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# LiDAR point cloud simplification strategy utilizing probabilistic membership

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With the continuous progress of information acquisition technology, the volume of LiDAR point cloud data is also expanding rapidly, which greatly hinders the subsequent point cloud processing and engineering applications. In this study, we propose a point cloud simplification strategy utilizing probabilistic membership to address this challenge. The methodology initially develops a feature extraction scheme based on curvature to identify the set of feature points. Subsequently, a combination of k-means clustering and Possibilistic C-Means is employed to partition the point cloud into subsets, and to simultaneously acquire the probabilistic membership information of the point cloud. This information is then utilized to establish a rational and efficient simplification scheme. Finally, the simplification results of the feature point set and the remaining point set are merged to obtain the ultimate simplification outcome. This simplification method not only effectively preserves the features of the point cloud while maintaining uniformity in the simplified results but also offers flexibility in balancing feature retention and the degree of simplification. Through comprehensive comparative analysis across multiple point cloud models and benchmarking against various simplification methods, the proposed approach demonstrates superior performance. Finally, the proposed algorithm was critically discussed in light of the experimental results.

## KEYWORDS

point cloud simplification, possibilistic c-means (PCM), feature extraction, probabilistic membership, LiDAR point cloud

## 1 Introduction

In modern robotics applications, the utilization of 3-Dimensional (3D) maps of environments is widespread. However, the substantial storage demands inherent in dense 3D maps necessitate point cloud simplification for efficient storage and transmission [1]. This process involves condensing intricate LiDAR point clouds while retaining essential spatial information, enabling streamlined data handling and facilitating seamless integration into various robotic applications.

Moreover, beyond mere storage considerations, point cloud simplification offers significant advantages for subsequent data processing endeavors. By reducing the complexity of the point cloud representation, computational tasks such as object recognition, path planning, and navigation become more expedient and resource-efficient. This streamlined data structure not only enhances the operational efficiency of robots but also contributes to the overall robustness and reliability of autonomous systems in dynamic environments [2].

In essence, LiDAR point cloud simplification stands as a fundamental technique in modern robotics, bridging the gap between the rich spatial information captured by sensors and the practical constraints of computational resources and real-world application demands [3]. Its role in facilitating efficient storage, seamless data processing, and enhanced robotic performance underscores its indispensability in advancing the capabilities and applicability of robotic technologies across diverse domains. Therefore, there is an urgent need for various effective point cloud simplification solutions to address this issue.

From a topological perspective, solutions for point cloud simplification can be broadly classified into two categories: grid-based and point-based methods. Historically, grid-based methods [4] had been widely preferred; however, these approaches require grid reconstruction, resulting in significant computational resource utilization. As a result, point-based simplification techniques have gradually gained popularity, particularly for handling large-volume point cloud data in contemporary scenarios. In point-based simplification methods, preserving the geometric features of the point cloud is of utmost importance. Martin et al. [5] proposed a uniform grid down-sampling method; however, this approach does not consider the retention of feature points. Consequently, the simplified point cloud may lose the intricate details of the surface. To address this limitation, Lee et al. [6] introduced the use of normal deviation as feature information based on uniform meshing. Similarly, Alexa et al. [7] presented a simplified method that relies on moving least squares fitting, but it also falls short in retaining surface feature points. Song et al. [8] improved Alexa's method by introducing surface feature detection. In addition, there are several clustering-based simplification methods [9–12]. These methods mostly combine one or more feature information, such as normal, curvature, and information entropy, to effectively preserve the geometric features of point clouds.

In recent years, researchers have introduced methods utilizing Laplacian graphs [13–15] to streamline point clouds. While these approaches achieve effective simplification, they often come with high computational complexity. Additionally, several deep learning-based methods for point cloud simplification or sampling have been proposed [16–18] for specific tasks such as classification, registration, and recognition. For instance, a recent study introduced an innovative sampling method based on skeleton-aware learning [19], which employs the object's skeletal information as prior knowledge to better preserve its geometric shape and topological structure during the sampling process. However, these methods continue to face challenges in balancing uniformity with feature preservation.

