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# Reflection of $P_1$ -wave incident obliquely at the free surface of a fluid-saturated half-space: a comprehensive study via the model of soil mechanics

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**Introduction:** Elastic wave propagation in fluid-saturated porous media is of great significance in various fields. Based on the soil mechanics model of a two-phase medium, the reflection problem of an obliquely incident plane  $P_1$ -wave at the free surface is systematically explored, which aims to reveal the physical mechanism of wave propagation in saturated semi-infinite space.

**Methods:** The dispersion characteristic equations of body waves are obtained by using the Helmholtz decomposition method. The theoretical formulas of reflection coefficients and surface displacements are derived and verified for correctness by simplifying. Finally, numerical investigations are carried out on the variations of the displacement reflection coefficients and surface displacements with the incident angle for different boundary conditions, wave frequencies  $f$ , porosities  $n$ , Poisson's ratios  $\nu$ , and modulus ratios  $E_w/\mu$ .

**Results:** It is shown that the surface response of half-space is somewhat affected by the boundary conditions while little influenced by the wave frequency. It is also found that the effects of material properties on the surface response cannot be ignored.

**Discussion:** These conclusions provide a theoretical basis for wave survey technology of seismic engineering and site seismic response analysis.

## KEYWORDS

saturated two-phase medium, model of soil mechanics, dispersion equation, boundary conditions, reflection coefficients, surface displacement

## 1 Introduction

Elastic wave propagation in fluid-saturated porous media has been studied for many years. It is of theoretical and practical significance in various fields such as soil dynamics, geotechnical engineering, earthquake engineering, geophysics, acoustics, petroleum engineering, etc. The reflection of elastic waves in saturated two-phase media is one of the important branches. Due to the existence of pore water in the soil skeleton, the mechanical properties of two-phase media become very complex, which results in the problem of wave propagation being much more complicated than that of a single-phase

medium [1, 2]. Therefore, when the seismic wave propagates to the free surface of two-phase media, it will show complex reflection characteristics.

It is well known that Biot first predicted the existence of three body waves in a two-phase medium, namely, the fast  $P_1$ -wave, the slow  $P_2$ -wave, and the S-wave. The three body waves are dispersed and attenuated, the speed and attenuation of which are related to the frequency and the properties of saturated soil materials [3, 4]. All these laid the foundation for the theoretical study of wave propagation in a fluid-saturated porous medium. After that, many scholars studied the various aspects of wave propagation in such medium. The  $P_2$ -wave with strong dispersion and high attenuation characteristics was successively confirmed through experiments by Plona and Berryman in 1980 [5, 6]. Following the Biot model, different two-phase medium models, including the Zienkiewicz model [7, 8], the Men Fu-lu model [9–11], the model of soil mechanics [12], and the theory of mixture [13], were proposed by different researchers. Chen and Liao [14] compared the first four models in detail and pointed out the essential differences between them. They also theoretically explained that the soil mechanics model is a special case of the Biot model, which has the advantage of a clear physical meaning of modeling parameters. At the same time, more and more scholars used the Biot model to study the reflection of elastic waves at the boundary of the fluid-saturated medium. For example, Deresiewicz [15] deduced theoretical formulas for the reflection coefficient of plane waves incident on a free interface of a non-dissipative liquid-filled porous solid. Deresiewicz and Rice [16] derived analytical formulas for the reflection coefficients and reflection angles of body waves ( $P_1$ -,  $P_2$ -, and SV-waves) incident upon a free interface because of the dissipation. Xu et al. [17] presented analytical expressions of reflection coefficients when  $P_1$ -wave incident obliquely at four kinds of plane interfaces of saturated soil (i.e., free drainable/undrainable boundary, fixed drainable/undrainable boundary) and analyzed the effect of incident frequency, incident angle, and interface conditions on reflection coefficients. Lin et al. [18–20] investigated the dynamic response (e.g., surface displacement, surface strain, rocking strains, and energy partitions) of a half-space saturated with inviscid fluid subjected to obliquely incident  $P_1$ - or SV-wave in the case of free draining boundary, and he also adopted the linear porosity-modulus relation. Unlike Lin et al. [20], Rjoub [21, 22] presented the dynamic response (same as Lin et al., but without surface displacement) of a half-space saturated with viscous fluid, considering the oblique incidence of  $P_1$ - and SV-waves. Tajuddin and Hussaini [23] studied the reflection of body waves at free permeable and impermeable boundaries and rigid permeable and impermeable boundaries. Xia et al. [24] developed the secular equation of the Rayleigh surface wave and discussed its dispersion characteristic in a poroelastic half-space. You [25] discussed the free-surface motion caused by incident  $P_1$ - or SV-wave in drained or undrained boundary conditions based on the exact dynamic-stiffness matrix of half-space. Nie and Xu [26] deduced the wave field solutions by using the Wave Based Method and the boundary conditions (i.e., permeable and impermeable conditions) of saturated half-space when incident P- and SV-waves, and they also showed the effects of permeability coefficient, angle, and frequency on them. Yang [27] introduced the concept of homogeneous pore fluid into Biot's theory to analyze the saturation effects of subsoil on ground motions when

an inclined SV-wave incident on the free surface of a partially saturated half-space. Later, based on governing equations of a three-phase medium, Chen [28] explained that a special wave mode conversion occurred when the fast  $P_1$ -wave incident at a certain angle on the nearly saturated soil. Zhou [29] investigated the dynamic response of  $P_1$ - and SV-waves incident at the interface of partially saturated soil and discussed the effects of boundary conditions, water saturation, frequency, Poisson's ratio, and modulus ratio (i.e., shear modulus of soil frame to bulk modulus of fluid) on it. Xue et al. [30] explored the phenomenon of wave mode conversion for a  $P_1$ -wave incident on the surface of a partially saturated half-space, and the critical saturation degree and angle of wave mode conversion were found for a specific nearly saturated soil. Afterward, wave propagation in the semi-infinite space was further enriched to the reflection and refraction of waves at different interfaces [31–37] and extended to wave propagation in the distinct media [38–43].

Since Chinese scholar Men proposed the soil mechanics model, quite a few researchers have also used it to study the wave propagation characteristics in a two-phase medium from theoretical [44–50] and practical views [51–54]. Among them, it is worth mentioning that Chen and Men [52] and Cui [51] presented a new method to understand the mechanism of soil liquefaction. Chen [44] and Chen et al. [45] analyzed the near-field wave motions combining the transmitting boundary. Recently, Xiao et al. [49] investigated the propagation and attenuation characteristics of Rayleigh waves in ocean sites. A preliminary analysis of the wave propagation characteristics in the infinite saturated medium based on the model of soil mechanics has been conducted by Zhang et al. [50]. The results showed that the frequency and soil properties may have a significant influence on the velocity and attenuation coefficient of the three body waves. For this reason, these parameters are bound to affect the reflection of each wave incident upon a free plane boundary.

Among the existing literature, the velocity of plane  $P_1$ -wave is the fastest, and the attenuation of it is slow in the saturated infinite space. Therefore, it is of great interest to study the propagation characteristic of  $P_1$ -wave under different boundary conditions in a fluid-saturated half-space. However, it is rare to use the model of soil mechanics to study the propagation of elastic waves in the semi-infinite field. As mentioned above, the model of soil mechanics is introduced to discuss the reflection of  $P_1$ -wave on the free surface of saturated two-phase media in this paper. By Fortran software, numerical analysis is conducted to study the effects of boundary drainage, wave frequency, porosity, Poisson's ratio, and modulus ratio on the displacement reflection coefficients and surface displacements.

