the same angular and kinetic energy selection for all the heavier produced fragments is justified by the fact that both angular and kinetic energy distribution for these fragments are narrower than the case of Helium [13-16, 20-22]. Hydrogen is not considered in this analysis, due to the threshold set on the TW DAQ that cut-off a not negligible fraction of such fragments, as already mentioned in Section 2.3.

The number of fragments detected by the TW ( $N_{TW}(Z)$ ) was computed using the full TW reconstruction as described in 4.1.1 and 4.1.2. The reconstructed efficiencies, evaluated in the selected phase space (in angular and kinetic energy), hence are taking care of the detector intrinsic efficiency and of the ZID and clustering algorithms reconstruction efficiencies. The calculated values of the efficiencies  $\varepsilon(Z)$ , for each fragment, are shown in Table 2.



# 4.2.3 Raw yields extraction and background subtraction

The fragments yields  $N_{\text{frag}}(Z)$ , in Eq. 1, extracted by the TW detector have contributions from fragmentation interactions taking place inside or outside target. The fragments produced within the target, from a direct interaction of a primary <sup>16</sup>O with a target nucleus, allow the proper measurement of fragmentation cross sections. The ones produced inside the target by secondary interactions or produced outside are an unavoidable source of background that has to be taken into account.

To evaluate the impact of this background source on the fragment yields  $N_{\rm frag}(Z)$ , the MC simulation was used. Figure 11 shows, with a black continuous line, the energy loss for all the reconstructed particles, including all the primary ions. The contribution of the out-of-target fragmentation (red distribution in Figure 11) to the total can be as large as ~25% and hence a background subtraction method has to be introduced in data. The remaining fragmentation is the in-target fragmentation (blue distribution in Figure 11), which is our "signal" (~ 75% of the total fragmentation), while only the ~ 0.7% of the total is the secondary fragmentation inside the target itself, which is an irreducible background and produces a very small systematic effect on the final cross sections (well within the final experimental uncertainties, see Section 5).

TABLE 3 Fragment yields extracted from GSI data for signal (fragmentation in TG + out of TG) and for background (fragmentation out of TG).

Element	$N_{\rm noTG}(Z)$	$N_{\rm TG}(Z)$
N <sub>prim</sub>	61,516	31,660
He	$445 \pm 21$	1,006 ± 32
Li	85 ± 9	$149~\pm~12$
Be	73 ± 8	75 ± 9
В	88 ± 9	136 ± 12
С	156 ± 12	$231 \pm 15$
Ν	$207 \pm 14$	248 ± 16

To understand where the out-of-target fragmentation occurs, the MC simulation has been used. Beside the interactions with the BM windows and the VTX detector planes positions, most of the out-of-target fragmentation ( $\sim 80\%$ ) comes from the air crossed by the beam along its path (about 2.2 m long) between the SC and the TW detector.

The study performed by means of the MC simulation clearly indicates that the contribution of the out-of-target fragmentation is not negligible and strongly affects the measurement of  $N_{\rm frag}(Z)$ in Eq. 1. This means that such contribution has to be properly accounted for. Calibration runs acquired without the graphite target were used to measure the contribution of the out-of-target fragmentation directly from the data sample. Each run was properly calibrated to achieve a response, in  $\Delta E$  and TOF variables, comparable with the ones used to measure the cross section. The yields of each fragment type were measured using the TW reconstruction algorithm described before, for both the data sample (physics runs and calibration runs). The values for run with TG (Signal + Background, physics runs) and without TG (Background, calibration runs) are displayed in Table 3.

When accounting for the out of target fragmentation,  $N_{\rm frag}(Z)$  of Eq. 1 has to be corrected, taking into account also the right  $N_{\rm prim}$  normalization, as:

$$\frac{N_{\rm frag}(Z)}{N_{\rm prim}} = \frac{N_{\rm TG}(Z)}{N_{\rm TG}^{\rm prim}} - \frac{N_{\rm noTG}(Z)}{N_{\rm noTG}^{\rm prim}}$$
(4)

where  $N_{\rm TG}(Z)/N_{\rm TG}^{\rm prim}$  is the number of fragments for each Z normalized to the number of primaries in the physics runs, acquired with the graphite target, and  $N_{\rm noTG}(Z)/N_{\rm noTG}^{\rm prim}$  is the number of fragments for each Z normalized to the number of primaries in the calibration runs, acquired without the graphite target.