For the optimal simplification solution, we believe it needs to meet several key requirements [20]: controllability of simplification ratio, preservation of geometric features, and uniform distribution of the results. Upon reviewing the aforementioned methods, we found that earlier approaches focused primarily on achieving uniform point distribution after simplification, whereas more recent methods tended to emphasize the preservation of point cloud geometric features. The controllability of the simplification ratio determines the method's versatility. Therefore, our goal is to develop a simplification technique that balances these three critical requirements.

To meet these requirements, this paper proposes a point cloud simplification strategy based on probabilistic membership. This approach employs the Z-score model to standardize the curvature of the point cloud, facilitating the assessment of the feature information. Following the scoring process, the point cloud is categorized into feature and non-feature subsets. Subsequently, the two point clouds are subclustered using k-means and Possibilistic C-Means (PCM) [21], and probabilistic membership is obtained. Based on probabilistic membership, we develop a hierarchical subcluster simplification scheme. The simplified results of all subclusters are combined to produce the final output. While maintaining the detailed features of the point cloud and the uniformity of simplification outcomes, the proposed algorithm allows users to adjust the level of point cloud reduction and geometric feature retention.

In summary, the main contributions of this study are as follows:

1. We developed a feature selection scheme based on curvature and Z-score models, effectively isolating feature points within the point cloud to facilitate subsequent processing.
2. We proposed a hierarchical subcluster simplification approach based on probabilistic membership. By integrating probabilistic membership into subcluster division, this method ensures reasonable and uniform simplification results while offering flexible control over the simplification ratio.

Additionally, we validated the feasibility and effectiveness of the proposed approach through theoretical analysis and experimental results.

The paper is organized as follows: Section 2 presents the proposed algorithm and related theory. Section 3 discusses the algorithm's parameter settings and presents the experimental comparison analysis. Lastly, Section 4 provides a summary of the paper.

## 2 Simplification strategy utilizing probabilistic membership

In this section, we focus on two key components of the proposed point cloud reduction strategy: the extraction of feature point clouds from the original point cloud, and the point cloud reduction algorithm based on probabilistic membership. After that, we will explain how the simplification scheme can achieve complete point cloud simplification around these two core parts. The detailed contents are as follows.

### 2.1 Feature extraction of the point cloud

To preserve the geometric characteristics of the point cloud, it is essential to extract the feature point cloud from the original point cloud. We opted to utilize the curvature metric as it is a commonly used method. Considering the tight computing resources during large-scale point cloud processing, we employed the K-Nearest Neighbors (KNN) [22] search to obtain neighborhood information. By integrating this neighborhood information with

principal component analysis (PCA) [23], we were able to efficiently estimate the curvature of the point cloud. Subsequently, based on the curvature information, feature points were selected based on their deviation from the neighborhood's average curvature, which was quantified using the Z-score model [24]:

$$Z = \frac{C - \mu}{\delta}$$

where  $C$  represents the curvature of a 3D point,  $\mu$  is the average curvature in the neighborhood of this point, and  $\delta$  is the standard deviation of curvature in this neighborhood. The aim of applying z-score is to measure how many standard deviations the original data differs from the overall mean of the data. After calculating the Z-score for each point in the point cloud, a suitable deviation threshold  $Z_{th}$  is chosen. Points with scores exceeding this threshold and high curvature are then identified as feature points. We set a proportion of high curvature points to determine high curvature points.

## 2.2 A point cloud hierarchical simplification algorithm based on probabilistic membership

At this stage, we introduce the PCM clustering method for decomposing point clouds into subclusters and emphasize the acquisition of probabilistic membership for each subcluster. Then, a hierarchical subcluster simplification scheme is proposed. The ultimate result is the aggregate of the simplified outcomes of each subcluster.