## 2 The propagation theory of elastic wave based on the model of soil mechanics

### 2.1 The equations of motion

The model of soil mechanics for a fluid-saturated medium in which the liquid phase is assumed to be ideal, the solid phase is isotropic elastic, and

the compression modulus of solid particles in point contact tends to infinity, can be expressed as [12, 44, 49, 50].

$$\begin{cases} \mu \Delta \mathbf{u} + (\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + (1 - n) \nabla p_f + [B](\dot{\mathbf{U}} - \dot{\mathbf{u}}) = \rho_1 \ddot{\mathbf{u}} \\ n \nabla p_f - [B](\dot{\mathbf{U}} - \dot{\mathbf{u}}) = \rho_2 \ddot{\mathbf{U}} \\ (1 - n) \nabla \cdot \mathbf{u} + n \nabla \cdot \mathbf{U} - \frac{n}{E_w} p_f = 0 \end{cases} \quad (1)$$

Where,  $\Delta$  ( $=\nabla^2$ ) is the Laplace operator in the Cartesian Coordinate,  $\nabla$  is the Hamiltonian operator.  $\mathbf{u}$ ,  $\dot{\mathbf{u}}$ , and  $\ddot{\mathbf{u}}$  denote the solid phase's displacement, velocity, and acceleration vector, respectively.  $\mathbf{U}$ ,  $\dot{\mathbf{U}}$  and  $\ddot{\mathbf{U}}$  represent the absolute displacement, velocity, and acceleration vector of the liquid phase separately.  $\lambda$  and  $\mu$  are the classical Lamé constants, which are functions of the Poisson's ratio  $\nu$  and the elastic modulus of the solid phase  $E$ ,  $\lambda = E\nu/((1+\nu)(1-2\nu))$  and  $\mu = E/2(1+\nu)$ .  $n$  is the porosity.  $\rho_1$  and  $\rho_2$  are defined to describe the solid and liquid density per unit volume, in which  $\rho_1 = (1-n)\rho_s$  and  $\rho_2 = n\rho_w$ ,  $\rho_s$  and  $\rho_w$  are the solid and fluid mass densities separately.  $p_f$  is the true pore pressure.  $E_w$  refers to the bulk modulus of liquid.  $k$  ( $=K/\rho_w g$ ) is the dynamic permeability coefficient of the solid skeleton, in which  $K$  (m/s) is the permeability coefficient that satisfies Darcy's law, and  $g$  is the gravitation acceleration.  $[B]$  represents the dissipation coefficient, which is a third-order diagonal matrix.  $[B] = \text{diag}(b_x, b_y, b_z)$ , and  $b_x = b_y = b_z = n^2/k$  in the isotropic medium.

## 2.2 Solutions of the equations

Considering Helmholtz's resolution, we introduce scalar potential functions ( $\phi_s$ ,  $\phi_w$ ) and vector potential functions ( $\psi_s$ ,  $\psi_w$ ) to describe the displacements of solid- and liquid-phase, which can be written as follows [11, 50, 55].

$$\begin{cases} \mathbf{u} = \nabla \phi_s + \nabla \times \psi_s \\ \mathbf{U} = \nabla \phi_w + \nabla \times \psi_w \end{cases} \quad (2)$$

Insertion of Equation 2 in Equation 1 yields the wave equation expressed by potential function, as can be shown in the following form [50].

$$\begin{cases} \rho_1 \ddot{\phi}_s - (\lambda + 2\mu) \Delta \phi_s = p_f - \rho_2 \ddot{\phi}_w \\ \rho_1 \ddot{\psi}_s - \mu \Delta \psi_s = -\rho_2 \ddot{\psi}_w \\ n p_f - [B](\dot{\phi}_w - \dot{\phi}_s) - \rho_2 \ddot{\phi}_w = 0 \\ \rho_2 \ddot{\psi}_w + [B](\dot{\psi}_w - \dot{\psi}_s) = 0 \\ (1 - n) \Delta \phi_s + n \Delta \phi_w - \frac{n}{E_w} p_f = 0 \end{cases} \quad (3)$$

The in-plane wave problem in a fluid-saturated medium is a P-SV wave problem in the  $xoz$  plane. Assuming the displacements  $\mathbf{u}$  and  $\mathbf{U}$  are independent of the coordinate  $y$ . The scalar potential functions  $\phi_s = \phi_s(x, z, t)$ , and  $\phi_w = \phi_w(x, z, t)$  in the  $xoz$  plane. The vector potential functions  $\psi_s = (0, \psi_s(x, z, t), 0)$ , and  $\psi_w = (0, \psi_w(x, z, t), 0)$ . The components of solid-phase displacement ( $u_x$ ,  $u_z$ ) and the components of liquid-phase displacement ( $U_x$ ,  $U_z$ ) can be written in the form of potential functions, as shown in Equations 4a, 4b; [1, 20]. The potential function expressions of normal stress ( $\sigma_{zz}$ ) and shear stress ( $\sigma_{xz}$ ) are written in Equation 4c by the plane strain character. From the fifth of Equation 3, the potential

function expression of pore fluid pressure ( $p_f$ ) can be given by the third of Equation 4c.

$$\begin{cases} u_x = \frac{\partial \phi_s}{\partial x} - \frac{\partial \psi_s}{\partial z} \\ u_z = \frac{\partial \phi_s}{\partial z} + \frac{\partial \psi_s}{\partial x} \end{cases} \quad (4a)$$

$$\begin{cases} U_x = \frac{\partial \phi_w}{\partial x} - \frac{\partial \psi_w}{\partial z} \\ U_z = \frac{\partial \phi_w}{\partial z} + \frac{\partial \psi_w}{\partial x} \end{cases} \quad (4b)$$

$$\begin{cases} \sigma_{zz} = \left( \lambda + \frac{1-n}{n} E_w \right) \nabla^2 \phi_s + 2\mu \left( \frac{\partial^2 \phi_s}{\partial z^2} + \frac{\partial^2 \psi_s}{\partial x \partial z} \right) + E_w \nabla^2 \phi_w \\ \sigma_{xz} = 2\mu \frac{\partial^2 \phi_s}{\partial x \partial z} + \mu \left( \frac{\partial^2 \psi_s}{\partial x^2} - \frac{\partial^2 \psi_s}{\partial z^2} \right) \\ p_f = \frac{1-n}{n} E_w \nabla^2 \phi_s + E_w \nabla^2 \phi_w \end{cases} \quad (4c)$$

Assuming the plane harmonic wave solutions of the potential functions in the following forms [11].

$$\begin{cases} \phi_s = A_s e^{i(\omega t - \mathbf{k}_p \cdot \mathbf{r})} \\ \phi_w = A_w e^{i(\omega t - \mathbf{k}_p \cdot \mathbf{r})} \\ \psi_s = B_s e^{i(\omega t - \mathbf{k}_s \cdot \mathbf{r})} \\ \psi_w = B_w e^{i(\omega t - \mathbf{k}_s \cdot \mathbf{r})} \end{cases} \quad (5)$$

Where,  $A_s$  and  $A_w$  are the potential function amplitudes of solid- and liquid-phases for P-wave, respectively.  $B_s$  and  $B_w$  represent the potential function amplitudes of solid- and liquid-phases for S-wave separately.  $\mathbf{k}_p$  and  $\mathbf{k}_s$  denote the propagation directions of P- and S-waves (wave vector).  $k_p$  and  $k_s$  are the values of vectors ( $\mathbf{k}_p$  and  $\mathbf{k}_s$ ), with  $k_p$  and  $k_s$  representing the wave numbers of P- and S-waves, respectively.  $\mathbf{r}$  denotes the position vector.  $i = \sqrt{-1}$ .  $\omega$  is the circular frequency of a wave.