## 5 Results

From the measurement and the evaluation of all the variables in Eq. 1, the elemental fragmentation cross sections for a 400 MeV/ $\mu$ 

Element	$\sigma_{frag} \pm \Delta_{stat} \pm \Delta_{sys}$ [mbarn]	$\Delta_{stat}/\sigma_{frag}$	$\Delta_{sys}/\sigma_{frag}$	$\sigma_{MC}$ [mbarn]
He	$789 \pm 35 \pm 67$	4.4%	8.5%	705 ± 2
Li	$101 \pm 13 \pm 10$	12.5%	10.4%	$74.9 \pm 0.6$
Be	33 ± 9 ± 3	26%	10.3%	$37.5 \pm 0.4$
В	78 ± 11 ± 6	14%	8.5%	$41.8 \pm 0.4$
С	$131 \pm 14 \pm 4$	11%	2.8%	87.7 ± 0.6
Ν	$117 \pm 14 \pm 6$	12%	4.8%	$110.3 \pm 0.7$

TABLE 4 Elemental fragmentation cross sections measured in this work, for a 400 MeV/u <sup>16</sup>O beam interacting with a 5 mm graphite target. The energy of the <sup>16</sup>O beam at target center is 393 MeV/u. The results are compared with FLUKA MC predictions (last column).

<sup>16</sup>O beam interacting with a graphite target have been measured. Table 4 reports the values obtained for the production cross section measurements of He, Li, Be, B, C and N integrated in the angle  $0^{\circ}$  <  $\theta$  < 5.7° and in the energy interval [100,600] MeV/u. While the cross sections have been measured for a beam energy of 400 MeV/u, which is the energy at extraction, from the MC simulation it is possible to verify that the average interaction position of the primary ions with the 5 mm graphite target is in the target center (at z = 0). This means that the energy of the beam when interacting is reduced with respect to the nominal energy. Usually both these energies are provided in literature for the measurement of fragmentation cross sections [19, 36]. Using the MC, an energy at the target center of 393 MeV/u has been evaluated, with an average energy loss of 7 MeV/u with respect to the nominal energy at extraction. Table 4 shows the uncertainty evaluation for each measurement with the breakdown of statistical and systematic contributions (discussed in detail in the following). The fourth column shows that the relative systematic error is smaller than the statistical one for all the fragments with only exception of He. Despite the available statistics and the reduced detector setup, both concurring in limiting the final measurement precision, the obtained results help to fill the gap of the needed cross sections for PT and RPS applications. While no production cross sections for He and Li have ever been published at these energies, some measurements for production of fragments with  $Z \ge 4$  already exists. The obtained fragmentation cross sections have been compared with the published data of Webber et al [36] and of Zeitlin et al [19], that had similar experimental conditions and acceptances with respect to our experimental setup. The angular acceptances of the setup used in [19, 36] were both of  $\sim 7^{\circ}$ , compatible with our experimental acceptance of 5.7°. A negligible loss of fragments due to the reduced acceptance is expected, considering that the data compared are related to the fragments with  $Z \ge 4$ , which are forward emitted in narrow angles with respect to the beam direction, as well known from the literature [13-22, 36-38]. Furthermore the obtained results have been compared with the cross sections implemented in the FLUKA MC simulation (last column of Table 4). The data and MC values show a good agreement in the cases of Be and N that worsens in the cases of He and Li. When comparing with the other experiments performed so far, our results show a very nice agreement for the production of B,

C and N ions (Zeitlin in [19] with beam energy at target center of 375 MeV/u), for which the measurements are compatible within one standard deviation. In that case the apparatus was not able to distinguish lighter fragments (Z < 5) so it could provide only cumulative results that actually did not match neither our production cross section for He, Li and Be, nor the FLUKA MC prediction in Table 4. On the other hand our results are not compatible within the experimental uncertainties with the results of Webber, shown in [36], where the <sup>16</sup>O beam energy at target center was 441 MeV/u, little bit farther from Zeitlin (375 MeV/u) and this work (393 MeV/u). The disagreement with [36] was also observed in [19] and the authors finally commented in the conclusions that additional independent measurements were needed in these cases, to resolve the experimental ambiguity that was left. Despite the limited precision, our measurement seems to confirm the Zeitlin results.