### 2.2.1 Acquisition of probabilistic membership

Probabilistic membership is a key concept in the context of the PCM clustering algorithm, which represents an advancement of the classic Fuzzy C-Means (FCM) [25] clustering algorithm. The PCM algorithm aims to cluster a given point cloud  $\mathbf{P}$  ( $\mathbf{P} \in R^{N \times 3}$ ) by optimizing the following objective function:

$$J(\mu, \mathbf{v}) = \sum_{i=1}^N \sum_{j=1}^C \mu_{ij} \| \mathbf{p}_i - \mathbf{v}_j \|^2 + \sum_{j=1}^C \eta_j \sum_{i=1}^N (\mu_{ij} \log \mu_{ij} - \mu_{ij})^2$$

s.t.

$$0 \leq \mu_{ij} \leq 1$$

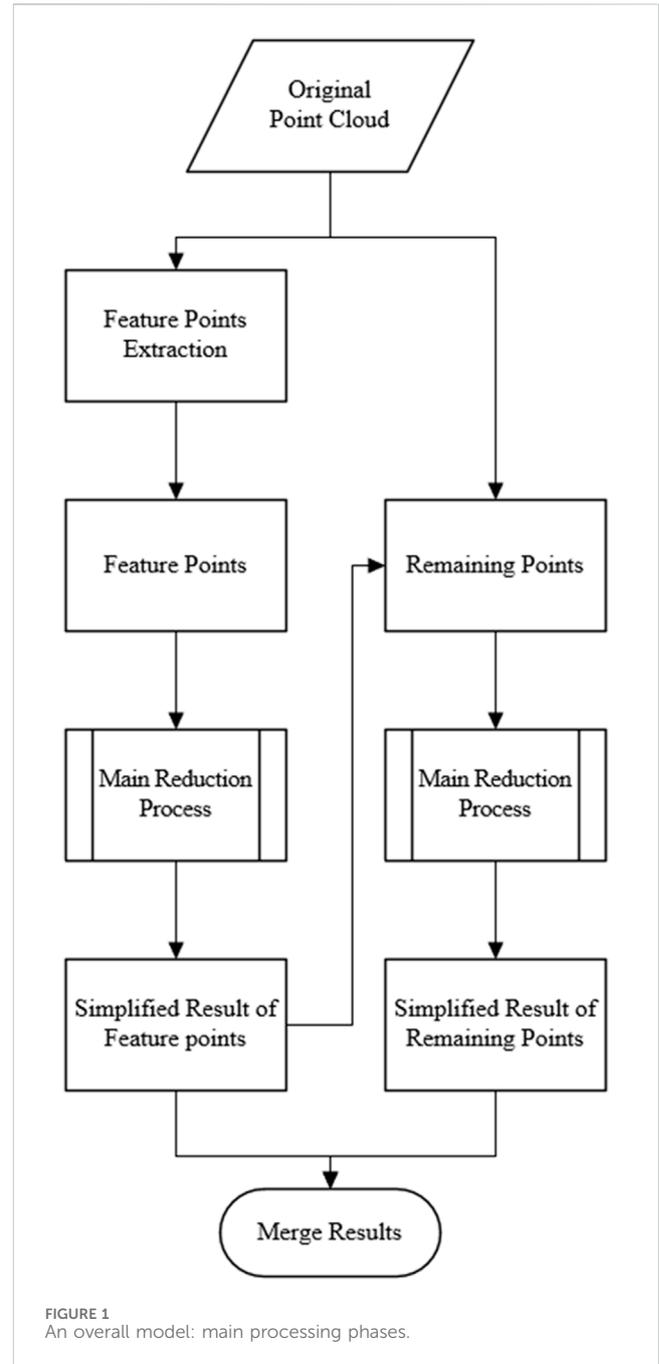
where  $\mathbf{v}_j$  denotes the prototype for the  $j$ th cluster,  $\mu_{ij}$  is the probabilistic membership degree of the point  $\mathbf{p}_i$  ( $\mathbf{p}_i \in \mathbf{P}$ ) belonging to the  $j$ th cluster,  $\|\bullet\|$  denotes the Euclidean distance. Furthermore,  $\eta_j$  is a customizable constant, which can generally be expressed as:

$$\eta_j = \frac{\sum_{i=1}^N \mu_{ij} \| \mathbf{p}_i - \mathbf{v}_j \|^2}{\sum_{i=1}^N \mu_{ij}}$$

In the iterative process of objective function minimization, the update formulas for the prototype and probabilistic membership are as follows:

$$\mathbf{v}_j = \frac{\sum_{i=1}^N \mu_{ij} \mathbf{p}_i}{\sum_{i=1}^N \mu_{ij}}$$

$$\mu_{ij} = \exp \left\{ - \frac{\| \mathbf{p}_i - \mathbf{v}_j \|^2}{\eta_j} \right\}$$



probabilistic membership degree of each point serving as an index. To simplify the subcluster simplification task, parallelization with multiple threads can be implemented to enhance the algorithm efficiency.