Substituting Equation 5 into Equation 3, we can obtain the dispersion equations of P- and S-waves.

$$\frac{(\lambda + 2\mu)nE_w}{\rho_1 \rho_2} \left( \frac{k_p}{\omega} \right)^4 - \left\{ \left( \frac{\lambda + 2\mu}{\rho_1} + \frac{nE_w}{\rho_2} + \frac{(1-n)^2 E_w}{n\rho_1} \right) - \frac{ib}{\omega \rho_1 \rho_2} \left( \lambda + 2\mu + \frac{E_w}{n} \right) \right\} \left( \frac{k_p}{\omega} \right)^2 + 1 - \frac{ib}{\omega} \left( \frac{1}{\rho_1} + \frac{1}{\rho_2} \right) = 0 \quad (6a)$$

$$\left( \frac{ib\mu}{\omega \rho_1 \rho_2} - \frac{\mu}{\rho_1} \right) \left( \frac{k_s}{\omega} \right)^2 + 1 - \frac{ib}{\omega} \left( \frac{1}{\rho_1} + \frac{1}{\rho_2} \right) = 0 \quad (6b)$$

It can be seen from Equations 6a, 6b that the velocities and attenuation coefficients for two kinds of compressional waves (P<sub>1</sub>- and P<sub>2</sub>- waves) and one shear wave (S- wave) in an unbounded saturated medium are calculated. All three body waves are dispersed and attenuated, which are related to the properties of medium and wave frequency.

## 3 Reflection of P<sub>1</sub>-wave in a semi-infinite saturated medium

The obliquely incident P<sub>1</sub>-wave at the free surface of a semi-infinite saturated medium is a free field problem and also an important part of the site response analysis. In this case, the stresses on the free surface are zero. The upper medium is air without

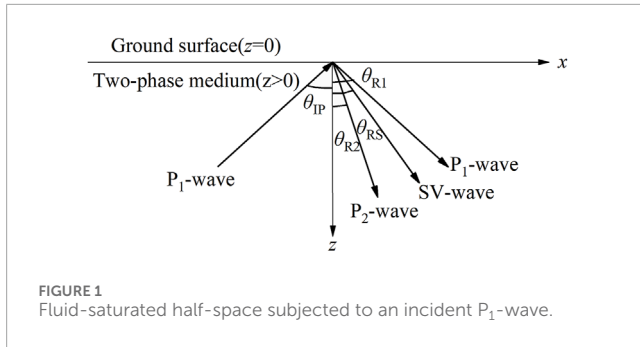


FIGURE 1  
Fluid-saturated half-space subjected to an incident P<sub>1</sub>-wave.

density, and the lower medium is saturated soil. We now introduce a rectangular coordinate system, with  $x$  as the horizontal axis and  $z$  as the vertical axis. The  $z$ -axis points downward vertically, which is directed into the interior of the two-phase medium. The half-space is bounded by a horizontal plane ( $z = 0$ ). The plane P<sub>1</sub>-wave with angular frequency  $\omega$  is incident from the bottom to the free surface at an angle  $\theta_{IP}$ . Then the reflected P<sub>1</sub>-, P<sub>2</sub>-, and SV-waves are generated in the saturated medium (i.e.,  $z > 0$ ), whose angles of reflection are  $\theta_{R1}$ ,  $\theta_{R2}$ , and  $\theta_{RS}$ . All the reflected waves travel at the incident wave frequency ( $\omega$ ). The geometry considered in this paper is shown in Figure 1.

According to Snell's law, the relations between the angles of the reflected and incident waves are given by [55, 56].

$$\frac{V_{P1}}{\sin \theta_{IP}} = \frac{V_{P1}}{\sin \theta_{R1}} = \frac{V_{P2}}{\sin \theta_{R2}} = \frac{V_S}{\sin \theta_{RS}} \quad (7)$$

Where,  $V_{P1}$ ,  $V_{P2}$ , and  $V_S$  are the wave velocities. As is shown in Equation 7, the reflection angles of each reflected wave can be determined when the wave velocity and incident angle are known. Moreover, the reflection angle ( $\theta_{R1}$ ) of the P<sub>1</sub> wave is the same as its incident angle ( $\theta_{IP}$ ).

### 3.1 Potential functions of elastic wave

In the two-phase medium (i.e., the half-space  $z > 0$ ), the incident P<sub>1</sub>-wave gives rise to reflected waves of all three types, i.e., P<sub>1</sub>-, P<sub>2</sub>-, and SV- waves. The expressions for solid- and liquid-phases potential functions of P-wave ( $\phi_s$ ,  $\phi_w$ ) and SV-wave ( $\psi_s$ ,  $\psi_w$ ) are shown in Equation 8; [27]. The plane harmonic solutions of potential functions for different waves are shown in Equations 9a-9d; [27].

$$\begin{cases} \phi_s = \phi_{s1}^I + \phi_{s1}^R + \phi_{s2}^R \\ \phi_w = \phi_{w1}^I + \phi_{w1}^R + \phi_{w2}^R \\ \psi_s = \psi_s^R \\ \psi_w = \psi_w^R \end{cases} \quad (8)$$

$$\begin{cases} \phi_{s1}^I = A_{s1}^I \exp[i(\omega t - k_{1x}^I x + k_{1z}^I z)] \\ \phi_{w1}^I = A_{w1}^I \exp[i(\omega t - k_{1x}^I x + k_{1z}^I z)] \end{cases} \quad (9a)$$

$$\begin{cases} \phi_{s1}^R = A_{s1}^R \exp[i(\omega t - k_{1x}^R x - k_{1z}^R z)] \\ \phi_{w1}^R = A_{w1}^R \exp[i(\omega t - k_{1x}^R x - k_{1z}^R z)] \end{cases} \quad (9b)$$

$$\begin{cases} \phi_{s2}^R = A_{s2}^R \exp[i(\omega t - k_{2x}^R x - k_{2z}^R z)] \\ \phi_{w2}^R = A_{w2}^R \exp[i(\omega t - k_{2x}^R x - k_{2z}^R z)] \end{cases} \quad (9c)$$

$$\begin{cases} \psi_s^R = B_s^R \exp[i(\omega t - k_{sx}^R x - k_{sz}^R z)] \\ \psi_w^R = B_w^R \exp[i(\omega t - k_{sx}^R x - k_{sz}^R z)] \end{cases} \quad (9d)$$

Where,  $\phi_{s1}^I$  ( $\phi_{w1}^I$ ) is a potential function in the solid (liquid) of incident P<sub>1</sub>-wave.  $A_{s1}^I$  and  $A_{w1}^I$  are the amplitudes of the corresponding potential functions. Similarly,  $\phi_{s1}^R$ ,  $\phi_{s2}^R$ , and  $\psi_s^R$  are the solid-phase potential functions of the reflected P<sub>1</sub>-, P<sub>2</sub>-, and SV-waves, respectively.  $A_{s1}^R$ ,  $A_{s2}^R$  and  $B_s^R$  correspond to the solid-phase potential amplitudes.  $\phi_{w1}^R$ ,  $\phi_{w2}^R$  and  $\psi_w^R$  denote the liquid-phase potential functions of the reflected P<sub>1</sub>-, P<sub>2</sub>-, and SV-waves, separately.  $A_{w1}^R$ ,  $A_{w2}^R$  and  $B_w^R$  are the liquid-phase potential amplitudes.  $k_{1x}^I$  and  $k_{1z}^I$  represent the components of the incident P<sub>1</sub>-wave vector in the  $x$  and  $z$  directions.  $k_{1x}^R$  and  $k_{1z}^R$  are the components of the reflected P<sub>1</sub>-wave vector in the  $x$  and  $z$  directions. Similarly,  $k_{2x}^R$ ,  $k_{2z}^R$ ,  $k_{sx}^R$  and  $k_{sz}^R$  are the components of the reflected P<sub>2</sub>- and SV- wave vectors of the corresponding directions.