Two main sources of systematic uncertainties have been studied in detail: the impact of the event selection performed using the BM and of the requirements on the fragments reconstruction inside the TW. The former systematic has been studied performing the cross section measurement implementing different selection criteria when constraining the beam direction projection on the target with respect to the default value of [-1; 1] cm. The explored intervals [(-0.4; 0.4) cm and (-0.7; 0.7) cm] were chosen to verify that the beam shape had a small impact in defining the angular acceptance of the measurement. As the beam was not perfectly Gaussian around the target centre, the cross section measurements in different ranges allowed to verify the dis-uniformity impact. The impact of this systematic uncertainty was found to be less than 1% for all the ions with the only exception of He (< 2%), characterized by the widest angular distribution.

The quality of the BM reconstructed tracks has been checked as well. A tight and a loose selection of the BM hits to be associated to a track, has been implemented and tested to verify the impact on the event preselection on the cross section measurements. The impact of this systematic uncertainty was found to be in the range [1%–4%] for all the ions increasing for the lighter ions.

Another source of systematic uncertainty is related to the charge reconstruction algorithm and has been studied varying in

the MC simulation the resolutions, within the experimental precision, in  $\Delta E$ , Tof and position measurements that affect the identification of the fragments in MC and so the efficiencies evaluation. The only significant contribution was found to be the one related to the Helium yields (< 2%). Finally, the impact of the fragments reconstruction and the robustness of the reconstruction procedure, including the background subtraction exploiting out of target fragmentation, has been checked. This has been done measuring the fragmentation cross sections in MC following exactly the same strategy adopted for data and checking the results against the true MC values implemented for the cross sections, shown in Table 4. The difference between the true and the reconstructed MC cross sections takes into account all the intrinsic limitations of the adopted strategy mainly due to the absence of tracking detectors and the magnet in between the target and the TW detector, about 2 m apart. This contribution was found to be really important for the lighter fragments (Z < 6) and ranges in the interval [4-7]%. The numerical evaluation of the overall contributions is shown in Table 4, in the fourth column. It is clear that the limited number of collected events and the reduced number of operating detectors had an impact on the final systematic uncertainties, and hence also on the overall final significance of the performed measurements. FOOT is anyway expected to collect much larger data samples with the full setup, to dramatically improve the quality of the results both in terms of statistical and systematic uncertainty in the near future.

# 6 Conclusion

In this manuscript, we presented the measurement of the elemental fragmentation cross sections of a 400 MeV/u oxygen beam interacting with a 5 mm graphite target. A reduced FOOT setup composed of a beam monitor drift chamber and a system of scintillating detectors for the energy loss ( $\Delta E$ ) and the time of flight (TOF) measurements has provided the needed identification capability to resolve fragments with different charge Z. The experimental setup employed at GSI allowed to obtain cross section measurements with an overall relative statistical uncertainty of the order of 10% for most of the detected fragment charges. The systematic uncertainties are below the statistical ones in nearly all the cases, showing that the limited statistics collected severely impacts the presented measurement precision. At the same time there is still room to improve in the future the overall systematic uncertainties, exploiting the full FOOT apparatus and developing more precise strategies for the fragments tracking and identification. Despite the reduced experimental setup and the available statistics the measured elemental fragmentation cross sections were able to fill the gap of the needed measurements for PT and RPS applications. The production cross sections of the measured elements are of the order of 100 mb, with the exception of the production of Be

(about one-third lower) and of He which is significantly larger (about 7 time more). In particular the results obtained for the production of He and Li are the first ever measured for a 400 MeV/u <sup>16</sup>O beam interacting with a graphite target, while the cross sections for the production of fragments with charge Z  $\geq$  4 were compared with similar measurements in literature and helped in discriminating between the conflicting results of [19, 36]. Furthermore the obtained cross sections were compared with the FLUKA MC predictions finding nice agreement with the production of Be and N fragments. The performed measurements prove that the FOOT experimental setup operated at GSI, even without the full momentum and energy measurement capability, is capable to provide valuable results in terms of cross section measurements. However it should be stressed that the currently obtained limited precision and sensitivity are still far from matching the expected performances of the full FOOT apparatus. The cross section results reported here-before will be used in the future to compare with the high statistics and high resolution measurements starting from 2022 and will include the permanent magnets, additional tracking stations and the calorimeter.

## Data availability statement

The original contributions presented in the study are included in the article, further inquiries can be directed to the corresponding author.

## Author contributions

All authors of the FOOT collaboration have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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