### 2.2.2 Subcluster simplification based on maximum probabilistic membership

For established subclusters, we have developed a hierarchical simplification scheme. This scheme applies the maximum probabilistic membership of subcluster members to the prototype to systematically obtain the outermost member points of the subcluster. The specific operations are as follows:

- 1) The data points are arranged based on their maximum probabilistic membership.
- 2) A threshold percentage  $r_{out}$  is set, and data points within this threshold are identified as outer points.
- 3) The k-means clustering method is applied to the outer points, and the nearest point to the cluster center is selected as the output.
- 4) The same operations are repeated for non-outer points within the subcluster until a specified number of subcluster members ( $N_{th}$ ) is reached.
- 5) The results from each iteration are consolidated to obtain the simplified output of the subcluster.

In the above process, we opted to persist with k-means clustering due to its high efficiency and reliable performance. Moreover, prior to initiating each iteration, it is imperative to pre-calculate the quantity of output points in order to effectively regulate the simplification ratio.

## 2.3 The overall structure of the proposed simplified solution

The overall structure of the proposed simplification scheme is shown in Figure 1. The feature point extraction and main simplification processes correspond to the parts 2.1 and 2.2 mentioned earlier in Section 2. The simplification scheme divides the final output into two parts: the simplified results of the feature point cloud and the simplified results of the remaining point cloud. The remaining points refer to the points in the original point cloud that are left after the feature point cloud is simplified.

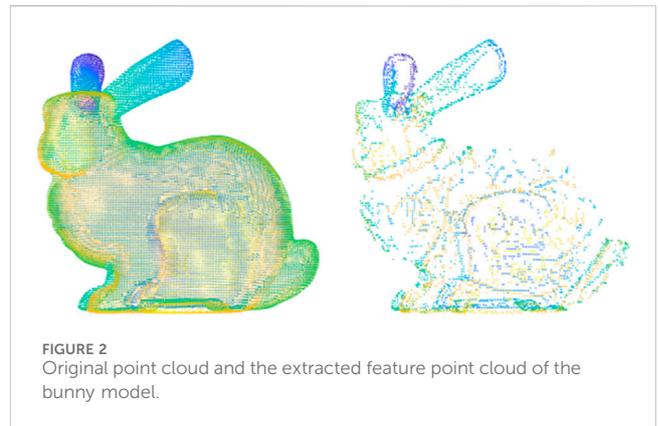
The input parameters of the algorithm mainly consist of the original point cloud, the simplification ratio, and the feature preservation ratio  $r_f$ . By adjusting  $r_f$ , users can effectively control the degree of feature preservation. Additionally, the simplification ratio of the remaining point cloud can be calculated based on the simplification ratio and the feature preservation ratio.

## 3 Experimental studies

In this study, we applied the proposed methodology, conducted data visualization, and estimated errors, all of which were programmed using MATLAB. All experimental datasets are

TABLE 1 Simulation parameters.

Parameter	Value
Number of neighbors in KNN search ( $K$ )	15
Z-score threshold in feature extraction ( $Z_{th}$ )	0.6
Proportion of high curvature points	30%
Number of clusters ( $C$ )	5–150
Proportion of outer subclusters ( $r_{out}$ )	60%
Number of subcluster members before iteration terminates ( $N_{th}$ )	80
Default feature point reduction ratio ( $r_f$ )	40%



sourced from the point cloud dataset built by Stanford University [27]. In the following content, the parameter settings and result images of each stage of the proposed algorithm will be displayed, as well as the final comparative experiment.

### 3.1 Experimental parameter settings

In our experiments, the proposed algorithm involves multiple stages, each involving specific parameter values. These parameters and their experimental values are listed in Table 1.