Following the geometric relationship of wave vectors, it can be seen that the wave vectors and their components of all waves satisfy the equalities Equation 10. Moreover, by Snell's law, the  $x$ -components of the wave numbers for the incident and reflected waves are the same, as shown in Equation 11; [55, 56].

$$\begin{cases} (k_{1x}^I)^2 + (k_{1z}^I)^2 = (k_1^I)^2 \\ (k_{1x}^R)^2 + (k_{1z}^R)^2 = (k_1^R)^2 \\ (k_{2x}^R)^2 + (k_{2z}^R)^2 = (k_2^R)^2 \\ (k_{sx}^R)^2 + (k_{sz}^R)^2 = (k_s^R)^2 \end{cases} \quad (10)$$

$$k_{1x}^I = k_{1x}^R = k_{2x}^R = k_{sx}^R \quad (11)$$

From Equations 6a, 6b, the relations between the various amplitudes in Equations 9 can be obtained as follows.

$$\delta_1 = \frac{A_{w1}^\beta}{A_{s1}^\beta} = \frac{(\lambda + 2\mu + \frac{1-n}{n}E_w)(k_1^\beta)^2 - \rho_1\omega^2}{\rho_2\omega^2 - E_w(k_1^\beta)^2}, \beta = I, R \quad (12a)$$

$$\delta_2 = \frac{A_{w2}^R}{A_{s2}^R} = \frac{(\lambda + 2\mu + \frac{1-n}{n}E_w)(k_2^R)^2 - \rho_1\omega^2}{\rho_2\omega^2 - E_w(k_2^R)^2} \quad (12b)$$

$$\delta_s = \frac{B_w^R}{B_s^R} = \frac{\mu(k_s^R)^2 - \rho_1\omega^2}{\rho_2\omega^2} \quad (12c)$$

Where,  $\delta_1$ ,  $\delta_2$ , and  $\delta_s$  are the amplitude ratios of potentials related to liquid and solid phases for P<sub>1</sub>-, P<sub>2</sub>-, and SV-waves, respectively.

### 3.2 Boundary conditions and solutions

#### 3.2.1 Boundary conditions of the free surface

When P<sub>1</sub>-wave is obliquely incident on the free surface of the saturated medium, the boundary conditions can be completely permeable or impermeable, i.e., (a) Open-pore boundary and (b) Sealed-pore boundary [15, 57]. In case (a), the pore fluid can flow freely, so the normal and shear stresses of the soil skeleton and the pore pressure are zeros. Under condition (b), the pore fluid is enclosed in a porous medium, so the normal and shear stresses



of the soil skeleton and the displacement of solid related to liquid are zeros. Then, the drained and undrained conditions can be expressed as [17].

$$\begin{cases} \sigma_{ij|z=0^+} = 0 \\ p_{f|z=0^+} = 0 \end{cases} \quad (13a)$$

$$\begin{cases} \sigma_{ij|z=0^+} = 0 \\ u_{z|z=0^+} - U_{z|z=0^+} = 0 \end{cases} \quad (13b)$$

In which the subscripts ( $i, j = x, z$ ) represent the components in both  $x$  and  $z$  directions.  $\sigma_{ij|z=0^+}$  denotes the total stress of a saturated two-phase medium.  $p_{f|z=0^+}$  is the pore pressure of the boundary.

On inserting Equations 4a–4c, together with Equation 12a, 12b, 12c, into Equations 13a, 13b, and taking account of Equation 10 and Equation 11, we find the analytical formulas of amplitude ratios under permeable and impermeable boundaries, i.e.,  $A_{s1}^R/A_{s1}^I$ ,  $A_{s2}^R/A_{s1}^I$ , and  $B_s^R/A_{s1}^I$ . The formulas in the form of the matrix are through

$$[S_{P-SV}]_f \{A_{s1}^R, A_{s2}^R, B_s^R\}^T = \{F\}^T A_{s1}^I \quad (14a)$$

$$[\bar{S}_{P-SV}]_f \{A_{s1}^R, A_{s2}^R, B_s^R\}^T = \{\bar{F}\}^T A_{s1}^I \quad (14b)$$

Where, the superscript - denotes the impermeable boundary.  $\{F\}$  and  $\{\bar{F}\}$  are the matrixes related to the incident  $P_1$ -wave.  $[S_{P-SV}]_f$  and  $[\bar{S}_{P-SV}]_f$  are the 3-order matrixes corresponding to the reflected waves. The elements of  $\{F\}$ ,  $\{\bar{F}\}$ ,  $[S_{P-SV}]_f$  and  $[\bar{S}_{P-SV}]_f$  are given in the Appendix.

### 3.2.2 Surface response of saturated half-space

Without loss of generality, we assume the potential function amplitude of the incident wave equals unity, i.e.,  $A_{s1}^I = 1$  [1]. Substituting Equations 11, 12a–c into Equations 14a, b, we can obtain the potential function amplitudes of the reflected waves  $A_{s1}^R$ ,  $A_{s2}^R$ , and  $B_s^R$  (i.e., the amplitude reflection coefficients of  $P_1$ -,  $P_2$ -, and SV-waves). Then inserting  $A_{s1}^R$ ,  $A_{s2}^R$ , and  $B_s^R$  into Equation 4a, the solid-phase displacement reflection coefficients of each reflected wave are given through the expressions

$$\begin{cases} R_{s1}^R = A_{s1}^R \\ R_{s2}^R = \frac{k_2^R}{k_1^I} A_{s2}^R \\ R_{ss}^R = \frac{k_s^R}{k_1^I} B_s^R \end{cases} \quad (15)$$

Where,  $R_{s1}^R$ ,  $R_{s2}^R$ , and  $R_{ss}^R$  are employed to denote the displacement reflection coefficients of  $P_1$ -,  $P_2$ -, and SV-waves in the solid phase, respectively.

Insertion of Equations 8, 9 in Equation 4a yields the surface displacement components (e.g., the horizontal and vertical displacements  $u_x$  and  $u_z$ ) of the solid phase corresponding to the sum of one incident and three reflected waves may be written

$$\begin{cases} u_x = k_{1x}^I A_{s1}^I + k_{1x}^R A_{s1}^R + k_{2x}^R A_{s2}^R - k_{sx}^R B_s^R \\ u_z = -k_{1z}^I A_{s1}^I + k_{1z}^R A_{s1}^R + k_{2z}^R A_{s2}^R + k_{sx}^R B_s^R \end{cases} \quad (16)$$

## 4 Degenerate validation of solutions

### 4.1 Validation of degenerate formulas

Let the liquid density  $\rho_w = 0$ , and the bulk modulus of liquid  $E_w = 0$ . Then, the solution in this paper can degenerate into the case of a P-wave incident on the free interface of a single-phase medium. Now, the potential amplitude ratios of the liquid-solid phase in the two-phase medium  $\delta_1 = 0$ ,  $\delta_2 = 0$ , and  $\delta_s = 0$ . And the wave vector of the reflected  $P_1$ -wave is the same as that of the  $P_2$ -wave, i.e.,  $k_1^R = k_2^R$ ,  $k_{1z}^R = k_{2z}^R$ . When the two-phase medium is reduced to a single-phase medium, the velocity of P-wave  $V_P = \sqrt{(\lambda + 2\mu)/\rho_s}$ , the velocity of SV-wave  $V_S = \sqrt{\mu/\rho_s}$ , which can be derived from the dispersion Equations 6a, 6b. The potential amplitude of the reflected P-wave  $A_s^R = A_{s1}^R + A_{s2}^R$ . Accordingly, Equation 14a, 14b can be simplified as

$$\begin{cases} \mu \left( \frac{V_P^2}{V_S^2} (k_1^I)^2 - 2(k_{1x}^I)^2 \right) (A_s^I + A_s^R) + 2\mu k_{sx}^R k_{sz}^R B_s^R = 0 \\ 2\mu k_{1x}^I k_{1z}^I (A_s^R - A_s^I) + \mu \left( (k_{sx}^R)^2 - (k_{sz}^R)^2 \right) B_s^R = 0 \end{cases} \quad (17)$$

Equation 17 is further simplified to obtain a new expression, which is the same as the Equations of a single-phase medium in Stein and Wyss [56]. It can be seen that the reflection of the P-wave on the free surface of a single-phase medium is a special case in this paper.

### 4.2 Validation of numerical analysis

To further verify the correctness of the formulas for reflection coefficient and surface displacement, Equation 17 is compared with the curve of P-wave incident on the free surface of a single-phase medium in Pujol [55]. The parameters for single-phase media are taken from Pujol [55], namely,  $V_P/V_S = 1.732$  and  $\nu = 0.25$ . The variations of amplitude ratios and surface displacements with the incident angle are shown in Figure 2 when the P-wave is incident on the interface. It is noted that the displacement components  $u_x$  and  $u_z$  in Figure 2b are normalized by a factor  $k_1^I$ , which represents the displacement intensity of the incident P-wave. If not specified, the surface displacements in the following figures are all normalized.

It can be seen from Figure 2 that the calculated results of Equation 17 are consistent with those of Pujol [55]. This is sufficient to demonstrate the correctness of the formula derived in this paper.

## 5 Numerical analysis

In this section, we use the formulas derived above to compute the displacement reflection coefficients and surface displacements when the plane  $P_1$ -wave is incident obliquely on the free boundary of a fluid-saturated half-space. Numerical examples are conducted in Fortran to explore the influence of boundary conditions, wave frequency, and characteristics of saturated soil materials (the porosity  $n$ , the Poisson's ratio  $\nu$ , the fluid bulk modulus to the stiffness of soil  $E_w/\mu$ ) on the

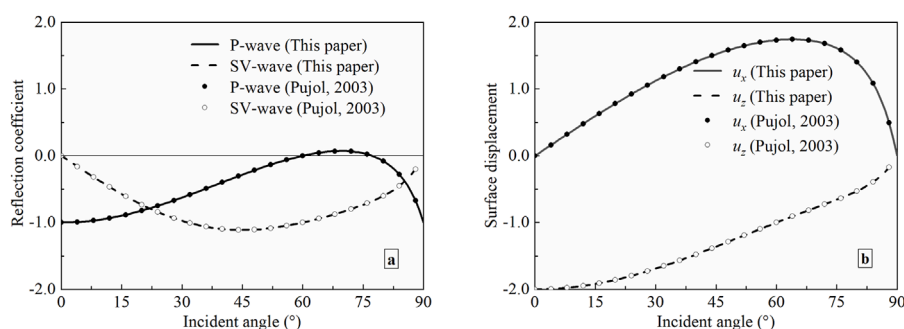


FIGURE 2 Surface response versus P-wave incident angle for an elastic half-space. (a) Amplitude reflection coefficient; (b) Surface displacement.

surface response of saturated half-space. Some soil parameters of the two-phase medium used in the calculation are taken from Ref. [21] and listed as follows:  $\rho_s = 2650 \text{ kg.m}^{-3}$ ,  $\rho_w = 1000 \text{ kg.m}^{-3}$ ,  $E_w = 2.0 \times 10^9 \text{ Pa}$ , and  $k = 1.0 \times 10^{-7} \text{ m}^3.\text{s/kg}$ . The other soil parameters, i.e., the porosity  $n$ , the Poisson's ratio  $\nu$ , and the modulus ratio  $E_w/\mu$ , will be given in the analysis of each section below.

Figure 3 through Figure 7 present the variations of the displacement reflection coefficients and surface displacements as described in Equations 15, 16 with incident angles under different conditions, i.e., boundary conditions, wave frequencies, porosities, Poisson's, and modulus ratios. It can be seen that the displacement reflection coefficients and surface displacements vary smoothly with the incident angle of the  $P_1$ -wave. The displacement reflection coefficient of the  $P_2$ -wave is one order of magnitude smaller than those of the other reflected waves ( $P_1$ - and SV-waves). When the  $P_1$ -wave is at normal or grazing incidence, i.e., the incident angle equals zero or  $90^\circ$ , only the incident wave is reflected, and the reflected  $P_2$ - and SV-waves vanish. At this time, the displacement reflection coefficient of the reflected  $P_1$ -wave is  $-1.0$ , of which the phase is opposite to that of the incident  $P_1$ -wave. This is consistent with the reflection characteristics of compressive P-wave on the surface of an elastic medium [56]. Furthermore, when the incident  $P_1$ -wave strikes the interface perpendicularly, the surface displacements  $u_x = 0$ ,  $u_z = -2.0$ . When the incident angle is  $90^\circ$ , the surface displacements  $u_x = 0.0$ ,  $u_z = 0.0$ , which implies that the reflected  $P_1$ -wave annihilates the incident  $P_1$ -wave at the free surface. And the phase difference between  $u_x$  and  $u_z$  is  $180^\circ$  [51]. This holds for a single-phase medium as well [55]. In addition, with increasing incident angle, the vertical displacement  $u_z$  decreases, while the horizontal displacement  $u_x$  increases before reaching its peak value (near  $\theta_{IP} = 60^\circ$ ) and has a reverse tendency thereafter.

## 5.1 Influence of boundary conditions

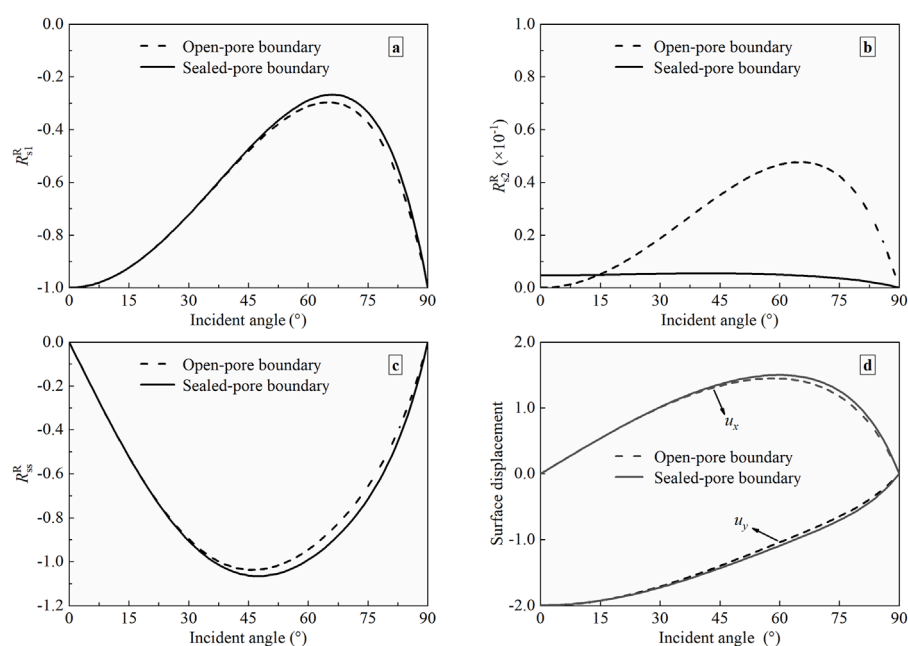
When  $P_1$ -wave propagates in a saturated half-space, specific solutions can be obtained using appropriate boundary conditions. The single control variable method is introduced to analyze the influence of boundary drainage on the surface response of half space. The values of the physical parameters of the saturated poroelastic

half-space are selected from Section 5, and the other parameters are as follows:  $n = 0.1$ ,  $\nu = 0.2$ , and  $E_w/\mu = 0.1$ . The frequency of incident wave  $f = 100 \text{ Hz}$ . The curves in Figure 3 represent the displacement reflection coefficients and surface displacements with distinct boundaries.