### 3.2 Comparison of the experimental results

In this section, we present a simplified example that illustrates the proposed method. We also conducted a comparative analysis of various down-sampling methods to assess the effectiveness of the proposed algorithm. The compared methods included random down-sampling (RD), uniform grid down-sampling (UG) [5], Laplacian graphs (LG) [2], and a simplification algorithm based on a partitioning strategy (PS) [28]. Next, we analyzed the performance of the proposed method from two aspects of simplified results: the surface reconstruction model [29] and average geometric error [30].

In Figure 2, we show the original point cloud and the extracted feature point cloud of the rabbit model. When the simplification

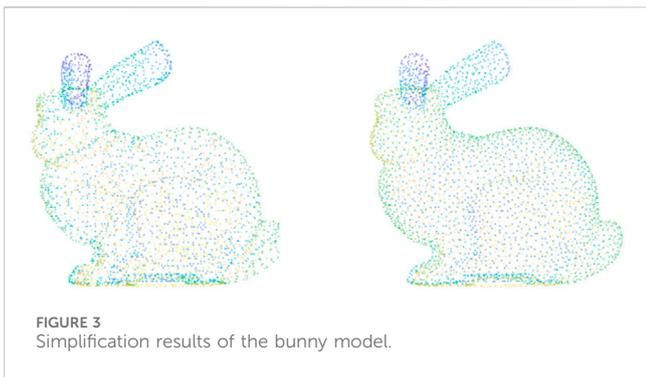


FIGURE 3 Simplification results of the bunny model.

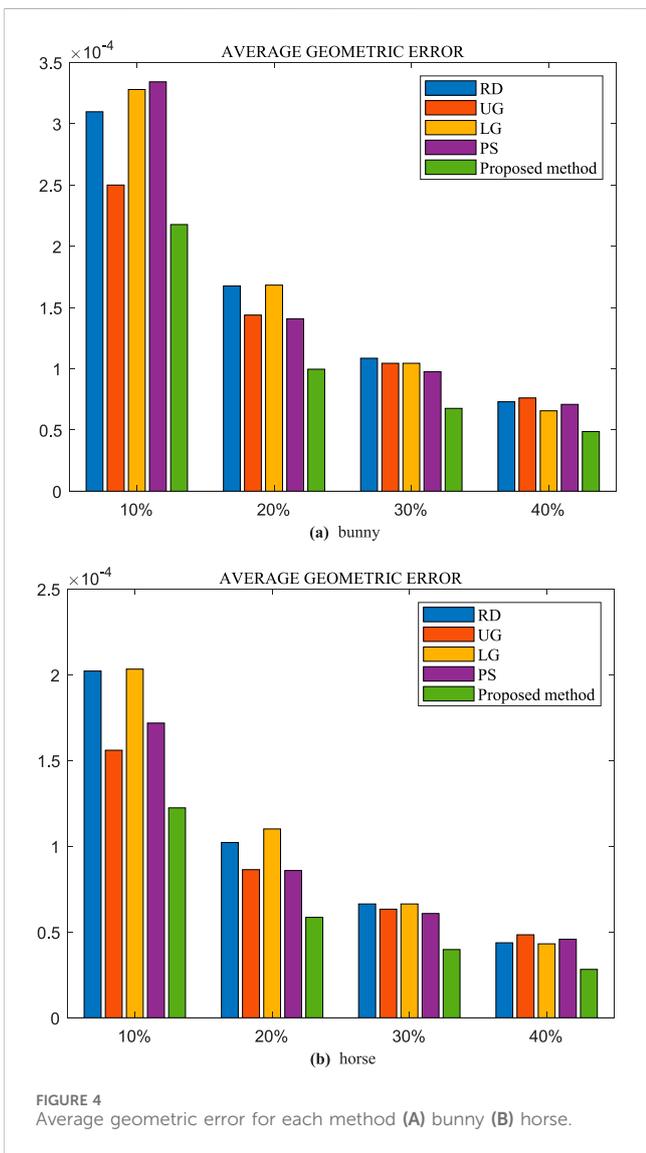


FIGURE 4 Average geometric error for each method (A) bunny (B) horse.