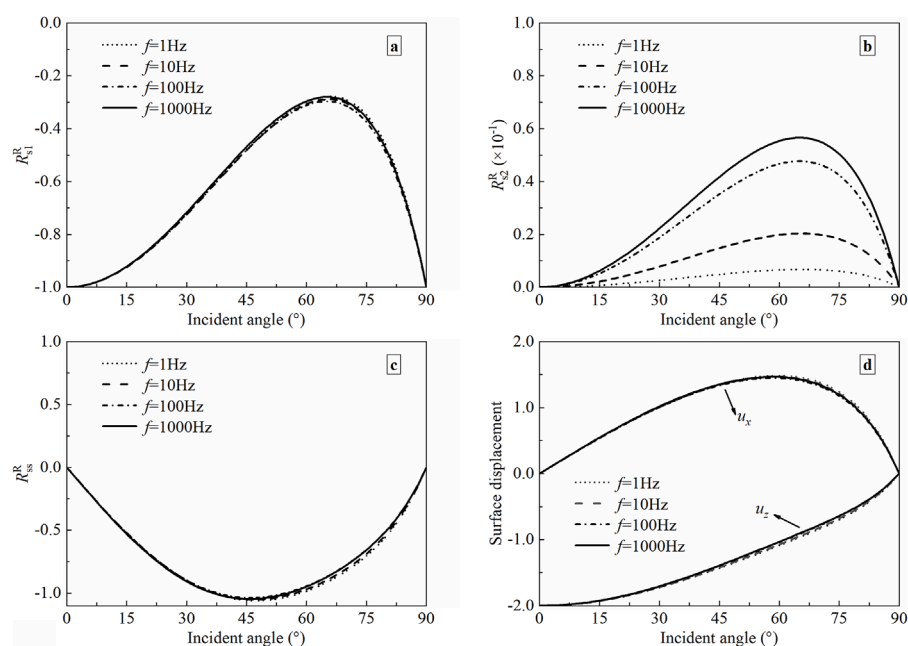
It can be seen from Figure 3a that the displacement reflection coefficient of  $P_1$ -wave decreases with an increase in the incident angle before reaching its minimum value near  $65^\circ$  under different conditions. Moreover, when the incident angle  $\theta_{IP}$  is greater than  $36^\circ$ , the displacement reflection coefficient under the impermeable interface is more than that of the permeable interface. Figure 3b shows that the displacement reflection coefficient of the  $P_2$ -wave is much less than those of other reflected  $P_1$ - and SV-waves, and the coefficient under a permeable interface is greater than that under an impermeable boundary. From Figure 3c, for the reflected SV-wave, the displacement reflection coefficient increases with a rise in the incident angle before attaining its maximum value near  $45^\circ$ . Also, the displacement reflection coefficient at an impermeable interface is more than that at a permeable interface if the  $\theta_{IP}$  is within the range of  $16^\circ$ – $90^\circ$ . Given Figure 3d,  $u_x$  reaches its peak value at approximately  $60^\circ$ , while  $u_z$  reaches its peak value at  $0^\circ$ , and the peak value of  $u_z$  is larger than that of  $u_x$ . If  $\theta_{IP} < 30^\circ$ , the vertical and horizontal displacements (e.g.,  $u_x$  and  $u_z$ ) under two boundary conditions are the same. However, if  $\theta_{IP} > 30^\circ$ , both displacements  $u_x$  and  $u_z$  (absolute values) increase slightly under the impervious interface. Accordingly, the boundary conditions have a certain effect on the surface response of half-space, and this effect manifests a considerable dependence on the incident angle.

## 5.2 Influence of wave frequency

As analyzed in Refs. [2, 50], all three body waves are dispersive and attenuated, and the velocities and attenuation are frequency-dependent. To illustrate the effects of wave frequency on the reflection, four different values of wave frequency are considered in this paper, i.e.,  $f = 1, 10, 100$ , and  $1000 \text{ Hz}$ . The four typical frequencies are within the common frequency range used in engineering and experimental testing [58]. The soil parameters remain invariable, as described in Section 5.1. The boundary is completely permeable. Figure 4 shows the variations of displacement



**FIGURE 3**  
The displacement reflection coefficients and surface displacements versus incident angle with different permeable boundaries. (a)  $P_1$ -wave; (b)  $P_2$ -wave; (c) SV-wave; (d) Surface displacement.

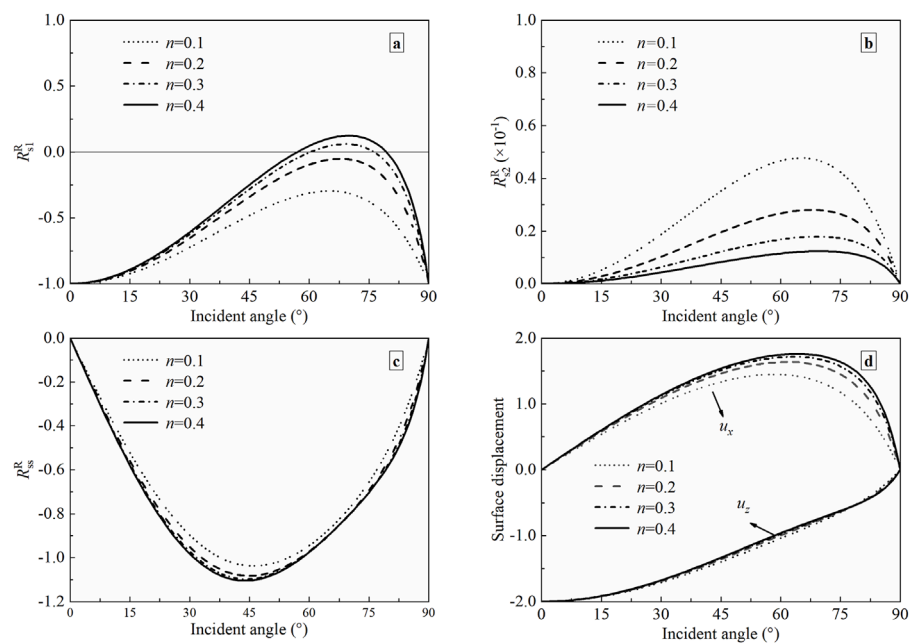


**FIGURE 4**  
The displacement reflection coefficients and surface displacements versus incident angle with different frequencies. (a)  $P_1$ -wave; (b)  $P_2$ -wave; (c) SV-wave; (d) Surface displacement.

coefficients and surface displacements with the incident angle for different frequencies.

It is clear from Figure 4 that the surface response is not sensitive to wave frequency. However, the displacement reflection

coefficient of  $P_2$ -wave decreases as the frequency is reduced. This result matches the case of Rjoub [21]. So, the frequency is assumed to be 100 Hz when analyzing the effect of soil parameters on surface response next.



**FIGURE 5**  
The displacement reflection coefficients and surface displacements versus incident angle with different porosities. (a)  $P_1$ -wave; (b)  $P_2$ -wave; (c) SV-wave; (d) Surface displacement.