rate is 10%, we compared the simplification results of different  $r_f$ . In Figure 3, the value of  $r_f$  on the left is 0.4, and the value of  $r_f$  on the right is 0.1. Upon analysis of Figure 3, it is evident that the image on the left, with a higher  $r_f$  value, exhibits a greater number of details, particularly in the depiction of ears. The image on the right describes more of the overall

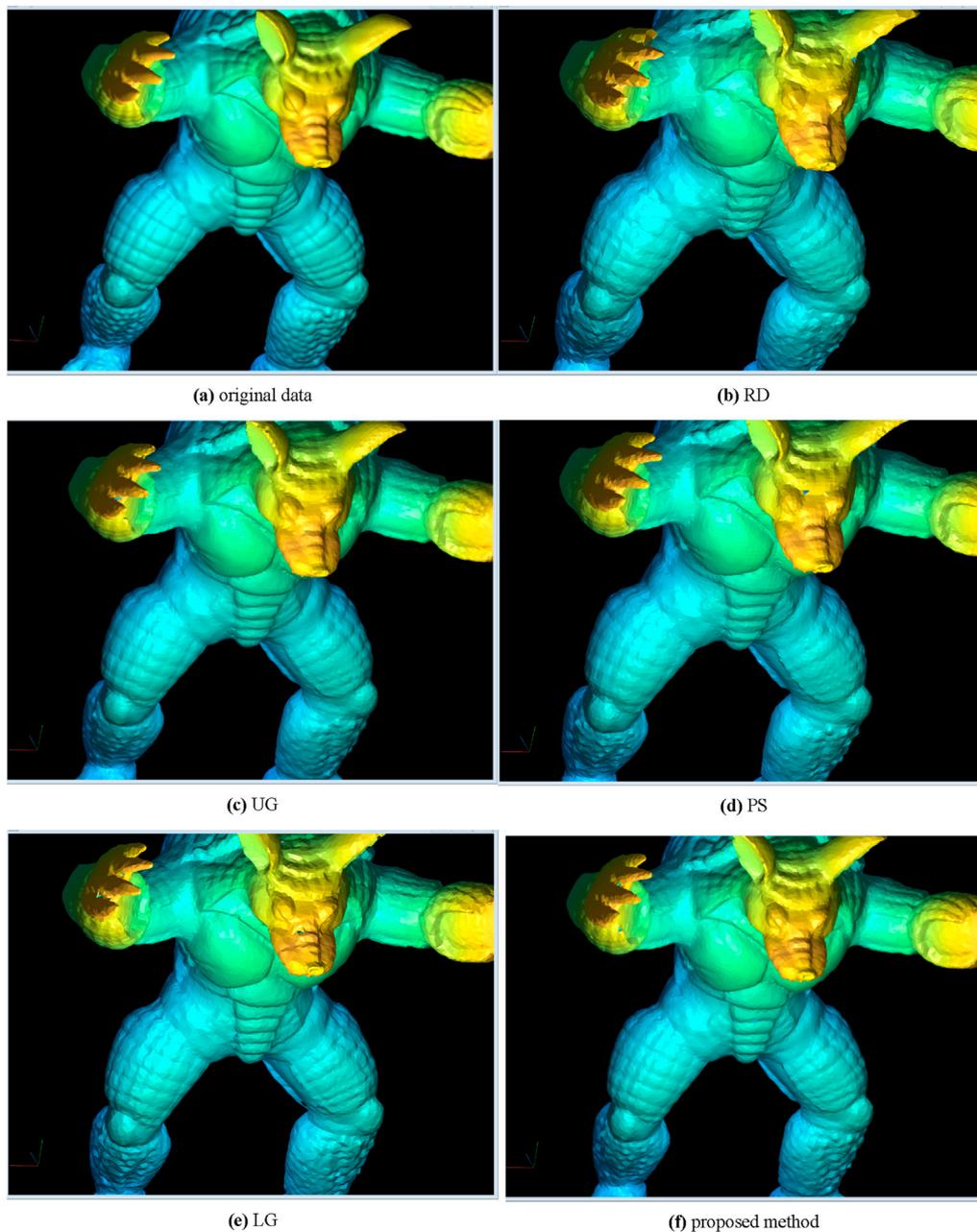
distribution. Users can freely set the  $r_f$  value according to their needs.