### 5.3 Influence of porosity

Since porosity mainly affects the loose degree of soil, it is instructive to investigate the effect of porosity on the displacement reflection coefficients and surface displacements. Except for the porosity, the soil parameters remain invariable, as described in Section 5.1. The frequency of the incident plane  $P_1$ -wave is also taken to be 100 Hz. The boundary is completely permeable. The variations with the incident angle of displacement coefficients and surface displacements are shown in Figure 5 in the case that the porosity  $n = 0.1, 0.2, 0.3$ , and  $0.4$ , respectively.

It is shown in Figure 5a that the variations of displacement reflection coefficient for reflected  $P_1$ -wave with porosity are very complex. When the porosity  $n = 0.3$  and  $0.4$ , a special wave mode conversion occurs, namely, only  $P_2$ - and SV-waves are reflected, and the reflected  $P_1$ -wave is not generated. Under the case that  $n = 0.3$ , the displacement reflection coefficient of  $P_1$ -wave exhibits zero values at incident angles of  $60^\circ$  and  $77^\circ$ . The angles for incidence corresponding to wave mode conversion are  $57^\circ$  and  $79^\circ$  with the instance that  $n = 0.4$ . If the porosity  $n = 0.1$  and  $0.2$ , this phenomenon disappears. Moreover, the displacement reflection coefficient for  $P_1$ -wave decreases with the increase of porosity when the incident angles  $\theta_{IP} < 57^\circ$  or  $\theta_{IP} > 79^\circ$ . From Figures 5b, c, the displacement reflection coefficient for SV-wave ( $P_2$ -wave) increases (decreases) with the increase in porosity, and that for  $P_2$ -wave is the smallest of all three reflected waves as described in Section 5.1. It is noticed from Figure 5d that the horizontal displacement  $u_x$  increases with a rise in porosity. However, the porosity considered in this study has little impact on vertical displacement  $u_z$ . The effect of porosity on the surface response depends on the incident angle to a large extent.

### 5.4 Influence of Poisson's ratio

The Poisson's ratio mainly affects Lamé constants ( $\lambda$  and  $\mu$ ), which reflect the consolidation status of the soil. To investigate the effects of Poisson's ratio on the displacement reflection coefficients and surface displacements, the soil parameters remain constants as described in Section 5.1, except for Poisson's ratio. The frequency of the incident plane  $P_1$ -wave  $f = 100$  Hz. The boundary is completely permeable. Figure 6 shows the effects of Poisson's ratio on the displacement reflection coefficients and surface displacements. In calculations, the Poisson's ratio ( $\nu$ ) is taken to be  $0.1, 0.2, 0.3$ , and  $0.4$ .

It can be found from Figures 6a–c that the displacement reflection coefficient of  $P_1$ -wave increases with the increasing Poisson's ratio at the same incident angle, while those of  $P_2$ - and SV-waves diminish with a rise of Poisson's ratio. For all three reflected waves, the amplitude of variation is related to the incident angle. As observed in Figure 6d, the horizontal displacement  $u_x$  (the vertical displacement  $u_z$ ) decreases (increases) with the rise of Poisson's ratio. When the Poisson's ratio increases, the variation range of horizontal displacement is larger than that of vertical displacement, and the variation range depends on the incident angle.

### 5.5 Influence of modulus ratio

The modulus ratio mainly affects the stiffness of the soil layer in the saturated half-space. The larger the modulus ratio is, the softer the soil layer is. For this reason, there is a need to study the effects of the modulus ratio on the displacement reflection coefficients and surface displacements. Except for the modulus ratio, the soil parameters are taken according to Section 5.1. The

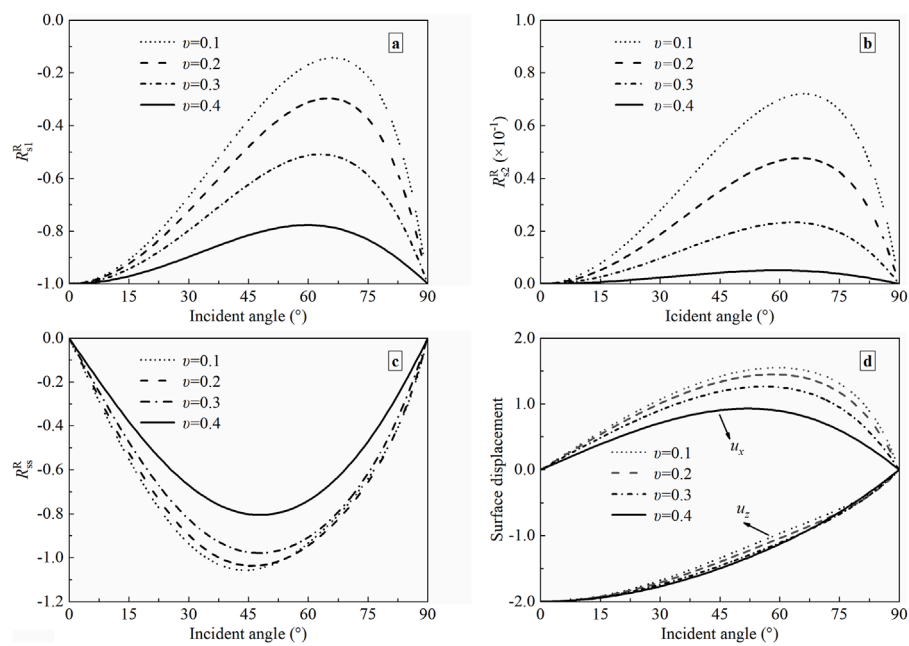


FIGURE 6

The displacement reflection coefficients and surface displacements versus incident angle with different Poisson's ratios (a)  $P_1$ -wave; (b)  $P_2$ -wave; (c) SV-wave; (d) Surface displacement.

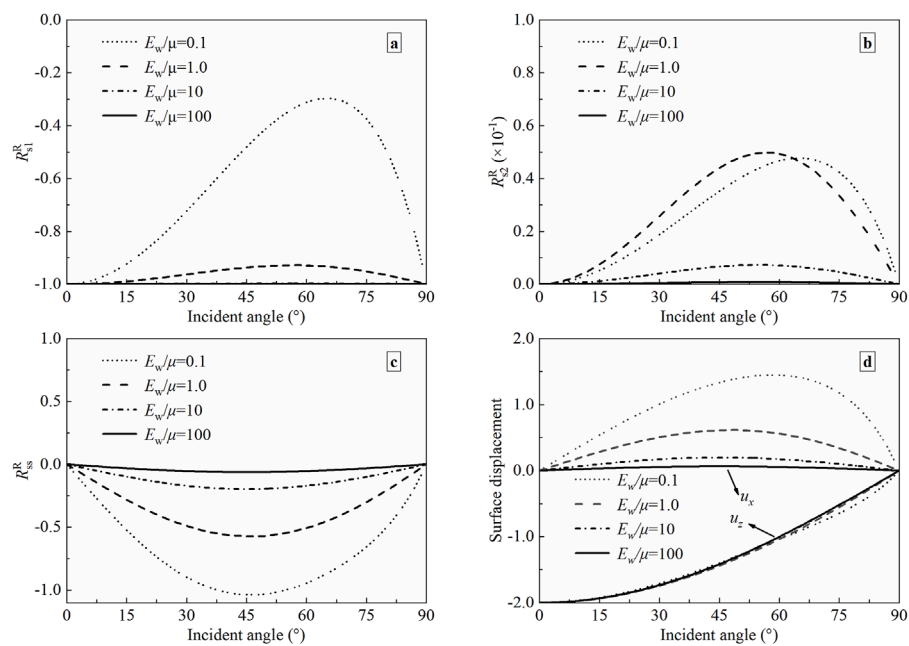


FIGURE 7

The displacement reflection coefficients and surface displacements versus incident angles with different modulus ratios (a)  $P_1$ -wave; (b)  $P_2$ -wave; (c) SV-wave; (d) Surface displacement.

frequency  $f$  of the incident plane  $P_1$ -wave is taken as 100 Hz. The boundary is completely permeable. The modulus ratio  $E_w/\mu = 0.1, 1.0, 10$ , and  $100$ . Figure 7 depicts the displacement reflection coefficients and surface displacements as a function of incident angle for the above four values of modulus ratio.