We evaluated the proposed method and the aforementioned methods using both bunny and horse models. Four reduction rates (10%, 20%, 30%, and 40%) were employed for the comparative analysis. Due to the limitations of UG and PS in controlling the reduction rate, we had to consistently adjust the parameters to approximate the desired reduction rate. The average geometric error for each method is illustrated in Figure 4. After analyzing the figure, we discovered that the average geometric error of the proposed method is significantly lower than that of other methods under different reduction rates. Specifically, when the reduction rate is low, methods like PS and LG, which are able to retain features, demonstrate poor performance. This can be attributed to their inability to adequately control the proportion of feature points, leading to an excess retention of feature points which subsequently impacts the uniformity of the results. This observation indirectly emphasizes the substantial advantages of our algorithm in achieving uniform results. Similarly, the UG method focuses more on ensuring the uniform distribution of the simplified point cloud. However, when the simplification rate is high and the resulting point cloud contains a sufficient number of points, the description of fine details becomes more critical, and the advantages of UG become less pronounced.

To assess the extent of feature retention, we employed the aforementioned method to simplify the Armadillo model at a 20% reduction rate. Subsequently, the simplified point clouds were used to reconstruct mesh models, with the results depicted in Figure 5. Through comparative analysis of the reconstruction models of the original point cloud, we discovered that the reconstruction performance of RD was inadequate. This was attributed to its emphasis on speed, which led to a lack of detail and uniformity in the simplified results. In the case of UG, its focus on ensuring uniformity in the simplified results produced a relatively smooth reconstruction model, but the details of the armadillo's leg muscles appeared blurry. PS utilized curvature as feature information, resulting in a reconstruction model better at retaining sharp features, such as the curve of the armadillo's forehead. However, the details on the thighs remained insufficiently clear. LG excelled in retaining detail, but exhibited noticeable roughness in flat areas. The proposed method's reconstruction model outperforms other methods in terms of feature retention. Moreover, it exhibits good smoothness in flat areas.

In summary, the method described in this study offers a flexible and controllable reduction rate and effectively ensures the uniform distribution and retention of features in the reduction results. Additionally, our approach allows for parameter adjustment to modify the level of feature retention in the point cloud, catering to varying requirements. These advantages are particularly evident in the comparative experiments.

However, the superior performance comes at the cost of increased computational complexity. Specifically, when processing large-scale point clouds, the process of obtaining probabilistic membership after clustering can result in substantial computational costs. To mitigate this issue, specialized data structures or parallel processing strategies can be employed to reduce runtime and enhance the algorithm's efficiency.



**FIGURE 5**  
Mesh models with the simplified point clouds (A) original data (B) RD (C) UG (D) PS (E) LG (F) proposed method.

## 4 Conclusion

This study introduces a hierarchical point cloud simplification strategy based on probabilistic membership. This scheme first simplifies the feature point cloud independently to preserve geometric features, and then simplifies the remaining points. In this process, a neighborhood curvature deviation model was designed to identify feature points, and probabilistic membership was introduced in subsequent simplifications as the basis to divide the point cloud into subclusters. For subclusters, we propose a hierarchical simplification algorithm based on probabilistic

membership characteristics, aiming to control the number of output points while achieving uniform distribution.

In the control experiments, the proposed method effectively preserves geometric features while maintaining uniform distribution of output points. Additionally, it offers flexibility in adjusting the reduction rate and feature retention rate to cater to user preferences. In future work, we plan to further investigate the adaptive optimization of parameter selection, such as the number of clusters, and explore strategies to reduce computational overhead. We also welcome constructive feedback to help continuously refine and improve our research.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

AH: Writing—original draft, Visualization, Software, Data curation. KX: Writing—review and editing, Supervision, Methodology. XY: Writing—review and editing, Validation, Funding acquisition, Conceptualization. DW: Writing—review and editing, Supervision.

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