It can be revealed from Figure 7 that the displacement reflection coefficients and surface displacements vary with the modulus ratio. As can be seen from Figures 7a–c, the displacement reflection coefficient of  $P_1$ -wave ( $P_2$ - or SV-wave) increases (decreases) with the increasing modulus ratio at the same incident angle. The



variation amplitude is related to the incident angle. Moreover, when the modulus ratio  $E_w/\mu = 100$ , the displacement reflection coefficient of  $P_1$ -wave increases towards  $-1.0$ , and that of SV-wave reduces to nearly  $0$ , indicating that soft soil mainly transmits compression waves. All in all, the effect of incident angle on the reflection coefficients of  $P_2$  and SV waves diminishes with the increase of the modulus ratio. Figure 7d shows us that the horizontal displacement  $u_x$  decreases with a rise in modulus ratio, while the vertical displacement  $u_z$  is less affected. For  $E_w/\mu = 100$ , the peak displacement  $u_x$  decreases to  $0.063$ . The extent of influence is decided by the incident angle.

## 6 Conclusion

Based on the soil mechanics model in a fluid-saturated medium, the dispersion equation of elastic waves is established. When the  $P_1$ -wave travels toward the free ground of a two-phase medium, the theoretical formulas of displacement reflection coefficient and surface displacement for all reflected waves are also obtained by combining the boundary conditions. Thereafter, the analytical expressions mentioned above degenerate to the reflection problem of a single-phase half-space to verify correctness. At last, when the boundary conditions, wave frequency, porosity, Poisson's ratio, and modulus ratio are taken to be different values, the variation of the surface response of saturated half-space with the incident angle of  $P_1$ -wave is numerically analyzed. In light of the previous discussion, some main conclusions can be summarized as follows.

- (1) The displacement reflection coefficient and surface displacement are angle-dependent. When the incident angle  $\theta_{IP}$  equals  $0^\circ$  or  $90^\circ$ , only reflected  $P_1$ -wave occurs.
- (2) The boundary conditions have a certain effect on the surface response of half-space. The surface displacements in the impermeable interface are slightly larger than those in the permeable interface, and the magnitude of the increase is related to the incident angle.
- (3) For all frequencies being considered, its influence on surface response is insignificant.
- (4) The effect of material properties (i.e., porosity, Poisson's ratio, and modulus ratio) on the surface response is discussed in detail. The wave mode conversion will occur when the porosity  $n = 0.3, 0.4$ . The displacement component  $u_x$  ( $u_z$ ) decreases (increases) with a rise in Poisson's ratio. The effect of the modulus ratio can not be ignored. The impacts of all soil parameters strongly depend on the incident angle.

In addition, the conclusions drawn in this paper not only theoretically reveal that more attention should be paid to the influence exerted by the incident angle of elastic waves in soil dynamics research but also have practical engineering significance for the commonly used seismic reflection wave method and well-logging data processing in the field of engineering seismic exploration.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

BZ: Funding acquisition, Investigation, Methodology, Writing—original draft, Writing—review and editing. LQ: Project administration, Software, Supervision, Validation, Writing—review and editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Appendix

Let  $q_1 = \frac{1-n}{n} + \delta_1$  and  $q_2 = \frac{1-n}{n} + \delta_2$ , then the elements of  $\{F\}$ ,  $\{\bar{F}\}$ ,  $[S_{P-SV}]_f$ , and  $[\bar{S}_{P-SV}]_f$  are shown as follows.

1. The amplitude coefficients of the incident  $P_1$ -wave

$$\{F\} = \left\{ -(\lambda + q_1 E_w)(k_1^I)^2 - 2\mu(k_{1z}^I)^2, 2\mu k_{1x}^I k_{1z}^I, -q_1 E_w (k_1^I)^2 \right\}$$

$$\{\bar{F}\} = \left\{ -(\lambda + q_1 E_w)(k_1^I)^2 - 2\mu(k_{1z}^I)^2, 2\mu k_{1x}^I k_{1z}^I, (1 - \delta_1)k_{1z}^I \right\}$$

2. The amplitude coefficients of all the reflected waves

$$[S_{P-SV}]_f = \begin{bmatrix} d_{11} & d_{12} & d_{13} \\ d_{21} & d_{22} & d_{23} \\ d_{31} & d_{32} & d_{33} \end{bmatrix}, [\bar{S}_{P-SV}]_f = \begin{bmatrix} \bar{d}_{11} & \bar{d}_{12} & \bar{d}_{13} \\ \bar{d}_{21} & \bar{d}_{22} & \bar{d}_{23} \\ \bar{d}_{31} & \bar{d}_{32} & \bar{d}_{33} \end{bmatrix};$$

$$d_{11} = (\lambda + q_1 E_w)(k_1^I)^2 + 2\mu(k_{1z}^I)^2, d_{12} = (\lambda + q_2 E_w)(k_2^R)^2 + 2\mu(k_{2z}^R)^2, \\ d_{13} = 2\mu k_{sx}^R k_{sz}^R;$$

$$d_{21} = 2\mu k_{1x}^I k_{1z}^I, d_{22} = 2\mu k_{2x}^R k_{2z}^R, d_{23} = \mu \left( (k_{sx}^R)^2 - (k_{sz}^R)^2 \right);$$

$$d_{31} = q_1 E_w (k_1^I)^2, d_{32} = q_2 E_w (k_2^R)^2, d_{33} = 0;$$

$$\bar{d}_{31} = (1 - \delta_1)k_{1z}^I, \bar{d}_{32} = (1 - \delta_2)k_{2z}^R, \bar{d}_{33} = (1 - \delta_s)k_{sx}^R.$$

Nomenclature

Symbols:

$E_w$	bulk modulus of pore water (unit: Pa)	$\rho_s$	solid mass density (unit: Kg/m <sup>3</sup> )
$K$	permeability coefficient (unit: m/s)	$\rho$	total density (unit: Kg/m <sup>3</sup> )
$k$	dynamic permeability coefficient (unit: m <sup>3</sup> .s/kg)	$p_f$	true pore pressure (unit: Pa)
$n$	porosity	$\omega$	angular frequency
$\nu$	Poisson's ratio	$\mathbf{u}, \dot{\mathbf{u}}, \ddot{\mathbf{u}}$	displacement, velocity, and acceleration vectors of the solid phase (unit: m, m/s, m/s <sup>2</sup> )
$\lambda, \mu$	Lame's constants of solid phase (unit: Pa)	$\mathbf{U}, \dot{\mathbf{U}}, \ddot{\mathbf{U}}$	displacement, velocity, and acceleration vectors of fluid phase (unit: m, m/s, m/s <sup>2</sup> )
$E$	elastic modulus of the solid phase (unit: Pa)	$\phi_s, \psi_s$	potential functions associated with solid phase
$\rho_w$	pore fluid mass density (unit: Kg/m <sup>3</sup> )	$\phi_w, \psi_w$	potential functions associated with pore fluid.